



# Projecting Emissions Inventories for Air Quality Modeling of Future Years

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# Course Outline and Schedule



- ▶ 1:30 Introduction to projections
- ▶ 1:45 EGU projections
- ▶ 2:00 Onroad mobile source projections
- ▶ 2:30 Control Strategy Tool overview
- ▶ 3:00 Break
- ▶ 3:15 Agricultural and fugitive dust projections
- ▶ 3:30 Oil and gas projections
- ▶ 4:00 Residential wood, commercial marine vessel, and aviation projections
- ▶ 4:30 Wrap up and final questions

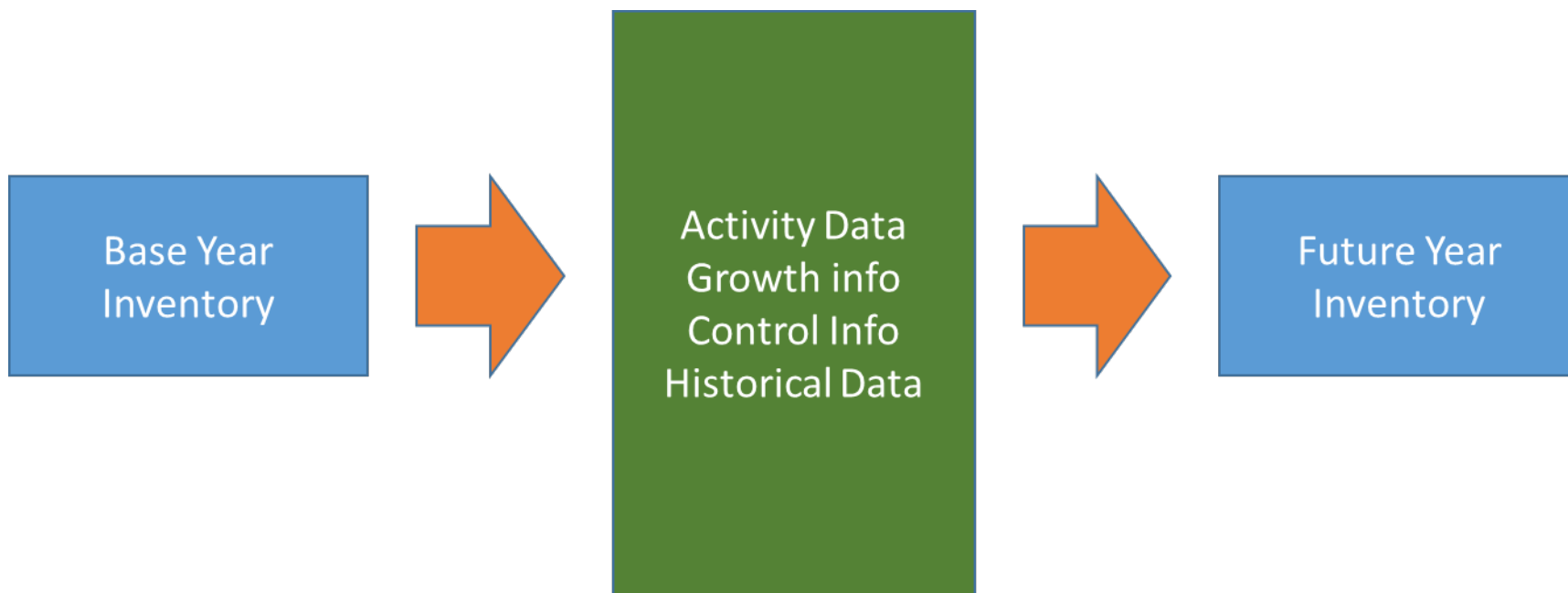


# Future Year Projections

- ▶ Overview
  - Inventories and ancillary data
  - Sets of future years: strive for consistency in methods
- ▶ Projection techniques
  - Replace the sector inventory or section thereof
  - Have a set of sources that factors are applied to – to account for activity change and controls
  - Model-based categories/sectors
- ▶ Estimate changes between base and future years
  - Activity changes
  - Rules / technology changes in intervening years
  - Closures
  - Interpolation / extrapolation often difficult

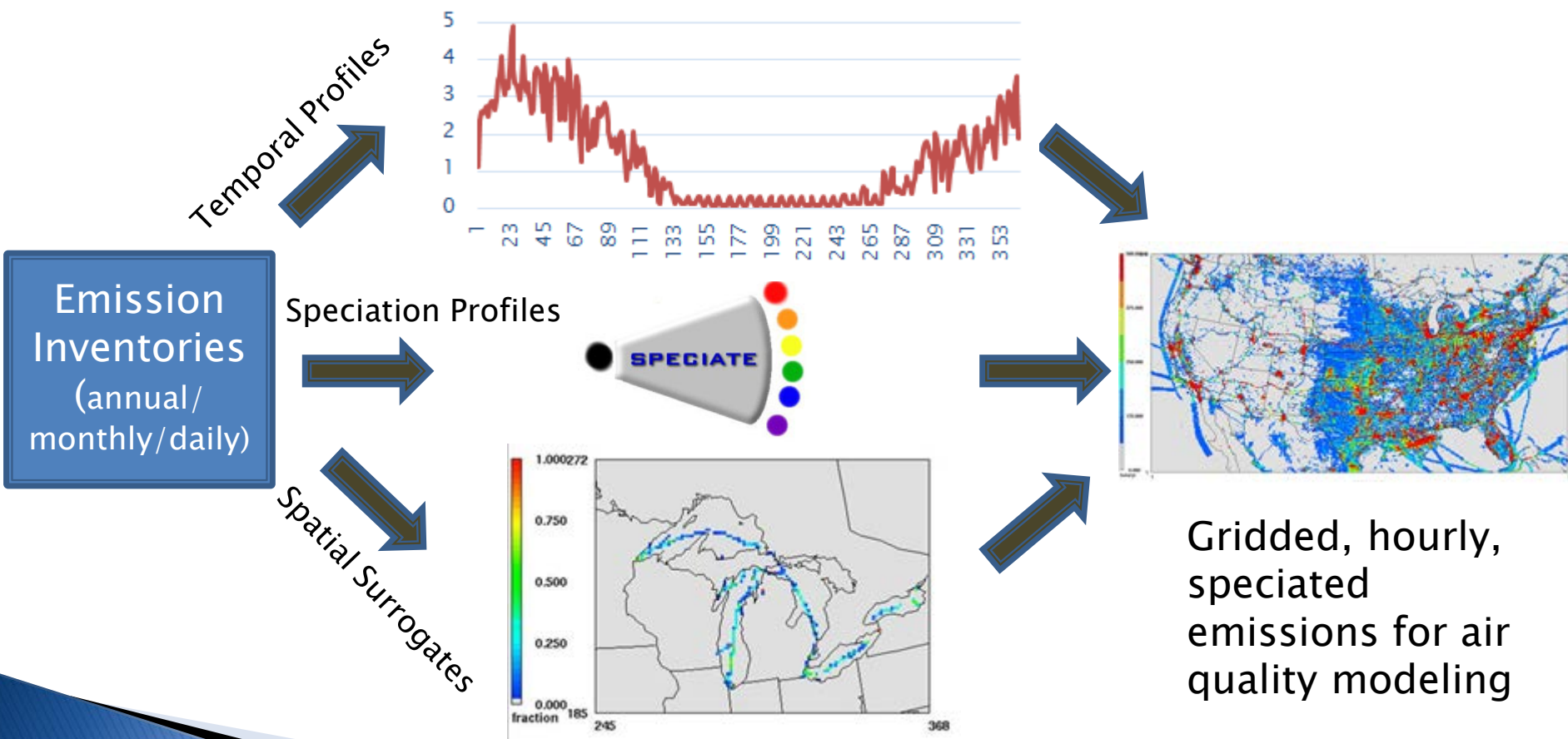


# Future Year Projections



# Emissions Modeling Process

- Steps needed to convert emissions inventories into the resolution and formats needed by air quality models



# Review: Emissions Modeling Platform Sectors



- ▶ Emission inventories are broken down into “sectors” used to prepare AQM–ready emissions for different parts of the inventory
- ▶ Each sector has unique processing or inventory characteristics and starts with lowercase letter
- ▶ Specific sectors vary by modeling platform but cover all sources in the inventory
- ▶ Point source sectors keep their specific latitude–longitude locations throughout the process
- ▶ Nonpoint sectors are allocated to grid cells using spatial surrogates
- ▶ EPA processes emissions separately for each sector then merges the ground–level emissions together at the end





# Why Develop Projected Emission Inventories?

- ▶ Near-term projections
  - The full NEI is developed every three years (2011, 2014, 2017, ...)
  - We frequently do air quality modeling for years other than NEI years
- ▶ Mid-term future projections (e.g., through 2028)
  - We need to evaluate policy options and impacts of changing emissions and use the results in a relative sense
  - EPA develops Regulatory Impact Analyses (RIAs) for regulations
    - Describe the potential social benefits and social costs of regulations
  - **Interstate transport:** The Clean Air Act's "good neighbor" provision requires EPA and states to address interstate transport of air pollution that affects downwind states' ability to attain and maintain National Ambient Air Quality Standards (NAAQS)
    - Each state in its State Implementation Plan (SIP) must prohibit emissions that will **significantly contribute** to nonattainment of a NAAQS, or interfere with maintenance of a NAAQS, in a downwind state.
    - EPA is required to backstop state actions by promulgating Federal Implementation Plans (FIPs) in the event of no submittal or disapproval
  - Other types of analyses

# Developing Projections (1 / 2)



- ▶ Future year emission inventories are developed in different ways, depending on the **base-year modeling platform** and each sector in the platform
- ▶ Factors to consider include:
  - Confidence in base year inventory data
  - Historical data available since base year
  - What sources of information are available for each sector
    - Databases (e.g. Annual Energy Outlook (AEO))
    - Models (e.g. Integrated Planning Model (IPM) for EGUs)



# Developing Projections (2 / 2)



- ▶ More factors to consider
  - How can these sources of information be used for estimating emissions?
  - Spatial and time resolution of information
  - For all sectors, federal rules that go into effect in the intervening years are considered
  - Stationary sources are also adjusted to account for consent decrees, planned shutdowns, etc.
  - Base year–specific point source and non–point fires and biogenics are used for future years

# Review: Purpose and Contents of a Modeling Platform



- ▶ A modeling platform provides a comprehensive air quality modeling system that uses the most recent technically sound data and state-of-the-science tools available
- ▶ Modeling platforms are used to support EPA regulations and other analyses
- ▶ Major components of a modeling platform:
  - Meteorological models (WRF) and met. data
  - Boundary conditions (GEOS-Chem)
  - **Emissions:** base year (NEI)+NonUS, future year projections
  - Air quality models (CMAQ, CAMx)
  - **Other:** ancillary data for emissions modeling, projections data, emissions modeling tools (SMOKE, etc) and scripts

# Review: Recent Emission Modeling Platforms



- ▶ 2011v6.3 platform is based on 2011 NEIv2
  - First number (2011) is the base year being modeled
  - The number before the “.” (6) corresponds to a specific NEI year (e.g., 6 means 2011 NEI was used)
  - The number after the “.” (3) is an iteration of the platform (e.g., 3 is a third major iteration for 2011)
  - Emission modeling platforms have both base and future years that go with them (e.g., 2017, 2023)
- ▶ 2014v7.0 is the initial 2014 NATA modeling platform based on 2014NEIv1
- ▶ A “case” is a specific set of AQM–ready emissions inputs and has an abbreviation with its own naming scheme

# Review: EPA's Emissions Modeling Case Abbreviations – Alphabet Soup!



- ▶ Modeling case abbreviations include:
  - the **year** represented in the modeling (e.g. 2011, 2016)
  - a letter representing the NEI base year (e.g., e, f)
  - a letter representing the iteration of the emissions (e.g., a, b)
  - a year and letter representing the meteorology (e.g., 11g, 14j)
  - optionally, the speciation used (e.g., cb6cmaq, sparc07t)
  - optionally, the version of the platform (v5=2008, v6 =2011)
  - optionally, a special note about the case (e.g., nata, cntl for a control case)
- ▶ Examples
  - **2023el\_cb6v2\_v6\_11g**: 2023= year modeled, e= 2011 NEI base, l = twelfth 2011 case, cb6v2 = CB6 speciation, v6 = platform, 11g = WRF 3.5 for 2011
  - **2016fc\_cb6camx\_16j**: 2016 = year modeled, f = 2014 NEI, c = third 2014 platform emissions case, cb6cfamx = CB6 speciation, 16j = WRF 3.8 for 2016

# EPA US 12km Modeling Platform Domains



- ▶ US domains / grids use consistent map projections (Lambert)
- ▶ Other domains also exist (e.g., 36km, 4km, hemispheric)
- ▶ Many US domains include parts of Canada and/or Mexico





# Modeling Platform(s) with Projections



- ▶ 2011 NEI version 2 has been the primary component of the base-year modeling platform used for future-year projections
- ▶ Recently available platforms with projections:
  - Original 2011v6.3 platform supported the Final Cross-State Air Pollution Rule (CSAPR) Update and included cases 2011ek and 2017ek, and 2017ek\_cntl
  - Cases 2011el and 2023el were added for a preliminary analysis of ozone transport with respect to the 2015 National Ambient Air Quality Standard (NAAQS) for ozone
  - <https://www.epa.gov/air-emissions-modeling/2011-version-63-platform>
- ▶ Working towards projections based on 2014 NEI
  - Gathering data sources and information
  - Need short-term (historical) projections to year 2016





# Projection Techniques

- ▶ Inventory projection techniques differ for different modeling platform sectors
  - Some done using the Control Strategy Tool (CoST) within the Emissions Modeling Framework (EMF)
  - Others are taken as outputs from models
- ▶ Sparse Matrix Operator Kernel Emissions (SMOKE) modeling system is used to prepare the emissions for air quality models (e.g. CMAQ, CAMx)

# Projections overview: Sectors projected based on NEI emissions



Sector(s)	Data sources and techniques used
Agricultural NH3 (ag)	USDA animal population growth estimates, livestock only.
Fugitive dust (afdust)	County-level VMT and population Annual Energy Outlook (AEO)-based projections to unpaved/paved roads. Note: Canadian fugitive dust (othafdust) not projected.
Residential Wood Combustion (rwc)	2015 Wood heaters New Source Performance Standards (NSPS) with growth and appliance change-outs/retirement assumptions.
Class 1&2 CMV + trains (cmv, rail)	Locomotive and Marine (RIA-based) rule growth and controls; California data scaled from CARB inventories.
Class 3 CMV (cmv, othpt*)	Regional-based growth factors + ECA-IMO engine and fuel controls, extending from ports (state), to near-offshore (EEZ) to global; California scaled from CARB data.
Oil and Gas (pt_oilgas, np_oilgas)	AEO production, consumption fuel-based growth. Various NSPS impacts + local information.
Remaining non-EGUs (ptnonipm, nonpt)	AEO production, consumption fuel-based growth. Various NSPS impacts + local information. Numerous additional growth/control/closure information



# Projections overview: Sectors not projected from NEI base year emissions

Sector(s)	Data sources and techniques used
EGUs (ptipm)	IPM 5.16 w/ 2011 CEMs-based temporalization
Onroad mobile (onroad)	MOVES 2014a w/ projected activity, fuels, inspection and maintenance, controls, speciation; California magnitude from CARB but temporalized based on SMOKE-MOVES
Nonroad mobile (nonroad)	NMIM/NONROAD (now within MOVES) projected inventories, except for California data provided by CARB
Canada/Mexico (othar, othpt, othon)	Canada: nonroad and onroad emissions projected using US trends (by SCC) Mexico: Future-year inventories projected from 2008 base year inventory
Biogenics & Fires (beis, agfire, ptfire)	No projections, same as base year



# Future-year Ancillary Data

- ▶ Most ancillary (i.e., non-inventory) data stays the same in the base and future year modeling
- ▶ Meteorology is held constant
- ▶ Spatial surrogates are constant
- ▶ Temporal profiles are constant for most sectors (except for EGUs)
- ▶ Speciation profiles are constant for many sources
  - Updated in future year for mobile sources



# Future Year Projections: Recent updates

- ▶ IPM version 5.16 used for 2023el case
  - Uses Annual Energy Outlook (AEO) 2016
  - NEEDS database updated from comments
  - New cross reference with more matches
- ▶ Incorporated data from Federal Register and other comments plus new growth data for many non-EGU industrial sources
- ▶ Oil and gas sector: methods being updated
- ▶ Onroad uses MOVES2014a
  - AEO2016 used for VMT, fuel splits, etc.
- ▶ Projections for Mexico developed by ERG

# Other Non-EGU Projections Updates



- ▶ Growth for non-EGU industrial sources
  - Comments from RPOs and states: impacts most non-EGU source categories beyond oil and gas, including aircraft, residential wood combustion (RWC), portable fuel containers (PFCs)
- ▶ Updated facility/unit closures based on comments and NEI 2015 submissions
- ▶ Upstream mobile source emissions impacts
  - Stationary impacts of mobile rules (e.g. changes to refineries and pipelines due to increase of ethanol blends)
  - Simplified the approach; fewer source categories included
  - OTAQ provided scalars for additional years

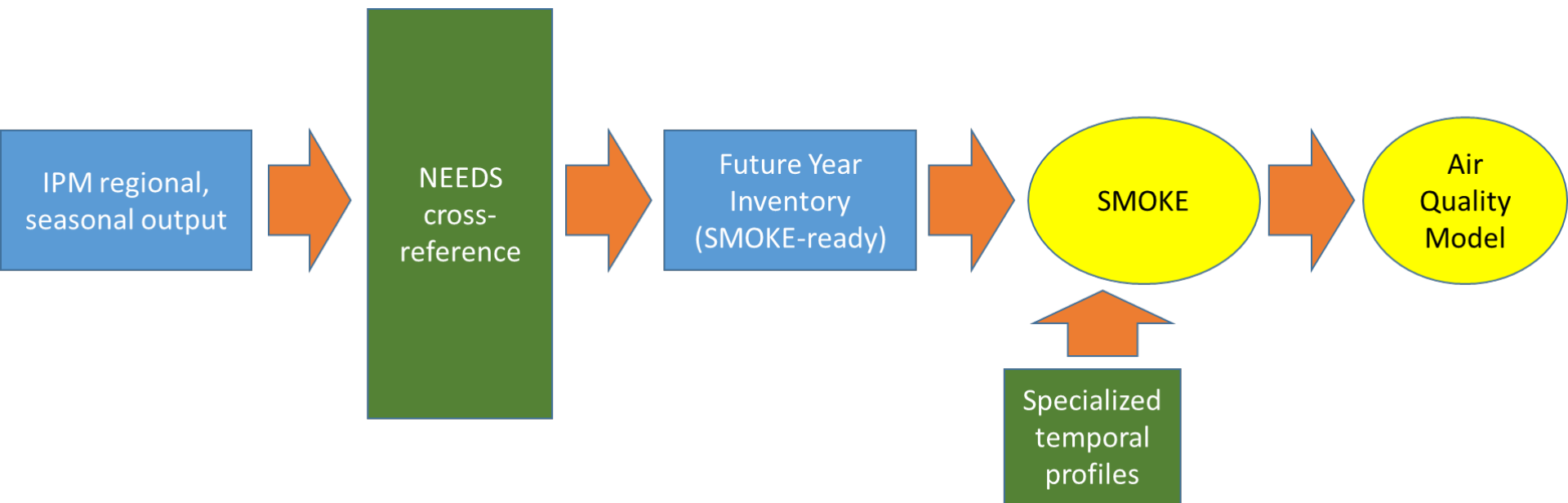




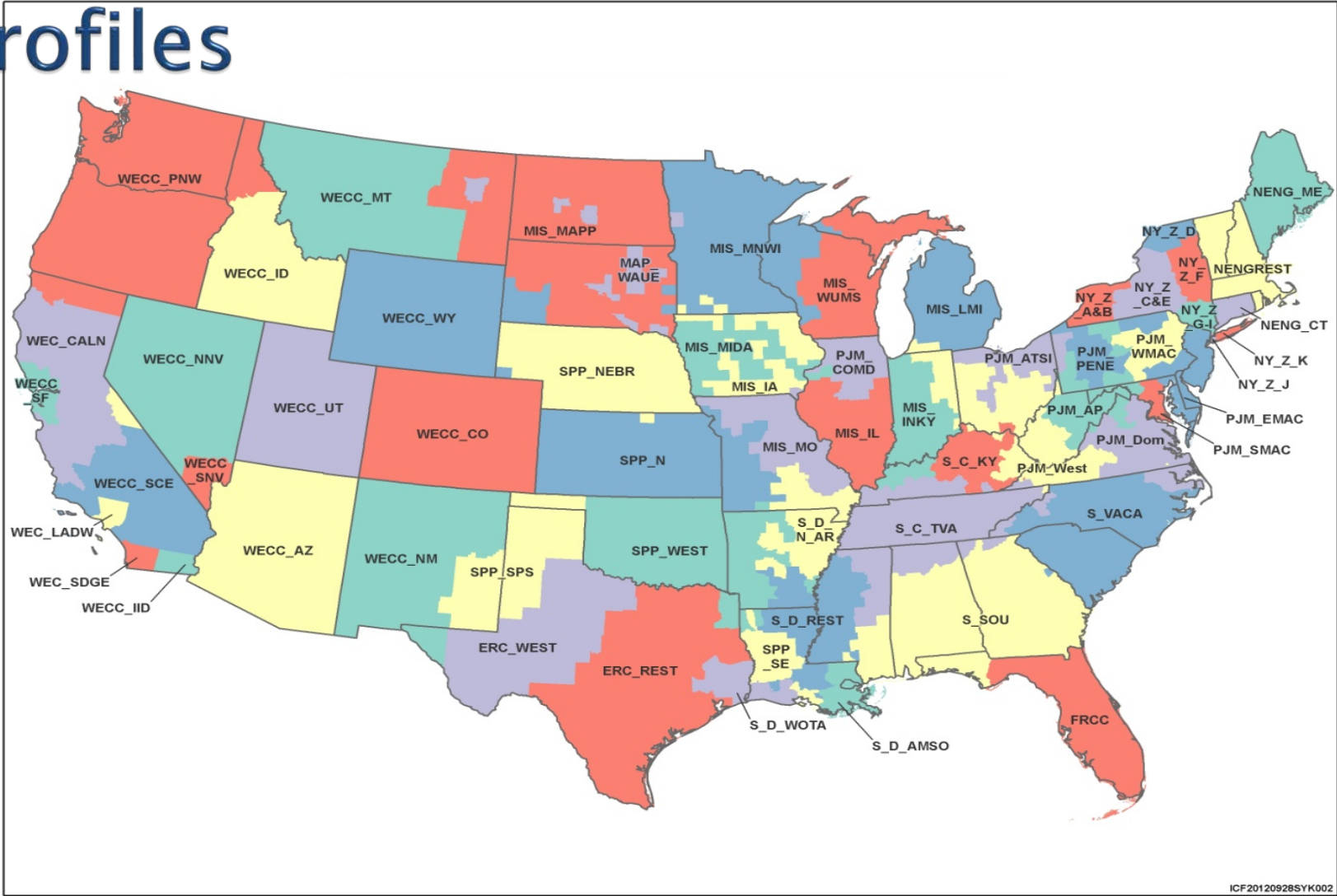
# EGU Projections

- ▶ EGU are identified in the NEI point inventory by filling in the IPM\_YN column of the flat file
  - Populated from a set of alternate IDs stored in EIS
  - Sometimes there are not 1–1 matches between NEI and NEEDS database used by IPM (some manual)
- ▶ IPM reflects impacts of rules in intervening years
- ▶ IPM produces outputs for specific future years
  - 2020, 2023, 2025, 2028, 2030, 2035, 2040, 2045
  - Years not directly produced by IPM are typically mapped to years that it does produce
- ▶ IPM 5.16 base case available here:  
<https://www.epa.gov/airmarkets/clean-air-markets-power-sector-modeling>

# Future year EGU Processing



# Map of IPM Output Regions – also used for Average Non-CEMS profiles



ICF20120928SYK002



# Flat Files Created from IPM Outputs

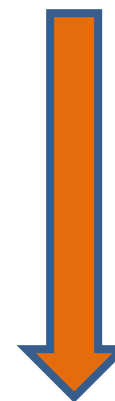
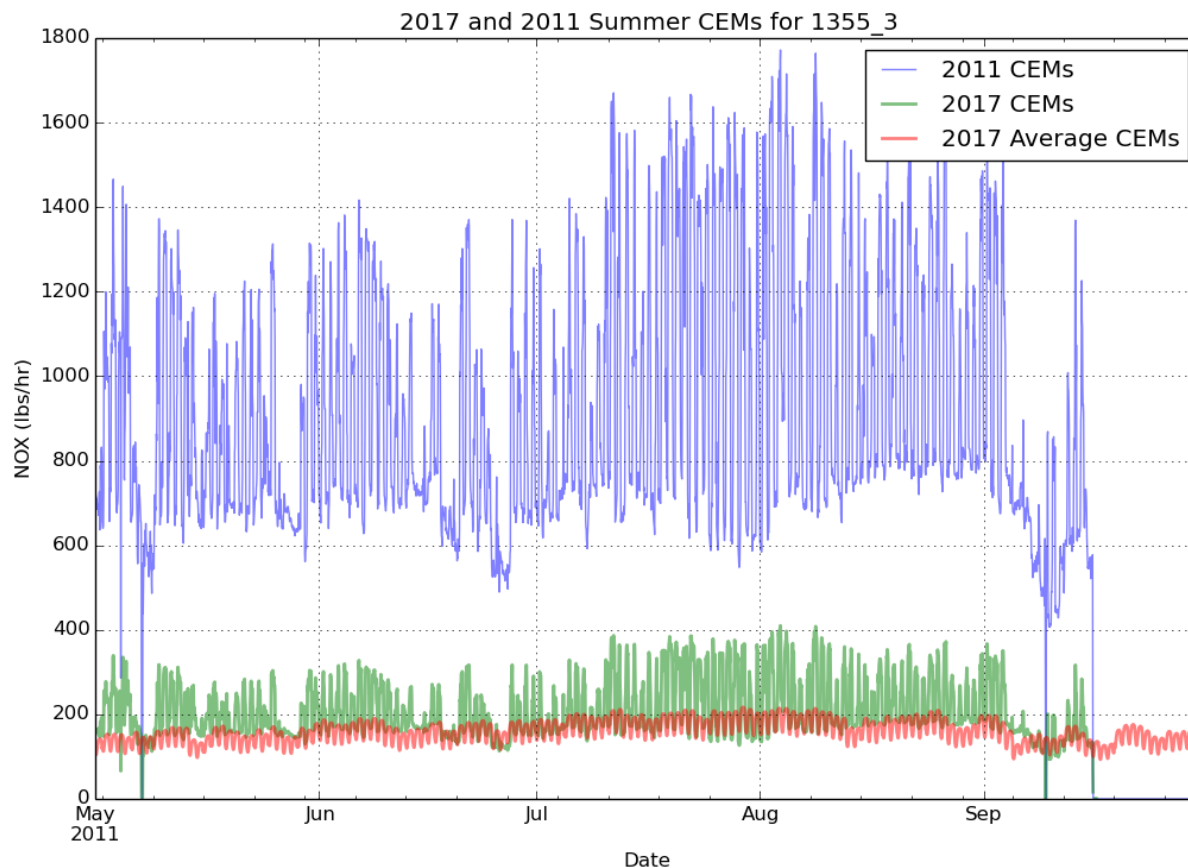
- ▶ IPM regional, seasonal outputs are converted to a Flat File format that can be input to SMOKE in multiple postprocessing steps
  1. For a specific model output year, parse results to unit-level for each season (Pollutants = NO<sub>x</sub>, SO<sub>2</sub>, Hg, CO<sub>2</sub>)
  2. SMOKE-ready Flat file is created from parsed file
    - Cross reference from National Electric Energy Data System (NEEDS) to NEI units is used to assign IDs, locations, stack parameters (improved with state review)
    - PM emissions are created based on emission factors, fuel, and controls
- ▶ The base year EGU inventory is fully replaced by the flat file inventory output from IPM
  - IPM results have not used in air quality modeling for municipal waste combustors, nuclear, wind, solar

# EGU Temporal Allocation for Future Years



- ▶ For sources that exist in both base and future years, allocate seasonal emissions to base year (2011 or 2014) hourly temporal pattern by pollutant
  - Compute hourly ratio for each hour of season in base year and multiply that ratio by the seasonal total to get the future year hourly emissions
- ▶ Units not matched to base year units are temporalized using fuel- and pollutant-specific regional average profiles to allocate emissions to month, then day, then hour
  - Method is also used for new units, units with no base year emissions, units that change fuels from base to future, and units with large predicted increases in emissions

# Example Temporal Plots: base year, unit-specific, regional



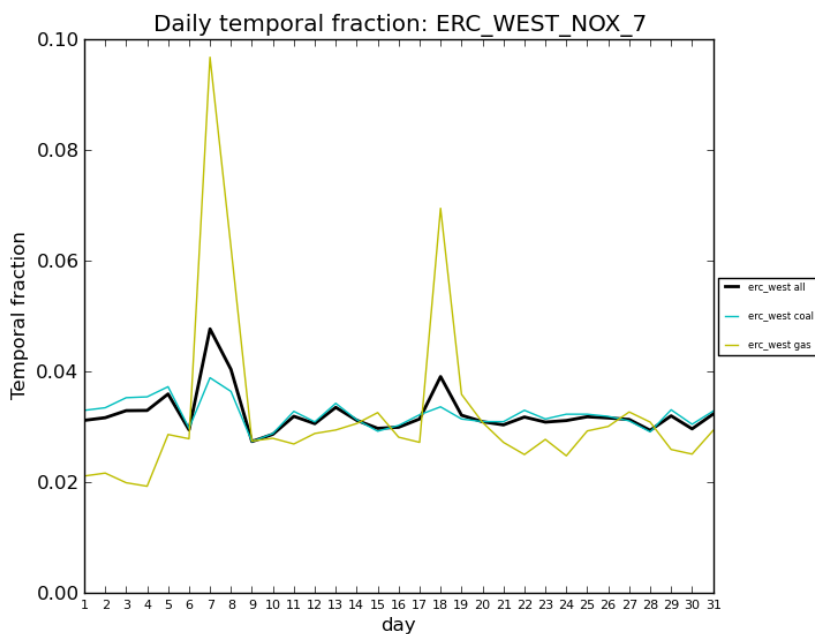
Seasonal emissions going down



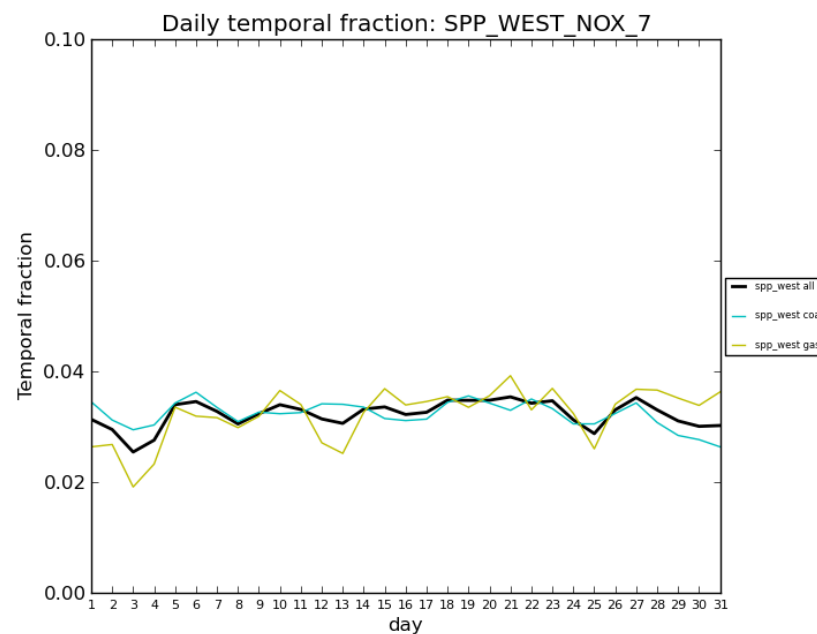
# Average Month-day Profiles: Region- and fuel-specific



Profiles differ between regions  
(yellow=gas, blue=coal, black=composite)

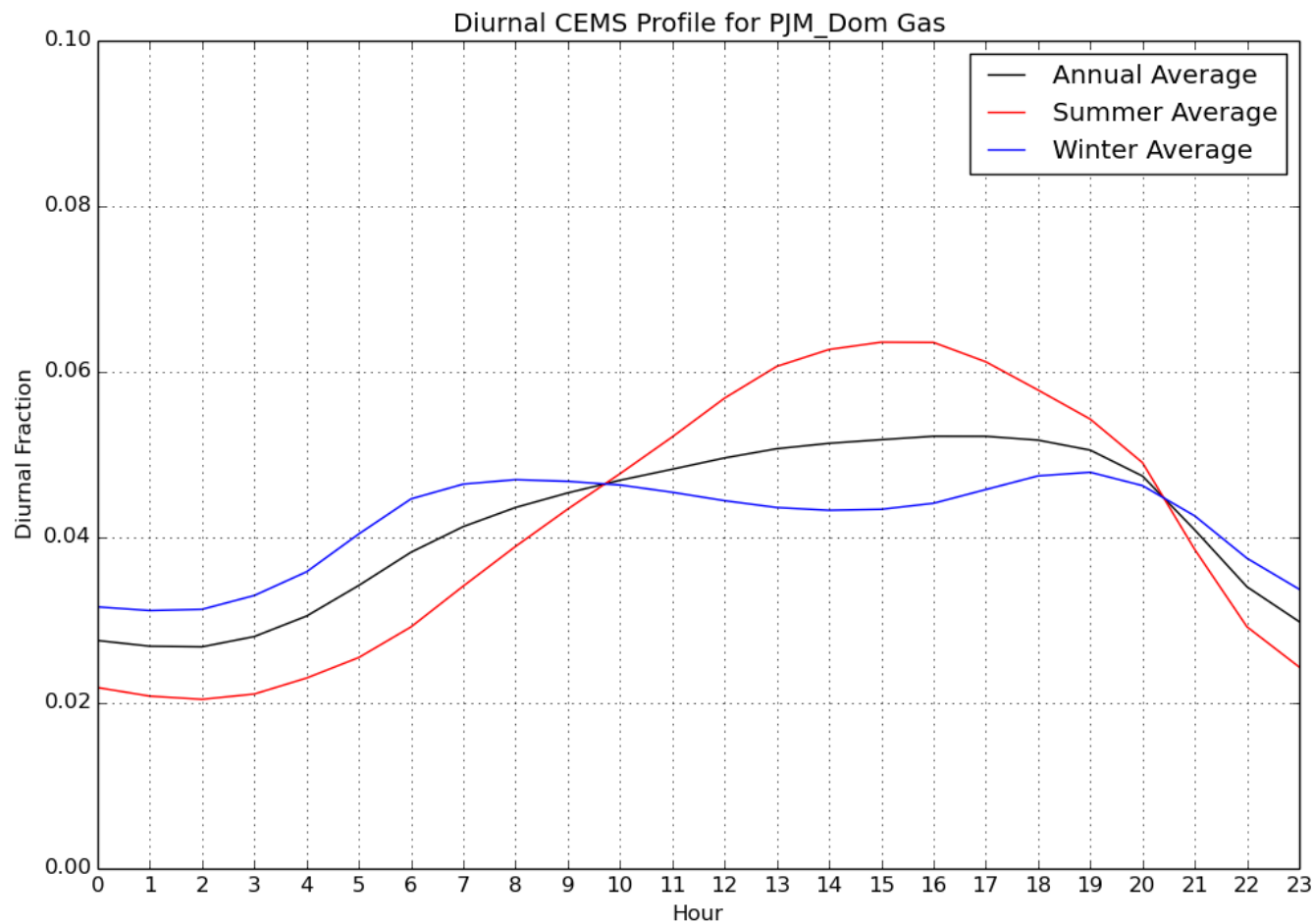


IPM Region in W Texas



IPM Region in E Oklahoma  
and W Arkansas

# Average Profiles: Winter vs. Summer

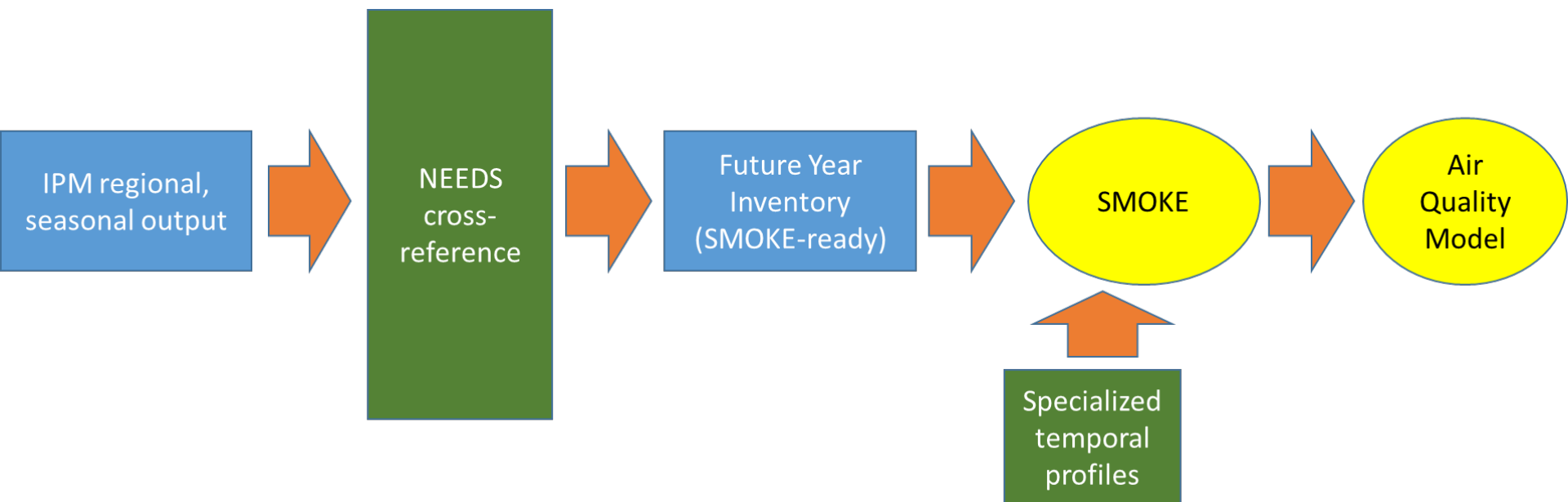


IPM region- and fuel-specific profile - mid-Atlantic

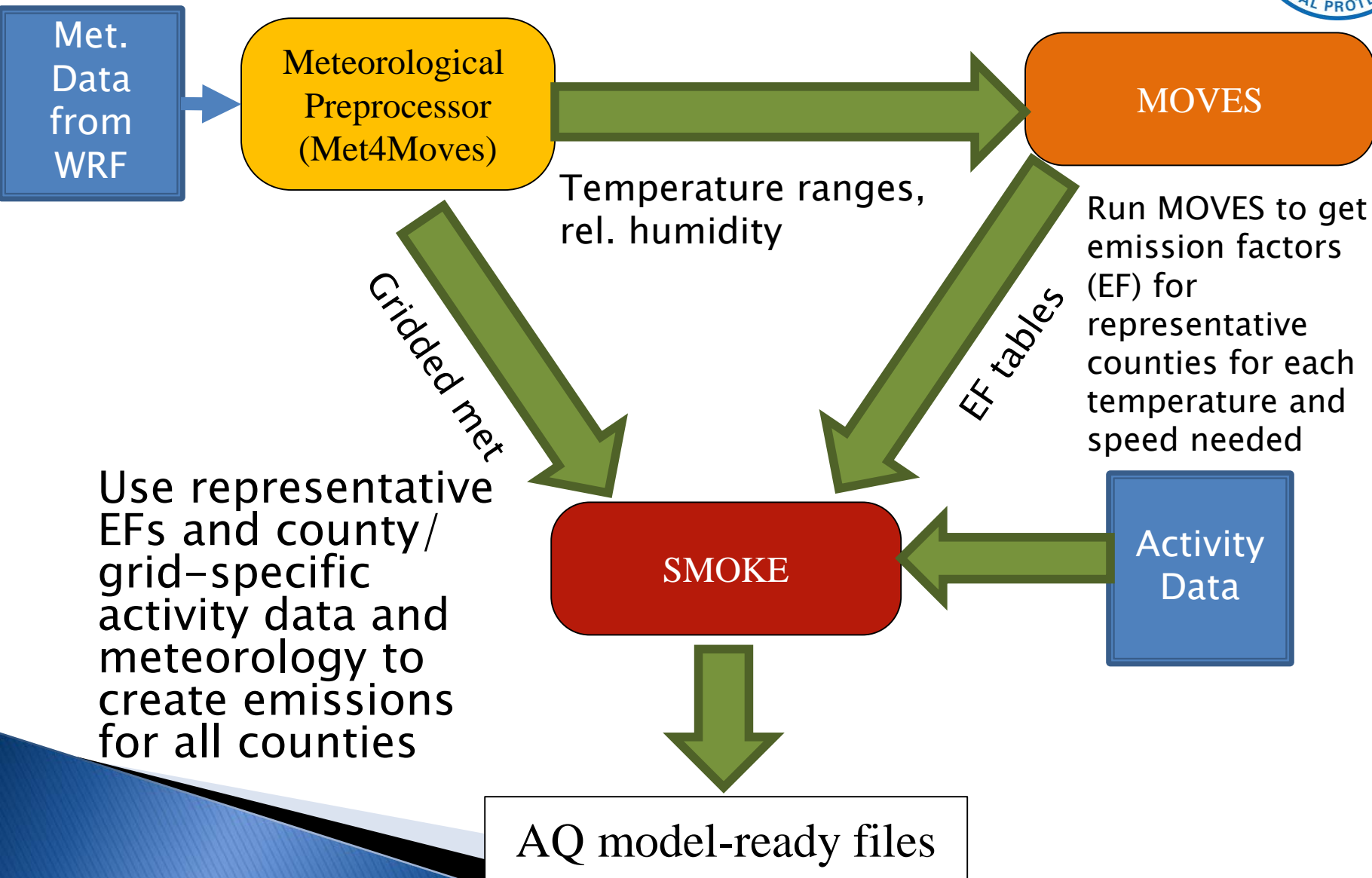


# Questions?

- ▶ Any questions on projections background or EGU projections?



# Onroad Emissions Modeling



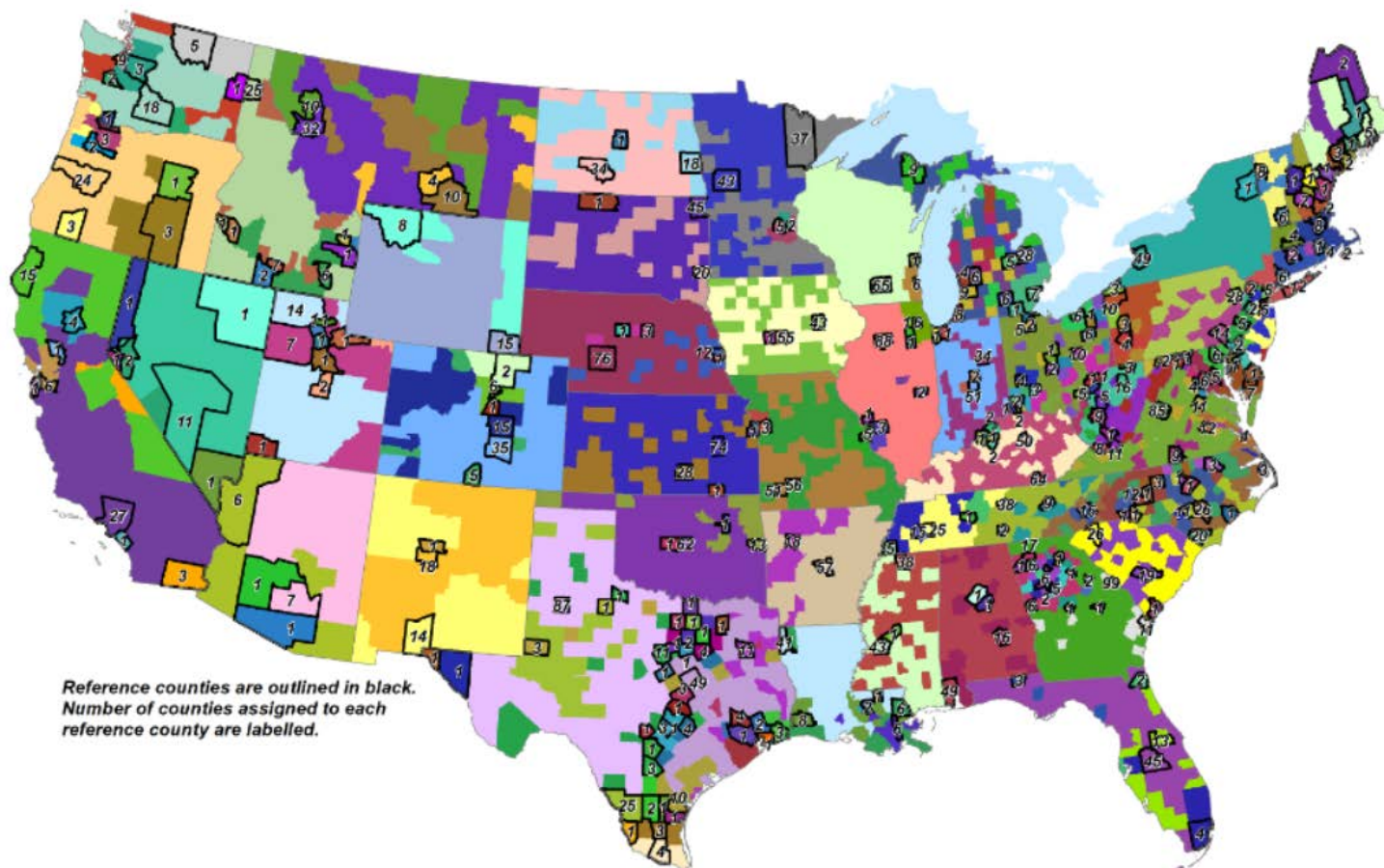
# Onroad Projections Overview



- ▶ MOVES2014a is used to develop emission factors for light-duty and heavy-duty vehicles
- ▶ Main components adjusted for projections:
  - Regulatory impacts
  - Fuel changes
  - Inspection and Maintenance (I/M) programs
  - Age distributions
  - Future year Vehicle Miles Traveled (VMT) and other activity
  - Most of these changes are included in the approximately 300 representative county databases from which emission factors are computed

# Representative Counties

- 3000+ counties are mapped to approx. 300 representative counties according to: state, fuels, age distribution, ramp fraction, I/M programs, emissions standards





# Onroad Projections Constants



- ▶ Constant between base year and future year
  - Meteorology
  - Representative counties
    - If change representative counties, will create artificial spatial inconsistencies between base and future years
  - Spatial surrogates for gridding
  - Speeds (usually)

# VMT projections 2011v6.3 Platform



- ▶ National projections based on AEO2016
  - Light-Duty gas, diesel and E-85
    - Table 42: LD vehicle miles travel by technology type
      - Motorcycles, cars, light-duty trucks
  - Heavy-Duty gas and diesel, Bus CNG
    - Table 50: Freight Transportation Energy Use
      - Heavy-Medium (Buses and Single Unit trucks)
      - Heavy (Combo Unit Trucks)
  - Geographic variation in projections using projected human population data





# VMT projections variation (1 of 2)

- ▶ Use AEO2016 to get magnitude of change
- ▶ Adjust light duty (LD) VMT projections geographically based on human population projections
- ▶ Analysis indicated strong correlation between population and LD VMT
- ▶ Correlation between population and medium and heavy duty (MD/HD) VMT is not as strong
  - Use national projection for these source types

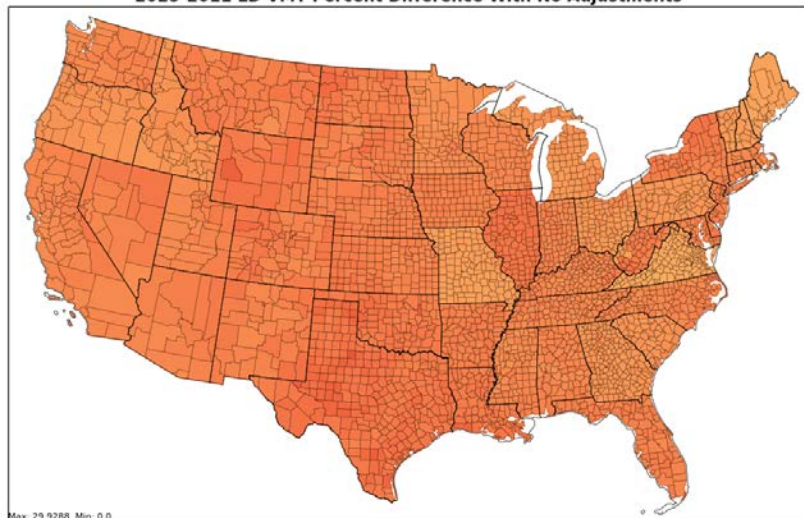
# VMT projections variation (2 of 2)

- ▶ LD VMT projections for 2025

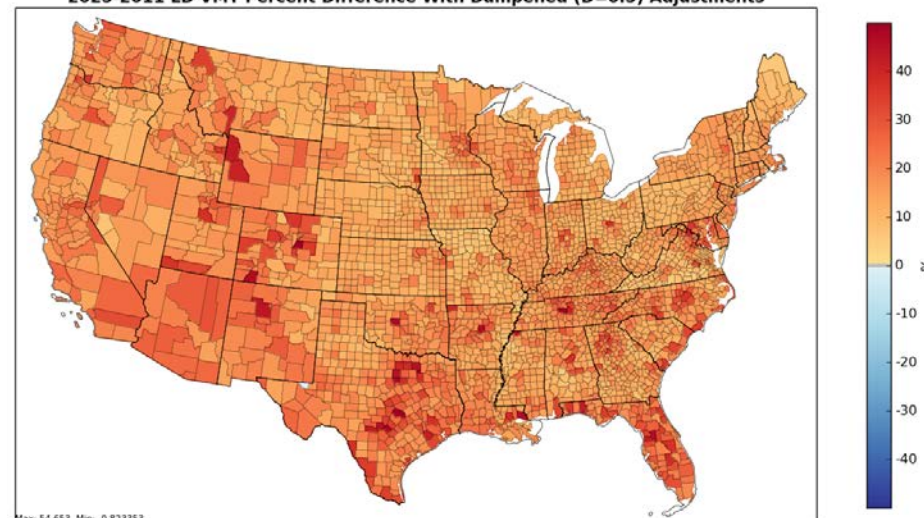
National projection

With county adjustment

2025-2011 LD VMT Percent Difference With No Adjustments



2025-2011 LD VMT Percent Difference With Dampened (D=0.5) Adjustments





# Age distribution

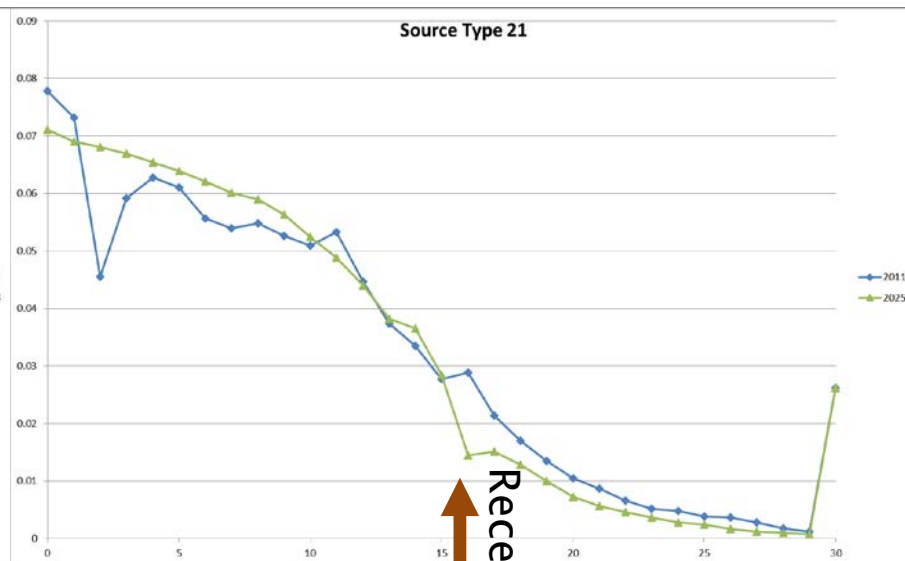
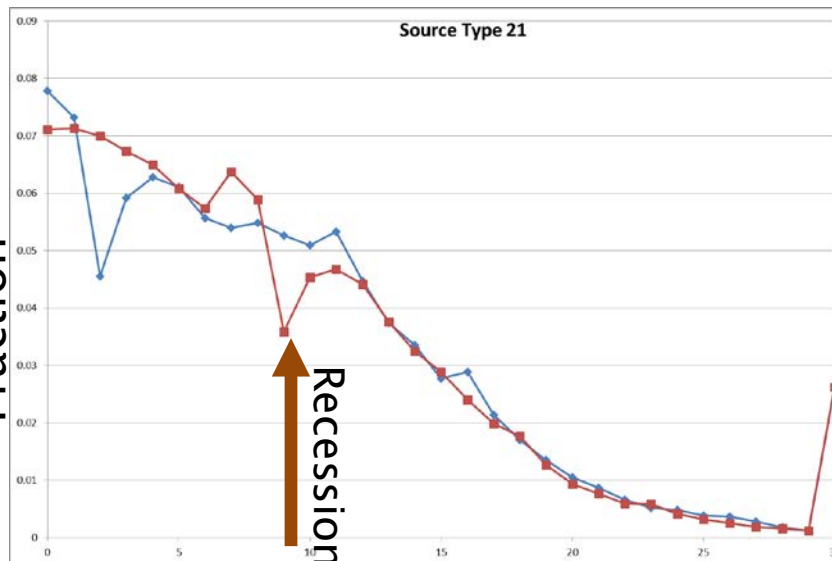
- ▶ Previous platforms (2011v6.1 and before) have used same age distribution in future years as base year
- ▶ Problem is that this will project the 2008/2009 recession into the wrong years in the future
  - 2025 projection would have a recession in 2022/2023
- ▶ In V6.2 and V6.3 platforms, project the age distribution so that recession stays in the 2008/2009 MYs in the future



# Projecting Age distribution

2018

2025



Model years from present (0-30)

- Shift in recession to older section of age distribution to maintain model year impacts
- Dampening of spikes/troughs due to scrappage
- If project far enough into the future, will converge to the same age distribution



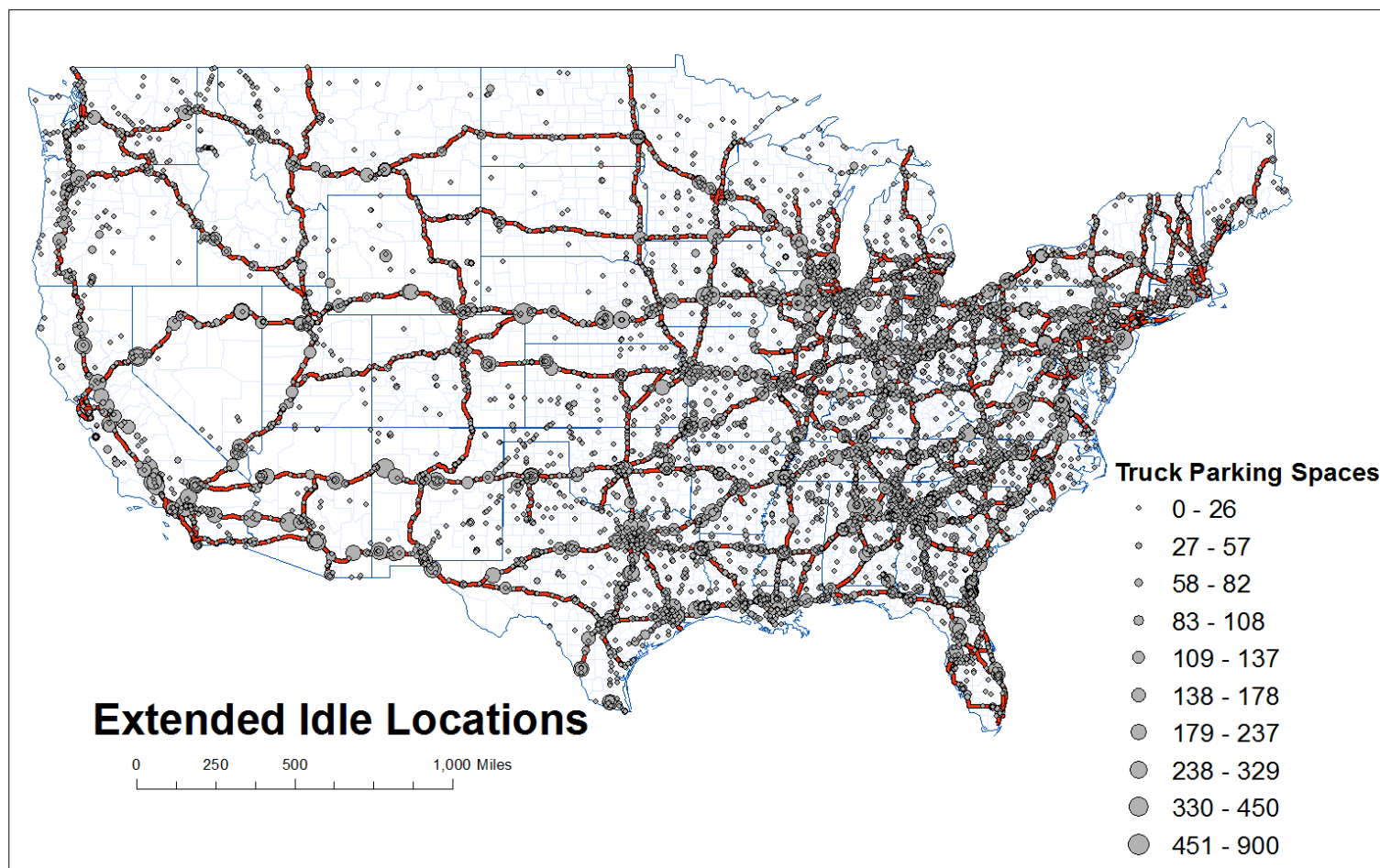


# Onroad Projection Details

- ▶ Vehicle Population (VPOP) uses same VMT projection factors
- ▶ Speciation
  - Changes in model year and fuels impacts not only the emissions but the speciation
  - Speciation internal to MOVES2014– makes it possible to consider detailed model year, regulatory class, and fuel information
- ▶ Hoteling
  - Calculate the total hoteling hours from future year combination long-haul restricted VMT
  - Split between auxiliary power units (APUs) and extended idling (EXT) changes in future years due to greater penetration of APUs

# Onroad Hoteling

- ▶ Hoteling = Overnight truck idling: extended idle and APU
- ▶ Temporal profile opposite of truck driving hours
- ▶ Spatial surrogate is based on truck parking spaces





# Nonroad Projections

- ▶ Nonroad sector = Exhaust, evaporative, and refueling emissions from nonroad engines (not including CMV, aircraft and locomotives)
- ▶ Now within MOVES2014a, previously used National Mobile Inventory Model (NMIM)
- ▶ Collect input databases
- ▶ Run for future year with appropriate MET/fuels
  - Meteorology is consistent with base year
  - Fuels should be consistent with future year and ideally with onroad
- ▶ Use CARB data for California
- ▶ Change VOC speciation
  - Account for changes in fuels (i.e. shift toward E10)

# International Onroad and Nonroad Projections



## ▶ Canada

- When no future year inventory is available, the Canadian onroad and nonroad emissions have been projected using U.S. emissions changes (trends) by SCC and pollutant
- Year 2025 Canadian inventory recently acquired includes onroad and nonroad emissions

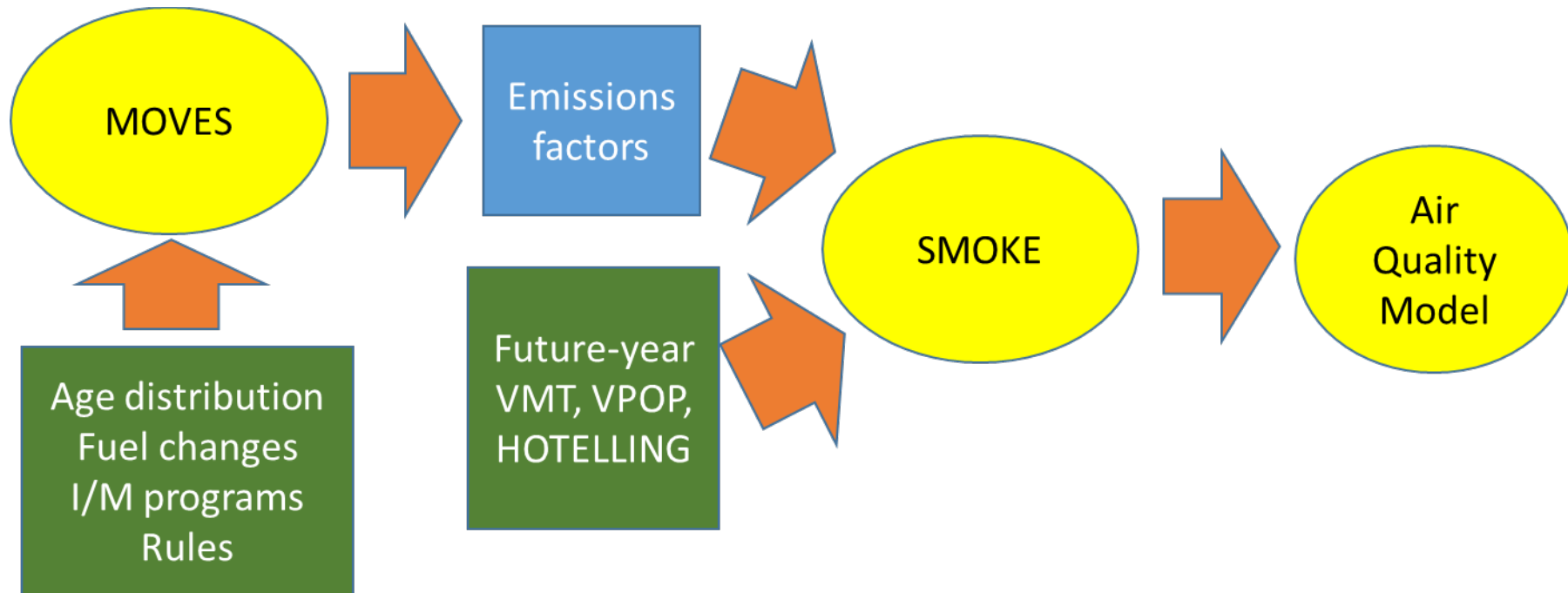
## ▶ Mexico

- Ran MOVES–Mexico for years 2023 and 2028
- Mexico nonroad inventory projected to years 2018, 2025 and 2030 and interpolated as needed



# Onroad / mobile sources overview

## Questions?



# Introducing: NEI non-EGU Point/Nonpoint Projections



*The remainder of this section discusses how we project emissions for NEI non-EGU point and nonpoint inventories*



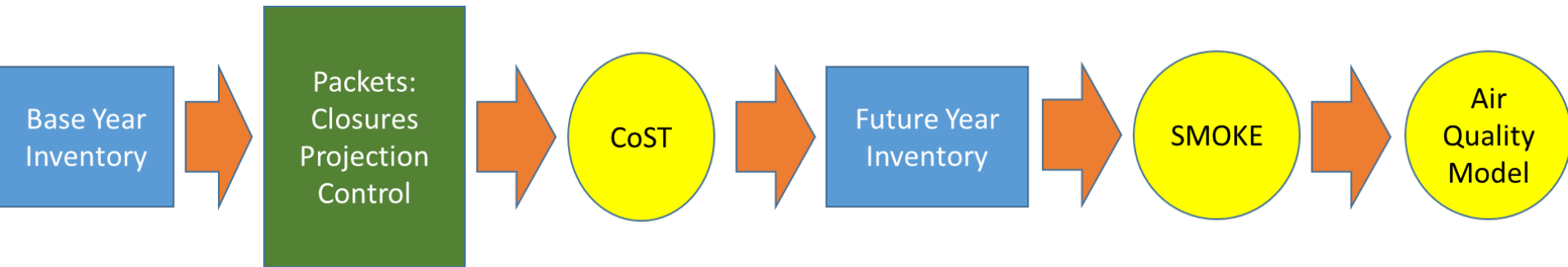
# Data Sources for Non-EGU Projections



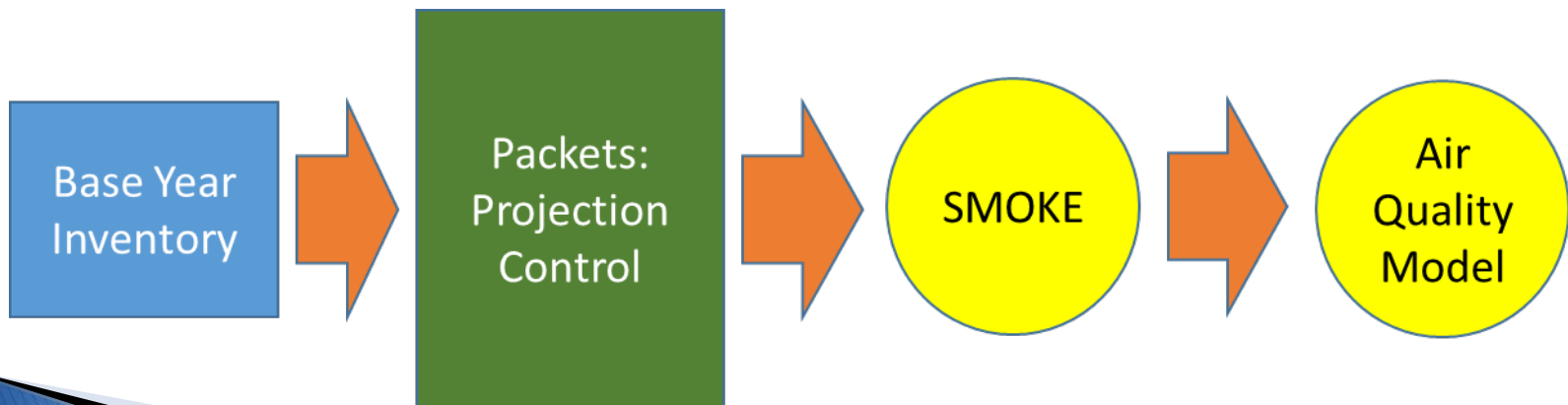
- ▶ Energy Information Administration/Annual Energy Outlook
  - Oil & gas, industrial sources, VMT
- ▶ Data from multiple EPA offices
  - Office of Research and Development: animal NH<sub>3</sub>
  - Office of Air Quality Planning and Standards: RICE NESHAPs, regional haze, consent decrees, oil & gas, RWC, CISWI
  - Office of Transportation and Air Quality: commercial marine vessels, trains, portable fuel containers (PFCs), upstream emissions
  - Office of Atmospheric Programs: oil & gas
  - Office of Enforcement and Compliance Assurance (OECA): consent decrees
- ▶ Outside agencies
  - State/local/regional: closures, projections, ICI boilers, fuel sulfur
  - Federal Aviation Administration: aircraft
  - Contractors: projections and controls for industrial sources including oil & gas, RWC
- ▶ Collaboration needed to determine “most-appropriate” data for many source categories

# Available Tools for Projection of non-EGU Point/Nonpoint Projections

Control Strategy Tool (CoST) – a good organizational and reporting tool



SMOKE – traditional approach; good for simplified projection tasks



# Control Strategy Tool (CoST)



- ▶ Part of the Emissions Modeling Framework (EMF)
  - <https://www.cmascenter.org/cost/documentation/2.12/html/>
- ▶ Used to project most NEI non-EGU point and nonpoint inventories
  - Exceptions include stand-alone future year inventories (e.g., biodiesel and cellulosic plants, new cement kilns)
- ▶ Has a hierarchy for how “packets” are applied
- ▶ Tracks the impact of each packet on the inventory
- ▶ Used for projections, but also includes algorithms for other analyses like maximum emissions reduction and least-cost

# Control Strategy Tool: Packet Types



- ▶ **Plant CLOSURE**
  - Facility, unit, stack and/or process-level
  - Effective date needed
  - July 1 cut-off
- ▶ **PROJECTION**
  - Scalars (e.g., 0.5 = 50% reduction, 2.0=100% increase)
  - All inventories: geographic (FIPS state / county), pollutant, source classification code (SCC)
  - Point only: facility/sub-facility/North American Industry Classification System (NAICS)
- ▶ **CONTROL**
  - Similar facility/geographic/SCC/pollutant applicability as PROJECTION packets
  - Percent reductions (0-100), optional rule effectiveness and rule penetration
  - Compliance date optional
  - July 1 cut-off (before July 1 of year is applied, after is not)



# Closure Packet Example

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	fips	plantid	pointid	stackid	segment	plant	effective_date	reference	comments_xxx					
2	1013	7212711	10819013			Coastal Forest Products	1/1/2012		! EIS unit closure status=PS: Coastal Forest Products LLC					
3	1015	923311	48124913			Chemical Agent Dispos	1/1/2013		! EIS unit closure status=PS: Chemical Agent Disposal Facility					
4	1015	923311	48125613			Chemical Agent Dispos	1/1/2013		! EIS unit closure status=PS: Chemical Agent Disposal Facility					
5	1017	7441811	10843613			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
6	1017	7441811	10843713			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
7	1017	7441811	10843813			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
8	1017	7441811	10843913			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
9	1017	7441811	10844013			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
10	1017	7441811	10844113			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
11	1017	7441811	10844213			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
12	1017	7441811	10844313			Knauf Fiber Glass	1/1/2013		! EIS unit closure status=PS: Knauf Fiber Glass					
13	1025	10631911	58459413			Scotch Gulf Lumber LLC	1/1/2013		! EIS unit closure status=PS: Scotch Gulf Lumber LLC					
14	1033	7212211	10828213			Wise Alloys LLC	1/1/2012		! EIS unit closure status=PS: Wise Alloys LLC					
15	1035	10545111	83303913			Pruet Production Comp	1/1/2012		! EIS unit closure status=PS: Pruet Production Company					
16	1035	10545111	83304013			Pruet Production Comp	1/1/2012		! EIS unit closure status=PS: Pruet Production Company					

Closures are applied wrt a target year (e.g., 2020): Records with effective dates up to July 1 of target year will be applied

- Closures can be applied at multiple levels:
- Region\_cd = FIPS state & county
  - Facility = plant
  - Unit = point
  - Release point = stack
  - Process = segment



# Projection Packet Example

	A	B	C	D	E	F	G	L	M	N	P	Q
1	Country_cd	Region_cd	facility_id	unit_id	rel_point_id	process_id	...	SCC	Poll	Reg_code	NAICS	Ann_proj
2	US	09001	14621711	88741013	96626912	120536814						1.044
3	US	09001	14621711	99532913	96626612	140180514						1.044
4	US	09001	14621711	99533013	96626512	140180614						1.044
5	US	09001	14621711	99533113	96626712	140180714						1.044
10	US	09003	2753811	41000513	39105612	48269014						1.044
11	US	09003	2753811	41000613	39105912	48268914						1.044
12	US	09003	2753811	41000713	39105712	48268814						1.044
13	US	09003	2753811	41000813	39105512	48268714						1.044
22	US	09007	2706711	40863013	38981012	48745614						1.044
23	US	09007	2706711	40863113	38980912	48745514						1.044
27	US	09007	2706711	40863513	38981312	48745114						1.044
67	US	24009	5169611	87920713	84068812	119329114						1
68	US	24009	5169611	87920813	84068912	119329214						1
69	US	24009	5169611	87920913	84069012	119329314						1
70	US	24009	5169611	87921013	84069112	119329414						1
71	US	24009	5169611	87921113	84069212	119329514						1
72	US	24009	5169611	87921213	84069312	119329614						1
73	US	24009	5169611	87921313	84069412	119329714						1

Factors to apply are here →

Projection entries can also be specified at multiple levels





# Control Packet Example

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	
	FIPS	SCC	POLL	Primary Control	Control Efficiency %	rule eff	rule pen	SIC	MACT	APPFLAG	REPFLAG	plantid	pointid	stackid	segment	compliance_date	NAICS	comments
2	09000	2102001000	CO		34.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
3	09000	2102001000	NOX		4.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
4	09000	2102001000	PM10-PRI		4.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
5	09000	2102001000	PM25-PRI		4.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
6	09000	2102001000	SO2		4.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
7	09000	2102001000	VOC		34.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
8	09000	2102002000	CO		34.0	100	100			Y	R					1/1/2016		"! Boiler MACT /I
110	09001	10300501	CO		6	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
111	09001	10300501	NOX		4	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
112	09001	10300501	PM10-PRI		4	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
113	09001	10300501	PM25-PRI		4	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
114	09001	10300501	SO2		4	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
115	09001	10300501	VOC		6	100	100			Y	A	843611				1/1/2016		"! ICI Boiler MAC
116	09003	10200501	CO		6	100	100			Y	A	918811				1/1/2016		"! ICI Boiler MAC
117	09003	10200501	NOX		4	100	100			Y	A	918811				1/1/2016		"! ICI Boiler MAC
118	09003	10200501	PM10-PRI		4	100	100			Y	A	918811				1/1/2016		"! ICI Boiler MAC
119	09003	10200501	PM25-PRI		4	100	100			Y	A	918811				1/1/2016		"! ICI Boiler MAC

Ending FIPS with 000 applies to entire state  
 Rule effectiveness and penetration usually 100  
 APPFLAG of Y means to apply it  
 REPFLAG of R means replace, A mean add on  
 Records applied if compliance\_date < July 1 of target year

# Control Strategy Tool: Hierarchy



- ▶ CLOSURES, PROJECTION, CONTROL packet types applied separately in a run
- ▶ All packets for each type concatenated and QA'd
- ▶ Most-specific applicable (compliance date, application flag) entry supercedes more general entries
- ▶ Consequences for consent decrees, comments, broad control programs
  - Need to be careful how you build packets
  - **QA very important to ensure output from strategy matches intended inputs – especially for overlaps**

# General – > Specific

Rank	Matching Hierarchy	Inventory Type
1	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID, PROCESS_ID, SCC, POLL	point
2	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID, PROCESS_ID, POLL	point
3	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID, POLL	point
4	REGION_CD, FACILITY_ID, UNIT_ID, POLL	point
5	REGION_CD, FACILITY_ID, SCC, POLL	point
6	REGION_CD, FACILITY_ID, POLL	point
7	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID, PROCESS_ID, SCC	point
8	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID, PROCESS_ID	point
9	REGION_CD, FACILITY_ID, UNIT_ID, REL_POINT_ID	point
10	REGION_CD, FACILITY_ID, UNIT_ID	point
11	REGION_CD, FACILITY_ID, SCC	point
12	REGION_CD, FACILITY_ID	point
13	REGION_CD, NAICS, SCC, POLL	point, nonpoint
14	REGION_CD, NAICS, POLL	point, nonpoint
15	STATE, NAICS, SCC, POLL	point, nonpoint
16	STATE, NAICS, POLL	point, nonpoint
17	NAICS, SCC, POLL	point, nonpoint
18	NAICS, POLL	point, nonpoint
19	REGION_CD, NAICS, SCC	point, nonpoint
20	REGION_CD, NAICS	point, nonpoint
21	STATE, NAICS, SCC	point, nonpoint
22	STATE, NAICS	point, nonpoint
23	NAICS, SCC	point, nonpoint
24	NAICS	point, nonpoint
25	REGION_CD, SCC, POLL	point, nonpoint
26	STATE, SCC, POLL	point, nonpoint
27	SCC, POLL	point, nonpoint
28	REGION_CD, SCC	point, nonpoint
29	STATE, SCC	point, nonpoint
30	SCC	point, nonpoint
31	REGION_CD, POLL	point, nonpoint
32	REGION_CD	point, nonpoint
33	STATE, POLL	point, nonpoint
34	STATE	point, nonpoint
35	POLL	point, nonpoint

Entries in packets that are more specific have higher priority than the more general ones

# Control Strategies within the Emissions Modeling Framework



Emissions Modeling Framework (EMF): Alison Eyth (auv), Server ( )

File Manage Window Tools Help

Control Strategy Manager Refresh

\$0.00

#	Select	Name	Last Modified	Is Final	Run Status	Region	T
20	<input type="checkbox"/>	STEP2 ptnonipm: Create 2023el/2025 from 2011el	2016/09/20 11:58	<input type="checkbox"/>	Finished	US	
21	<input type="checkbox"/>	Create ptnonipm Corn Ethanol Plants: Create 2023el/2025 fro...	2016/09/20 11:36	<input type="checkbox"/>	Finished	US	
22	<input type="checkbox"/>	Create MARAMA 2023el from 2011el: afdust	2016/09/19 13:08	<input type="checkbox"/>	Finished	US	
23	<input type="checkbox"/>	Create 2023el from 2011el: afdust	2016/09/19 10:36	<input type="checkbox"/>	Finished	US	
24	<input type="checkbox"/>	Create MARAMA pt refueling: Create 2023el from 2011el	2016/09/15 11:30	<input type="checkbox"/>	Finished	US	
25	<input type="checkbox"/>	STEP2 MARAMA ptnonipm: Create 2023el from 2011el	2016/09/15 11:23	<input type="checkbox"/>	Finished	US	
26	<input checked="" type="checkbox"/>	STEP 1 MARAMA ptnonipm: Create 2023el from 2011el	2016/09/15 10:55	<input type="checkbox"/>	Finished	US	
27	<input type="checkbox"/>	STEP2 MARAMA pt_oilgas: Create 2023el from 2011el: EMF b...	2016/09/15 09:14	<input type="checkbox"/>	Finished	US	
28	<input type="checkbox"/>	STEP1 MARAMA pt_oilgas: Create 2023el from 2011el	2016/09/15 09:04	<input type="checkbox"/>	Finished	US	
29	<input type="checkbox"/>	STEP2 MARAMA np_oilgas: Create 2023el from 2011el	2016/09/14 13:23	<input type="checkbox"/>	Finished	US	
30	<input type="checkbox"/>	STEP1 MARAMA np_oilgas: Create 2023el from 2011el	2016/09/14 13:09	<input type="checkbox"/>	Finished	US	

46 rows : 15 columns: 2 Selected [Filter: Name contains 2023el, Sort: Last Modified(-)]

# Editing a Control Strategy



Edit Control Strategy: STEP 1 MARAMA ptnonipm: Create 2023el from 2011el

Summary Inventories Programs Constraints Outputs

Name: STEP 1 MARAMA ptnonipm: Create 2023el from 2011el

Description: THIS GENERATES INTERMEDIATE 2023el CoST ptnonipm inventory.  
A second strategy applies OTAQ Upstream GFs and RICE NSPS  
This strategy includes:

Project: Ozone Transport Rule Final /tr\_2008o3\_final Project Notes

Creator: Allan Beidler Last Modified Date: 09/15/2016 10:55

Type of Analysis: Project Future Year Inventory Copied From: STEP 1 ptnonipm: Create 2017ek from 2011ek

Is Final:

Parameters

Cost Year: 2011

Target Year: 2023

Region: US

Target Pollutant:

Discount Rate (%): 7.0

Use Cost Equations:  Include Measures with No Cost Data:

Apply CAP measures on HAP Pollutants:  Major Pollutant must match Target:

Results

Start Date: 09/15/2016 10:25:08

Completion Date: 09/15/2016 10:55:47

Running User: Allan Beidler

Total Annualized Cost:

Target Poll. Reduction (tons):

Save Close Run Refresh Stop

Target Year is a key parameter





# Select Inventories for Strategy

Edit Control Strategy: STEP 1 MARAMA ptnonipm: Create 2023el from 2011el

Summary Inventories Programs Constraints Outputs

Inventories to Process

#	Select	Type	Dataset	Version
1	<input checked="" type="checkbox"/>	Flat File 2010 Point	ptnonipm_2011NElv2_POINT_20140913_revised_20150115	10

1 rows : 4 columns: 1 Selected [Filter]

Add Set Version Remove View

Filters

Inventory Filter:

County Dataset: Not select

County Dataset Version:

Save Close Run Refresh Stop

Select Datasets

Dataset name contains: ptnonipm\_2011NElv2\_POINT

Flat File 2010 Point

- o3verif\_65ppb\_2025eh\_from\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20150115
- o3verif\_CA\_75ppb\_2025eh\_from\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20150115
- ptnonipm\_2011NElv2\_POINT\_20140913
- ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20141007
- ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20141007\_04dec2014\_egu\_revised\_20150115
- ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20150115
- ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_oilgassa\_tag11
- ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_oilgassa\_tag5
- SCUpState\_2011v2\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20141007
- SC\_UpState\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20141007\_egu\_revised\_20150115
- Step1\_2030el\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20150115
- STEP1\_from\_ptnonipm\_2011NElv2\_POINT\_20140913\_revised\_20150115

View View Data

OK Cancel

Select one or more inventories to project





# Select Control Programs

Select all relevant control programs for sector

Edit Control Strategy: STEP 1 MARAMA ptnonipm: Create 2023el from 2011el

Summary Inventories **Programs** Constraints Outputs

Programs to Include:

#	Select	Name	
1	<input type="checkbox"/>	CLOSURES 2011v6.2 ALL: dates corrected 31aug2015	# EFFECTIVE_DATE variable fixed 31aug2015: defaulted to 1/1/2012
2	<input type="checkbox"/>	Control: MARAMA Boiler MACT 2016	MARAMA Boiler MACT 2016 Controls
3	<input type="checkbox"/>	Control: MARAMA Gas Turbines NSPS 2023	MARAMA MARAMA Gas Turbines NSPS 2023 Controls
4	<input type="checkbox"/>	Control: MARAMA Process Heaters NSPS 2023	MARAMA MARAMA Process Heaters NSPS 2023 Controls
5	<input type="checkbox"/>	Control: MARAMA RICE NESHAP 2016	MARAMA RICE NESHAP 2016 Controls
6	<input type="checkbox"/>	Control: MARAMA State Rules and Consent Decrees 2016	MARAMA State Rules and Consent Decrees 2016
7	<input type="checkbox"/>	Projection: MARAMA 2023 Aircraft Engine APU 2023	MARAMA 2023 Aircraft Engine APU
8	<input type="checkbox"/>	Projection: MARAMA PT Small EGU 2023	MARAMA 2023 MARAMA PT Small EGU
9	<input type="checkbox"/>	Projection: MARAMA pt NonE	

9 rows : 6 columns: 0 Selected [Filter: None, S]

Add Remove Edit

Save

Select Control Programs

#	Select	Name	Type	
16	<input type="checkbox"/>	Control: MARAMA Process Heaters NSPS 2028	Control	MARAMA MARAMA Pr
17	<input checked="" type="checkbox"/>	Control: MARAMA RICE NESHAP 2016	Control	MARAMA RICE NESH
18	<input type="checkbox"/>	Control: MARAMA RICE NSPS 2023	Control	MARAMA RICE NSPS
19	<input type="checkbox"/>	Control: MARAMA RICE NSPS 2028	Control	MARAMA RICE NSPS
20	<input type="checkbox"/>	Control: MARAMA State Rules and Consent Decrees 2016	Control	MARAMA State Rules
21	<input type="checkbox"/>	MARAMA Projection 2011 2023 AG	Projection	MARAMA projection fil
22	<input type="checkbox"/>	MARAMA Projection 2011 2028 AG	Projection	MARAMA projection fil
23	<input type="checkbox"/>	MARAMA PROJECTION 2011v6.3: 2011 to 2023 RWC with NSPS	Projection	MARAMA RWC projec
24	<input type="checkbox"/>	MARAMA PROJECTION 2011v6.3: 2011 to 2028 RWC with NSPS	Projection	MARAMA RWC projec
25	<input type="checkbox"/>	MARAMA PROJECTION 2011v6.3: 2023 to 2028 RWC with NSPS	Projection	MARAMA 2023 projec

52 rows : 4 columns: 1 Selected [Filter: Name contains MARAMA, Sort: None]

OK Cancel

# Control Strategy Tool: Outputs



The screenshot shows the 'Edit Control Strategy: STEP 1 MARAMA ptnonipm: Create 2023el from 2011el \*' window. The 'Outputs' tab is selected, displaying a table of output datasets. The table has columns for '#', 'Select', 'Result Type', 'Record Count', 'Result', and 'Status'. Two rows are visible, both with a status of 'Completed'. Below the table, there are radio buttons for 'Input Inventory', 'Result' (selected), and 'Controlled Inventory'. A row of buttons includes 'View Data', 'Edit', 'Summarize', 'Export', 'Analyze', 'Create', and 'Customize'. There is a checkbox for 'Download exported file(s) to local machine?'. Below that, there are input fields for 'Server Export Folder' and 'Export Name Prefix', with a 'Browse' button next to the first field. At the bottom, there are buttons for 'Save', 'Close', 'Run' (circled in green), 'Refresh', and 'Stop'.

#	Select	Result Type	Record Count	Result	Status
1	<input type="checkbox"/>	Strategy Detailed Result	1,157,929	Strategy_357485_V10_102530762	Completed.
2	<input type="checkbox"/>	Strategy Messages	11,214	STEP_1_MARAMA_ptnonipm__Create_2023el_from_201...	Completed.

2 rows : 12 columns: 0 Selected [Filter: None, Sort: Start Time(-)]

Input Inventory  Result  Controlled Inventory

View Data Edit Summarize Export Analyze Create Customize

Download exported file(s) to local machine?

Server Export Folder:  Browse

Export Name Prefix:

Save Close **Run** Refresh Stop

After strategy is run, outputs will appear:  
Detailed result and Messages

It is important To review these outputs

Summaries of detailed result are also helpful

After QA, create a controlled inventory from here

# Example Detailed Result (selected columns)



Data Viewer [Dataset: Strategy\_357485\_V10\_102530762, Version: Initial Version, Table: CSDR\_357485\_V10\_ptnonipm\_2011NElv2\_POINT\_2014\_20160915102530762]

Sort Order:  Apply Current: 1 - 300 Filtered: 1157929 of 1157929

Row Filter:

Decimal Places: 1  Show Commas   Reset View

DISABLE Boolean	CM_ABBREV String(20)	POLL String(20)	SCC String(12)	FIPS String(6)	PLANTID String(20)	POINTID String(20)	STACKID String(20)	SEGMENT String(20)	FINAL_EMISSIONS Double	EMIS_REDUCTION Double	INV_EMISSIONS Double
<input type="checkbox"/>	PLTCLOSURE	PM25-PRI	30501205	01017	7441811	10844113	10790012	61274714	.0	.5	.5
<input type="checkbox"/>	PLTCLOSURE	SO2	30501205	01017	7441811	10844113	10790012	61274714	.0	.0	.0
<input type="checkbox"/>	PLTCLOSURE	VOC	30501205	01017	7441811	10844113	10790012	61274714	.0	.4	.4
<input type="checkbox"/>	PLTCLOSURE	CO	30501204	01017	7441811	10844213	10789812	61274514	.0	8.3	8.3
<input type="checkbox"/>	PLTCLOSURE	NH3	30501204	01017	7441811	10844213	10789812	61274514	.0	29.4	29.4
<input type="checkbox"/>	PLTCLOSURE	NOX	30501204	01017	7441811	10844213	10789812	61274514	.0	3.5	3.5
<input type="checkbox"/>	PLTCLOSURE	PM-CON	30501204	01017	7441811	10844213	10789812	61274514	.0	2.9	2.9
<input type="checkbox"/>	PLTCLOSURE	PM10-FIL	30501204	01017	7441811	10844213	10789812	61274514	.0	34.0	34.0
<input type="checkbox"/>	PLTCLOSURE	PM10-PRI	30501204	01017	7441811	10844213	10789812	61274514	.0	36.9	36.9
<input type="checkbox"/>	PLTCLOSURE	PM25-FIL	30501204	01017	7441811	10844213	10789812	61274514	.0	34.0	34.0
<input type="checkbox"/>	PLTCLOSURE	PM25-PRI	30501204	01017	7441811	10844213	10789812	61274514	.0	36.9	36.9
<input type="checkbox"/>	PLTCLOSURE	SO2	30501204	01017	7441811	10844213	10789812	61274514	.0	1.8	1.8
<input type="checkbox"/>	PLTCLOSURE	VOC	30501204	01017	7441811	10844213	10789812	61274514	.0	1.6	1.6
<input type="checkbox"/>	PLTCLOSURE	PM-CON	30501204	01017	7441811	10844213	10789912	61274614	.0	2.9	2.9
<input type="checkbox"/>	PLTCLOSURE	PM10-FIL	30501204	01017	7441811	10844213	10789912	61274614	.0	34.0	34.0
<input type="checkbox"/>	PLTCLOSURE	PM10-PRI	30501204	01017	7441811	10844213	10789912	61274614	.0	36.9	36.9
<input type="checkbox"/>	PLTCLOSURE	PM25-FIL	30501204	01017	7441811	10844213	10789912	61274614	.0	34.0	34.0
<input type="checkbox"/>	PLTCLOSURE	PM25-PRI	30501204	01017	7441811	10844213	10789912	61274614	.0	36.9	36.9

# Non-EGU Control Packet: ICI Boiler (MACT)



- ▶ New EPA-MARAMA/OTC “hybrid” method:
  - CIBO (Council of Industrial Boiler Owners) data collected and analyzed to craft how boiler emissions changing due to regulations and economics (replacements and conversions)
  - Impacts nonpoint sources
  - Includes NO<sub>x</sub> cobenefits
  - Simplified set of fuel-based control assumptions (coal, distillate and residual)
- ▶ Retains list of facility categories subject to control (point sources)
- ▶ Limited state comments/rules (NY, NJ)

# Control Strategy Tool: Sectors / Relative Complexity



- ▶ Some packets shared over multiple sectors, some packets contain several sources of data/programs
- ▶ Non-EGU point, not oil & gas (ptnonipm):
  - 22 packets, 2 control strategies run in series
- ▶ Oil & gas point (pt\_oilgas):
  - 15 packets, 2 control strategies run in series
- ▶ Oil & gas nonpoint (np\_oilgas):
  - 4 packets, 2 control strategies run in series
- ▶ Remaining nonpoint (nonpt):
  - 10 packets, 2 control strategies run in series
- ▶ Fugitive dust (afdust), ag NH<sub>3</sub> (ag), RWC, CMV & trains (cmv, rail, othpt):
  - 1 projection packet for each sector

# Questions?



- ▶ Any questions on the Control Strategy Tool so far or non-EGU projections in general?



# Non-EGU Projections: Ag NH<sub>3</sub>



- ▶ Animal-specific livestock only (no fertilizer approach; fertilizer future year = base year)
- ▶ Based on **national** population estimates from the USDA and Food and Agriculture Policy and Research Institute (FAPRI)
- ▶ Also used historical trends comparing number of animals vs production rates, net impact through 2025:
  - No change (growth) for dairy cows and turkey
  - Very slight decrease beef
  - Increases in pork, broilers, layers and poultry

# Non-EGU Projections: Ag NH<sub>3</sub> (continued)



- ▶ Possible sources for 2014–platform projections:
- ▶ USDA agricultural projections through 2026  
[https://www.usda.gov/oce/commodity/projections/USDA\\_Agricultural\\_Projections\\_to\\_2026.pdf](https://www.usda.gov/oce/commodity/projections/USDA_Agricultural_Projections_to_2026.pdf)
- ▶ US Baseline Briefing Book: Projections for agricultural and biofuel markets  
<http://amap.missouri.edu/images/spreadsheet/AMAP2017LivestockDairyTables.xlsx>



# Ag Control Program



Edit Control Program: PROJECTION 2011v6.3: 2011 to 2023 ag with NO upstream OTAQ emissions

Summary Measures Technologies

Name: PROJECTION 2011v6.3: 2011 to 2023 ag with NO upstream OTAQ emissions

Description: Project of ag emissions from 2011 to 2023

Start Date: 01/01/2011

End Date:

Last Modified Date: 09/01/2016 14:47

Creator: Allan Beidler

Type of Control Program: Projection

Dataset Type: Projection Packet

Dataset: PROJECTION\_2011\_2023\_ag\_2011v6\_2\_no\_RFS2

Version: 0 (Initial Version)

Select a dataset for the control program then view the data...

# Example Ag Projection Packet (selected columns)



Data Viewer [Dataset:PROJECTION\_2011\_2023\_ag\_2011v6\_2\_no\_RFS2, Version: Initial Version, Table: DS\_PROJECTION\_...]

Sort Order: [ ] Apply      Current: 1 - 40 Filtered: 40 of 40

Row Filter: [ ]

Decimal Places:  Show Commas    Format     Reset View

FIPS String(	SCC String(10)	PROJ_FACTOR Double	POLL String(	COMMENTS String(*)	RECORD_ID Integer	VERSION Integer
	2805001100	.9777	NH3	! Beef: Beef cattle - finishing operat...	1	
	2805001200	.9777	NH3	! Beef: Beef cattle - finishing operat...	2	
	2805001300	.9777	NH3	! Beef: Beef cattle - finishing operat...	3	
	2805002000	.9777	NH3	! Beef: Beef cattle production composit...	4	
	2805003100	.9777	NH3	! Beef: Beef cattle - finishing operat...	5	
	2805007100	1.0866	NH3	! Layers: Poultry production - layers w...	6	
	2805007300	1.0866	NH3	! Layers: Poultry production - layers w...	7	
	2805008100	1.0866	NH3	! Layers: Poultry production - layers w...	8	
	2805008200	1.0866	NH3	! Layers: Poultry production - layers w...	9	
	2805008300	1.0866	NH3	! Layers: Poultry production - layers w...	10	
	2805009100	1.1194	NH3	! Broilers: Poultry production - broile...	11	
	2805009200	1.1194	NH3	! Broilers: Poultry production - broile...	12	
	2805009300	1.1194	NH3	! Broilers: Poultry production - broile...	13	
	2805010100	.9272	NH3	! Turkeys: Poultry production - turkeys...	14	
	2805010200	.9272	NH3	! Turkeys: Poultry production - turkeys...	15	

Add Note      Close



# Non-EGU Projections: Fugitive Dust

- Impacts to unpaved and paved roads only
- Function of VMT
- Onroad VMT projected to future year using AEO2016
- County projection factor equation

$$\text{PROJFAC} = \frac{\text{(Future-year total county VMT)}}{\text{(Base-year total county VMT)}}$$



# Example Fugitive Dust Packet



	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	/PROJECTION 2011 2023/													
2	FIPS	SCC	PROJFAC											
3	1001	2294000000	1.16										! Paved Roads	
4	1001	2296000000	1.16										! Unpaved Roads	
5	1003	2294000000	1.05										! Paved Roads	
6	1003	2296000000	1.05										! Unpaved Roads	
7	1005	2294000000	0.89										! Paved Roads	
8	1005	2296000000	0.89										! Unpaved Roads	
9	1007	2294000000	1.25										! Paved Roads	
10	1007	2296000000	1.25										! Unpaved Roads	
11	1009	2294000000	1.20										! Paved Roads	
12	1009	2296000000	1.20										! Unpaved Roads	
13	1011	2294000000	0.90										! Paved Roads	
14	1011	2296000000	0.90										! Unpaved Roads	
15	1013	2294000000	0.97										! Paved Roads	
16	1013	2296000000	0.97										! Unpaved Roads	
17	1015	2294000000	1.36										! Paved Roads	
18	1015	2296000000	1.36										! Unpaved Roads	
19	1017	2294000000	1.03										! Paved Roads	
20	1017	2296000000	1.03										! Unpaved Roads	
21	1019	2294000000	1.10										! Paved Roads	





# Questions?

- ▶ Any questions on ag or fugitive dust projections?



# Oil and Gas Projections

- ▶ Volatile sector; growth changing frequently
- ▶ Various approaches
  - Pros and cons of each
- ▶ Various data sources
  - State historical data
  - AEO publicly available tables
  - AEO internal tables (EIA)



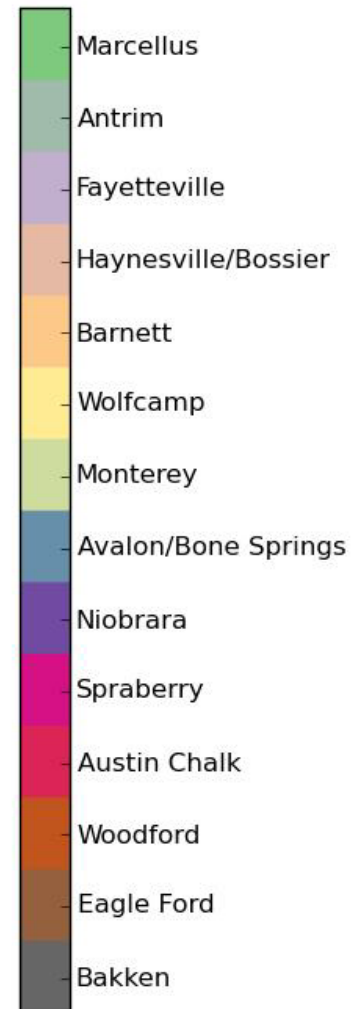
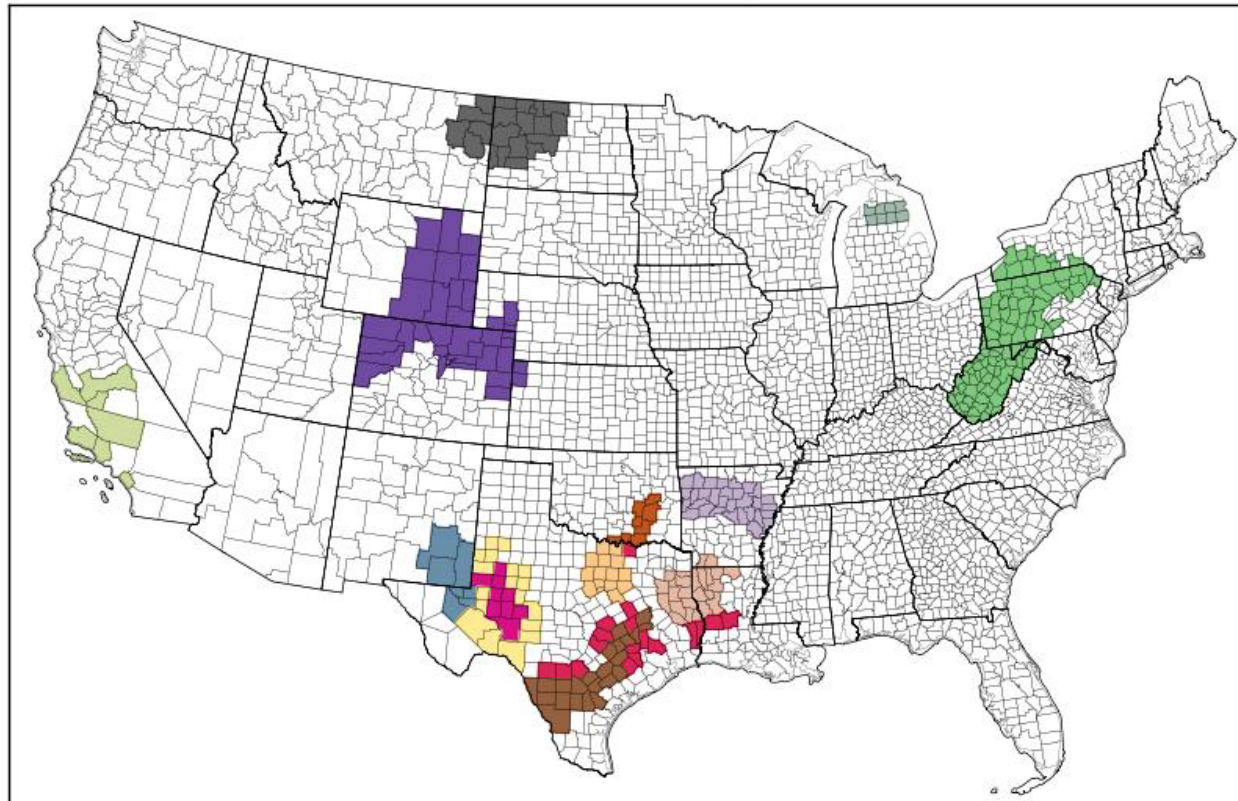
# Oil and Gas Projections Overview

- ▶ Recent platforms use 2016/2017 AEO production data to estimate activity in future years
- ▶ Use of state historical production data to capture growth from 2011 to 2015 (latest available)
- ▶ O&G VOC New Source Performance Standards (NSPS) application
- ▶ New NSPS (NOX) for Process Heaters, Internal Combustion (IC) Engines and Turbines
- ▶ Sector “redesign” for point sources –many other control programs now apply
- ▶ Includes comments from states and regional planning organizations on the 2011 platform

# Oil and Gas Projections: Basin level production forecasts from 2011v6.2



**Oil and Gas Plays**



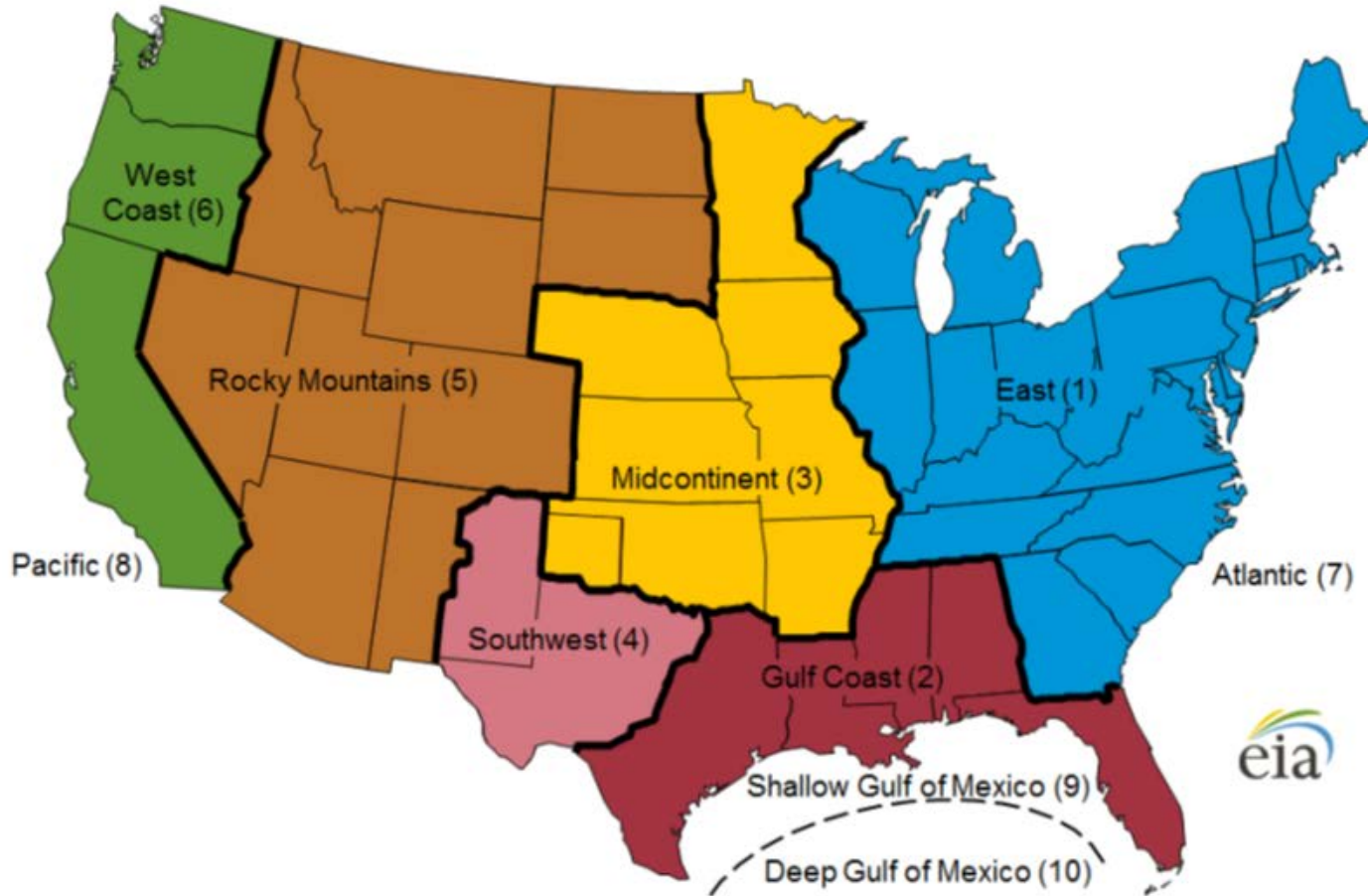
# Oil and Gas Projections: Basin-level



- ▶ AEO table/data generated internally by EIA; not always readily available to public
- ▶ Presents detailed information for some portions of the oil and gas sector
- ▶ Can present some “mass balance” issues when trying to match up with the total US production
  - Some unrealistic changes outside of basins
- ▶ Used this approach using 2011v6.2 modeling platform



# Oil and Gas Projections: NEMS (or Supply) Regions



Source: U.S. Energy Information Administration.



# Oil and Gas Projections: Supply Region–level (2011 v6.3 2023)

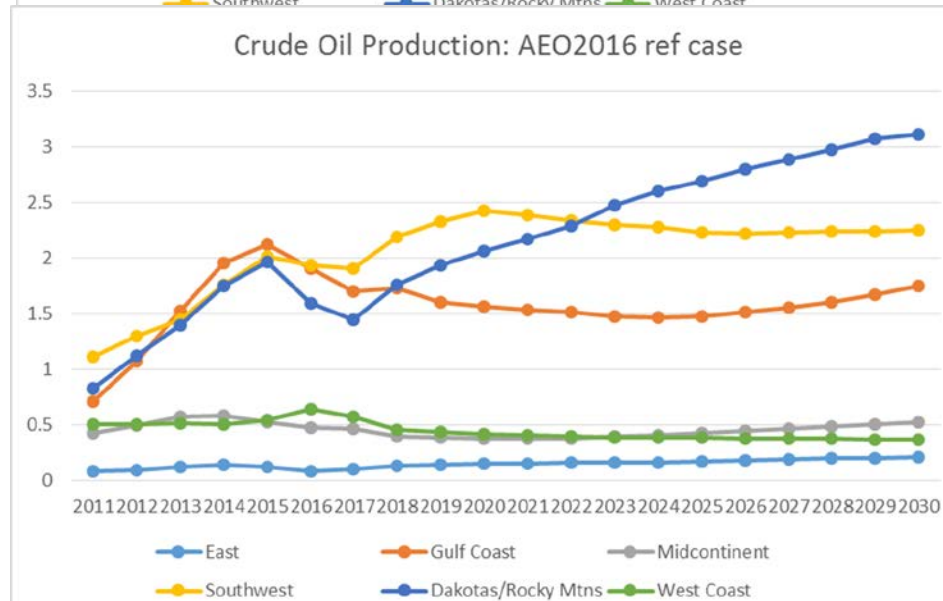
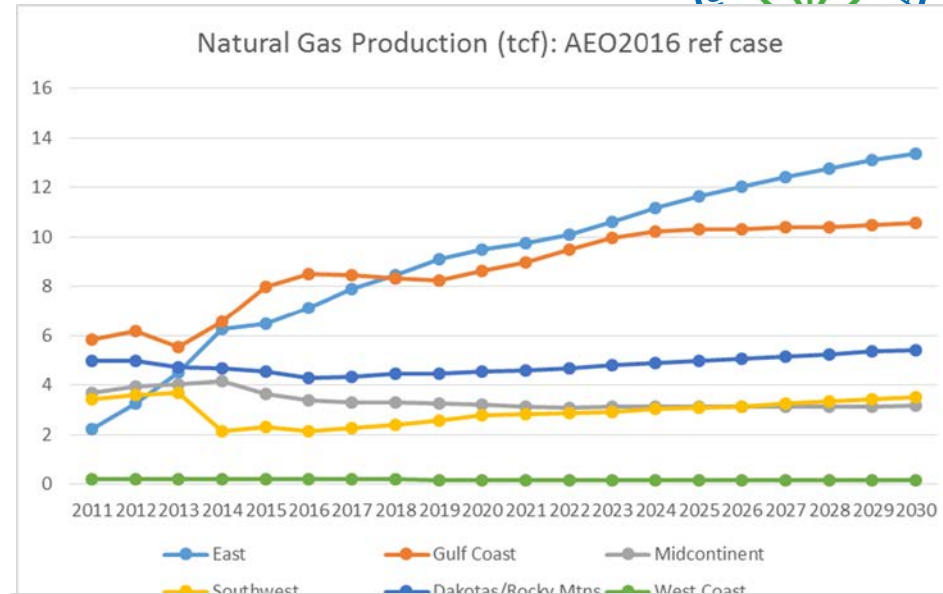


- ▶ AEO2016 Tables: Region production
  - Table 60: Lower 48 Crude Oil Production
  - Table 61: Lower 48 Natural Gas Production
- ▶ AEO2016 Tables: National production
  - Table 14: Oil and Gas Supply
    - Coalbed Methane production
    - Natural Gas Liquids production
    - Offshore production: State–owned sources only
- ▶ Develop SCC–oil/gas/both cross–reference to apply regional/national factors

# Oil and Gas Projections: Supply Region–level



- ▶ Applied this method for 2011 v6.3 modeling platform along with state–specific factors supplied from MARAMA
- ▶ Large regions where only 2–3 states make up the bulk of the production can cause issues with smaller–producing states in the region





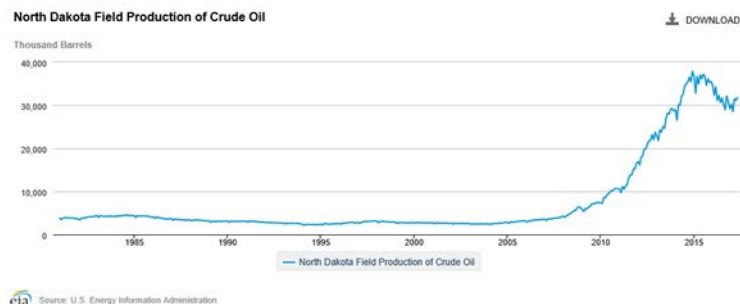
# Oil and Gas Projections: Historical state data bridge to Supply Region–level forecast

- ▶ Historical state production data publicly available on EIA website used to project sources from base year to a recent year (e.g. 2015 or 2016)
- ▶ [http://www.eia.gov/dnav/ng/ng\\_sum\\_lsum\\_a\\_epg0\\_r20\\_bcf\\_a.htm](http://www.eia.gov/dnav/ng/ng_sum_lsum_a_epg0_r20_bcf_a.htm)
- ▶ [http://www.eia.gov/dnav/pet/pet\\_crd\\_crpdn\\_adc\\_mbbl\\_a.htm](http://www.eia.gov/dnav/pet/pet_crd_crpdn_adc_mbbl_a.htm)
- ▶ Can then use AEO Supply Region production forecasts to project from 2015 to desired future year
- ▶ Some adjustments based on historical trends for “low production” states may be needed
- ▶ Combine factors to get a state–specific projection factor from base year to desired future year
- ▶ Use SCC–oil/gas/both cross–reference to apply state–specific factors

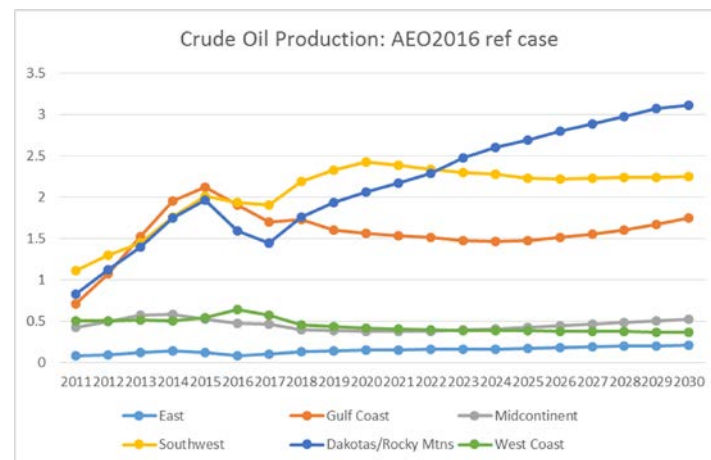
# Oil and Gas Projections: Historical state data AEO Supply Region



## Historical state change



## AEO Supply Region change



X



Combined Factors  
representing both  
historical state data and  
AEO supply region  
change for each state

# Oil and Gas Projections: NSPS Controls



- ▶ For future-year NSPS controls (oil and gas, RICE, Natural Gas Turbines, and Process Heaters), we attempted to control only new sources/equipment using the following equation to account for growth and retirement of existing sources and the differences between the new and existing source emission rates.
- ▶  $\text{Control\_Efficiency (\%)} = 100 * (1 - [(P_f - 1) * F_n + (1 - R_i)^t + (1 - (1 - R_i)^t) * F_n] / P_f)$ 
  - $P_f$  = growth rate expressed as ratio (e.g., 1.5=50% cumulative growth)
  - $t$  = number of years between base and future years
  - $F_n$  = emission factor ratio for new sources
  - $R_i$  = retirement rate, expressed as decimal (e.g., 3.3%=0.033)

# Possible Future work for Oil and Gas Projections



- ▶ Examine methods to take basin–level information into account where possible
- ▶ Better incorporate state regulations on emissions
- ▶ Improve SCC cross references to account variability in state–provided SCCs



# Questions on Oil and Gas Projections?



# Non-EGU Projections: Residential Wood Combustion



- ▶ Growth derived from year 2012 appliance shipments and forecast revenue forecasts (e.g. US Bureau of Economic Analysis)
- ▶ Updated to include recently promulgated NSPS for ‘wood heaters’: <http://www2.epa.gov/residential-wood-heaters/final-new-source-performance-standards-residential-wood-heaters>
- ▶ Net decrease rather than increase in RWC emissions vs business as usual approach in prior platform
- ▶ Reflect year 2015 rulemaking and minor corrections
- ▶ Appliance-specific retirement/replacement rates for most sources
- ▶ “No-change” assumption used in western states: WA, OR, CA
- ▶ No integration of burn ban data



# Packets for RWC projections

- ▶ PROJECTION\_2011\_2017\_RWC\_2011v6.2\_03mar2015\_v0.txt
- ▶ BETA\_Projections\_RWC\_2023\_18apr2016\_emf\_csv\_02sep2016\_v0.txt
- ▶ PROJECTION\_2011\_2023\_RWC\_2011v6\_3\_csv\_02sep2016\_v0.txt

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	/PROJECTION 2011 2023/																
2	FIPS	SCC	PROJFAC	POLL													
3		2104008100	1.127									!	Fireplace: general				
4	6000	2104008100	1.000									!	Fireplace: general				
5	41000	2104008100	1.000									!	Fireplace: general				
6	53000	2104008100	1.000									!	Fireplace: general non-EPA certified				
7		2104008210	0.791									!	Woodstove: fireplace inserts non-EPA certified				
8	6000	2104008210	1.000									!	Woodstove: fireplace inserts non-EPA certified				
9	41000	2104008210	1.000									!	Woodstove: fireplace inserts non-EPA certified				
10	53000	2104008210	1.000									!	Woodstove: fireplace inserts EPA certified non-catalytic				
11		2104008220	1.238									!	Woodstove: fireplace inserts EPA certified non-catalytic				
12	6000	2104008220	1.000									!	Woodstove: fireplace inserts EPA certified non-catalytic				
13	41000	2104008220	1.000									!	Woodstove: fireplace inserts EPA certified non-catalytic				
14	53000	2104008220	1.000									!	Woodstove: fireplace inserts EPA certified non-catalytic				
15		2104008220	1.103	PM10-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
16	6000	2104008220	1.000	PM10-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
17	41000	2104008220	1.000	PM10-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
18	53000	2104008220	1.000	PM10-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
19		2104008220	1.103	PM25-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
20	6000	2104008220	1.000	PM25-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				
21	41000	2104008220	1.000	PM25-PRI								!	Woodstove: fireplace inserts EPA certified non-catalytic				

# Non-EGU Projections: CMV and rail



- ▶ The future year cmv and rail emissions account for increased fuel consumption based on Energy Information Administration (EIA) fuel consumption projections for freight
- ▶ Final Locomotive–Marine rule
- ▶ Yard Locomotives in point source inventory
- ▶ CMV Exclusive Economic Zone (EEZ) issues
  - 200 nautical miles from state waters



# Example Projections for CMV/rail

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
1	/PROJECTION 2011 2023/													
2	FIPS	SCC	PROJFA	PC	COMMENT1	COMMENT2	COMM	COMM	T4					
10		2280002100	0.60	NOX	! Mobile Sources	Marine Vessels, Commercial	Diesel	Port emissions						
22		2280002200	0.60	NOX	! Mobile Sources	Marine Vessels, Commercial	Diesel	Underway emissions						
34		2285002006	0.68	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class I Operations						
46		2285002007	1.06	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class II / III Operations						
58		2285002008	0.52	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Passenger Trains (Amtrak)						
70		2285002009	0.52	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Commuter Lines						
82		2285002010	0.87	NOX	! Mobile Sources	Railroad Equipment	Diesel	Yard Locomotives						
94		28500201	0.87	NOX	! Internal Combustion	Railroad Equipment	Diesel	Yard Locomotives						
107	6001	2280002100	0.71	NOX	! Mobile Sources	Marine Vessels, Commercial	Diesel	Port emissions						
119	6001	2280002200	0.60	NOX	! Mobile Sources	Marine Vessels, Commercial	Diesel	Underway emissions						
131	6001	2285002006	1.01	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class I Operations						
143	6001	2285002008	1.15	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Passenger Trains (Amtrak)						
154	6001	2285002010	0.77	NOX	! Mobile Sources	Railroad Equipment	Diesel	Yard Locomotives						
166	6001	28500201	0.77	NOX	! Internal Combustion	Railroad Equipment	Diesel	Yard Locomotives						
178	6005	2285002006	0.94	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class I Operations						
190	6007	2285002006	0.94	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class I Operations						
202	6007	2285002008	1.15	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Passenger Trains (Amtrak)						
213	6011	2285002006	0.94	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Class I Operations						
225	6011	2285002008	1.15	NOX	! Mobile Sources	Railroad Equipment	Diesel	Line Haul Locomotives: Passenger Trains (Amtrak)						

# Non-EGU Projections: Aviation



- ▶ Aircraft emissions are in transportation sector
- ▶ Projected itinerant ITN (i.e., take off and landing) operations information available from the Federal Aviation Administration's (FAA) Terminal Area Forecast (TAF) System:
  - ▶ [https://www.faa.gov/data\\_research/aviation/taf/](https://www.faa.gov/data_research/aviation/taf/)
  - ▶ Two sets of projections factors used:
    - State-level for low traffic airports
    - Airport specific factors



# Example Projection Factors for Aviation



	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
1	/PROJECTION 2011 2025/																
2	FIPS	SCC	PROJFAC	POLL													
3	1000	2265008005	1.17									! State-specific ITN-aggregat	Off-highway Vehicle Gas	Airport Ground Sup	Airport Ground Support Equipment		
4	1000	2267008005	1.17									! State-specific ITN-aggregat	LPG	Airport Ground Sup	Airport Ground Support Equipment		
5	1000	2268008005	1.17									! State-specific ITN-aggregat	CNG	Airport Ground Sup	Airport Ground Support Equipment		
6	1000	2270008005	1.17									! State-specific ITN-aggregat	Off-highway Vehicle Dies	Airport Ground Sup	Airport Ground Support Equipment		
7	1000	2275001000	0.99									! State-specific ITN-aggregat	Aircraft	Military Aircraft	Total		
8	1000	2275020000	1.17									! State-specific ITN-aggregat	Aircraft	Commercial Aircra	Total: All Types		
9	1000	2275050011	1.00									! State-specific ITN-aggregat	Aircraft	General Aviation	Piston		
10	1000	2275050012	1.00									! State-specific ITN-aggregat	Aircraft	General Aviation	Turbine		
11	1000	2275060011	0.91									! State-specific ITN-aggregat	Aircraft	Air Taxi	Piston		
12	1000	2275060012	0.91									! State-specific ITN-aggregat	Aircraft	Air Taxi	Turbine		
13	1000	2275070000	1.17									! State-specific ITN-aggregat	Aircraft	Aircraft Auxiliary P	Total		
14	1000	27501015	0.99									! State-specific ITN-aggregated for Military Aviation:					
15	1000	27502011	1.17									! State-specific ITN-aggregated for Commercial Aviation:					
16	1000	27505001	1.00									! State-specific ITN-aggregated for General Aviation:					
17	1000	27505011	1.00									! State-specific ITN-aggregated for General Aviation:					
18	1001	2275001000	1.00									! LOCID= 1A9: Autauga Coun	Aircraft	Military Aircraft	Total		
19	1001	2275050011	1.00									! LOCID= 1A9: Autauga Coun	Aircraft	General Aviation	Piston		
20	1001	2275050012	1.00									! LOCID= 1A9: Autauga Coun	Aircraft	General Aviation	Turbine		
21	1003	2265008005	1.00									! LOCID= JKA: Jack Edwards:	Off-highway Vehicle Gas	Airport Ground Sup	Airport Ground Support Equipment		

# Non-EGU Projections: Non-CoST



- ▶ Some future-year sources do not exist in 2011 NEI
  - Biodiesel and cellulosic ethanol plants
  - Other sources added based on comments
- ▶ Difficult projections
  - Portable Fuel Containers (PFCs)
  - Combination of OTAQ inventories, state comments and extrapolations/scaling factors



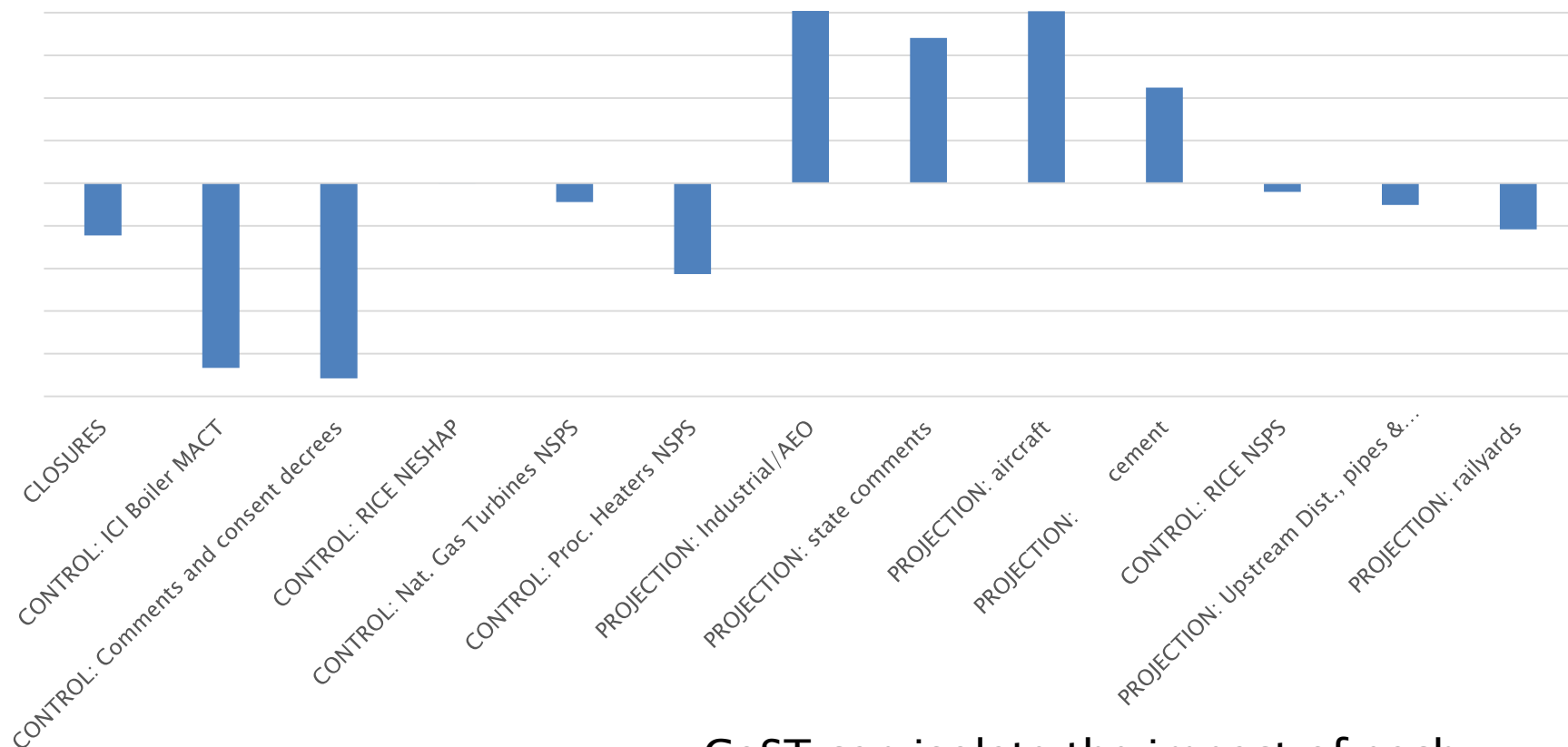
# Projections: Quality Assurance

- ▶ CoST detailed summaries
- ▶ Numerous template options for Structured Query Language (SQL)–based QA reports
  - By control program
  - Geography
  - Source category
  - Can limit pollutants
  - Option to show “unaffected” sources
- ▶ Can also create custom reports and summaries
- ▶ CoST filter/sorts, export to CSV

# Projections High-level impacts: transportation sector $\text{NO}_x$



2025 minus 2011



CoST can isolate the impact of each control/projection packet

# Non-EGU Projections: Challenges / Limitations



- ▶ Response to prior platforms:
  - Data provided for 2023 and 2025 with some data provided for many years
  - Many different sources of data – need to correctly layer these so appropriate controls/projections apply
- ▶ Promulgated vs proposed rules vs SIP inventories
- ▶ Rapidly-changing world!
  - 2017 AEO recently out + 2014 NEI v2 coming soon



# Limitations / New Directions

- ▶ Year 2014 modeling platform
- ▶ Projections of changes in land use/population
  - Surrogates
  - New sources
  - VMT
  - Biogenics
  - Ag fertilizer
  - Etc.
- ▶ Fires
  - Impact on biogenics
  - Fires in future year modeling
- ▶ Temporalization of future year EGUs
- ▶ Data warehousing and sharing



# Emissions Modeling Software and Data Downloads



- ▶ The CMAS Center (<https://www.cmascenter.org/>) distributes SMOKE, CMAQ, VERDI, the Surrogate Tool, Spatial Allocator, Speciation Tool, and the Control Strategy Tool (which includes the Emissions Modeling Framework)
- ▶ SMOKE software and documentation is available from <http://www.cmascenter.org/smoke>
  - SMOKE 4.0 has support for hemispheric modeling
  - SMOKE 4.5 has support for preparing emissions for AERMOD modeling
- ▶ A WIKI for SMOKE that answers common questions about emissions modeling is here: <https://www.airqualitymodeling.org/index.php>
- ▶ EPA's emissions modeling platform data, scripts, and documentation are available from <https://www.epa.gov/air-emissions-modeling>

# Emissions Modeling Platform Data Availability



- ▶ Data, documentation, scripts available from
  - <https://www.epa.gov/air-emissions-modeling>
  - Version 6 platforms include:
    - 2011v6.3: January 2017 NODA (2011eI/2023eI), Final Cross-State Air Pollution Rule (CSAPR) Update (2011ek/2017ek), hemispheric case
  - Version 7 platform for 2014
  - Spatial surrogates available for 4km, 12km, 36km
  - Speciation data for CB05, CB6, SAPRC07TB
  - Temporal profiles for all sectors



# Questions?

- ▶ Any final questions on the future year part of the training?
- ▶ Contacts: [eyth.alison@epa.gov](mailto:eyth.alison@epa.gov),  
[Vukovich.jeffrey@epa.gov](mailto:Vukovich.jeffrey@epa.gov)