



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604

REISSUANCE

Part I

Page I-1

Permit No. WI-0073059-2

AUTHORIZATION TO DISCHARGE UNDER THE
NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM

In compliance with the provisions of the Clean Water Act, as amended, the Menominee Indian Tribe of Wisconsin is authorized by the United States Environmental Protection Agency, Region 5, to discharge from a treatment facility operated by Menominee Tribal Utilities, designated as the Neopit Wastewater Treatment Facility, located within the Menominee Indian Reservation, Menominee County, Neopit, Wisconsin (the S ½ of Section 17, Township 29N, Range 14E) to groundwater via seepage cells to Tourtillotte Creek, in accordance with effluent limitations, monitoring requirements, and other conditions set forth in Parts I and II hereof.

This permit and the authorization to discharge shall expire at midnight, September 13, 2021. The permittee shall not discharge after the above date of expiration. In order to receive authorization to discharge beyond the date of expiration, the permittee shall submit such information and forms as are required by the EPA no later than 180 days prior to the above date of expiration.

This permit shall become effective on the date of signature.

Signed and Dated September 22, 2016

Junko S. Hyde
Director, Water Division

Treatment Facility Description:

The renovated treatment system consists of two aerated cells followed by a settling cell. These cells are meant to be operated in series with an operating depth of 12 feet. Following the settling cell, the operator can direct the effluent to any of three seepage cells for final disposal. The maximum daily average design flow rate to the new system is 0.17 million gallons per day (mgd) with a peak sustained flow of 0.34 mgd. This facility replaced an old groundwater discharging system that leaked from the stabilization cells that had not been permitted in the past.

Summary of Regular Reporting

FOR INFORMATIONAL PURPOSES ONLY

Description	Due Date	Location
Wastewater Discharge Monitoring Report Forms and Groundwater Monitoring Forms	Quarterly: by April 21; by July 21; by October 21; by January 21, each year (electronically by January 21, 2017)	Part I.D.2
Treatment System Map Status	By January 31, 2017 and annually thereafter until completed	Part I.D.3.c

A. Influent Requirements

A.1 Sampling Point(s)

Sampling Point Designation	
Sampling Point Number	Sampling Point Location, Waste Type/Sample Contents and Treatment Description (as applicable)
701	Representative influent samples shall be collected from a direct tap in the force main located within the blower building.

A.2 Monitoring Requirements

The permittee shall comply with the following monitoring requirements.

A.2.1 Sampling Point 701 – INFLUENT

Monitoring Requirements and Limitations					
Parameter	Limit Type	Limit and Units	Sample Frequency	Sample Type	Notes
Flow Rate		MGD	Continuous	Continuous	
BOD ₅		mg/L	2/Month	24-Hr Composite	
Total Suspended Solids		mg/L	2/Month	24-Hr Composite	
Nitrogen, Total Kjeldahl		mg/L	Monthly	24-Hr Composite	
Nitrogen, Organic Total		mg/L	Monthly	Calculated	See B.3.2
Nitrogen, Ammonia (NH ₃ -N) Total		mg/L	Monthly	24-Hr Composite	

B. Land Treatment Requirements

B.1 Sampling Point(s)

Sampling Point Designation	
Sampling Point Number	Sampling Point Location, Waste Type/Sample Contents and Treatment Description (as applicable)
001	Representative effluent samples shall be collected of the discharge from the settling pond (metering manhole) prior to the seepage cells.

B.2 Monitoring Requirements and Limitations

The permittee shall comply with the following monitoring requirements and limitations.

B.2.1 Sampling Point (Outfall) 001 - EFFLUENT, Absorption Pond (Seepage Cell)

Monitoring Requirements and Limitations					
Parameter	Limit Type	Limit and Units	Sample Frequency	Sample Type	Notes
Flow Rate		MGD	Continuous	Continuous	See B.2.1.1
BOD ₅	Monthly Avg.	50 mg/L	2/Month	24-Hr Composite	
Total Suspended Solids		mg/L	2/Month	24-Hr Composite	
pH		S.U.	2/Month	Grab	Analyzed in the field
Nitrogen, Total Kjeldahl		mg/L	2/Month	24-Hr Composite	
Nitrogen, Ammonia (NH ₃ -N) Total		mg/L	2/Month	24-Hr Composite	
Nitrogen, Organic Total		mg/L	2/Month	Calculated	See B.3.2
Nitrogen, Nitrite + Nitrate Total		mg/L	2/Month	24-Hr Composite	
Nitrogen, Total		mg/L	2/Month	Calculated	See B.3.2
Total Dissolved Solids		mg/L	2/Month	24-Hr Composite	
Chlorides		mg/L	2/Month	24-Hr Composite	

Daily Log - Monitoring Requirements and Limitations

All discharge and monitoring activity shall be documented on log sheets. Originals of the log sheets shall be kept by the permittee as described under "Records Retention" in the Standard Requirements section, and if requested, made available to EPA.

Parameters	Limit	Units	Sample Frequency	Sample Type
Cells being loaded	-	Cell Number	Daily	Log
Start to End Time	-	Date, Hour	Daily	Log

B.2.1.1 Monthly Avg Flow - LT Calculation

The monthly average discharge flow for Land Treatment systems is calculated by dividing the total wastewater volume discharged for the month by the total number of days in the month.

B.2.1.2 Average Annual Design Flow

The average annual design flow of the permittee's wastewater treatment facility is 0.17 MGD.

B.2.1.3 Chloride and Total Nitrogen Effluent Limits

Effluent limitations prior to discharging into the seepage cells are not included in this permit for total nitrogen or chloride. EPA believes the discharge to groundwater does not pose a public health concern because there are no existing potable wells (public or private) down gradient from the seepage cells within the projected discharge plume. The Menominee Indian Tribe of Wisconsin has also passed a resolution that prohibits the construction and use of potable wells (private or public) within the area of the projected effluent plume up to Tourtillotte Creek in which the effluent/groundwater is projected to enter. Groundwater monitoring is required at this site to assure that the flow of groundwater into surface water will not cause surface water quality standards to be attained or exceeded, and to monitor both the effectiveness of site soil treatment processes and the quality of impacted groundwater flowing into surface water.

B.3 Land Treatment Standard Requirements

B.3.1 Application of Drinking Water Standards to Substances Discharged

This permit does not authorize the permittee to discharge any substance in a concentration which would cause an applicable drinking water standard to be exceeded. EPA may seek a response under this permit if the permittee's discharge causes an exceedance of an applicable groundwater standard for any substance, including substances not specifically limited or monitored under this permit.

B.3.2 Appropriate Formulas for Nitrogen

Total Nitrogen = Total Kjeldahl Nitrogen (mg/L) + [NO₂ + NO₃] Nitrogen (mg/L)

Organic Nitrogen (mg/L) = Total Kjeldahl Nitrogen (mg/L) - Ammonia Nitrogen (mg/L)

B.3.3 Toxic or Hazardous Pollutants

The discharge of toxic or hazardous pollutants to land treatment systems is prohibited unless the applicant can demonstrate and EPA determines that the discharge of such pollutants will be in such small quantities that no detrimental effect on groundwater or surface water will result. The criteria used shall include but not be limited to the toxicity of the pollutant, capacity of the soil to remove the pollutant, degradability, usual or potential presence of the pollutant in the existing environment, method of application and all other relevant factors.

B.3.4 Industrial Waste - Pretreatment Requirements

Industrial waste discharges tributary to municipal land treatment systems shall be in compliance with the applicable pretreatment standards found at 40 CFR Part 403. See also Part I.D.6.

B.3.5 Overflow

Discharge to a land treatment system shall be limited so that the discharge and any precipitation which falls within the boundary of the disposal system during such discharge do not overflow the boundary of the system unless the NPDES permit authorizes collection and discharge of runoff to surface water. If an overflow occurs, it must be reported in accordance with Part II.D.8.

B.3.6 Management Plan Requirements

All land treatment systems shall be operated in accordance with a management plan. The management plan shall contain specific information on pretreatment processes, scheduled maintenance, vegetative cover control and removal, load and rest schedules, application rates, operational strategies for periods of adverse weather, monitoring procedures and other pertinent information.

C. Groundwater Requirements

C.1 Monitoring Requirements and Limitations

C.1.1 Groundwater Monitoring System for seepage cell monitoring system

Location of Monitoring System: Surrounding the Wastewater Treatment Facility

Wells to be Monitored: MW1 (background well), MW2 (downgradient well), MW3 (downgradient well), MW4 (downgradient well)

Well Used To Calculate Preventive Action Limits (PALs): MW1

Well MW1 will be used to calculate PALs for indicator parameters for future permits. No PALs for indicator parameters will be included in this permit because eight rounds of samples have not been collected from the monitoring wells for the system. Groundwater contaminant concentrations shall be minimized to the extent it is technically and economically feasible.

Compliance Well(s) for Enforcement Standards (ESs): MW2, MW3, MW4

Enforcement standards are to be met in groundwater at each of the above Compliance Wells. See the Groundwater Standard Requirements section of this permit for additional conditions related to exceedances of groundwater standards.

Required Monitoring: Grab samples shall be collected from each well to be monitored per the frequency shown in the table below, except that weekly grab samples shall be collected from each new well during the first 3 months after well installation. The grab samples shall be analyzed for the parameters specified in the table below.

Parameter	Units	Preventive Action Limit	Enforcement Standard	Frequency
Depth To Groundwater	feet	*****	N/A	Monthly
Ground Elevation	feet MSL	*****	N/A	Monthly
Nitrogen, Nitrite + Nitrate (as N) Dissolved	mg/L	*****	10	Monthly
Chloride, Dissolved	mg/L	*****	250	Monthly
pH Field	S.U.	*****	N/A	Monthly
Nitrogen, Ammonia Dissolved	mg/L	*****	N/A	Monthly
Nitrogen, Organic Dissolved	mg/L	*****	N/A	Monthly
Solids, Total Dissolved	mg/L	*****	500	Monthly

C.1.1.1 Preventive Action Limits To Be Calculated For Indicator Parameters

*****Preventive Action Limits (PALs) for the parameters above have not yet been established for this site. For more information see "Indicator Parameter – Preventive Action Limits" in the Groundwater Standard Requirements section. PALs are not calculated for Depth to Groundwater, Groundwater Elevation, nor Total Kjeldahl Nitrogen.

C.2 Groundwater Standard Requirements

C.2.1 Application of Drinking Water Standards to Substances Discharged

This permit does not authorize the permittee to discharge any substance in a concentration which would cause an applicable drinking water standard to be exceeded. EPA may seek a response under this permit if the permittee's discharge causes exceedances of an applicable drinking water standard for any substance, including substances not specifically limited or monitored under this permit.

C.2.2 Groundwater Sampling

Groundwater sampling shall be performed in accordance with procedures contained in the WDNR publications, Groundwater Sampling Desk Reference (PUBL-DG-037-96) and Groundwater Sampling Field Manual (PUBL-DG-038-96).

C.2.3 Indicator Parameter - Preventive Action Limits

Preventive action limits for indicator parameters are calculated using a minimum of eight sample analysis results available from a representative background well.

C.2.4 Groundwater Monitoring Forms

Results of the groundwater analyses shall be summarized and reported on Groundwater Monitoring forms supplied by EPA. This report form is to be returned to EPA on a quarterly basis as specified in Part I.D.2 below. A copy of the Groundwater Monitoring form shall be retained by the permittee.

C.2.5 Appropriate Formulas for Groundwater

Total Nitrogen = Total Kjeldahl Nitrogen (mg/L) + [NO₂ + NO₃] Nitrogen (mg/L)

Organic Nitrogen (mg/L) = Total Kjeldahl Nitrogen (mg/L) - Ammonia Nitrogen (mg/L)

C.2.6 Reporting Depth to Groundwater

Depth to groundwater shall be reported in feet, to the nearest 0.01 foot, below the top of the well casing. A report shall be on file with EPA stating the well casing top elevation in feet above mean sea level (MSL), to the nearest 0.01 foot, for each groundwater monitoring well.

C.2.7 Groundwater Elevation

Groundwater elevations shall be calculated by subtracting the depth to groundwater measurement from the well casing top elevation and shall be reported in feet above mean sea level (MSL) to the nearest 0.01 foot.

C.2.8 Groundwater Grab Samples

Grab samples shall be taken of the groundwater only after adequate removal or purging of standing water within the well casing has been performed. For those wells which will refill with water as fast as the water can be removed by bailing or pumping, four well volumes shall be removed prior to sample collection and analysis. For those wells which will not refill with water as fast as the water can be removed by bailing or pumping, the existing volume of water inside the well casing shall be removed and samples collected after the well has refilled to at least half the original volume in the well.

C.2.9 Filtering of Groundwater Samples

All groundwater monitoring well samples shall be filtered prior to analysis, except for the portion used to measure pH or field specific conductance, which shall be done using an unfiltered sample. While in-field analysis is

preferred for these two tests, laboratory analysis done within two hours of sample collection is acceptable. For the portion to be filtered, it is preferred that filtering be performed in the field immediately following sample collection. However, laboratory filtering is acceptable. Filtering shall be performed through a standard 0.45 micron filter.

C.2.10 Groundwater Data Log

A data log shall be used to record the results of all field sampling and analysis events. This log shall include date of sampling event, groundwater sampler's name, well identification, depth from pipetop to water, depth from pipetop to well bottom, time of purging (start to end), volume of water purged, indication of whether the well was purged dry, time of sample withdrawal, and the following applicable field observations: pH, field conductivity, temperature, color, odor and turbidity, indication of whether field filtering was performed and time of filtering, indication of cap and lock replaced, and comments.

C.2.11 Notification of Attaining or Exceeding Groundwater Quality Standards

The permittee shall notify EPA when monitoring results indicate that a Preventive Action Limit or Enforcement Standard has been attained or exceeded. This notification may be provided in the general remarks section of the groundwater monitoring form or by letter attached to the groundwater monitoring form. Any values reported as exceeding a groundwater standard shall be confirmed as being from a representative sample and as a correct laboratory analysis result.

C.2.12 Preventive Action Limit (PAL) Exceedance

Analysis results (from the land treatment monitoring wells) that are less than this permit's PALs indicate that operation of the land treatment system is protective of groundwater quality. Substance concentrations that exhibit a trend over time of being greater than the PAL may indicate that additional technically and economically feasible actions are needed to reduce the discharge of the substance to the groundwater. In such a case, EPA may request an evaluation and response or propose a permit modification to require submittal of a groundwater evaluation report and implementation of a feasible.

C.2.13 Enforcement Standard Exceedance Within the Design Management Zone

Substance concentrations greater than this permit's enforcement standard (ES) in a permittee's monitoring well located within the property boundary and within the design management zone of the land treatment system may indicate that the groundwater concentration exceeds an ES outside of these boundaries. If EPA determines there is reasonable evidence that an ES is being attained or exceeded beyond the property boundary or beyond the design management zone, EPA may request an evaluation and response or propose a permit modification to require an evaluation report and appropriate response.

C.2.14 Enforcement Standard Exceedance Outside the Design Management Zone

The permittee's land treatment system shall not cause the concentration of a substance in groundwater to attain or exceed this permit's enforcement standard at any point of present groundwater use, at any point beyond the property boundary, or at any point beyond the design management zone. When this condition is not met, **the permittee shall, within 120 days following notification by EPA of the attainment or exceedance of an ES beyond the compliance boundary, submit a groundwater quality evaluation and response report.** EPA may propose modification of this permit to require the permittee to implement additional treatment or other actions.

D. SPECIAL CONDITIONS

D.1 Representative Samples

Representative samples and measurements taken as required herein shall be representative of the volume and nature of the monitored discharge.

D.2 Reporting

The permittee shall record all monitoring results required by Part I.A. and Part I.B. on Discharge Monitoring Report (DMR) forms. Results of the groundwater analyses required by Part I.C. shall be summarized and reported on Groundwater Monitoring (GM) forms supplied by EPA.

The permittee shall report monitoring results below the reporting limit (RL) of a particular instrument as "<" the value of the RL. For example, if an instrument has a RL of 0.1 mg/L and a parameter is not detected at a value of 0.1 mg/L or greater, the concentration shall be reported as "<0.1 mg/L." "Non-detected", "undetected", "below detection limit" and "zero" are unacceptable reporting results, and are permit reporting violations.

Where sample values are less than the level of detection and the permit requires reporting of an average, the permittee shall calculate the average as follows:

- a. If one or more values are greater than the level of detection, substitute zero for all nondetectable values to use in the average calculation.
- b. If all values are below the level of detection, report the averages as "<" the corresponding level of detection.
- c. Where one or more sample values are less than the level of detection, and the permit requires reporting of a mass, usually expressed as kg/day, the permittee shall substitute zero for all nondetectable values.

2.1 The permittee shall begin the process of submitting electronic DMRs as soon as possible using the procedures in Part I.D.2.2 below. Until such time the permittee is able to submit the DMR and GM forms electronically, the DMR and GM forms shall be mailed to the EPA on a quarterly basis, and postmarked no later than the 21st day of the month (April, July, October, January) following the quarter for which the monitoring was completed. The permittee shall retain a copy of all reports submitted. All reports shall be mailed to:

U.S. Environmental Protection Agency
Water Division - Water Enforcement and Compliance Assurance Branch
Attention: Chief, Minnesota Section - WC-15J
77 West Jackson Boulevard
Chicago, Illinois 60604

2.2.1 As soon as possible but no later than the reports due in January 2017, monitoring data required by this permit shall be submitted on EPA Form 3320-1 Discharge Monitoring Report (DMR) forms using the electronic DMR (NetDMR) internet application. NetDMR is a web-based application that allows National Pollutant Discharge Elimination System (NPDES) Permittee Users to enter and electronically submit Discharge Monitoring Report (DMR) data through the Central Data Exchange (CDX) to the Integrated Compliance Information System (ICIS). USEPA's NetDMR webpage can be found at: <https://netdmr.epa.gov/netdmr/public/home.htm>

As soon as possible, the permittee shall determine its ability to meet the above date and if unable, shall submit a written request to EPA at the address below requesting a waiver from electronic reporting. The waiver request shall be submitted **as soon as possible but no later than December 21, 2016**. Temporary and permanent waivers from electronic reporting may be granted based on appropriate factors (e.g., lack of computer or internet service, etc.). If you qualify for a waiver from electronic reporting, monitoring data must be submitted on paper DMR forms provided by EPA.

Please contact, U.S. Environmental Protection Agency, Water Enforcement & Compliance Assurance Branch, Attention: Information Management Specialist - WC-15J, 77 West Jackson Boulevard, Chicago, Illinois 60604, at (312) 886-0148 if you wish to receive NetDMR training or paper DMR forms.

2.2.2 The DMRs shall be signed by a facility's Responsible Official or a Delegated Responsible Official (i.e. a person delegated by the Responsible Official). The Responsible Official of a facility is defined Part II.D.13:

For NetDMR, the person(s) viewing, editing, signing and submitting the DMRs will need to register for a new account managed by the EPA Region 5. Facility or permittee staff responsible for signing and submitting DMRs on behalf of an organization; A request for signatory privilege requires submission of a Subscriber Agreement to EPA Region 5. Additionally, Delegated Responsible Officials must be delegated by the Responsible Official, either on-line using NetDMR, or on a paper delegation form provided by EPA. For more information and guidance on NetDMR, please view the following web page:
<https://netdmr.zendesk.com/home>

2.2.3 DMRs submitted using NetDMR shall be submitted to EPA, Region 5 by the 21st day of the month (April, July, October, January) following the quarter for which the monitoring was completed. DMRs submitted on paper must include the original signed DMR form and submitted as specified in Part I.D.2.1 above.

Regardless of the submission method, a paper copy of the submitted EPA 3320-1 DMR shall be maintained onsite for records retention purposes Part II.C.5. For NetDMR users, view and print the DMR from the Submission Report Information page after each original or revised DMR is submitted. For submittals on paper, make a copy of the completed paper form after it is signed by a Responsible Official or a Delegated Responsible Official.

D.3 Operation and Maintenance Plan

The permittee shall at all times properly operate and maintain all facilities and systems of conveyance, treatment and control which are installed or used by the permittee to operate the treatment works and achieve and maintain compliance with the conditions of this permit. The requirements below are the first steps of an asset management program which contains goals of effective performance, adequate funding, adequate operator staffing and training. Asset management is a planning process that ensures that you get the most value from each of your assets and have the financial resources to rehabilitate and replace them when necessary, and typically includes five core elements which identify: 1) the current state of the asset; 2) the desired level of service (e.g., per the permit, or for the customer); 3) the most critical asset(s) to sustain performance; 4) the best life cycle cost; and 5) the long term funding strategy to sustain service and performance.

Asset management includes developing a plan to minimize costs while optimizing efficiency and the reliability of your assets. It is intended that subsequent permits will contain the additional requirements to complete the evolution of the O&M Plan into a full-featured asset management program appropriate for the permitted wastewater works.

If the permittee has not already done so, the permittee shall as soon as possible but no later than 12 months from the effective date of the permit, develop and implement an Operation & Maintenance Plan, which will be made available to EPA upon request, to document compliance with the following:

- a. **Certified Operator.** The wastewater treatment facility shall be operated under the direct supervision of a Certified Wastewater Treatment Operator that has the knowledge, skills and experience required for the facility type and size. If the permittee chooses to meet the certification requirements by entering into a contractual agreement with a properly certified operator, a copy of the contract shall be submitted to EPA at the address in Part I.D.3.c below. The permittee shall notify the EPA, in writing, of any changes in certification or contract status within 30 days of the change.
- b. **Maintenance and Operations Staff.** The permittee shall provide an adequate staff to carry out the operation, maintenance, repair, and testing functions required to ensure compliance with the terms and conditions of this permit. The level of staffing needed, in numbers, training and experience, shall be determined taking into account the work involved in operating the system, conducting maintenance, and complying with this permit.
- c. **Treatment System Map.** As soon as possible but no later than the expiration date of the permit, the permittee shall complete a map of the system service area showing the sewer collection system it owns and operates including the wastewater treatment system or point of exit from the Tribal system if final treatment is at a non-Tribal facility. The map shall be of sufficient detail and at a scale to allow easy interpretation. The treatment system information shown on the map shall be based on current conditions and shall be kept up to date and available for review by federal agencies. Concurrently, the permittee should consider the accumulation of asset characterization data into a database for all principle assets inventoried and illustrated, to supplement asset information consistent with the map. Such map(s) shall include, but not be limited to the following:
 - i. All sanitary sewer lines and related manholes;
 - ii. All outfalls of the system or the treatment plant outfall(s);
 - iii. All pump stations and force mains;
 - iv. The wastewater treatment facility(ies);
 - v. All surface waters (labeled);
 - vi. Other major appurtenances such as inverted siphons and air release valves;
 - vii. A numbering system which uniquely identifies manholes, catch basins, overflow points, regulators and outfalls;
 - viii. The scale and a north arrow; and
 - ix. The pipe diameter, date of installation, type of material, distance between manholes and, invert elevations at manhole locations, and the direction of flow.

On or before January 31, 2017, and annually thereafter until the collection system map is completed, the permittee shall submit a report for the previous calendar year to EPA at the address below, that provides a status of map completion, a summary of the work done in the previous year to complete the map and an estimated date of completion. Once this collection system map is completed, the Tribal authorized representative will send confirmation of completion of this subtask to:

U.S. Environmental Protection Agency, Region 5
Water Division – NPDES Programs Branch
Attention: Tribal Team Leader – WN-16J
77 West Jackson Blvd. Chicago, Illinois 60604

- d. **Preventive Maintenance Program.** If the permittee has not already done so, the permittee shall as soon as possible but no later than 18 months from the effective date of the permit, develop and begin implementing a preventive maintenance program that helps to prevent breakdowns, reduces wear,

improves efficiency and extends the life of equipment and structures. The preventive maintenance program shall consist of at a minimum:

- i. A method of periodic inspection, lubrication, adjustment and/or other servicing of machinery, equipment and structures.
 - ii. A record of repairs, alterations and replacements.
 - iii. A method of cost accounting and budgeting for the different parts of the preventative maintenance program.
- e. **User Fees.** If the permittee has not already done so, the permittee shall as soon as possible but no later than 12 months from the effective date of the permit, establish connection fees and user rates and collect such charges from individuals served by the system as are necessary to sustain the operation, maintenance, and repair of the Neopit WWTF sewerage systems. Fee structures must address both repairs and replacements, for both short-lived mechanical assets and long-lived fixed structural assets. Alternatively, the permittee may provide this revenue from another dedicated revenue source. The Operation & Maintenance plan shall indicate which revenue source(s) are being used and how these contributions are allocated to categories of operation, maintenance, repairs, and replacement.
- f. **New Connections.** If the permittee has not already done so, the permittee shall as soon as possible but no later than 12 months from the effective date of the permit, enact and enforce appropriate ordinances or regulations governing: (1) Connection to the Neopit WWTF sewerage system by the residents of the reservation; and, (2) The methods and materials to be used in making connections to the Neopit WWTF sewerage system in a safe and sanitary manner.

D.4 Additional Maintenance

In addition to the requirements of Part II, Section B.1, the permittee shall ensure that vegetation on the dikes is cut and the dikes are maintained.

D.5 Sludge Disposal Requirements

- a. During the term of this permit, it is not expected that sludge will have to be removed from the treatment system for use or disposal. If, however, sludge has to be removed during the term of this permit, thirty days prior to the removal of any sludge from the treatment system, the permittee shall inform in writing, EPA at the address in Part I.D.3 its method of sludge disposal. The information shall include any and all contracts, agreements, schedules, and any other information that may be relevant to the disposal of sludge. The permit may be modified with public notice, to include additional requirements.
- b. The permittee shall ensure that the application contractor has received all necessary information to comply with applicable provisions of 40 CFR Part 503.
- c. **Duty to mitigate.** The permittee shall take all reasonable steps to minimize any sludge use or disposal in violation of this permit.
- d. **Planned Changes.** The permittee shall give notice to the EPA as soon as possible of any changes in sludge use and disposal.
- e. The permittee shall retain records of all monitoring information required by this permit related to the permittee's sewage sludge use and disposal activities for a period of at least 5 years.
- f. If the permittee monitors any pollutants more frequently than required by the permit, using test procedures approved under 40 CFR Parts 136 or 503, the results of this monitoring shall be included in the reporting data submitted to the Agency.

- g. The permittee shall comply with existing federal regulations governing sewage sludge use or disposal and shall comply with all existing applicable regulations in any jurisdiction in which the sewage sludge is actually used or disposed.
- h. The permit may be reopened to incorporate any applicable standards for sewage sludge use or disposal promulgated under Section 405(d) of the CWA.
- i. The permittee shall comply with standards for sewage sludge use or disposal established under Section 405(d) of the CWA within the time provided in the regulations that establish the standards for sewage sludge use or disposal even if the permit has not been modified to incorporate the requirement.
- j. The permittee shall ensure that the applicable requirements in 40 CFR Part 503 are met when the sewage sludge is applied to the land, placed on a surface disposal site, or fired in a sewage sludge incinerator. If the sludge is disposed of outside the reservation boundaries, Wisconsin regulations will also have to be complied with.
- k. The permittee shall not store sludge onsite or offsite for more than two years without an approved plan for use or disposal.

D.6 Industrial Waste Pretreatment Program

- a. The permittee shall comply with all applicable requirements 40 CFR Part 403 to prevent any pass through of pollutants or any inhibition or disruption of the permittee's facility, its treatment process, or its sludge process or disposal, which contributes to the violation of the conditions of this permit or any federal, state, or local law or regulation.
- b. The permittee shall prohibit the discharge of the following to its wastewater treatment facility:
 - i. pollutants which cause, in whole or in part, the permittee's failure to comply with any condition of this permit or the Clean Water Act;
 - ii. pollutants which restrict, in whole or in part, the permittee's ability to comply with applicable sludge management and disposal requirements;
 - iii. pollutants which cause, in whole or in part, operational problems at the treatment facility or in the collection system;
 - iv. pollutants which cause pass through or interference;
 - v. pollutants which create a fire or explosion hazard in the sewerage system, including, but not limited to, wastestreams with a closed cup with a flashpoint of less than 60 degrees C (140 degrees F) using the test methods specified in 40 CFR 261.21;
 - vi. pollutants which will cause corrosive structural damage to the sewerage system, but in no case, discharges with pH of less than 5.0 S.U., unless the works is specifically designed to accommodate such discharges;
 - vii. solid or viscous pollutants in amounts which will cause obstruction to the flow in the sewerage system resulting in interference;
 - viii. any pollutant, including oxygen demanding pollutants (BOD, etc.) released in a discharge at a flow rate and/or pollutant concentration which will cause interference with the treatment plant;

- ix. heat in amounts which will inhibit biological activity in the treatment plant resulting in interference, but in no case, heat in such quantities that the temperature at the treatment plant exceeds 40 degrees C (104 degrees F) unless the EPA Region 5 Water Division Director, upon request of the permittee, approves alternate temperature limits;
 - x. pollutants which result in the presence of toxic gases, vapors, or fumes within the sewerage system in a quantity that may cause acute worker health or safety problems;
 - xi. petroleum oil, nonbiodegradable cutting oil, or products of mineral oil origin in amounts that will cause interference or pass through;
 - xii. any trucked or hauled pollutants, except at discharge points designated by the permittee;
 - xiii. pollutants which violate categorical standards identified in 40 CFR Chapter I, Subchapter N; and
 - xiv. pollutants which violate local limits established in accordance with 40 CFR 403.5(c).
- c. The permittee shall prohibit new discharges of non-contact cooling waters unless there are no cost-effective alternatives. Existing discharges of non-contact cooling water to the WWTF shall be eliminated, where elimination is cost-effective, or where an infiltration/inflow analysis and sewer system evaluation survey indicates the need for such removal.
- d. If the permittee accepts trucked-in wastes, the permittee shall evaluate the trucked in waste prior to acceptance in the same manner as it monitors sewered wastes. The permittee shall accept trucked-in wastes only at specifically designated points.
- e. The permittee shall maintain a list of its nondomestic users that meet the criteria of a significant industrial user (SIU) as identified in 40 CFR 403.3(t).
- f. Control of Significant Industrial Users (SIUs)
- i. The permittee shall impose pretreatment requirements on SIUs which will ensure compliance with all applicable effluent limitations and other requirements set forth in this permit, or any applicable federal, state, or local law or regulation. These requirements shall be applied to SIUs by means of an individual control mechanism.
 - ii. The permittee shall make no agreement with any user that would allow the user to contribute an amount or strength of wastewater that would cause violation of any limitation or requirement in this permit, or any applicable federal, state, or local law or regulation.
- g. Monitoring of Significant Industrial Users - The permittee shall obtain from SIUs specific information on the quality and quantity of the SIUs discharges to the permittee's POTW. Except where specifically requested by the permittee and approved by EPA, this information shall be obtained by means of representative monitoring conducted by the permittee or by the SIU under requirements imposed by the Permittee in the SIU's individual control mechanism. Monitoring performed to comply with this requirement shall include all pollutants for which the SIU is significant and shall be done at a frequency commensurate with the significance of the SIU.
- h. Reporting and Notification
- i. If a SIU discharges to the POTW during a given calendar year, the permittee shall submit a Pretreatment Annual Report for that calendar year, due by January 31 of the following year. The Pretreatment Annual Report shall include:
 - A. the name, address, and telephone number of the permittee's primary pretreatment contact, and

the names and phone numbers of any other individuals who should be contacted regarding aspects of the pretreatment program;

- B. a description of changes or proposed changes in the permittee's pretreatment program, including changes to its legal authority (sewer use ordinance), Industrial User Individual Control Mechanisms, or pretreatment program procedures;
 - C. an updated listing of the permittee's SIUs with additions and deletions noted and reasons given for deletions;
 - D. a summary of all monitoring data for SIUs, including all industrial self monitoring and all monitoring of industrial users by the permittee;
 - E. a summary of all inspections of industrial users performed by the permittee, violations by industrial users of any requirements imposed by the permittee, and enforcement actions taken against industrial users by the permittee; and
 - F. a description of any interferences, upsets or operational problems at the facility, and any increased or unusual levels of pollutants discharged or contained in sludge. The description shall include an evaluation of possible causes and an assessment of the effectiveness of the pretreatment program in preventing interference, pass-through of pollutants, and contamination of sludge.
- ii. The permittee shall notify the EPA in writing of any:
- A. SIU of the permittee's POTW which has not been previously disclosed to the EPA;
 - B. anticipated or actual changes in the volume or quality of discharge by an industrial user that could result in the industrial user becoming an SIU as defined in this permit; or
 - C. anticipated or actual changes in the volume or quality of discharges by a SIU that would require changes to the SIU's individual control mechanism.
- This notification shall be submitted as soon as possible and, where changes are proposed, must be submitted prior to changes being made.
- iii. Upon notifying the EPA of a SIU or change in a SIU discharge as required above, the permittee shall submit the following for approval:
- A. the control mechanism that will be used to control the SIU;
 - B. a characterization of the SIU's discharge;
 - C. a load balance for all pollutants for which the SIU is significant, showing the derivation of the limits to be applied to the SIU and the loading to the treatment works by the SIU and other users of the treatment works; and
 - D. a plan for monitoring the SIU which is consistent with monitoring requirements in this permit.
- iv. In addition, the permittee shall, upon request, submit the following to the EPA for approval:
- A. the permittee's legal authority to be used for regulating the SIU; and
 - B. the permittee's procedures for enforcing the requirement imposed on the SIU.

- v. This permit may be modified to require development of a pretreatment program approvable under the Federal General Pretreatment Regulation (40 CFR Part 403).

D.7. Additional Monitoring

As a condition of this permit, the permittee shall monitor the discharge from monitoring point 001 for the constituent listed below. This monitoring is an application requirement of 40 CFR 122.21(j), effective December 2, 1999. Testing shall be conducted four (4) times over the life of the permit, one time per year. Grab samples shall be taken for Oil and Grease. This information shall be submitted with the permit renewal application.

Oil and Grease

PART II
STANDARD CONDITIONS FOR NPDES PERMITS

SECTION A. GENERAL CONDITIONS

1. Duty to Comply

The permittee must comply with all conditions of this permit. Any permit noncompliance constitutes a violation of the Clean Water Act and is grounds for enforcement action, for permit termination, revocation and reissuance, or modification; or for denial of a permit renewal application.

2. Penalties for Violation of Permit Conditions

The Permit Issuing Authority will adjust the civil and administrative penalties listed below in accordance with the Civil Monetary Penalty Inflation Adjustment Rule (Federal Register: December 31, 1996, Volume 61, Number 252, pages 69359-69366, as corrected, March 20, 1997, Volume 62, Number 54, pages 13514-13517) as mandated by the Debt Collection Improvement Act of 1996 for inflation on a periodic basis. This rule allows EPA's penalties to keep pace with inflation. The Agency is required to review its penalties at least once every four years thereafter and to adjust them as necessary for inflation according to a specified formula. The civil and administrative penalties listed below were adjusted for inflation starting in 1996.

a. Criminal

- (1) Negligent Violations The Act provides that any person who negligently violates permit conditions implementing sections 301, 302, 306, 307, 308, 318, or 405 of the Act is subject to a fine of not less than \$2,500 nor more than \$25,000 per day of violation, or by imprisonment for not more than 1 year, or both.
- (2) Knowing Violations The Act provides that any person who knowingly violates permit conditions implementing sections 301, 302, 306, 307, 308, 318, or 405 of the Act is subject to a fine of not less than \$5,000 nor more than \$50,000 per day of violation, or by imprisonment for not more than 3 years, or both.
- (3) Knowing Endangerment The Act provides that any person who knowingly violates permit conditions implementing sections 301, 302, 306, 307, 308, 318, or 405 of the Act and who knows at that time that he is placing another person in imminent danger of death or serious bodily injury is subject to a fine of not more than \$250,000, or by imprisonment for not more than 15 years, or both.

b. Civil Penalties - The Act provides that any person who violates a permit condition implementing sections 301, 302, 306, 307, 308, 318, or 405 of the Act is subject to a civil penalty not to exceed \$27,500 per day for each violation.

c. Administrative Penalties - The Act provides that any person who violates a permit condition implementing sections 301, 302, 306, 307, 308, 318, or 405 of the Act is subject to an administrative penalty, as follows:

- (1) Class I penalty Not to exceed \$11,000 per violation nor shall the maximum amount exceed \$27,500.
- (2) Class II penalty Not to exceed \$11,000 per day for each day during which the violation continues nor shall the maximum amount exceed \$137,500.

3. Duty to Mitigate

The permittee shall take all reasonable steps to minimize or prevent any discharge in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.

4. Permit Modification

After notice and opportunity for a hearing, this permit may be modified, terminated or revoked for cause (as described in 40 CFR 122.62 et. seq) including, but not limited to, the following:

- a. Violation of any terms or conditions of this permit;
- b. Obtaining this permit by misrepresentation or failure to disclose fully all relevant facts;
- c. A change in any conditions that requires either temporary interruptions or elimination of the permitted discharge; or
- d. Information newly acquired by the Agency indicating the discharge poses a threat to human health or welfare.

If the permittee believes that any past or planned activity would be cause for modification or revocation and reissuance under 40 CFR 122.62, the permittee must report such information to the Permit Issuing Authority. The submittal of a new application may be required of the permittee. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance, does not stay any permit condition.

5. Toxic Pollutants

Notwithstanding Paragraph A-4, above, if a toxic effluent standard or prohibition (including any schedule of compliance specified in such effluent standard or prohibition) is established under Section 307(a) of the Act for a toxic pollutant which is present in the discharge and such standard or prohibition is more stringent than any limitation for such pollutant in this permit, this permit shall be modified or revoked and reissued to conform to the toxic effluent standard or prohibition and the permittee so notified.

The permittee shall comply with effluent standards or prohibitions established under Section 307(a) of the Clean Water Act for toxic pollutants within the time provided in the regulations that establish those standards or prohibitions, even if the permit has not yet been modified to incorporate the requirement.

6. Civil and Criminal Liability

Except as provided in permit conditions on "Bypassing" Part II, Section B, Paragraph B-3, nothing in this permit shall be construed to relieve the permittee from civil or criminal penalties for noncompliance.

7. Oil and Hazardous Substance Liability

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties to which the permittee is or may be subject under Section 311 of the Act.

8. State/Tribal Laws

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties established pursuant to any applicable State/Tribal law or regulation under authority preserved by Section 510 of the Act.

9. Property Rights

The issuance of this permit does not convey any property rights of any sort, or any exclusive privileges, nor does it authorize any injury to private property or any invasion of personal rights nor any infringement of Federal, State, Tribal, or local laws or regulations.

10. Onshore or Offshore Construction

This permit does not authorize or approve the construction of any onshore or offshore physical structures or facilities or the undertaking of any work in any waters of the United States.

11. Severability

The provisions of this permit are severable, and if any provision of this permit, or the application of any provision of this permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this permit, shall not be affected thereby.

12. Duty to Provide Information

The permittee shall furnish to the Permit Issuing Authority, within a reasonable time, any information which the Permit Issuing Authority may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit or to determine compliance with this permit. The permittee shall also furnish to the Permit Issuing Authority, upon request, copies of records required to be kept by this permit.

13. Right of Appeal

Within thirty (30) days of receipt of notice of a final permit decision, the permittee may petition the Environmental Appeals Board to review any condition of the permit decision provided the permittee provided comments during the public notice period. The petition should be sent to the following address using regular mail:

Clerk of the Board
U.S. Environmental Protection Agency
Environmental Appeals Board
1200 Pennsylvania Avenue, NW
Mail Code 1103M
Washington, DC 20460-0001

The petition shall include a statement of the reasons supporting that review in accordance with 40 CFR 124.19(a).

SECTION B. OPERATION AND MAINTENANCE OF POLLUTION CONTROLS

1. Proper Operation and Maintenance

The permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the permittee to achieve compliance with the conditions of this permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems which are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit.

2. Need to Halt or Reduce Not a Defense

It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the condition of this permit.

3. Bypass of Treatment Facilities

a. Definitions

- (1) "Bypass means the intentional diversion of waste streams from any portion of a treatment facility, which is not a designed or established operating mode for the facility.
- (2) "Severe property damage" means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.

b. Bypass not exceeding limitations

The permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of Paragraph c. and d. of this section.

c. Notice

- (1) Anticipated bypass. If the permittee knows in advance of the need for a bypass, it shall submit prior notice, if possible at least ten 10 days before the date of the bypass, including an evaluation of the anticipated quality and effect of the bypass.
- (2) Unanticipated bypass. The permittee shall submit notice of an unanticipated bypass as required in Section D, Paragraph D-8 (24-hour notice).

d. Prohibition of bypass.

- (1) Bypass is prohibited and the Permit Issuing Authority may take enforcement action against a permittee for bypass, unless:
 - (a) Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
 - (b) There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
 - (c) The permittee submitted notice as required under Paragraph c. of this section.
- (2) The Permit Issuing Authority may approve an anticipated bypass, after considering its adverse effects, if the Permit Issuing Authority determines that it will meet the three conditions listed above in Paragraph d. (1) of this section.

4. Upsets

"Upsets" means an exceptional incident in which there is unintentional and temporary noncompliance with technology based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive

maintenance, or careless or improper operation. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology based permit limitation if the requirements of 40 CFR 122.41(n)(3) are met.

5. Removed Substances

This permit does not authorize discharge of solids, sludge, filter backwash, or other pollutants removed in the course of treatment or control of wastewater to waters of the United States unless specifically limited in Part I.

SECTION C. MONITORING AND RECORDS

1. Representative Sampling

Samples and measurements taken as required herein shall be representative of the volume and nature of the monitored discharge. All samples shall be taken at the monitoring points specified in this permit and, unless otherwise specified, before the effluent joins or is diluted by any other wastestream, body of water, or substance. Monitoring points shall not be changed without notification to and the approval of the Permit Issuing Authority.

2. Flow Measurements

Appropriate flow measurement devices and methods consistent with accepted scientific practices shall be selected and used to insure the accuracy and reliability of measurements of the volume of monitored discharges. The devices shall be installed, calibrated and maintained to insure that the accuracy of the measurements is consistent with the accepted capability of that type of device. Devices selected shall be capable of measuring flows with a maximum deviation of less than ± 10 percent from the true discharge rates throughout the range of expected discharge volumes. Once-through condenser cooling water flow which is monitored by pump logs, or pump hours meters as specified in Part I of this permit, and based on the manufacturer's pump curves, shall not be subject to this requirement. Guidance in selection, installation, calibration, and operation of acceptable flow measurements devices can be obtained from the following references:

- (1) "A Guide of Methods and Standards for the Measurement of Water Flow", U.S. Department of Commerce, National Bureau of Standards, and Special Publication 421, May 1975, 97 pp. (Available from the U.S. Government Printing Office, Washington, D.C. 20402. Order by SD Catalog No. C13.10:421.)
- (2) "Water Measurement Manual", U.S. Department of Interior, Bureau of Reclamation, Second Edition, Revised Reprint, 1974, 327 pp. (Available from the U.S. Government Printing Office, Washington, D.C. 20402. Order by Catalog No. 127.19/2:W29/2, Stock No. S/N 24003-0027.)
- (3) "Flow Measurement in Open Channels and Closed Conduits", U.S. Department of Commerce, National Bureau of Standards, NBS Special Publication 484, October 1977, 982 pp. (Available in paper copy or microfiche from National Technical Information Service (NTIS), Springfield, VA 22151. Order by NTIS No. PB-273 535/5ST.)
- (4) "NPDES Compliance Flow Measurement Manual", U.S. Environmental Protection Agency, Office of Water Enforcement, Publication MOD-77, September 1981, 135 pp. (Available from the General Services Building 41, Denver Federal Center, Denver, CO 80225.)

3. Monitoring Procedures

Monitoring must be conducted according to test procedures approved under 40 CFR Part 136, unless other test procedures have been specified in this permit.

4. Penalties for Tampering

The Clean Water Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate, any monitoring device or method required to be maintained under the Act shall, upon conviction, be punished by a fine of not more than \$10,000 per violation, or by imprisonment for not more than two years per violation, or by both. If a conviction is for a violation committed after a first conviction of such person under this paragraph, punishment shall be by a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or by both. (See Section 309(c)(4) of the Clean Water Act).

5. Retention of Records

The permittee shall retain records of all monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, copies of all reports required by this permit, and records of all data used to complete the application for this permit, for a period of at least 3 years from the date of the sample, measurement, report or application. This period may be extended by the Permit Issuing Authority at any time.

6. Records Contents

Records of monitoring information shall include:

- a. The date, exact place, and time of sampling or measurements;
- b. The individual(s) who performed the sampling or measurements;
- c. The date(s) analyses were performed;
- d. The individual(s) who performed the analyses;
- e. The analytical techniques or methods used; and
- f. The results of such analyses.

7. Inspection and Entry

The permittee shall allow the Permit Issuing Authority, or an authorized representative, upon the presentation of credentials and other documents as may be required by law, to:

- a. Enter upon the permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;
- b. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;
- c. Inspect at reasonable times the facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit; and
- d. Sample or monitor at reasonable times, for the purposes of assuring permit compliance or as otherwise authorized by the Clean Water Act, any substances or parameters at any location.

SECTION D. REPORTING REQUIREMENTS

1. Change in Discharge

The permittee shall give notice to the Permit Issuing Authority, as soon as possible, of any planned physical alterations or additions to the permitted facility. Notice is required only when:

- a. The alteration or addition to a permitted facility may meet one of the criteria for determining whether a facility is a new source; or
- b. The alteration or addition could significantly change the nature or increase the quantity of pollutants discharged. This notification applies to pollutants which are subject neither to effluent limitations in the permit, nor to notification requirements under Section D, Paragraph D-10(a).

2. Anticipated Noncompliance

The permittee shall give advance notice to the Permit Issuing Authority of any planned change in the permitted facility or activity which may result in noncompliance with permit requirements. Any maintenance of facilities, which might necessitate unavoidable interruption of operation and degradation of effluent quality, shall be scheduled during noncritical water quality periods and carried out in a manner approved by the Permit Issuing Authority.

3. Transfer of Ownership or Control

A permit may be automatically transferred to another party if:

- a. The permittee notifies the Permit Issuing Authority of the proposed transfer at least 30 days in advance of the proposed transfer date;
- b. The notice includes a written agreement between the existing and new permittees containing a specific date for transfer of permit responsibility, coverage, and liability between them, and
- c. The Permit Issuing Authority does not notify the existing permittee of its intent to modify or revoke and reissue the permit. If this notice is not received, the transfer is effective on the date specified in the agreement mentioned in paragraph b.

4. Monitoring Reports

See Part I.D.2 of this permit.

5. Additional Monitoring by the Permittee

If the permittee monitors any pollutant more frequently than required by this permit, using test procedures approved under 40 CFR 136 or as specified in this permit, the results of this monitoring shall be included in the calculation and reporting of this data submitted in the Discharge Monitoring Report (DMR). Such increased frequency shall also be indicated.

6. Averaging of Measurements

Calculations for limitations which require averaging of measurements shall utilize an arithmetic mean unless otherwise specified by the Permit Issuing Authority in the permit.

7. Compliance Schedules

Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule of this permit shall be submitted no later than 14 days following each schedule date. Any reports of noncompliance shall include the cause of noncompliance, any remedial actions taken, and the probability of meeting the next scheduled requirements.

8. Twenty-Four Hour Reporting

The permittee shall orally report any noncompliance which may endanger health or the environment, within 24 hours from the time the permittee becomes aware of the circumstances. A written submission shall also be provided within 5 days of the time the permittee becomes aware of the circumstances. The written submission shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact dates and times; if the noncompliance has not been corrected; the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent recurrence of the noncompliance. The Permit Issuing Authority may verbally waive the written report, on a case-by-case basis, when the oral report is made.

The following violations shall be included in the 24-hour report when they might endanger health or the environment.

- a. An unanticipated bypass which exceeds any effluent limitation in the permit
- b. Any upset which exceeds any effluent limitation in the permit.

9. Other Noncompliance

The permittee shall report, in narrative form, all instances of noncompliance not previously reported under Section D, Paragraphs D-2, D-4, D-7, and D-8 at the time monitoring reports are submitted. The reports shall contain the information listed in Paragraph D-8.

10. Changes In Discharges of Toxic Substances

The permittee shall notify the Permit Issuing Authority as soon as it knows or has reason to believe:

- a. That any activity has occurred or will occur which would result in the discharge, on a routine or frequent basis, of any toxic substance(s) (listed at 40 CFR 122, Appendix D, Table II and III) which is not listed in the permit, if that discharge will exceed the highest of the following "notification levels":
 - (1) One hundred micrograms per liter (100 ug/L);
 - (2) Two hundred micrograms per liter (200 ug/L) for acrolein and acrylonitrile; five hundred micrograms per liter (500 ug/L) for 2, 4-dinitrophenol and for 2 methyl-4,6-dinitrophenol; and one milligram per liter (1 mg/L) for antimony; or
 - (3) Five (5) times the maximum concentration value reported for that pollutant(s) in the permit application.
- b. That any activity has occurred or will occur which would result in any discharge, on a non-routine or infrequent basis, of a toxic pollutant (listed at 40 CFR 122, Appendix D, Table II and III) which is not limited in the permit, if that discharge will exceed the highest of the following "notification levels":
 - (1) Five hundred micrograms per liter (500 ug/L);
 - (2) One milligram per liter (1 mg/L) for antimony; or
 - (3) Ten (10) times the maximum concentration value reported for that pollutant(s) in the permit application.

11. Changes In Discharges of Toxic Substances by Indirect Users

All Publicly Owned Treatment Works (POTWs) must provide adequate notice to the Permit Issuing Authority of the following:

- a. Any new introduction of pollutants into the POTW from an indirect discharger which would be subject to section 301 or 306 of the Act if it were directly discharging those pollutants; and
- b. Any substantial change in the volume or character of pollutants being introduced into that POTW by a source introducing pollutants into the POTW at the time of issuance of the permit.
- c. For purposes of this paragraph, adequate notice shall include information on (i) the quality and quantity of effluent introduced into the POTW, and (ii) any anticipated impact of the change on the quantity or quality of effluent to be discharged from the POTW.

12. Duty to Reapply

If the permittee wishes to continue an activity regulated by this permit after the expiration date of this permit, the permittee must apply for and obtain a new permit. The application should be submitted at least 180 days before the expiration date of this permit. The Permit Issuing Authority may grant permission to submit an application less than 180 days in advance but not later than the permit expiration date.

Where EPA is the Permit Issuing Authority, the terms and conditions of this permit are automatically continued in accordance with 40 CFR 122.6, only where the permittee has submitted a timely and sufficient application for a renewal permit and the Permit Issuing Authority is unable through no fault of the permittee to issue a new permit before the expiration date.

13. Signatory Requirements

All applications, reports, or information submitted to the Permit Issuing Authority shall be signed and certified.

- a. All permit applications shall be signed as follows:
 - (1) For a corporation: by a responsible corporate officer. For the purpose of this Section, a responsible corporate officer means (1) a president, secretary, treasurer, or vice president of the corporation in charge of a principal business function, or any other person who performs similar policy - or decision making functions for the corporation, or
 - (2) The manager of one or more manufacturing production or operating facilities, provided, the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.
 - (3) For a partnership or sole proprietorship: by a general partner or the proprietor, respectively: or
 - (4) For a municipality, State, Federal, or other public agency; by either a principal executive officer or ranking elected official.
- b. All reports required by the permit and other information requested by the Permit Issuing Authority shall be signed by a person described above or by a duly authorized representative of that person. A person is duly authorized representative only if:
 - (1) The authorization is made in writing by a person described above;
 - (2) The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility or activity, such as the position of plant manager, operator of a well or a well field, superintendent, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters for the company. (A duly authorized representative may thus be either a named individual or any individual occupying a named position.); and
 - (3) The written authorization is submitted to the Permit Issuing Authority.
- c. Certification. Any person signing a document under paragraphs (a) or (b) of this section shall make the following certification:

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my

knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including, the possibility of fine and imprisonment for knowing violations."

14. Availability of Reports

Except for data determined to be confidential under 40 CFR Part 2, all reports prepared in accordance with the terms of this permit shall be available for public inspection at the offices of the Permit Issuing Authority. As required by the Act, permit applications, permits and effluent data shall not be considered confidential.

15. Penalties for Falsification of Reports

The Clean Water Act provides that any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under the Act, including monitoring reports or reports of compliance or noncompliance, shall, upon conviction, be punished by a fine of not more than \$10,000 per violation, or by imprisonment for not more than two years per violation, or by both. If a conviction is for a violation committed after a first conviction of such person under this paragraph, punishment shall be by a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or by both. (Sec Section 309(c)(4) of the Clean Water Act).

SECTION E. DEFINITIONS FOR PARTS I AND II

1. Permit Issuing Authority

The Regional Administrator of EPA Region 5 or his designee, unless at some time in the future the Tribe receives authority to administer the NPDES program and assumes jurisdiction over the permit; at which time, the Director/Chairman of the Tribal program receiving authorization becomes the issuing authority.

2. Act

"Act" means the Clean Water Act (formerly referred to as the Federal Water Pollution Control Act) Public Law 92-500, as amended, 33 U.S.C. 1251 et seq.

3. Mass/Day Measurements

- a. The "30-day average discharge" is defined as the total mass of all daily discharges sampled and/or measured during a consecutive 30 day period on which daily discharges are sampled and measured, divided by the number of daily discharges samples and/or measured during such period. It is therefore, an arithmetic mean found by adding the weights of the pollutant found each day of the consecutive 30 day period and then dividing this sum by the number of days the tests were reported. The limitation is identified as "Daily Average" or "30-day Average" in Part I of the permit and the average monthly discharge value is reported in the "Average" Column under "Quantity" on the Discharge Monitoring Report (DMR).
- b. The "7-day average discharge" is defined as the total mass of all daily discharges sampled and/or measured during a consecutive 7 day period on which daily discharges are sampled and measured, divided by the number of daily discharges sampled and/or measured during such period. It is, therefore, an arithmetic mean found by adding the weights of pollutants found each day of the consecutive 7 day period and then dividing this sum by the number of days the tests were reported. This limitation is identified as "7-day Average" in Part I of the permit and the highest average weekly discharge value is reported in the "Maximum" column under "Quantity" on the DMR.
- c. The "maximum daily average" is the total mass (weight) of a pollutant discharge during a calendar day. If only one sample is taken during any calendar day, the weight of pollutant calculated from it is the "maximum daily discharge". This limitation is identified as "Daily Maximum", in Part I of the permit and one highest such value recorded during the reporting period is reported in the "Maximum" column under "Quantity" on the DMR.
- d. The "average annual discharge" is defined as the total mass of all daily discharges sampled and/or measured during the calendar year on which daily discharges are sampled and measured, divided by the number of daily discharges sampled and/or measured during such year. It is, therefore, an arithmetic mean found by adding the weights of pollutants found each day of the year and then dividing the sum by number of days the test were reported. This limitation is defined as "Annual Average" in Part I of the permit and the average annual discharge value is reported in the "Average" column under "Quantity" on the DMR. The DMR for this report shall be submitted in January for the previous reporting calendar year.

4. Concentration Measurements

- a. The "30-day average concentration", other than for E. coli bacteria, is the sum of the concentrations of all daily discharges sampled and/or measured during a consecutive 30 day period on which daily discharges are sampled and measured, divided by the number of daily discharges sampled and/or measured during such period (arithmetic mean of the daily concentration values). The daily concentration value is equal to the concentration of a composite sample or in the case of

grab samples is the arithmetic mean (weighted by flow value) of all the samples collected during a calendar day. The 30-day average count for E. coli bacteria is the geometric mean of the counts for samples collected during a consecutive 30 day period. This limitation is identified as "30-day Average" or "Daily Average" in Part I of the permit and the average monthly concentration value is reported under the "Average" column under "Quality" on the DMR.

- b. The "7-day average concentration", other than for E. coli bacteria, is the sum of the concentrations of all daily discharges sampled and/or measured during a consecutive 7 day period on which daily discharges are sampled and measured divided by the number of daily discharges sampled and/or measured during such period (arithmetic mean of the daily concentration value). The daily concentration value is equal to the concentration of a composite sample or in the case of grab samples is the arithmetic mean (weighted by flow value) of all the samples collected during that calendar day. The 7-day average count for E. coli bacteria is the geometric mean of the counts for samples collected during a consecutive 7 day period. This limitation is identified as "7-day Average" in Part I of the permit and the highest 7-day average concentration value is reported under the "Maximum" column under "Quality" on the DMR.
- c. The "maximum daily concentration" is the concentration of a pollutant discharge during a calendar day. It is identified as "Daily Maximum" in Part I of the permit and the highest such value recorded during the reporting period is reported under the "Maximum" column under "Quality" on the DMR.
- d. The "average annual concentration", other than for E. coli bacteria, is the sum of the concentrations of all daily discharges sampled and/or measured during a calendar year on which daily discharges are sampled and measured divided by the number of daily discharges sampled and/or measured during such year (arithmetic mean of the daily concentration values). The daily concentration value is equal to the concentration of a composite sample or in the case of grab samples is the arithmetic mean (weighted by flow value) of all samples collected during that calendar day. The average yearly count for E. coli bacteria is the geometric mean of the counts for samples collected during a calendar year. This limitation is identified as "Annual Average" in Part I of the permit and the average annual concentration value is reported under the "Average" column under "Quality" on the DMR. The DMR for this report shall be submitted in January for the previous reporting year.

5. Other Measurements

- a. The effluent flow expressed as M³/day (mgd) is the 24 hour average flow averaged monthly. It is the arithmetic mean of the total daily flows recorded during the calendar month. Where monitoring requirements for flow are specified in Part I of the permit the flow rate values are reported in the "Average" column under "Quantity" on the DMR.
- b. An "instantaneous flow measurement" is a measure of flow taken at the time of sampling, when both the sample and flow will be representative of the total discharge.
- c. Where monitoring requirements for pH, dissolved oxygen or E. coli bacteria are specified in Part I of the permit, the values are generally reported in the "Quality of Concentration" column on the DMR.

6. Types of Samples

- a. Composite Sample: A "composite sample" is a combination of not less than 8 influent or effluent portions, of at least 100 ml, collected over the full time period specified in Part I.A. The composite sample must be flow proportioned by either time interval between each aliquot or by volume as it relates to effluent flow at the time of sampling of total flow since collection of the previous aliquot. Aliquots may be collected manually or automatically.
- b. Grab Sample: A "grab sample" is a single influent or effluent portion of at least 100 ml which is not a composite sample. The sample(s) shall be collected at the period(s) most representative of the total discharge.

7. Calculation of Means

- a. Arithmetic Mean: The arithmetic mean of any set of values is the summation of the individual values divided by the number of individual values.
- b. Geometric Mean: The geometric mean of any set of values is the Nth root of the product of the individual values where N is equal to the number of individual values. The geometric mean is equivalent to the antilog of the arithmetic mean of the logarithms of the individual values. For purposes of calculating the geometric mean, values of zero (0) shall be considered to be one (1).
- c. Weighted by Flow Value: Weighted by flow value means the summation of each concentration times its respective flow divided by the summation of the respective flows.

8. Calendar Day

A calendar day is defined as the period from midnight of one day until midnight of the next day. However, for purposes of this permit, any consecutive 24-hour period that reasonably represents the calendar day may be used for sampling.

9. Hazardous Substance

A hazardous substance means any substances designed under 40 CFR Part 116 pursuant to Section 311 of the Clean Water Act.

10. Toxic Pollutant

A toxic pollutant is any pollutant listed as toxic under Section 307(a)(1) of the Clean Water Act.

11. Significant Industrial User

Significant industrial user is a nondomestic user that: 1) is subject to Categorical Pretreatment Standards under 40 CFR Part 403.6 and 40 CFR Chapter I, Subchapter N; or 2) discharges an average of 25,000 gallons per day or more of process wastewater to a POTW (excluding sanitary, noncontact cooling and boiler blowdown wastewater); contributes a process wastestream which makes up five (5) percent or more of the average dry weather hydraulic or organic capacity of the POTW treatment plant; or is designated as such by the permittee as defined in 40 CFR Part 403.12(a) on the basis that the industrial user has a reasonable potential for adversely affecting the POTW's treatment plant operation or violating any pretreatment standard or requirement (in accordance with 40 CFR Part 403.8(f)(6)).

12. Chief of the NPDES Programs Branch

The Chief of the NPDES Programs Branch of EPA Region 5 is located at the EPA, Region 5 Office, NPDES Programs Branch, WN-16J, 77 West Jackson Boulevard, Chicago, Illinois 60604, telephone: 312-353-2124.

13. Acute Toxic Unit

Acute toxic unit (TU_a) means $100/LC_{50}$ where the LC_{50} is determined from a whole effluent toxicity (WET) test which produces a result that is statistically or graphically estimated to be lethal to 50% of the test organisms.

14. Bioaccumulative Chemical of Concern

Bioaccumulative chemical of concern (BCC) means a chemical which, upon entering the surface waters, by itself or as its toxic transformation product, accumulates in aquatic organisms by a human health bioaccumulation factor of more than 1000 after considering metabolism and other physiochemical properties that might enhance or inhibit bioaccumulation. Chemicals with half-lives of less than 8 weeks in the water column, sediment, and biota are not BCCs. The minimum bioaccumulation concentration factor (BAF) information needed to define an organic chemical as a BCC is either a field-measured BAF or a BAF derived using the biota-sediment accumulation factor (BSAF) methodology. The minimum BAF information needed to define an inorganic chemical as a BCC, including an organometal, is either a field-measured BAF or a laboratory-measured bioconcentration factor (BCF).

15. Biosolids

Biosolids are the solid, semisolid, or liquid residues generated during the treatment of sanitary sewage or domestic sewage in a treatment works. This includes, but is not limited to, scum or solids removed in primary, secondary, or advanced wastewater treatment processes and a derivative of the removed scum or solids.

16. Bulk Biosolids

Bulk biosolids means biosolids that are not sold or given away in a bag or other container for application to a lawn or home garden.

17. Chronic Toxic Unit

Chronic toxic unit (TU_c) means $100/MATC$ or $100/IC_{25}$, where the maximum acceptable toxicant concentration (MATC) and IC_{25} are expressed as a percent effluent in the test medium.

18. Class B Biosolids

Class B Biosolids refers to material that has met the Class B pathogen reduction requirements or equivalent treatment by a Process to Significantly Reduce Pathogens (PSRP) in accordance with the Part 24 Rules. Processes include aerobic digestion, composting, anaerobic digestion, lime stabilization and air drying.

19. Detection Level

Detection Level means the lowest concentration or amount of the target analyte that can be determined to be different from zero by a single measurement at a stated level of probability.

20. EC₅₀

EC₅₀ means a statistically or graphically estimated concentration that is expected to cause 1 or more specified effects in 50% of a group of organisms under specified conditions.

21. IC₂₅

IC₂₅ means the toxicant concentration that would cause a 25% reduction in a nonquantal biological measurement for the test population.

22. Interference

Interference is a discharge which, alone or in conjunction with a discharge or discharges from other sources, both: 1) inhibits or disrupts the POTW, its treatment processes or operations, or its sludge processes, use or disposal; and 2) therefore, is a cause of a violation of any requirement of the POTW's discharge permit (including an increase in the magnitude or duration of a violation) or, of the prevention of sewage sludge use or disposal in compliance with the following statutory provisions and regulations or permits issued thereunder (or more stringent state or local regulations): the Solid Waste Disposal Act (SWDA) (including Title II, more commonly referred to as the Resource Conservation and Recovery Act (RCRA), and including state regulations contained in any state sludge management plan prepared pursuant to Subtitle D of the SWDA), the Clean Air Act, the Toxic Substances Control Act, and the Marine Protection, Research and Sanctuaries Act. [This definition does not apply to sample matrix interference.]

23. Land Application

Land Application means spraying or spreading biosolids or a biosolids derivative onto the land surface, injecting below the land surface, or incorporating into the soil so that the biosolids or biosolids derivative can either condition the soil or fertilize crops or vegetation grown in the soil.

24. LC₅₀

LC₅₀ means a statistically or graphically estimated concentration that is expected to be lethal to 50% of a group of organisms under specified conditions.

25. Maximum Acceptable Toxicant Concentration

Maximum acceptable toxicant concentration (MATC) means the concentration obtained by calculating the geometric mean of the lower and upper chronic limits from a chronic test. A lower chronic limit is the highest tested concentration that did not cause the occurrence of a specific adverse effect. An upper chronic limit is the lowest tested concentration which did cause the occurrence of a specific adverse effect and above which all tested concentrations caused such an occurrence.

26. Monthly Frequency of Analysis

Monthly frequency of analysis refers to a calendar month. When required by this permit, an analytical result, reading, value or observation must be reported for that period if a discharge occurs during that period.

27. NOAEL

NOAEL means the highest tested dose or concentration of a substance that result in no observed adverse effect in exposed test organisms where higher doses or concentrations result in an adverse effect.

28. Noncontact Cooling Water

Noncontact Cooling Water is water used for cooling which does not come into direct contact with any raw material, intermediate product, by-product, waste product or finished product.

29. Nondomestic user

Nondomestic user is any discharger to a POTW that discharges wastes other than or in addition to water-carried wastes from toilet, kitchen, laundry, bathing or other facilities used for household purposes.

30. Pretreatment

Pretreatment is reducing the amount of pollutants, eliminating pollutants, or altering the nature of pollutant properties to a less harmful state prior to discharge into a public sewer. The reduction or alteration can be by physical, chemical, or biological processes, process changes, or by other means. Dilution is not considered pretreatment unless expressly authorized by an applicable National Pretreatment Standard for a particular industrial category.

31. POTW

POTW is a publicly owned treatment works.

32. Quantification Level

Quantification level means the measurement of the concentration of a contaminant obtained by using a specified laboratory procedure calculated at a specified concentration above the detection level. It is considered the lowest concentration at which a particular contaminant can be quantitatively measured using a specified laboratory procedure for monitoring of the contaminant.

33. Significant Materials

Significant Materials means any material which could degrade or impair water quality, including but not limited to: raw materials; fuels; solvents, detergents, and plastic pellets; finished materials such as metallic products; hazardous substances designated under Section 101(14) of Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) (see 40 CFR 372.65); any chemical the facility is required to report pursuant to Section 313 of Emergency Planning and Community Right-to-Know Act (EPCRA); fertilizers; pesticides; and waste products such as ashes, slag, and sludge that have the potential to be released with storm water discharges.

34. Weekly Frequency of Analysis

Weekly frequency of analysis refers to a calendar week which begins on Sunday and ends on Saturday. When required by this permit, an analytical result, reading, value or observation must be reported for that period if a discharge occurs during that period.

Preventing Pollution is the Best Solution

The Environmental Protection Agency encourages you to consider pollution prevention alternatives. In some cases pollution prevention may allow you to avoid the need to discharge pollutants which would otherwise require permit limitations -- or even avoid the need for permits altogether! Pollution prevention can:

- Save Money
- Reduce Waste
- Aid Permit Compliance
- Protect Our Environment
- Improve Corporate Image
- Reduce Liability

EPA is helping industries save money, reduce waste and protect our environment through pollution prevention. EPA staff can provide pollution prevention assistance through telephone consultations, technical workshops and seminars, and informational publications. They can also put you directly in touch with local support networks and national pollution prevention resources.