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1	KRISTIN HENRY (Cal. Bar No. 220908) Sierra Club	
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3	Telephone: (415) 977-5716 Facsimile: (415) 977-5793	
4	Kristin.Henry@sierraclub.org	
5	Counsel for Plaintiff Sierra Club	
6		
7	UNITED STATES D	ISTRICT COURT
8	FOR THE NORTHERN DIS	TRICT OF CALIFORNIA
9		
10)) Case No.
11)
12	SIERRA CLUB,)) COMPLAINT) EOR DECLARATORY AND
13	Plaintiff,) FOR DECLARATORY AND) INJUNCTIVE RELIEF
14	v.))) (Clean Air Act, 42 U.S.C. §§ 7401 et. seq.)
15	GINA MCCARTHY, in her official capacity as Administrator of the) (Crean An Act, 42 0.5.C. 33 7401 et. seq.)
16	United States Environmental Protection Agency,)
17	Defendant.)
18)
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23		

1	INTRODUCTION					
2	1. Plaintiff Sierra Club brings this Clean Air Act citizen suit to compel the United States					
3	Environmental Protection Agency to undertake overdue mandatory duties. Specifically, Sierra					
4	Club challenges the failure of Defendant, Gina McCarthy, in her official capacity as					
5	Administrator of the United States Environmental Protection Agency (EPA), to perform certain					
6	mandatory duties required by the Clean Air Act, 42 U.S.C. §§ 7401-7671q. These duties are					
7	failure to approve or disapprove under 42 U.S.C. § 7410(k)(2) - (4) state implementation plan					
8	(SIP) elements submittals from Louisiana, Montana, New York, South Dakota, and Wisconsin					
9	and failure to promulgate federal implementation plans (FIP) under 42 U.S.C. § 7410(c)(1) for					
10	certain SIP elements for California and Kentucky, all for the 2008 ozone National Ambient Air					
11	Quality Standard.					
12	JURISDICTION					
13	2. This case is a Clean Air Act citizen suit. Therefore, the Court has jurisdiction over this					
14	action pursuant to 28 U.S.C. § 1331 (federal question jurisdiction) and 42 U.S.C. § 7604(a)(2)					
15	(citizen suits for failure to perform a non-discretionary duty required by the Clean Air Act).					
16	NOTICE					
17	3. Plaintiff Sierra Club mailed a letter via certified mail, return receipt requested, on June 1,					
18	2015 to Defendant EPA stating that Sierra Club intends to sue EPA for the violations alleged in					
19	this Complaint. More than sixty days have passed since Sierra Club mailed this notice of intent					
20	to sue letter. To date, Defendant has not remedied the violations alleged in this Complaint.					
21	Therefore, an actual controversy exists.					
22						
23						
	1					
28	COMPLAINT					

1	VENUE				
2	4. Defendant EPA resides in this judicial district. This civil action is brought against an				
3	officer of the United States, acting in her official capacity, and a substantial part of the events or				
4	omissions giving rise to the claims in this case occurred in the Northern District of California. In				
5	addition, Plaintiff Sierra Club is headquartered in San Francisco and Sierra Club's counsel is				
6	located in San Francisco. Therefore, venue is proper in this Court pursuant to 28 U.S.C. §				
7	1391(e).				
8	INTRADISTRICT ASSIGNMENT				
9	5. A substantial part of the events and omissions giving rise to the claims in this case				
10	occurred in the County of San Francisco. Accordingly, assignment to the San Francisco				
11	Division or the Oakland Division is proper pursuant to Civil L.R. 3-2(c) and (d).				
12	PARTIES				
13	6. Plaintiff SIERRA CLUB is a national grassroots nonprofit conservation organization				
14	formed in 1892.				
15	7 Siama Club's numeros includes presticing and promoting the responsible use of earth's				
	7. Sierra Club's purpose includes practicing and promoting the responsible use of earth's				
16	ecosystems and resources, and protecting and restoring the quality of the natural and human				
16 17					
	ecosystems and resources, and protecting and restoring the quality of the natural and human				
17	ecosystems and resources, and protecting and restoring the quality of the natural and human environment. Sierra Club has over 600,000 members nationally.				
17 18	 ecosystems and resources, and protecting and restoring the quality of the natural and human environment. Sierra Club has over 600,000 members nationally. 8. Members and staff of Sierra Club live, work, recreate, and travel throughout the states at 				
17 18 19	 ecosystems and resources, and protecting and restoring the quality of the natural and human environment. Sierra Club has over 600,000 members nationally. 8. Members and staff of Sierra Club live, work, recreate, and travel throughout the states at issue in this case and states downwind of those states and will continue to do so on a regular 				
17 18 19 20	 ecosystems and resources, and protecting and restoring the quality of the natural and human environment. Sierra Club has over 600,000 members nationally. 8. Members and staff of Sierra Club live, work, recreate, and travel throughout the states at issue in this case and states downwind of those states and will continue to do so on a regular basis. Ozone in and emissions from the affected States threatens and damages, and will continue 				
17 18 19 20 21	 ecosystems and resources, and protecting and restoring the quality of the natural and human environment. Sierra Club has over 600,000 members nationally. 8. Members and staff of Sierra Club live, work, recreate, and travel throughout the states at issue in this case and states downwind of those states and will continue to do so on a regular basis. Ozone in and emissions from the affected States threatens and damages, and will continue to threaten and damage, the health and welfare of Plaintiff's staff and members. Ozone 				

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1	9. EPA's failure to timely perform the mandatory duties described herein also adversely					
2	affect Sierra Club, as well as its staff and members, by depriving them of procedural protection					
3	and opportunities, as well as information that they are entitled to under the Clean Air Act. The					
4	failure of EPA to perform mandatory duties also creates uncertainty for Sierra Club's staff and					
5	members as to whether they are exposed to excess air pollution.					
6	10. The above injuries will continue until the Court grants the relief requested herein.					
7	11. Defendant Gina McCarthy is the Administrator of the United States Environmental					
8	Protection Agency. In that role, Administrator McCarthy has been charged by Congress with the					
9	duty to administer the Clean Air Act, including the mandatory duties at issue in this case.					
10	LEGAL BACKGROUND					
11	12. Congress enacted the Clean Air Act to "speed up, expand, and intensify the war against					
12	air pollution in the United States with a view to assuring that the air we breathe throughout the					
13	Nation is wholesome once again." H.R. Rep. No. 1146, 91st Cong., 2d Sess. 1,1, 1970 U.S.					
14	Code Cong. & Admin. News 5356, 5356. To promote this, the Clean Air Act requires EPA to					
15	set National Ambient Air Quality Standards, establishing maximum allowable concentrations for					
16	certain pollutants, including ozone.					
17	13. Adverse impacts arise from ground-level ozone ("ozone") pollution, commonly referred					
18	to as smog. Exposure to ozone pollution may cause numerous impacts to a person's respiratory					
19	system, including asthma, pneumonia, and bronchitis, and can result in the permanent scarring of					
20	lung tissue. Ozone can also kill people. Moreover, the detrimental effects extend beyond public					
21	health. Ozone pollution also interferes with vegetation's ability to function properly. This					
22	interference results in injuries such as decreased crop yields and damage to native ecosystems.					
23						

COMPLAINT

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1	14. The Clean Air Act requires each state to submit a state implementation plan for every
2	promulgation or revision of a National Ambient Air Quality Standard, within three years of that
3	standard's promulgation or revision, that provides for the "implementation, maintenance, and
4	enforcement" of the standard. 42 U.S.C. § 7410(a)(1). These are often referred to as
5	"Infrastructure" State Implementation Plans. An Infrastructure State Implementation Plan
6	submittal must meet the requirements listed under 42 U.S.C. § 7410(a)(2). See 42 U.S.C. §§
7	7410(a)(2)(A)-(M).
8	15. The Clean Air Act requires EPA to determine whether any state implementation plan
9	submittal is administratively complete. 42 U.S.C. 7410(k)(1)(B). EPA must make this
10	determination by "no later than 6 months after the date, if any, by which a State is required to
11	submit the plan or revision." Id. If EPA fails to make a determination of whether a SIP
12	submittal is administratively complete, then the submittal is deemed administratively complete
13	by operation of law six months after a state submitted the submittal. Id.
14	16. EPA has a mandatory duty to take final action on any administratively complete state
15	implementation plan submittal by approving in full, disapproving in full, or approving in part
16	and disapproving in part, or conditionally approving, within 12 months of the date the submittal
17	is deemed administratively complete. 42 U.S.C. § 7410(k)(2), (3) and (4).
18	17. If a state fails to submit any required state implementation plan, there is no submittal that
19	may be deemed administratively complete, and EPA must make a determination stating that the
20	state failed to submit the required state implementation plan. 42 U.S.C. § 7410(k)(1)(B). This is
21	referred to as a "finding of failure to submit."
22	18. If EPA disapproves a SIP submittal, EPA must promulgate a Federal Implementation Plan to
23	take the place of the disapproved SIP submittal within two years of the disapproval. 42 U.S.C. §

1	7410(c)(1) Similarly, if EDA finds that a state has failed to submit a SID by the required deadline					
1	7410(c)(1). Similarly, if EPA finds that a state has failed to submit a SIP by the required deadline,					
2	EPA must promulgate a Federal Implementation Plan to fill in the gap of the missing SIP submittal					
3	within two years of the finding of failure to submit.					
4	CLAIMS FOR RELIEF					
5 6	CLAIM ONE (EPA Failure to Take Final Action on States' Infrastructure State Implementation Plan Submittals.)					
7	19. Plaintiff incorporates by reference paragraphs 1 through 20.					
8	20. The Clean Air Act requires EPA to determine whether any state implementation plan					
	submittal is administratively complete. See 42 U.S.C. 7410(k)(1)(B).					
9	21. If six months after a state submits a state implementation plan, EPA has not made the					
10	completeness finding and has not found the submittal to be incomplete, the submittal is deemed					
11	administratively complete by operation of law. Id.					
12	22. EPA must take final action on an administratively complete submittal by approving in					
13	full, disapproving in full, approving in part and disapproving in part or conditionally approving					
	within 12 months of the date of the submittal's completeness finding. 42 U.S.C. § 7410(k)(2) -					
14	(4).					
15	23. EPA has failed to take final action on Louisiana's Infrastructure State Implementation					
16	Plan Submittal for the 2008 ozone 8-hour NAAQS 110(a)(2)(D)(i) element. By no later than					
17	December 7, 2013, either EPA or operation of law deemed Louisiana's state implementation					
18	plan submittal, that included the infrastructure requirements under 42 U.S.C. § 7410(a)(2)(D)(i),					
	administratively complete. See EPA, Status of State SIP Infrastructure Requirements-Louisiana					
19	(available at					
20	http://www3.epa.gov/airquality/urbanair/sipstatus/reports/la_infrabypoll.html#x110_a_2_ozon					
21	e2008_ (last viewed September 17, 2015).					
22	24. Under the Clean Air Act, EPA is required to take final action on Louisiana's submittal					
23	that addresses these infrastructure requirements by approving in full, disapproving in full, or					
	5					

1	approving in part and disapproving in part by no later than December 7, 2014. See 42 U.S.C. §					
2	7410(k)(2) - (4).					
3	25. EPA has failed to do so.					
4	26. EPA has failed to take final action on Montana's Infrastructure State Implementation					
	Plan submittal for the 2008 ozone 8-hour NAAQS 110(a)(2)(D)(i) elements. On July 8, 2013,					
5	either EPA or operation of law deemed Montana's state implementation plan submittal that					
6	included the infrastructure requirements under 42 U.S.C. § 7410(a)(2)(D)(i) administratively					
7	complete. See EPA, Status of State SIP Infrastructure Requirements—Montana (available at					
8	http://www3.epa.gov/airquality/urbanair/sipstatus/reports/mt_infrabypoll.html#x110_a_2_ozo					
9	ne_2008_ (last viewed September 17, 2015).					
	27. Under the Clean Air Act, EPA is required to take final action on Montana's submittal that					
10	addresses these infrastructure requirements by approving in full, disapproving in full, or					
11	approving in part and disapproving in part by July 8, 2014. <i>See</i> 42 U.S.C. § 7410(k)(2) - (4).					
12	28. EPA has failed to do so.					
13	29. EPA has failed to take final action on New York's Infrastructure State Implementation					
14	Plan Submittal for the 2008 ozone 8-hour NAAQS 110(a)(2)(D)(i) elements. On October 4,					
	2013, either EPA or operation of law deemed New York's state implementation plan submittal					
15	that included the infrastructure requirements under $110(a)(2)(D)(i)$ administratively complete.					
16	<i>See</i> EPA, Status of State SIP Infrastructure Requirements—New York (available at http://www3.epa.gov/airquality/urbanair/sipstatus/reports/ny_infrabypoll.html#x110_a_2_ozo					
17	ne_2008_ (last viewed September 17, 2015).					
18	30. Under the Clean Air Act, EPA is required to take final action on New York's submittal					
19	that addresses these infrastructure requirements by approving in full, disapproving in full, or					
20	approving in part and disapproving in part by October 4, 2014. See 42 U.S.C. § 7410(k)(2) - (4).					
	31. EPA has failed to do so.					
21	32. EPA has failed to take final action on South Dakota's Infrastructure State Implementation					
22	Plan Submittal for the 2008 ozone 8-hour NAAQS 110(a)(2)(D)(i)(I) elements. By no later than					
23	November 30, 2013, either EPA or operation of law deemed South Dakota's state					

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1	implementation plan submittal that included the infrastructure requirements under 42 U.S.C. §						
2	7410(a)(2)(D)(i)(I) administratively complete. See EPA, Status of State SIP Infrastructure						
3	Requirements—South Dakota (available at						
	http://www3.epa.gov/airquality/urbanair/sipstatus/reports/sd_infrabypoll.html#x110_a_2_ozo						
4	ne_2008_ (last viewed September 17, 2015).						
5	33. Under the Clean Air Act, EPA is required to take final action on South Dakota's						
6	submittal that addresses these infrastructure requirements by approving in full, disapproving in						
7	full, or approving in part and disapproving in part by no later than November 30, 2014. See 42						
8	U.S.C. § 7410(k)(2) - (4).						
	34. EPA has failed to do so.						
9	35. EPA has failed to take final action on Wisconsin's Infrastructure State Implementation						
10	Plan Submittal for the 2008 ozone 8-hour NAAQS 110(a)(2)(D)(i) elements. By no later than						
11	December 20, 2013, either EPA or operation of law deemed Wisconsin's state implementation						
12	plan submittal that included the infrastructure requirements under 42 U.S.C. § 7410(a)(2)(D)(i)						
13	administratively complete. See EPA, Status of State SIP Infrastructure Requirements-						
	Wisconsin (available at						
14	http://www3.epa.gov/airquality/urbanair/sipstatus/reports/wi_infrabypoll.html#x110_a_2_ozo						
15	ne2008_ (last viewed September 17, 2015).						
16	36. Under the Clean Air Act, EPA is required to take final action on Wisconsin's submittal						
17	that addresses these infrastructure requirements by approving in full, disapproving in full, or						
18	approving in part and disapproving in part by no later than December 20, 2014. See 42 U.S.C. §						
	7410(k)(2) - (4).						
19	37. EPA has failed to do so.						
20	CLAIM TWO (EPA Failure to Promulgate Federal Implementation Plans)						
21	(
22	38. Plaintiff incorporates by reference paragraphs 1 through 37.						
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1	39.	39. On January 15, 2013, EPA published notice of its finding that California had failed to					
2	submit a 2008 ozone Infrastructure SIP covering 42 U.S.C. § 7410(a)(2)(A) – (C), (D)(i)(II) –						
3	(H), &	(H), & (J) – (M). 78 Fed. Reg. 2,882, 2,889 (Jan. 15, 2013). This rule was effective February 14,					
4	2013.	2013.					
5	40.	The Clean Air Act requires EPA to promulgate a Federal Implementation Plan by no later					
6	than Fe	ebruary 14, 2015 satisfying the above requirement. 42 U.S.C. § 7410(c)(1)(A).					
7	41.	EPA has failed to promulgate a Federal Implementation Plan for California's 2008 ozone					
8	Infrasti	ructure SIP covering 42 U.S.C. § $7410(a)(2)(A) - (C)$, (D)(i)(II) – (H), & (J) – (M) in					
9	violatio	on of its mandatory duty.					
10	42.	On March 7, 2013, EPA published notice of its disapproval of Kentucky's 2008 ozone					
11	Infrasti	ructure SIP covering 42 U.S.C. § 7410(a)(2)(D)(i)(I). 78 Fed. Reg. 14,681 (March 7,					
12	2013).	This rule was effective April 8, 2013.					
13	43.	The Clean Air Act requires EPA to promulgate a Federal Implementation Plan by no later					
14	than April 8, 2015 satisfying the above requirement. 42 U.S.C. § 7410(c)(1)(B).						
15	44. EPA has failed to promulgate a Federal Implementation Plan for Kentucky's 2008 ozone						
16	Infrastructure SIP covering 42 U.S.C. § 7410(a)(2)(D)(i)(I) in violation of its mandatory duty.						
17							
18		REQUEST FOR RELIEF					
19		WHEREFORE, Sierra Club respectfully requests that the Court:					
20	A.	Declare that the Administrator is in violation of the Clean Air Act with regard to her					
21		failure to perform each mandatory duty listed above;					
22	В.	Issue a mandatory injunction requiring the Administrator to perform her mandatory					
23		duties by certain dates;					
		8					

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1	C.	Retain jurisdiction of this matter for purposes of enforcing and effectuating the Court's						
2		order;						
3	D.	Grant Sierra Club its reasonable costs of litigation, including attorneys' and experts' fees;						
4		and						
5	E.	Grant such further relief as the Court deems just and proper.						
6		B osportfully submitted						
7		Respectfully submitted,						
8		/s Kristin Henry						
9		Kristin Henry (Cal. Bar No. 220908)						
10		Sierra Club 85 Second Street, 2nd Floor						
11		San Francisco, CA 94105 Telephone: (415) 977-5716						
12		Facsimile: (415) 977-5793 Kristin.Henry@sierraclub.org						
13		Counsel for Sierra Club						
14	Dated:	September 22, 2015						
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28		COMPLAINT						

JS 44 (Rev. 12/12) cand rev (1/15/13) Case3:15-cv-04328 Document1-1 610409/22/15 Page1 of 2

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

I. (a) PLAINTIFFS Sierra Club				DEFENDANTS Gina McCarthy, in her official capacity as Administrator, US EPA		
(b) County of Residence of (E2)	f First Listed Plaintiff S XCEPT IN U.S. PLAINTIFF CA	an Francisco	NOTE: IN LAND C	County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.		
(c) Attorneys (Firm Name, Kristin Henry, Sierra Club 85 2nd Street, 2nd Floor San Francisco, CA 94108)	r)	Attorneys (If Known)		
II. BASIS OF JURISDI	CTION (Place an "X" in C	ne Box Only)	II. CITIZENSHIP OF I	PRINCIPAL PARTIES	(Place an "X" in One Box for Plaintifj	
□ 1 U.S. Government □ 3 Federal Question Plaintiff (U.S. Government Not a		Not a Party)		PTF DEF 1		
2 U.S. Government Defendant	☐ 4 Diversity (Indicate Citizensh	ip of Parties in Item III)		izen of Another State		
			Citizen or Subject of a foreign Country	3 3 Foreign Nation		
IV. NATURE OF SUIT			· · ·			
CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Veteran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	PERSONAL INJURY ☐ 310 Airplane ☐ 315 Airplane Product Liability ☐ 320 Assault, Libel &	RTS PERSONAL INJURY 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability 368 Asbestos Personal 1njury Product 1370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage 385 Property Damage Product Liability PRISONER PETITIONS Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General 535 Death Penalty Other: 540 Mandamus & Other 550 Civil Rights 550 Civil Rights 560 Civil Rights	FORFEITURE/PENALTY G25 Drug Related Seizure of Property 21 USC 881 G90 Other T10 Fair Labor Standards Act 710 Fair Labor Standards Act 720 Labor/Management Relations 740 Railway Labor Act 740 Railway Labor Act 790 Other Labor Litigation 791 Employee Retirement Income Security Act HMIGRATION 462 Naturalization Applicatic 465 Other Immigration Actions	BANKRUPTCY 422 Appeal 28 USC 158 423 Withdrawal 28 USC 157 PROPERTY RIGHTS 820 Copyrights 830 Patent 840 Trademark SOCIAL SECURITY 861 HIA (1395ff) 862 Black Lung (923) 863 DIWC/DIWW (405(g)) 864 SSID Title XVI 865 RSI (405(g)) FEDERAL TAX SUITS 870 Taxes (U.S. Plaintiff or Defendant) 871 IRS—Third Party 26 USC 7609	OTHER STATUTES 375 False Claims Act 400 State Reapportionment 410 Antitrust 430 Banks and Banking 450 Commerce 460 Deportation 470 Racketeer Influenced and Corrupt Organizations 480 Consumer Credit 490 Cable/Sat TV 850 Securities/Commodities/ Exchange 890 Other Statutory Actions 891 Agricultural Acts 893 Environmental Matters 895 Freedom of Information Act 896 Arbitration 99 Administrative Procedure Act/Review or Appeal of Agency Decision 950 Constitutionality of State Statutes	
V. ORIGIN (Place an "X" in \square 1 Original \square 2 Res		Remanded from	4 Reinstated or	ferred from D 6 Multidistr	rict	
	Cite the U.S. Civil Sta 42 U.S.C. § 7604 Brief description of ca	(a)(2) nuse:	Reopened Anoth (specif filing (Do not cite jurisdictional st		1	
VII. REQUESTED IN COMPLAINT:	Clean Air Act mai CHECK IF THIS UNDER RULE 2	IS A CLASS ACTION	DEMAND \$	CHECK YES only JURY DEMAND	r if demanded in complaint: : □ Yes X No	
VIII. RELATED CASI IF ANY	E(S) (See instructions):	JUDGE		DOCKET NUMBER		
DATE 09/22/2015 IX. DIVISIONAL ASSIGNMENT	T (Civil I P 2.2)	SIGNATURE OF ATTO	DRNEY OF RECORD			
(Place an "X" in One Box Only)		SAN FRANCISCO/OAKL	LAND SAN JOSE	EUREKA		

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- **I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below. United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box. Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes

precedence, and box 1 or 2 should be marked. Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)

- **III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- **IV.** Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerk(s) in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the six boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.