

**Drinking Water SRF Program Information
for the State of Connecticut**

| Federal and State Investment | For Federal Fiscal Year Ending September 30 of: | | | | | | | |
|--|---|--------------|--------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Data Entered by EPA | | | | | | | | |
| Federal Grants (Dollars) | | | | | | | | |
| 1 Date of Last Award in the Year | - | 7/9/1998 | 9/22/1999 | - | 1/9/2001 | 11/28/2001 | 1/15/2003 | 3/5/2004 |
| 2 Total Annual Federal Grants | \$0 | \$21,408,200 | \$14,585,100 | \$0 | \$7,757,000 | \$7,789,100 | \$8,052,500 | \$8,004,100 |
| 3 *Cumulative Federal Grants | \$0 | \$21,408,200 | \$35,993,300 | \$35,993,300 | \$43,750,300 | \$51,539,400 | \$59,591,900 | \$67,596,000 |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | | | |
| 4 Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5 Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 6 *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 7 *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Quarterly Outlays (Dollars) | | | | | | | | |
| 8 First Quarter Outlays | \$0 | \$0 | \$198,592 | \$1,625,667 | \$4,264,608 | \$1,031,889 | \$4,182,212 | \$2,086,753 |
| 9 Second Quarter Outlays | \$0 | \$0 | \$188,012 | \$375,939 | \$2,443,832 | \$1,780,348 | \$4,808,093 | \$286,249 |
| 10 Third Quarter Outlays | \$0 | \$0 | \$258,745 | \$231,156 | \$3,311,124 | \$1,573,187 | \$5,709,359 | \$56,645 |
| 11 Fourth Quarter Outlays | \$0 | \$40,468 | \$264,492 | \$3,330,913 | \$2,344,812 | \$1,828,115 | \$2,536,820 | \$838,785 |
| 12 *Total Annual Outlays | \$0 | \$40,468 | \$909,841 | \$5,563,675 | \$12,364,376 | \$6,213,539 | \$17,236,484 | \$3,268,432 |
| 13 *Cumulative Outlays | \$0 | \$40,468 | \$950,309 | \$6,513,984 | \$18,878,360 | \$25,091,899 | \$42,328,383 | \$45,596,815 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Federal and State Investment | For Federal Fiscal Year Ending September 30 of: | | | | | | | | |
|--|---|--------------|--------------|--------------|---------------|---------------|---------------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA | |
| Data Entered by EPA | | | | | | | | | |
| Federal Grants (Dollars) | | | | | | | | | |
| 1 | Date of Last Award in the Year | 9/20/2005 | 9/30/2006 | 9/13/2007 | 8/4/2008 | 5/18/2009 | 9/15/2010 | | |
| 2 | Total Annual Federal Grants | \$8,303,100 | \$8,285,500 | \$8,229,300 | \$16,375,000 | \$19,500,000 | \$8,146,000 | \$0 | |
| 3 | *Cumulative Federal Grants | \$75,899,100 | \$84,184,600 | \$92,413,900 | \$108,788,900 | \$128,288,900 | \$136,434,900 | \$19,500,000 | |
| Transfer of Federal Funds Between DWSRF and CWSRF | | | | | | | | | |
| 4 | Amount of Federal Funds Transferred into DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 5 | Amount of Federal Funds Transferred out of DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 6 | *Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 7 | *Cumulative Net Transfer into/(out of) DWSRF | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| Quarterly Outlays (Dollars) | | | | | | | | | |
| 8 | First Quarter Outlays | \$440,913 | \$1,823,930 | \$4,592,656 | \$2,057,288 | \$1,473,920 | \$0 | \$1,064,203 | \$0 |
| 9 | Second Quarter Outlays | \$414,489 | \$838,920 | \$2,054,588 | \$5,698,156 | \$1,286,492 | \$0 | \$1,771,781 | \$1,085,980 |
| 10 | Third Quarter Outlays | \$0 | \$4,178,019 | \$10,032,317 | \$2,668,513 | \$1,617,841 | \$0 | \$6,688,532 | \$6,102,190 |
| 11 | Fourth Quarter Outlays | \$220,080 | \$2,665,416 | \$4,031,828 | \$1,239,418 | \$2,053,098 | \$0 | \$5,881,974 | \$3,003,714 |
| 12 | *Total Annual Outlays | \$1,075,482 | \$9,506,285 | \$20,711,389 | \$11,663,375 | \$6,431,351 | \$0 | \$15,406,491 | \$10,191,883 |
| 13 | *Cumulative Outlays | \$46,672,297 | \$56,178,582 | \$76,889,971 | \$88,553,346 | \$94,984,697 | | \$110,391,187 | \$10,191,883 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|--------------|---------------|---------------|---------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | | | |
| 14 *Annual Capitalization Grants | \$0 | \$6,636,542 | \$14,771,658 | \$14,585,100 | \$7,757,000 | \$7,789,100 | \$8,052,500 | \$8,004,100 |
| 15 *Cumulative Capitalization Grants | \$0 | \$6,636,542 | \$21,408,200 | \$35,993,300 | \$43,750,300 | \$51,539,400 | \$59,591,900 | \$67,596,000 |
| Adjustments to the DWSRF Fund | | | | | | | | |
| 16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | (\$6,636,542) | \$0 | (\$4,521,381) | (\$2,404,670) | (\$2,414,621) | (\$2,496,275) | (\$2,481,271) |
| 18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$0 | \$14,771,658 | \$10,063,719 | \$5,352,330 | \$5,374,479 | \$5,556,225 | \$5,522,829 |
| 20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$0 | \$14,771,658 | \$24,835,377 | \$30,187,707 | \$35,562,186 | \$41,118,411 | \$46,641,240 |
| 21 *Annual State Contributions | \$0 | \$0 | \$4,281,640 | \$2,917,020 | \$1,551,400 | \$1,557,820 | \$1,610,500 | \$1,600,820 |
| 22 *Cumulative State Contributions | \$0 | \$0 | \$4,281,640 | \$7,198,660 | \$8,750,060 | \$10,307,880 | \$11,918,380 | \$13,519,200 |
| 23 *Annual Net Investments for the DWSRF Fund | \$0 | \$0 | \$19,053,298 | \$12,980,739 | \$6,903,730 | \$6,932,299 | \$7,166,725 | \$7,123,649 |
| 24 *Cumulative Net Investments for the DWSRF Fund | \$0 | \$0 | \$19,053,298 | \$32,034,037 | \$38,937,767 | \$45,870,066 | \$53,036,791 | \$60,160,440 |
| 25 *State Contributions as a % of Grants - Annual | - | 0% | 29% | 20% | 20% | 20% | 20% | 20% |
| 26 *State Contributions as a % of Grants - Cumulative | - | 0% | 20% | 20% | 20% | 20% | 20% | 20% |
| Outlays (Dollars) | | | | | | | | |
| 27 *Annual | \$0 | \$0 | \$685,817 | \$2,497,254 | \$13,350,477 | \$6,730,236 | \$16,527,779 | \$4,966,467 |
| 28 *Cumulative | \$0 | \$0 | \$685,817 | \$3,183,071 | \$16,533,548 | \$23,263,784 | \$39,791,563 | \$44,758,030 |
| 28a *State Contributions as a % of Outlays - Annual | - | - | 624% | 117% | 12% | 23% | 10% | 32% |
| 28b *State Contributions as a % of Outlays - Cumulative | - | - | 624% | 226% | 53% | 44% | 30% | 30% |
| State Match Contributions Deposited (Dollars) | | | | | | | | |
| 29 Cash or Appropriations | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 30 Bonds Retired Outside the DWSRF Fund | \$0 | \$0 | \$4,281,640 | \$2,917,020 | \$1,551,400 | \$1,557,820 | \$1,610,500 | \$1,600,820 |
| 31 Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 32 Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 Other Sources | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 34 *Total Annual Match Contributions | \$0 | \$0 | \$4,281,640 | \$2,917,020 | \$1,551,400 | \$1,557,820 | \$1,610,500 | \$1,600,820 |
| 35 *Cumulative Match Contributions | \$0 | \$0 | \$4,281,640 | \$7,198,660 | \$8,750,060 | \$10,307,880 | \$11,918,380 | \$13,519,200 |
| Additional Match for State Program Management Activities (Dollars) | | | | | | | | |
| 36 Credit for 1993 Funding Used | \$0 | \$1,070,410 | \$0 | \$729,255 | \$387,850 | \$389,455 | \$402,625 | \$400,205 |
| 37 Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 Contributions as In-Kind Services | \$0 | \$1,070,410 | \$0 | \$729,255 | \$387,850 | \$389,455 | \$402,625 | \$400,205 |
| 39 *Total Annual Additional Contribution | \$0 | \$2,140,820 | \$0 | \$1,458,510 | \$775,700 | \$778,910 | \$805,250 | \$800,410 |
| 40 *Cumulative Additional Contribution | \$0 | \$2,140,820 | \$2,140,820 | \$3,599,330 | \$4,375,030 | \$5,153,940 | \$5,959,190 | \$6,759,600 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | | | |
| 41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Federal and State Investment | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|---------------|---------------|---------------|---------------|---------------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Fund Investment Summary (Calculated Values) | | | | | | | | |
| 14 *Annual Capitalization Grants | \$0 | \$8,303,100 | \$8,285,500 | \$8,229,300 | \$35,875,000 | \$19,500,000 | \$2,199,180 | \$0 |
| 15 *Cumulative Capitalization Grants | \$67,596,000 | \$75,899,100 | \$84,184,600 | \$92,413,900 | \$128,288,900 | | \$130,488,080 | \$19,500,000 |
| Adjustments to the DWSRF Fund | | | | | | | | |
| 16 *Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 17 *Annual Amount (Awarded) for Set-Asides (from line 111) | \$0 | (\$2,573,961) | (\$2,568,505) | (\$2,551,083) | (\$2,949,990) | (\$2,047,500) | (\$2,394,180) | (\$195,000) |
| 18 *Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 19 *Annual Net Federal Contributions Adjusted for Transfers and Set-Asides | \$0 | \$5,729,139 | \$5,716,995 | \$5,678,217 | \$32,925,010 | \$17,452,500 | (\$195,000) | (\$195,000) |
| 20 *Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides | \$46,641,240 | \$52,370,379 | \$58,087,374 | \$63,765,591 | \$96,690,601 | | \$96,495,601 | \$17,257,500 |
| 21 *Annual State Contributions | \$1,356,123 | \$304,497 | \$1,610,500 | \$1,600,820 | \$4,600,000 | | \$2,666,640 | |
| 22 *Cumulative State Contributions | \$14,875,323 | \$15,179,820 | \$16,790,320 | \$18,391,140 | \$22,991,140 | | \$25,657,780 | |
| 23 *Annual Net Investments for the DWSRF Fund | \$1,356,123 | \$6,033,636 | \$7,327,495 | \$7,279,037 | \$37,525,010 | \$17,452,500 | \$2,471,640 | (\$195,000) |
| 24 *Cumulative Net Investments for the DWSRF Fund | \$61,516,563 | \$67,550,199 | \$74,877,694 | \$82,156,731 | \$119,681,741 | | \$122,153,381 | \$17,257,500 |
| 25 *State Contributions as a % of Grants - Annual | - | 4% | 19% | 19% | 13% | | 121% | |
| 26 *State Contributions as a % of Grants - Cumulative | 22% | 20% | 20% | 20% | 18% | | 20% | |
| Outlays (Dollars) | | | | | | | | |
| 27 *Annual | \$1,694,187 | \$7,060,949 | \$19,344,977 | \$14,455,785 | \$5,617,671 | \$0 | \$11,577,615 | \$7,188,169 |
| 28 *Cumulative | \$46,452,217 | \$53,513,166 | \$72,858,143 | \$87,313,928 | \$92,931,599 | | \$104,509,213 | \$7,188,169 |
| 28a *State Contributions as a % of Outlays - Annual | 80% | 4% | 8% | 11% | 82% | | 23% | |
| 28b *State Contributions as a % of Outlays - Cumulative | 32% | 28% | 23% | 21% | 25% | | 25% | |
| State Match Contributions Deposited (Dollars) | | | | | | | | |
| 29 Cash or Appropriations | \$0 | \$1,660,620 | \$1,610,500 | \$1,600,820 | \$1,956,020 | | \$2,666,640 | |
| 30 Bonds Retired Outside the DWSRF Fund | \$1,356,123 | (\$1,356,123) | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 31 Bonds Retired from the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 32 Pre-existing Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 33 Other Sources | \$0 | \$0 | \$0 | \$0 | \$2,643,980 | | \$0 | \$0 |
| 34 *Total Annual Match Contributions | \$1,356,123 | \$304,497 | \$1,610,500 | \$1,600,820 | \$4,600,000 | | \$2,666,640 | |
| 35 *Cumulative Match Contributions | \$14,875,323 | \$15,179,820 | \$16,790,320 | \$18,391,140 | \$22,991,140 | | \$25,657,780 | |
| Additional Match for State Program Management Activities (Dollars) | | | | | | | | |
| 36 Credit for 1993 Funding Used | \$415,155 | \$0 | \$414,250 | \$589,890 | \$0 | \$589,890 | \$819,018 | \$0 |
| 37 Cash Contributions Deposited | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 38 Contributions as In-Kind Services | \$415,155 | \$0 | \$414,250 | \$411,465 | \$0 | \$672,303 | \$819,018 | \$0 |
| 39 *Total Annual Additional Contribution | \$830,310 | \$0 | \$828,500 | \$1,001,355 | \$0 | \$1,262,193 | \$1,638,036 | \$0 |
| 40 *Cumulative Additional Contribution | \$7,589,910 | \$7,589,910 | \$8,418,410 | \$9,419,765 | \$9,419,765 | | \$11,057,801 | \$672,303 |
| Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund | | | | | | | | |
| 41 *Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 42 Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 43 *Total Annual Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 44 *Cumulative Total Net Amount Transferred | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|---|------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Administrative Expenses (Dollars) | | | | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$0 | \$856,328 | \$0 | \$583,404 | \$310,280 | \$311,564 | \$322,100 | \$320,164 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | - | 12.9% | 0.0% | 4.0% | 4.0% | 4.0% | 4.0% | 4.0% |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$0 | \$856,328 | \$0 | \$583,404 | \$310,280 | \$311,564 | \$322,100 | \$320,164 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$856,328 | \$856,328 | \$1,439,732 | \$1,750,012 | \$2,061,576 | \$2,383,676 | \$2,703,840 |
| 50 | Annual Expenses - DWSRF Administration | \$0 | \$0 | \$328,742 | \$468,237 | \$348,308 | \$359,041 | \$146,223 | \$139,966 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 | *Total Annual Administrative Expenses | \$0 | \$0 | \$328,742 | \$468,237 | \$348,308 | \$359,041 | \$146,223 | \$139,966 |
| 53 | *Cumulative Administrative Expenses | \$0 | \$0 | \$328,742 | \$796,979 | \$1,145,287 | \$1,504,328 | \$1,650,551 | \$1,790,517 |
| 54 | *Remaining Awarded Amount | \$0 | \$856,328 | \$527,586 | \$642,753 | \$604,725 | \$557,248 | \$733,125 | \$913,323 |
| Small Systems Technical Assistance | | | | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$0 | \$428,164 | \$0 | \$291,702 | \$155,140 | \$155,782 | \$161,050 | \$160,082 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | - | 6.5% | 0.0% | 2.0% | 2.0% | 2.0% | 2.0% | 2.0% |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 | *Annual Amount Awarded and Transferred | \$0 | \$428,164 | \$0 | \$291,702 | \$155,140 | \$155,782 | \$161,050 | \$160,082 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$428,164 | \$428,164 | \$719,866 | \$875,006 | \$1,030,788 | \$1,191,838 | \$1,351,920 |
| 60 | Annual Expenses - Small System Technical Assistance | \$0 | \$0 | \$0 | \$169,300 | \$304,182 | \$348,373 | \$225,445 | \$223,088 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$0 | \$0 | \$0 | \$169,300 | \$473,482 | \$821,855 | \$1,047,300 | \$1,270,388 |
| 62 | *Remaining Awarded Amount | \$0 | \$428,164 | \$428,164 | \$550,566 | \$401,524 | \$208,933 | \$144,538 | \$81,532 |
| 63 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 580 | 64 | 984 | 700 | 347 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 0 | 0 | 0 | 580 | 644 | 1,628 | 2,328 | 2,675 |
| State Program Management (Dollars) | | | | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$0 | \$2,140,820 | \$0 | \$1,458,510 | \$775,700 | \$778,910 | \$805,250 | \$800,410 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | - | 32.3% | 0.0% | 10.0% | 10.0% | 10.0% | 10.0% | 10.0% |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$0 | \$2,140,820 | \$0 | \$1,458,510 | \$775,700 | \$778,910 | \$805,250 | \$800,410 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$2,140,820 | \$2,140,820 | \$3,599,330 | \$4,375,030 | \$5,153,940 | \$5,959,190 | \$6,759,600 |
| 70 | Annual Expenses - PWSS Administration | \$0 | \$3,407 | \$239,686 | \$346,704 | \$710,280 | \$680,017 | \$661,073 | \$729,188 |
| 71 | *Cumulative Expenses - PWSS Administration | \$0 | \$3,407 | \$243,093 | \$589,797 | \$1,300,077 | \$1,980,094 | \$2,641,167 | \$3,370,355 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 74 | Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 75 | *Cumulative Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 76 | Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 78 | *Total Annual State Program Management Expenses | \$0 | \$3,407 | \$239,686 | \$346,704 | \$710,280 | \$680,017 | \$661,073 | \$729,188 |
| 79 | *Cumulative State Program Management Expenses | \$0 | \$3,407 | \$243,093 | \$589,797 | \$1,300,077 | \$1,980,094 | \$2,641,167 | \$3,370,355 |
| 80 | *Remaining Awarded Amount | \$0 | \$2,137,413 | \$1,897,727 | \$3,009,533 | \$3,074,953 | \$3,173,846 | \$3,318,023 | \$3,389,245 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|---|---|-------------|-------------|-------------|-------------|-------------|------------|--------------|-------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA | |
| Administrative Expenses (Dollars) | | | | | | | | | |
| 45 | Amount Awarded for Inclusion in Workplans | \$0 | \$332,124 | \$331,420 | \$329,172 | \$329,160 | \$780,000 | \$561,680 | \$0 |
| 46 | *Annual Amount Awarded as a % of Grants Awarded | - | 4.0% | 4.0% | 4.0% | 0.9% | 4.0% | 25.5% | - |
| 47 | Amount Transferred to/(from) Administrative Expenses | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 48 | *Annual Amount Awarded and Transferred | \$0 | \$332,124 | \$331,420 | \$329,172 | \$329,160 | \$780,000 | \$561,680 | \$0 |
| 49 | *Cumulative Amount Awarded, Including Transfers | \$2,703,840 | \$3,035,964 | \$3,367,384 | \$3,696,556 | \$4,025,716 | | \$4,587,396 | \$780,000 |
| 50 | Annual Expenses - DWSRF Administration | \$52,134 | \$806,767 | \$329,239 | \$489,226 | \$268,736 | \$0 | \$432,605 | \$29,197 |
| 51 | Annual Expenses - Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 52 | *Total Annual Administrative Expenses | \$52,134 | \$806,767 | \$329,239 | \$489,226 | \$268,736 | \$0 | \$432,605 | \$29,197 |
| 53 | *Cumulative Administrative Expenses | \$1,842,651 | \$2,649,418 | \$2,978,657 | \$3,467,883 | \$3,736,619 | | \$4,169,224 | \$29,197 |
| 54 | *Remaining Awarded Amount | \$861,189 | \$386,546 | \$388,727 | \$228,673 | \$289,097 | | \$418,172 | \$750,803 |
| Small Systems Technical Assistance | | | | | | | | | |
| 55 | Amount Awarded for Inclusion in Workplans | \$0 | \$166,062 | \$165,710 | \$164,586 | \$164,580 | \$292,500 | \$0 | \$0 |
| 56 | *Annual Amount Awarded as a % of Grants Awarded | - | 2.0% | 2.0% | 2.0% | 0.5% | 1.5% | 0.0% | - |
| 57 | Amount Transferred to/(from) Small Systems Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 58 | *Annual Amount Awarded and Transferred | \$0 | \$166,062 | \$165,710 | \$164,586 | \$164,580 | \$292,500 | \$0 | \$0 |
| 59 | *Cumulative Amount Awarded, Including Transfers | \$1,351,920 | \$1,517,982 | \$1,683,692 | \$1,848,278 | \$2,012,858 | | \$2,012,858 | \$292,500 |
| 60 | Annual Expenses - Small System Technical Assistance | \$195,229 | \$34,021 | \$111,115 | \$129,401 | \$136,490 | \$0 | \$136,319 | \$4,770 |
| 61 | *Cumulative Small Systems Technical Assistance Expenses | \$1,465,617 | \$1,499,638 | \$1,610,753 | \$1,740,154 | \$1,876,644 | | \$2,012,963 | \$4,770 |
| 62 | *Remaining Awarded Amount | (\$113,697) | \$18,344 | \$72,939 | \$108,124 | \$136,214 | \$292,500 | (\$105) | \$287,730 |
| 63 | Annual Number of Systems Receiving Assistance | 0 | 998 | 833 | 832 | 722 | 0 | 708 | 5 |
| 64 | *Cumulative Number of Small Systems Receiving Technical Assistance | 2,675 | 3,673 | 4,506 | 5,338 | 6,060 | | 6,768 | 5 |
| State Program Management (Dollars) | | | | | | | | | |
| 65 | Amount Awarded for Inclusion in Workplans | \$0 | \$830,310 | \$828,550 | \$822,930 | \$0 | \$975,000 | \$1,832,500 | \$195,000 |
| 66 | *Annual Amount Awarded as a % of Grants Awarded | - | 10.0% | 10.0% | 10.0% | 0.0% | 5.0% | 83.3% | - |
| 67 | Amount Transferred to/(from) State Program Management | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 68 | *Annual Amount Awarded and Transferred | \$0 | \$830,310 | \$828,550 | \$822,930 | \$0 | \$975,000 | \$1,832,500 | \$195,000 |
| 69 | *Cumulative Amount Awarded, Including Transfers | \$6,759,600 | \$7,589,910 | \$8,418,460 | \$9,241,390 | \$9,241,390 | | \$11,073,890 | \$1,170,000 |
| 70 | Annual Expenses - PWSS Administration | \$868,434 | \$1,112,613 | \$1,121,272 | \$1,096,516 | \$979,446 | \$0 | \$960,561 | \$130,629 |
| 71 | *Cumulative Expenses - PWSS Administration | \$4,238,789 | \$5,351,402 | \$6,472,674 | \$7,569,190 | \$8,548,636 | | \$9,509,197 | \$130,629 |
| 72 | Annual Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 73 | *Cumulative Expenses - Source Water Protection Technical Assistance | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 74 | Annual Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 75 | *Cumulative Expenses - Capacity Development | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 76 | Annual Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 77 | *Cumulative Expenses - Operator Certification Programs | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 78 | *Total Annual State Program Management Expenses | \$868,434 | \$1,112,613 | \$1,121,272 | \$1,096,516 | \$979,446 | \$0 | \$960,561 | \$130,629 |
| 79 | *Cumulative State Program Management Expenses | \$4,238,789 | \$5,351,402 | \$6,472,674 | \$7,569,190 | \$8,548,636 | | \$9,509,197 | \$130,629 |
| 80 | *Remaining Awarded Amount | \$2,520,811 | \$2,238,508 | \$1,945,786 | \$1,672,200 | \$692,754 | | \$1,564,693 | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|--|--|------|-------------|-------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 | |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$3,211,230 | \$0 | \$2,187,765 | \$1,163,550 | \$1,168,365 | \$1,207,875 | \$1,200,615 |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | 48.4% | 0.0% | 15.0% | 15.0% | 15.0% | 15.0% | 15.0% |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 84 | *Annual Amount Awarded and Transferred | \$0 | \$3,211,230 | \$0 | \$2,187,765 | \$1,163,550 | \$1,168,365 | \$1,207,875 | \$1,200,615 |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$0 | \$3,211,230 | \$3,211,230 | \$5,398,995 | \$6,562,545 | \$7,730,910 | \$8,938,785 | \$10,139,400 |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Source Water Protection Area Delineation/Assessment | | | | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$0 | \$0 | \$188,762 | \$341,653 | \$884,321 | \$0 |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$0 | \$0 | \$188,762 | \$530,415 | \$1,414,736 | \$1,414,736 |
| Wellhead Protection Programs | | | | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$0 | \$0 | \$107,267 | \$136,103 | \$260,588 | \$158,505 | \$143,648 | \$525,590 |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$0 | \$0 | \$107,267 | \$243,370 | \$503,958 | \$662,463 | \$806,111 | \$1,331,701 |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$115,759 | \$0 | \$0 | \$0 |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$0 | \$0 | \$0 | \$0 | \$115,759 | \$115,759 | \$115,759 | \$115,759 |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 278 | 0 | 0 | 0 |
| 107 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 278 | 278 | 278 | 278 |
| 108 | *Total Annual 1452(k) Activity Dollars | \$0 | \$0 | \$107,267 | \$136,103 | \$565,109 | \$500,158 | \$1,027,969 | \$525,590 |
| 109 | *Cumulative 1452(k) Activity Dollars | \$0 | \$0 | \$107,267 | \$243,370 | \$808,479 | \$1,308,637 | \$2,336,606 | \$2,862,196 |
| 110 | *Remaining Awarded Amount | \$0 | \$3,211,230 | \$3,103,963 | \$5,155,625 | \$5,754,066 | \$6,422,273 | \$6,602,179 | \$7,277,204 |
| Set-Aside Summary | | | | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$6,636,542 | \$0 | \$4,521,381 | \$2,404,670 | \$2,414,621 | \$2,496,275 | \$2,481,271 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$0 | \$6,636,542 | \$6,636,542 | \$11,157,923 | \$13,562,593 | \$15,977,214 | \$18,473,489 | \$20,954,760 |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$0 | \$6,636,542 | \$0 | \$4,521,381 | \$2,404,670 | \$2,414,621 | \$2,496,275 | \$2,481,271 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$0 | \$6,636,542 | \$6,636,542 | \$11,157,923 | \$13,562,593 | \$15,977,214 | \$18,473,489 | \$20,954,760 |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | - | 100.0% | 31.0% | 31.0% | 31.0% | 31.0% | 31.0% | 31.0% |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$0 | \$3,407 | \$675,695 | \$1,120,344 | \$1,927,879 | \$1,887,589 | \$2,060,710 | \$1,617,832 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$0 | \$3,407 | \$679,102 | \$1,799,446 | \$3,727,325 | \$5,614,914 | \$7,675,624 | \$9,293,456 |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$0 | \$6,633,135 | \$5,957,440 | \$9,358,477 | \$9,835,268 | \$10,362,300 | \$10,797,865 | \$11,661,304 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Set-Asides | For the Reporting Year Ending June 30 of: | | | | | | | | |
|--|--|--------------|--------------|--------------|--------------|--------------|--------------|-------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA | |
| Local Assistance and Other State Programs (1452(k) Activities) | | | | | | | | | |
| 81 | Amount Awarded for Inclusion in Workplans | \$0 | \$1,245,465 | \$1,242,825 | \$1,234,395 | \$2,456,250 | \$0 | | |
| 82 | *Annual Amount Awarded as a % of Grants Awarded | - | 15.0% | 15.0% | 15.0% | 6.8% | 0.0% | | |
| 83 | Amount Transferred to/(from) 1452(k) Activities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 84 | *Annual Amount Awarded and Transferred | \$0 | \$1,245,465 | \$1,242,825 | \$1,234,395 | \$2,456,250 | \$0 | | |
| 85 | *Cumulative Amount Awarded, Including Transfers | \$10,139,400 | \$11,384,865 | \$12,627,690 | \$13,862,085 | \$16,318,335 | \$16,318,335 | | |
| Loans for Source Water Protection Land Acquisition/Conservation Easements | | | | | | | | | |
| 86 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 87 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 88 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 89 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 90 | Annual Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 91 | *Cumulative Number of Acres of Land Acquired for SWP | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Loans for Incentive-Based Source Water Protection Measures | | | | | | | | | |
| 92 | Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 93 | *Cumulative Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 94 | Annual Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 95 | *Cumulative Number of Systems Receiving Assistance | 0 | 0 | 0 | 0 | 0 | 0 | | |
| Source Water Protection Area Delineation/Assessment | | | | | | | | | |
| 96 | Annual Expenses - SWP Area Delineation/Assessment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 97 | *Cumulative Expenses - SWP Area Delineation/Assessment | \$1,414,736 | \$1,414,736 | \$1,414,736 | \$1,414,736 | \$1,414,736 | \$1,414,736 | | |
| Wellhead Protection Programs | | | | | | | | | |
| 98 | Annual Expenses - Wellhead Protection Programs | \$1,024,378 | \$1,191,809 | \$697,720 | \$579,993 | \$437,168 | \$335,221 | | |
| 99 | Annual Dollar Amount of Wellhead Protection Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 100 | Annual Number of Wellhead Protection Loans | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 101 | *Cumulative Expenses/Loans - Wellhead Protection Programs | \$2,356,079 | \$3,547,888 | \$4,245,608 | \$4,825,601 | \$5,262,769 | \$5,597,990 | | |
| Technical or Financial Assistance to PWSs for Capacity Development | | | | | | | | | |
| 102 | Annual Expenses - Technical or Financial Assistance to PWSs | \$0 | \$517,767 | \$870,915 | \$803,053 | \$1,000,372 | \$1,284,466 | | |
| 103 | Annual Dollar Amount of Loans under the Capacity Development Strategy | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | | |
| 104 | Annual Number of Loans under the Capacity Development Strategy | 0 | 0 | 0 | 0 | 0 | 0 | | |
| 105 | *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs | \$115,759 | \$633,526 | \$1,504,441 | \$2,307,494 | \$3,307,866 | \$4,592,332 | | |
| 106 | Annual Number of Systems Receiving Assistance | 0 | 0 | 902 | 901 | 790 | 830 | | |
| 107 | *Cumulative Number of Systems Receiving Assistance | 278 | 278 | 1,180 | 2,081 | 2,871 | 3,701 | | |
| 108 | *Total Annual 1452(k) Activity Dollars | \$1,024,378 | \$1,709,576 | \$1,568,635 | \$1,383,046 | \$1,437,540 | \$1,619,687 | | |
| 109 | *Cumulative 1452(k) Activity Dollars | \$3,886,574 | \$5,596,150 | \$7,164,785 | \$8,547,831 | \$9,985,371 | \$11,605,058 | | |
| 110 | *Remaining Awarded Amount | \$6,252,826 | \$5,788,715 | \$5,462,905 | \$5,314,254 | \$6,332,964 | \$4,713,277 | | |
| Set-Aside Summary | | | | | | | | | |
| 111 | *Annual Total Awarded Amount for Set-Asides | \$0 | \$2,573,961 | \$2,568,505 | \$2,551,083 | \$2,949,990 | \$2,047,500 | \$2,394,180 | \$195,000 |
| 112 | *Cumulative Total Awarded Amount for Set-Asides | \$20,954,760 | \$23,528,721 | \$26,097,226 | \$28,648,309 | \$31,598,299 | \$33,992,479 | \$2,242,500 | |
| 113 | *Annual Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 114 | *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | |
| 115 | *Annual Net Total Amount Awarded for Set-Asides | \$0 | \$2,573,961 | \$2,568,505 | \$2,551,083 | \$2,949,990 | \$2,047,500 | \$2,394,180 | \$195,000 |
| 116 | *Cumulative Net Total Amount Awarded for Set-Asides | \$20,954,760 | \$23,528,721 | \$26,097,226 | \$28,648,309 | \$31,598,299 | \$33,992,479 | \$2,242,500 | |
| 117 | *Cumulative Net Total Amount Awarded as a % of Grants Awarded | 31.0% | 31.0% | 31.0% | 31.0% | 24.6% | 26.1% | | |
| 118 | *Total Annual Set-Aside Activity Dollars Expended/Committed | \$2,140,175 | \$3,662,977 | \$3,130,261 | \$3,098,189 | \$2,822,212 | \$0 | \$3,149,172 | \$164,596 |
| 119 | *Cumulative Set-Aside Activity Dollars Expended/Committed | \$11,433,631 | \$15,096,608 | \$18,226,869 | \$21,325,058 | \$24,147,270 | \$27,296,442 | \$164,596 | |
| 120 | *Cumulative Remaining Awarded Amount for Set-Asides | \$9,521,129 | \$8,432,113 | \$7,870,357 | \$7,323,251 | \$7,451,029 | \$6,696,037 | \$1,038,533 | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|-------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Binding Commitments | | | | | | | | |
| 121 Annual Dollar Amount | \$0 | \$0 | \$3,503,609 | \$18,524,162 | \$5,171,582 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 122 *Cumulative Dollar Amount | \$0 | \$0 | \$3,503,609 | \$22,027,771 | \$27,199,353 | \$51,348,405 | \$52,302,558 | \$56,728,763 |
| 123 Annual Number of Binding Commitments | 0 | 0 | 3 | 6 | 6 | 2 | 2 | 3 |
| 124 *Cumulative Number of Binding Commitments | 0 | 0 | 3 | 9 | 15 | 17 | 19 | 22 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | | | | |
| 125 Executed Loan Commitments | \$0 | \$0 | \$3,503,609 | \$17,440,181 | \$5,171,582 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$1,084,010 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$0 | \$0 | \$3,503,609 | \$18,524,191 | \$5,171,582 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 130 *Cumulative Assistance | \$0 | \$0 | \$3,503,609 | \$22,027,800 | \$27,199,382 | \$51,348,434 | \$52,302,587 | \$56,728,792 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 131 Executed Loan Commitments | 0 | 0 | 3 | 5 | 6 | 2 | 2 | 3 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 0 | 0 | 3 | 6 | 6 | 2 | 2 | 3 |
| 136 *Cumulative Number of Agreements | 0 | 0 | 3 | 9 | 15 | 17 | 19 | 22 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$207,421 | \$231,327 | \$1,064,161 | \$149,052 | \$0 | \$0 |
| 138 501 to 3,300 | \$0 | \$0 | \$210,000 | \$1,084,010 | \$1,100,000 | \$0 | \$954,153 | \$0 |
| 139 3,301 to 10,000 | \$0 | \$0 | \$0 | \$2,500,000 | \$717,732 | \$0 | \$0 | \$435,500 |
| 140 10,001 to 100,000 | \$0 | \$0 | \$0 | \$12,682,987 | \$1,898,651 | \$24,000,000 | \$0 | \$1,646,970 |
| 141 100,001 and Above | \$0 | \$0 | \$3,086,188 | \$2,025,867 | \$391,038 | \$0 | \$0 | \$2,343,735 |
| 142 *Total Annual Assistance | \$0 | \$0 | \$3,503,609 | \$18,524,191 | \$5,171,582 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 143 *Cumulative Assistance | \$0 | \$0 | \$3,503,609 | \$22,027,800 | \$27,199,382 | \$51,348,434 | \$52,302,587 | \$56,728,792 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 144 Less than 501 | 0 | 0 | 1 | 1 | 2 | 1 | 0 | 0 |
| 145 501 to 3,300 | 0 | 0 | 1 | 1 | 1 | 0 | 2 | 0 |
| 146 3,301 to 10,000 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 1 |
| 147 10,001 to 100,000 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 1 |
| 148 100,001 and Above | 0 | 0 | 1 | 2 | 1 | 0 | 0 | 1 |
| 149 *Total Annual Number of Agreements | 0 | 0 | 3 | 6 | 6 | 2 | 2 | 3 |
| 150 *Cumulative Number of Agreements | 0 | 0 | 3 | 9 | 15 | 17 | 19 | 22 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|--------------|--------------|--------------|--------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Binding Commitments | | | | | | | | |
| 121 Annual Dollar Amount | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,029 | \$0 | \$18,957,500 | \$17,257,500 |
| 122 *Cumulative Dollar Amount | \$61,543,531 | \$86,258,696 | \$87,184,702 | \$91,689,196 | \$92,939,225 | | \$111,896,725 | \$17,257,500 |
| 123 Annual Number of Binding Commitments | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 124 *Cumulative Number of Binding Commitments | 24 | 25 | 26 | 29 | 31 | | 45 | 12 |
| Type of DWSRF Assistance Provided (Dollars) | | | | | | | | |
| 125 Executed Loan Commitments | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,000 | \$0 | \$18,957,500 | \$17,257,500 |
| 126 Refinance Short-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 127 Refinance Long-term Debt | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 128 Guarantee or Purchase Insurance | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 129 *Total Annual Assistance | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,000 | \$0 | \$18,957,500 | \$17,257,500 |
| 130 *Cumulative Assistance | \$61,543,560 | \$86,258,725 | \$87,184,731 | \$91,689,225 | \$92,939,225 | | \$111,896,725 | \$17,257,500 |
| Type of DWSRF Assistance Provided (Number of Assistance Agreements) | | | | | | | | |
| 131 Executed Loan Commitments | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 132 Refinance Short-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 133 Refinance Long-term Debt | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 134 Guarantee or Purchase Insurance | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 135 *Total Annual Number of Agreements | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 136 *Cumulative Number of Agreements | 24 | 25 | 26 | 29 | 31 | | 45 | 12 |
| Assistance by Population Size (Dollars) | | | | | | | | |
| 137 Less than 501 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$3,996,960 | \$3,925,960 |
| 138 501 to 3,300 | \$171,738 | \$0 | \$926,006 | \$404,494 | \$800,000 | \$0 | \$4,185,641 | \$2,556,641 |
| 139 3,301 to 10,000 | \$0 | \$0 | \$0 | \$2,600,000 | \$0 | \$0 | \$3,889,336 | \$3,889,336 |
| 140 10,001 to 100,000 | \$4,643,030 | \$24,715,165 | \$0 | \$1,500,000 | \$450,000 | \$0 | \$6,885,563 | \$6,885,563 |
| 141 100,001 and Above | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 142 *Total Annual Assistance | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,000 | \$0 | \$18,957,500 | \$17,257,500 |
| 143 *Cumulative Assistance | \$61,543,560 | \$86,258,725 | \$87,184,731 | \$91,689,225 | \$92,939,225 | | \$111,896,725 | \$17,257,500 |
| Assistance by Population Size (Number of Assistance Agreements) | | | | | | | | |
| 144 Less than 501 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 3 |
| 145 501 to 3,300 | 1 | 0 | 1 | 1 | 0 | 0 | 5 | 4 |
| 146 3,301 to 10,000 | 0 | 0 | 0 | 1 | 1 | 0 | 3 | 3 |
| 147 10,001 to 100,000 | 1 | 1 | 0 | 1 | 1 | 0 | 2 | 2 |
| 148 100,001 and Above | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 149 *Total Annual Number of Agreements | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 150 *Cumulative Number of Agreements | 24 | 25 | 26 | 29 | 31 | | 45 | 12 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|-------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Construction | | | | | | | | |
| 152 Treatment | \$0 | \$0 | \$1,672,550 | \$2,193,510 | \$16,000 | \$23,155,074 | \$0 | \$315,500 |
| 153 Transmission & Distribution | \$0 | \$0 | \$1,000,503 | \$9,270,662 | \$4,786,506 | \$965,978 | \$907,153 | \$3,389,875 |
| 154 Source | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 155 Storage | \$0 | \$0 | \$199,500 | \$4,523,364 | \$64,000 | \$13,000 | \$0 | \$0 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$0 | \$0 | \$631,056 | \$2,536,655 | \$305,076 | \$15,000 | \$47,000 | \$720,830 |
| 160 *Total Annual Dollar Amount | \$0 | \$0 | \$3,503,609 | \$18,524,191 | \$5,171,582 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 161 *Cumulative Dollar Amount | \$0 | \$0 | \$3,503,609 | \$22,027,800 | \$27,199,382 | \$51,348,434 | \$52,302,587 | \$56,728,792 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Construction | | | | | | | | |
| 163 Treatment | 0 | 0 | 1 | 2 | 1 | 1 | 0 | 2 |
| 164 Transmission & Distribution | 0 | 0 | 3 | 4 | 5 | 2 | 2 | 3 |
| 165 Source | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 166 Storage | 0 | 0 | 1 | 3 | 1 | 1 | 0 | 0 |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 169 Land Acquisition | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 170 Other | 0 | 0 | 3 | 7 | 5 | 1 | 2 | 11 |
| 171 *Total Annual Number | 0 | 0 | 8 | 16 | 12 | 5 | 4 | 16 |
| 172 *Cumulative Number | 0 | 0 | 8 | 24 | 36 | 41 | 45 | 61 |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|--------------|--------------|--------------|--------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Drinking Water System Project Assistance | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 151 Planning and Design Only | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Construction | | | | | | | | |
| 152 Treatment | \$0 | \$23,273,815 | \$0 | \$3,799,253 | \$0 | \$0 | \$2,228,879 | \$2,228,879 |
| 153 Transmission & Distribution | \$92,438 | \$0 | \$859,330 | \$221,747 | \$746,500 | \$0 | \$12,274,188 | \$12,203,188 |
| 154 Source | \$4,356,810 | \$0 | \$0 | \$85,136 | \$0 | \$0 | \$1,778,604 | \$1,778,604 |
| 155 Storage | \$0 | \$0 | \$0 | \$0 | \$450,000 | \$0 | \$2,675,829 | \$1,046,829 |
| 156 Purchase of Systems | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 157 Restructuring | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 158 Land Acquisition | \$251,220 | \$0 | \$0 | \$308,500 | \$0 | \$0 | \$0 | \$0 |
| 159 Other | \$114,300 | \$1,441,350 | \$66,676 | \$89,858 | \$53,500 | \$0 | \$0 | \$0 |
| 160 *Total Annual Dollar Amount | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,000 | \$0 | \$18,957,500 | \$17,257,500 |
| 161 *Cumulative Dollar Amount | \$61,543,560 | \$86,258,725 | \$87,184,731 | \$91,689,225 | \$92,939,225 | | \$111,896,725 | \$17,257,500 |
| Drinking Water System Project Assistance | | | | | | | | |
| (Number in each category)** | | | | | | | | |
| 162 Planning and Design Only | 0 | 0 | 0 | 0 | 0 | | 0 | |
| Construction | | | | | | | | |
| 163 Treatment | 0 | 1 | 0 | 1 | 0 | | 2 | |
| 164 Transmission & Distribution | 1 | 0 | 1 | 1 | 1 | | 10 | |
| 165 Source | 1 | 0 | 0 | 1 | 0 | | 2 | |
| 166 Storage | 0 | 0 | 0 | 0 | 1 | | 3 | |
| 167 Purchase of Systems | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 168 Restructuring | 0 | 0 | 0 | 0 | 0 | | 0 | |
| 169 Land Acquisition | 1 | 0 | 0 | 1 | 0 | | 0 | |
| 170 Other | 2 | 1 | 1 | 3 | 3 | | 0 | |
| 171 *Total Annual Number | 5 | 2 | 2 | 7 | 5 | | 17 | |
| 172 *Cumulative Number | 66 | 68 | 70 | 77 | 82 | | 99 | |

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|--|-------------|-------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Number of Projects Funded | | | | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 0 | 0 | 3 | 6 | 6 | 2 | 2 | 3 |
| 174 *Cumulative Number of Projects | 0 | 0 | 3 | 9 | 15 | 17 | 19 | 22 |
| DWSRF Project Starts | | | | | | | | |
| 175 Annual Dollar Amount | \$0 | \$0 | \$3,503,609 | \$18,524,191 | \$5,023,618 | \$24,149,052 | \$954,153 | \$4,426,205 |
| 176 *Cumulative Dollar Amount | \$0 | \$0 | \$3,503,609 | \$22,027,800 | \$27,051,418 | \$51,200,470 | \$52,154,623 | \$56,580,828 |
| 177 Annual Number of Assistance Agreements | 0 | 0 | 3 | 6 | 6 | 2 | 2 | 3 |
| 178 *Cumulative Number of Agreements | 0 | 0 | 3 | 9 | 15 | 17 | 19 | 22 |
| DWSRF Project Completions | | | | | | | | |
| 179 Annual Dollar Amount | \$0 | \$0 | \$0 | \$1,084,010 | \$2,938,748 | \$14,174,025 | \$4,006,727 | \$34,377,318 |
| 180 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$1,084,010 | \$4,022,758 | \$18,196,783 | \$22,203,510 | \$56,580,828 |
| 181 Annual Number of Assistance Agreements | 0 | 0 | 0 | 1 | 3 | 3 | 5 | 9 |
| 182 *Cumulative Number of Agreements | 0 | 0 | 0 | 1 | 4 | 7 | 12 | 21 |
| 183 Number of Projects Completed | 0 | 0 | 0 | 1 | 3 | 3 | 5 | 9 |
| 184 *Cumulative Number of Projects Completed | 0 | 0 | 0 | 1 | 4 | 7 | 12 | 21 |
| Assistance to Disadvantaged Communities | | | | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Assistance with Subsidy | | | | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 191 Annual Dollar Amount of Principal Forgiven/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 193 Annual Number of Assistance Agreements with Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| All Assistance with Greater than 20-Year Repayment | | | | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | | | |
| 199 Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 200 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|--------------|--------------|--------------|--------------|-----------|---------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Number of Projects Funded | | | | | | | | |
| 173 Annual Number of Projects Receiving Assistance | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 174 *Cumulative Number of Projects | 24 | 25 | 26 | 29 | 31 | | 45 | 12 |
| DWSRF Project Starts | | | | | | | | |
| 175 Annual Dollar Amount | \$4,814,768 | \$24,715,165 | \$926,006 | \$4,504,494 | \$1,250,000 | \$0 | \$18,957,500 | \$17,257,500 |
| 176 *Cumulative Dollar Amount | \$61,395,596 | \$86,110,761 | \$87,036,767 | \$91,541,261 | \$92,791,261 | | \$111,748,761 | \$17,257,500 |
| 177 Annual Number of Assistance Agreements | 2 | 1 | 1 | 3 | 2 | 0 | 14 | 12 |
| 178 *Cumulative Number of Agreements | 24 | 25 | 26 | 29 | 31 | | 45 | 12 |
| DWSRF Project Completions | | | | | | | | |
| 179 Annual Dollar Amount | \$171,738 | \$171,738 | \$926,006 | \$404,494 | \$25,165,165 | \$0 | \$7,891,003 | \$0 |
| 180 *Cumulative Dollar Amount | \$56,752,566 | \$56,924,304 | \$57,850,310 | \$58,254,804 | \$83,419,969 | | \$91,310,972 | \$0 |
| 181 Annual Number of Assistance Agreements | 1 | 1 | 1 | 1 | 2 | 0 | 3 | 0 |
| 182 *Cumulative Number of Agreements | 22 | 23 | 24 | 25 | 27 | | 30 | 0 |
| 183 Number of Projects Completed | 1 | 1 | 1 | 1 | 2 | 0 | 3 | 0 |
| 184 *Cumulative Number of Projects Completed | 22 | 23 | 24 | 25 | 27 | | 30 | 0 |
| Assistance to Disadvantaged Communities | | | | | | | | |
| 185 Annual Dollar Amount of Assistance to Disadvantaged Communities | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 186 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 187 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 188 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| All Assistance with Subsidy | | | | | | | | |
| 189 Annual Dollar Amount of Assistance with Principal Forgiveness/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$17,257,500 | \$17,257,500 |
| 190 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$17,257,500 | \$17,257,500 |
| 191 Annual Dollar Amount of Principal Forgiven/Grant/Negative Interest | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$9,750,490 | \$9,750,490 |
| 192 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$9,750,490 | \$9,750,490 |
| 193 Annual Number of Assistance Agreements with Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 12 |
| 194 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 12 | 12 |
| All Assistance with Greater than 20-Year Repayment | | | | | | | | |
| 195 Annual Dollar Amount of Assistance with > 20-Year Repayment | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 196 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 197 Annual Number of Assistance Agreements with > 20-Year Repayment | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 198 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |
| Population Served in Disadvantaged Communities Receiving Assistance | | | | | | | | |
| 199 Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 200 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

DWSRF Fund Assistance - Specific Project Information

| | <i>For the Reporting Year Ending June 30 of:</i> | | | | | | | |
|---|--|------|-------------|--------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Assistance for System Compliance with SDWA | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 201 Assisting Non-Compliant Systems to Achieve Compliance | \$0 | \$0 | \$0 | \$2,731,327 | \$2,881,892 | \$149,052 | \$516,000 | \$2,082,470 |
| 202 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$2,731,327 | \$5,613,219 | \$5,762,271 | \$6,278,271 | \$8,360,741 |
| 203 Assisting Compliant Systems to Maintain Compliance | \$0 | \$0 | \$3,503,609 | \$1,945,988 | \$2,289,689 | \$0 | \$438,153 | \$2,343,735 |
| 204 *Cumulative Dollar Amount | \$0 | \$0 | \$3,503,609 | \$5,449,597 | \$7,739,286 | \$7,739,286 | \$8,177,439 | \$10,521,174 |
| 205 Assisting Compliant Systems to Meet Future Requirements | \$0 | \$0 | \$0 | \$13,846,876 | \$0 | \$24,000,000 | \$0 | \$0 |
| 206 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$13,846,876 | \$13,846,876 | \$37,846,876 | \$37,846,876 | \$37,846,876 |
| 207 Other Assistance Not Compliance Related | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 208 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (Number of Agreements in each category) | | | | | | | | |
| 209 Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 2 | 4 | 1 | 1 | 2 |
| 210 *Cumulative Number of Agreements | 0 | 0 | 0 | 2 | 6 | 7 | 8 | 10 |
| 211 Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 3 | 2 | 2 | 0 | 1 | 1 |
| 212 *Cumulative Number of Agreements | 0 | 0 | 3 | 5 | 7 | 7 | 8 | 9 |
| 213 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 |
| 214 *Cumulative Number of Agreements | 0 | 0 | 0 | 2 | 2 | 3 | 3 | 3 |
| 215 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 216 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (Population Served in each category) | | | | | | | | |
| 217 Assisting Non-Compliant Systems to Achieve Compliance | 0 | 0 | 0 | 5,110 | 7,482 | 136 | 1,173 | 19,810 |
| 218 *Cumulative Population Served | 0 | 0 | 0 | 5,110 | 12,592 | 12,728 | 13,901 | 33,711 |
| 219 Assisting Compliant Systems to Maintain Compliance | 0 | 0 | 389,659 | 389,503 | 141,527 | 0 | 803 | 388,700 |
| 220 *Cumulative Population Served | 0 | 0 | 389,659 | 779,162 | 920,689 | 920,689 | 921,492 | 1,310,192 |
| 221 Assisting Compliant Systems to Meet Future Requirements | 0 | 0 | 0 | 461,864 | 0 | 73,164 | 0 | 0 |
| 222 *Cumulative Population Served | 0 | 0 | 0 | 461,864 | 461,864 | 535,028 | 535,028 | 535,028 |
| 223 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 224 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|--------------|--------------|--------------|--------------|-----------|--------------|--------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Assistance for System Compliance with SDWA | | | | | | | | |
| (Dollars in each category) | | | | | | | | |
| 201 Assisting Non-Compliant Systems to Achieve Compliance | \$171,738 | \$0 | \$926,006 | \$404,494 | \$0 | \$0 | \$6,120,822 | \$6,049,822 |
| 202 *Cumulative Dollar Amount | \$8,532,479 | \$8,532,479 | \$9,458,485 | \$9,862,979 | \$9,862,979 | \$0 | \$15,983,801 | \$6,049,822 |
| 203 Assisting Compliant Systems to Maintain Compliance | \$4,643,030 | \$0 | \$0 | \$4,100,000 | \$1,250,000 | \$0 | \$11,880,799 | \$10,251,799 |
| 204 *Cumulative Dollar Amount | \$15,164,204 | \$15,164,204 | \$15,164,204 | \$19,264,204 | \$20,514,204 | \$0 | \$32,395,003 | \$10,251,799 |
| 205 Assisting Compliant Systems to Meet Future Requirements | \$0 | \$24,715,165 | \$0 | \$0 | \$0 | \$0 | \$955,879 | \$955,879 |
| 206 *Cumulative Dollar Amount | \$37,846,876 | \$62,562,041 | \$62,562,041 | \$62,562,041 | \$62,562,041 | \$0 | \$63,517,920 | \$955,879 |
| 207 Other Assistance Not Compliance Related | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 208 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| (Number of Agreements in each category) | | | | | | | | |
| 209 Assisting Non-Compliant Systems to Achieve Compliance | 1 | 0 | 1 | 1 | 0 | 0 | 5 | 4 |
| 210 *Cumulative Number of Agreements | 11 | 11 | 12 | 13 | 13 | 0 | 18 | 4 |
| 211 Assisting Compliant Systems to Maintain Compliance | 1 | 0 | 0 | 2 | 2 | 0 | 8 | 7 |
| 212 *Cumulative Number of Agreements | 10 | 10 | 10 | 12 | 14 | 0 | 22 | 7 |
| 213 Assisting Compliant Systems to Meet Future Requirements | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 1 |
| 214 *Cumulative Number of Agreements | 3 | 4 | 4 | 4 | 4 | 0 | 5 | 1 |
| 215 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 216 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (Population Served in each category) | | | | | | | | |
| 217 Assisting Non-Compliant Systems to Achieve Compliance | 560 | 0 | 2,400 | 912 | 0 | 0 | 9,162 | 8,682 |
| 218 *Cumulative Population Served | 34,271 | 34,271 | 36,671 | 37,583 | 37,583 | 0 | 46,745 | 8,682 |
| 219 Assisting Compliant Systems to Maintain Compliance | 40,408 | 0 | 0 | 85,329 | 37,135 | 0 | 88,527 | 86,127 |
| 220 *Cumulative Population Served | 1,350,600 | 1,350,600 | 1,350,600 | 1,435,929 | 1,473,064 | 0 | 1,561,591 | 86,127 |
| 221 Assisting Compliant Systems to Meet Future Requirements | 0 | 42,000 | 0 | 0 | 0 | 0 | 15,000 | 15,000 |
| 222 *Cumulative Population Served | 535,028 | 577,028 | 577,028 | 577,028 | 577,028 | 0 | 592,028 | 15,000 |
| 223 Other Assistance Not Compliance Related | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 224 *Cumulative Population Served | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|---------|-----------|-------------|-------------|-------------|-------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Assistance to Private Systems | | | | | | | | |
| 225 Annual Dollar Amount | \$0 | \$0 | \$0 | \$300,000 | \$1,352,365 | \$149,052 | \$0 | \$1,646,970 |
| 226 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$300,000 | \$1,652,365 | \$1,801,417 | \$1,801,417 | \$3,448,387 |
| 227 Annual Number of Assistance Agreements | 0 | 0 | 0 | 1 | 3 | 1 | 0 | 1 |
| 228 *Cumulative Number of Agreements | 0 | 0 | 0 | 1 | 4 | 5 | 5 | 6 |
| Assistance to Systems by Type | | | | | | | | |
| 229 Annual Number of Community Systems | 0 | 0 | 3 | 6 | 5 | 2 | 1 | 3 |
| 230 *Cumulative Number of Community Systems | 0 | 0 | 3 | 9 | 14 | 16 | 17 | 20 |
| 231 Annual Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| 232 *Cumulative Number of non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 233 Annual Population Served by Community Systems | 0 | 0 | 389,659 | 491,048 | 147,785 | 73,300 | 803 | 409,300 |
| 234 *Cumulative Population Served by Community Systems | 0 | 0 | 389,659 | 880,707 | 1,028,492 | 1,101,792 | 1,102,595 | 1,511,895 |
| 235 Annual Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 1,173 | 0 |
| 236 *Cumulative Population Served by non-Community Systems | 0 | 0 | 0 | 0 | 0 | 0 | 1,173 | 1,173 |
| Assistance for the Creation of New Systems | | | | | | | | |
| 237 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 238 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 239 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 240 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Assistance for the Consolidation of Systems | | | | | | | | |
| 241 Annual Dollar Amount | \$0 | \$0 | \$0 | \$231,327 | \$0 | \$0 | \$0 | \$0 |
| 242 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$231,327 | \$231,327 | \$231,327 | \$231,327 | \$231,327 |
| 243 Annual Number of Assistance Agreements | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 244 *Cumulative Number of Agreements | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| 245 Annual Number of Systems Eliminated | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| 246 *Cumulative Number of Systems Eliminated | 0 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| Assistance to Indian Tribes | | | | | | | | |
| 247 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 249 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 250 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| DWSRF Fund Assistance - Specific Project Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-------------|-------------|-------------|-------------|-----------|-------------|-------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Assistance to Private Systems | | | | | | | | |
| 225 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$249,086 | \$249,086 |
| 226 *Cumulative Dollar Amount | \$3,448,387 | \$3,448,387 | \$3,448,387 | \$3,448,387 | \$3,448,387 | | \$3,697,473 | \$249,086 |
| 227 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 228 *Cumulative Number of Agreements | 6 | 6 | 6 | 6 | 6 | | 7 | 1 |
| Assistance to Systems by Type | | | | | | | | |
| 229 Annual Number of Community Systems | 1 | 1 | 1 | 3 | 2 | 0 | 12 | 11 |
| 230 *Cumulative Number of Community Systems | 21 | 22 | 23 | 26 | 28 | | 40 | 11 |
| 231 Annual Number of non-Community Systems | 1 | 0 | 0 | 0 | 0 | 0 | 2 | 1 |
| 232 *Cumulative Number of non-Community Systems | 2 | 2 | 2 | 2 | 2 | | 4 | 1 |
| 233 Annual Population Served by Community Systems | 40,408 | 42,000 | 2,400 | 45,321 | 37,135 | 0 | 111,729 | 109,329 |
| 234 *Cumulative Population Served by Community Systems | 1,552,303 | 1,594,303 | 1,596,703 | 1,642,024 | 1,679,159 | | 1,790,888 | 109,329 |
| 235 Annual Population Served by non-Community Systems | 560 | 0 | 0 | 0 | 0 | 0 | 960 | 480 |
| 236 *Cumulative Population Served by non-Community Systems | 1,733 | 1,733 | 1,733 | 1,733 | 1,733 | | 2,693 | 480 |
| Assistance for the Creation of New Systems | | | | | | | | |
| 237 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,972,979 | \$1,901,979 |
| 238 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$1,972,979 | \$1,901,979 |
| 239 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 1 |
| 240 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 2 | 1 |
| Assistance for the Consolidation of Systems | | | | | | | | |
| 241 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$3,827,160 | \$3,756,160 |
| 242 *Cumulative Dollar Amount | \$231,327 | \$231,327 | \$231,327 | \$231,327 | \$231,327 | | \$4,058,487 | \$3,756,160 |
| 243 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 4 |
| 244 *Cumulative Number of Agreements | 1 | 1 | 1 | 1 | 1 | | 6 | 4 |
| 245 Annual Number of Systems Eliminated | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 7 |
| 246 *Cumulative Number of Systems Eliminated | 1 | 1 | 1 | 1 | 1 | | 12 | 7 |
| Assistance to Indian Tribes | | | | | | | | |
| 247 Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 248 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | \$0 |
| 249 Annual Number of Assistance Agreements | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 250 *Cumulative Number of Agreements | 0 | 0 | 0 | 0 | 0 | | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|------|------|--------------|--------------|--------------|----------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 251 Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$29,614,747 | \$0 | \$0 | \$33,063,800 |
| 252 Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$29,614,747 | \$0 | \$0 | \$35,000,000 |
| 253 Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$0 | \$0 | \$308,830 | \$0 | \$0 | \$441,472 |
| 254 Leveraged Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,332,487 |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 255 *Annual Change | \$0 | \$0 | \$0 | \$0 | \$16,285,500 | \$0 | \$7,323,709 | \$4,867,162 |
| 256 Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$16,285,500 | \$16,285,500 | \$23,609,209 | \$28,476,371 |
| 257 Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | (\$10,261,661) |
| 258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 259 *Gross Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$29,614,747 | \$29,614,747 | \$29,614,747 | \$62,678,547 |
| 260 *Net Leveraged Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$29,614,747 | \$29,614,747 | \$29,614,747 | \$64,614,747 |
| 261 *Cost of Leveraged Bond Issuance - Cumulative | \$0 | \$0 | \$0 | \$0 | \$308,830 | \$308,830 | \$308,830 | \$750,302 |
| 262 *Leveraged Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$1,332,487 |
| 263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | (\$10,261,661) |
| 264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$29,614,747 | \$29,614,747 | \$29,614,747 | \$51,084,399 |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | | | |
| 266 *Match Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 267 Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 268 *Match Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 269 *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 270 *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 271 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$0 | \$0 | \$0 | \$0 | \$187,938 | \$1,165,309 | \$1,398,371 | \$1,697,689 |
| 272 Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 273 *Annual Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$187,938 | \$1,165,309 | \$1,398,371 | \$1,697,689 |
| 274 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$187,938 | \$1,353,247 | \$2,751,618 | \$4,449,307 |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|----------------|----------------|----------------|----------------|-----------|----------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| Leveraged Bonds (Excludes State Match) | | | | | | | | |
| 251 Gross Leveraged Bonds Issued - Annual | \$0 | \$0 | \$5,000,000 | \$0 | \$30,000,000 | \$0 | \$0 | \$0 |
| 252 Net Leveraged Bonds Issued - Annual | \$0 | \$0 | \$5,000,000 | \$0 | \$30,000,000 | | \$0 | |
| 253 Cost of Leveraged Bond Issuance - Annual | \$0 | \$0 | \$68,931 | \$0 | \$214,796 | | \$0 | |
| 254 Leveraged Bond Principal Repaid - Annual | \$1,512,830 | \$0 | \$3,209,474 | \$2,659,788 | \$2,717,551 | | \$11,675,392 | |
| Debt Service Reserve for Leveraged Bonds | | | | | | | | |
| 255 *Annual Change | \$1,089,506 | (\$2,458,209) | (\$1,324,499) | \$10,840,545 | \$2,536,603 | | \$3,422,835 | |
| 256 Balance at End of Reporting Period | \$29,565,877 | \$27,107,668 | \$25,783,169 | \$36,623,714 | \$39,160,317 | | \$42,583,152 | |
| 257 Net Change in Gross Bonds Resulting from Refunding - Annual | \$0 | \$0 | (\$10,210,105) | (\$7,353,154) | \$0 | | \$0 | |
| 258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual | \$0 | \$0 | \$0 | \$7,471,668 | \$0 | | \$0 | |
| 259 *Gross Leveraged Bonds Issued - Cumulative | \$62,678,547 | \$62,678,547 | \$67,678,547 | \$67,678,547 | \$97,678,547 | | \$97,678,547 | |
| 260 *Net Leveraged Bonds Issued - Cumulative | \$64,614,747 | \$64,614,747 | \$69,614,747 | \$69,614,747 | \$99,614,747 | | \$99,614,747 | |
| 261 *Cost of Leveraged Bond Issuance - Cumulative | \$750,302 | \$750,302 | \$819,233 | \$819,233 | \$1,034,029 | | \$1,034,029 | |
| 262 *Leveraged Bond Principal Repaid - Cumulative | \$2,845,317 | \$2,845,317 | \$6,054,791 | \$8,714,579 | \$11,432,130 | | \$23,107,522 | |
| 263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative | (\$10,261,661) | (\$10,261,661) | (\$20,471,766) | (\$27,824,920) | (\$27,824,920) | | (\$27,824,920) | |
| 264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative | \$0 | \$0 | \$0 | \$7,471,668 | \$7,471,668 | | \$7,471,668 | |
| 265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period | \$49,571,569 | \$49,571,569 | \$41,151,990 | \$31,139,048 | \$58,421,497 | | \$46,746,105 | |
| State Match Bonds to be Repaid by DWSRF Fund | | | | | | | | |
| 266 *Match Bonds Issued - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 267 Match Bond Principal Repaid - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 268 *Match Bonds Issued - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 269 *Match Bond Principal Repaid - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 270 *Match Bonds Outstanding - Balance at End of Reporting Period | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| Interest Paid on Leveraged and Match Bonds | | | | | | | | |
| 271 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual | \$2,058,951 | \$1,999,928 | \$2,017,990 | \$1,628,881 | \$2,254,720 | | \$2,404,622 | |
| 272 Interest Paid from Capitalized Interest Account - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds | | | | | | | | |
| 273 *Annual Dollar Amount | \$2,058,951 | \$1,999,928 | \$2,017,990 | \$1,628,881 | \$2,254,720 | | \$2,404,622 | |
| 274 *Cumulative Dollar Amount | \$6,508,258 | \$8,508,186 | \$10,526,176 | \$12,155,057 | \$14,409,777 | | \$16,814,399 | |

*Calculated Values

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|-----------|-----------|-------------|--------------|--------------|--------------|--------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Project Assistance Disbursed | | | | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$0 | \$0 | \$0 | \$3,544,176 | \$15,496,132 | \$8,466,501 | \$17,328,022 | \$11,712,732 |
| 276 *Cumulative DWSRF Fund Disbursements | \$0 | \$0 | \$0 | \$3,544,176 | \$19,040,308 | \$27,506,809 | \$44,834,831 | \$56,547,563 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 1 | 4 | 5 | 3 | 5 |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 0 | 0 | 0 | 1 | 5 | 10 | 13 | 18 |
| 281 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$24,534 | \$257,732 | \$514,293 | \$1,488,373 | \$1,311,585 |
| 282 Interest Payments - Annual | \$0 | \$0 | \$0 | \$16,439 | \$73,167 | \$535,914 | \$854,084 | \$1,359,622 |
| 283 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$40,973 | \$330,899 | \$1,050,207 | \$2,342,457 | \$2,671,207 |
| 284 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$24,534 | \$282,266 | \$796,559 | \$2,284,932 | \$3,596,517 |
| 285 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$16,439 | \$89,606 | \$625,520 | \$1,479,604 | \$2,839,226 |
| 286 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$40,973 | \$371,872 | \$1,422,079 | \$3,764,536 | \$6,435,743 |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 0.000 | 0.000 | 2.600 | 2.700 | 2.700 | 2.700 | 2.300 | 2.770 |
| 288 State Market Interest Rate | 0.000 | 0.000 | 5.200 | 5.400 | 5.400 | 5.400 | 4.600 | 4.100 |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 |
| Interest Earnings on Investments | | | | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$0 | \$0 | \$0 | \$292 | \$130,579 | \$993,178 | \$2,893,465 | \$1,110,004 |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$0 | \$0 | \$0 | \$292 | \$130,871 | \$1,124,049 | \$4,017,514 | \$5,127,518 |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Fees Charged on DWSRF Assistance | | | | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 301 Annual Income from Fees not Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 302 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 303 *Total Annual Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 304 *Cumulative Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| Expenses Paid from DWSRF Fee Accounts | | | | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$35,941 | \$85,346 | \$92,896 | \$93,213 | \$404,991 | \$445,177 | \$758,587 | \$634,610 |
| 312 *Cumulative Other State Funded Administrative Expenses | \$35,941 | \$121,287 | \$214,183 | \$307,396 | \$712,387 | \$1,157,564 | \$1,916,151 | \$2,550,761 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Information | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|--------------|--------------|--------------|--------------|-----------|--------------|-------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Project Assistance Disbursed | | | | | | | | |
| 275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans) | \$136,104 | \$2,656,137 | \$16,035,388 | \$11,041,836 | \$4,505,529 | \$0 | \$1,120,680 | \$7,038,508 |
| 276 *Cumulative DWSRF Fund Disbursements | \$56,683,667 | \$59,339,804 | \$75,375,192 | \$86,417,028 | \$90,922,557 | | \$92,043,237 | \$7,038,508 |
| 277 Annual 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 278 *Cumulative 1452(k) Loan Disbursements | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account | | | | | | | | |
| 279 Number of Projects Initiating Principal Repayments | 4 | 0 | 2 | 1 | 2 | | 3 | |
| 280 *Cumulative Number of Projects Initiating Principal Repayments | 22 | 22 | 24 | 25 | 27 | | 30 | |
| 281 Principal Repayments - Annual | \$3,814,724 | \$4,203,285 | \$2,735,917 | \$2,990,608 | \$2,940,646 | | \$5,307,728 | |
| 282 Interest Payments - Annual | \$1,430,397 | \$1,342,819 | \$2,254,087 | \$1,154,312 | \$1,621,309 | | \$1,698,927 | |
| 283 *Principal and Interest - Annual | \$5,245,121 | \$5,546,104 | \$4,990,004 | \$4,144,920 | \$4,561,955 | | \$7,006,655 | |
| 284 *Principal Repayments - Cumulative | \$7,411,241 | \$11,614,526 | \$14,350,443 | \$17,341,051 | \$20,281,697 | | \$25,589,425 | |
| 285 *Interest Payments - Cumulative | \$4,269,623 | \$5,612,442 | \$7,866,529 | \$9,020,841 | \$10,642,150 | | \$12,341,077 | |
| 286 *Principal and Interest - Cumulative | \$11,680,864 | \$17,226,968 | \$22,216,972 | \$26,361,892 | \$30,923,847 | | \$37,930,502 | |
| 287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments | 2.320 | 2.050 | 2.050 | 2.190 | 2.430 | | 2.060 | |
| 288 State Market Interest Rate | 4.300 | 4.390 | 4.000 | 4.307 | 4.860 | | 4.120 | |
| DWSRF 1452(k) Loans - Separately Maintained 1452(k) Loans Only | | | | | | | | |
| 289 Principal Repayments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 290 Interest Payments - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 291 *Principal and Interest - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 292 *Principal Repayments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 293 *Interest Payments - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 294 *Principal and Interest - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 295 Weighted Average Interest Rate on 1452(k) Loans | 0.000 | 0.000 | 0.000 | 0.000 | 0.000 | | 0.000 | |
| Interest Earnings on Investments | | | | | | | | |
| 296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds) | \$1,402,166 | \$2,146,321 | \$2,169,973 | \$1,949,203 | \$2,374,313 | | \$1,412,507 | |
| 297 *Cumulative Interest Earnings on Investments in DWSRF Fund | \$6,529,684 | \$8,676,005 | \$10,845,978 | \$12,795,181 | \$15,169,494 | | \$16,582,001 | |
| 298 Annual Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| Fees Charged on DWSRF Assistance | | | | | | | | |
| 300 Annual Income from Fees Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 301 Annual Income from Fees not Included in Loans | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 302 Annual Interest Earnings from Fee Account | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 303 *Total Annual Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 304 *Cumulative Income from Fees | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| Expenses Paid from DWSRF Fee Accounts | | | | | | | | |
| 305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 306 *Cumulative Expenses Paid to Administer DWSRF Fund | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 307 Annual Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 308 *Cumulative Amount Paid from Fee Account for State Match | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| 310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes | \$0 | \$0 | \$0 | \$0 | \$0 | | \$0 | |
| DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees | | | | | | | | |
| 311 Annual Other State Funded Administrative Expenses | \$0 | \$525,523 | \$0 | \$0 | \$8,100 | | \$5,500 | |
| 312 *Cumulative Other State Funded Administrative Expenses | \$2,550,761 | \$3,076,284 | \$3,076,284 | \$3,076,284 | \$3,084,384 | | \$3,089,884 | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | | | | |
|--|---|------|------|------|------|------|------|------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | | | | |
| 313 Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 314 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 317 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Other Drinking Water Programs (Separate from DWSRF) | For the Reporting Year Ending June 30 of: | | | | | 2010 Total |
|--|---|------|------|------|------------|------------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | |
| Coordinated DWSRF Funding with Other State or Federal Funding Sources | | | | | | |
| 313 Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 314 *Cumulative Amount of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding | 0 | 0 | 0 | 0 | 0 | 0 |
| 316 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated | 0 | 0 | 0 | 0 | 0 | 0 |
| 317 DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 318 *Cumulative DWSRF Portion of Coordinated Funding | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 319 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 320 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 321 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| 322 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 323 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 324 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 325 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| 326 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities) | | | | | | |
| 327 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 328 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 329 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 |
| 330 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 331 Annual Dollar Amount of Loans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 332 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 333 Annual Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| 334 *Cumulative Number of Loans | 0 | 0 | 0 | 0 | 0 | 0 |
| State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 335 Annual Dollar Amount of Grants | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 336 *Cumulative Dollar Amount | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 337 Annual Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| 338 *Cumulative Number of Grants | 0 | 0 | 0 | 0 | 0 | 0 |
| Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities) | | | | | | |
| 339 *Dollar Amount of Loans and Grants - Annual | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 340 *Dollar Amount of Loans and Grants - Cumulative | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 341 *Number of Loans and Grants - Annual | 0 | 0 | 0 | 0 | 0 | 0 |
| 342 *Number of Loans and Grants - Cumulative | 0 | 0 | 0 | 0 | 0 | 0 |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

Lead State Agency

343 Lead State Agency Name: Department of Public Health
344 Type of Agency: Health
345 Mailing Address: 410 Capitol Avenue PO Box 340308 MS#51 WAT
346 City: Hartford
347 Zip Code: 06134-0308
348 Street Address: 450 Capitol Avenue
349 City: Hartford
350 Zip Code: 06106-1365
351 Director: Lori Mathieu
352 Director's Title: Public Health Services Manager
353 Phone Number: (860) 509-7333
354 Fax Number: (860) 509-7359
355 E-mail Address: lori.mathieu@ct.gov
356 Contact Person: Theodore K. Dunn
357 Phone Number: (860) 509-7333
358 Fax Number: (860) 509-7359
359 E-mail Address: theodore.dunn@ct.gov

Secondary Agency

360 Secondary Agency Name: Department of Environmental Protection
361 Type of Agency: Environmental
362 Mailing Address: 79 Elm Street
363 City: Hartford
364 Zip Code: 06106-1650
365 Street Address: 79 Elm Street
366 City: Hartford
367 Zip Code: 06106-1650
368 Director: Betsey Wingfield
369 Director's Title: Bureau Chief, Bureau of Water Protection and Land Reuse
370 Phone Number: (860) 424-3704
371 Fax Number: (860) 424-4067
372 E-mail Address:
373 Contact Person: Lee Rogers
374 Phone Number: (860) 424-3925
375 Fax Number: (860) 424-4067
376 E-mail Address: lee.rogers@po.state.ct.us

Other Agency

377 Other Agency Name: Office of the Treasurer
378 Type of Agency: Financial
379 Mailing Address: 55 Elm Street Suite 3
380 City: Hartford
381 Zip Code: 06106
382 Street Address: 55 Elm Street
383 City: Hartford
384 Zip Code: 06106
385 Director: Sarah K. Sanders
386 Director's Title: Assistant Treasurer
387 Phone Number: (860) 702-3134
388 Fax Number: (860) 702-3034
389 E-mail Address: sharon.peay@po.state.ct.us
390 Contact Person: Sharon Dixon Peay
391 Phone Number: (860) 702-3134
392 Fax Number: (860) 702-3034
393 E-mail Address: sharon.peay@po.state.ct.us

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|------|------------|------------|----------------|-------------|---------------|---------------|
| | 1997 | 1998 | 1999 | 2000 | 2001 | 2002 | 2003 | 2004 |
| DWSRF Funds Available for Projects | | | | | | | | |
| 394 *Annual (New Funds) | 0 | 0 | 19,053,298 | 13,022,004 | 20,506,517 | 7,810,375 | 3,680,567 | 38,007,522 |
| 395 *Cumulative | 0 | 0 | 19,053,298 | 32,075,302 | 52,581,819 | 60,392,194 | 64,072,761 | 102,080,283 |
| DWSRF Assistance as a % of Funds Available | | | | | | | | |
| 396 *Annual | - | - | 18% | 142% | 25% | 309% | 26% | 12% |
| 397 *Cumulative | - | - | 18% | 69% | 52% | 85% | 82% | 56% |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 398 *Annual | - | 0% | 5% | 17% | 172% | 86% | 205% | 62% |
| 399 *Cumulative | - | 0% | 3% | 9% | 38% | 45% | 67% | 66% |
| Disbursements as a % of Funds Available | | | | | | | | |
| 400 *Annual | - | - | 0% | 27% | 76% | 108% | 471% | 31% |
| 401 *Cumulative | - | - | 0% | 11% | 36% | 46% | 70% | 55% |
| Project Starts as a % of Funds Available | | | | | | | | |
| 402 *Annual | - | - | 18% | 142% | 24% | 309% | 26% | 12% |
| 403 *Cumulative | - | - | 18% | 69% | 51% | 85% | 81% | 55% |
| Project Completions as a % of Funds Available | | | | | | | | |
| 404 *Annual | - | - | 0% | 8% | 14% | 181% | 109% | 90% |
| 405 *Cumulative | - | - | 0% | 3% | 8% | 30% | 35% | 55% |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 406 *Annual | - | - | 0% | 0% | 1% | 7% | 40% | 3% |
| 407 *Cumulative | - | - | 0% | 0% | 1% | 1% | 4% | 4% |
| Disbursements as a % of DWSRF Assistance | | | | | | | | |
| 408 *Annual | - | - | 0% | 19% | 300% | 35% | 1816% | 265% |
| 409 *Cumulative | - | - | 0% | 16% | 70% | 54% | 86% | 100% |
| Project Starts as a % of DWSRF Assistance | | | | | | | | |
| 410 *Annual | - | - | 100% | 100% | 97% | 100% | 100% | 100% |
| 411 *Cumulative | - | - | 100% | 100% | 99% | 100% | 100% | 100% |
| Project Completions as a % of DWSRF Assistance | | | | | | | | |
| 412 *Annual | - | - | 0% | 6% | 57% | 59% | 420% | 777% |
| 413 *Cumulative | - | - | 0% | 5% | 15% | 35% | 42% | 100% |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | | | | |
| 414 *Annual | - | - | 0% | 0% | 5% | 2% | 156% | 30% |
| 415 *Cumulative | - | - | 0% | 0% | 1% | 2% | 4% | 6% |
| Project Completions as a % of Project Starts | | | | | | | | |
| 416 *Annual | - | - | 0% | 6% | 58% | 59% | 420% | 777% |
| 417 *Cumulative | - | - | 0% | 5% | 15% | 36% | 43% | 100% |
| Financial Indicators based on Cumulative Activity | | | | | | | | |
| 418 *Return on Federal Investment | - | - | 0.0% | 162.5% | 136.5% | 143.6% | 132.8% | 151.8% |
| 419 *Assistance Provided as a % of Funds Available | - | - | 18.4% | 68.7% | 51.7% | 85.0% | 81.6% | 55.6% |
| 420 *Disbursements as a % of Assistance Provided | - | - | 0.0% | 16.1% | 70.0% | 53.6% | 85.7% | 99.7% |
| 421 *Additional Assistance Provided Due to Leveraging | - | - | - | - | (\$12,053,190) | \$4,285,487 | (\$5,764,636) | (\$9,213,115) |
| 422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy | \$0 | \$0 | \$0 | \$16,731 | \$32,539 | \$396,322 | \$2,745,500 | \$3,517,437 |
| 423 *Gross Return on Contributed Capital Including Subsidy | - | - | 0.0% | 0.2% | 0.2% | 1.4% | 6.2% | 7.2% |
| 424 *Set-Aside Spending Rate | - | 0.1% | 10.2% | 16.1% | 27.5% | 35.1% | 41.5% | 44.4% |
| 425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy | \$0 | \$0 | \$0 | \$16,731 | \$32,539 | \$396,322 | \$2,745,500 | \$3,517,437 |
| 426 *Net Return on Contributed Capital Excluding Subsidy | - | - | 0.0% | 0.2% | 0.2% | 1.4% | 6.2% | 7.2% |
| Subsidy Information from PBR | | | | | | | | |
| 427 Grant Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 428 Negative Interest | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 429 Principal Forgiven | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 430 * Total Annual Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 431 * Total Cumulative Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Green Project Reserve (GPR) from PBR | | | | | | | | |
| 432 Green Infrastructure | | | | | | | | |
| 433 Energy Efficiency | | | | | | | | |
| 434 Water Conservation | | | | | | | | |
| 435 Green Innovative | | | | | | | | |
| 436 * Total Annual GPR | | | | | | | | |
| 437 * Cumulative GPR | | | | | | | | |

* Calculated values.

**Drinking Water SRF Program Information
for the State of Connecticut**

| Fund Analysis | For the Reporting Year Ending June 30 of: | | | | | | | |
|---|---|-------------|---------------|---------------|----------------|-----------|----------------|-----------|
| | 2005 | 2006 | 2007 | 2008 | 2009 Total | 2009 ARRA | 2010 Total | 2010 ARRA |
| DWSRF Funds Available for Projects | | | | | | | | |
| 394 *Annual (New Funds) | 3,342,123 | 14,184,342 | 15,584,507 | -9,227,722 | 66,952,404 | | -6,612,047 | |
| 395 *Cumulative | 105,422,406 | 119,606,748 | 135,191,255 | 125,963,533 | 192,915,937 | | 186,303,890 | |
| DWSRF Assistance as a % of Funds Available | | | | | | | | |
| 396 *Annual | 144% | 174% | 6% | -49% | 2% | | -287% | |
| 397 *Cumulative | 58% | 72% | 64% | 73% | 48% | | 60% | |
| Outlays as a % of Capitalization Grants | | | | | | | | |
| 398 *Annual | - | 85% | 233% | 176% | 16% | | 526% | |
| 399 *Cumulative | 69% | 71% | 87% | 94% | 72% | | 80% | |
| Disbursements as a % of Funds Available | | | | | | | | |
| 400 *Annual | 4% | 19% | 103% | -120% | 7% | | -17% | |
| 401 *Cumulative | 54% | 50% | 56% | 69% | 47% | | 49% | |
| Project Starts as a % of Funds Available | | | | | | | | |
| 402 *Annual | 144% | 174% | 6% | -49% | 2% | | -287% | |
| 403 *Cumulative | 58% | 72% | 64% | 73% | 48% | | 60% | |
| Project Completions as a % of Funds Available | | | | | | | | |
| 404 *Annual | 5% | 1% | 6% | -4% | 38% | | -119% | |
| 405 *Cumulative | 54% | 48% | 43% | 46% | 43% | | 49% | |
| Loan Principal Repayments as a % of Funds Available | | | | | | | | |
| 406 *Annual | 114% | 30% | 18% | -32% | 4% | | -80% | |
| 407 *Cumulative | 7% | 10% | 11% | 14% | 11% | | 14% | |
| Disbursements as a % of DWSRF Assistance | | | | | | | | |
| 408 *Annual | 3% | 11% | 1732% | 245% | 360% | | 6% | |
| 409 *Cumulative | 92% | 69% | 86% | 94% | 98% | | 82% | |
| Project Starts as a % of DWSRF Assistance | | | | | | | | |
| 410 *Annual | 100% | 100% | 100% | 100% | 100% | | 100% | |
| 411 *Cumulative | 100% | 100% | 100% | 100% | 100% | | 100% | |
| Project Completions as a % of DWSRF Assistance | | | | | | | | |
| 412 *Annual | 4% | 1% | 100% | 9% | 2013% | | 42% | |
| 413 *Cumulative | 92% | 66% | 66% | 64% | 90% | | 82% | |
| Loan Principal Repayments as a % of DWSRF Assistance | | | | | | | | |
| 414 *Annual | 79% | 17% | 295% | 66% | 235% | | 28% | |
| 415 *Cumulative | 12% | 13% | 16% | 19% | 22% | | 23% | |
| Project Completions as a % of Project Starts | | | | | | | | |
| 416 *Annual | 4% | 1% | 100% | 9% | 2013% | | 42% | |
| 417 *Cumulative | 92% | 66% | 66% | 64% | 90% | | 82% | |
| Financial Indicators based on Cumulative Activity | | | | | | | | |
| 418 *Return on Federal Investment | 153.8% | 144.5% | 130.8% | 124.4% | 125.4% | | 113.1% | |
| 419 *Assistance Provided as a % of Funds Available | 58.4% | 72.1% | 64.5% | 72.8% | 48.2% | | 60.1% | |
| 420 *Disbursements as a % of Assistance Provided | 92.1% | 68.8% | 86.5% | 94.2% | 97.8% | | 82.3% | |
| 421 *Additional Assistance Provided Due to Leveraging | (\$8,829,976) | \$4,159,056 | (\$4,174,946) | (\$8,754,943) | (\$46,993,950) | | (\$24,847,238) | |
| 422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy | \$4,291,049 | \$5,780,261 | \$8,186,331 | \$2,189,297 | \$3,930,199 | | (\$5,113,479) | |
| 423 *Gross Return on Contributed Capital Including Subsidy | 8.6% | 10.8% | 11.5% | 2.6% | 4.3% | | -5.0% | |
| 424 *Set-Aside Spending Rate | 54.6% | 64.2% | 69.8% | 74.4% | 76.4% | | 80.3% | |
| 425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy | \$4,291,049 | \$5,780,261 | \$8,186,331 | \$2,189,297 | \$3,930,199 | | \$4,637,011 | |
| 426 *Net Return on Contributed Capital Excluding Subsidy | 8.6% | 10.8% | 11.5% | 2.6% | 4.3% | | 5.0% | |
| Subsidy Information from PBR | | | | | | | | |
| 427 Grant Amount | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 428 Negative Interest | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 429 Principal Forgiven | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,490 | 9,750,490 |
| 430 * Total Annual Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,490 | 9,750,490 |
| 431 * Total Cumulative Subsidy | 0 | 0 | 0 | 0 | 0 | 0 | 9,750,490 | 9,750,490 |
| Green Project Reserve (GPR) from PBR | | | | | | | | |
| 432 Green Infrastructure | | | | 0 | 0 | 0 | 0 | 0 |
| 433 Energy Efficiency | | | | 0 | 0 | 0 | 2,964,842 | 2,964,842 |
| 434 Water Conservation | | | | 0 | 0 | 0 | 2,964,842 | 2,964,842 |
| 435 Green Innovative | | | | 0 | 0 | 0 | 0 | 0 |
| 436 * Total Annual GPR | | | | 0 | 0 | 0 | 5,929,684 | 5,929,684 |
| 437 * Cumulative GPR | | | | 0 | 0 | 0 | 5,929,684 | 5,929,684 |

* Calculated values.