A Manager's Roadmap for Funding Sustainable Solid Waste Programs in Rural Alaska

Produced in support of the Interagency Coordination Meetings on Solid Waste Challenges in Rural Alaska

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For more information on *A Manager's Roadmap for Funding Sustainable Solid Waste Programs in Rural Alaska* or Interagency Coordination Meetings on Solid Waste Challenges in Rural Alaska, please contact:

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Please see Appendix 6 for agency program contacts for funding programs listed in the *Roadmap* and for additional Alaska solid and hazardous waste management contacts.

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Introduction

Goals of the Roadmap

- A Manager's Roadmap for Funding Sustainable Solid Waste Programs in Rural Alaska (also abbreviated as Roadmap) is for all federal, state, local, and tribal managers who are concerned with funding, planning, executing, managing, or designing waste management programs in rural Alaska.
- The Roadmap outlines the key steps in an ideal sustainable waste program (solid and hazardous waste management) and where to find <u>state and federal</u> <u>funding</u> to pay for each step. Partnerships are encouraged, see more on the next page about partnerships.
- The Roadmap is meant to serve as a starting point on where to get additional information from respective state and/or federal agencies.

Introduction (Continued)

Additional Considerations

- ➡ Funding eligibility will vary depending on the program.
- Although this document represents ideal conditions, the actual steps to consider and complete will vary across communities.
- This process is a cycle that should be started again each year. Alaska state grant cycles typically start July 1.
- The Roadmap is a living document. Funding sources may vary. If there are additional resources that should be listed or have changed, please let us know.
- The Roadmap describes eligible solid and hazardous waste activities as they are described in the May 15, 2013 EPA Indian General Assistance Program Guidance document.

Introduction (Continued)

Partnerships and Collaborative Efforts

- There are many different types of partnerships in Alaska including diverse partnerships among federal, state, and local (city, regional) governments, tribes, non-profit organizations, and foundations.
- There may be other collaborative efforts that would support various community programs that would fall outside of the scope of state and federal funding sources identified in the *Roadmap*.
- Memorandums of Understanding are one example of solidifying a commitment of working together, between tribes and the city. There may be the opportunity to generate revenue for fee-based collection programs.

Roadmap Phase Cycle

The *Roadmap* is a cyclical process. All of the phases feed into one another. One can begin and continue solid and hazardous waste work at any phase in the process. The actual steps to consider and complete will vary across communities.

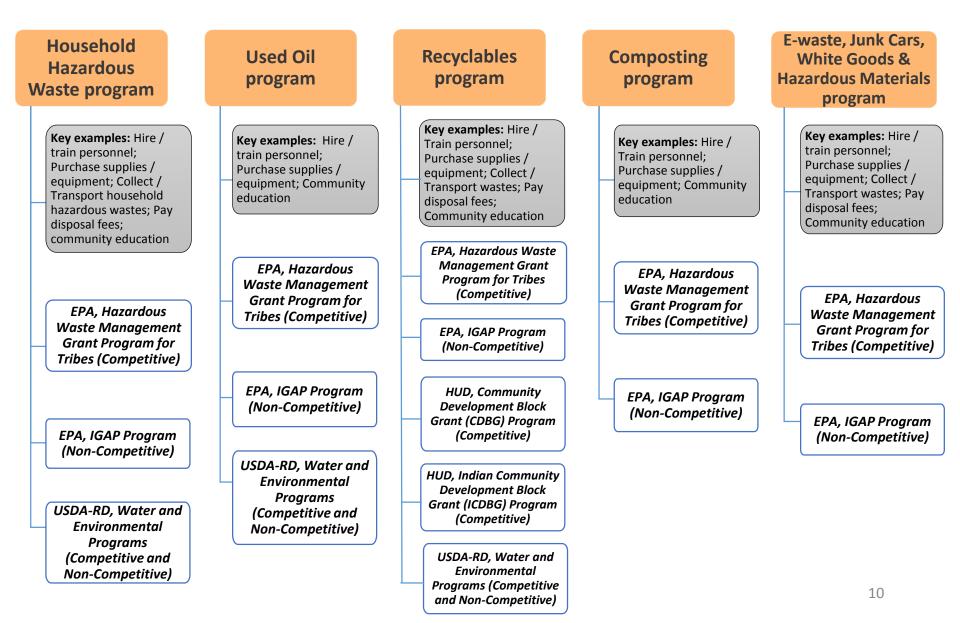


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<u>Roadmap Executive Summary</u>: Phase 1—Planning Phase: Program Planning, Staffing & Setup

Staffing	Training	Community Outreach	Waste Stream Analysis	Planning Documents
personnel, Draft a Solid Waste Program staffing plan; Negotiate a Memorandum of Understanding (MOU) with other governments EPA, Indian General Assistance Program (IGAP) (Non-Competitive) SSWMP, RALA – s Appendi and mor EPA, M Workfor and Progra (Cu EPA, Indian General Assistance Program	Key examples: ISWMP, SSWMP, SWWQ, RALO, RALA – see Appendix 1 & Appendix 2 for acronyms and more information EPA, Environmental Workforce Development	(SWAC); Gather input on integrated solid management plan (ISWMP)	Key examples: Gain training; Hire contractor(s) / specialized personnel; Procure equipment / supplies; Conduct analysisEPA, Hazardous Waste Management Grant Program for Tribes (Competitive)EPA, IGAP Program (Non-Competitive)	Key examples: ISWMP; If planning a new landfill or solid waste business, draft engineering and business plans
	and Job Training Program (Brownfields) (Competitive)			EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)
	(Non-Competitive) IHS, Environmental Health Support Center			EPA, IGAP Program (Non-Competitive)
	Training (EHSC) Funds- Request via Alaska Native Tribal Health Consortium (ANTHC) (Non-Competitive)			HUD, Community Development Block Grant (CDBG) Program (Competitive)
	USDA-RD, Solid Waste Management Grants (Competitive)			HUD, Indian Community Development Block Grant (ICDBG) Program (Competitive)
	USDA-RD, Technical Assistance & Training Grants for Rural Waste Systems (Competitive)			USDA-RD, Predevelopment Planning Grants (Semi- Competitive)

<u>Roadmap Executive Summary</u>: Phase 2—Reduction & Conservation Phase: Source Reduction, Recycling & Resource Conservation Programs



<u>Roadmap Executive Summary</u>: Phase 3—Collection & Transport Phase: Setting up Municipal Solid Waste

Municipal Solid Waste Collection Program

Key examples: Hire / Train personnel; Purchase equipment All-Terrain Vehicles (ATVs), trucks, trailer, drop boxes, etc.); set-up collection stations / routes

Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)

EPA, IGAP Program

(Non-Competitive)

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive)

Municipal Solid Waste Transport Program

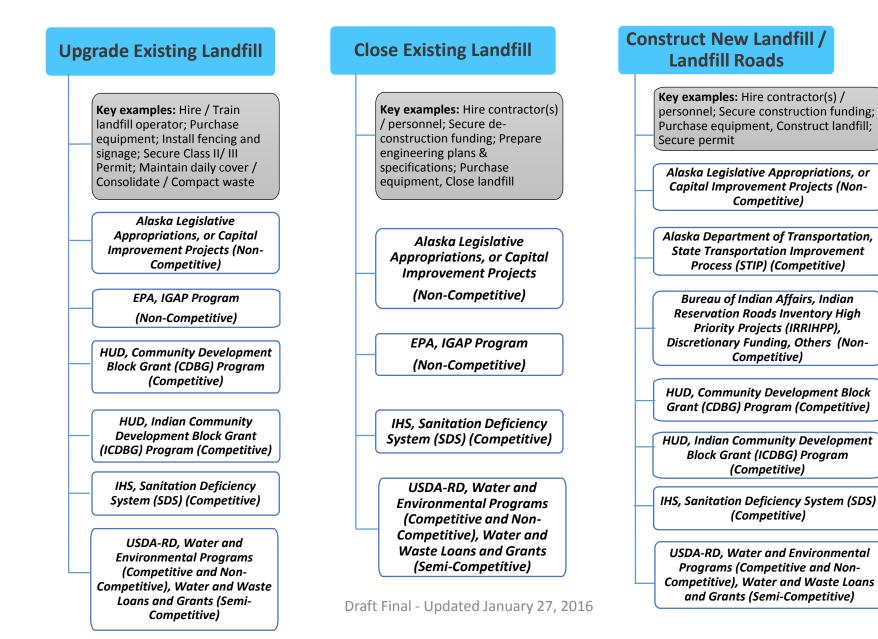
Key examples: Hire / Train personnel; Purchase equipment (ATVs, trucks, trailers)

EPA, IGAP Program

(Non-Competitive)

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive)

<u>Roadmap Executive Summary</u>: Phase 4—Disposal Phase: Landfills



<u>Roadmap Executive Summary</u>: Phase 5—Operations & Maintenance Phase: Sustainable Operations & Management

Site Maintenance	Equipment	Personnel	Collection/ Transport	Disposal
maintenance areas: eq Collection /staging areas rei for household op hazardous waste, op hazardous materials, white goods, e-waste programs, landfill access roads, fences, boardwalks, municipal solid waste collection sites, used oil collection sites, material recovery centers / transfer stations U EPA, IGAP Program until EY18 U	Key examples of equipment to maintain, repair or replace: ATVs, trucks, landfill operations equipment EPA, IGAP Program (Non-Competitive)	Key examples of salaries: Solid Waste Program Administrator; Waste Collection Technician; Landfill OperatorEPA, IGAP Program for Solid Waste Program Administrator (Non-Competitive)	Key examples: Solid waste collection & transport; household hazardous waste / recycling / e-waste program; hazardous material removal; junk cars / white goods removal & disposal	Key examples of disposal fees for: Hazardous waste; municipal solid waste; special wastes (household hazardous waste; fluorescent lights; mercury switches, etc.)
	USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive)	EPA, IGAP Program for Landfill Operator, Technician (until 2020) (Non- Competitive)	EPA, IGAP Program until FY18 (Non-Competitive)	EPA, IGAP Program until FY18 (Non-Competitive)

<u>The Roadmap</u>: Phase 1—Planning Phase: Program Planning, Staffing & Setup

Staffing

Key examples: Hire personnel; Draft Solid Waste Program staffing plan; Negotiate Memorandum of Understanding (MOU) with other governments

EPA, IGAP Program

(Non-Competitive)

Funds: Hire staff with appropriate skills, knowledge and experience to manage solid/hazardous waste program; draft SWP staffing plan; negotiate MOU with other governments.

*Capacity Indicators: E.3.1, E.3.9

Proposal negotiation period: January- May

<u>http://www.epa.gov/tp/gap</u>

*To view text description for all Capacity Indicators, see Appendix I in the GAP Guidance. Website:

<u>http://www.epa.gov/tribal/indian-</u> <u>environmental-general-assistance-</u> <u>program-gap</u>

Training

Key examples: ISWMP, SSWMP, SWWQ, RALO, RALA – see Appendix 1 & Appendix 2 for acronyms and more information on these training programs.

EPA, Environmental Workforce Development and Job Training Program (Brownfields) (Competitive) Funds: Projects that bring together community groups, job training organizations, educators, investors, lenders, developers, and other affected parties to provide training for residents in communities impacted by brownfields. Such projects include those that facilitate cleanup of brownfield properties contaminated with hazardous substances and prepare trainees for future employment in the environmental field. **Funding time period: Fall/Winter request for proposal**

http://www.epa.gov/brownfields/job.htm

EPA, IGAP Program (Non-Competitive)

Funds: Acquire appropriate training, baseline knowledge, and skills related to the relevant areas of Resource Conservation and Recovery Act (RCRA)/ solid waste management, conducting public education/information exchange. Capacity Indicators: E.3.2, E.3.5

Proposal negotiation period: January - May

http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap

HS, Environmental Health Support Center (EHSC) Training Funds-Request via ANTHC (Non-Competitive) Funds: Local operator or management training for water, sewer, or solid waste operations. Tribes communicate the need to regional health organizations (RHOs). RHOs prioritize small amount of training funds. More information on EHSC in Appendix 2. <u>http://www.ihs.gov/dsfc/index.cfm?module=mission</u> **Funding time period: Federal fiscal year (October – September annually)**

USDA-RD, Solid Waste Management Grants (Competitive)

Funds: Training to enhance operator skills in operations and management including reduction/recycling/reuse, education of community members. Funding time period: October 1 - December 31 http://www.rd.usda.gov/programs-services/solid-waste-management-grants

USDA-RD, Technical Assistance & Training Grants for Rural Waste Systems (Competitive) Funds: Grant assistance is available to non-profits to provide training and technical assistance for water and waste. Funding time period: October 1 - December 31 http://www.rurdev.usda.gov/UWP-wwtat.htm

[Continued] <u>The Roadmap</u>: Phase 1—Planning Phase: Program Planning, Staffing & Setup

Community Outreach

Key examples: Form Solid Waste Advisory Committee (SWAC); Gather input on integrated solid waste management plan (ISWMP)

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Develop outreach materials, host community events and meetings.

Funding time period: March-May

<u>http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding</u>

EPA, IGAP Program (Non-Competitive)

Funds: Public education / information exchange on solid/ hazardous waste related issues; share information with tribal, federal, state, and local governments and organizations; form a SWAC; gather input on IWMP.

Capacity Indicators: E.3.5; E.3.6; E.3.7

Proposal negotiation period: January - May

<u>http://www.epa.gov/tribal/indian-environmental-general-assistance-</u> <u>program-gap</u>

Waste Stream Analysis

Key examples: Gain training; Hire contractor(s) / specialized personnel; Procure equipment / supplies; Conduct analysis

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs, supplies and equipment, travel, and contractor support (if required), grants, management program for tribes.

Funding time period: March-May http://www.epa.gov/grants/office-land-andemergency-management-grants-and-funding

EPA, IGAP Program (Non-Competitive)

Funds: Characterization of current solid / hazardous waste management practices, facilities, issues; effectiveness of current system(s); collection / disposal options; and associated costs.

Capacity Indicators: E.3.5; E.3.6; E.3.7

Proposal negotiation period: January - May

<u>http://www.epa.gov/tribal/indian-environmental-</u> <u>general-assistance-program-gap</u>

[Continued] <u>The Roadmap</u>: Phase 1—Planning Phase: Program Planning, Staffing & Setup

Planning Documents

Key examples: ISWMP; If planning a new landfill or solid waste business, draft engineering and business plans

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs; supplies and equipment; grants management; contractor support (if required); costs associated with community education and outreach. **Funding time period: March-May**

http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding

EPA, IGAP Program (Non-Competitive)

Funds: Develop, review, and update tribally-approved Integrated Solid Waste Management Plans.

Capacity Indicators: E.3.6; E.3.7; E.3.9; E.3.10, E.3.17, E.3.18; E.3.19

Proposal negotiation period: January – May

http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap

HUD, Community Development Block Grant (CDBG) Program (Competitive)

Funds: Design and engineering plans. Additional information in Appendix 2.

Application period typically runs September and closes in December.

https://www.commerce.alaska.gov/web/dcra/GrantsSection/CommunityDevelopmentBlockGrants.aspx

HUD, Indian Community Development Block Grant (ICDBG) Program (Competitive)

Funds: Design and engineering plans. Additional information in Appendix 2.

Application period typically runs September and closes in December.

http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/grants/icdbg

USDA-RD, Predevelopment Planning Grants (Semi-Competitive, these grants are prioritized annually based on their need and how they meet USDA criteria)

Funds: Expenses associated with developing Preliminary Engineering Reports (PER) and Environmental Reports. PER examine expenses and user fees. **Applications accepted year-round.**

http://www.rurdev.usda.gov/AKHome.html

<u>The Roadmap</u>: Phase 2—Reduction & Conservation Phase: Source Reduction, Recycling & Resource Conservation Programs

Household Hazardous Waste Program

Key examples: Hire / Train personnel; Purchase supplies / equipment; Collect / transport household hazardous wastes; Pay disposal fees; Community education

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs, supplies and equipment, grants management, contractor support (if required), training, costs associated with community education and outreach, and transportation (mileage –if required). Funding time period: March-May http://www.epa.gov/grants/office-land-and-

emergency-management-grants-and-funding

EPA, IGAP Program (Non-Competitive) Funds: Establish / provide administrative

oversight of a program to provide waste minimization- household hazardous waste collection. Collect/transport household hazardous wastes; Pay disposal fees (IGAP will fund until FY18). Capacity Indicators: E.3.6, E.3.7, E.3.8 **Proposal negotiation period: January - May**

http://www.epa.gov/tribal/indian-environmentalgeneral-assistance-program-gap

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive) Funds: Construction of facilities including

equipment needed for facility operation. Applications accepted year-round.

<u>http://www.rd.usda.gov/programs-services/all-</u> programs/water-environmental-programs

Used Oil Program

Key examples: Hire / Train personnel; Purchase supplies / equipment; Community education

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive) Funds: Administrative costs; supplies and equipment; grants management; contractor support (if required); training; costs associated with community education and outreach; and transportation (mileage –if required). Funding time period: March-May <u>http://www.epa.gov/grants/office-land-andemergency-management-grants-and-funding</u>

EPA, IGAP Program (Non-Competitive) Funds: Establish / provide administrative oversight of a program to provide waste minimization - used oil collection. Collect/transport used oil; Pay disposal fees (IGAP will fund until FY18) Capacity Indicators: E.3.6, E.3.7, E.3.8 **Proposal negotiation period: January - May** <u>http://www.epa.gov/tribal/indian-</u> <u>environmental-general-assistance-program-gap</u>

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive) Funds: Equipment and construction of facilities. Applications accepted year-round. http://www.rd.usda.gov/programs-services/allprograms/water-environmental-programs

Recyclables Program

Key examples: Hire / Train personnel; Purchase supplies / equipment; Collect / Transport wastes; Pay disposal fees; Community education

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs; supplies and equipment; grants management; contractor support (if required); training; costs associated with community education and outreach; and transportation (mileage –if required). Funding time period: March-May http://www.epa.gov/grants/office-land-and-

emergency-management-grants-and-funding

EPA, IGAP Program (Non-Competitive) Funds: Establish / provide administrative oversight of a program to provide waste minimization - recycling . Collect/transport recyclables; Pay disposal fees (IGAP will fund until FY18) Capacity Indicators: E.3.6, E.3.7, E.3.8 **Proposal negotiation period: January - May** *http://www.epa.gov/tribal/indian*-

environmental-general-assistance-program-gap

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive) Funds: Equipment and construction of facilities associated with recycling efforts. Applications accepted year-round. http://www.rd.usda.gov/programs-services/allprograms/water-environmental-programs

[Continued] <u>The Roadmap</u>: Phase 2—Reduction & Conservation Phase: Source Reduction, Recycling & Resource Conservation Programs

Recyclables program (continued)

HUD, Community Development Block Grant (CDBG) Program (Competitive)

Funds: Items that are essential to operating a landfill facility such as balers, fencing, or burn units (NO heavy equipment, ATVs, or maintenance and operations), Additional information in Appendix 2. Application period typically runs August/September and closes in December.

https://www.commerce.alaska.gov/we b/dcra/GrantsSection/CommunityDeve lopmentBlockGrants.aspx

HUD, Indian Community Development Block Grant (ICDBG) Program (Competitive)

Funds: Items that are essential to operating a landfill facility such as balers, fencing, or burn units (NO heavy equipment, ATVs, or maintenance and operations), Additional information in Appendix 2. Application period typically runs September and closes in December.

<u>http://portal.hud.gov/hudportal/HUD?</u> <u>src=/program_offices/public_indian_h</u> <u>ousing/ih/grants/icdbg</u>

Composting program

Key examples: Hire / train personnel; purchase supplies / equipment; educate community

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs; supplies and equipment; grants management; contractor support (if required); training; costs associated with community education and outreach; and transportation (mileage –if required).

Funding time period: March-May

<u>http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding</u>

EPA, IGAP Program (Non-Competitive)

Funds: Establish / support administrative oversight of a program to provide waste minimization - composting.

Capacity Indicators: E.3.6, E.3.7, E.3.8

Proposal negotiation period: January -May

http://www.epa.gov/tribal/indianenvironmental-general-assistanceprogram-gap E-waste, Junk Cars, White Goods & Hazardous Materials program

> Key examples: Hire / Train personnel; Purchase supplies / equipment; collect / transport wastes; pay disposal fees, educate community

EPA, Hazardous Waste Management Grant Program for Tribes (Competitive)

Funds: Administrative costs; supplies and equipment; grants management; contractor support (if required); training; costs associated with community education and outreach; and transportation (mileage –if required).

Funding time period: March-May

<u>http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding</u>

EPA, IGAP Program (Non-Competitive)

Funds: Establish / support administrative oversight of a program to provide waste minimization - junk vehicle, bulk waste/ appliance/ e-waste collection.

Capacity Indicators: E.3.6, E.3.7, E.3.8

Proposal negotiation period: January - May

http://www.epa.gov/tribal/indianenvironmental-general-assistanceprogram-gap

<u>The Roadmap</u>: Phase 3—Collection & Transport Phase: Setting up Municipal Solid Waste

Municipal Solid Waste Collection Program

Key examples: Hire / Train personnel; Purchase equipment (ATVs, trucks, trailer, drop boxes, etc.); Set-up collection stations / routes

Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)

Funds: All aspects of solid waste management, if it is a designated community priority. Funding has been used to purchase heavy equipment; construct equipment storage buildings, transfer stations, new landfills; fund clean-up projects; and close out old landfills. Funds are not for operations. Additional information in Appendix 2. **Funding time period: Annual.** CAPSIS is the system used to request funding, apply via: <u>http://www.leqfin.state.ak.us/CAPSIS/CAPSIS.php</u>

EPA, IGAP Program (Non-Competitive)

Funds: Planning / negotiation/ establishment of MOU/ Memorandum of Agreement (MOA) or other agreement with municipal government and/ or other entities; hiring / training personnel.

Resource recovery/conservation and source reduction equipment (as opposed to landfill O&M equipment) may be purchased with EPA regional approval.

Capacity Indicators: E.3.1, E.3.2, E.3.7, E.3.8

Proposal negotiation period: January - May

<u>http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap</u>

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Equipment and construction of facilities associated with a collection program.

Applications accepted year-round.

http://www.rd.usda.gov/programs-services/all-programs/water-environmentalprograms

Municipal Solid Waste Transport Program

Key examples: Hire / Train personnel; Purchase equipment (ATVs, trucks, trailers)

EPA, IGAP Program (Non-Competitive)

Funds: Planning and the establishment of the program.

Resource recovery/conservation and source reduction equipment (as opposed to landfill O&M equipment) may be purchased with EPA regional approval.

Capacity Indicators: E.3.2, E.3.7, E.3.8

Proposal negotiation period: January - May

<u>http://www.epa.gov/tribal/indian-environmental-</u> <u>general-assistance-program-gap</u>

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Equipment and construction of facilities.

Applications accepted year-round.

<u>http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs</u>

<u>The Roadmap</u>: Phase 4—Disposal Phase: Landfills

Upgrade Existing Landfill

Key examples: Hire / train landfill operator; Purchase equipment; Install fencing and signage; Secure Class II/ III permit; Maintain daily cover / Consolidate / Compact waste

Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)

Funds: All aspects of solid waste management, if it is a designated community priority. Funding has been used to purchase heavy equipment; construct equipment storage buildings, transfer stations, new landfills; fund clean-up projects; and close out old landfills. Funds are not for operations. Additional information in Appendix 2. Funding time period: Annual.

CAPSIS is the system used to request funding, apply via: <u>http://www.legfin.state.ak.us/CAPSIS/CAPSIS.php</u>

EPA, IGAP Program (Non-Competitive)

Funds: Initial purchase of resource recovery, resource conservation, and source separation supplies and equipment; construction of source separation facilities. (Equipment purchase needs regional approval; construction requires EPA regional & HQ review/approval). More information on source separation supplies and facilities on Page 28.

Capacity indicators: E.3.1, E.3.2, E.3.7, E.3.8. Proposal negotiation period: January – May.

http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap

HUD, Community Development Block Grant (CDBG) Program (Competitive)

Funds: Items that are essential to operating a landfill facility such as balers, fencing, or burn units (NO heavy equipment, ATVs, or maintenance and operations). Additional information in Appendix 2.

Application period typically runs September and closes in December.

https://www.commerce.alaska.gov/web/dcra/GrantsSection/CommunityDevelopmentBlockGrants.aspx

HUD, Indian Community Development Block Grant (ICDBG) Program (Competitive)

Funds: Items that are essential to operating a landfill facility such as balers, fencing, or burn units (NO heavy equipment, ATVs, or maintenance and operations). Additional information in Appendix 2.

Application period typically runs September and closes in December.

http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/grants/icdbg

[Continued] <u>The Roadmap</u>: Phase 4—Disposal Phase: Landfills

Upgrade Existing Landfill

Indian Health Service, Sanitation Deficiency System (SDS) (Competitive)

Funds: Solid waste disposal facilities including landfills, burn stations and transfer stations.

Funding requests must be included in SDS by June 1. Proposals also compete against water and sewer projects for funding.

http://www.ihs.gov/dsfc/index.cfm?module=mission

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Extend, enlarge, or improve existing facilities, including fencing.

Applications accepted year-round.

<u>http://www.rd.usda.gov/programs-services/all-programs/water-</u> environmental-programs

<u>The Roadmap</u>: Phase 4—Disposal Phase: Landfills

Close Existing Landfill

Key examples: Hire contractor(s) / personnel; Secure de-construction funding; Prepare engineering plans & specifications; purchase equipment; Close landfill

Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)

Funds: All aspects of solid waste management, if it is a designated community priority. Funding has been used to purchase heavy equipment; construct equipment storage buildings, transfer stations, new landfills; fund clean-up projects; and close out old landfills. Funds are not for operations. Additional information in Appendix 2.

Funding time period: Annual.

CAPSIS is the system used to request funding, apply via: <u>http://www.legfin.state.ak.us/CAPSIS/CAPSIS.php</u>

EPA, IGAP Program (Non-Competitive)

Funds: Cleanup and closure of existing landfill, with EPA regional and EPA American Indian Environmental Office review/ approval.

Capacity indicators: E.3.1, E.3.2, E.3.7, E.3.8

Proposal negotiation period: January - May

http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap

Indian Health Service, Sanitation Deficiency System (SDS) (Competitive)

Funds: Solid waste disposal facilities including landfills, burn stations and transfer stations. **Funding requests must be included in SDS by June 1.** Proposals also compete against water and sewer projects for funding.

http://www.ihs.gov/dsfc/index.cfm?module=mission

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Extend, enlarge, or improve existing facilities.

Applications accepted year-round.

http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs

[Continued] <u>The Roadmap</u>: Phase 4—Disposal Phase: Landfills

Construct New Landfill / Landfill Roads

Key examples: Hire contractor(s) / personnel; Secure construction funding; Purchase equipment, Construct landfill; Secure permit

Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)

Funds: All aspects of solid waste management, if it is a designated community priority. Funding has been used to purchase heavy equipment; construct equipment storage buildings, transfer stations, new landfills; fund clean-up projects; and close out old landfills. Funds are not for operations. Additional information in Appendix 2.

Funding time period: Annual.

CAPSIS is the system used to request funding, apply via: <u>http://www.legfin.state.ak.us/CAPSIS/CAPSIS.php</u>

Alaska Dept. of Transportation (DOT), State Transportation Improvement List Process (STIP) (Competitive)

Funds: Construction of new landfill roads. It is the community's responsibility to contact Alaska DOT to ensure that their new landfill road is listed on the STIP list for potential funding. STIP funds can be used as an USDA construction grant match. Additional information in Appendix 2. **Funding time period: Annual.** <u>http://www.dot.state.ak.us/stwdplng/cip/stip</u>

2012-2015 STIP: http://www.dot.state.ak.us/stwdplng/cip/stip/assets/STIP.pdf

Bureau of Indian Affairs (BIA), Indian Reservation Roads Inventory High Priority Projects (IRRIHPP), Discretionary Funding, Others (Non-Competitive)

Funds: Construction of new landfill roads or repairing existing landfill roads. Annual BIA funds are appropriated for projects on the Indian Reservation Roads (IRR) Inventory. There are additional criteria for IRR BIA funds that must be met to qualify as a high priority project. There are other funding mechanisms, but these are the two most common. BIA has multiple funding mechanisms for funding landfill roads. The annual funds for the IRR BIA funds are not the same as the IRRIHPP funds.

Funding time period: Annual. BIA funds can be used as an USDA construction grant match. <u>http://bia.gov/WhoWeAre/BIA/OIS/Transportation/IRR/index.htm</u>

HUD, Community Development Block Grant (CDBG) Program (Competitive)

Funds: Funding towards a new landfill (leverage federal funds with these federal funds, can be used as the 25% match necessary for a USDA-RD grant). Additional information in Appendix 2.

Application period typically runs September and closes in December.

https://www.commerce.alaska.gov/web/dcra/GrantsSection/CommunityDevelopmentBlockGrants.aspx

[Continued] <u>The Roadmap</u>: Phase 4—Disposal Phase: Landfills

Construct New Landfill / Landfill Roads (continued)

HUD, Indian Community Development Block Grant (ICDBG) Program (Competitive)

Funds: Funding towards a new landfill (leverage federal funds with these federal funds, can be used as the 25% match necessary for a USDA-RD grant). Additional information in Appendix 2.

Application period typically runs September and closes in December.

<u>http://portal.hud.gov/hudportal/HUD?src=/program_offices/public</u> <u>indian_housing/ih/grants/icdbg</u>

Indian Health Service, Sanitation Deficiency System (SDS) (Competitive)

Funds: Solid waste disposal facilities including landfills, burn stations and transfer stations.

Funding requests must be included in SDS by June 1. Proposals also compete against water and sewer projects for funding.

http://www.ihs.gov/dsfc/index.cfm?module=mission

USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Construction of new facilities.

Applications accepted year-round.

<u>http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs</u>

<u>The Roadmap</u>: Phase 5—Operations & Maintenance Phase: Sustainable Operations & Management

Site Maintenance

Key examples of site maintenance areas: Collection /staging areas for household hazardous waste, hazardous materials, white goods, e-waste programs, landfill access roads, fences, boardwalks, municipal solid waste collection sites, used oil collection sites, material recovery centers / transfer stations

EPA, IGAP (Non-Competitive) will fund Site Maintenance until FY18.

Capacity Indicators: E.3.7, E.3.8, E.3.17, E.3.18, E.3.19

<u>http://www.epa.gov/tribal/indian-environmental-</u> general-assistance-program-gap

Equipment

Key examples of equipment to maintain, repair or replace: All terrain vehicles (ATVs), trucks, landfill operations equipment

EPA, IGAP Program (Non-Competitive)

Funds: Repair, upgrade, and replacement of resource recovery, resource conservation, source separation supplies and equipment, and source separation facilities. Equipment purchases require EPA regional review/approval. More information on source separation supplies and equipment on Page 28.

Capacity Indicators: E.3.7, E.3.8, E.3.17, E.3.18, E.3.19

Proposal negotiation period: January - May

<u>http://www.epa.gov/tribal/indian-environmental-general-</u> assistance-program-gap

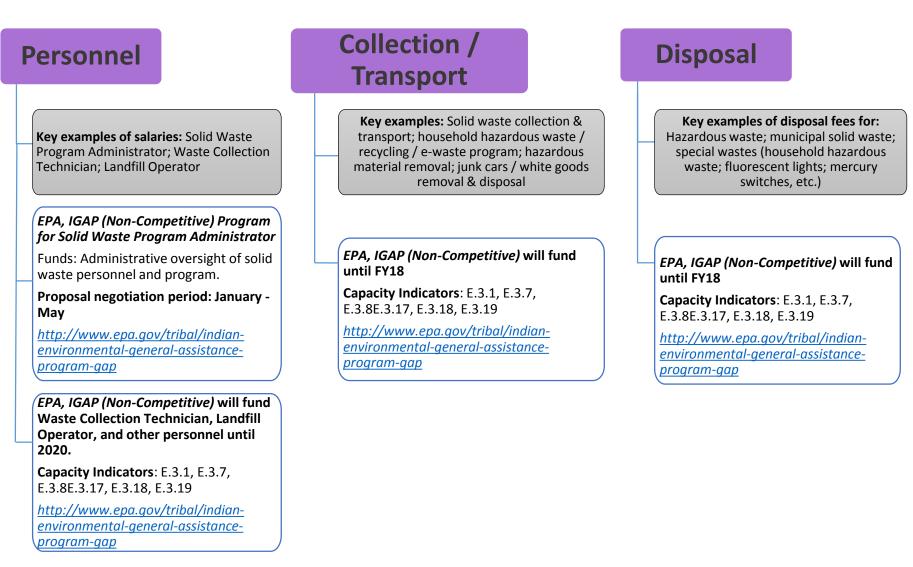
USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive), Water & Waste Loans & Grants (Semi-Competitive)

Funds: Equipment necessary to install, operate, maintain, extend, or protect facilities.

Applications accepted year-round

<u>http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs</u>

[Continued] <u>The Roadmap</u>: Phase 5—Operations & Maintenance Phase: Sustainable Operations & Management



Appendix 1: Acronym List

Agencies

- BIA: Bureau of Indian Affairs
- DOT: Department of Transportation (Alaska State)
- EPA: U.S. Environmental Protection Agency
- IHS: Indian Health Service
- HUD: U.S. Department of Housing and Urban Development
- USDA-RD: U.S. Department of Agriculture, Rural Development

Funding Programs

- CIP: Capital Improvement Projects
- CDBG: Community Development Block Grant Program (Alaska State/HUD)
- EHSC: Environmental Health Support Center (IHS)
- ICDBG: Indian Community Development Block Grant (HUD)
- IGAP: Indian Environmental General Assistance Program (EPA)
- IRRIHPP: Indian Reservation Roads Inventory High Priority Projects (BIA)
- SDS: Sanitation Deficiency System (IHS)
- STIP: State Transportation Improvement List Process

Other Terms

- ANTHC: Alaska Native Tribal Health Consortium
- ATVs: All-Terrain Vehicles
- IRR: Indian Reservation Roads
- ISWMP: Integrated Solid Waste Management
 Plan
- MOA: Memorandum of Agreement
- MOU: Memorandum of Understanding
- PER: Preliminary Engineering Reports
- RHOs: Regional Health Organizations
- RCRA: Resource Conservation and Recovery Act (EPA)
- RALA: Rural Alaska Landfill Administrator
- RALO: Rural Alaska Landfill Operator
- SSWMP: Sustainable Solid Waste Management Plan
- SWAC: Solid Waste Advisory Committee
- SWANA: Solid Waste Association of North America
- SWWQ: Solid Waste Water Quality

Appendix 2: Additional Information on Programs

Alaska Community Development Block Grant (CDBG) Program

This program is administered within the state of Alaska from federal funds from the U.S. Department of Housing and Urban Development (HUD). Community Development Block Grants (CDBG) are for municipal governments only, and is an Alaska specific grant through the State of Alaska Division of Community and Regional Affairs.

The Alaska Community Development Block Grant Program is a competitive, annual grant opportunity. CDBG grants are singlepurpose project grants. There are three basic funding categories: community development, planning and Special Economic Development.

The goals of the Alaska Community Development Block Grant Program (CDBG) are to provide financial resources to Alaskan communities for public facilities and planning activities which address issues detrimental to the health and safety of local residents and to reduce the costs of essential community services. This program funds solid waste work under the objective of benefiting low and moderate income communities.

Any Alaskan municipal government (except Anchorage) is eligible to apply for the grants.

The application period typically runs September and closes in December. Awards are made in February or March. This grant can only be applied for by incorporated cities or boroughs, but tribes can be the co-applicant. The maximum grant amount per community is \$850,000.

The grant can fund items such as:

- Feasibility studies,
- Design and engineering plans,
- Items that are essential to operating a landfill facility such as balers, fencing, or burn units (NO heavy equipment, ATVs, or maintenance and operations), or
- Funding towards new landfills and other solid waste facilities such as transfer sites/recycling areas (leverage federal funds with these federal funds).

For more information, visit: <u>https://www.commerce.alaska.gov/web/dcra/GrantsSection/CommunityDevelopmentBlockGrants.aspx</u>

Indian Community Development Block Grants (ICDBG)

- The Indian Community Development Block Grants (ICDBG) are basically the same grants as the Community Development Block Grants described on the previous page, but are available for tribes. They can also fund lateral expansions, and clean-up projects.
- Limit of \$600K. Range for grant period, usually ends in October. Indian Development Block Grants are managed by HUD, not the State of Alaska.
- For more information, visit: <u>http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/grants/icdbg</u>

Alaska Legislative Appropriations or Capital Improvement Projects

Communities submit their highest priority projects to their legislative representative annually for consideration. Maintaining communication with their legislative representative has been proven to help projects receive funding.

Alaska Department of Transportation, State Transportation Improvement Process (STIP)

The STIP list functions in the same manner as the State of Alaska CIP list for sanitation projects. DOT also has a high priority project process. If the community can demonstrate that there is a serious health and safety issue associated with the need for the road, it can be placed higher on the STIP list and potentially be funded more expediently. The STIP list is evaluated and updated annually. The listed 2012-2015 STIP web link will change in 2016.

EPA, Indian General Assistance Program (IGAP)

Source separation supplies and equipment may include vehicles, scales, containers, crushers, shredders, sheds, fencing, and signage. Source separation facilities may include transfer stations, recycling centers, compost facilities, household collection facilities, bulk waste appliance/ e-waste collection facilities, construction and demolition (C&D) debris facilities, and used oil collection stations.

To view text description for all Capacity Indicators, see Appendix I in the GAP Guidance. Website: <u>http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap</u>

Indian Health Service (IHS), Environmental Health Support Center (EHSC) Training Funds

The regional health corporations, representing over 160 Alaska tribes, provide their training need priorities annually to ANTHC. ANTHC then gives a statewide prioritization on the trainings requested by the regional health corporations and submits this priority training list to the IHS EHSC and Alaska Area IHS. The trainings requested by the regional health corporations are typically to address water or wastewater needs but could be used for solid waste. The EHSC evaluates the Alaska training requests along with requests from all other IHS Areas and, based on the annual budget, determines the number of courses that can be offered in Alaska each year.

Rural Alaska Landfill Operator (RALO) & Rural Alaska Landfill Administrator (RALA) Trainings

The RALO training is a trademarked property of the Solid Waste Association of North America (SWANA) – Alaska Chapter.

- Training and planning for training is provided by various trainers, including the Alaska Department of Conservation Solid Waste Program, EPA/RuralCap, and SWANA, as well as others.
- The Alaska Forum on the Environment has been the primary partner in planning and hosting of RALO and RALA training events (<u>http://www.akforum.com</u>). The Alaska Forum has been a conduit for funding of RALO.
- USDA-Rural Development is the source for funding that has been used for scholarships to help with travel costs for training attendees.
- There are also RALO training events funded by local or regional entities, such as regional health corporations.

<u>Alaska Integrated Solid Waste Management Planning (ISWMP) & Sustainable Solid Waste Management (SSWM)</u> <u>Trainings</u>

- Three to four trainings held each year for Alaska communities, free-of-charge, (<u>http://www.zendergroup.org/sw.html</u>)
- Trainings include a variety of instructors, including ADEC, EPA/RuralCap, Alaska Rural Utility Business Advisory (RUBA), and others. The ISWMP training uses a template for communities to develop a draft solid waste plan. The SSWM training explores in-depth several topics needed to manage a solid waste program.
- Through USDA Rural Development funds, Zender Environmental Health and Research Group coordinates and teaches the ISWMP and SSWM trainings
- USDA-Rural Development is the source for funding that has been used for scholarships to help with travel costs for training attendees.

Solid Waste Water Quality Training (SWWQ)

- The SWWQ training involves one classroom day plus two field days at a local creek and one classroom hands-on instruction day. Students learn how to take their own samples to send for contaminant testing in the lab, how to test on-site for fecal coliform, and how to sample and monitor for the basic water quality parameters using a YSI probe and turbidity meter.
- The focus of the training is to educate students on how they might sample or monitor for the impact of a waste disposal site or waste disposal practices on water bodies of concern.
- Zender Environmental offers this course each year, typically funded by a USDA-RD Technical Assistance and Training grant.

<u>Appendix 3</u>: Compilation of Funding Programs and Web Resources

- Alaska Department of Transportation (DOT), State Transportation Improvement List Process (STIP) (Competitive)
 - <u>http://www.dot.state.ak.us/stwdplng/cip/stip</u>
 - 2012-2015 STIP: <u>http://www.dot.state.ak.us/stwdplng/cip/stip/assets/STIP.pdf</u>
- Alaska Legislative Appropriations, or Capital Improvement Projects (Non-Competitive)
 - CAPSIS application system: <u>http://www.legfin.state.ak.us/CAPSIS/CAPSIS.php</u>
- Bureau of Indian Affairs (BIA), Indian Reservation Roads Inventory High Priority Projects (IRRIHPP); Discretionary Funding; Others
 - http://bia.gov/WhoWeAre/BIA/OIS/Transportation/IRR/index.htm
- U.S. Environmental Protection Agency (EPA), Environmental Workforce Development and Job Training Program (Brownfields) (Competitive)
 - <u>http://www.epa.gov/brownfields/job.htm</u>
- EPA, Hazardous Waste Management Grants Program for Tribes (Competitive)
 - <u>http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding</u>
- EPA, Indian General Assistance Program (IGAP) (Non-Competitive)
 - <u>http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap</u>
 - To view text description for all Capacity Indicators, see Appendix I in the GAP Guidance via: <u>http://www.epa.gov/tribal/indian-environmental-general-assistance-program-gap</u>
- U.S. Department of Housing and Urban Development (HUD), Community Development Block Grant
 - <u>https://www.commerce.alaska.gov/web/dcra/GrantsSection/CommunityDevelopmentBlockGrants.aspx</u>

<u>Appendix 3</u>: Compilation of Funding Programs and Web Resources (continued)

- HUD, Indian Community Development Block Grant
 - <u>http://portal.hud.gov/hudportal/HUD?src=/program_offices/public_indian_housing/ih/grants/icdbg</u>
- Indian Health Service (IHS), Environmental Health Support Center Training (EHSC) Funds Request via Alaska Native Tribal Health Consortium (Non-Competitive)
 - <u>http://www.ihs.gov/dsfc/index.cfm?module=mission</u>
- IHS, Sanitation Deficiency System (SDS) (Competitive)
 - <u>http://www.ihs.gov/dsfc/index.cfm?module=mission</u>
- U.S. Department of Agriculture Rural Development (USDA-RD), Predevelopment Planning Grants (Semi-Competitive)
 - <u>http://www.rurdev.usda.gov/AKHome.html</u>
- USDA-RD, Solid Waste Management Grants (Competitive)
 - <u>http://www.rd.usda.gov/programs-services/solid-waste-management-grants</u>
- USDA-RD, Technical Assistance and Training Grants for Rural Waste Systems (Competitive)
 - <u>http://www.rurdev.usda.gov/UWP-wwtat.htm</u>
- USDA-RD, Water and Environmental Programs (Competitive and Non-Competitive)
 - <u>http://www.rd.usda.gov/programs-services/all-programs/water-environmental-programs</u>

<u>Appendix 4</u>: Page Index of Funding Programs

- Alaska Department of Transportation (DOT), State Transportation Improvement List Process (STIP)
 - Pages 12, 23
- Alaska Legislative Appropriations, or Capital Improvement Projects
 - Pages 11-12, 19-20, 22-23
- Bureau of Indian Affairs (BIA), Indian Reservation Roads Inventory High Priority Projects (IRRIHPP); Discretionary Funding; Others
 - Pages 12, 23
- U.S. Environmental Protection Agency (EPA), Environmental Workforce Development and Job Training Program (Brownfields)
 - Pages 9, 14
- EPA, Hazardous Waste Management Grants Program for Tribes
 - Pages 9-10, 15-18
- EPA, Indian General Assistance Program (IGAP)
 - Pages 9-20, 22, 25-26
- Department of Housing and Urban Development (HUD), Community Development Block Grant (CDBG) Program
 - Pages 9-10, 12, 16, 18, 20, 23
- HUD, Indian Community Development Block Grant (ICDBG) Program
 - Pages 9-10, 12, 16, 18, 20, 24

<u>Appendix 4</u>: Page Index of Funding Programs (continued)

- Indian Health Service (IHS), Environmental Health Support Center Training (EHSC) Funds Request via Alaska Native Tribal Health Consortium (ANTHC)
 - Pages 9, 14
- IHS, Sanitation Deficiency System (SDS)
 - Pages 12, 21-22, 24
- U.S. Department of Agriculture Rural Development (USDA-RD), Predevelopment Planning Grants
 - Pages 9, 16
- USDA-RD, Solid Waste Management Grants
 - Pages 9, 14
- USDA-RD, Technical Assistance and Training Grants for Rural Waste Systems
 - Pages 9, 14
- USDA-RD, Water and Environmental Programs
 - Pages 10-13, 17, 19, 21-22, 24-25

<u>Appendix 5</u>: Additional Resources

Catalog of Federal Domestic Assistance (CFDA)

 The Catalog of Federal Domestic Assistance can be used to access past funding availability and receive more information on eligibility requirements. <u>https://www.cfda.gov/</u>

Zender Environmental Health and Research Group, Non-profit organization

- Zender Environmental Health and Research Group provides free solid waste technical assistance for rural Alaska, including grant-writing assistance, primarily through USDA Rural Development technical assistance and training and solid waste management funds. Many grant applications get completed through working cooperatively with Zender. On the "Documents" section of their website, there are additional tips on applying for grants and other funding programs, as well as compilations of opportunities.
- Main website: <u>http://www.zendergroup.org/sw.html</u>
- Documents: <u>http://www.zendergroup.org/viewdocs.htm</u>

Appendix 5: Additional Resources (continued)

Regional and Local Native Corporations

- Many corporations have annual community benefit sharing funding for work that would benefit the community. Many communities are using this funding to buy equipment, fund clean-up projects and pay for feasibility studies for their solid waste programs. These funds are limited to \$100K-300K per year.
- Some of the Regional Native Corporations also have funding for training programs. For example, Norton Sound Economic Development Corporation (NSEDC) will fund any training in the communities that they work with if they can get a minimum of 10 registrants. There is a cap for how much the training can cost, but it is a good resource for this area.

U.S. Environmental Protection Agency (EPA)

For questions regarding EPA Hazardous Waste Management Grants Program for Tribes and Indian General Assistance Program (IGAP), contact: Sherry Kimmons EPA Region 10 Tribal Coordinator U.S. Environmental Protection Agency, Region 10 Alaska Operations Office 222 W. 7th Ave. #19, Anchorage, AK 99513-7588 Phone: (907) 271-6322 kimmons.sherry@epa.gov

For questions regarding EPA Environmental Workforce Development and Job Training Program (Brownfields), contact: Mary K. Goolie EPA Brownfields Project Manager U.S. Environmental Protection Agency, Region 10 Alaska Operations Office 222 West 7th Avenue #19, Anchorage, AK 99513 Phone: (907) 271-3414 goolie.mary@epa.gov

Alaska Department of Environmental Conservation (ADEC)

For additional questions related to solid and hazardous waste management in Alaska, contact: Bob Blankenburg Solid Waste Program Manager Alaska Department of Environmental Conservation, Solid Waste Program 555 Cordova St, Anchorage, AK 99501 Phone: (907) 269-7690 Fax: (907) 269-7600 bob.blankenburg@alaska.gov

Trisha Bower Class III Lead Environmental Program Specialist for Northern and Interior Alaska Alaska Department of Environmental Conservation, Solid Waste Program 610 University Ave, Fairbanks, AK 99709 Phone: (907) 451-2174 Fax: (907) 451-2188 trisha.bower@alaska.gov

U.S. Indian Health Service (IHS)

For questions related on funding programs from IHS, contact: Commander (CDR) Kevin Bingley National Environmental Policy Act (NEPA) Officer U.S. Public Health Service Alaska Area Indian Health Service Office of Environmental Health and Engineering 4141 Ambassador Drive, Suite 300, Anchorage, AK 99508 Phone: (907) 729-3610 Fax: (907) 729-3689 Kevin.Bingley@ihs.gov

Captain (CAPT) David Harvey Deputy Director Division of Sanitation Facilities Construction Indian Health Service 5600 Fishers Lane, Rockville, MD 20857 Phone: (301) 443-0159 David.Harvey@ihs.gov

U.S. Department of Agriculture (USDA)

For questions related to USDA's funding programs, contact: Tasha Deardorff Director, Water and Environmental Programs and Rural Alaska Village Grant Program Manager USDA-Rural Development Alaska State Office 510 L Street, Suite 410 Anchorage, AK 99501 Phone: (907) 271-2424, Ext. 118 Fax: (907) 761-7783 tasha.deardorff@ak.usda.gov

U.S. Department of Housing and Urban Development (HUD)

For questions on HUD funding programs including the Community Development Block Grant (CDBG) and the Indian Community Development Block Grant (ICDBG) programs, contact: Roberta L. Youmans Lead Grants Management Specialist Office of Native American Programs U.S. Department of Housing and Urban Development 451 7th Street, SW / Room 5156 Washington, DC 20510 Phone: (202) 402-3316 Fax: (202) 708-7446 Roberta.l.youmans@hud.gov

Andy Concepcion Director of Grants Administration Division Office of Native American Programs U.S. Department of Housing and Urban Development 3000 C Street, Suite 401, Anchorage, AK 99503 Phone: (907) 677-9880 Fax: (907) 677-9807 Andy.concepcion@hud.gov

State of Alaska

For questions related to Community Development Block Grants (CDBG), administered by the State of Alaska Janet Davis Department of Commerce, Community, and Economic Development, Division of Community and Regional Affairs 455 3rd Ave, Suite 140, Fairbanks, AK 99701 Phone: (907) 451-2746 Fax: (907) 451-2742 janet.davis@alaska.gov

Anchorage Legislative Information Office

For questions related to the Alaska Legislative Appropriations or Capital Improvement Projects, contact: 716 W 4th Avenue, Suite 100 Anchorage, AK 99501-2133 (907) 269-0111 TDD: (907) 269-0260 Fax: (907) 269-0229 LIO.Anchorage@akleg.gov