

AVERT Overview and Step-by-Step Instructions

U.S. Environmental Protection Agency State Climate and Energy Program Updated July 2016





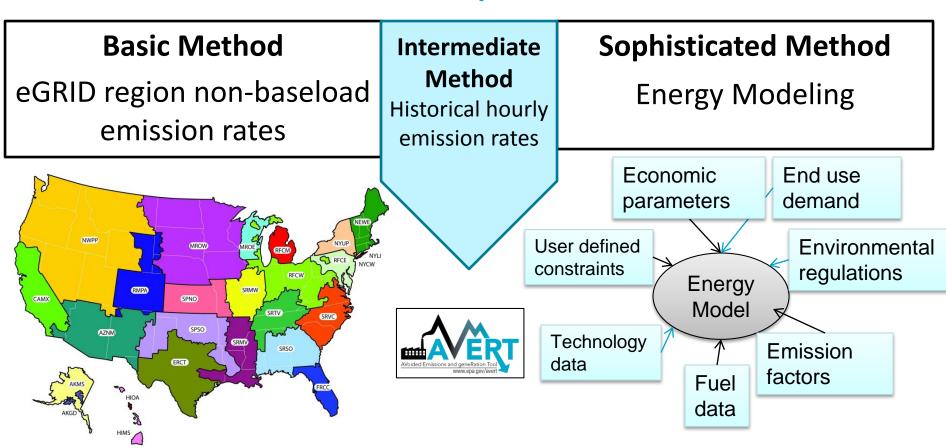


Overview of AVERT Development for Energy Efficiency and Renewable Energy (EE/RE) Programs

- AVERT (AVoided Emissions and geneRation Tool) translates the energy impacts of EE/RE policies and programs into emission reductions (NO_x, SO₂, and CO₂).
 - It aims to address a key reason states have not implemented previous <u>EE/RE State Implementation Plan (SIP) guidance</u>.
- AVERT has been thoroughly reviewed, well documented and tested. EPA has:
 - Conducted external and internal peer reviews.
 - Benchmarked AVERT against industry standard electric power sector model – PROSYM.
 - Worked with states to beta-tested tool for functionality, appropriate uses, and clarity of user manual.
- AVERT was built to be:
 - user friendly
 - transparent
 - credible



Emission Quantification Methods Basic to Sophisticated





Applications for AVERT-Calculated Emissions

- SIP credit in a state's National Ambient Air Quality Standard Clean Air Act Plan
- Identify cohort of electric generating units (EGU) "on the margin" compared to baseline
- Compare emission impacts of different EE/RE programs
- Understand emission reductions during High Electric Demand Days
- This is not a projection tool, not intended for analysis more than 5 yrs from baseline





Examples Using AVERT

- The Clean Air Benefits of Wind Energy (<u>AWEA, May</u> 2014)
- Maine Distributed Solar Valuation Study (<u>Maine PUC</u>, <u>March 2015</u>)
- CarbonCountTM Green Bonds Scores (<u>Alliance to Save</u> <u>Energy, March 2015</u>)
- Assessing Emission Benefits of Renewable Energy and Energy Efficiency Programs (<u>U.S. EPA, April 2015</u>)
- U.S. EPA's Ozone Advance Program- Clark County, NV's Paths Forward
- DOE's Online Smart Grid Calculator (PNNL, Fall 2015)
- Renewable Portfolio Standard (RPS) Benefits Report (<u>LBNL and NREL, January 2016</u>)



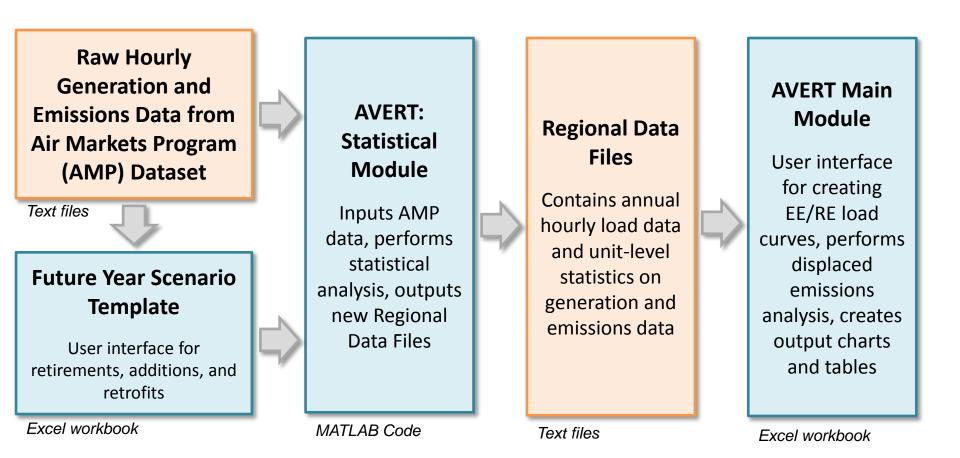


How AVERT Works

- AVERT's Main Module simulates the hourly changes in generation and air emissions (NO_x, SO₂, and CO₂) at EGU resulting from EE/RE policies and programs.
- User input: MWhs saved from EE programs, or wind and solar generation (MW)
 - Multiple options are built into the tool
 - EPA provides hourly profiles for some states with on-thebooks EE programs not included in Energy Information Administration's Annual Energy Outlook (2013)
- User can retire, add and change emission rates of EGU and re-run simulation using AVERT's Future Year Scenario Template and Statistical Module.



AVERT's Modules and Data Files



Most users will only need to use the Regional Data Files and AVERT Main Module to calculate emissions



AVERT's Data Driven Analysis

- AVERT uses a data-driven analysis to distinguish which EGU respond to marginal changes in load reduction.
 - AVERT analyzes EGU datasets from EPA's Air Markets and Program Data (hourly, unit-by-unit generation & emissions).
 - Dataset includes EGUs with capacity of 25 MWs or greater.
 - AVERT's Statistical Module gathers statistics on EGU operations under specific load conditions, and then replicates changes through a Monte Carlo analysis.
 - AVERT's Regional Data Files contain hourly and unitlevel emissions and generation data.





Part I AVERT Main Module





AVERT Main Module Step-by-Step Overview

- Enabling Macros
- Using AVERT
- Step 1. Load Regional Data File
- Step 2. Set Energy Efficiency and Renewable Energy Data
- Step 3. Run Displacement
- Step 4. Display Outputs





AVERT Main Module Enabling Macros

- In Windows, AVERT is compatible with Excel 2007 or newer versions.
- On a Mac, AVERT is compatible with Excel 2011.
 - Only the Main Module has been optimized for Mac.
 Other components (e.g., the Statistical Module)
 require Windows.
- You may want to revert to the default macro settings after using the model. Enabling macros in other Excel files may allow potentially dangerous code to run.

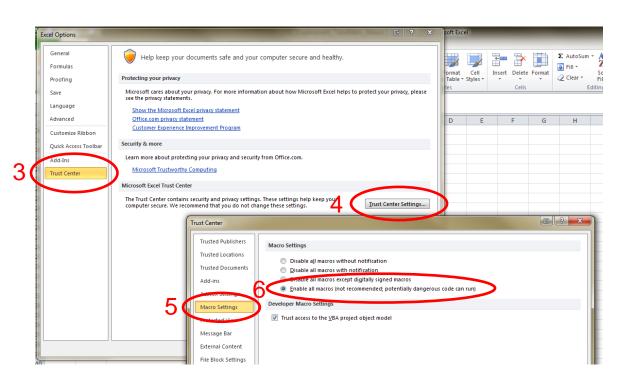




AVERT Main Module Enabling Macros in Windows*

In Excel 2010 or 2013, Next, click **Trust Center > Trust Center Settings > Macro** click **File > Options Settings > Enable all macros**







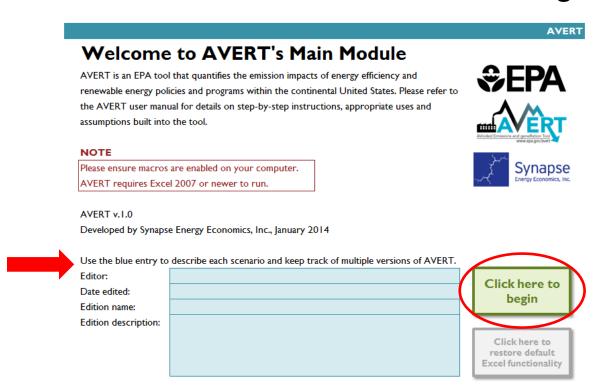
^{*}If using Excel 2007, click the Microsoft Office Button: 🔒.

^{*}If using Excel on a Mac, select "Enable macros" in the dialog box that appears when opening the file.



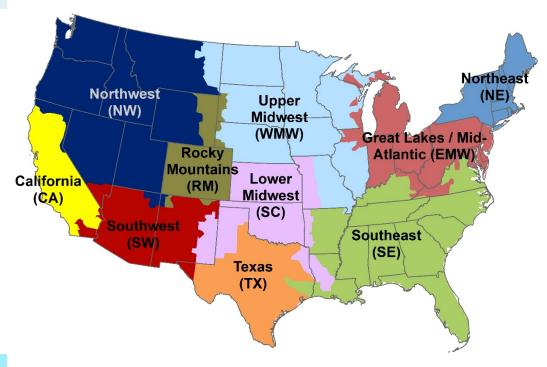
AVERT Main Module Using AVERT

- Add details about the user, the date, and the EE/RE program for which displacements are to be estimated.
- Click on the button labeled "Click here to begin".









Regions represent relatively autonomous electricity production zones, and are based on electricity market module regions.

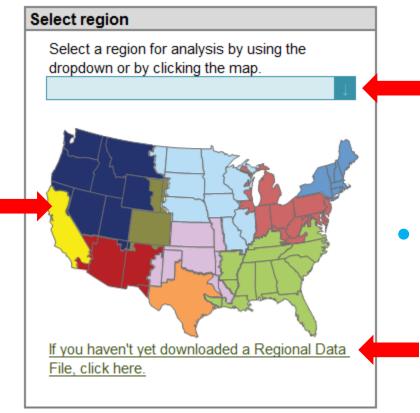
Regions include

- California
- Great Lakes/Mid-Atlantic
- Lower Midwest
- Northeast
- Northwest
- Rocky Mountains
- Southeast
- Southwest
- Texas
- Upper Midwest





 Select a region for analysis by either using the dropdown or clicking the map.

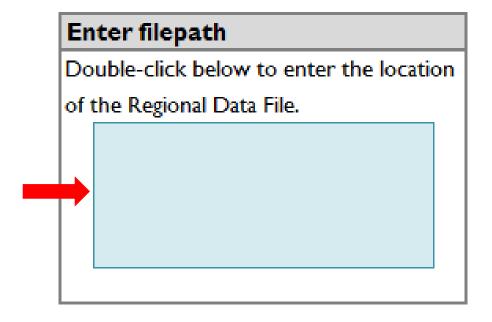


- Selecting a region loads region-specific data for wind and solar capacity factors, and dynamically creates a hyperlink to that region's data on EPA's website.
- After selecting a region, click the link under the map to download it from EPA's website.





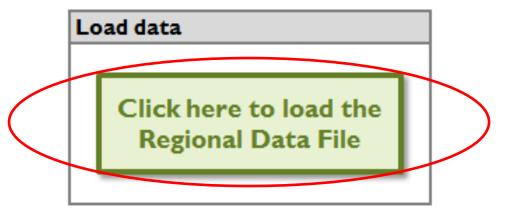
 In the box labeled "Enter filepath," double-click the blue area to navigate to the location of the downloaded regional data file.







Click the button under "Load data" entitled:



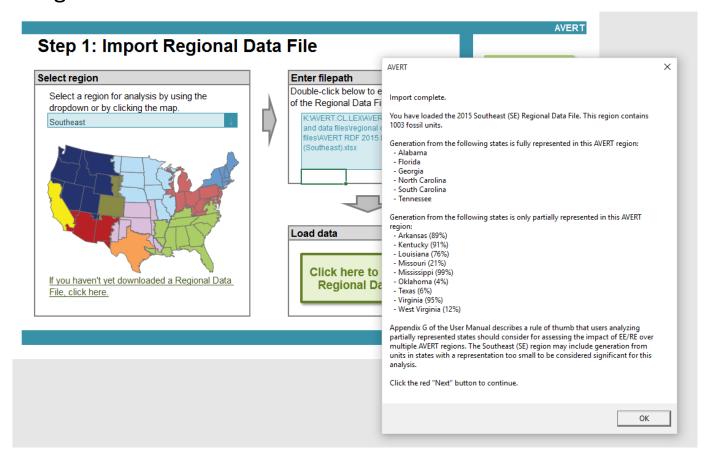
Clicking this button loads the following information from the regional data file:

- Hourly fossil load
- EGU information (e.g., location, fuel type)
- Typical EGU performance for generation and emissions at a given regional load





 A popup will indicate when the file has finished loading and remind you how to handle states that are split across multiple AVERT regions.

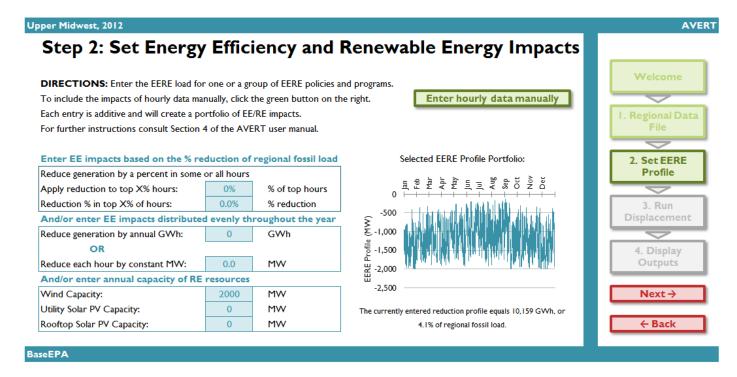






AVERT Main Module Step 2. Set EE and RE Data

 This page leads you through the process of creating a load impact profile depicting the load reductions expected from an EE/RE program.

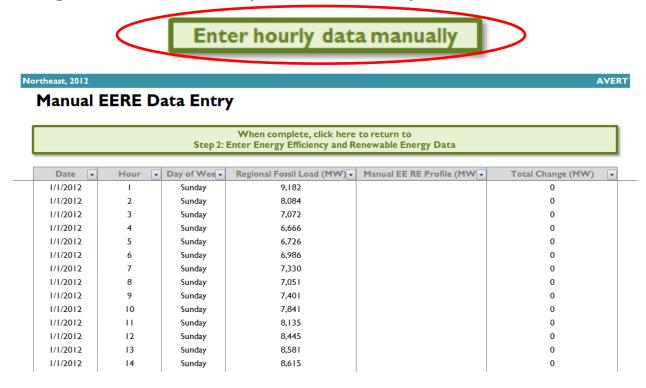






AVERT Main Module Step 2. Set EE and RE Data

 If the hourly load reductions expected from a particular EE/RE policy, program, or measure are known, a manual stream of load reduction values can be entered for every hour of the year by clicking the "Enter hourly data manually" button.







AVERT Main Module Step 2. Set EE and RE Data

This page also allows you to estimate a load reduction from basic characteristics:

- Reduce fossil-fuel generation by a percent in some or all hours
- Reduce fossil-fuel generation by total GWh
- Reduce each hour by a constant MW
- Renewable energy proxy
- Combination of EE/RE programs including combining pre-set options with manual entry

Enter EE impacts based on the % reduction of regional fossil load

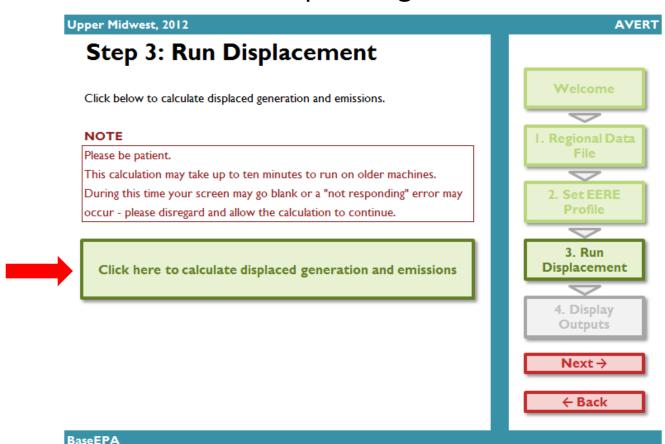
Reduce generation by a percent in some or all hours				
Apply reduction to top X% hours:	0%	% of top hours		
Reduction % in top X% of hours:	0.0%	% reduction		
And/or enter EE impacts distributed evenly throughout the year				
Reduce generation by annual GWh:	0	GWh		
OR				
Reduce each hour by constant MW:	0.0	MW		
And/or enter annual capacity of RE resources				
Wind Capacity:	2000	MW		
Utility Solar PV Capacity:	0	MW		
Rooftop Solar PV Capacity:	0	MW		





AVERT Main Module Step 3. Run Displacement

 Run displacement by selecting the button entitled "Click here to calculate displaced generation and emissions."







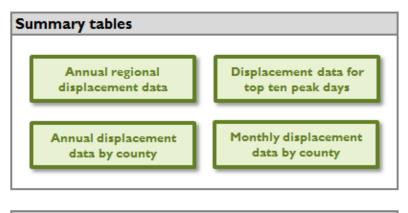
AVERT Main Module Step 3. Run Displacement

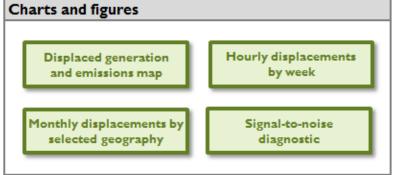
- This step calculates hourly displaced generation and emissions (SO₂, NO_X, CO₂) for each fossil EGU within the selected region.
- Note that this is a processor-intensive step.
 When using an older computer, or when analyzing regions with many fossil EGU, this step may take up to ten minutes.





 The data generated in Step 3 are aggregated in two groups of charts and tables in Step 4.









Annual regional displacements

• This table displays the total annual generation and emissions as reported for the region in the base year ("Original") and as calculated by AVERT's Main Module after the EE/RE reduction ("Post-EERE").

Upper Midwest, 2012	AVERT
Output: Annual Regional Displacements	

Click here to return to Step 4: Display Outputs				
	Original	Post-EERE	Impacts	
Generation (MWh)	245,694,500	235,514,500	- 10,180,000	
Total Emissions				
SO ₂ (lbs)	956,871,300	921,132,200	- 35,739,100	
NO _x (lbs)	416,259,200	400,349,300	- 15,909,900	
CO ₂ (tons)	246,098,700	236,856,400	- 9,242,300	
Emission Rates				
SO ₂ (lbs/MWh)	3.895	3.911		
NO _x (lbs/MWh)	1.694	1.700		
CO ₂ (tons/MWh)	1.002	1.006		
- , /				

All results are rounded to the nearest hundred. A dash ("—") indicates a result greater than zero, but lower than the level of reportable significance.





Annual displacement data by county

 This table presents a summary of the displaced generation and emissions for each of the counties from each of the states contained within the region. A line for each county containing an EGU is displayed.

-1,700

-79,200

-59,900

-200

-353,300

-2,100

Upper Midwest, 2012 **Output: Annual Displacement Data by County** Click here to return to Step 4: Display Outputs Peak Gross Annual Gross Generation, Post-Generation, Post-Annual Displaced Annual Displace State County EERE (MWh) Generation (MWI -SO₂ (lbs) IA Allamakee 250 1,152,800 -91,800 -639,700 17 2,700 -5,300 IA Appanoose -600 Audubon 125 39,100 IA -8,800 IA Black Hawk 109 42,300 -8,900 -30,700 IA Cerro Gordo 522 772,800 -154,400 -600 30 IA Clay 11.000 -2,300-21,000IA Clinton 143 583,900 -30,500 -195,700 IA Des Moines 210 1,195,400 -58,200 -438,500 IA 42 -1.600 -500 Dubuque 91,300 IA Louisa 770 5,304,300 -197,800 -642,500

32,500

960,200

343,100

57

262

481

For each county, annual output statistics are given for:

- Peak Gross Generation Post-EE/RE
- Annual Gross Generation Post-EE/RE
- Capacity Factor
- Annual Change in Generation
- Annual Change in Heat Input/ SO₂/NO_x/CO₂
- Ozone Season Change in SO₂/NO_X
- Ozone Season, 10 Peak Days Change in SO₂/NO_x



IA

IA

IA

Marshall

Muscatine

Polk



Displacement data for top ten peak days

• This table displays a summary of the ten days in the region featuring the highest level of fossil fuel load.

Output: Displacement Data for Top Ten Peak Days Click here to return to Step 4: Display Displaced SO₂ Displaced CO₂ **Total Fossil Generation Expected Displaced** Displaced Generation Displaced NO. Day Rank (MWh) Date Generation (MWh) (MWh) (lbs) (lbs) (Tons) Jul 26 990,200 -32,800 -32,000 -56,500 -58,900 -23,300 Jul 27 966,700 -26,300 -26,300 -57,000 -42,500 -19,800 3 Jul 25 963,900 -35,800 -35,900 -69,600 -62,700-27,1004 Jul 14 963,400 -21,800 -21,800 -42,400 -36,800 -16,500 5 954,200 -16,500 -16,400 -35,900 -27,700 -12,800 Aug 01 6 Jul 06 953,600 -16,000 -16,000 -37,400 -26,700 -12,6007 Jul 13 950,100 -17,000 -16,400 -37,800 -29,900 -13,100Jul 07 928,900 -19,000 -19,100 -41,100-29,600 -14,600

-18,500

-15,500

-42,700

-34,200

-31,400

-21,500

Negative numbers indicate displaced generation and emissions.

Jul 10

Aug 12

Upper Midwest, 2012

9

10

All results are rounded to the nearest hundred. A dash ("--") indicates a result greater than zero, but lower than the level of reportable significance.

-18,400

-15,500

927,500

923,200



AVERT

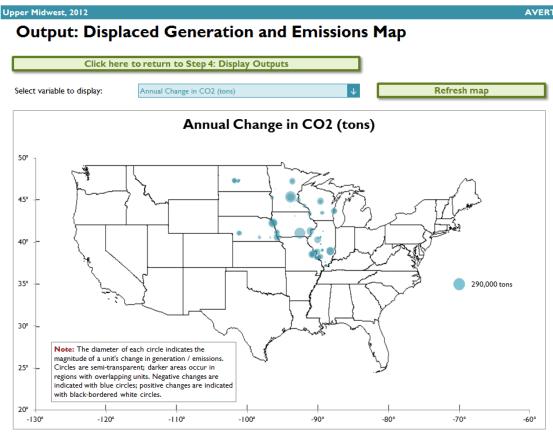
-14,400

-12,000



Displaced generation and emissions map

This dynamic map allows the user to view where emissions have been displaced within the selected region. Users can view changes in generation, heat input, SO_2 , NO_X , and CO_2 .



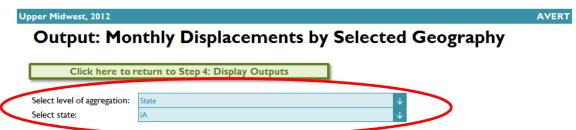


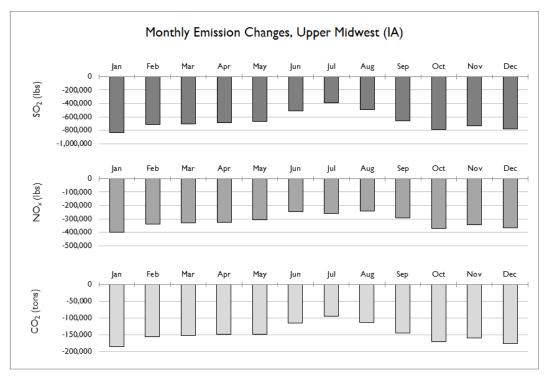


Displacement data by month

Monthly output can be viewed over the entire region, or a specific state or county within the region.

- First select region, state, or county in the top dropdown menu.
- If selecting a state, choose the state in the next dropdown menu.
- If selecting a county, choose both the state and the county in the next two dropdown menus.



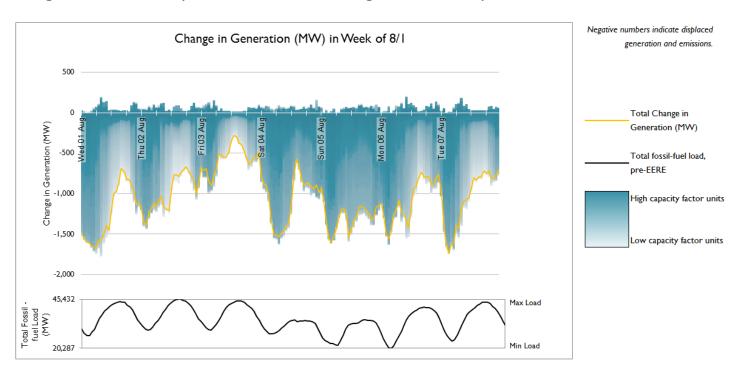






Hourly displacements by week

 This graph displays a dynamic representation of hourly displacement from each EGU in a region. Individual plants are stacked as gradated bar plots.

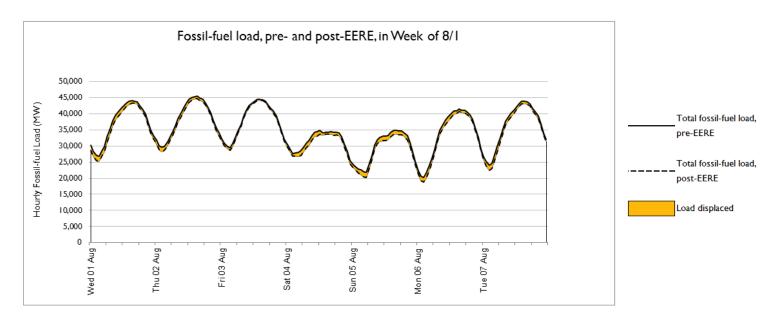






Hourly displacements by week

 The second figure shows the same week-long load impact profile, but presents the displaced load in reference to the total fossil-fuel load to illustrate the degree of change represented by the EE/RE program relative to the baseline.

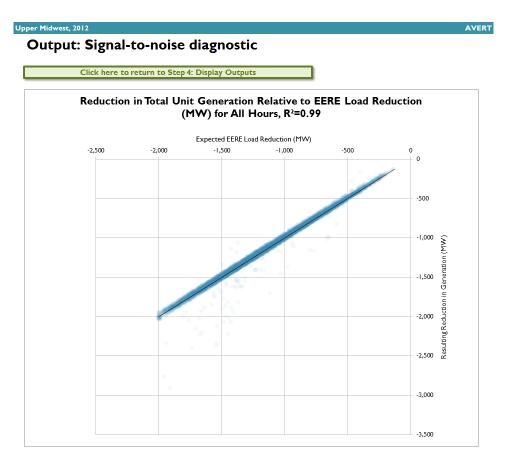






Signal-to-noise diagnostic

- This chart is a scatterplot of every hour of the year, showing calculated total generation reduction in each hour (y-axis) against the userinput EE/RE load reduction in each hour (x-axis).
- Ideally, AVERT perfectly matches unit generation reductions to the amount of EE/RE load reduction requested by the user.
- This graphic shows where that assumption holds, where it does not hold, and to what extent.







Part II AVERT Statistical Module Operation





AVERT Statistical Module Overview

Purpose

- Basis of AVERT analysis
- Processes raw CAMD
 data to determine
 behavioral characteristics
 of fossil-fired EGU
- Returns expected generation and emissions behavior to AVERT Main Module
- Allows users to alter EGU characteristics, retire and add EGU with Future Year Template

Advanced use of AVERT

- Most users will not require the Statistical Module
- Based in MATLAB
- Executable version available for public use
- Requires MATLAB
 Compiler Runtime (MCR)
 to be installed (free from Mathworks)
- Requires Windows
- Output file can be used directly in Main Module





AVERT Statistical Module Obtain Correct Version

- AVERT Statistical Module requires is sensitive to PC specifications.
- 32-bit and 64-bit operating system versions available.
- Obtain correct version of AVERT Statistical Module.
- Obtain correct version of MCR from Mathworks: R2012b (8.0).
 - Use the exact version noted on the AVERT website and in the user guide. An older or newer version will give you an error when you try to run the analysis.

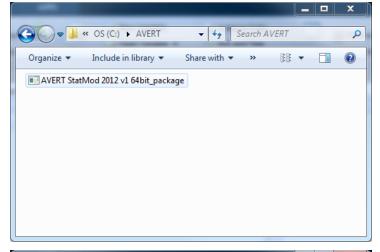
- Determine if your
 Windows system
 operates in a 32-bit or
 64-bit environment.
 - Find this information in "properties" of "My
 Computer" in Windows XP, or "Computer" in Windows
 Vista, Windows 7, or
 Windows 8.
 - Follow these instructions:
 http://windows.microsoft.c
 om/en-us/windows7/find-out-32-or-64-bit.



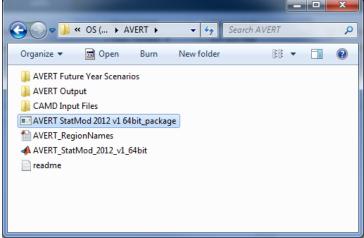


AVERT Statistical Module Unpacking and Startup

 Download the AVERT Statistical Module package.



 Run the executable to decompress the package to three files and three subfolders.

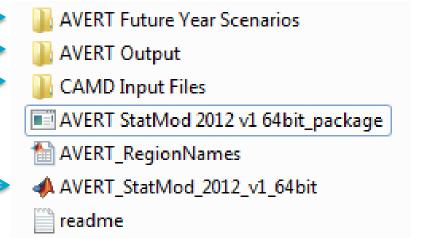






AVERT Statistical Module File Structure

- AVERT Future Year
 - **Scenarios**
 - Excel-based input files for altering EGU
- AVERT Output
 - Statistical Module output files
 - These become Main Module input files
- CAMD Input Files
 - Processed CAMD data files
 - New versions expected 2nd quarter annually
- AVERT_StatMod_ 2012_v1_64bit
 - Executable







Obtaining Other Base Years

To obtain additional historic base year data, visit: https://www.epa.gov/statelocalclimate/download-avert.

"CAMD Input Files"

Download AVFRT Future Year Scenario for the AVERT Future Year Scenarios same historic base year. AVERT Output Place the file in CAMD Input Files "AVERT Future Year Scenarios" AVERT StatMod 2012 v1 64bit_package Download the CAMD AVERT_RegionNames input file for the historic AVERT_StatMod_2012_v1_64bit base year. readme Place the file in

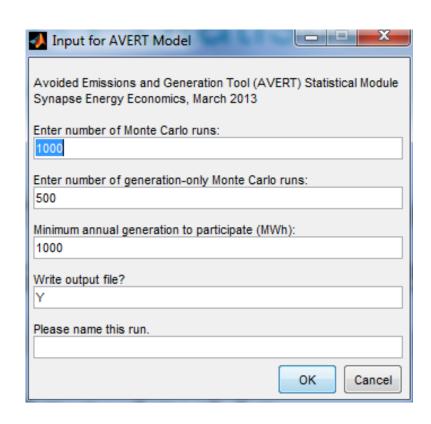


Note: Historic base years must match-up with the Future Year Scenario Template.



AVERT Statistical Module Input Parameters

- Higher number of Monte Carlo (MC) runs reduces noise.
 - For test runs, use a low number of MC runs (10) and generation-only MC runs (5).
 - For final runs, use a high number of MC runs (1,000) and generationonly MC runs (500).
- Select "Y" to write output and save runs.



Use letters and numbers only.

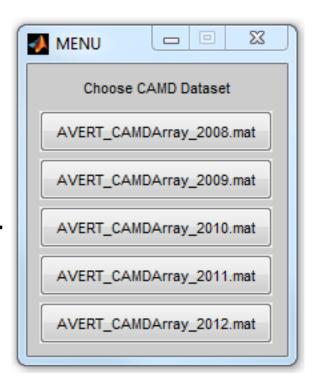
No special characters and no spaces.





AVERT Statistical Module Choose Data File

- Choose base year for analysis.
 - Data from 2007 through 2015 are available.
 - New data will be ready by the second quarter of the next year.
 - Requires data to be vetted by EPA and post-processed.

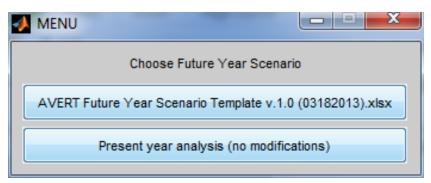






AVERT Statistical Module Choose Future Year Scenario

- Select either
 - Saved future year scenario (see slide 41)
 - Present year analysis



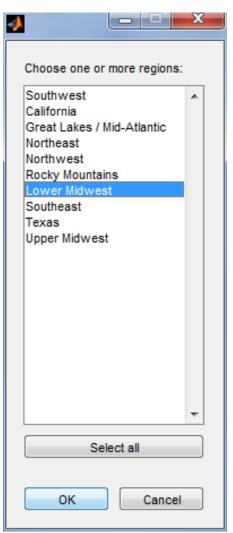
Present year analysis makes no modifications to the AVERT dataset.

- Uses EGU that exist in data year
- No changes in emissions rates



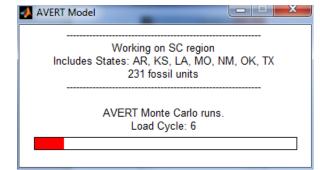


AVERT Statistical Module Choose Region(s) of Interest



- Choose region (or multiple regions)
 of interest.
- Same regions as in AVERT Main Module
- Once you hit "OK", the program will run uninterrupted until completion.
 - Program returns updated run status on a regular basis.
 - Output graphic and file indicate
 - successful completion.







Part III AVERT Future Year Scenario Template





AVERT Future Year Scenario Overview

Purpose

- AVERT is not forwardlooking: cannot predict EGU retirements, new additions, or emissions modifications.
- Future Year Scenarios allow users to
 - Remove EGU from analysis.
 - Include additional proxy EGU.
 - Modify emissions characteristics.

- Advanced use of AVERT
 - Excel spreadsheet
 - Read into AVERTStatistical Module
- Each spreadsheet becomes a scenario.
 - Spreadsheet becomes input file for AVERT
 Statistical Module.
 - Each future year scenario template is specifically designed to match the same historic base year.





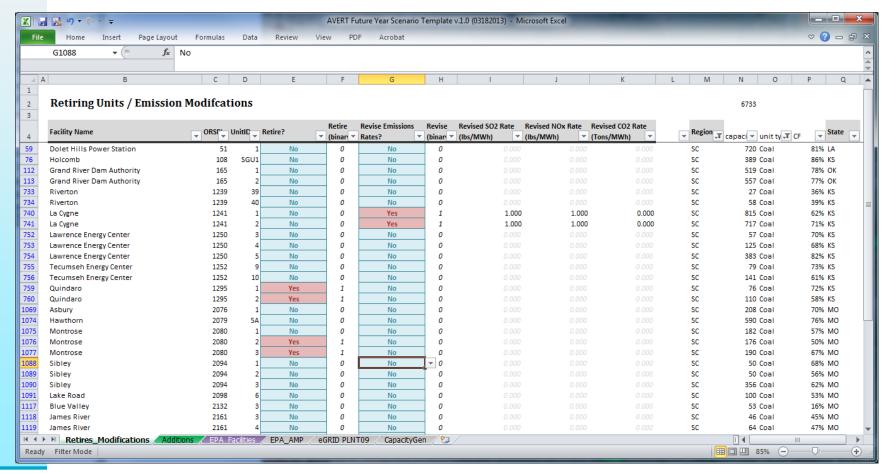
Use AVERT Future Year Scenario in Statistical Module

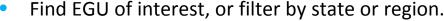
- Obtain Future Year Scenario Template (slides 33-36).
- Modify Future Year Scenario Template (slides 44-46).
- Save Future Year Scenario Template with a meaningful name.
- Run Statistical Module (slides 37-40).
 - Provide a unique name for the statistical module run (slide 37).
 - Choose saved future year scenario (slide 39).

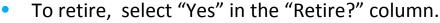




AVERT Future Year Scenario Retires and Modifications





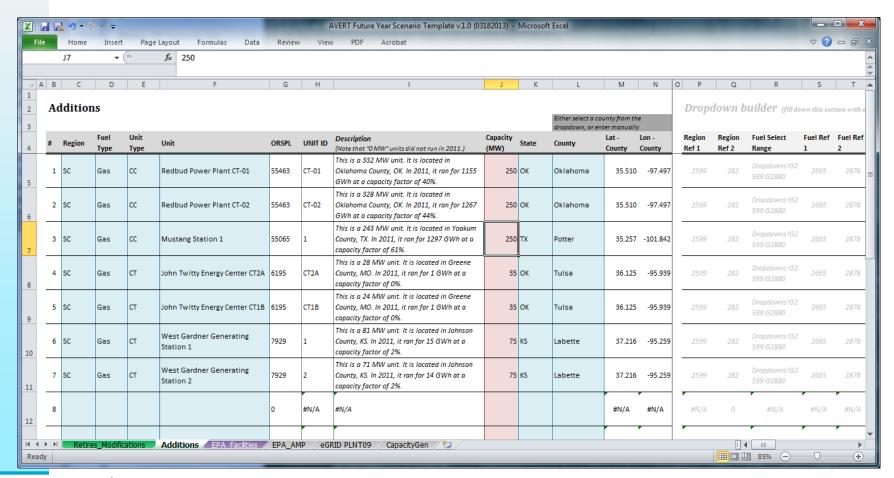


To change emissions rate, select "Yes" in the "Revise Emissions Rates?" column and enter new rate(s) in columns I, J, or K.





AVERT Future Year Scenario Additions





In order

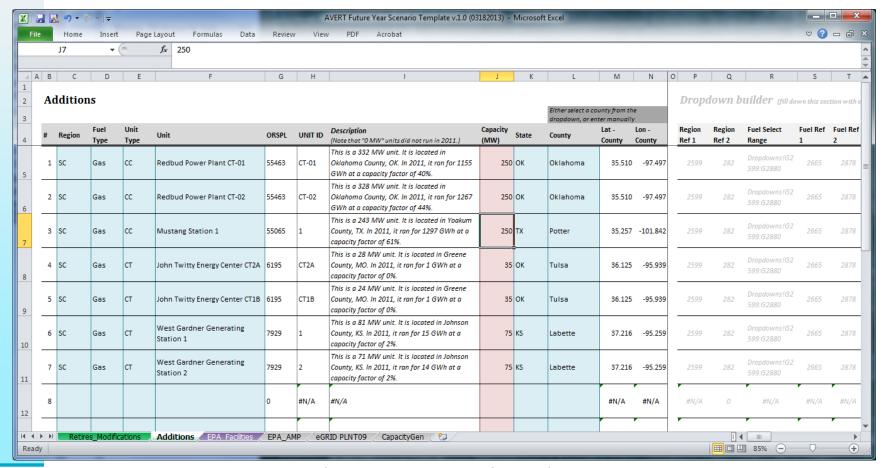
- 1. Select region
- 2. Select fuel type
- 3. Select generator type

4. Select specific EGU (unit)

Description will appear about EGU type automatically.



AVERT Future Year Scenario Additions



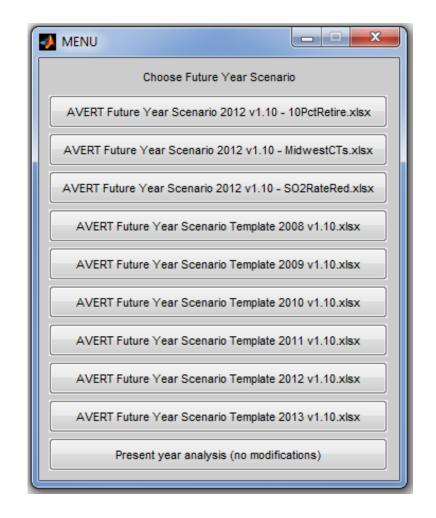


- Choose proxy unit capacity (will scale all other factors)
- Choose state (within region)
- Choose county (within region)
- Save file



Use AVERT Future Year Scenario in Statistical Module

- Run Statistical Module (slides 37-40).
- Provide a unique name for the statistical module run (slide 37).
- Choose saved future year scenario (slide 39).







For More Information

- Visit the AVERT website at <u>www.epa.gov/avert</u>.
- Contact EPA's State and Local Climate and Energy Program at <u>avert@epa.gov</u>.

