



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX
75 Hawthorne Street
San Francisco, CA 94105**

DATE: October 30, 2009

TO: All Federally-Recognized Tribes within the EPA Region 9 Geographic Area

FROM: U.S. Environmental Protection Agency (EPA) Region 9

SUBJECT: Funding Announcement Guidance for Available Tribal Funding under: Section 103 of the Clean Air Act, 42 U.S.C., §7403

Catalog of Federal Domestic Assistance (CFDA) Number 66.038 – training, investigations, and special purpose activities of Federally-recognized Indian Tribes consistent with the Clean Air Act (CAA), Tribal sovereignty, and the protection and management of air quality

SUMMARY INFORMATION:

The U.S. Environmental Protection Agency (EPA) Region 9 anticipates awarding approximately 30 grant agreements to Federally-recognized tribes within the Region 9 geographic area for funding tribal air pollution control programs, air quality assessment projects, and the development of tribal air program capacity. It is anticipated that the awards will range from \$50,000 to \$120,000. Projects will be funded for work to be done in Fiscal Year 2011 (FY11, between October 1, 2010 and September 30, 2011).

The EPA authority for awarding these grants is Section 103 of the Clean Air Act, 42 USC §7403. All funded activities must be related to air pollution and/or air pollution control. In addition, the goal of these grants is to assist tribes in developing the capacity to manage their air quality programs in accordance with their individual needs. Grants awarded under these programs are governed by administrative requirements under 40 CFR Part 31. There is no matching requirement for Section 103 grants.

CONTACT INFORMATION:

Roy Ford, (415) 972-3997
U.S. EPA Region 9, Air Division

IMPORTANT DATE TO REMEMBER:

Proposals must be received by January 12, 2010. *Late proposals will not be considered.*

PROGRAM INFORMATION:

The purpose of this grant program is to provide funding for tribal air pollution control programs

and projects. Projects may include conducting and promoting the coordination and acceleration of investigations, experiments, demonstrations, surveys, and studies relating to the causes, effects (including health and welfare effects), extent, prevention, enforcement and control of ambient and indoor air pollution. These activities may include: air quality characterization studies, identification and investigation of air pollution sources, air quality monitoring baseline studies, and training and community education and outreach. Funds will be awarded to carry out projects that serve the lands in Indian Country belonging to 147 Federally-recognized tribes which fall within EPA Region 9's geographic area. Grantees will use quarterly project status reports to document quantifiable environmental results.

EPA Region 9 expects to receive additional funding for tribes to conduct energy work in FY11. This funding may be included in any air grants awarded. In order to be considered for these funds, please include specific energy related tasks and estimated costs in your proposal. Some examples of eligible activities could include: working with other regulatory agencies and the public on issues related to emissions from energy projects; develop emission inventories; using assessment tools or activities to understand, assess and address changes in emissions related to energy development; monitoring and modeling to assess impacts related to energy development; and developing guidance, policy and regulatory implementation plans related to energy development. Planning, demonstration, and/or implementation projects designed to address climate change by reducing greenhouse gas emissions will not be considered under this funding announcement.

NOTE: Applicants who receive a grant award under this funding opportunity notice may not use funds from other Federal assistance agreements/programs to fund the same activities proposed under this Funding Announcement notice.

EPA'S STRATEGIC PLAN AND ANTICIPATED PROGRAMMATIC ENVIRONMENTAL RESULTS

1. Linkage to EPA Strategic Plan

Activities must relate to and fit within the scope of EPA's Strategic Plan's goals and objectives. Ambient air (outdoor) projects and programmatic activities are related to Goal 1 – Clean Air and Global Climate Change; Objective 1.1 – Healthier Outdoor Air, Sub-Objective 1.1.1 – More People Breathing Clean Air, and Sub-Objective 1.1.2 – Reduced Risk from Toxic Air Pollutants. Indoor air and climate change projects and programmatic activities are addressed in Goal 1 – Clean Air Global Climate Change; Objective 1.2 – Healthier Indoor Air, Objective 1.3 – Protect the Ozone Layer, and Objective 1.5 – Reduce Greenhouse Gas Emissions. Enforcement activities in Indian Country are addressed in Goal 5 – Compliance and Environmental Stewardship; Objective 5.3 – Build Tribal Capacity.

2. Measuring Environmental Results

Applicants need to demonstrate a clear linkage between the relevant goals listed in the *EPA Strategic Plan (2006-2011)* discussed above and the projects expected outputs and outcomes. Pursuant to EPA Order 5700.7, "*Environmental Results under EPA Assistance Agreements,*"

EPA requires that all grant recipients adequately address environmental outputs and outcomes. Outputs and outcomes differ both in their nature, and in how they are measured. Applicants must discuss anticipated environmental outputs and outcomes from proposed work plan objectives and performance measures.

Expected **environmental outputs** (or deliverables) refer to an environmental activity, effort, and/or associated work product that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative, but must be measurable during an assistance agreement funding period. Examples of environmental outputs may include: an air quality management plan, an emissions inventory, air monitoring data.

Expected **environmental outcomes** are the result, effect, or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, and must be quantitative. Examples of outcomes may include: significant number of community members with increased awareness of Indoor Air Quality issues, and/or increased number of tribal residents breathing cleaner air.

AWARD INFORMATION:

EPA Region 9 anticipates awarding approximately 30 grants ranging in size from \$50,000 to \$120,000. Requests of more than \$120,000 may be considered, depending on need and funding availability. All proposals should be submitted for work to be performed during the period of October 1, 2010, to September 30, 2011. Due to funding limitations, it is unlikely that all proposals will receive a grant award under this Funding Announcement.

PROPOSAL SUBMISSION INFORMATION:

Applicants should submit proposals by hardcopy to EPA Region 9 at the address listed below in Section B. **FULL APPLICATIONS MUST NOT BE SUBMITTED AT THIS TIME** (i.e. the SF-424 forms should not be submitted, only the proposal narrative and budget).

A. Applicants should submit an original and one copy of their proposals to EPA Region 9 (see Section B below for more details). Proposals may not exceed 8 single or 4 double sided pages (any additional pages will not be reviewed) and must be submitted on 8 1/2 by 11 inch paper with 1 inch margins, and at least 11 point font. EPA Region 9 encourages applicants to submit double sided proposals on recycled paper.

Proposals must address the following items:

1. Name of tribe.
2. Contact information for this project (environmental director and project staff, organization name, address, phone, FAX, e-mail address).
3. A statement that your organization is a federally-recognized tribe within the Region 9 geographic area.
4. A brief explanation of any previous Clean Air Act activities under Clean Air Act or General Assistance Program grant funding.
5. A brief description of your tribe (tribal population, geographic areas covered etc.).

6. A narrative description of how your tribe addresses each aspect of Funding Factors 1, 2, and 3 (see pages 4 and 5 of this announcement).
7. Amount of proposed federal funding requested.
8. Expected accomplishments or products, with dates and interim milestones.
9. Workplan summary (work plan tasks, outcomes/deliverables, time line for completion of tasks, and estimated staff time for completing each work plan task).
10. Tribes with EPA-funded air monitoring stations must submit a one or two-page summary of air quality monitoring data from the past 12 month period.
(NOTE: THE DATA SUMMARY IS NOT PART OF THE 8 PAGE TOTAL LIMIT)

B. Submission Due Date

Proposals must be received by January 12, 2010.

LATE PROPOSALS WILL NOT BE CONSIDERED.

Please send original and one copy (double-sided) of your proposal to:

U.S. Environmental Protection Agency Region 9

Attn: Roy Ford (AIR-8)

75 Hawthorne Street

San Francisco, CA 94105

EPA will not accept proposals which are sent via FAX or email.

For additional information, please contact Roy Ford at (415) 972-3997.

C. Confidential Business Information

It is recommended that confidential information not be included in the proposal. However, if confidential business information is included, it will be treated in accordance with 40 CFR Part 2. In accordance with 40 CFR 2.203, applicants must clearly mark applications/proposals or portions of applications/proposals they claim as confidential. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by 40 CFR 2.204(c)(2) prior to disclosure.

FUNDING FACTORS:

Funding Factor 1 – Air Quality Issues and Environmental Risk

Your proposal will be evaluated based upon how well it describes and addresses the following air pollution concerns of the tribe:

1A: Are your tribal lands located in an area that has been designated by EPA as “non-attainment” for one of the pollutants for which there are National Ambient Air Quality Standards (NAAQS)? Please answer yes or no, and if yes, for which pollutant(s).

1B: Please describe any Clean Air Act Title V major sources of air pollution located within your tribal jurisdiction.

1C: Please describe other non-major air pollution sources of concern located within tribal jurisdiction (e.g. minor sources, open burning, etc.). We are particularly interested in facilities such as casinos, dry cleaners, gas stations, sand and gravel operations, oil and gas processing, restaurants, auto and auto body shops, internal combustion engines, and electric generators. *Please include estimates of emissions from these facilities where possible.*

1D: Please describe other air pollution problems, for example: transport of air pollution from off the reservation (including nearby stationary sources and urban areas), or any other air pollution problem that is a concern for the tribe.

Funding Factor 2 – Current Air Quality Program Activities (describe all that are applicable to your tribe)

2A: Ambient Air monitoring

- (1) Please describe the type of existing air monitoring equipment used by the tribe to monitor and collect data, and pollutants monitored.
- (2) Please describe the status of any Quality Assurance Project Plan (QAPP) and the date that your tribe's QAPP was approved or submitted to EPA Region 9. If the QAPP was submitted more than five years ago, the tribe must submit a revised QAPP. (The EPA Region 9 Quality Assurance contact is: Dave Taylor, 415-972-3801.)
- (3) Please state whether your tribe submits air quality monitoring data into EPA's national database, Air Quality System (AQS). Please answer yes or no, and if yes, provide the date your tribe began entering data into AQS.

2B: Regulatory Program Development

- (1) Please describe air quality legislation enacted by the tribal government.
- (2) Please describe air quality regulations promulgated by the tribal government.
- (3) Please describe air permitting/compliance activities.

2C: Air Quality Outreach, Participation, and Collaboration

- (1) Please describe activities which involve the dissemination of information regarding air pollution and climate change to the community and/or tribal leadership.
- (2) Please describe efforts the tribe has made to participate in both national and regional air quality and climate change initiatives on an ongoing basis.
- (3) Please describe demonstrated or proposed collaboration, information sharing or providing technical assistance to other tribes.

2D: Energy Activity Work (optional)

Please describe any energy related tasks the tribe proposes to do. (see Program Information on page 2)

Funding Factor 3 – Programmatic Capability and Environmental Results

3A: Past Performance

Please describe your tribe's past performance in successfully completing EPA grant projects, including whether your tribe was able to complete work plan tasks and deliverables, submit required progress and financial reports in a timely fashion, and comply with all applicable grant conditions (if not, explain why).

3B: Environmental Results

Please describe your plan for tracking and measuring progress toward achieving expected outputs and outcomes identified in the Strategic Plan/Environmental Results section of this Guidance. (See description on pages 2 and 3)

ADDITIONAL INFORMATION:

- A. **Reporting:** Quarterly project status reports and a final Federal Financial Report will be required. Quarterly project status reports should describe project activities and provide the EPA tribal air grant project officer with information about project development. The Federal Financial Report must accurately account for all federal funds expended and identify appropriate use of federal funds.

- B. **Pre-Award Costs:** In certain circumstances, costs incurred prior to the grant award may be eligible for reimbursement. However, this does not include any costs associated with responding to this funding guidance. If costs are incurred before the award, they are incurred at applicant's own risk. Please note that projects solely for research are ineligible for funding, as well as other ineligible costs outlined in 40 CFR Part 31 and OMB Circular A-87.