



**United States Environmental Protection Agency
Region 2**

Clean Water Division
290 Broadway
New York, New York 10007

NPDES NO. PR0024619

NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

The following Permittee is authorized to discharge subject to the requirements set forth in this permit:

Permittee (mailing address)	Facility (location address)
Nutrimix Feed Company, Inc.	Nutrimix Feed Company, Inc.
P.O Box 11433	Street A, Port Zone Sabana Industrial
San Juan, PR 00922	Guaynabo, PR 00953
EPA has classified this discharge as a minor discharge.	

The Permittee may discharge from the discharge point identified below:

Outfall	Effluent description	Outfall latitude	Outfall longitude	Receiving water name and classification
001	Stormwater	18.00 °, 25.00', 20.00" N	66 °, 6.00', 20.00" W	San Juan Bay, SC

Issuance date	Effective date (EDP)	Expiration date	Renewal application date
Sept 30 2014	November 1, 2014	October 31, 2019	May 4, 2019

To meet the provisions of the Clean Water Act (CWA) as amended, 33 *United States Code* (U.S.C.) 1251 *et seq.* and its implementing regulations, the Permittee shall comply with the requirements in this permit.

I, Joan L Matthews, Director, do hereby certify that this permit with all attachments is a full, true, and correct copy of the permit issued by EPA and certified by the Puerto Rico Environmental Quality Board, on

Joan Leary Matthews, Director
Clean Water Division
U.S. Environmental Protection Agency Region 2

Nutrimix Feed Company, Inc.

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PART I. BACKGROUND

- A. Rationale for Permit Requirements.** This permit is issued pursuant to CWA section 402 and implementing regulations adopted by EPA. EPA developed the requirements in this permit on the basis of information submitted as part of the complete application and monitoring and reporting requirements, and other available information. The accompanying Fact Sheet contains detailed information and rationale for permit requirements.
- B. Water Quality Certificate.** Pursuant to CWA section 401(a)(1), after due consideration of the applicable provisions established in the PRWQS and CWA sections 208(e), 301, 302, 303, 304(e), 306 and 307, on September 18, 2012, the Puerto Rico Environmental Quality Board (EQB) certified that reasonable assurance was determined that the allowed discharge will not cause violations to the applicable water quality standards for the receiving water body if the limitations and monitoring requirements in the Water Quality Certificate are met. Additional requirements could be required to comply with other sections of the CWA.
- C. Impaired Waters and Total Maximum Daily Loads (TMDLs).** This facility does discharges to an impaired water.
- D. Mixing Zone/Dilution Allowance.** EQB has not approved a mixing zone or dilution allowance for this discharge.
- E. Antidegradation and Anti-backsliding Requirements.** The discharge is consistent with the federal anti-degradation provisions at 40 CFR 131.12, 72 Federal Register 238 (December 12, 2007, pages 70517-70526), and EQB's *Anti-Degradation Policy Implementation Procedure* in Attachment A of PRWQS. In addition, all effluent limitations in this permit are at least as stringent as the effluent limitations in the previous permit and are consistent with the anti-backsliding requirements at 40 CFR 122.44(l).
- F. Public Participation.** Federal regulations at 40 CFR 124 require EPA to consider all significant comments on the draft permit received during the public comment period in the development of the final permit. Any comments received will be provided in a Responsiveness Summary issued with the final permit.

PART II. EFFLUENT LIMITATIONS AND MONITORING REQUIREMENTS**A. Final Effluent Limitations—Outfall Number 001**

The Permittee shall maintain compliance with the following effluent limitations at Outfall 001. The discharge at Outfall 001 is composed entirely of stormwater

Effluent Limitations Table

Parameter	Units	Effluent limitations			Monitoring requirements		Parameter Code	Footnotes
		Average monthly	Average weekly	Maximum daily	Sample type	Minimum sampling frequency		
Chemical Oxygen Demand	mg/L	Monitor only	Monitor only	----	Grab	WFO		
Color	Pt-Co Units	Monitor only	Monitor only	----	Grab	WFO	00080	(2)(3)
Flow	m ³ /day (MGD)	Monitor only	Monitor only	----	Estimated	----	81395	(1)(3)(4)
Oil and Grease	mg/L	The waters of Puerto Rico shall be substantially free from floating non-petroleum oils and greases as well as petroleum derived oils and greases.			Grab	WFO	00556	(2)(3)
pH	standard units	Minimum 7.3 Maximum 8.5			Grab	WFO	00400	(2)(3)
Solids and Other Matter		The waters of Puerto Rico shall not contain floating debris, scum or other floating materials attributable to discharges in amounts sufficient to be unsightly or deleterious to the existing or designated uses of the water body.			----	----	00545	(2)(3)
Suspended, Colloidal or Settleable Solids	mL/L	Solids from wastewater sources shall not cause deposition in or be deleterious to the existing or designated uses of the water body.			----	----	00530	(1)(2)(3)

Parameter	Units	Effluent limitations			Monitoring requirements		Parameter Code	Footnotes
		Average monthly	Average weekly	Maximum daily	Sample type	Minimum sampling frequency		
Taste and Odor Producing Substances		Shall not be present in amounts that will render any undesirable taste or odor to edible aquatic life.			----	----	74055	(2)(3)
Temperature	°F(°C)	Except by natural causes, no heat may be added to the waters of Puerto Rico, which would cause the temperature of any site to exceed 90°F (32.2°C)			Grab	WFO	00010	(2)(3)
Total Suspended Solids	mg/L	71.1	----	----	Grab	WFO	00530	
Special Conditions		See Part IV B.1. of this permit which contains special conditions that constitute part of this permit			----	----		

Notes, Footnotes and Abbreviations

- Dashes (--) indicate there are no effluent limitations or monitoring requirements for this parameter.
 - All limitations expressed in mass calculated from concentration-based limitations are calculated according to: mass (kg/day) = Flow (MGD) x concentration (mg/L) x 3.78 (kg/L)/(mg)(mgd)
 - To comply with the monitoring requirements specified above, samples shall be taken at the outfall of discharge serial number 001.
 - All flow measurement shall achieve accuracy within the range of ±10%.
 - N/A = Not Applicable
 - WFO = When Flow Occurs (See Special Condition 14).
- (1) According to Rule 1301 of the Puerto Rico Water Quality Standards Regulation, as amended.
 - (2) According to Rule 1303 of the Puerto Rico Water Quality Standards Regulation, as amended.
 - (3) According to Rule 1306 of the Puerto Rico Water Quality Standards Regulation, as amended.
 - (4) According to the Environmental Public Policy Act of September 22, 2004, Act No. 416, as amended.

B. Narrative Limitations

In accordance with 40 CFR 122.44(d), the permit establishes the following narrative limitations.

1. The waters of Puerto Rico shall not contain any substance, attributable to the discharge at such concentration which, either alone or as result of synergistic effects with other substances, is toxic or produces undesirable physiological responses in humans, fish, or other fauna or flora.
2. The discharge shall not cause the presence of oil sheen in the receiving water body.
3. The waters of Puerto Rico shall not contain floating debris, scum, or other floating materials attributable to discharges in amounts sufficient to be unsightly or deleterious to the existing or designated uses of the water body.
4. Solids from wastewater sources shall not cause deposition in or be deleterious to the existing or designated uses of the waters.
5. Taste and odor-producing substances shall not be present in amounts that will interfere with primary contact recreation, or will render any undesirable taste or odor to edible aquatic life.

C. Monitoring Requirements

1. Effluent monitoring and analyses shall be conducted in accordance with EPA test procedures approved under 40 CFR Part 136, *Guidelines Establishing Test Procedures for the Analysis of Pollutants Under the Clean Water Act*, as amended. For situations where there may be interference, refer to *Solutions to Analytical Chemistry Problems with Clean Water Act Methods* (EPA 821-R-07-002). For effluent analyses, the Permittee shall use a *Minimum Level (ML)* that is lower than the effluent limitations described in Effluent Limitations Table of this permit. If all published MLs are higher than the effluent limitations, the Permittee shall use the test method procedure with the lowest ML. The Permittee shall ensure that the laboratory uses a standard calibration where the lowest standard point is equal to or less than the ML. Priority pollutant analysis for metals shall measure *total metal*, except as provided under 40 CFR 122.45(c). EPA method 1631E shall be used for mercury analysis. Priority pollutant analysis for benzene, ethylbenzene, toluene and xylene shall employ either EPA Method 602 or 624. Effluent analysis for xylene shall measure *total xylene*.
2. The regulations at 40 CFR 122.48 require that all NPDES permits specify monitoring and reporting requirements. All monitoring shall be in accordance with Standard Condition 10. *Monitoring and records* in Attachment B of this permit.
3. Sampling point for Outfall 001 shall be located at the end of pipe.
4. The Permittee shall develop and implement a quality assurance (QA) plan for laboratory analyses for effluent and/or receiving water monitoring.

D. Monitoring Locations

The Permittee shall establish the following monitoring locations to demonstrate compliance with the effluent limitations and other requirements in this permit:

Monitoring Locations Table

Outfall	Monitoring location	Monitoring location description
001	End of pipe.	End of pipe.

PART III. REPORTING REQUIREMENTS AND COMPLIANCE DETERMINATION**A. Reporting Requirements**

1. **Standard Conditions.** The Permittee shall comply with all Standard Conditions in section IV.A below and Attachment B of this permit related to monitoring, reporting, and recordkeeping.
2. **Monitoring data submission.** The Permittee shall submit monitoring data and other reports to EPA electronically using NetDMR, a web-based tool that allows Permittees to electronically submit discharge monitoring reports (DMRs) and other required reports via a secure internet connection. Specific requirements

regarding submittal of data and reports in hard copy form and for submittal using NetDMR are described below:

- a. **Submittal of Reports Using NetDMR.** DMRs and reports submitted electronically to EPA must be done using NetDMR at <http://www.epa.gov/netdmr>. All reports required under the permit shall be submitted to EPA as an electronic attachment to the DMR. Once a Permittee submits DMRs and reports using NetDMR, it is not required to submit hard copies of DMRs or other reports to EPA and the EQB. However, Permittees shall continue to send hard copies of reports other than DMRs to the EQB until further notice from the EQB.
 - b. **Submittal of Reports in Hard Copy.** Non-DMR reports must be submitted in accordance III.A.3. However, Permittees shall continue to send hard copies of reports other than DMRs to the EQB until further notice from the EQB.
 - c. **Timing of submissions.** DMRs shall be submitted to EPA no later than the 28th day of the month following the completed reporting period. Monitoring results shall be summarized. The first report is due on November 28, 2014.
3. **Submission Requirements.** If submitting reports in hard copy form, DMRs must be signed and certified as required by Standard Condition 11. *Signatory requirements* in Attachment B of this permit. The Permittee shall submit the original signed DMR to 3.a below and duplicate signed copies and all other reports required in this permit to 3.b below:
- a. U.S. Environmental Protection Agency, Region 2
290 Broadway, 21st Floor
New York, NY 10007-1866
Attention: Compliance Assistance and Program Support Branch
 - b. Puerto Rico Environmental Quality Board
P.O. Box 11488
Santurce, PR 00910
Attention: Water Quality Bureau
4. **Analytical Determinations.** The Permittee shall report the results on the DMR of analytical determinations for the presence of chemical constituents in a sample using the following reporting protocols:
- a. Sample results greater than or equal to the ML must be reported as measured by the laboratory (i.e., the measured chemical concentration in the sample).
 - b. Sample results less than the ML must be reported as <ML, where the ML equals the ML reported by the laboratory.
 - c. Permittees are to instruct laboratories to establish calibration standards so that the ML value (or its equivalent if there is differential treatment of samples relative to calibration standards) is the lowest calibration standard. At no time is the Permittee to use analytical data derived from extrapolation beyond the lowest point of the calibration curve.

B. Compliance Determination

Compliance with effluent limitations contained in this permit will be determined as specified below:

1. **General.** Compliance with effluent limitations for priority pollutants must be determined using sample reporting protocols defined in section III. A and Attachment A. *Definitions*.
2. **Average Monthly Discharge Limitation (AML).** If the average or, when applicable, the median of daily discharges that were measured in a calendar month exceeds the AML for a given parameter, this will represent a single violation, though the Permittee will be considered out of compliance for each day of that month for that parameter (e.g., resulting in 31 days of noncompliance in a 31-day month). If only a single sample is taken during the calendar month and the analytical result for that sample exceeds the AML, the Permittee will be considered out of compliance for that calendar month. The Permittee will be considered out of compliance for only the days when the discharge occurs. For any one calendar month during which no sample (daily discharge) is taken yet sampling is required, the Permittee will be considered out of compliance for that calendar month.

3. **Average Weekly Discharge Limitation (AWL).** If the average or, when applicable, the median of daily discharges over a calendar week exceeds the AWL for a given parameter, this will represent a single violation, though the Permittee will be considered out of compliance for each day of that week for that parameter, resulting in 7 days of noncompliance. If only a single sample is taken during the calendar week and the analytical result for that sample exceeds the AWL, the Permittee will be considered out of compliance for that calendar week. The Permittee will be considered out of compliance for only the days when the discharge occurs. For any one calendar week during which no sample (daily discharge) is taken yet sampling is required, the Permittee will be considered out of compliance for that calendar week.
4. **Maximum Daily Discharge Limitation (MDL).** If a daily discharge exceeds the MDL for a given parameter, the Permittee will be considered out of compliance for that parameter for that 1 day only in the reporting period. For any one day during which no sample is taken yet a sampling is required, the Permittee will be considered out of compliance for that day.

PART IV. STANDARD AND SPECIAL CONDITIONS

A. Standard Conditions

1. Standard Conditions Applicable to All Facilities

- a. The Permittee shall comply with all Standard Conditions that apply to all NPDES permits in accordance with 40 CFR 122.41 (Attachment B of this permit), and additional conditions applicable to specific categories of facilities in accordance with 40 CFR 122.42.
- b. The Permittee shall comply with the Reopener Clause in Standard Condition 17. *Reopener clause for toxic effluent limitations*, in Attachment B of this permit, which applies to all NPDES permits in accordance with 40 CFR 122.44(b). EPA reserves the right to revoke and reissue or modify this permit to establish effluent limitations, additional monitoring, schedules of compliance or other permit conditions based on new information, including any changes to the final Water Quality Certificate from EQB.

2. Standard Conditions Applicable to Specific Facilities—Notification Levels

Existing manufacturing, commercial, mining, and silvicultural dischargers must notify EPA as soon as they know or have reason to believe [40 CFR 122.42(a)]:

- a. That any activity has occurred or will occur that would result in the discharge, on a routine or frequent basis, of any toxic pollutant that is not limited in this permit, if that discharge will exceed the highest of any one of the following *notification levels* [40 CFR 122.42(a)(1)]:
 - 1) 100 micrograms per liter ($\mu\text{g/L}$) [40 CFR 122.42(a)(1)(i)].
 - 2) 200 $\mu\text{g/L}$ for acrolein and acrylonitrile; 500 $\mu\text{g/L}$ for 2,4 dinitrophenol and 2 methyl 4,6 dinitrophenol; and 1 milligrams per liter (mg/L) for antimony [40 CFR 122.42(a)(1)(ii)].
 - 3) Five times the maximum concentration value reported for that pollutant in the DMR [40 CFR 122.42(a)(1)(iii)].
 - 4) The level established by EPA in accordance with 40 CFR 122.44(f) [40 CFR 122.42(a)(1)(iv)].
- b. That any activity has occurred or will occur that would result in the discharge, on a non-routine or infrequent basis, of any toxic pollutant that is not limited in this permit, if that discharge will exceed the highest of any one of the following *notification levels* [40 CFR 122.42(a)(2)]:
 - 1) 500 $\mu\text{g/L}$ [40 CFR 122.42(a)(2)(i)].
 - 2) 1 mg/L for antimony [40 CFR 122.42(a)(2)(ii)].
 - 3) Ten times the maximum concentration value reported for that pollutant in the DMR [40 CFR 122.42(a)(2)(iii)].
 - 4) The level established by EPA in accordance with 40 CFR 122.44(f) [40 CFR 122.42(a)(2)(iv)].

B. Special Conditions**1. Special Conditions from the Water Quality Certificate****a. These Special conditions are an integral part of the permit (footnotes at end of this section):**

- 1) The Discharge 001 will only consist of waters composed entirely of stormwater.⁴
- 2) Prior to the construction of any treatment system, the permittee shall obtain the approval of the engineering report, plans and specifications from the Environmental Quality Board (EQB).⁴
- 3) The permittee shall install, maintain and operate all water pollution control equipment in such manner as to be in compliance with the applicable Rules and Regulations.^{3,4}
- 4) No toxic substances shall be discharged in toxic concentrations other than those allowed as specified in the NPDES permit. Those toxic substances included in the permit renewal application, but not regulated by the NPDES permit, shall not exceed the concentrations specified in the applicable regulatory limitations.^{2,3}
- 5) The waters of Puerto Rico shall not contain any substance attributable to discharge 001, at such concentration which, either alone or as result of synergistic effects with other substances, is toxic or produces undesirable physiological responses in human, fish or other substances, is toxic or produces undesirable physiological responses in human, fish or other fauna or flora.²
- 6) The discharge 001 shall not cause the presence of oil sheen in the receiving water body.²
- 7) The stormwater discharge associated with industrial activities covered by this WQC will not cause violations to the applicable water quality standards at the receiving water body.³
- 8) All sample collection, preservation, and analysis shall be carried out in accordance with the Title 40 of the Code of Federal Regulations (40 CFR), Part 136. A licensed chemist authorized to practice the profession in Puerto Rico shall certify all chemical analysis. All bacteriological tests shall be certified by a microbiologist or medical technologist authorized to practice the profession in Puerto Rico.^{1,3}
- 9) The sampling points for discharge 001 shall be labeled with an 18 inch per 12 inch (minimum dimensions) sign that reads as follows:

"Punto de Muestreo para la Descarga 001"

- 10) The sampling point for discharge 001 shall be accessible and free of vegetation, debris, trash, etc., at any time.
- 11) The permittee should keep daily records of rain, indication of the date, reading of the rain gauge and duration for such events during normal business hours of the facility. Copy of these records shall be submitted monthly to EQB.³
- 12) STORMWATER POLLUTION PREVENTION PLAN (SWPP PLAN)/ BEST MANAGEMENT PRACTICES PLAN (BMP PLAN)⁴
 - A) A copy of the most recent version of the approved SWPP Plan/BMP Plan shall be maintained at the facility and shall be available upon request.
 - B) The SWPP Plan/BMP Plan shall be reviewed each five year and modified if necessary. A certification that the SWPP Plan/BMP Plan was reviewed shall be submitted not later than ninety (90) days after the Effective Date of the NPDES Permit (EDP).
 - C) Whenever changes occur at the facility that substantially increase the potential for releases of pollutants or when situations occur that reflect that the plan is inadequate, the SWPP Plan/BMP Plan shall be modified to include preventive measurements in order to address those situations.
 - D) If a modification of the SWPP Plan/BMP Plan is necessary, the permittee shall submit the modified the SWPP Plan/BMP Plan to EQB for review and approval within ninety (90) days from the date when the Plan was revised or changes in the facility occurred. The revised SWPP Plan/BMP Plan shall be implemented within ninety (90) days after EQB's approval.

13) The permittee shall comply at all times with the provisions, measures or practices included in the most recent version of the SWPP Plan/BMP Plan (Special Condition 12) approved by EQB.⁴

14) **WHEN FLOW OCCURS (WFO)⁴**

WFO – For our purposes means when a stormwater discharge to the receiving water body occurs during normal business hours of the facility, but not more often than one rainfall runoff per month.

A) First Half of Month

During the first fifteen (15) days of the month, sampling shall be as follows: A minimum period of 48 hours without measurable precipitation (measurable precipitation being rainfall greater than 0.1 inch) shall precede the storm event to be sampled. For parameters which require grab samples, the sample shall be taken during the first thirty (30) minutes of stormwater discharge.

B) Second Half of Month

In the event that the permittee is unable to satisfy the above condition during the first fifteen (15) days of the month, beginning on the sixteenth (16th) day of the month, the permittee shall sample any stormwater discharge which occurs during normal business hours of the facility.

C) General Requirements

The permittee must report in a cover letter attached to each Discharge Monitoring Report (DMR), details of the conditions under which the storm water samples were taken and the date of sampling.

Alternatively, if no sample was taken during the month, the permittee shall be deemed to have met the sampling requirement if the permittee certifies that it was not possible to satisfy the specified sampling protocol during the first fifteen (15) days of the month and that there was no measurable discharge of stormwater during normal business hours from the sixteenth (16th) day of the month until the last day of the month.

Footnotes

- 1) According to Rule 1301 of the Puerto Rico Water Quality Standards Regulation, as amended.
- 2) According to Rule 1303 of the Puerto Rico Water Quality Standards Regulation, as amended.
- 3) According to Rule 1306 of the Puerto Rico Water Quality Standards Regulation, as amended.
- 4) According to the Environmental Public Policy Act of September 22, 2004, Act No. 416, as amended.

2. Storm Water Pollution Prevention Plan (SWPPP)

Your SWPPP must at a minimum contain the following, any requirements in the special conditions and any additional measures listed in Part IV (B)(3):

a. Contents of Your SWPPP

- 1) For coverage under this permit, your SWPPP must contain the following elements:
 - a) Stormwater pollution prevention team;
 - b) Site description;
 - c) Summary of potential pollutant sources;
 - d) Description of control measures;
 - e) Schedules and procedures;
 - f) Documentation to support eligibility considerations under other federal laws; and
 - g) Signature requirements.

Where your SWPPP refers to procedures in other facility documents, copies of the relevant portions of those documents must be kept with your SWPPP.

b) Stormwater Pollution Prevention Team

You must identify the staff members (by name or title) that comprise the facility's stormwater pollution prevention team as well as their individual responsibilities. Your stormwater pollution prevention team is responsible for assisting the facility manager in developing and revising the facility's SWPPP as well as maintaining control measures and taking corrective actions where required. Each member of the stormwater pollution prevention team must have ready access to either an electronic or paper copy of applicable portions of this permit and your SWPPP.

c) Site Description

Your SWPPP must include the following:

- a) Activities at the facility. Provide a description of the nature of the industrial activities at your facility.
- b) General location map. Provide a general location map with enough detail to identify the location of your facility and all receiving waters for your stormwater discharges.
- c) Site Map.
 - (1) The size of the property in acres;
 - (2) The location and extent of significant structures and impervious surfaces;
 - (3) Directions of stormwater flow (use arrows);
 - (4) Locations of all existing structural control measures;
 - (5) Locations of all receiving waters in the immediate vicinity of your facility, indicating if any of the waters are impaired and, if so, whether the waters have TMDLs established for them;
 - (6) Locations of all stormwater inlets and outfalls, with a unique identification code for each outfall (e.g., Outfall No. 1, No. 2, etc.) and an approximate outline of the areas draining to each outfall;
 - (7) Municipal separate storm sewer systems, where your stormwater discharges to them;
 - (8) Locations and descriptions of all non-stormwater discharges if any;
 - (9) Locations of the following activities, if any, where such activities are exposed to precipitation;
 - (a) Fueling stations;
 - (b) Vehicle and equipment maintenance and/or cleaning areas;
 - (c) Loading/unloading areas;
 - (d) Locations used for the treatment, storage or disposal of wastes;
 - (e) liquid storage tanks;
 - (f) processing and storage areas;
 - (g) immediate access roads and rail lines used or traveled by carriers of raw materials, manufactured products, waste material, or by-products used or created by the facility;
 - (h) transfer areas for substances in bulk; and
 - (i) Machinery; and
 - (10) Locations and sources of run-on to your site from adjacent property that contains significant quantities of pollutants.

d) Summary of Potential Pollutant Sources.

You must document areas at your facility where industrial materials or activities are exposed to stormwater and from which allowable non-stormwater discharges are released. *Industrial materials or activities* include, but are not limited to: material handling equipment or activities; industrial machinery; raw materials; industrial production and processes; and intermediate products, by-products, final products, and waste products. *Material handling activities* include, but are not limited to: the storage, loading and unloading, transportation, disposal, or conveyance of any raw material, intermediate product, final product or waste product. For each area identified, the description must include:

- (1) *Activities in the area.* A list of the industrial activities exposed to stormwater (e.g., material storage; equipment fueling, maintenance, and cleaning; cutting steel beams).
- (2) *Pollutants.* A list of the pollutant(s) or pollutant constituents (e.g., crankcase oil, zinc, sulfuric acid, and cleaning solvents) associated with each identified activity. The pollutant list must include all significant materials that have been handled, treated, stored, or disposed, and that have been exposed to stormwater in the 3 years prior to the date you prepare or amend your SWPPP.
- (3) *Spills and Leaks.* You must document where potential spills and leaks could occur that could contribute pollutants to stormwater discharges, and the corresponding outfall(s) that would be affected by such spills and leaks. You must document all significant spills and leaks of oil or toxic or hazardous pollutants that actually occurred at exposed areas, or that drained to a stormwater conveyance, in the 3 years prior to the date you prepare or amend your SWPPP.
- (4) *Note:* Significant spills and leaks include, but are not limited to, releases of oil or hazardous substances in excess of quantities that are reportable under CWA Section 311 (see 40 CFR 110.6 and 40 CFR 117.21) or Section 102 of the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA), 42 USC §9602. This permit does not relieve you of the reporting requirements of 40 CFR 110, 40 CFR 117, and 40 CFR 302 relating to spills or other releases of oils or hazardous substances.
- (5) *Non-Stormwater Discharges.* You must document that you have evaluated for the presence of non-stormwater discharges and that all unauthorized discharges have been eliminated. Documentation of your evaluation must include:
 - (a) The date of any evaluation;
 - (b) A description of the evaluation criteria used;
 - (c) A list of the outfalls or onsite drainage points that were directly observed during the evaluation;
 - (d) The different types of non-stormwater discharge(s) and source locations; and
 - (e) The action(s) taken, such as a list of control measures used to eliminate unauthorized discharge(s), if any were identified. For example, a floor drain was sealed, a sink drain was re-routed to sanitary, or an NPDES permit application was submitted for an unauthorized cooling water discharge.
- (6) *Salt Storage.* You must document the location of any storage piles containing salt used for commercial or industrial purposes.
- (7) *Sampling Data.* You must summarize all stormwater discharge sampling data collected at your facility during the previous permit term.

e) Description of Control Measures

- (1) You must document the location and type of control measures you have installed and implemented at your site to achieve the effluent limits of Part II of this permit.

f) Schedules and Procedures

- (1) The following must be documented in your SWPPP
 - (a) *Good Housekeeping* – A schedule for regular pickup and disposal of waste materials, along with routine inspections for leaks and conditions of drums, tanks and containers;

- (b) Maintenance – Preventative maintenance procedures, including regular inspections, testing, maintenance, and repair of all industrial equipment and systems, and control measures, to avoid situations that may result in leaks, spills, and other releases, and any back-up practices in place should a runoff event occur while a control measure is off-line;
- (c) Spill Prevention and Response Procedures – Procedures for preventing and responding to spills and leaks. You may reference the existence of other plans for Spill Prevention Control and Countermeasure (SPCC) developed for the facility under Section 311 of the CWA or BMP programs otherwise required by an NPDES permit for the facility, provided that you keep a copy of that other plan onsite and make it available for review consistent with Part 5.3; and
- (d) Employee Training – A schedule for all types of necessary training.

g) **Signature Requirements:** You must sign and date your SWPPP

3) **Additional Measures for Food and Kindred Products.**

These sector specific requirements are in addition to any requirements specified elsewhere in this permit.

- a) Prohibition of Non-Stormwater *Discharges*. The following discharges are not authorized by this permit: discharges containing boiler blowdown, cooling tower overflow and blowdown, ammonia refrigeration purging, and vehicle washing and clean-out operations.
- b) Employee Training. Address pest control in your employee training program.
- c) Additional SWPPP Requirements.
 - i) Drainage Area Site Map. Document in your SWPPP the locations of the following activities which apply to the facility if they are exposed to precipitation or runoff: vents and stacks from cooking, drying, and similar operations; dry product vacuum transfer lines; animal holding pens; spoiled product; and broken product container storage areas.
 - ii) Potential Pollutant Sources. (See also Part 5.1.3) Document in your SWPPP, in addition to food and kindred products processing-related industrial activities, application and storage of pest control chemicals (e.g., rodenticides, insecticides, fungicides) used on plant grounds.
- d) Additional Inspection Requirements. (See also Part 4.1) Inspect on a quarterly basis, at a minimum, the following areas that apply to the facility where the potential for exposure to stormwater exists: loading and unloading areas for all significant materials; storage areas, including associated containment areas; waste management units; vents and stacks emanating from industrial activities; spoiled product and broken product container holding areas; animal holding pens; staging areas; and air pollution control equipment.

ATTACHMENT A: DEFINITIONS

Acute Toxicity Test means any toxicity test designed to determine the concentration in which a response to a stimulus, such as a total effluent, specific substance or combinations of these, has sufficient severity to induce an adverse effect on a group of test organisms during a period of 96 hours or less; even if said effect is not necessarily the death of the organisms.

Acute Toxicity Unit (TU_a) means the reciprocal of the effluent concentration that causes 50 percent of the organisms to die in an acute toxicity test or induce a response halfway between the base line and maximum as defined by the following equation:

$$TU_a = 100 / (LC_{50})$$

(The LC₅₀ should be expressed in terms of the percent of effluent in the dilution water.)

Average Monthly Discharge Limitation (AML) means the highest allowable average of daily discharges over a calendar month, calculated as the sum of all daily discharges measured during a calendar month divided by the number of daily discharges measured during that month. [40 CFR 122.2]

Average Weekly Discharge Limitation (AWL) means the highest allowable average of daily discharges over a calendar week (Sunday through Saturday), calculated as the sum of all daily discharges measured during a calendar week divided by the number of daily discharges measured during that week. [40 CFR 122.2]

Best Management Practices (BMP) means the most effective practicable means of preventing or reducing the amount of pollution generated by nonpoint and point sources to a level more compatible to the water quality goals, including, but not limited to, structural and non-structural controls and operating and maintenance procedures. [40 CFR 122.2]

Biosolids means non-hazardous sewage sludge, as defined in 40 CFR Part 503.9. Sewage sludge that is hazardous, as defined in 40 CFR Part 261, must be disposed of in accordance with Resource Conservation and Recovery Act.

Bypass means the intentional diversion of waste streams from any portion of a treatment facility as discussed in 13. Bypass of Attachment B of this permit. [40 CFR 122.41(m)]

Composite means a combination of individual (or continuously taken) samples obtained at regular intervals over the entire discharge day. The volume of each sample must be proportional to the discharge flow rate. For a continuous discharge, a minimum of 24 individual grab samples (at hourly intervals) must be collected and combined to constitute a 24-hour composite sample. For intermittent discharges of more than 4 hours duration, grab samples must be taken at a minimum of 30-minute intervals.

Chronic Toxicity Test means any toxicity test designed to determine the concentration in which a response to a stimulus, such as a total effluent, a specific substance, or combination of these, has sufficient severity to induce a long-term adverse effect on a group of test organisms. A chronic effect could be lethality, reduction of growth rate, reduction of reproduction rate, etc.

Chronic Toxicity Unit (TU_c) means the reciprocal of the effluent concentration that causes no observable effect on the test organisms by the end of the chronic exposure period obtained during a chronic toxicity test as defined by the following equation:

$$TU_c = 100 / NOEC$$

(The NOEC value should be expressed in terms of the percent of effluent in the dilution water.)

Critical Initial Dilution means the minimum dilution to be determined by means of the use of a mathematical model to be approved by EQB, and according to the procedures described in the *Mixing Zone and Bioassay Guidelines*, approved by EQB.

Daily Discharge is defined as either (1) the total mass of the constituent discharged over the calendar day (12:00 a.m. through 11:59 p.m.) or any 24-hour period that reasonably represents a calendar day for purposes of sampling (as specified in the permit), for a constituent with limitations expressed in units of mass; or (2) the unweighted arithmetic mean measurement of the constituent over the day for a constituent with limitations expressed in other units of measurement (e.g., concentration).

The daily discharge may be determined by the analytical results of a composite sample taken over the course of one day (a calendar day or other 24-hour period defined as a day) or by the arithmetic mean of analytical results from one or more grab samples taken over the course of the day. For composite sampling, if 1 day is defined as a 24-hour period other than a calendar day, the analytical result for the 24-hour period will be considered as the result for the calendar day in which the 24-hour period ends. [40 CFR 122.2]

Director means the *Regional Administrator* or the *State Director*, as the context requires, or an authorized representative. Until Puerto Rico has an approved state program authorized by EPA under 40 CFR Part 123, *Director* means the Regional Administrator. Following authorization, *Director* means the State Director. Even in such circumstances, EPA may retain authority to take certain action (see, for example, 40 CFR 123.1(d), 45 *Federal Register* 14178, April 1, 1983, on the retention of jurisdiction over permits EPA issued before program approval). If any condition of this permit requires the reporting of information or other actions to both the Regional Administrator and the State Director, regardless of who has permit issuing authority, the terms *Regional Administrator* and *State Director* will be used in place of *Director*. [40 CFR 122.2]

Discharge Monitoring Report (DMR) means EPA uniform national form, including any subsequent additions, revisions, or modifications, for the reporting of self-monitoring results by the Permittee. [40 CFR 122.2]

Geometric Mean means the n th root of the product of n numbers.

Grab means an individual sample collected in less than 15 minutes.

ICIS means EPA's Integrated Compliance Information System that provides web access to enforcement and compliance assurance data to EPA and state agencies.

Inhibition Concentration 25 (IC₂₅) means a point estimate of the effluent concentration that would cause a 25-percent reduction in a non-lethal (e.g., reproduction, growth) or lethal (mortality) biological measurement.

Lethal Concentration (LC₅₀) means the concentration of effluent, specific substances or combination of these that is lethal to 50 percent of test organisms exposed during a specific period in a toxicity test.

Lowest Observable Effects Concentration (LOEC) means the lowest concentration of an effluent or toxicant that results in adverse effects on the test organisms. That is, where the values for the observed endpoints are statistically different from the control.

Maximum Daily Discharge Limitation (MDL) means the highest allowable daily discharge of a pollutant, over a calendar day (or 24-hour period). For pollutants with limitations expressed in units of mass, the daily discharge is calculated as the total mass of the pollutant discharged over the day. For pollutants with limitations expressed in other units of measurement, the daily discharge is calculated as the arithmetic mean measurement of the pollutant over the day.

Median is the middle measurement in a set of data. The median of a set of data is found by first arranging the measurements in order of magnitude (either increasing or decreasing order). If the number of measurements (n) is odd, the median = $X_{(n+1)/2}$. If n is even, the median = $(X_{n/2} + X_{(n/2)+1})/2$ (i.e., the midpoint between the $n/2$ and $n/2+1$).

Minimum Level (ML) is the concentration at which the entire analytical system must give a recognizable signal and acceptable calibration point. The ML is the concentration in a sample that is equivalent to the concentration of the lowest calibration standard analyzed by a specific analytical procedure, assuming that all the method-specified sample weights, volumes, and processing steps have been followed.

Mixing Zone is a limited volume of receiving water that is allocated for mixing with a wastewater discharge where water quality criteria can be exceeded without causing adverse effects on the overall water body.

Monthly means one day each month (the same day each month) and a normal operating day (e.g., the 2nd Tuesday of each month).

No Observed Effect Concentration (NOEC) means the highest tested concentration of an effluent or a toxicant at which no adverse effects are observed on the aquatic test organisms at a specific time of observation.

Not Detected (ND) are those sample results less than the ML.

Regional Administrator means the Regional Administrator of EPA Region 2 or the authorized representative of the Regional Administrator.

Severe property damage means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.

Toxic pollutant means any of the pollutants listed in 40 CFR 401.15 (45 *Federal Register* 44503, July 30, 1979) and any modification to that list in accordance with CWA section 307(a)(1). [40 CFR 122.2]

Toxicity Reduction Evaluation (TRE) is a study conducted in a step-wise process designed to identify the causative agents of effluent or ambient toxicity, isolate the sources of toxicity, evaluate the effectiveness of toxicity control options, and then confirm the reduction in toxicity. The first steps of the TRE consist of collecting data relevant to the toxicity, including additional toxicity testing, and evaluating facility operations and maintenance practices, and best management practices. A Toxicity Identification Evaluation (TIE) may be required as part of the TRE, if appropriate. (A TIE is a set of procedures to identify the specific chemical(s) responsible for toxicity. These procedures are performed in three phases (characterization, identification, and confirmation) using aquatic organism toxicity tests.)

Total Maximum Daily Loads (TMDLs) are calculations of the maximum amount of a pollutant that a water body can receive and still safely meet water quality standards. TMDLs are the sum of the individual wasteload allocations for point sources and load allocations for nonpoint sources and natural background. [40 CFR 130.2(i)]

Upset is an exceptional incident in which there is unintentional and temporary noncompliance with technology-based permit effluent limitations because of factors beyond the reasonable control of the Permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, or careless or improper operation as discussed in 14. Upset of Attachment B of this permit. [40 CFR 122.41(n)]

Waters of Puerto Rico means all coastal waters, surface waters, estuarine waters, ground waters and wetland as defined in Puerto Rico Water Quality Standards Regulations, as amended.

Weekly means every seventh day (the same day of each week) and a normal operating day

ATTACHMENT B: STANDARD CONDITIONS

General Conditions language in this attachment for sections 1 through 14, and 17 is based on the *Code of Federal Regulations* (CFR) published on July 1, 2014. Reference to provisions in the *United States Code* (U.S.C.) is based on the date of permit issuance.

Table of Regulatory References for General Conditions

<u>Section</u>	<u>Section Title</u>	<u>Reference</u>
1.	Duty to comply	40 CFR 122.41(a)
2.	Duty to reapply	40 CFR 122.41(b)
3.	Need to halt or reduce not a defense	40 CFR 122.41(c)
4.	Duty to mitigate	40 CFR 122.41(d)
5.	Proper operation and maintenance	40 CFR 122.41(e)
6.	Permit actions	40 CFR 122.41(f)
7.	Property rights	40 CFR 122.41(g)
8.	Duty to provide information	40 CFR 122.41(h)
9.	Inspection and entry	40 CFR 122.41(i)
10.	Monitoring and records	40 CFR 122.41(j)
11.	Signatory requirements	40 CFR 122.41(k)
12.	Reporting requirements	40 CFR 122.41(l)
13.	Bypass	40 CFR 122.41(m)
14.	Upset	40 CFR 122.41(n)
15.	Removed substances	33 U.S.C. 1311
16.	Oil and hazardous substance liability	33 U.S.C. 1321
17.	Reopener clause for toxic effluent limitations	40 CFR 122.44(b)(1)
18.	State laws	33 U.S.C. 1370
19.	Availability of information	33 U.S.C. 1318
20.	Severability	-

1. Duty to Comply [40 CFR 122.41(a)].

- a. The Permittee shall comply with all conditions of this permit. Any permit noncompliance constitutes a violation of the Clean Water Act and is grounds for enforcement action; for permit termination, revocation and reissuance, or modification; or for denial of a permit renewal application.
- b. The Permittee shall comply with effluent standards or prohibitions established under section 307(a) of the Clean Water Act for toxic pollutants and with standards for sewage sludge use or disposal established under section 405(d) of the Clean Water Act within the time provided in the regulations that establish these standards or prohibitions or standards for sewage sludge use or disposal, even if the permit has not yet been modified to incorporate the requirement.
- c. The Clean Water Act provides that any person who violates sections 301, 302, 306, 307, 308, 318 or 405 of the Clean Water Act, or any permit condition or limitation implementing any such sections in a permit issued under section 402, or any requirement imposed in a pretreatment program approved under sections 402(a)(3) or 402(b)(8) of the Clean Water Act, is subject to a civil penalty not to exceed \$25,000 per day for each violation (\$37,500 as adjusted by 40 CFR Part 19).
- d. The Clean Water Act provides that any person who negligently violates sections 301, 302, 306, 307, 308, 318, or 405 of the Clean Water Act, or any permit condition or limitation implementing any such sections in a permit issued under section 402 of the Clean Water Act, or any requirement imposed in a pretreatment program approved under section 402(a)(3) or 402(b)(8) of the Clean Water Act, is subject to criminal penalties of not less than \$2,500 nor more than \$25,000 per day of violation, or imprisonment of not more than 1 year, or both. In the case of a second or subsequent conviction for a negligent violation

of the Clean Water Act, a person shall be subject to criminal penalties of not more than \$50,000 per day of violation, or by imprisonment of not more than 2 years, or both.

- e. The Clean Water Act provides that any person who knowingly violates sections 301, 302, 306, 307, 308, 318, or 405 of the Clean Water Act, or any permit condition or limitation implementing any of such sections in a permit issued under section 402 of the Clean Water Act, or any requirement imposed in a pretreatment program approved under section 402(a)(3) or 402(b)(8) of the Clean Water Act, is subject to criminal penalties of not less than \$5,000 nor more than \$50,000 per day of violation, or imprisonment for not more than 3 years, or both. In the case of a second or subsequent conviction for a knowing violation of the Clean Water Act, a person shall be subject to criminal penalties of not more than \$100,000 per day of violation, or imprisonment of not more than 6 years, or both.
 - f. Any person who knowingly violates sections 301, 302, 303, 306, 307, 308, 318 or 405 of the Clean Water Act, or any permit condition or limitation implementing any of such sections in a permit issued under section 402 of the Clean Water Act, and who knows at that time that he thereby places another person in imminent danger of death or serious bodily injury, shall, upon conviction, be subject to a fine of not more than \$250,000 or imprisonment of not more than 15 years, or both. A person which is an organization, as defined at 33 U.S.C. 309(c)(3)(B)(iii), shall, upon conviction be subject to a fine of not more than \$1,000,000. In the case of a second or subsequent conviction for a knowing endangerment violation of the Clean Water Act, the maximum punishment shall be doubled with respect to both fine and imprisonment.
 - g. Any person who knowingly makes any false material statement, representation, or certification in any application, record, report, plan, or other document filed or required to be maintained under the Clean Water Act or who knowingly falsifies, tampers with, or renders inaccurate any monitoring device or method required to be maintained under this chapter, shall upon conviction, be punished by a fine of not more than \$10,000, or imprisonment for not more than 2 years, or both. In the case of a second or subsequent conviction, under this paragraph, punishment shall be by a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than 4 years, or both.
 - h. Any person may be assessed an administrative penalty by the Administrator for violating sections 301, 302, 306, 307, 308, 318 or 405 of this Act, or any permit condition or limitation implementing any of such sections in a permit issued under section 402 of this Act. Administrative penalties for Class I violations are not to exceed \$10,000 per violation (\$16,000 as adjusted by 40 CFR Part 19), with the maximum amount of any Class I penalty assessed not to exceed \$25,000 (\$37,500 as adjusted by 40 CFR Part 19). Penalties for Class II violations are not to exceed \$10,000 per day for each day during which the violation continues (\$16,000 as adjusted by 40 CFR Part 19), with the maximum amount of any Class II penalty not to exceed \$125,000 (\$177,500 as adjusted by 40 CFR Part 19).
2. Duty to Reapply [40 CFR 122.41(b)]. This permit and the authorization to discharge shall terminate on the expiration date indicated on the first page. In order to receive authorization to discharge after the expiration date of this permit, the Permittee shall apply for and obtain a new permit. If the permit issuing authority remains the EPA, the Permittee shall complete, sign, and submit an application to the Director no later than 180 days before the expiration date. All applications must be submitted to:
- Joan Leary Matthews, Director
Clean Water Division
U.S. Environmental Protection Agency, Region 2
290 Broadway, 24th Floor
New York, NY 10007-1866
Attention: Clean Water Regulatory Branch
3. Need to Halt or Reduce not a Defense [40 CFR 122.41(c)]. It shall not be a defense for a Permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit.
 4. Duty to Mitigate [40 CFR 122.41(d)]. The Permittee shall take all reasonable steps to minimize or prevent any discharge or sludge use or disposal in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.
 5. Proper operation and maintenance [40 CFR 122.41(e)]. The Permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the Permittee to achieve compliance with the conditions of this permit. Proper operation and

maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back up or auxiliary facilities or similar systems which are installed by a Permittee only when the operation is necessary to achieve compliance with the conditions of the permit.

6. Permit actions [40 CFR 122.41(f)]. This permit may be modified, revoked and reissued, or terminated during its term pursuant to 40 CFR Part 122, Subpart D. The filing of a request by the Permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit condition.
7. Property rights [40 CFR 122.41(g)]. This permit does not convey any property rights of any sort, or any exclusive privileges.
8. Duty to provide information [40 CFR 122.41(h)]. The Permittee shall furnish to the Director, within a reasonable time, any information which the Director may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit, or to determine compliance with this permit. The Permittee shall also furnish to the Director, upon request, copies of records required to be kept by this permit.
9. Inspection and Entry [40 CFR 122.41(i)]. The Permittee shall allow the Director, or an authorized representative (including an authorized contractor acting as a representative of the Administrator), upon presentation of credentials and other documents as may be required by law, to:
 - a. Enter upon the Permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;
 - b. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;
 - c. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit; and
 - d. Sample or monitor at reasonable times, for the purposes of assuring permit compliance or as otherwise authorized by the Clean Water Act, any substances or parameters at any location.
10. Monitoring and records [40 CFR 122.41(j)].
 - a. Samples and measurements taken for the purpose of monitoring shall be representative of the monitored activity.
 - b. Except for records of monitoring information required by this permit related to the Permittee's sewage sludge use and disposal activities, which shall be retained for a period of at least five years (or longer as required by 40 CFR Part 503), the Permittee shall retain records of all monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, copies of all reports required by this permit, and records of all data used to complete the application for this permit, for a period of at least 3 years from the date of the sample, measurement report or application. This period may be extended by request of the Director at any time.
 - c. Records of monitoring information shall include:
 - 1) The date, exact place, and time of sampling or measurements;
 - 2) The individual(s) who performed the sampling or measurements;
 - 3) The date(s) analyses were performed;
 - 4) The individual(s) who performed the analyses;
 - 5) The analytical techniques or methods used; and
 - 6) The results of such analyses.
 - d. Monitoring must be conducted according to test procedures approved under 40 CFR Part 136 and any subsequent changes to the methods contained therein unless another method is required under 40 CFR subchapters N or O.
 - e. The Clean Water Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate any monitoring device or method required to be maintained under this permit shall, upon conviction, be punished by a fine of not more than \$10,000, or by imprisonment for not more than 2 years, or by both. If a conviction of a person is for a violation committed after a first conviction of such person

under this paragraph, punishment is a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or both. [See U.S.C. 1319(c)(4)].

11. Signatory requirements [40 CFR 122.41(k)]. All applications, reports, or information submitted to the Director shall be signed and certified. (See 40 CFR 122.22)

a. Applications. All permit applications shall be signed as follows:

1) For a corporation. By a responsible corporate officer. For the purpose of this section, a responsible corporate officer means: (i) A president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy- or decision-making functions for the corporation, or (ii) the manager of one or more manufacturing, production, or operating facilities, provided, the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.

Note: EPA does not require specific assignments or delegations of authority to responsible corporate officers identified in 40 CFR 122.22(a)(1)(i). EPA will presume that these responsible corporate officers have the requisite authority to sign permit applications unless the corporation has notified the Director to the contrary. Corporate procedures governing authority to sign permit applications may provide for assignment or delegation to applicable corporate positions under 40 CFR 122.22(a)(1)(ii) rather than to specific individuals.

2) For a partnership or sole proprietorship. By a general partner or the proprietor, respectively; or

3) For a municipality, state, federal, or other public agency. By either a principal executive officer or ranking elected official. For purposes of this section, a principal executive officer of a federal agency includes: a) The chief executive officer of the agency, or b) a senior executive officer having responsibility for the overall operations of a principal geographic unit of the agency (e.g., Regional Administrators of EPA).

b. All reports required by permits, and other information requested by the Director shall be signed by a person described in paragraph 11.a of Part II.B, or by a duly authorized representative of that person. A person is a duly authorized representative only if:

1) The authorization is made in writing by a person described in paragraph 11.a;

2) The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility or activity such as the position of plant manager, operator of a well or a well field, superintendent, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters for the company, (A duly authorized representative may thus be either a named individual or any individual occupying a named position.) and,

3) The written authorization is submitted to the Regional Administrator, U.S. Environmental Protection Agency, Region 2, 290 Broadway, New York, NY 10007-1866, Attention: Compliance Assistance Program Support Branch, and to EQB.

c. Changes to authorization. If an authorization under paragraph 11.b of Part II.B is no longer accurate because a different individual or position has responsibility for the overall operation of the facility, a new authorization satisfying the requirements of paragraph 11.b of Part II.B must be submitted to the Director prior to or together with any reports, information, or applications to be signed by an authorized representative.

d. Certification. Any person signing a document under paragraph 11.a or 11.b of Part II.B shall make the following certification:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is,

to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

- e. The Clean Water Act provides that any person who knowingly makes any false material statement, representation, or certification in any record or other document submitted or required to be maintained under this permit, including monitoring reports or reports of compliance or noncompliance shall, upon conviction, be punished by a fine of not more than \$10,000 per violation, or by imprisonment for not more than 6 months per violation, or by imprisonment for not more than 6 months per violation, or by both. If a conviction is for a violation committed after a first conviction of such person under this paragraph, punishment shall be by a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than four years, or both. (See CWA section 309.c.4).

12. Reporting Requirements [40 CFR 122.41(l)].

- a. **Planned changes.** The Permittee shall give notice to the Director as soon as possible of any planned physical alterations or additions to the permitted facility. Notice is required only when:
- 1) The alteration or addition to a permitted facility may meet one of the criteria for determining whether a facility is a new source in 40 CFR 122.29(b);
 - 2) The alteration or addition could significantly change the nature or increase the quantity of pollutants discharged. This notification applies to pollutants which are subject neither to effluent limitations in the permit, nor to notification requirements under paragraph 4.a of Part I.B (40 CFR 122.42(a)(1)); or
 - 3) The alteration or addition results in a significant change in the Permittee's sludge use or disposal practices, and such alteration, addition, or change may justify the application of permit conditions that are different from or absent in the existing permit, including notification of additional use or disposal sites not reported during the permit application process or not reported pursuant to an approved land application plan.
- b. **Anticipated noncompliance.** The Permittee shall give advance notice to the Director of any planned changes in the permitted facility or activity which may result in noncompliance with permit requirements.
- c. **Transfers.** This permit is not transferable to any person except after notice to the Director. The Director may require modification or revocation and reissuance of the permit to change the name of the Permittee and incorporate such other requirements as may be necessary under the Clean Water Act. (See 40 CFR 122.61; in some cases, modification or revocation and reissuance is mandatory.)
- d. **Monitoring reports.** Monitoring results shall be reported at the intervals specified in Part III of this permit.
- 1) Monitoring results shall be reported on a Discharge Monitoring Report (DMR) or forms provided or specified by the Director for reporting results of monitoring of sludge use or disposal practices.
 - 2) If the Permittee monitors any pollutant more frequently than required by the permit using test procedures approved under 40 CFR Part 136, or another method required for an industry-specific waste stream under 40 CFR subchapters N or O, the results of such monitoring shall be included in the calculation and reporting of the data submitted in the DMR or sludge reporting form specified by the Director.
 - 3) Calculations for all limitations which require averaging of measurements shall utilize an arithmetic mean unless otherwise specified by the Director in the permit.
- e. **Compliance Schedules.** Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule of this permit shall be submitted no later than 14 days following each schedule date.
- f. **Twenty four hour reporting.**
- 1) The Permittee shall report any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the Permittee becomes aware of the circumstances to EPA Region 2, Caribbean Environmental Protection Division at (787) 977-5870 and State Director. A written submission shall also be provided within 5 days of the time the Permittee becomes aware of the circumstances. The written submission shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact dates and times, and if

the noncompliance has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance.

- 2) The following shall be included as information which must be reported within 24 hours under this paragraph.
 - a) Any unanticipated bypass (see 13 below) which exceeds any effluent limitation in the permit. [See 40 CFR 122.41(g)].
 - b) Any upset (see 14 below) which exceeds any effluent limitation in the permit.
 - c) Violation of a maximum daily discharge limitation for any of the pollutants listed by the Director in the permit to be reported within 24 hours. (See 40 CFR 122.44(g)).
 - 3) The Director may waive the written report on a case by case basis for reports under paragraph 12.f.(2) of Part II.B if the oral report has been received within 24 hours.
 - g. Other noncompliance. The Permittee shall report all instances of noncompliance not reported under paragraphs 12.d, e, and f of Part II.B, at the time the monitoring reports are submitted. The reports shall contain the information listed in paragraph 12.f of Part II.B.
 - h. Other information. Where the Permittee becomes aware that it failed to submit any relevant facts in a permit application, or submitted incorrect information in a permit application or in any report to the Director, it shall promptly submit such facts or information.
13. Bypass [40 CFR 122.41(m)].
- a. Bypass not exceeding limitations. The Permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of paragraphs 13.b. and 13.c of Part II.B.
 - b. Notice.
 - 1) Anticipated bypass. If the Permittee knows in advance of the need for a bypass, it shall submit prior notice, if possible at least ten days before the date of the bypass.
 - 2) Unanticipated bypass. The Permittee shall submit notice of an unanticipated bypass as required in paragraph 12.f of Part II.B (24-hour notice).
 - c. Prohibition of bypass.
 - 1) Bypass is prohibited, and the Director may take enforcement action against a Permittee for bypass, unless:
 - a) Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
 - b) There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
 - c) The Permittee submitted notices as required under paragraph 13.b of Part II.B.
 - 2) The Director may approve an anticipated bypass, after considering its adverse effects, if the Director determines that it will meet the three conditions listed above in paragraph 13.b.(1) of Part II.B.
14. Upset [40 CFR 122.41(n)].
- a. Effect of an upset. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology-based permit effluent limitations if the requirements of paragraph 14.(b) of Part II.B are met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.
 - b. Conditions necessary for a demonstration of upset. A Permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:
 - 1) An upset occurred and that the Permittee can identify the cause(s) of the upset;

- 2) The permitted facility was at the time being properly operated;
 - 3) The Permittee submitted notice of the upset as required in paragraph 12.f.(2)(b) of Part II.B (24 hour notice); and
 - 4) The Permittee complied with any remedial measures required under paragraph 4 of Part II.B (duty to mitigate).
- c. Burden of proof. In any enforcement proceeding the Permittee seeking to establish the occurrence of an upset has the burden of proof.
15. Removed substances (33 U.S.C. 1311). Pursuant to section 301 of the Clean Water Act, solids, sludges, filter backwash or other pollutants removed in the course of treatment or control of wastewaters and/or the treatment of intake waters shall be disposed of in a manner such as to prevent any pollutant from such materials from entering navigable waters. The following data shall be reported together with the monitoring data required in paragraph 2 of Part I.B:
- a. The sources of the materials to be disposed of;
 - b. The approximate volumes and weights;
 - c. The method by which they were removed and transported; and
 - d. Their final disposal locations.
16. Oil and hazardous substance liability (33 U.S.C. 1321). The imposition of responsibilities upon, or the institution of any legal action against the Permittee under CWA section 311 shall be in conformance with regulations promulgated pursuant to Section 311 to discharges from facilities with NPDES permits.
17. Reopener clause for toxic effluent limitations [40 CFR 122.44(B)(1)]. Other effluent limitations and standards under CWA sections 301, 302, 303, 307, 318 and 405. If any applicable toxic effluent standard or prohibition (including any schedule of compliance specified in such effluent standard or prohibition) is promulgated under CWA section 307(a) for a toxic pollutant and that standard or prohibition is more stringent than any limitation on the pollutant in the permit, the Director shall institute proceedings under these regulations to modify or revoke and reissue the permit to conform to the toxic effluent standard or prohibition. See also 40 CFR 122.41(a).
18. State laws (33 U.S.C. 1370). Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the Permittee from any responsibilities, liabilities, or penalties established pursuant to any applicable state law or regulation under authority preserved by CWA section 510. The issuance of this permit does not preempt any duty to obtain state or local assent required by law for the discharge.
19. Availability of information (33 U.S.C. 1318). (CWA section 308)
- a. NPDES permits, effluent data, and information required by NPDES application forms provided by the Director under 40 CFR 122.21 (including information submitted on the forms themselves and any attachments used to supply information required by the forms) shall be available for public inspection at the offices of the Regional Administrator and State Director.
 - b. In addition to the information set forth in subsection a., any other information submitted to EPA in accordance with the conditions of this permit shall be made available to the public without further notice unless a claim of business confidentiality is asserted at the time of submission in accordance with the procedures in 40 CFR Part 2 (Public Information).
 - c. If a claim of confidentiality is made for information other than that enumerated in subsection a., that information shall be treated in accordance with the procedures in 40 CFR Part 2. Only information determined to be confidential under those procedures shall not be made available by EPA for public inspection.
20. Severability. The provisions of this permit are severable, and if any provision of this permit, or the application of any provision of this permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this permit, shall not be affected thereby.

21. Reopener Clause for Endangered Species Act. This permit may be modified or revoked and reissued based on the results of coordination with National Marine Fisheries Service and U.S. Fish and Wildlife Services pursuant to Section 7 of the Endangered Species Act (ESA), 16 U.S.C.1531 et seq. and its implementing regulations 50 CFR Part 402.

22. Reopener Clause for Essential Fish Habitat Protection. This permit may be modified or revoked and reissued based on the results of coordination with National Marine Fisheries Service regarding essential fish habitats (EFH) pursuant to Section 305(b)(2) of the Magnuson-Stevens Fishery Conservation and Management Act.