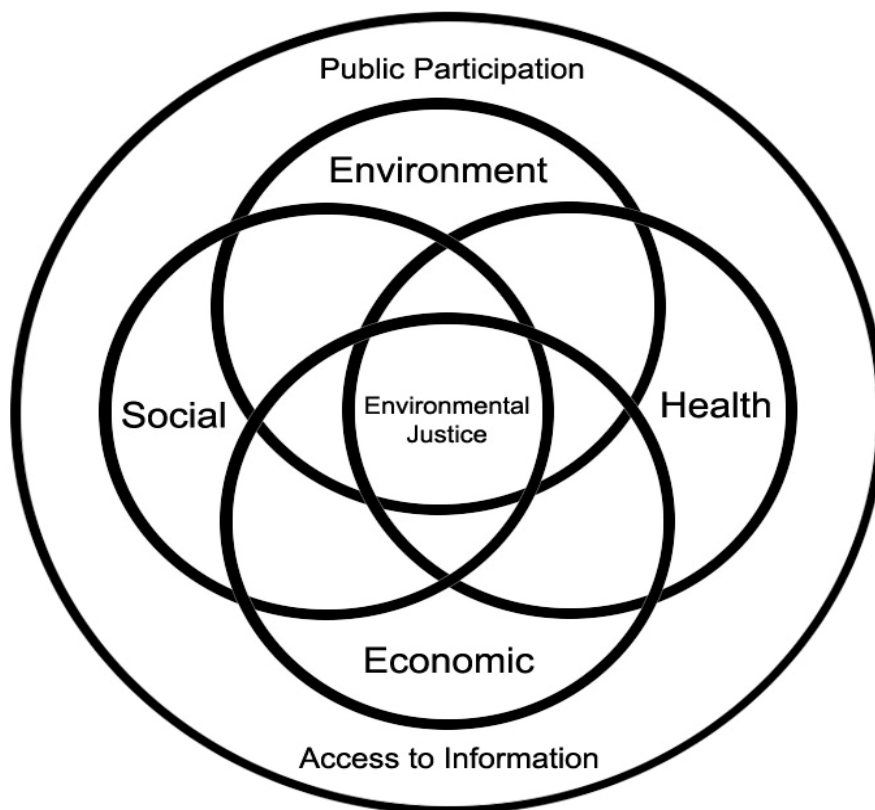




ENVIRONMENTAL JUSTICE SMALL GRANTS PROGRAM

APPLICATION GUIDANCE FY 2010



Office of Environmental Justice (2201A)

**FOR ADDITIONAL COPIES CONTACT YOUR
REGIONAL EJ COORDINATOR FOUND ON PAGES 24 - 26**

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OVERVIEW (OV) INFORMATION

AGENCY: U. S. ENVIRONMENTAL PROTECTION AGENCY
OFFICE OF ENVIRONMENTAL JUSTICE

TITLE: ENVIRONMENTAL JUSTICE SMALL GRANTS PROGRAM

TYPE: INITIAL ANNOUNCEMENT

FUNDING NO.: EPA-OECA-OEJ-10-01

CFDA NO: 66.604

DATES: ANNOUNCEMENT DATE: October 28, 2009
CLOSING DATE: January 8, 2010

EXECUTIVE SUMMARY: Historically, the Environmental Justice Small Grants Program (EJSG) is designed to provide funding for eligible applicants working on, or planning to work on, a project that addresses a local environmental and public health issue within an affected community. The EJSG Program is a multi-statute program designed to help communities understand and address their exposure to multiple environmental harms and risks.

What types of projects are eligible for funding?

The primary purposes of proposed projects should be to develop an understanding of environmental and public health issues and to identify ways to address these issues at the local level, and educate and empower the community. The long-term goals of the EJSG Program are to help build the capacity of the communities with environmental justice concerns and create self-sustaining, community-based partnerships that will continue to improve local environments in the future.

There is a well-established scientific consensus that climate change will cause disproportionate impacts upon vulnerable populations.¹ Thus, the program is adding emphasis this year on addressing the disproportionate impacts of climate change in communities with environmental justice concerns. The goal is to recognize the critical role of grassroots efforts in helping shape climate change strategies to avoid, lessen, or delay the risks and impacts associated with climate change. An overarching goal of including this emphasis is to help increase the number of underrepresented communities and ensure equitable green economic development in ways that build healthy sustainable communities.

Who is eligible under the EJSG Program?

An eligible applicant **MUST BE** one of the following entities:

1_____

¹ As stated in the Technical Support Document for the Endangerment and Cause or Contribute Findings for Greenhouse Gases under Section 202(a) of the Clean Air Act (April 2009), “**Within settlements experiencing climate change, certain parts of the population may be especially vulnerable;** these include the poor, the elderly, those already in poor health, the disabled, those living alone, those with limited rights and power (such as recent immigrants with limited English skills), and/or indigenous populations dependent on one or a few resources. Thus, the potential impacts of climate change raise environmental justice issues.”

- (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service;
- (2) a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located;
- (3) a city, township, county government and their entities; **OR**
- (4) a Native American tribal government (Federally recognized)

AND

- (5) located within the same state, territory, commonwealth, or tribe that the proposed project will be located.

In addition, an eligible applicant must be able to demonstrate that it has worked directly with, or provided services to, the affected community. An “affected community,” for the purposes of this assistance agreement program, is a vulnerable community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are INELIGIBLE:

- colleges and universities;
- hospitals;
- state governments and their entities;
- quasi-governmental entities (e.g., water districts, utilities)*;
- national, multi-state, or state-wide organizations and chapters of any of the aforementioned organizations; and
- non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995

* Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

What is the amount of funding available?

The financial assistance obtained through this solicitation will be awarded as a one year grant. The total estimated funding expected for all awards under this solicitation is approximately \$1,000,000. EPA anticipates awarding 1-4 grants per region in amounts up to \$25,000 per award.

The EJSG Program is very competitive. In the 2008 competition, 123 applications were received and 40 projects were funded.

EPA reserves the right to increase or decrease (including to zero) the total number of grants awarded. Such changes may be necessary as a response to the quality of applications received by EPA, the amount of the funds awarded to the selected applicants, or budget availability.

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SECTION I – FUNDING OPPORTUNITY DESCRIPTION

A. DEFINITION OF ENVIRONMENTAL JUSTICE

The U.S. Environmental Protection Agency (EPA) defines “environmental justice” as the ***fair treatment*** and ***meaningful involvement*** of all people regardless of race, color, national origin or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. ***Fair treatment*** means that no one group of people, including racial, ethnic, or socioeconomic groups, should bear a disproportionate share of the negative environmental consequences resulting from industrial, municipal, and commercial operations or the execution of Federal, state, local, and tribal environmental programs and policies.

Meaningful involvement means that: (1) potentially affected community residents have an appropriate opportunity to participate in decisions about a proposed activity that will affect their environment and/or health; (2) the public’s contribution can influence the regulatory agency’s decision; (3) the concerns of all participants involved will be considered in the decision-making process; and (4) the decision-makers seek out and facilitate the involvement of those potentially affected.

B. BACKGROUND

The EPA’s Office of Environmental Justice (OEJ) established the Environmental Justice Small Grants Program in fiscal year (FY) 1994. The purpose of this grant program is to support and empower communities that are working on local solutions to local environmental and public health issues. We continue to fund projects that support collaborative partnerships that address the community’s local environmental issues such as residents’ and children’s exposure to air toxins and lead, farm worker and resident’s exposure to pesticides and environmental stewardship and youth involvement and education projects. Summaries of all the EJSG projects funded in FY 2009 and prior years are available on the OEJ website:

<http://www.epa.gov/compliance/environmentaljustice/grants/ej-smgrants.html>

All proposed projects should include a work plan that includes strategies for addressing local environmental issues, educating and empowering the community about the issues, building consensus, and setting community priorities. In addition, the work plan should also demonstrate how the applicant will collaborate with other stakeholders (e.g., community-based organizations, environmental groups, businesses, industry, Federal, tribal, state and local governments, and academic institutions) to realize their project goals and objectives.

Clean, Green and Healthy Sustainable Communities

There is a well-established scientific consensus that climate change will cause disproportionate impacts upon vulnerable populations.² Thus, the program is adding emphasis this year on addressing the disproportionate impacts of climate change in communities with environmental justice concerns. The goal is to recognize the critical role of grassroots efforts in helping shape climate change strategies to avoid, lessen, or delay the risks and impacts associated with climate change.

Strategies to deal with the risks and impacts associated with climate change are generally divided in two categories. **Mitigation strategies** utilize creative and innovative ways to reduce greenhouse gas emissions, and generally focus on energy efficiency, renewable energy, agricultural practices and implementation of technologies to achieve greenhouse gas reductions. **Adaptation strategies** recognize that because some degree of future climate change will occur regardless of future greenhouse gas emissions, developing strategies to help vulnerable communities adapt to or cope with climate change is a necessity. Some climate change initiatives, such as green jobs and building a green economy, are critical elements of both mitigation and adaptation strategies.

Applicants are encouraged to develop innovative projects that focus on climate change mitigation and adaptation in communities with environmental justice concerns. The following is a non exclusive list of examples of the types of climate change projects that would be consistent with this intent.

Climate Change Mitigation Strategies (Clean- Renewable- Efficient Energy)

Clean energy generally encompasses forms of energy that have minimal environmental impacts and zero to low [greenhouse gas](#) emissions. Clean energy refers to [renewable energy](#), and is also been used to refer to [energy conservation](#) and [energy efficiency](#)-- increased conservation and efficiency being the cleanest "sources" of additional energy capacity. Examples of activities that will reduce greenhouse gas emissions include:

- Green Building and Infrastructure Design
- Smart Growth
- Equitable Development
- Energy Conservation/Energy Efficiency in Homes and Commercial Buildings
- Weatherization Programs
- Home Residence Retrofits
- Water Efficiency
- Use of Renewable Energy

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² As stated in the Technical Support Document for the Endangerment and Cause or Contribute Findings for Greenhouse Gases under Section 202(a) of the Clean Air Act (April 2009), “**Within settlements experiencing climate change, certain parts of the population may be especially vulnerable**; these include the poor, the elderly, those already in poor health, the disabled, those living alone, those with limited rights and power (such as recent immigrants with limited English skills), and/or indigenous populations dependent on one or a few resources. Thus, the potential impacts of climate change raise environmental justice issues.”

Climate Change Adaptation Strategies

The Intergovernmental Panel on Climate Change states with very high confidence that “adaptive capacity is uneven across and within societies.” There are individuals and groups within all societies that have insufficient capacity to adapt to climate change, making it particularly difficult to reduce vulnerability for difficult to reach populations, such as the elderly, children, and the poor. Applicants should demonstrate how their projects will increase the adaptive capacity of communities with EJ concerns. **Examples of projects in different sector areas include:**

Human Health

- Financial and human public health resources, including training for emergency response, prevention and control programs.
- [Urban tree planting](#) to moderate temperature increases
- [Weather advisories](#) to alert the public about dangerous heat conditions
- Grain storage, emergency feeding stations

Coastal Areas and Sea Level Rise

- Developing county-scale maps depicting which areas will require shore protection (e.g. dikes, bulkheads, beach nourishment) and which areas will be allowed to adapt naturally
- Analyzing the environmental consequences of shore protection
- Promoting shore protection techniques that do not destroy all habitat
- Identifying land use measures to ensure that wetlands migrate as sea level rises in some areas
- Engaging state and local governments in defining responses to sea level rise
Improving early warning systems and flood hazard mapping for storms
Protecting water supplies from contamination by saltwater

Agriculture and Forestry

- Altering the timing of planting dates to adapt to changing growing conditions
- Altering cropping mix and forest species that are better suited to the changing climatic conditions
- Breeding new plant species and crops that are more tolerant to changed climate condition
- Promoting fire suppression practices in the event of increased fire risk due to temperature increases
- Controlling insect outbreaks

Ecosystems and Wildlife

- Protecting and enhancing migration corridors to allow species to migrate as the climate changes
- Identifying management practices that will ensure the successful attainment of conservation and management goals
- Promoting management practices that confer resilience to the ecosystem

Water Resources

- Altering infrastructure or institutional arrangements
- Changing demand or reducing risk
- Improving water use efficiency, planning for alternative water sources (such as treated wastewater or desalinated seawater), and making changes to water allocation
- Conserving soil moisture through mulching and other means
- Protecting coastal freshwater resources from saltwater intrusion

Energy

*Increasing energy efficiency to offset increases in energy consumption due to warming
Protecting facilities against extreme weather events
Diversifying power supply in the event of power plant failures due to excess demand created by extreme heat, or by extreme weather events*

Green Economy and Green Jobs Capacity Building.

In an effort to create a green economy that has enough jobs for those who have been underemployed, unemployed, or faced other barriers to employment, it is important to target green jobs to communities with EJ concerns. Green jobs provide healthy environments for workers while helping build a clean economy.

Applicants would focus on developing effective strategies for promoting green economies that build healthy sustainable communities in communities with environmental justice concerns. Projects would help bridge the gap of knowledge and preparation in the green jobs sector, and should connect with broader national programs such as DOL green jobs training, DOE weatherization training, HUD retrofits to affordable housing and lead abatement.

Proposed training programs would target unemployed and underemployed individuals residing in impacted communities, assisting in improving the participants' pre-employment skills and increasing their ability to compete for and participate in larger more established programs. Applicants would identify the ways in which the participants will be better equipped to compete for employment in environmental work that involves green economy, energy efficiency or renewable energy. Some examples of employment opportunities are:

*Installing solar energy panels on rooftops,
Building wind turbines,
Retrofit homes for energy efficiency and much more.*

For more information and examples of types of green economy activities go to www.climatechange.org , www.greenforall.org

C. QUALIFIED ENVIRONMENTAL STATUTES

The EJSG Program is designed to address multi-statute environmental and public health issues. For this reason, each project, including those with the added emphasis elements, must include activities that are authorized by two or more of the following Federal environmental statutes. The two or more environmental statutes that are involved in your project must be clearly and precisely identified in your work plan. Any failure to clearly and conclusively identify and cite the statutes in your work plan shall result in your project not receiving the maximum points eligible under the Ranking Criteria.

- 1) *Clean Water Act*, Section 104(b) (3):** conduct and promote the coordination of research, investigations, experiments, training, demonstration projects, surveys, and studies relating to the causes, effects, extent, prevention, reduction, and elimination of water pollution.
- 2) *Safe Drinking Water Act*, Section 1442(c)(3)(C):** develop and expand the capability to carry out a program (that may combine training, education, and employment) for occupations relating to the public health aspects of providing safe drinking water.

- 3) **Solid Waste Disposal Act**, Section 8001(a): conduct and promote the coordination of research, investigations, experiments, training, demonstration projects, surveys, public education programs, and studies relating to solid waste (e.g., health and welfare effects of exposure to materials present in solid waste and methods to eliminate such effects).
- 4) **Clean Air Act**, Section 103(b) (3): conduct research, investigations, experiments, demonstration projects, surveys, and studies related to the causes, effects (including health and welfare effects), extent, prevention, and control of air pollution.
- 5) **Toxic Substances Control Act**, Section 10(a): conduct research, development, monitoring, public education, training, demonstration projects, and studies on toxic substances.
- 6) **Federal Insecticide, Fungicide, and Rodenticide Act**, Section 20(a): conduct research, development, monitoring, public education, training, demonstration projects, and studies on pesticides.
- 7) **Marine Protection, Research, and Sanctuaries Act**, Section 203: conduct research, investigations, experiments, training, demonstration projects, surveys, and studies relating to the minimizing or ending of ocean dumping of hazardous materials and the development of alternatives to ocean dumping.

D. EPA STRATEGIC PLAN LINKAGE AND ANTICIPATED OUTPUTS AND OUTCOMES

- 1) **Linkage to EPA Strategic Plan.** Tasks under this project support the EPA's Strategic Plan Goal 4: Healthy Communities and Ecosystems, Objective 4.2: Communities, Sub-objective 4.2.2: Restore Community Health. A copy of the EPA's Strategic Plan is available online at <http://www.epa.gov/ocfo/plan/plan.htm>. Therefore, EJSG project activities must lead to outputs and outcomes that will result in healthy communities and ecosystems.
- 2) **Outputs.** The term "output" means an environmental activity, effort, and/or associated work product related to an environmental/public health goal and objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.

Here are examples of expected outputs for this program although they are not limited to the following:

- The number of residents trained by an environmental/public health program to reduce resident exposure to environmental/public health hazards (e.g., number of residents trained on safe shellfish harvesting)
 - Creation of an environmental/public health/climate change program to achieve and sustain environmental/public health/climate change improvements (e.g., a community-wide asthma coalition; community weatherization program)
 - The number of homes/residents/communities reached or impacted by an environmental/public health program/climate change (e.g., number of homes that undergo a lead abatement program)
- 3) **Outcomes.** The term "outcome" means the result, effect, or consequence that will occur from carrying out an environmental/public health program or activity that is related to an environmental/public health programmatic goal or objective. Outcomes may be environmental, behavioral, health-related, or programmatic in nature, but must be quantitative. They may not necessarily be achievable within an assistance agreement funding period.

Here are some expected outcomes from the above output examples:

- The number of residents that actually adopt safe shellfish harvesting
- The number of community members that utilize an asthma action plan to manage asthma at home, work, and/or school
- The number of families that have reduced exposure to lead paint as result of their homes undergoing a lead abatement program
- The number of residents that install home weatherization
- Reduction of energy used in buildings (school/apartment building/business/homes)

SECTION II – AWARD INFORMATION

A. FUNDING AVAILABILITY AND PROJECT PERIOD

The total estimated amount of funding available under this solicitation for FY 2010 is approximately \$1,000,000. Each award will be made in the form of a Federal grant in amounts up to \$25,000. All awards will be fully funded at the time of award. All projects should have a period of performance of one year.

B. NUMBER OF ANTICIPATED AWARDS

EPA anticipates awarding 1-4 grants in each of EPA's ten regions, subject to the availability of funds and the quality of the applications submitted. For a listing of EPA's ten regions and the states for which they are responsible, please see Section VII. EPA reserves the right to reject all applications and make no awards under this announcement. EPA reserves the right to make additional awards under this announcement, consistent with Agency policy and guidance, if additional funding becomes available after the original selections are made. Any additional selections for awards will be made no later than six months after the original selection decisions.

SECTION III – ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS BASED ON APPLICABLE CFDA

The applicable Catalog of Federal Domestic Assistance (CFDA) for this program is 66.604. Assistance under this program is only available to the following types of applicants.

An eligible applicant **MUST BE** one of the following entities:

- (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service;
- (2) a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located;
- (3) a city, township, county government and their entities; **OR**
- (4) a Native American tribal government (Federally recognized)

AND

(5) located within the same state, territory, commonwealth, or tribe that the proposed project will be located.

In addition, an eligible applicant must be able to demonstrate that it has worked directly with, or provided services to, the affected community. An “affected community,” for the purposes of this assistance agreement program, is a community that is disproportionately impacted by environmental harms and risks and has a local environmental and public health issue that is identified in the proposal.

The following entities are INELIGIBLE:

- colleges and universities;
- hospitals;
- state governments and their entities;
- quasi-governmental entities (e.g., water districts, utilities)*;
- national, multi-state, or state-wide organizations and chapters of any of the aforementioned organizations; and
- non-profit organizations that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995

* Generally, a quasi-governmental entity is one that: (1) has a close association with the government agency, but is not considered a part of the government agency; (2) was created by the government agency, but is exempt from certain legal and administrative requirements imposed on government agencies; or (3) was not created by the government agency but performs a public purpose and is significantly supported financially by the government agency.

B. THRESHOLD ELIGIBILITY CRITERIA

Before an application can be reviewed by a panel of EPA Staff (Review Panel), it must be screened to ensure that the application meets the minimum eligibility requirements, or Threshold Eligibility Criteria. EPA staff will screen all applications using the One-page Threshold Eligibility

Form found in Appendix A. Applications must substantially comply with the application submission instructions and requirements set forth in Section IV of this announcement or else they will be rejected. However, where a page limit is expressed in Section IV with respect to the application, pages in excess of the page limitation will not be reviewed.

The form will address the following areas:

1) Applicant Eligibility:

You **must** be able to demonstrate that you:

- a) are an eligible applicant as defined above in Section III. A;
- b) have worked directly with, or provided services to, the affected community; and
- c) do not belong to the list of excluded organizations in Section III A.

2) Qualified Environmental Statutes:

You **must** have two or more qualified environmental statutes associated with your project. See Section I. C for more information on the requirements for environmental statutes.

3) Complete Application Package. You must have a complete application package.

Congress has prohibited the use of federal funds to award grants to the Association of Community Organizations for Reform Now (ACORN) or any of its affiliates, subsidiaries, or allied organizations and therefore in order to be eligible for funding consideration under this competition all applicants must affirmatively indicate in their proposal that they are not subject to this prohibition. In addition, since this funding prohibition applies to subawards/subgrants and contracts awarded by grantees, applicants must consider it when preparing proposals.

In addition, applications must be date stamped by the courier or postmarked by as specified in Section IV of this announcement on or before the application submission deadline published in Section IV of this announcement. Applicants are responsible for ensuring that their proposal [or application] reaches the designated person/office specified in Section IV of the announcement by the submission deadline.

Applications date stamped by the courier or postmarked after the submission deadline listed in Section IV will be considered late and returned to the sender without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling. For hard copy or e-mailed submissions, where Section IV requires application receipt by a specific person/office by the submission deadline, receipt by an agency mailroom is not sufficient. Applicants should confirm receipt of their application with Sheila Lewis at lewis.sheila@epa.gov as soon as possible after the submission deadline—failure to do so may result in your application not being reviewed.

Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of their ineligibility determination.

C. COST-SHARING OR MATCHING FUNDS

No cost-sharing or matching is required.

SECTION IV – APPLICATION AND SUBMISSION INFORMATION

A. ADDRESS TO REQUEST APPLICATION FORMS REQUIRED FOR THIS SOLICITATION

All required forms and optional templates are available at:

<http://www.epa.gov/compliance/environmentaljustice/grants/index.html>.

You can also receive a hard-copy through a regional or Headquarter (HQ) contact listed in Section VII.

B. CONTENT AND FORM OF APPLICATION SUBMISSION

Applications submitted via U.S. Postal or courier service must contain the original application and **two** copies. Applications submitted electronically via emailed PDF documents do not require two copies. No matter how you submit your application, it **MUST** include the following required forms and documents:

- 1) One-Page Threshold Eligibility Form (Appendix A)
- 2) SF-424 Application for Federal Assistance (Appendix B)
- 3) Key Contacts List EPA Form 5700-54 (Appendix C)
- 4) Workplan (Optional template in Appendix D)
- 5) Detailed Budget (Optional logic model template and example in Appendices E and F)
- 6) Resumes of the PI/PM and other key personnel (Principal Investigator/Project Manager).
- 7) Project Performance Measures (Optional template and example in Appendices G & H)
- 8) Proof of Non-Profit Status

The following is a detailed description of all the required forms and documents.

1) SF-424 APPLICATION FOR FEDERAL ASSISTANCE, with original signature (Form is available in Appendix B)

The SF-424 is the official form for all Federal grants and cooperative agreements. It requests basic information about your organization and the proposed project. To complete this form you must provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number. You can receive a DUNS number, at no cost, by calling the dedicated toll-free DUNS number request line at 1-800-627-3867, or visiting the D&B website at <http://www.dnb.com>.

2) KEY CONTACTS LIST, EPA Form 5700-54 (Attached as Appendix C)

3) Workplan (Optional template is available in Appendix D):

The workplan is the most important part of your application package because it describes your project. Workplans that are focused and succinct are stronger than ones that try to address too many issues. The workplan should not exceed ten (10), single-spaced typewritten pages. Anything over ten (10) pages will not be read by the Review Panel. The pages of the workplan should be letter-size (8 1/2 X 11 inches), single-spaced, with normal type size (10 or 12 characters per inch), and at least 1 inch margins. There will be no penalty for using a different page size or font type, but it is **strongly discouraged**. Do not use spiral binding, separation tabs, or plastic covers. You may bind your paperwork with a staple. ***Do not include videos or DVDs.***

The workplan must contain the following components and must be identified by the headings in the exact order as listed below. The headings correspond with the criteria in Section V that will be used to evaluate each eligible application.

I. Project Title and Project Purpose Statement (approximately 1/2 page). Provide the following information:

- A title for your project; and
- A summary description of the proposed project.

II. Environmental, Public Health and Climate Change (if applicable) Information about the affected Community (approximately 2 pages).

Please describe the following:

- The local environmental, public health and climate change (if applicable) issue that you seek to address;
- The affected community that you work directly with, or provide services to;
- How the affected community is disproportionately impacted by environmental harms and risks;
- How the affected community is impacted by climate change (if applicable); and
- How the affected community will benefit from the results of your project.

You must include relevant information such as demographics, geographic location, and community history.

III. Organization’s Historical Connection to the Affected Community (approximately 1 page). Describe the following:

- The history of your organization’s involvement with the affected community, including the length of your involvement and how you became involved;
- How you have worked with the affected community’s residents and/or organizations to address local environmental and public health issues;
- How the affected community residents and/or organizations are part of your decision-making process;
- How your efforts have increased the community’s capacity to address local environmental and public health issues; and
- How you maintain and sustain an ongoing relationship with the affected community’s residents and/or organizations.

IV. Project Description (approximately 4 to 5 pages).

The Project Description is your opportunity to describe your project goals, all of the components of the project, the timeframe to accomplish the proposed activities and the evaluation process for determining success. Also included should be the key roles and responsibilities of key organizations involved. It **MUST** address the following items:

- i) **A concise description of the activities the project will undertake during the year to examine and address the environmental and public health issue(s)/climate change, e.g., training, education/outreach programs, capacity-building efforts, etc.** Please describe the following:

- The local environmental, public health and climate change (if applicable) results your projects seeks to achieve; and
- How your project will achieve these results.
- How your project is related to the environmental statutes you identified in your Threshold Eligibility Form (see Section I.C and Appendix A). Please note each project must include activities that are authorized by two or more of the Federal environmental statutes listed in Section I.C.

ii) A concise description of how you and your partner(s) will work together during the year to address the local issue(s). Please describe the following:

- The role of your partner(s) in addressing the local environmental, public health and climate change (if applicable) issues;
- The nature of the organization(s), and what resources they bring to the partnership;
- How the partner has a vested interest in working with this partnership [other than just getting income], commitments made, and specific activities it will be responsible for; and
- How you plan to maintain and sustain the partnerships.

V. Organizational Capacity and Programmatic Capability (approximately 1/2 page). Please provide the following information:

- The organizational and administrative systems (e.g., accounting programs) that you have in place that will be used to appropriately manage, expend, and account for Federal funds;
- How you have successfully managed these projects in the past;
- How you plan to effectively manage and successfully complete this proposed project; and
- If you have been a recipient of an EPA and/or other Federal grant/cooperative agreement in the last five years, please indicate your past performance in meeting reporting requirements (e.g., progress reports, financial status). Please also include the name of the Project Officer overseeing the grant/cooperative agreement and the assistance agreement number associated with the project(s);

If you do not have prior experience in managing federal funds please indicate this in the proposal, you will receive a neutral score in this area. Failure to do so will result in receiving a score of 0 for this element.

VI. Qualifications of the Principal Investigator or Project Manager (PI/PM) (approximately 1 page). Please describe the following:

- Why the PI/PM is qualified to undertake the project;
- How the PI/PM has ties to the community and/or organization; and
- Past activities that the PI/PM has worked on with the community.

VII. Past Performance in Reporting on Outputs and Outcomes (approximately 1/2 page). Provide the following information:

- A list of any Federal or non-Federal grants or cooperative agreements (not Federal contracts) of similar size, scope, and relevance to the proposed project that you worked on within the past three (3) years (no more than five (5) agreements, ad preferably EPA agreements). Please include the grant or cooperative agreement number, title of the project, the amount of funding, funding agency or organization, and

point-of-contact;

- A description of how you have documented and/or reported on progress towards achieving the expected outputs and outcomes under prior and/or current assistance agreements; and
- If you did not make any progress towards achieving the expected outputs and outcomes, describe whether you have documentation and/or reports satisfactorily explaining why.

If you do not have prior experience in reporting outputs and outcomes please indicate this in the proposal, you will receive a neutral score in this area. Failure to do so will result in receiving a score of 0 for this element.

VIII. Quality Assurance Project Plan (QAPP) Information (1 to 2 sentences). Please describe the following:

- Indicate whether you believe that your project will involve the use of existing environmental data or the collection of new data (use the checklist in Appendix I to assist you in making this determination). For more information about Quality Assurance Project Plans, please consult the following website <http://www.epa.gov/quality/g5-docs/g5-final.pdf>.

You are not required to develop a QAPP at this point. You are only being asked to determine whether or not you will need a QAPP if your project is selected for funding. If required, then an approved QAPP must be in place *prior* to the initiation of activities.

5) Detailed Budget (Optional template and example are available in Appendices E and F). You must include a detailed budget breakdown that does the following:

- Shows how the funds will be specifically used in terms of personnel, fringe benefits, travel, equipment, supplies, contractor costs, other costs, and indirect costs; and
- Itemizes each cost.

Funding Restrictions. EPA grant funds can only be used for the purposes set forth in the grant and must be consistent with the statutory authorities for the award. The recipient cannot use these funds for the following activities under this grant program:

- Litigation against the Federal government or any other government entity;
- Construction;
- Lobbying;
- Matching funds for other Federal grants;
- Travel for Federal employees;
- Human health studies (taking blood or other information from humans).

6) Resumes of the Principal Investigator or Project Manager (PI/PM) and Other Key Personnel. You must attach a resume of the PI/PM and any other key personnel named on the Key Contacts List. (See Appendix C for EPA Form 5700-54)

7) Project Performance Measures (Optional logic model template and example are available in Appendices G and H). The ability to measure project progress is critical to achieving your desired goals. Targeting work toward specific project outcomes can help you manage your project to achieve these results. Measurement can tell you what is working with your project and what is not, and when it may be necessary to adapt your approach. It will tell you whether your efforts are having a positive impact on your community.

Please list and describe the following:

- **Resources/Inputs:** What resources are you and your partner(s) putting forward to further the project's goals? Resources may include but are not limited to staff time, in-kind contributions, monetary contributions, etc.
- **Activities:** What activities will you and your partners conduct under your workplan?
- **Outputs and Outcomes:** Describe the outputs and outcomes that will result from your project (potential outputs and outcomes are listed in Section I. D). The following are items to consider when developing output and outcome measures:

For projects involving *training*, possible outputs are the number of people trained and/or the number of training sessions held. Possible outcomes are the number of people who increase their awareness of the environmental and public health issue(s) and/or the number of people who adopt or change certain behaviors to reduce their exposure to environmental hazards as a result of the training.

For projects involving *meetings or forums*, an output may be the number of people attending the meetings or forums, the number of meetings or forums held, and any product (strategy or outreach plan, brochure, pamphlet, etc.) that was produced as a result of the meeting or forum. If your proposed project wants to affect change among the participants in the meeting or forum, possible outcomes are the number of meeting or forum attendees who have increased awareness of the environmental and public health issue(s) or the number of meeting or forum attendees who adopt or change certain behaviors as a result of the meeting or forum.

If the purpose of the meetings or forums is to develop a product (strategy or outreach plan, brochure, pamphlet, etc.) in order to reach a target population, e.g., people, businesses, organizations, etc. outside of the meeting or forum attendees, a possible outcome may include the number of those in your target population who have made changes as a result of the implementation or dissemination of the product.

When developing performance measures, it's important to consider the following:

- 1) What are the measurable short term and longer term results the project will achieve?
- 2) How will my project measure progress in achieving the expected results (including outputs and outcomes) and how will the approach use resources effectively and efficiently?

In order to determine whether your project has achieved its intended outputs and outcomes, you will need think about what your data source will be (e.g., people, existing records, observation, etc.) and how you will collect the data (e.g., observing behavior changes, administering pre- and post-tests). You will need to do this for each performance measure you develop. For example, for projects involving training, the possible source for your data would be the participants who receive the training and a possible way to collect data would be administering pre- and post-tests to training participants to measure their changes in attitude or increased knowledge. A follow-up survey could be conducted at a later point in time to measure any behavior changes as a result of the training. You will need to think carefully about your data collection process in order to get quality information from your project and to achieve your projects' intended results.

In addition to articulating how an applicant intends to incorporate climate change mitigation strategies in communities with environmental justice concerns, applicants should also highlight any co-benefits associated with reductions in greenhouse gases (e.g. green job creation, improved transit access for disadvantaged communities, reduced stormwater runoff, reduction of co-pollutants produced concurrent with greenhouse gases, benefits to improved public health). Examples of such outcomes include, but are not limited to: number of people who adopted initiatives to reduce emissions of other air toxics or water pollution, number of people that utilized an action plan to make improvements in air or water quality, number of people who made changes to make a reduction in fossil energy use, number of trainings toward green jobs created, number of cost savings, or number of community members participating in or benefiting from a program

One tool that may be useful to you in developing output and outcome measures is a “logic model.” A logic model is a visual model that shows the relationship between your work and your desired results. It communicates the performance story of your project, focusing attention on the most important connections between your actions and the results. A logic model can serve as a basic road map for the project, explaining where you are and where you hope to end up. An optional logic model template and example are available in Appendices I and J.

The following websites provide information on developing a logic model, performance measures, and other tools to measure project outcomes:

The Kellogg Foundation guide to developing logic models:
<http://www.wkcf.org/Pubs/Tools/Evaluation/Pub3669.pdf>

An EPA Region 10 Web Page with measurement information and tools:
<http://yosemite.epa.gov/R10/ECOCOMM.NSF/webpage/measuring+environmental+results>

A University of Wisconsin Extension Service online course on enhancing performance using logic models: <http://www.uwex.edu/ces/lmcourse/>

A page with National Fish and Wildlife Foundation logic framework examples:
<http://www.nfwf.org/evaluation/logicframework.cfm>

A website that provides information on developing surveys:
<http://www.surveymonkey.com>

8) Proof of Non-profit Status for non-governmental organizations. An eligible nonprofit organization applicant must attach the certificate that shows it is either: (1) a 501(c)(3) non-profit organization as designated by the Internal Revenue Service; OR (2) a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which it is located.

C. SUBMISSION DATES AND TIMES

All completed applications must be postmarked by USPS, date-stamped by courier service or received via Email by 11:59 pm, January 8, 2010. For instructions on submitting applications electronically, please see Section IV. I. Depending on your choice of delivery method, paper applications should be sent to either one of the addresses below:

| Courier/Delivered Address | U.S. Postal Service Address |
|---|---|
| Sheila Lewis U.S. EPA/Office of Environmental Justice 1200 Pennsylvania Avenue NW, Room 2232E | Sheila Lewis U.S. EPA/Office of Environmental Justice Mail Code 2201A |

| | |
|---|---|
| Washington, DC 20004 Phone: 202-564-0152 E-Mail: lewis.sheila@epa.gov | Washington, DC 20460 Phone: 202-564-0152 E-Mail: lewis.sheila@epa.gov |
|---|---|

Applications that are not postmarked by the U.S. Postal Service, date-stamped by courier service, or submitted electronically via Email, by 11:59 pm, January 8, 2010 will not be considered for an award.

D. PARTNERSHIPS, CONTRACTORS AND SUBAWARDS

The EJSG program supports the development of collaborative partnerships dedicated to identifying and understanding the multiple local environmental and public health issues in communities, educating communities about the issues, and setting priorities to address the issues. EPA encourages establishing and building collaborative partnerships with other stakeholders who share a desire to address the local environmental and public health issues affecting their community. Establishing partnerships with a wide array of different stakeholders is a necessary component of collaborative problem-solving.

EPA awards funds to one eligible applicant as the recipient even if other eligible applicants are named as partners or co-applicants or members of a coalition or consortium. The recipient is accountable to EPA for the proper expenditure of funds. The following questions and answers provide additional information on Federal regulations governing partnerships, contractors and subaward recipients.

Contracts and Subawards:

a. Can funding be used for the applicant to make subawards, acquire contract services, or fund partnerships?

Funding may be used to provide subgrants or subawards of financial assistance, which includes using subawards or subgrants to fund partnerships, provided the recipient complies with applicable requirements for subawards or subgrants including those contained in 40 [CFR](#) Parts 30 or 31, as appropriate. Applicants must compete contracts for services and products, including consultant contracts, and conduct cost and price analyses, to the extent required by the procurement provisions of the regulations at 40 CFR Parts 30 or 31, as appropriate. The regulations also contain limitations on consultant compensation. Applicants are not required to identify subawardees/subgrantees and/or contractors (including consultants) in their proposal/application. However, if they do, the fact that an applicant selected for award has named a specific subawardee/subgrantee, contractor, or consultant in the proposal/application EPA selects for funding does not relieve the applicant of its obligations to comply with subaward/subgrant and/or competitive procurement requirements as appropriate. Please note that applicants may not award sole source contracts to consulting, engineering or other firms assisting applicants with the proposal solely based on the firm's role in preparing the proposal/application.

Successful applicants cannot use subgrants or subawards to avoid requirements in EPA grant regulations for competitive procurement by using these instruments to acquire commercial services or products from for-profit organizations to carry out its assistance agreement. The nature of the transaction between the recipient and the subawardee or subgrantee must be consistent with the standards for distinguishing between vendor transactions and subrecipient assistance under Subpart B Section .210 of [OMB Circular A-133](#), and the definitions of subaward at 40 CFR 30.2(ff) or subgrant at 40 CFR 31.3, as applicable. EPA will not be a party to these

transactions. Applicants acquiring commercial goods or services must comply with the competitive procurement standards in 40 CFR Part 30 or 40 CFR Part 31.36 and cannot use a subaward/subgrant as the funding mechanism.

b. How will an applicant's proposed subawardees/subgrantees and contractors be considered during the evaluation process described in Section V of the announcement?

Section V of the announcement describes the evaluation criteria and evaluation process that will be used by EPA to make selections under this announcement. During this evaluation, except for those criteria that relate to the applicant's own qualifications, past performance, and reporting history, the review panel will consider, as appropriate and relevant, the qualifications, expertise, and experience of:

(i) an applicant's named subawardees/subgrantees identified in the proposal/application if the applicant demonstrates in the proposal/application that if it receives an award that the subaward/subgrant will be properly awarded consistent with the applicable regulations in 40 CFR Parts 30 or 31. For example, applicants must not use subawards/subgrants to obtain commercial services or products from for-profit firms or individual consultants.

(ii) an applicant's named contractor(s), including consultants, identified in the proposal/application if the applicant demonstrates in its proposal/application that the contractor(s) was selected in compliance with the competitive Procurement Standards in 40 CFR Part 30 or 40 CFR 31.36 as appropriate. For example, an applicant must demonstrate that it selected the contractor(s) competitively or that a proper non-competitive sole-source award consistent with the regulations will be made to the contractor(s), that efforts were made to provide small and disadvantaged businesses with opportunities to compete, and that some form of cost or price analysis was conducted. EPA may not accept sole source justifications for contracts for services or products that are otherwise readily available in the commercial marketplace.

EPA will not consider the qualifications, experience, and expertise of named subawardees/subgrantees and/or named contractor(s) during the proposal/application evaluation process unless the applicant complies with these requirements.

E. INTERGOVERNMENTAL REVIEW

All applications submitted are subject to Executive Order 12372, "Intergovernmental Review of Federal Programs." Applicants should contact their State's Single Point of Contact (SPOC) to find out how to comply with the State's process. The names and addresses of the SPOC's are listed in the Office of Management Budget's website: <http://www.whitehouse.gov/omb/grants/spoc.html>.

You should submit your application to your SPOC at the same time as you submit your application to the EPA in order to prevent delay. The intergovernmental review process can be lengthy, so it is encouraged that applicants submit application packages to their designated SPOCs as early as possible.

F. CONFIDENTIAL BUSINESS INFORMATION

In accordance with 40 CFR 2.203, applicants may claim all or a portion of their application as confidential business information. EPA will evaluate confidentiality claims in accordance with 40 CFR Part 2. Applicants must clearly mark applications/proposals or portions of applications/proposals they claim as confidential. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by 40 CFR 2.204(c)(2) prior to disclosure. However, competitive applications are considered confidential and protected from disclosure prior to the completion of the competitive selection process.

G. PRE-APPLICATION ASSISTANCE

Applicants are invited to participate in conference calls with EPA to address questions about the EJSG Program. The following are the conference call dates and times. Please call or send an e-mail to Sheila Lewis at lewis.sheila@epa.gov and provide the following information: (1) your name; (2) the name of your organization; (3) the address of your organization; (4) your phone number; (5) and the preferred date for the call. If you cannot reply by e-mail, you can also register by calling your regional EJ Coordinator listed in Section VII.

| Date | Time (Eastern) |
|-------------------|-----------------------|
| November 10, 2009 | 1:30 – 2:30 p.m. |
| December 03, 2009 | 1:30 – 2:30 p.m. |
| December 17, 2009 | 1:30 – 2:30 p.m. |

In accordance with EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1), EPA staff will not meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. Applicants are responsible for the contents of their applications/proposals. However, consistent with the provisions in the announcement, EPA will respond to questions from individual applicants regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about the announcement.

H. MANAGEMENT FEES

When formulating budgets for proposals/applications, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicant's cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

I. ELECTRONIC SUBMISSION PROCEDURES

E-mail submissions must be submitted to ej_small_grants@epa.gov and be received by the submission deadline stated in Section IV.C. of this announcement. All required documents listed in Section IV. B. of the announcement must be attached to the e-mail as separate Adobe PDF files. Please note that if you choose to submit your materials via e-mail, you are accepting all risks attendant to e-mail submission including server delays and transmission difficulties. E-mail submissions exceeding 15MB will experience transmission delays which will affect when they are received by the Agency. For these size submissions, applicants should submit their application materials via hardcopy because if they are sent via e-mail they may be received late and not considered for funding. Applicants submitting their application materials through e-mail should confirm receipt of the materials with Sheila Lewis at lewis.sheila@epa.gov as soon as possible after submission.

SECTION V – APPLICATION REVIEW INFORMATION

A. CRITERIA

- 1) **Threshold Evaluation Criteria.** Before an application is reviewed by a panel of EPA staff (Review Panel), it must be screened to ensure that the application meets the Threshold Eligibility Criteria (See Section III.B). Please note an application will not be reviewed and scored if the application does not meet the Threshold Eligibility Criteria.

- 2) **Ranking Criteria.** Applications meeting the Threshold Evaluation Criteria will be reviewed and scored by the Review Panel. Each criterion has corresponding points that range from 2 points (lowest) to 25 points (highest). Your score for each criterion will be based on how well you address each criterion. The maximum total points you can obtain is 100. Please note that certain sections are given greater weight than others. The application will be scored on the following Ranking Criteria:

| Criteria | Maximum Points per Criterion |
|---|------------------------------|
| <p><u>Environmental, Public Health and Climate Change (if applicable) Information.</u> You will be evaluated on your ability to clearly describe the local issue(s) you propose to address and the community that is impacted. This will be determined through your description of the following areas (Maximum of 3 points each):</p> <p>(1) the local environmental, public health and climate change (if applicable) issue that you seek to address;</p> <p>(2) the local environmental and public health and climate change (if applicable) results your project seeks to achieve;</p> <p>(3) the affected community that you work directly with, or provide services to;</p> <p>(4) how the affected community is disproportionately impacted by environmental harms and risks/climate change;and</p> <p>(5) how the affected community will benefit from your project’s intended local environmental, public health and climate change (if applicable) results.</p> <p>You must include relevant information such as demographics, geographic location, and community history.</p> | 15 points total |
| <p><u>Organization’s Historical Connection to Affected Community.</u> You will be evaluated on your ability to demonstrate a strong connection between your organization and the affected community. In scoring this section, reviewers will consider the strength of the organization’s historical involvement with the affected community and the on-going communications between you and the affected community residents and/or organizations. In addition, reviewers will consider the extent of the affected community’s active participation in the project and in the decision-making process. This will be determined through your description of the following areas (Maximum of 3 points each):</p> <p>(1) history of your organization’s involvement with the affected community, including duration of involvement and circumstances that led to your involvement;</p> <p>(2) how you have worked with the affected community’s residents and/or organizations to address local environmental and public health issues;</p> <p>(3) how the affected community’s residents and/or organizations are part of the decision-making process;</p> <p>(4) how your efforts have led to increased capacity on the part of local community-based organizations to address local environmental and public health issues; and</p> <p>(5) how you maintain and sustain an ongoing relationship with the affected community’s residents and/or organizations.</p> | 15 points total |
| <p><u>Project Description.</u> You will be evaluated on your ability to describe the activities the project will undertake to examine and address the environmental and public health issue(s) and how you and your partner(s) will work together to address the local environmental and public health issue(s). The reviewers will give more consideration</p> | 25 points |

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| <p>to a description that is focused, succinct rather than one that tries to address too many issues. You will be evaluated on the clarity of your write-up in addressing the following areas (Maximum of 5 points each):</p> <p>(1) the local environmental and public health (including climate change if applicable) results your projects seeks to achieve;</p> <p>(2) how your project will achieve these results;</p> <p>(3) The role of your partner(s) in addressing the local issue(s) and how you plan to maintain and sustain the partnerships;</p> <p>(4) The nature of the organization, what resources it brings to the partnership, how it has a vested interest in working with this partnership [other than just getting income], commitments made, and specific activities it will be responsible for; and</p> <p>(5). How your project is related to the environmental statutes you identified in your Threshold Eligibility Form.</p> | |
| <p><u>Organizational Capacity and Programmatic Capability.</u> You will be evaluated on your ability to demonstrate how your organization has the organizational capacity and programmatic capability to undertake this project. This will be determined through your description of your past performance in successfully completing and managing assistance agreements similar in size, scope, and relevance to the proposed project performed within the last 5 years, your history of meeting reporting requirements under these agreements, and how you plan to effectively manage and successfully complete this proposed project ,organizational experience and plan for timely and successfully achieving the objectives of the proposed project, and (iv) staff expertise/qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project.. In evaluating your organization for programmatic capability purposes under this criterion, OEJ will consider information provided by you and may consider information from other sources, including Agency files. Applicants who have no relevant or available past performance reporting must indicate this in the narrative and will receive a neutral score for this factor. A neutral score in this case is 5 points. Failure to do so will result in receiving a score of 0 for this element.</p> | 10 points total |
| <p><u>Qualifications of Principal Investigator/Project Manager.</u> You will be evaluated on your ability to clearly demonstrate that the PI/PM selected to lead this project is the most qualified. This will be determined through your description of the following:</p> <p>(1) why the PI/PM is qualified to undertake the project; (2 points)</p> <p>(2) how the PI/PM has ties to the community and/or organization; and (6 points)</p> <p>(3) past activities that the PI/PM has worked on with the community. (2 points)</p> | 10 points total |
| <p><u>Past Performance in Reporting on Outputs and Outcomes.</u> Under this criterion, applicants will be evaluated on how well you have demonstrated prior experience in reporting outputs and outcomes. This will be determined through your description of how you have documented and/or reported on progress towards achieving the expected outputs and outcomes under prior and/or current assistance agreements, and if you did not make such progress towards achieving the expected outputs and outcomes, whether the documentation and/or reports satisfactorily explain why not.</p> <p>Note: In evaluating applicants under this criterion, the Agency will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the proposal and you will receive a neutral score for these subfactors (a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of 0 for these factors.</p> | 5 points total |
| <p><u>Detailed Budget.</u> You will be evaluated on the reasonableness of the costs and how the budget relates to the activities under the project. You will receive a score of “zero” for this criterion if you include costs that are restricted under Section IV. B “Workplan</p> | 5 points total |

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| (Detailed Budget).” | |
| Project Performance Measures/Milestones. You will be evaluated on your ability to formulate concrete, well-developed performance measures on a semi-annual and annual basis. Performance measures are ways to determine whether or not your project meets your target. Measures should relate directly to the local climate change (if applicable) and environmental and public health results your projects seeks to achieve. You will be evaluated on your approach for determining how and whether progress is made in achieving the expected project outcomes and outputs. | 15 points total |
| Total Maximum Points Available | 100 |

B. REVIEW AND SELECTION PROCESS

All applications will be reviewed and scored under a two-step process.

- 1) **Threshold Screening Process** - All applications will be screened for Threshold Eligibility (see Section III. B) at EPA headquarters. EPA staff will screen all applications using the One-Page Threshold Eligibility Form found in Appendix A.
- 2) **Panel Review Process** - All applications believed to successfully meet the Threshold Eligibility after the initial review will be forwarded to the appropriate EPA Region. For a listing of EPA’s ten regions and the states that they serve, go to Section VII. Each Region will convene a panel to review, score and rank the applications based on the Ranking Criteria (see Section V. A. 2) published in this RFA. Applications will not be scored against any unpublished criteria. After the individual projects are reviewed, scored and ranked, the **regional official** will make the final selection. EPA reserves the right to reject all applications and make no awards under this announcement.

C. ANTICIPATED ANNOUNCEMENTS AND AWARD DATES

Below is a tentative schedule of important dates for the EJSG Program. Please note dates are subject to change.

| Date | Activity |
|--------------------------------------|---|
| October 28, 2009 | EJSG Program is announced and published. |
| October 28 –January 08, 2010 | Eligible applicants develop, complete and submit their applications. |
| January 08, 2010 | Applications must be date-stamped by courier service, postmarked by U.S. Postal Service, or submitted through Grants.gov by 11:59pm, Dec. 15, 2008. Applications received after this date will not be reviewed. |
| January 08 -12, 2010 | Staff at EPA headquarters will review applications for Threshold Eligibility Criteria. |
| January 13 – 15, 2010 | Letters to ineligible applicants will be sent. Letters will provide brief explanations on the reason(s) for ineligibility. |
| January 15 - 30, 200 | Ineligible applicants must request a debriefing by midnight, January 30, 2010 |
| January 15, 2010 – February 28, 2010 | EPA Review Panel reviews, scores, and ranks all eligible applications. |
| March 15, 2010 | The EPA Regional Selection Official will make the final selections for awards. All applicants will be notified of the selection results by mail. |
| May 2010 | The Director of EPA’s Office of Environmental Justice will release a national announcement of the recipients. |

SECTION VI – AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES

After all applications are received, acknowledgments will be mailed to applicants. Once applications have been recommended for funding, EPA will notify the finalists and request any additional information necessary to complete the award process. The finalists will be required to complete additional government application forms prior to receiving a grant, such as the EPA Form SF-424B (Assurances - Non-Construction Programs). The Federal government requires all grantees to certify and assure that they will comply with all applicable Federal laws, regulations, and requirements.

B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS

A listing and description of general EPA regulations applicable to the award of assistance agreements may be viewed at: <http://www.epa.gov/ogd/grants/regulations.htm>.

C. REPORTING

- 1) Progress Report** - The Project Manager/Principal Investigator will be required to submit at least a semi-annual progress report to update the EPA Regional Project Officer on the project's progress.
- 2) Final Report Requirement** – The Project Manager/Principal Investigator must submit a Final Technical Report for EPA approval within ninety (90) days after the end of the project period. A draft of this report should be submitted within sixty (60) days after the end of the project period. A Financial Status Report is also required and will be described in the award agreement. The EPA may collect, review, and disseminate those final reports that can serve as models for future projects.

D. DISPUTE RESOLUTION

Any applicant deemed ineligible because its application did not meet the conditions required under the Threshold Eligibility Criteria (see Section III. B and Section V. A. 1.) will be notified of the reason for ineligibility no later than 15 calendar days from the date of completion of the Threshold Eligibility Review. The applicant will have **15 calendar** days from the date of the notification to request a debriefing on the decision. Responses can be sent via e-mail, postal, or courier but must be dated within the **15 calendar** day period for dispute resolution.

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found at <http://www.epa.gov/ogd/competition/resolution.htm>. Copies of these procedures may also be requested by contacting Sheila Lewis at (202) 564-0152 or lewis.sheila@epa.gov.

E. DATA ACCESS AND INFORMATION RELEASE

The Office of Management and Budget (OMB) Circular A-110 has been revised to provide public

access to research data through the Freedom of Information Act (FOIA) under some circumstances. Data that are (1) first produced in a project that is supported in whole or in part with Federal funds and (2) cited publicly and officially by a Federal agency in support of an action that has the force and effect of law (i.e., a regulation) may be accessed through FOIA. If such data is requested by the public, the EPA must ask for it, and the grantee must submit it, in accordance with A-110 and EPA regulations at 40 C.F.R. 30.36.

F. NON-PROFIT AWARD ADMINISTRATIVE CAPABILITY

Non-profit applicants that are recommended for funding under this announcement are subject to pre-award administrative capability reviews consistent with Section 8b, 8c and 9d of EPA Order 5700.8 - Policy on Assessing Capabilities of Non-Profit Applicants for Managing Assistance Awards (http://www.epa.gov/ogd/grants/award/5700_8.pdf). In addition, non-profit applicants that qualify for funding, depending on the size of the award, may be required to fill out and submit to the Grants Management Office the Administrative Capabilities Form with supporting documents contained in Appendix A of EPA Order 5700.8.

SECTION VII – AGENCY CONTACTS

For further information about this grant program, please contact the following:

| Courier/Delivered Address | U.S. Postal Service Address |
|--|--|
| Sheila Lewis U.S. EPA/Office of Environmental Justice 1200 Pennsylvania Avenue NW, Room 2232E Washington, DC 20004 Phone: 202-564-0152 E-Mail: lewis.sheila@epa.gov | Sheila Lewis U.S. EPA/Office of Environmental Justice Mail Code 2201A Washington, DC 20460 Phone: 202-564-0152 E-Mail: lewis.sheila@epa.gov |

SEND YOUR APPLICATION TO MS. LEWIS AT THE ABOVE ADDRESS.

The names below reflect the contact person in each region and the states for which the region serves.

| Region | Contact Information |
|--|--|
| <p>Region I:</p> <p>Connecticut Maine Massachusetts New Hampshire Rhode Island Vermont</p> | <p>USEPA Region 1 (RAA) One Congress Street - 11th Floor Boston, MA 02203-0001</p> <p>Primary Contact: Amy Braz (617) 918-1346 Braz.amy@epa.gov</p> |

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| <p>Region 2:</p> <p>New Jersey New York Puerto Rico U.S. Virgin Islands</p> | <p>USEPA Region 2 290 Broadway, 26th Floor New York, NY 1007</p> <p>Primary Contact: Terry Wesley (212) 637-5027 Wesley.terry@epa.gov</p> <p>Secondary Contact: Tasha Frazier (212) 637-3861 Frazier.tasha@epa.gov</p> |
| <p>Region 3:</p> <p>Delaware District of Columbia Maryland Pennsylvania Virginia West Virginia</p> | <p>USEPA Region 3 (3DA00) 1650 Arch Street Philadelphia, PA 19103-2029</p> <p>Primary Contact: Reginald Harris (215) 814-2988 Harris.reggie@epa.gov</p> |
| <p>Region 4:</p> <p>Alabama Florida Georgia Kentucky Mississippi North Carolina South Carolina Tennessee</p> | <p>USEPA Region 4 61 Forsyth Street Atlanta, GA 30303-8960</p> <p>Primary Contact: Elvie Barlow (404) 562-9650 Barlow.elvie@epa.gov</p> <p>Secondary Contact: Ella Mclendon (404) 562-8316 Mclendon.ella@epa.gov</p> |
| <p>Region 5:</p> <p>Illinois Indiana Michigan Minnesota Ohio Wisconsin</p> | <p>USEPA Region 5 (DM7J) 77 West Jackson Boulevard Chicago, IL 60604-3507</p> <p>Primary Contact: Margaret Millard (312) 353-1440 Millard.margaret@epa.gov</p> |
| <p>Region 6:</p> <p>Arkansas Louisiana New Mexico Oklahoma Texas</p> | <p>USEPA Region 6 Fountain Place, 13th Floor 1445 Ross Avenue (RA-D)</p> <p>Primary Contact: Shirley Quinones (214) 665-2713 Quinones.shirley@epa.gov</p> |

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| <p>Region 7:</p> <p>Iowa Kansas Missouri Nebraska</p> | <p>USEPA Region 7 901 North 5th Street (RGAD/ECO) Kansas City, KS 66101</p> <p>Primary Contact: Althea Moses (913) 551-7649 Moses.althea@epa.gov</p> <p>Secondary Contact: Kimberly Jones (913) 551-7280 jones.kimberly@epa.gov</p> |
| <p>Region 8:</p> <p>Colorado Montana North Dakota South Dakota Utah Wyoming</p> | <p>USEPA Region 8 (8ENF-EJ) 1595 Wynkoop Denver, CO 80202-2466</p> <p>Primary Contact: Nancy Reish (303) 312-6040 Reish.nancy@epa.gov</p> <p>Secondary Contact: Jean Belille (303) 312-6556 Belille.jean@epa.gov</p> |
| <p>Region 9:</p> <p>Arizona California Hawaii Nevada American Samoa Guam</p> | <p>USEPA Region 9 CED-1 75 Hawthorne Street San Francisco, CA 94105</p> <p>Primary Contact: Karen Henry (415) 972-3844 Henry.karen@epa.gov</p> |
| <p>Region 10:</p> <p>Alaska Idaho Oregon Washington</p> | <p>USEPA Region 10 (CRE-164) 1200 Sixth Avenue Seattle, WA 98101</p> <p>Primary Contact: Running Grass (206)553-2899 Grass.running@epa.gov</p> |

SECTION VIII – OTHER INFORMATION

See Appendices

APPENDIX A
EJSG One-page Threshold Eligibility Form

This form will be used to determine if you meet all the eligibility requirements. You must address the following areas:

1) Applicant Eligibility.

501(c)(3) non-profit organization as designated by the Internal Revenue Service **OR** a non-profit organization, recognized by the state, territory, commonwealth, or tribe in which we are located .

City, township, county government and their entities

Native American tribal government (Federally recognized).....

My organization is located within the same state, territory, commonwealth, or tribe that the proposed project will be located.....

Please specify where the project is located:

State _____ Territory _____ Commonwealth _____ Tribe _____

My organization is not an ineligible entity (See Section III.A)

My organization has worked directly with, or provided services to, the affected community as demonstrated in the work plan.....

2) Qualified Environmental Statutes. Below is a list of qualified environmental statutes. You **must have two or more** qualified environmental statutes associated with your project. Please check the applicable boxes.

- Clean Water Act, Section 104(b) (3)
- Safe Drinking Water Act, Section 1442(c)(3)(C)
- Solid Waste Disposal Act, Section 8001(a).....
- Clean Air Act, Section 103(b) (3)
- Toxic Substances Control Act, Section 10(a).....
- Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a).....
- Marine Protection, Research, and Sanctuaries Act, Section 203

3) Required Documents. Use this checklist to ensure that you have submitted all required documents. You **must** submit all the items below. Please check each box upon completing each form.

- One-Page Threshold Eligibility Form
- SF-424, Application for Federal Assistance (Form in Appendix B)
- Key Contacts Form (Form in Appendix C)
- Workplan (Optional template in Appendix D).....
- Detailed Budget (Optional template and example in Appendices E and F)
- Performance Measures (Optional template and example in Appendices G and H).....
- Resumes of the PI/PM and Other Key Personnel.....
- Quality Assurance Project Plan Questionnaire (Appendix I).....
- Proof of Nonprofit Status.....

| | | |
|--|---|--|
| Application for Federal Assistance SF-424 | | Version 02 |
| *1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | *2. Type of Application <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | * If Revision, select appropriate letter(s) *Other (Specify) _____ |
| 3. Date Received: 4. Applicant Identifier: | | |
| 5a. Federal Entity Identifier: | | *5b. Federal Award Identifier: |
| State Use Only: | | |
| 6. Date Received by State: | | 7. State Application Identifier: |
| 8. APPLICANT INFORMATION: | | |
| *a. Legal Name: | | |
| *b. Employer/Taxpayer Identification Number (EIN/TIN): | | *c. Organizational DUNS: |
| d. Address: | | |
| *Street 1: _____ Street 2: _____ *City: _____ County: _____ *State: _____ Province: _____ *Country: _____ *Zip / Postal Code _____ | | |
| e. Organizational Unit: | | |
| Department Name: | | Division Name: |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: _____ *First Name: _____ Middle Name: _____ *Last Name: _____ Suffix: _____ | | |
| Title: | | |
| Organizational Affiliation: | | |
| *Telephone Number: | | Fax Number: |
| *Email: | | |

Application for Federal Assistance SF-424

Version 02

***9. Type of Applicant 1: Select Applicant Type:**

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

11. Catalog of Federal Domestic Assistance Number:

CFDA Title:

***12 Funding Opportunity Number:**

*Title:

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

***15. Descriptive Title of Applicant's Project:**

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

*a. Applicant: _____

*b. Program/Project: _____

17. Proposed Project:

*a. Start Date: _____

*b. End Date: _____

18. Estimated Funding (\$):

*a. Federal _____

*b. Applicant _____

*c. State _____

*d. Local _____

*e. Other _____

*f. Program Income _____

*g. TOTAL _____

19. Is Application Subject to Review By State Under Executive Order 12372 Process?** a. This application was made available to the State under the Executive Order 12372 Process for review on _____ b. Program is subject to E.O. 12372 but has not been selected by the State for review. c. Program is not covered by E. O. 1237220. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)** Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

 ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: _____ *First Name: _____

Middle Name: _____

*Last Name: _____

Suffix: _____

*Title: _____

*Telephone Number: _____

Fax Number: _____

* Email: _____

*Signature of Authorized Representative: _____

*Date Signed: _____

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 80 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplications and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

| Item | Entry: | Item | Entry: |
|------|--|------|--|
| 1. | Type of Submission: (Required): Select one type of submission in accordance with agency instructions. <ul style="list-style-type: none"> • Preapplication • Application • Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date. | 10. | Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application. |
| | | 11. | Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement, if applicable. |
| 2. | Type of Application: (Required) Select one type of application in accordance with agency instructions. <ul style="list-style-type: none"> • New – An application that is being submitted to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify) | 12. | Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement. |
| | | 13. | Competition Identification Number/Title: Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable. |
| | | 14. | Areas Affected By Project: List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed. |
| 3. | Date Received: Leave this field blank. This date will be assigned by the Federal agency. | 15. | Descriptive Title of Applicant's Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project. |
| 4. | Applicant Identifier: Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable. | | |
| 5a. | Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any. | 16. | Congressional Districts Of: (Required) 16a. Enter the applicant's Congressional District, and 16b. Enter all District(s) affected by the program or project. Enter in the format: 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5 th district, CA-012 for California 12 th district, NC-103 for North Carolina's 103 rd district. <ul style="list-style-type: none"> • If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. • If nationwide, i.e. all districts within all states are affected, enter US-all. • If the program/project is outside the US, enter 00-000. |
| 5b. | Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions. | | |
| 6. | Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable. | | |
| 7. | State Application Identifier: Leave this field blank. This identifier will be assigned by the State, if applicable. | | |
| 8. | Applicant Information: Enter the following in accordance with agency instructions: | | |
| | a. Legal Name: (Required): Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the Central Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website. | 17. | Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project. |
| | b. Employer/Taxpayer Number (EIN/TIN): (Required): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. | 18. | Estimated Funding: (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. |
| | c. Organizational DUNS: (Required) Enter the organization's DUNS or DUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website. | | |
| | d. Address: Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US). | 19. | Is Application Subject to Review by State Under Executive Order 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the |
| | e. Organizational Unit: Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the | | |

| | | | | | |
|--|---|--|--|-----|---|
| | <p>assistance activity, if applicable.</p> <p>f. Name and contact information of person to be contacted on matters involving this application: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.</p> | | <p>State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State</p> | | |
| | | 20. | <p>Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.</p> <p>If yes, include an explanation on the continuation sheet.</p> | | |
| 8. | <p>Type of Applicant: (Required) Select up to three applicant type(s) in accordance with agency instructions.</p> <table border="0" data-bbox="198 625 867 966"> <tr> <td data-bbox="198 625 532 966"> <p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p> </td> <td data-bbox="532 625 867 966"> <p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p> </td> </tr> </table> | <p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p> | <p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p> | 21. | <p>Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant.</p> <p>A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)</p> |
| <p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p> | <p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p> | | | | |

APPENDIX C

Form Approved OMB No:2030-0020 Approval Expires 12/3/02



KEY CONTACTS FORM

Authorized Representative: *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Name: _____

Title: _____

Complete Address: _____

Phone Number: _____

Payee: *Individual authorized to accept payments.*

Name: _____

Title: _____

Mail Address: _____

Phone Number: _____

Administrative Contact: *Individual from Sponsored Program Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc.)*

Name: _____

Title: _____

Mailing Address: _____

Phone Number: _____

FAX Number: _____

E-Mail Address: _____

Principal Investigator: *Individual responsible for the technical completion of the proposed work.*

Name: _____

Title: _____

Mailing Address: _____

Phone Number: _____

FAX Number: _____

E-Mail Address: _____

Web URL: _____

APPENDIX D

EJSG Workplan Template (Optional)

- A. **Project Title and Project Purpose Statement (approximately 1/2 page)**
[\[ADD NARRATIVE HERE\]](#)
- B. **Past Performance in Reporting on Outputs and Outcomes (approximately 1/2 page)**
[\[ADD NARRATIVE HERE\]](#)
- C. **Affected Community and Environmental and Public Health Information (approximately 2 pages)**
[\[ADD NARRATIVE HERE\]](#)
- D. **Organization's Historical Connection to Affected Community (approximately 1 page)**
[\[ADD NARRATIVE HERE\]](#)
- E. **Organizational Capacity and Programmatic Capability (approximately 1/2 page)**
[\[ADD NARRATIVE HERE\]](#)
- F. **Project Description (approximately 4 to 5 pages)**
[\[ADD NARRATIVE HERE\]](#)
- G. **Qualifications of the Principal Investigator or Project Manager (approximately 1 page)**
[\[ADD NARRATIVE HERE\]](#)
- H. **Quality Assurance Project Plan (QAPP) Information (approximately 1-2 sentences)**
[\[ADD NARRATIVE HERE\]](#)

APPENDIX E

EJSG Budget Detail Template (Optional)

| | Description | Total |
|-----------------|-------------|----------|
| Personnel | | |
| Fringe Benefits | | |
| Travel | | |
| Equipment | | |
| Supplies | | |
| Contractual | | |
| Construction | | |
| Other | | |
| | | \$25,000 |

APPENDIX F

EJSG Detailed Budget Example

| | Description | Total |
|---|---|------------|
| Personnel | <ul style="list-style-type: none"> ▪ Project Manager @ \$30,000 annual salary X 10% of time on project = \$3,000 per year ▪ Outreach worker @ \$25,000 annual salary X 20% of time on project = \$5,000 ▪ Community support leader @ \$20,000 annual salary X 15% = \$3,000 | \$11,000 |
| Fringe Benefits | <ul style="list-style-type: none"> ▪ Project Manager 15% of salary (includes medical, dental, life insurance) = \$450 per year ▪ Outreach worker 10% of salary (includes medical, dental, life insurance) = \$500 ▪ Community support leader 10% of salary (includes medical, dental, life insurance) = \$500 | \$1,250 |
| Travel | <ul style="list-style-type: none"> ▪ Local mileage for Project Manager for partner meetings: 25 miles @ \$0.30/mi. X 16 meetings = \$120.00 ▪ Local mileage for Outreach worker for community outreach efforts: 10 miles @ \$0.30/mi. X 30 times X 12 months = \$1,080 ▪ Travel to 2 regional seminars: Per diem - \$30 per day X 2 days = \$60; Airfare = \$1,360 | \$2,560.00 |
| Equipment (purchase greater than \$5,000) | <ul style="list-style-type: none"> ▪ None | \$0 |
| Supplies | <ul style="list-style-type: none"> ▪ 20 reams of copy paper @ \$4.00 for outreach materials = \$80 ▪ Laptop computer for Outreach worker to work off-site on community \$1,500 ▪ Miscellaneous supplies for staff on this project = \$1,500 | \$3,080 |
| Contractual | <ul style="list-style-type: none"> ▪ Bookkeeper/Accountant \$10/hr @ 3 hrs/week @ 52 weeks = \$1,560 | \$1,560 |
| Construction | <ul style="list-style-type: none"> ▪ None | 0 |
| Other | <ul style="list-style-type: none"> ▪ Sub-grant = \$4,050 ▪ Outreach materials/Postage = \$1,500 | \$5,550 |
| | | \$25,000 |

Appendix G

EJSG Logic Model Template for Performance Measures/Milestones

PROJECT TITLE: _____

Semi-Annual
(six months after
beginning of
project)

| Resources/Inputs | Activities | Outputs | Outcomes |
|-------------------------|-------------------|----------------|-----------------|
| | | | |
| | | | |

Annual
(at the end of

Appendix H

Sample of Performance Measures/Milestones

Creating Partnerships to Develop Inter-agency Air Quality Guidance

| | Resources/Inputs | Activities | Outputs | Outcomes |
|---|--|---|--|---|
| Semi-Annual (six months after beginning of project) | <ul style="list-style-type: none"> ▪ Staff time ▪ In-kind contributions ▪ Additional grants ▪ Volunteers ▪ Partnering Organizations | <ul style="list-style-type: none"> ▪ Identify potential partners in city, county, state government, public health office, universities to draft and implement air quality guidance ▪ Partner meetings to discuss how to formulate an interagency air quality guidance | <p><u>Developing a comprehensive understanding</u></p> <p>8 meetings on quarterly basis to formulate air quality guidance</p> <p>Draft air quality guidance</p> | <p><u>Creating Partnerships</u></p> <p>Increase # of various stakeholders participating to address issues concerning air quality</p> <p><u>Educating the Community</u></p> <p>Increase in # of stakeholders who are informed of the immediate impacts of poor air quality in target community</p> <p>Increase in # of residents who participate in the partner meetings</p> |
| Annual (at the end of the project) | <ul style="list-style-type: none"> ▪ Staff time ▪ In-kind contributions ▪ Additional grants ▪ Volunteers ▪ Partnering Organizations | <ul style="list-style-type: none"> ▪ Conduct door knocking to solicit resident support and input ▪ Generate report and brochures on air quality data | <p><u>Educating the Community</u></p> <p>Create one report on the status of air quality, 4 brochures on specific neighborhood data</p> <p>Reach 250 residents in community through door knocking</p> <p>Disseminate report and brochures to 250 residents</p> | <p><u>Educating the Community</u></p> <p>Increase in # of residents aware of air quality issues in community</p> <p>Increase in # of residents actively participating in the project</p> <p>Improved air quality</p> |

Sample of Performance Measures/Milestones

Diesel Best Practices Manual

| | Resources/Inputs | Activities | Outputs | Outcomes |
|---|--|---|--|--|
| Semi-Annual (six months after beginning of project) | <ul style="list-style-type: none"> ▪ Staff time ▪ In-kind contributions ▪ Additional grants ▪ Volunteers ▪ Partnering Organizations | <ul style="list-style-type: none"> ▪ Identify potential partners in city, county, state government, public health office, universities to draft and implement air quality guidance ▪ Partner meetings to discuss how to formulate an interagency air quality guidance | <p><u>Developing a comprehensive understanding</u></p> <p>8 meetings on quarterly basis to formulate guidance</p> <p>Draft diesel best practices guidance</p> | <p><u>Creating Partnerships</u></p> <p>Increase # of operators participating to address issues concerning diesel best practices and air quality</p> <p><u>Educating the Community</u></p> <p>Increase in # of stakeholders who are informed of the immediate impacts of diesel reduction and poor air quality in target community</p> <p>Increase in # of operators and stakeholders who participate in the partner meetings</p> |
| | <ul style="list-style-type: none"> ▪ Staff time ▪ In-kind contributions ▪ Additional grants ▪ Volunteers ▪ Partnering Organizations | <ul style="list-style-type: none"> ▪ Conduct door knocking to solicit resident support and input ▪ Generate report and brochures on air quality data | <p><u>Educating the Community</u></p> <p>Create manual and 4 brochures on specific neighborhood data</p> <p>Reach xx of stakeholder in community through ...</p> <p>Disseminate manual and brochures to xx operators.</p> | <p><u>Educating the Community</u></p> <p>Increase in # of operators aware of air quality issues in community</p> <p>Increase in # of operators actively participating in the project</p> <p>Improved air quality and reduction of greenhouse gases</p> |
| Annual (at the end of the project) | | | | |

Climate Change co-benefit: reduction of co-pollutants produced concurrent with greenhouse gases

APPENDIX I

Office of Environmental Justice Quality Assurance Project Plan Requirement (QAPP)

Check Yes or No for each of the items provided below as it applies to your specific project.

1. Your project will involve the collection of groundwater, soil, sediment, surface water, air, biota or fauna samples for chemical or biological analysis.

Yes No

2. Your project will use existing computer databases containing analytical data or personal information previously collected.

Yes No

3. Your project will use existing historical research pertaining to this project or proposal.

Yes No

4. Your project will implement deed searches for current property or site.

Yes No

5. Your project will conduct medical records search for the population covered in the grant.

Yes No

6. Your project will compile meteorological data to determine weather trends or air mixing trends.

Yes No

7. Your project will use existing statistical studies or will conduct these studies as part of the project.

Yes No

8. Your project will create a new database based on the information gathered.

Yes No

9. Your project will use this information for litigation purposes.

Yes No

10. Your project will use this information to make recommendations on environmental decisions.

Yes No

If you answered **Yes** to any of the items listed above, you **ARE REQUIRED TO SUBMIT** a Quality Assurance Project Plan in accordance with EPA Requirements and an approved QAPP must be in place *prior* to the initiation of activities. You will be contacted with information on how to prepare your QAPP. In the meantime you can visit the website <http://www.epa.gov/ogd/grants/assurance.htm> which provides guidance on what must be submitted for grants/cooperative agreements.