

**Drinking Water SRF Program Information
for the State of Alabama**

| Federal and State Investment | For Federal Fiscal Year Ending September 30 of: | | | | | | | | |
|--|---|------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Data Entered by EPA | | | | | | | | | |
| Federal Grants (Dollars) | | | | | | | | | |
| 1 | Date of Last Award in the Year | - | 8/13/1998 | 9/23/1999 | 9/28/2000 | 6/6/2001 | 7/23/2002 | 6/2/2003 | 5/6/2004 |
| 2 | Total Annual Federal Grants | \$0 | \$21,024,400 | \$8,872,800 | \$9,221,400 | \$9,259,500 | \$8,052,500 | \$8,004,100 | \$8,303,100 |
| 3 | *Cumulative Federal Grants | \$0 | \$21,024,400 | \$29,897,200 | \$39,118,600 | \$48,378,100 | \$56,430,600 | \$64,434,700 | \$72,737,800 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | | | | |
| 4 | Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 | Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 | *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 | *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | | | | | |
| 8 | First Quarter Outlays | \$0 | \$0 | \$229,029 | \$1,814,553 | \$3,601,319 | \$1,174,282 | \$2,618,907 | \$2,415,232 |
| 9 | Second Quarter Outlays | \$0 | \$0 | \$1,177,359 | \$1,196,296 | \$4,883,816 | \$1,368,366 | \$1,239,231 | \$2,275,200 |
| 10 | Third Quarter Outlays | \$0 | \$0 | \$554,868 | \$3,458,658 | \$3,338,171 | \$3,061,508 | \$1,395,913 | \$640,049 |
| 11 | Fourth Quarter Outlays | \$0 | \$0 | \$762,348 | \$3,652,155 | \$2,406,145 | \$3,997,455 | \$1,295,163 | \$2,065,265 |
| 12 | *Total Annual Outlays | \$0 | \$0 | \$2,723,604 | \$10,121,662 | \$14,229,451 | \$9,601,611 | \$6,549,214 | \$7,395,746 |
| 13 | *Cumulative Outlays | \$0 | \$0 | \$2,723,604 | \$12,845,266 | \$27,074,717 | \$36,676,328 | \$43,225,542 | \$50,621,288 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Federal and State Investment | For Federal Fiscal Year Ending September 30 of: | | | | | | | |
|--|---|--------------|--------------|---------------|---------------|--------------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Data Entered by EPA | | | | | | | | |
| Federal Grants (Dollars) | | | | | | | | |
| 1 Date of Last Award in the Year | 7/1/2005 | 8/28/2006 | 7/12/2007 | 8/8/2008 | 8/24/2009 | | 9/22/2010 | |
| 2 Total Annual Federal Grants | \$8,997,600 | \$9,790,700 | \$8,229,000 | \$8,146,000 | \$27,646,000 | \$19,500,000 | \$16,823,000 | \$0 |
| 3 *Cumulative Federal Grants | \$81,735,400 | \$91,526,100 | \$99,755,100 | \$107,901,100 | \$135,547,100 | | \$152,370,100 | \$19,500,000 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | | | |
| 4 Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | | | | |
| 8 First Quarter Outlays | \$1,038,537 | \$1,105,935 | \$1,546,869 | \$3,108,139 | \$2,594,500 | \$0 | \$3,314,054 | \$373,511 |
| 9 Second Quarter Outlays | \$615,688 | \$1,123,898 | \$1,473,025 | \$13,096,134 | \$1,754,891 | \$0 | \$1,505,555 | \$0 |
| 10 Third Quarter Outlays | \$1,207,665 | \$2,891,675 | \$2,241,781 | \$6,805,256 | \$1,435,646 | \$0 | \$4,863,852 | \$3,721,482 |
| 11 Fourth Quarter Outlays | \$675,519 | \$2,449,913 | \$1,892,973 | \$4,536,952 | \$2,029,888 | \$0 | \$5,531,162 | \$4,526,863 |
| 12 *Total Annual Outlays | \$3,537,409 | \$7,571,421 | \$7,154,648 | \$27,546,481 | \$7,814,925 | \$0 | \$15,214,624 | \$8,621,856 |
| 13 *Cumulative Outlays | \$54,158,697 | \$61,730,118 | \$68,884,766 | \$96,431,247 | \$104,246,172 | | \$119,460,796 | \$8,621,856 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|---------------|---------------|---------------|--------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | | | |
| 14 *Annual Capitalization Grants | \$0 | \$0 | \$21,024,400 | \$8,872,800 | \$18,480,900 | \$0 | \$16,056,600 | \$8,303,100 |
| 15 *Cumulative Capitalization Grants | \$0 | \$0 | \$21,024,400 | \$29,897,200 | \$48,378,100 | \$48,378,100 | \$64,434,700 | \$72,737,800 |
| Adjustments to the DWSRF Fund | | | | | | | | |
| 16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$12,909,138 | \$0 | \$5,712,960 | \$0 |
| 17 *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | \$0 | (\$3,681,758) | (\$1,062,350) | (\$2,724,109) | \$0 | (\$1,846,822) | (\$332,124) |
| 18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$777,940 | \$0 | \$0 | \$0 |
| 19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$0 | \$17,342,642 | \$7,810,450 | \$29,443,869 | \$0 | \$19,922,738 | \$7,970,976 |
| 20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$0 | \$17,342,642 | \$25,153,092 | \$54,596,961 | \$54,596,961 | \$74,519,699 | \$82,490,675 |
| 21 *Annual State Contributions | \$0 | \$0 | \$4,890,000 | \$2,085,000 | \$2,125,000 | \$4,100,000 | \$0 | \$14,530,000 |
| 22 *Cumulative State Contributions | \$0 | \$0 | \$4,890,000 | \$6,975,000 | \$9,100,000 | \$13,200,000 | \$13,200,000 | \$27,730,000 |
| 23 *Annual Net Investments for the DWSRF Fund | \$0 | \$0 | \$22,232,642 | \$9,895,450 | \$31,568,869 | \$4,100,000 | \$19,922,738 | \$22,500,976 |
| 24 *Cumulative Net Investments for the DWSRF Fund | \$0 | \$0 | \$22,232,642 | \$32,128,092 | \$63,696,961 | \$67,796,961 | \$87,719,699 | \$110,220,675 |
| 25 *State Contributions as a % of Grants - Annual | - | - | 23% | 23% | 11% | - | 0% | 175% |
| 26 *State Contributions as a % of Grants - Cumulative | - | - | 23% | 23% | 19% | 27% | 20% | 38% |
| Outlays (Dollars) | | | | | | | | |
| 27 *Annual | \$0 | \$0 | \$1,961,256 | \$7,231,855 | \$15,475,461 | \$8,010,301 | \$9,251,506 | \$6,625,644 |
| 28 *Cumulative | \$0 | \$0 | \$1,961,256 | \$9,193,111 | \$24,668,572 | \$32,678,873 | \$41,930,379 | \$48,556,023 |
| 28a *State Contributions as a % of Outlays - Annual | - | - | 249% | 29% | 14% | 51% | 0% | 219% |
| 28b *State Contributions as a % of Outlays - Cumulative | - | - | 249% | 76% | 37% | 40% | 31% | 57% |
| State Match Contributions Deposited (Dollars) | | | | | | | | |
| 29 Cash or Appropriations | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 30 Bonds Retired Outside the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 31 Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$4,890,000 | \$2,085,000 | \$2,125,000 | \$4,100,000 | \$0 | \$14,530,000 |
| 32 Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 34 *Total Annual Match Contributions | \$0 | \$0 | \$4,890,000 | \$2,085,000 | \$2,125,000 | \$4,100,000 | \$0 | \$14,530,000 |
| 35 *Cumulative Match Contributions | \$0 | \$0 | \$4,890,000 | \$6,975,000 | \$9,100,000 | \$13,200,000 | \$13,200,000 | \$27,730,000 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | | | |
| 36 Credit for 1993 Funding Used | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 37 Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 Contributions as In-Kind Services | \$0 | \$0 | \$8,942 | \$158,703 | \$716,732 | \$729,815 | \$26,454 | \$0 |
| 39 *Total Annual Additional Contribution | \$0 | \$0 | \$8,942 | \$158,703 | \$716,732 | \$729,815 | \$26,454 | \$0 |
| 40 *Cumulative Additional Contribution | \$0 | \$0 | \$8,942 | \$167,645 | \$884,377 | \$1,614,192 | \$1,640,646 | \$1,640,646 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | | | |
| 41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$12,909,138 | \$0 | \$5,712,960 | \$0 |
| 43 *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$12,909,138 | \$0 | \$5,712,960 | \$0 |
| 44 *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$12,909,138 | \$12,909,138 | \$18,622,098 | \$18,622,098 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | | | |
| 14 *Annual Capitalization Grants | \$0 | \$8,997,600 | \$9,790,700 | \$8,229,000 | \$8,146,000 | \$0 | \$27,646,000 | \$19,500,000 |
| 15 *Cumulative Capitalization Grants | \$72,737,800 | \$81,735,400 | \$91,526,100 | \$99,755,100 | \$107,901,100 | | \$135,547,100 | \$19,500,000 |
| Adjustments to the DWSRF Fund | | | | | | | | |
| 16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$5,381,376 | \$2,969,208 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | (\$331,420) | (\$329,156) | (\$329,160) | (\$325,840) | \$0 | (\$325,840) | (\$780,000) |
| 18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$365,057 | \$713,896 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$5,746,433 | \$12,349,284 | \$9,461,544 | \$7,899,840 | \$7,820,160 | \$0 | \$27,320,160 | \$18,720,000 |
| 20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$88,237,108 | \$100,586,392 | \$110,047,936 | \$117,947,776 | \$125,767,936 | | \$153,088,096 | \$18,720,000 |
| 21 *Annual State Contributions | \$4,425,000 | \$7,570,000 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 22 *Cumulative State Contributions | \$32,155,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | | \$39,725,000 | \$0 |
| 23 *Annual Net Investments for the DWSRF Fund | \$10,171,433 | \$19,919,284 | \$9,461,544 | \$7,899,840 | \$7,820,160 | \$0 | \$27,320,160 | \$18,720,000 |
| 24 *Cumulative Net Investments for the DWSRF Fund | \$120,392,108 | \$140,311,392 | \$149,772,936 | \$157,672,776 | \$165,492,936 | | \$192,813,096 | \$18,720,000 |
| 25 *State Contributions as a % of Grants - Annual | - | 84% | 0% | 0% | 0% | | 0% | 0% |
| 26 *State Contributions as a % of Grants - Cumulative | 44% | 49% | 43% | 40% | 37% | | 29% | |
| Outlays (Dollars) | | | | | | | | |
| 27 *Annual | \$4,927,155 | \$5,797,027 | \$7,711,588 | \$24,902,502 | \$10,321,989 | \$0 | \$11,713,350 | \$4,094,993 |
| 28 *Cumulative | \$53,483,178 | \$59,280,205 | \$66,991,793 | \$91,894,295 | \$102,216,284 | | \$113,929,634 | \$4,094,993 |
| 28a *State Contributions as a % of Outlays - Annual | 90% | 131% | 0% | 0% | 0% | | 0% | 0% |
| 28b *State Contributions as a % of Outlays - Cumulative | 60% | 67% | 59% | 43% | 39% | | 35% | |
| State Match Contributions Deposited (Dollars) | | | | | | | | |
| 29 Cash or Appropriations | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 30 Bonds Retired Outside the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 31 Bonds Retired from the DWSRF Fund | \$4,425,000 | \$7,570,000 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 32 Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 33 Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 34 *Total Annual Match Contributions | \$4,425,000 | \$7,570,000 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 35 *Cumulative Match Contributions | \$32,155,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | | \$39,725,000 | \$0 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | | | |
| 36 Credit for 1993 Funding Used | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 37 Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 Contributions as In-Kind Services | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 39 *Total Annual Additional Contribution | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 40 *Cumulative Additional Contribution | \$1,640,646 | \$1,640,646 | \$1,640,646 | \$1,640,646 | \$1,640,646 | | \$1,640,646 | \$0 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | | | |
| 41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$5,381,376 | \$2,969,208 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 *Total Annual Net Amount Transferred | \$5,381,376 | \$2,969,208 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 *Cumulative Total Net Amount Transferred | \$24,003,474 | \$26,972,682 | \$26,972,682 | \$26,972,682 | \$26,972,682 | | \$26,972,682 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|---|------|------|-----------|-------------|-------------|-------------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Administrative Expenses (Dollars) | | | | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$840,976 | \$354,912 | \$739,236 | \$0 | \$642,264 | \$332,124 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | - | - | 4.0% | 4.0% | 4.0% | - | 4.0% | 4.0% |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$840,976 | \$354,912 | \$739,236 | \$0 | \$642,264 | \$332,124 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$840,976 | \$1,195,888 | \$1,935,124 | \$1,935,124 | \$2,577,388 | \$2,909,512 |
| 50 | Annual Expenses - DWSRF Administration | \$0 | \$0 | \$196,732 | \$186,540 | \$221,582 | \$395,814 | \$424,291 | \$527,503 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 | *Total Annual Administrative Expenses | \$0 | \$0 | \$196,732 | \$186,540 | \$221,582 | \$395,814 | \$424,291 | \$527,503 |
| 53 | *Cumulative Administrative Expenses | \$0 | \$0 | \$196,732 | \$383,272 | \$604,854 | \$1,000,668 | \$1,424,959 | \$1,952,462 |
| 54 | *Remaining Awarded Amount | \$0 | \$0 | \$644,244 | \$812,616 | \$1,330,270 | \$934,456 | \$1,152,429 | \$957,050 |
| Small Systems Technical Assistance | | | | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$181,312 | \$132,000 | \$361,310 | \$0 | \$321,132 | \$0 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | - | - | 0.9% | 1.5% | 2.0% | - | 2.0% | 0.0% |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$181,312 | \$132,000 | \$361,310 | \$0 | \$321,132 | \$0 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$181,312 | \$313,312 | \$674,622 | \$674,622 | \$995,754 | \$995,754 |
| 60 | Annual Expenses - Small System Technical Assistance | \$0 | \$0 | \$107,598 | \$123,800 | \$68,619 | \$75,811 | \$150,318 | \$83,237 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$0 | \$0 | \$107,598 | \$231,398 | \$300,017 | \$375,828 | \$526,146 | \$609,383 |
| 62 | *Remaining Awarded Amount | \$0 | \$0 | \$73,714 | \$81,914 | \$374,605 | \$298,794 | \$469,608 | \$386,371 |
| 63 | Annual Number of Systems Receiving Assistance | 0 | 0 | 60 | 35 | 141 | 124 | 67 | 79 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 0 | 0 | 60 | 95 | 236 | 360 | 427 | 506 |
| State Program Management (Dollars) | | | | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$775,650 | \$0 | \$562,649 | \$0 | \$223,950 | \$0 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | - | - | 3.7% | 0.0% | 3.0% | - | 1.4% | 0.0% |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | (\$150,000) | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$775,650 | \$0 | \$412,649 | \$0 | \$223,950 | \$0 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$775,650 | \$775,650 | \$1,188,299 | \$1,188,299 | \$1,412,249 | \$1,412,249 |
| 70 | Annual Expenses - PWSS Administration | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 71 | *Cumulative Expenses - PWSS Administration | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$53,231 | \$505,261 | \$451,835 | \$26,454 | \$19,008 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$53,231 | \$558,492 | \$1,010,327 | \$1,036,781 | \$1,055,789 |
| 74 | Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$50,000 | \$0 | \$0 | \$0 | \$0 |
| 75 | *Cumulative Expenses - Capacity Development | \$0 | \$0 | \$0 | \$50,000 | \$50,000 | \$50,000 | \$50,000 | \$50,000 |
| 76 | Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 78 | *Total Annual State Program Management Expenses | \$0 | \$0 | \$0 | \$103,231 | \$505,261 | \$451,835 | \$26,454 | \$19,008 |
| 79 | *Cumulative State Program Management Expenses | \$0 | \$0 | \$0 | \$103,231 | \$608,492 | \$1,060,327 | \$1,086,781 | \$1,105,789 |
| 80 | *Remaining Awarded Amount | \$0 | \$0 | \$775,650 | \$672,419 | \$579,807 | \$127,972 | \$325,468 | \$306,460 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|---|-------------|-------------|-------------|-------------|-------------|------------|-------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA | |
| Administrative Expenses (Dollars) | | | | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$0 | \$331,420 | \$329,156 | \$329,160 | \$325,840 | \$0 | \$325,840 | \$780,000 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | - | 3.7% | 3.4% | 4.0% | 4.0% | - | 1.2% | 4.0% |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$0 | \$331,420 | \$329,156 | \$329,160 | \$325,840 | \$0 | \$325,840 | \$780,000 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$2,909,512 | \$3,240,932 | \$3,570,088 | \$3,899,248 | \$4,225,088 | | \$4,550,928 | \$780,000 |
| 50 | Annual Expenses - DWSRF Administration | \$427,937 | \$406,855 | \$286,973 | \$345,060 | \$344,644 | \$0 | \$291,848 | \$11,639 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 | *Total Annual Administrative Expenses | \$427,937 | \$406,855 | \$286,973 | \$345,060 | \$344,644 | \$0 | \$291,848 | \$11,639 |
| 53 | *Cumulative Administrative Expenses | \$2,380,399 | \$2,787,254 | \$3,074,227 | \$3,419,287 | \$3,763,931 | | \$4,055,779 | \$11,639 |
| 54 | *Remaining Awarded Amount | \$529,113 | \$453,678 | \$495,861 | \$479,961 | \$461,157 | | \$495,149 | \$768,361 |
| Small Systems Technical Assistance | | | | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 0.0% | 0.0% | 0.0% | - | 0.0% | 0.0% |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | (\$118,019) | (\$745) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 | *Annual Amount Awarded and Transferred | (\$118,019) | (\$745) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$877,735 | \$876,990 | \$876,990 | \$876,990 | \$876,990 | | \$876,990 | \$0 |
| 60 | Annual Expenses - Small System Technical Assistance | \$107,234 | \$132,889 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$716,617 | \$849,506 | \$849,506 | \$849,506 | \$849,506 | | \$849,506 | \$0 |
| 62 | *Remaining Awarded Amount | \$161,118 | \$27,484 | \$27,484 | \$27,484 | \$27,484 | \$0 | \$27,484 | \$0 |
| 63 | Annual Number of Systems Receiving Assistance | 85 | 145 | 0 | 0 | 0 | 0 | 0 | 0 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 591 | 736 | 736 | 736 | 736 | | 736 | 0 |
| State Program Management (Dollars) | | | | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 0.0% | 0.0% | 0.0% | - | 0.0% | 0.0% |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | (\$287,572) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$0 | (\$287,572) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$1,412,249 | \$1,124,677 | \$1,124,677 | \$1,124,677 | \$1,124,677 | | \$1,124,677 | \$0 |
| 70 | Annual Expenses - PWSS Administration | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 71 | *Cumulative Expenses - PWSS Administration | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$811 | \$18,077 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$1,056,600 | \$1,074,677 | \$1,074,677 | \$1,074,677 | \$1,074,677 | | \$1,074,677 | \$0 |
| 74 | Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 75 | *Cumulative Expenses - Capacity Development | \$50,000 | \$50,000 | \$50,000 | \$50,000 | \$50,000 | | \$50,000 | \$0 |
| 76 | Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 78 | *Total Annual State Program Management Expenses | \$811 | \$18,077 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 79 | *Cumulative State Program Management Expenses | \$1,106,600 | \$1,124,677 | \$1,124,677 | \$1,124,677 | \$1,124,677 | | \$1,124,677 | \$0 |
| 80 | *Remaining Awarded Amount | \$305,649 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|--|--|------|------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$1,883,820 | \$575,438 | \$1,060,914 | \$0 | \$659,476 | \$0 |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | - | 9.0% | 6.5% | 5.7% | - | 4.1% | 0.0% |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | (\$627,940) | \$0 | \$0 | \$0 |
| 84 | *Annual Amount Awarded and Transferred | \$0 | \$0 | \$1,883,820 | \$575,438 | \$432,974 | \$0 | \$659,476 | \$0 |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$0 | \$1,883,820 | \$2,459,258 | \$2,892,232 | \$2,892,232 | \$3,551,708 | \$3,551,708 |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Source Water Protection Area Delineation/Assessment | | | | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$266,527 | \$306,660 | \$81,100 | \$375,132 | \$712,348 | \$576,410 |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$266,527 | \$573,187 | \$654,287 | \$1,029,419 | \$1,741,767 | \$2,318,177 |
| Wellhead Protection Programs | | | | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$0 | \$0 | \$0 | \$306,610 | \$0 | \$0 | \$0 |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$0 | \$0 | \$0 | \$0 | \$306,610 | \$306,610 | \$306,610 | \$306,610 |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$15,000 | \$5,000 |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$15,000 | \$20,000 |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 9 |
| 107 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 16 |
| 108 | *Total Annual 1452(k) Activity Dollars | \$0 | \$0 | \$266,527 | \$306,660 | \$387,710 | \$375,132 | \$727,348 | \$581,410 |
| 109 | *Cumulative 1452(k) Activity Dollars | \$0 | \$0 | \$266,527 | \$573,187 | \$960,897 | \$1,336,029 | \$2,063,377 | \$2,644,787 |
| 110 | *Remaining Awarded Amount | \$0 | \$0 | \$1,617,293 | \$1,886,071 | \$1,931,335 | \$1,556,203 | \$1,488,331 | \$906,921 |
| Set-Aside Summary | | | | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$0 | \$3,681,758 | \$1,062,350 | \$2,724,109 | \$0 | \$1,846,822 | \$332,124 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$0 | \$0 | \$3,681,758 | \$4,744,108 | \$7,468,217 | \$7,468,217 | \$9,315,039 | \$9,647,163 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$777,940 | \$0 | \$0 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$777,940 | \$777,940 | \$777,940 | \$777,940 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$0 | \$0 | \$3,681,758 | \$1,062,350 | \$1,946,169 | \$0 | \$1,846,822 | \$332,124 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$0 | \$0 | \$3,681,758 | \$4,744,108 | \$6,690,277 | \$6,690,277 | \$8,537,099 | \$8,869,223 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | - | - | 17.5% | 15.9% | 13.8% | 13.8% | 13.2% | 12.2% |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$0 | \$0 | \$570,857 | \$720,231 | \$1,183,172 | \$1,298,592 | \$1,328,411 | \$1,211,158 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$0 | \$0 | \$570,857 | \$1,291,088 | \$2,474,260 | \$3,772,852 | \$5,101,263 | \$6,312,421 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$0 | \$0 | \$3,110,901 | \$3,453,020 | \$4,216,017 | \$2,917,425 | \$3,435,836 | \$2,556,802 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|--|--|-------------|-------------|--------------|--------------|--------------|--------------|-------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA | |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% | |
| 83 | Amount Transferred to/(from) 1452(k) Activities | (\$247,038) | (\$425,579) | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 84 | *Annual Amount Awarded and Transferred | (\$247,038) | (\$425,579) | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$3,304,670 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| Source Water Protection Area Delineation/Assessment | | | | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$167,814 | \$66,490 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$2,485,991 | \$2,552,481 | \$2,552,481 | \$2,552,481 | \$2,552,481 | \$2,552,481 | \$2,552,481 | |
| Wellhead Protection Programs | | | | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$306,610 | \$306,610 | \$306,610 | \$306,610 | \$306,610 | \$306,610 | \$306,610 | |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$20,000 | \$20,000 | \$20,000 | \$20,000 | \$20,000 | \$20,000 | \$20,000 | |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | |
| 107 | *Cumulative Number of Systems Receiving Assistance | 16 | 16 | 16 | 16 | 16 | 16 | 16 | |
| 108 | *Total Annual 1452(k) Activity Dollars | \$167,814 | \$66,490 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 109 | *Cumulative 1452(k) Activity Dollars | \$2,812,601 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | \$2,879,091 | |
| 110 | *Remaining Awarded Amount | \$492,069 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| Set-Aside Summary | | | | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$331,420 | \$329,156 | \$329,160 | \$325,840 | \$0 | \$325,840 | \$780,000 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$9,647,163 | \$9,978,583 | \$10,307,739 | \$10,636,899 | \$10,962,739 | \$11,288,579 | \$11,639 | \$780,000 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$365,057 | \$713,896 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$1,142,997 | \$1,856,893 | \$1,856,893 | \$1,856,893 | \$1,856,893 | \$1,856,893 | \$1,856,893 | \$0 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | (\$365,057) | (\$382,476) | \$329,156 | \$329,160 | \$325,840 | \$0 | \$325,840 | \$780,000 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$8,504,166 | \$8,121,690 | \$8,450,846 | \$8,780,006 | \$9,105,846 | \$9,431,686 | \$9,431,686 | \$780,000 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | 11.7% | 9.9% | 9.2% | 8.8% | 8.4% | 7.0% | 7.0% | |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$703,796 | \$624,311 | \$286,973 | \$345,060 | \$344,644 | \$0 | \$291,848 | \$11,639 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$7,016,217 | \$7,640,528 | \$7,927,501 | \$8,272,561 | \$8,617,205 | \$8,909,053 | \$8,909,053 | \$11,639 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$1,487,949 | \$481,162 | \$523,345 | \$507,445 | \$488,641 | \$522,633 | \$522,633 | \$768,361 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Binding Commitments | | | | | | | | |
| 121 Annual Dollar Amount | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$38,285,000 | \$24,290,000 | \$14,560,000 | \$44,115,000 |
| 122 *Cumulative Dollar Amount | \$0 | \$0 | \$19,895,000 | \$50,680,000 | \$88,965,000 | \$113,255,000 | \$127,815,000 | \$171,930,000 |
| 123 Annual Number of Binding Commitments | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 124 *Cumulative Number of Binding Commitments | 0 | 0 | 14 | 32 | 52 | 59 | 68 | 76 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | | | | |
| 125 Executed Loan Commitments | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$38,285,000 | \$24,290,000 | \$14,560,000 | \$44,115,000 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$38,285,000 | \$24,290,000 | \$14,560,000 | \$44,115,000 |
| 130 *Cumulative Assistance | \$0 | \$0 | \$19,895,000 | \$50,680,000 | \$88,965,000 | \$113,255,000 | \$127,815,000 | \$171,930,000 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 131 Executed Loan Commitments | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 136 *Cumulative Number of Agreements | 0 | 0 | 14 | 32 | 52 | 59 | 68 | 76 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$0 | \$0 | \$6,155,000 | \$0 | \$0 | \$0 |
| 138 501 to 3,300 | \$0 | \$0 | \$1,650,000 | \$5,190,000 | \$3,440,000 | \$8,845,000 | \$1,075,000 | \$0 |
| 139 3,301 to 10,000 | \$0 | \$0 | \$4,960,000 | \$2,110,000 | \$5,725,000 | \$2,500,000 | \$3,770,000 | \$3,605,000 |
| 140 10,001 to 100,000 | \$0 | \$0 | \$13,285,000 | \$23,485,000 | \$22,965,000 | \$12,945,000 | \$9,715,000 | \$32,905,000 |
| 141 100,001 and Above | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$7,605,000 |
| 142 *Total Annual Assistance | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$38,285,000 | \$24,290,000 | \$14,560,000 | \$44,115,000 |
| 143 *Cumulative Assistance | \$0 | \$0 | \$19,895,000 | \$50,680,000 | \$88,965,000 | \$113,255,000 | \$127,815,000 | \$171,930,000 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 144 Less than 501 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 145 501 to 3,300 | 0 | 0 | 3 | 8 | 5 | 3 | 2 | 0 |
| 146 3,301 to 10,000 | 0 | 0 | 5 | 4 | 7 | 2 | 3 | 2 |
| 147 10,001 to 100,000 | 0 | 0 | 6 | 6 | 7 | 2 | 4 | 5 |
| 148 100,001 and Above | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 149 *Total Annual Number of Agreements | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 150 *Cumulative Number of Agreements | 0 | 0 | 14 | 32 | 52 | 59 | 68 | 76 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|---------------|---------------|---------------|---------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Binding Commitments | | | | | | | | |
| 121 Annual Dollar Amount | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$25,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 122 *Cumulative Dollar Amount | \$209,870,000 | \$248,100,000 | \$253,120,000 | \$278,900,000 | \$296,910,000 | | \$316,015,000 | \$18,720,000 |
| 123 Annual Number of Binding Commitments | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 124 *Cumulative Number of Binding Commitments | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | | | | |
| 125 Executed Loan Commitments | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$25,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$25,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 130 *Cumulative Assistance | \$209,870,000 | \$248,100,000 | \$253,120,000 | \$278,900,000 | \$296,910,000 | | \$316,015,000 | \$18,720,000 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 131 Executed Loan Commitments | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 136 *Cumulative Number of Agreements | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 138 501 to 3,300 | \$1,450,000 | \$0 | \$495,000 | \$0 | \$0 | \$0 | \$1,779,605 | \$1,753,773 |
| 139 3,301 to 10,000 | \$27,180,000 | \$25,325,000 | \$1,415,000 | \$305,000 | \$0 | \$0 | \$6,983,655 | \$6,891,096 |
| 140 10,001 to 100,000 | \$9,310,000 | \$7,795,000 | \$3,110,000 | \$25,475,000 | \$18,010,000 | \$0 | \$10,341,740 | \$10,075,131 |
| 141 100,001 and Above | \$0 | \$5,110,000 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 142 *Total Annual Assistance | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$25,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 143 *Cumulative Assistance | \$209,870,000 | \$248,100,000 | \$253,120,000 | \$278,900,000 | \$296,910,000 | | \$316,015,000 | \$18,720,000 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 144 Less than 501 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 145 501 to 3,300 | 1 | 0 | 1 | 0 | 0 | 0 | 2 | 2 |
| 146 3,301 to 10,000 | 5 | 1 | 2 | 1 | 0 | 0 | 1 | 1 |
| 147 10,001 to 100,000 | 4 | 3 | 2 | 4 | 4 | 0 | 3 | 3 |
| 148 100,001 and Above | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 149 *Total Annual Number of Agreements | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 150 *Cumulative Number of Agreements | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Construction | | | | | | | | |
| 152 Treatment | \$0 | \$0 | \$10,300,800 | \$12,747,400 | \$15,779,100 | \$11,439,100 | \$5,481,900 | \$17,444,980 |
| 153 Transmission & Distribution | \$0 | \$0 | \$3,143,500 | \$8,849,950 | \$12,126,100 | \$6,569,850 | \$4,553,600 | \$15,891,420 |
| 154 Source | \$0 | \$0 | \$1,440,100 | \$3,078,800 | \$1,981,650 | \$1,230,550 | \$555,600 | \$4,025,810 |
| 155 Storage | \$0 | \$0 | \$5,010,600 | \$6,108,850 | \$8,398,150 | \$5,050,500 | \$3,968,900 | \$6,752,790 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 160 *Total Annual Dollar Amount | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$38,285,000 | \$24,290,000 | \$14,560,000 | \$44,115,000 |
| 161 *Cumulative Dollar Amount | \$0 | \$0 | \$19,895,000 | \$50,680,000 | \$88,965,000 | \$113,255,000 | \$127,815,000 | \$171,930,000 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Construction | | | | | | | | |
| 163 Treatment | 0 | 0 | 6 | 6 | 6 | 3 | 3 | 5 |
| 164 Transmission & Distribution | 0 | 0 | 9 | 11 | 16 | 5 | 7 | 7 |
| 165 Source | 0 | 0 | 5 | 4 | 4 | 2 | 2 | 4 |
| 166 Storage | 0 | 0 | 10 | 6 | 10 | 5 | 6 | 6 |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 169 Land Acquisition | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 170 Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 171 *Total Annual Number | 0 | 0 | 30 | 27 | 36 | 15 | 18 | 22 |
| 172 *Cumulative Number | 0 | 0 | 30 | 57 | 93 | 108 | 126 | 148 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Drinking Water System Project Assistance | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Construction | | | | | | | | |
| 152 Treatment | \$12,815,000 | \$12,775,950 | \$3,163,050 | \$9,351,500 | \$9,093,100 | \$0 | \$11,710,941 | \$11,437,808 |
| 153 Transmission & Distribution | \$17,777,500 | \$15,902,250 | \$172,400 | \$14,791,500 | \$6,054,000 | \$0 | \$1,371,902 | \$1,367,361 |
| 154 Source | \$933,100 | \$3,849,150 | \$1,080,300 | \$0 | \$1,064,400 | \$0 | \$1,734,993 | \$1,713,701 |
| 155 Storage | \$6,414,400 | \$5,702,650 | \$604,250 | \$1,637,000 | \$1,798,500 | \$0 | \$4,287,164 | \$4,201,130 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 160 *Total Annual Dollar Amount | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$25,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 161 *Cumulative Dollar Amount | \$209,870,000 | \$248,100,000 | \$253,120,000 | \$278,900,000 | \$296,910,000 | | \$316,015,000 | \$18,720,000 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 0 | 0 | | 0 | |
| Construction | | | | | | | | |
| 163 Treatment | 5 | 2 | 4 | 3 | 2 | | 2 | |
| 164 Transmission & Distribution | 8 | 5 | 2 | 3 | 4 | | 4 | |
| 165 Source | 3 | 3 | 3 | 0 | 2 | | 2 | |
| 166 Storage | 8 | 5 | 2 | 1 | 4 | | 4 | |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 169 Land Acquisition | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 170 Other | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 171 *Total Annual Number | 24 | 15 | 11 | 7 | 12 | | 12 | |
| 172 *Cumulative Number | 172 | 187 | 198 | 205 | 217 | | 229 | |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|--|-------------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Number of Projects Funded | | | | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 174 *Cumulative Number of Projects | 0 | 0 | 14 | 32 | 52 | 59 | 68 | 76 |
| DWSRF Project Starts | | | | | | | | |
| 175 Annual Dollar Amount | \$0 | \$0 | \$19,895,000 | \$30,785,000 | \$34,910,000 | \$27,665,000 | \$14,560,000 | \$44,115,000 |
| 176 *Cumulative Dollar Amount | \$0 | \$0 | \$19,895,000 | \$50,680,000 | \$85,590,000 | \$113,255,000 | \$127,815,000 | \$171,930,000 |
| 177 Annual Number of Assistance Agreements | 0 | 0 | 14 | 18 | 11 | 16 | 9 | 8 |
| 178 *Cumulative Number of Agreements | 0 | 0 | 14 | 32 | 43 | 59 | 68 | 76 |
| DWSRF Project Completions | | | | | | | | |
| 179 Annual Dollar Amount | \$0 | \$0 | \$0 | \$22,120,000 | \$8,875,000 | \$8,280,000 | \$27,040,000 | \$52,185,000 |
| 180 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$22,120,000 | \$30,995,000 | \$39,275,000 | \$66,315,000 | \$118,500,000 |
| 181 Annual Number of Assistance Agreements | 0 | 0 | 0 | 16 | 7 | 5 | 12 | 22 |
| 182 *Cumulative Number of Agreements | 0 | 0 | 0 | 16 | 23 | 28 | 40 | 62 |
| 183 Number of Projects Completed | 0 | 0 | 0 | 16 | 7 | 5 | 12 | 22 |
| 184 *Cumulative Number of Projects Completed | 0 | 0 | 0 | 16 | 23 | 28 | 40 | 62 |
| Assistance to Disadvantaged Communities | | | | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Assistance with Subsidy | | | | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 191 Annual Dollar Amount of Principal Forgiven/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 193 Annual Number of Assistance Agreements with Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Assistance with Greater than 20-Year Repayment | | | | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | | | |
| 199 Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 200 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|--|---------------|---------------|---------------|-------------------|------------------|-------------------|------------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Number of Projects Funded | | | | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 174 *Cumulative Number of Projects | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |
| DWSRF Project Starts | | | | | | | | |
| 175 Annual Dollar Amount | \$37,940,000 | \$38,230,000 | \$5,020,000 | \$24,780,000 | \$18,010,000 | \$0 | \$19,105,000 | \$18,720,000 |
| 176 *Cumulative Dollar Amount | \$209,870,000 | \$248,100,000 | \$253,120,000 | \$277,900,000 | \$295,910,000 | | \$315,015,000 | \$18,720,000 |
| 177 Annual Number of Assistance Agreements | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 178 *Cumulative Number of Agreements | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |
| DWSRF Project Completions | | | | | | | | |
| 179 Annual Dollar Amount | \$1,150,000 | \$23,535,000 | \$8,315,000 | \$76,140,000 | \$2,830,000 | \$0 | \$0 | \$0 |
| 180 *Cumulative Dollar Amount | \$119,650,000 | \$143,185,000 | \$151,500,000 | \$227,640,000 | \$230,470,000 | | \$230,470,000 | \$0 |
| 181 Annual Number of Assistance Agreements | 2 | 7 | 2 | 1 | 1 | 0 | 0 | 0 |
| 182 *Cumulative Number of Agreements | 64 | 71 | 73 | 74 | 75 | | 75 | 0 |
| 183 Number of Projects Completed | 2 | 7 | 2 | 9 | 1 | 0 | 0 | 0 |
| 184 *Cumulative Number of Projects Completed | 64 | 71 | 73 | 82 | 83 | | 83 | 0 |
| Assistance to Disadvantaged Communities | | | | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| All Assistance with Subsidy | | | | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$19,105,000 | \$18,720,000 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$19,105,000 | \$18,720,000 |
| 191 Annual Dollar Amount of Principal Forgiven/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$9,750,000 | \$9,750,000 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$9,750,000 | \$9,750,000 |
| 193 Annual Number of Assistance Agreements with Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 6 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 6 | 6 |
| All Assistance with Greater than 20-Year Repayment | | | | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | | | |
| 199 Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 200 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

DWSRF Fund Assistance - Specific Project Information

| | | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|---|--|------|--------------|--------------|--------------|--------------|--------------|---------------|
| | | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Assistance for System Compliance with SDWA | | | | | | | | | |
| (Dollars in each category) | | | | | | | | | |
| 201 | Assisting Non-Compliant Systems to Achieve Compliance | \$0 | \$0 | \$3,090,000 | \$2,750,000 | \$26,230,000 | \$16,140,000 | \$0 | \$415,000 |
| 202 | *Cumulative Dollar Amount | \$0 | \$0 | \$3,090,000 | \$5,840,000 | \$32,070,000 | \$48,210,000 | \$48,210,000 | \$48,625,000 |
| 203 | Assisting Compliant Systems to Maintain Compliance | \$0 | \$0 | \$16,805,000 | \$27,210,000 | \$12,055,000 | \$8,150,000 | \$9,205,000 | \$43,700,000 |
| 204 | *Cumulative Dollar Amount | \$0 | \$0 | \$16,805,000 | \$44,015,000 | \$56,070,000 | \$64,220,000 | \$73,425,000 | \$117,125,000 |
| 205 | Assisting Compliant Systems to Meet Future Requirements | \$0 | \$0 | \$0 | \$825,000 | \$0 | \$0 | \$5,255,000 | \$0 |
| 206 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$825,000 | \$825,000 | \$825,000 | \$6,080,000 | \$6,080,000 |
| 207 | Other Assistance Not Compliance Related | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 208 | *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (Number of Agreements in each category) | | | | | | | | | |
| 209 | Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 4 | 5 | 11 | 5 | 0 | 1 |
| 210 | *Cumulative Number of Agreements | 0 | 0 | 4 | 9 | 20 | 25 | 25 | 26 |
| 211 | Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 10 | 12 | 9 | 2 | 8 | 7 |
| 212 | *Cumulative Number of Agreements | 0 | 0 | 10 | 22 | 31 | 33 | 41 | 48 |
| 213 | Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 |
| 214 | *Cumulative Number of Agreements | 0 | 0 | 0 | 1 | 1 | 1 | 2 | 2 |
| 215 | Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 216 | *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (Population Served in each category) | | | | | | | | | |
| 217 | Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 37,783 | 44,550 | 140,425 | 52,311 | 0 | 3,600 |
| 218 | *Cumulative Population Served | 0 | 0 | 37,783 | 82,333 | 222,758 | 275,069 | 275,069 | 278,669 |
| 219 | Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 110,049 | 144,186 | 54,931 | 6,309 | 84,525 | 523,969 |
| 220 | *Cumulative Population Served | 0 | 0 | 110,049 | 254,235 | 309,166 | 315,475 | 400,000 | 923,969 |
| 221 | Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 4,356 | 0 | 0 | 27,000 | 0 |
| 222 | *Cumulative Population Served | 0 | 0 | 0 | 4,356 | 4,356 | 4,356 | 31,356 | 31,356 |
| 223 | Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 224 | *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Assistance for System Compliance with SDWA | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 201 Assisting Non-Compliant Systems to Achieve Compliance | \$1,785,000 | \$31,850,000 | \$2,400,000 | \$2,085,000 | \$8,653,100 | \$0 | \$1,305,658 | \$1,284,365 |
| 202 *Cumulative Dollar Amount | \$50,410,000 | \$82,260,000 | \$84,660,000 | \$86,745,000 | \$95,398,100 | | \$96,703,758 | \$1,284,365 |
| 203 Assisting Compliant Systems to Maintain Compliance | \$36,155,000 | \$6,380,000 | \$2,620,000 | \$23,795,000 | \$9,356,900 | \$0 | \$10,535,525 | \$10,313,840 |
| 204 *Cumulative Dollar Amount | \$153,280,000 | \$159,660,000 | \$162,280,000 | \$186,075,000 | \$195,431,900 | | \$205,967,425 | \$10,313,840 |
| 205 Assisting Compliant Systems to Meet Future Requirements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$6,930,528 | \$6,793,962 |
| 206 *Cumulative Dollar Amount | \$6,080,000 | \$6,080,000 | \$6,080,000 | \$6,080,000 | \$6,080,000 | | \$13,010,528 | \$6,793,962 |
| 207 Other Assistance Not Compliance Related | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$333,289 | \$327,833 |
| 208 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$333,289 | \$327,833 |
| (Number of Agreements in each category) | | | | | | | | |
| 209 Assisting Non-Compliant Systems to Achieve Compliance | 2 | 2 | 3 | 2 | 2 | 0 | 1 | 1 |
| 210 *Cumulative Number of Agreements | 28 | 30 | 33 | 35 | 37 | | 38 | 1 |
| 211 Assisting Compliant Systems to Maintain Compliance | 8 | 3 | 2 | 3 | 2 | 0 | 2 | 2 |
| 212 *Cumulative Number of Agreements | 56 | 59 | 61 | 64 | 66 | | 68 | 2 |
| 213 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 |
| 214 *Cumulative Number of Agreements | 2 | 2 | 2 | 2 | 2 | | 4 | 2 |
| 215 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 216 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 1 | 1 |
| (Population Served in each category) | | | | | | | | |
| 217 Assisting Non-Compliant Systems to Achieve Compliance | 10,212 | 51,531 | 37,365 | 22,512 | 32,000 | 0 | 6,860 | 6,860 |
| 218 *Cumulative Population Served | 288,881 | 340,412 | 377,777 | 400,289 | 432,289 | | 439,149 | 6,860 |
| 219 Assisting Compliant Systems to Maintain Compliance | 112,164 | 294,579 | 16,572 | 80,945 | 63,732 | 0 | 132,976 | 132,976 |
| 220 *Cumulative Population Served | 1,036,133 | 1,330,712 | 1,347,284 | 1,428,229 | 1,491,961 | | 1,624,937 | 132,976 |
| 221 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 0 | 0 | 0 | 61,860 | 61,860 |
| 222 *Cumulative Population Served | 31,356 | 31,356 | 31,356 | 31,356 | 31,356 | | 93,216 | 61,860 |
| 223 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 16,000 | 16,000 |
| 224 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | | 16,000 | 16,000 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|---------|---------|---------|---------|---------|-----------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Assistance to Private Systems | | | | | | | | |
| 225 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 226 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 227 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 228 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance to Systems by Type | | | | | | | | |
| 229 Annual Number of Community Systems | 0 | 0 | 14 | 18 | 20 | 7 | 9 | 8 |
| 230 *Cumulative Number of Community Systems | 0 | 0 | 14 | 32 | 52 | 59 | 68 | 76 |
| 231 Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 232 *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 233 Annual Population Served by Community Systems | 0 | 0 | 147,832 | 195,734 | 195,356 | 58,620 | 111,525 | 527,569 |
| 234 *Cumulative Population Served by Community Systems | 0 | 0 | 147,832 | 343,566 | 538,922 | 597,542 | 709,067 | 1,236,636 |
| 235 Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 236 *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Creation of New Systems | | | | | | | | |
| 237 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 240 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | | | |
| 241 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 242 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 243 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 244 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 245 Annual Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 246 *Cumulative Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance to Indian Tribes | | | | | | | | |
| 247 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 250 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-------------|-------------|-------------|-------------|-----------|-------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Assistance to Private Systems | | | | | | | | |
| 225 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 226 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 227 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 228 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance to Systems by Type | | | | | | | | |
| 229 Annual Number of Community Systems | 10 | 5 | 5 | 5 | 4 | 0 | 6 | 6 |
| 230 *Cumulative Number of Community Systems | 86 | 91 | 96 | 101 | 105 | | 111 | 6 |
| 231 Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 232 *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 233 Annual Population Served by Community Systems | 122,376 | 346,110 | 53,937 | 103,459 | 95,732 | 0 | 151,595 | 151,595 |
| 234 *Cumulative Population Served by Community Systems | 1,359,012 | 1,705,122 | 1,759,059 | 1,862,518 | 1,958,250 | | 2,109,845 | 151,595 |
| 235 Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 236 *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Creation of New Systems | | | | | | | | |
| 237 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 240 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | | | |
| 241 Annual Dollar Amount | \$1,450,000 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 242 *Cumulative Dollar Amount | \$1,450,000 | \$1,450,000 | \$1,450,000 | \$1,450,000 | \$1,450,000 | | \$1,450,000 | \$0 |
| 243 Annual Number of Assistance Agreements | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 244 *Cumulative Number of Agreements | 1 | 1 | 1 | 1 | 1 | | 1 | 0 |
| 245 Annual Number of Systems Eliminated | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 246 *Cumulative Number of Systems Eliminated | 1 | 1 | 1 | 1 | 1 | | 1 | 0 |
| Assistance to Indian Tribes | | | | | | | | |
| 247 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 250 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 251 Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$32,580,120 | \$12,045,000 | \$23,770,000 | \$33,475,000 | \$0 | \$38,915,000 |
| 252 Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$28,555,729 | \$10,497,318 | \$22,937,997 | \$29,833,733 | \$0 | \$35,435,546 |
| 253 Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$980,393 | \$645,169 | \$832,003 | \$795,945 | \$0 | \$802,708 |
| 254 Leveraged Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$335,000 | \$1,075,000 | \$1,555,000 | \$1,965,000 | \$3,190,000 |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 255 *Annual Change | \$0 | \$0 | \$2,847,594 | \$7,641,984 | \$3,856,576 | \$7,009,994 | \$10,780,159 | \$2,101,939 |
| 256 Balance at End of Reporting Period | \$0 | \$0 | \$2,847,594 | \$10,489,578 | \$14,346,154 | \$21,356,148 | \$32,136,307 | \$34,238,246 |
| 257 Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$5,103,350 | \$4,258,699 | \$4,730,156 | \$7,364,654 | \$0 | \$7,321,402 |
| 259 *Gross Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$32,580,120 | \$44,625,120 | \$68,395,120 | \$101,870,120 | \$101,870,120 | \$140,785,120 |
| 260 *Net Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$28,555,729 | \$39,053,047 | \$61,991,044 | \$91,824,777 | \$91,824,777 | \$127,260,323 |
| 261 *Cost of Leveraged Bond Issuance - Cumulative | \$0 | \$0 | \$980,393 | \$1,625,562 | \$2,457,565 | \$3,253,510 | \$3,253,510 | \$4,056,218 |
| 262 *Leveraged Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$335,000 | \$1,410,000 | \$2,965,000 | \$4,930,000 | \$8,120,000 |
| 263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$5,103,350 | \$9,362,049 | \$14,092,205 | \$21,456,859 | \$21,456,859 | \$28,778,261 |
| 265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$32,580,120 | \$44,290,120 | \$66,985,120 | \$98,905,120 | \$96,940,120 | \$132,665,120 |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | | | |
| 266 *Match Bonds Issued - Annual | \$0 | \$0 | \$4,890,000 | \$2,085,000 | \$2,125,000 | \$4,100,000 | \$0 | \$14,530,000 |
| 267 Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$5,000 | \$180,000 | \$645,000 | \$785,000 | \$1,040,000 |
| 268 *Match Bonds Issued - Cumulative | \$0 | \$0 | \$4,890,000 | \$6,975,000 | \$9,100,000 | \$13,200,000 | \$13,200,000 | \$27,730,000 |
| 269 *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$5,000 | \$185,000 | \$830,000 | \$1,615,000 | \$2,655,000 |
| 270 *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$4,890,000 | \$6,970,000 | \$8,915,000 | \$12,370,000 | \$11,585,000 | \$25,075,000 |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 271 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$0 | \$0 | \$781,691 | \$1,709,108 | \$1,711,790 | \$3,501,513 | \$4,894,257 | \$5,521,886 |
| 272 Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$727,911 | \$1,294,604 | \$1,592,681 | \$1,495,098 | \$2,066,946 | \$1,742,289 |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 273 *Annual Dollar Amount | \$0 | \$0 | \$53,780 | \$414,504 | \$119,109 | \$2,006,415 | \$2,827,311 | \$3,779,597 |
| 274 *Cumulative Dollar Amount | \$0 | \$0 | \$53,780 | \$468,284 | \$587,393 | \$2,593,808 | \$5,421,119 | \$9,200,716 |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|-----------|---------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 251 Gross Leveraged Bonds Issued - Annual | \$24,880,000 | \$42,580,000 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 252 Net Leveraged Bonds Issued - Annual | \$22,233,326 | \$41,526,170 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 253 Cost of Leveraged Bond Issuance - Annual | \$586,773 | \$1,535,579 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 254 Leveraged Bond Principal Repaid - Annual | \$3,570,000 | \$3,645,000 | \$3,975,000 | \$2,850,000 | \$3,240,000 | | \$3,880,000 | |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 255 *Annual Change | \$9,396,845 | \$8,432,646 | \$6,441,538 | \$1,450,627 | (\$1,502,181) | | (\$3,334,186) | |
| 256 Balance at End of Reporting Period | \$43,635,091 | \$52,067,737 | \$58,509,275 | \$59,959,902 | \$58,457,721 | | \$55,123,535 | |
| 257 Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$5,984,137 | \$7,568,603 | \$0 | \$0 | \$0 | | \$0 | |
| 259 *Gross Leveraged Bonds Issued - Cumulative | \$165,665,120 | \$208,245,120 | \$208,245,120 | \$208,245,120 | \$208,245,120 | | \$208,245,120 | |
| 260 *Net Leveraged Bonds Issued - Cumulative | \$149,493,649 | \$191,019,819 | \$191,019,819 | \$191,019,819 | \$191,019,819 | | \$191,019,819 | |
| 261 *Cost of Leveraged Bond Issuance - Cumulative | \$4,642,991 | \$6,178,570 | \$6,178,570 | \$6,178,570 | \$6,178,570 | | \$6,178,570 | |
| 262 *Leveraged Bond Principal Repaid - Cumulative | \$11,690,000 | \$15,335,000 | \$19,310,000 | \$22,160,000 | \$25,400,000 | | \$29,280,000 | |
| 263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$34,762,398 | \$42,331,001 | \$42,331,001 | \$42,331,001 | \$42,331,001 | | \$42,331,001 | |
| 265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$153,975,120 | \$192,910,120 | \$188,935,120 | \$186,085,120 | \$182,845,120 | | \$178,965,120 | |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | | | |
| 266 *Match Bonds Issued - Annual | \$4,425,000 | \$7,570,000 | \$0 | \$0 | \$0 | | \$0 | |
| 267 Match Bond Principal Repaid - Annual | \$1,265,000 | \$1,230,000 | \$1,375,000 | \$2,200,000 | \$2,315,000 | | \$2,530,000 | |
| 268 *Match Bonds Issued - Cumulative | \$32,155,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | \$39,725,000 | | \$39,725,000 | |
| 269 *Match Bond Principal Repaid - Cumulative | \$3,920,000 | \$5,150,000 | \$6,525,000 | \$8,725,000 | \$11,040,000 | | \$13,570,000 | |
| 270 *Match Bonds Outstanding - Balance at End of Reporting Period | \$28,235,000 | \$34,575,000 | \$33,200,000 | \$31,000,000 | \$28,685,000 | | \$26,155,000 | |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 271 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$4,008,422 | \$7,471,870 | \$9,272,336 | \$9,336,284 | \$9,126,956 | | \$8,632,444 | |
| 272 Interest Paid from Capitalized Interest Account - Annual | \$1,477,920 | \$1,561,688 | \$2,738,777 | \$2,078,417 | \$0 | | \$0 | |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 273 *Annual Dollar Amount | \$2,530,502 | \$5,910,182 | \$6,533,559 | \$7,257,867 | \$9,126,956 | | \$8,632,444 | |
| 274 *Cumulative Dollar Amount | \$11,731,218 | \$17,641,400 | \$24,174,959 | \$31,432,826 | \$40,559,782 | | \$49,192,226 | |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-------|-------------|--------------|--------------|--------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Project Assistance Disbursed | | | | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$0 | \$0 | \$7,868,024 | \$17,432,943 | \$34,127,584 | \$24,318,964 | \$23,018,058 | \$15,438,952 |
| 276 *Cumulative DWSRF Fund Disbursements | \$0 | \$0 | \$7,868,024 | \$25,300,967 | \$59,428,551 | \$83,747,515 | \$106,765,573 | \$122,204,525 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 13 | 20 | 12 | 14 | 10 |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 13 | 33 | 45 | 59 | 69 |
| 281 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$395,000 | \$1,425,000 | \$2,085,000 | \$2,870,000 | \$4,890,000 |
| 282 Interest Payments - Annual | \$0 | \$0 | \$0 | \$414,979 | \$1,227,815 | \$2,449,007 | \$2,921,203 | \$3,905,345 |
| 283 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$809,979 | \$2,652,815 | \$4,534,007 | \$5,791,203 | \$8,795,345 |
| 284 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$395,000 | \$1,820,000 | \$3,905,000 | \$6,775,000 | \$11,665,000 |
| 285 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$414,979 | \$1,642,794 | \$4,091,801 | \$7,013,004 | \$10,918,349 |
| 286 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$809,979 | \$3,462,794 | \$7,996,801 | \$13,788,004 | \$22,583,349 |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 0.000 | 0.000 | 3.750 | 3.800 | 3.866 | 3.541 | 3.500 | 3.100 |
| 288 State Market Interest Rate | 0.000 | 0.000 | 5.250 | 5.300 | 5.400 | 5.000 | 5.000 | 4.600 |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Interest Earnings on Investments | | | | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$0 | \$0 | \$0 | \$1,569,127 | \$2,071,898 | \$2,921,821 | \$2,701,688 | \$2,426,222 |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$0 | \$0 | \$0 | \$1,569,127 | \$3,641,025 | \$6,562,846 | \$9,264,534 | \$11,690,756 |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fees Charged on DWSRF Assistance | | | | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 301 Annual Income from Fees not Included in Loans | \$0 | \$0 | \$0 | \$75,275 | \$108,870 | \$479,130 | \$486,027 | \$621,792 |
| 302 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$801 | \$1,937 | \$8,682 | \$9,713 | \$13,083 |
| 303 *Total Annual Income from Fees | \$0 | \$0 | \$0 | \$76,076 | \$110,807 | \$487,812 | \$495,740 | \$634,875 |
| 304 *Cumulative Income from Fees | \$0 | \$0 | \$0 | \$76,076 | \$186,883 | \$674,695 | \$1,170,435 | \$1,805,310 |
| Expenses Paid from DWSRF Fee Accounts | | | | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$31,995 | \$51,564 | \$41,438 | \$40,536 | \$24,043 |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$31,995 | \$83,559 | \$124,997 | \$165,533 | \$189,576 |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 312 *Cumulative Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|---------------|---------------|---------------|---------------|-----------|---------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Project Assistance Disbursed | | | | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$19,961,088 | \$21,876,032 | \$34,129,012 | \$38,911,474 | \$15,327,367 | \$0 | \$12,739,974 | \$0 |
| 276 *Cumulative DWSRF Fund Disbursements | \$142,165,613 | \$164,041,645 | \$198,170,657 | \$237,082,131 | \$252,409,498 | | \$265,149,472 | \$0 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 2 | 8 | 10 | 0 | 8 | | 4 | |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 71 | 79 | 89 | 89 | 97 | | 101 | |
| 281 Principal Repayments - Annual | \$4,765,000 | \$5,675,000 | \$7,130,000 | \$8,145,000 | \$8,720,000 | | \$10,220,000 | |
| 282 Interest Payments - Annual | \$4,293,559 | \$4,609,543 | \$5,197,105 | \$6,200,234 | \$7,150,708 | | \$7,931,739 | |
| 283 *Principal and Interest - Annual | \$9,058,559 | \$10,284,543 | \$12,327,105 | \$14,345,234 | \$15,870,708 | | \$18,151,739 | |
| 284 *Principal Repayments - Cumulative | \$16,430,000 | \$22,105,000 | \$29,235,000 | \$37,380,000 | \$46,100,000 | | \$56,320,000 | |
| 285 *Interest Payments - Cumulative | \$15,211,908 | \$19,821,451 | \$25,018,556 | \$31,218,790 | \$38,369,498 | | \$46,301,237 | |
| 286 *Principal and Interest - Cumulative | \$31,641,908 | \$41,926,451 | \$54,253,556 | \$68,598,790 | \$84,469,498 | | \$102,621,237 | |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 3.050 | 3.250 | 3.250 | 3.500 | 3.500 | | 3.500 | |
| 288 State Market Interest Rate | 4.500 | 4.500 | 4.500 | 4.750 | 4.750 | | 4.500 | |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | | 0.000 | |
| Interest Earnings on Investments | | | | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$2,815,900 | \$3,606,737 | \$5,119,300 | \$5,858,101 | \$3,143,713 | | \$2,487,943 | |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$14,506,656 | \$18,113,393 | \$23,232,693 | \$29,090,794 | \$32,234,507 | | \$34,722,450 | |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| Fees Charged on DWSRF Assistance | | | | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | | \$1,651,451 | |
| 301 Annual Income from Fees not Included in Loans | \$783,267 | \$858,405 | \$954,308 | \$1,152,483 | \$1,361,178 | | \$0 | |
| 302 Annual Interest Earnings from Fee Account | \$15,065 | \$53,466 | \$114,686 | \$145,283 | \$0 | | \$0 | |
| 303 *Total Annual Income from Fees | \$798,332 | \$911,871 | \$1,068,994 | \$1,297,766 | \$1,361,178 | | \$1,651,451 | |
| 304 *Cumulative Income from Fees | \$2,603,642 | \$3,515,513 | \$4,584,507 | \$5,882,273 | \$7,243,451 | | \$8,894,902 | |
| Expenses Paid from DWSRF Fee Accounts | | | | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$36,223 | \$478,834 | \$1,110,832 | \$1,641,298 | \$1,303,546 | | \$1,471,854 | |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$225,799 | \$704,633 | \$1,815,465 | \$3,456,763 | \$4,760,309 | | \$6,232,163 | |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 312 *Cumulative Other State Funded Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|-------------|-------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | | | | |
| 313 Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$1,209,000 | \$8,760,000 | \$1,105,000 | \$15,510,000 |
| 314 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$1,209,000 | \$9,969,000 | \$11,074,000 | \$26,584,000 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 2 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated | 0 | 0 | 0 | 0 | 1 | 2 | 3 | 5 |
| 317 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$785,000 | \$7,260,000 | \$520,000 | \$11,910,000 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$785,000 | \$8,045,000 | \$8,565,000 | \$20,475,000 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | | 2010 Total |
|--|---|--------------|--------------|--------------|--------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | | |
| 313 Amount of Coordinated Funding | \$6,811,400 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 314 *Cumulative Amount of Coordinated Funding | \$33,395,400 | \$33,395,400 | \$33,395,400 | \$33,395,400 | \$33,395,400 | \$33,395,400 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 1 | 0 | 0 | 1 | 0 | 0 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated | 6 | 6 | 6 | 7 | 7 | 7 |
| 317 DWSRF Portion of Coordinated Funding | \$3,000,000 | \$0 | \$0 | \$1,680,000 | \$0 | \$0 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$23,475,000 | \$23,475,000 | \$23,475,000 | \$25,155,000 | \$25,155,000 | \$25,155,000 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

Lead State Agency

343 Lead State Agency Name: Department of Environmental Management
344 Type of Agency: Environmental
345 Mailing Address: P.O. Box 301463
346 City: Montgomery
347 Zip Code: 36130-1463
348 Street Address: 1400 Coliseum Boulevard
349 City: Montgomery
350 Zip Code: 36110-2059
351 Director: Lance R. LeFluer
352 Director's Title: Director
353 Phone Number: (334) 271-7700
354 Fax Number: (334) 271-7950
355 E-mail Address: FThomas@adem.state.al.us
356 Contact Person: James Dailey
357 Phone Number: (334) 271-7805
358 Fax Number: (334) 271-7952
359 E-mail Address: jwd@adem.state.al.us

Secondary Agency

360 Secondary Agency Name:
361 Type of Agency:
362 Mailing Address:
363 City:
364 Zip Code:
365 Street Address:
366 City:
367 Zip Code:
368 Director:
369 Director's Title:
370 Phone Number:
371 Fax Number:
372 E-mail Address:
373 Contact Person:
374 Phone Number :
375 Fax Number:
376 E-mail Address:

Other Agency

377 Other Agency Name:
378 Type of Agency:
379 Mailing Address:
380 City:
381 Zip Code:
382 Street Address:
383 City:
384 Zip Code:
385 Director:
386 Director's Title:
387 Phone Number:
388 Fax Number:
389 E-mail Address:
390 Contact Person:
391 Phone Number:
392 Fax Number:
393 E-mail Address:

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|---------------|---------------|---------------|----------------|----------------|----------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Funds Available for Projects | | | | | | | | |
| 394 *Annual (New Funds) | 0 | 0 | 42,783,647 | 10,116,687 | 49,270,738 | 22,808,498 | 12,058,159 | 51,725,151 |
| 395 *Cumulative | 0 | 0 | 42,783,647 | 52,900,334 | 102,171,072 | 124,979,570 | 137,037,729 | 188,762,880 |
| DWSRF Assistance as a % of Funds Available | | | | | | | | |
| 396 *Annual | - | - | 47% | 304% | 78% | 106% | 121% | 85% |
| 397 *Cumulative | - | - | 47% | 96% | 87% | 91% | 93% | 91% |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 398 *Annual | - | - | 9% | 82% | 84% | - | 58% | 80% |
| 399 *Cumulative | - | - | 9% | 31% | 51% | 68% | 65% | 67% |
| Disbursements as a % of Funds Available | | | | | | | | |
| 400 *Annual | - | - | 18% | 172% | 69% | 107% | 191% | 30% |
| 401 *Cumulative | - | - | 18% | 48% | 58% | 67% | 78% | 65% |
| Project Starts as a % of Funds Available | | | | | | | | |
| 402 *Annual | - | - | 47% | 304% | 71% | 121% | 121% | 85% |
| 403 *Cumulative | - | - | 47% | 96% | 84% | 91% | 93% | 91% |
| Project Completions as a % of Funds Available | | | | | | | | |
| 404 *Annual | - | - | 0% | 219% | 18% | 36% | 224% | 101% |
| 405 *Cumulative | - | - | 0% | 42% | 30% | 31% | 48% | 63% |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 406 *Annual | - | - | 0% | 4% | 3% | 9% | 24% | 9% |
| 407 *Cumulative | - | - | 0% | 1% | 2% | 3% | 5% | 6% |
| Disbursements as a % of DWSRF Assistance | | | | | | | | |
| 408 *Annual | - | - | 40% | 57% | 89% | 100% | 158% | 35% |
| 409 *Cumulative | - | - | 40% | 50% | 67% | 74% | 84% | 71% |
| Project Starts as a % of DWSRF Assistance | | | | | | | | |
| 410 *Annual | - | - | 100% | 100% | 91% | 114% | 100% | 100% |
| 411 *Cumulative | - | - | 100% | 100% | 96% | 100% | 100% | 100% |
| Project Completions as a % of DWSRF Assistance | | | | | | | | |
| 412 *Annual | - | - | 0% | 72% | 23% | 34% | 186% | 118% |
| 413 *Cumulative | - | - | 0% | 44% | 35% | 35% | 52% | 69% |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | | | | |
| 414 *Annual | - | - | 0% | 1% | 4% | 9% | 20% | 11% |
| 415 *Cumulative | - | - | 0% | 1% | 2% | 3% | 5% | 7% |
| Project Completions as a % of Project Starts | | | | | | | | |
| 416 *Annual | - | - | 0% | 72% | 25% | 30% | 186% | 118% |
| 417 *Cumulative | - | - | 0% | 44% | 36% | 35% | 52% | 69% |
| Financial Indicators based on Cumulative Activity | | | | | | | | |
| 418 *Return on Federal Investment | - | - | 495.7% | 305.4% | 260.7% | 280.0% | 279.1% | 276.5% |
| 419 *Assistance Provided as a % of Funds Available | - | - | 46.5% | 95.8% | 87.1% | 90.6% | 93.3% | 91.1% |
| 420 *Disbursements as a % of Assistance Provided | - | - | 39.5% | 49.9% | 66.8% | 73.9% | 83.5% | 71.1% |
| 421 *Additional Assistance Provided Due to Leveraging | - | - | (\$2,283,862) | \$16,981,086 | \$20,346,613 | \$37,287,200 | \$29,008,882 | \$47,410,936 |
| 422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy | \$0 | \$0 | (\$5,157,130) | (\$7,851,227) | (\$9,580,779) | (\$14,226,020) | (\$12,215,440) | (\$18,024,872) |
| 423 *Gross Return on Contributed Capital Including Subsidy | - | - | -82.1% | -52.8% | -30.6% | -33.8% | -24.4% | -25.8% |
| 424 *Set-Aside Spending Rate | - | - | 15.5% | 27.2% | 37.0% | 56.4% | 59.8% | 71.2% |
| 425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy | \$0 | \$0 | (\$5,157,130) | (\$7,851,227) | (\$9,580,779) | (\$14,226,020) | (\$12,215,440) | (\$18,024,872) |
| 426 *Net Return on Contributed Capital Excluding Subsidy | - | - | -82.1% | -52.8% | -30.6% | -33.8% | -24.4% | -25.8% |
| Subsidy Information from PBR | | | | | | | | |
| 427 Grant Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 428 Negative Interest | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 429 Principal Forgiven | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 430 * Total Annual Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 431 * Total Cumulative Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Green Project Reserve (GPR) from PBR | | | | | | | | |
| 432 Green Infrastructure | | | | | | | | |
| 433 Energy Efficiency | | | | | | | | |
| 434 Water Conservation | | | | | | | | |
| 435 Green Innovative | | | | | | | | |
| 436 * Total Annual GPR | | | | | | | | |
| 437 * Cumulative GPR | | | | | | | | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Alabama**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|----------------|----------------|----------------|----------------|-----------|----------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Funds Available for Projects | | | | | | | | |
| 394 *Annual (New Funds) | 21,532,734 | 48,550,303 | 8,582,852 | 14,344,681 | 13,654,806 | | 36,251,584 | |
| 395 *Cumulative | 210,295,614 | 258,845,917 | 267,428,769 | 281,773,450 | 295,428,256 | | 331,679,840 | |
| DWSRF Assistance as a % of Funds Available | | | | | | | | |
| 396 *Annual | 176% | 79% | 58% | 180% | 132% | | 53% | |
| 397 *Cumulative | 100% | 96% | 95% | 99% | 101% | | 95% | |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 398 *Annual | - | 64% | 79% | 303% | 127% | | 42% | |
| 399 *Cumulative | 74% | 73% | 73% | 92% | 95% | | 84% | |
| Disbursements as a % of Funds Available | | | | | | | | |
| 400 *Annual | 93% | 45% | 398% | 271% | 112% | | 35% | |
| 401 *Cumulative | 68% | 63% | 74% | 84% | 85% | | 80% | |
| Project Starts as a % of Funds Available | | | | | | | | |
| 402 *Annual | 176% | 79% | 58% | 173% | 132% | | 53% | |
| 403 *Cumulative | 100% | 96% | 95% | 99% | 100% | | 95% | |
| Project Completions as a % of Funds Available | | | | | | | | |
| 404 *Annual | 5% | 48% | 97% | 531% | 21% | | 0% | |
| 405 *Cumulative | 57% | 55% | 57% | 81% | 78% | | 69% | |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 406 *Annual | 22% | 12% | 83% | 57% | 64% | | 28% | |
| 407 *Cumulative | 8% | 9% | 11% | 13% | 16% | | 17% | |
| Disbursements as a % of DWSRF Assistance | | | | | | | | |
| 408 *Annual | 53% | 57% | 680% | 151% | 85% | | 67% | |
| 409 *Cumulative | 68% | 66% | 78% | 85% | 85% | | 84% | |
| Project Starts as a % of DWSRF Assistance | | | | | | | | |
| 410 *Annual | 100% | 100% | 100% | 96% | 100% | | 100% | |
| 411 *Cumulative | 100% | 100% | 100% | 100% | 100% | | 100% | |
| Project Completions as a % of DWSRF Assistance | | | | | | | | |
| 412 *Annual | 3% | 62% | 166% | 295% | 16% | | 0% | |
| 413 *Cumulative | 57% | 58% | 60% | 82% | 78% | | 73% | |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | | | | |
| 414 *Annual | 13% | 15% | 142% | 32% | 48% | | 53% | |
| 415 *Cumulative | 8% | 9% | 12% | 13% | 16% | | 18% | |
| Project Completions as a % of Project Starts | | | | | | | | |
| 416 *Annual | 3% | 62% | 166% | 307% | 16% | | 0% | |
| 417 *Cumulative | 57% | 58% | 60% | 82% | 78% | | 73% | |
| Financial Indicators based on Cumulative Activity | | | | | | | | |
| 418 *Return on Federal Investment | 291.0% | 301.4% | 318.9% | 272.4% | 259.2% | | 243.1% | |
| 419 *Assistance Provided as a % of Funds Available | 99.8% | 95.8% | 94.6% | 99.0% | 100.5% | | 95.3% | |
| 420 *Disbursements as a % of Assistance Provided | 67.7% | 66.1% | 78.3% | 85.0% | 85.0% | | 83.9% | |
| 421 *Additional Assistance Provided Due to Leveraging | \$70,670,546 | \$85,875,164 | \$75,870,774 | \$85,855,466 | \$91,712,841 | | \$77,900,443 | |
| 422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy | (\$20,695,052) | (\$27,187,557) | (\$24,779,711) | (\$22,179,243) | (\$23,326,778) | | (\$33,819,540) | |
| 423 *Gross Return on Contributed Capital Including Subsidy | -26.3% | -29.8% | -25.1% | -18.0% | -17.5% | | -23.4% | |
| 424 *Set-Aside Spending Rate | 82.5% | 94.1% | 93.8% | 94.2% | 94.6% | | 94.5% | |
| 425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy | (\$20,695,052) | (\$27,187,557) | (\$24,779,711) | (\$22,179,243) | (\$23,326,778) | | (\$24,069,540) | |
| 426 *Net Return on Contributed Capital Excluding Subsidy | -26.3% | -29.8% | -25.1% | -18.0% | -17.5% | | -17.8% | |
| Subsidy Information from PBR | | | | | | | | |
| 427 Grant Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 428 Negative Interest | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 429 Principal Forgiven | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,000 | 9,750,000 |
| 430 * Total Annual Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,000 | 9,750,000 |
| 431 * Total Cumulative Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,000 | 9,750,000 |
| Green Project Reserve (GPR) from PBR | | | | | | | | |
| 432 Green Infrastructure | | | | 0 | 0 | 0 | 0 | 0 |
| 433 Energy Efficiency | | | | 0 | 0 | 0 | 0 | 0 |
| 434 Water Conservation | | | | 0 | 0 | 0 | 0 | 0 |
| 435 Green Innovative | | | | 0 | 0 | 0 | 6,463,610 | 6,463,610 |
| 436 * Total Annual GPR | | | | 0 | 0 | 0 | 6,463,610 | 6,463,610 |
| 437 * Cumulative GPR | | | | 0 | 0 | 0 | 6,463,610 | 6,463,610 |

* Calculated values.