

# **Administrative Record Index for Final RCRA Permit**

**Colorado River Indian Tribes  
and Evoqua Water Technologies LLC  
for Carbon Regeneration Facility Located at:  
2523 Mutahar Street  
Parker, Arizona 85344  
EPA ID # AZD982441263**

## **Index Part 1**

|  |           |
|--|-----------|
| Part A Administrative Record Index.....  | pp. 2-32  |
| Part B Administrative Record Index.....  | pp. 33-59 |
| Part C Administrative Record Index ..... | pp. 60-61 |
| Supplemental Administrative Record ..... | pp. 62-99 |

## **Index Part 2**

|   |           |
|---|-----------|
| Chronological Administrative Record Index ..... | pp. 1- 99 |
|---|-----------|

## Part A - Draft Administrative Record Index

| Date of Document/Title   | Description  | Author/Sender                                    | Addressee  |
|--|--|--|--|
| <b>1981 03 12 RCRA Online 9487_1981_01_ with marginalia 1993 08 04.pdf</b>                         | EPA Guidance re: qualifying a unit for interim status.   |  | Bruce Weddle (U.S. EPA),<br>Michael Bonchonsky<br>(U.S. EPA)                                   |
| <b>1985 07 10 Skinner Ltr re Carbon Regen.pdf</b>  | Letter re: questions raised regarding RCRA reauthorization amendments.   | John Skinner (U.S. EPA)                          | Nancy Suska (Baltimore<br>City Health Dept.)   |
| <b>1986 04 02 _9441_1986_26 Carbon Regeneration Facilities 1.pdf</b>                               | Memo re: regulation of regeneration of spent carbon.   | Marcia Williams (U.S.<br>EPA)                    | Stephen Wassersug (U.S.<br>EPA)  |
| <b>1986 07 15 OSWER 9441_1986_54 activated carbon cannisters saturated with spent solvents.pdf</b> | Letter re: activated spent carbon cannisters may not be regulated hazardous waste.   | Matt Strauss (U.S. EPA)                          | Gregory J. Harvey<br>(Occupational Medical<br>Services, Newark Air<br>Force Station, Ohio)     |
| <b>1986 07 XX _9441_1986_59 reclaimed sludges.pdf</b>  | RCRA/Superfund Monthly Hotline Report – June 1986. EPA will determine on a material by material basis whether sludges to be reclaimed are a hazardous waste.                                     | Matt Strauss (U.S. EPA)                          |  |
| <b>1986 XX XX RCRA Online 9528_1986_01_ with marginalia 1993 Aug 4.pdf</b>                         | Letter explaining how interim status applies to existing facilities and what that means, as well as applicability of Omnibus provision to permitting.  | Marcia Williams (U.S.<br>EPA)                    | Susan Lubick (US House<br>of Reps), Norman<br>Nosenchuck (NY Dept. of<br>Env't'l Conservation) |
| <b>1987 07 09 RO 9483_1987_10_ Secondary Containment Piping.pdf</b>                                | Letter re: secondary containment for piping including underground piping and above piping requirements.  | Robert Dellinger (U.S.<br>EPA)                   | Irving Press (Resistoflex<br>Co.)  |
| <b>1987 08 03 RO 9483.1987_14_ Pressurized Piping auto shut off.pdf</b>                            | Letter confirming that secondary containment is not required for pressurized piping systems with automatic shut off devices.   | Robert Dellinger (U.S.<br>EPA)                   | Timothy Love (Allied<br>Corp.)   |
| <b>1987 09 23 RO 9483_1987_17_ 2ndary containment above grd flanges valves.pdf</b>                 | Letter regarding the scope of the term “welded flange” as used in 40 CFR 264.193 where secondary containment is required.  | William Kline (U.S. EPA)                         | Wendy Goerss (SSOE,<br>Inc.)   |
| <b>1988 06 09 RO 9483_1988_11 Pump Exemption Secondary Containment.pdf</b>                         | Letter regarding determine of pumps using the barrier fluid pump sealing system would be exempt from the requirement for secondary containment.  | James Barlow (U.S. EPA)                          | Timothy Love (Allied<br>Signal, Inc.)  |
| <b>1988 07 01 Highway System Map - Colorado River Indian Reservation Scan 24B - 6 pages.pdf</b>    | Draft of Highway System Map of Colorado River Indian Reservation. *Dated July 1998*  |  |  |
| <b>1989 08 24 Letter Info related to Air Quality Permit w o encls.pdf</b>                          | Letter sending information that Westates Carbon, Inc. had submitted to the State of Arizona for an air quality permit.   | Billy C. Taylor<br>(Commercial Manager,<br>CRIT) | Bob Baker (U.S. EPA)   |
| <b>1989 09 12 Letter Re_CRIT_Concerns_1989.pdf</b>   | Letter indicating that ADEQ had issued an air quality installation permit for the Mohave County Airport Industrial Park and sees no reason why the same requirements couldn't suffice in Parker. | Paul Soheidig<br>(Ombudsman, AZ DEQ)             | Elliott Booth (CRIT)   |
| <b>1989 11 30 9483_1989_04 Response to October 30 Letter re Secondary Containment.pdf</b>          | Letter regarding clarification of phrase “operated to contain” for the purposes of secondary containment requirements for hazardous waste tank systems.  | Sylvia Lowrance (U.S.<br>EPA)                    | Al Patten (C-K Assocs.,<br>Inc.)   |

|  |   |  |   |
|--|---|--|---|
| <b>1990 02 16 Letter EEI retained for Env Assessment.pdf</b>                                 | Letter re: EEI has been retained to complete an environmental assessment for CRIT (BIA) on a site near Parker, AZ. Westates Carbon will put in a carbon recycling plant at the site location.   | William Curry (Engrg. Enterprises, Inc.)             | Shereen Lerner (AZ SHPO)                      |
| <b>1990 03 01 Response to 02061990 Letter for List of Species.pdf</b>                        | Letter indicating that no listed species would be affected by the proposed action.  | Gilbert D. Metz (US DOI F&WS)                        | William Curry (Engineering Enterprises, Inc.) |
| <b>1990 03 03 Spent Carbon Flow Control Scheme.jpg</b>                                       | Diagram of carbon regeneration facility process flow.   |  |   |
| <b>1990 03 08 Letter Request_Info_to_complete_Environmental Assessment.pdf</b>               | Letter identifying the Cactus Plains dunes ecosystem east of the facility and providing habitat for the Mohave fringe-toed lizard.  | David Walker, (AZ Game & Fish Dept., Habitat Branch) | William Curry (EEI)                           |
| <b>1990 06 12 Transmittal of All Info Compiled from co approached the CRIT -06121990.pdf</b> | Letter transmitting copy of all the information that the company has approached CRIT as a possible site for the location of their facility and whether the company's planned activities fall within RCRA based on the pyrolysis of hazardous waste. | Butch Creamer (US HHS, PHS Indian Hospital)          | Nahid Zoueshtigh (U.S. EPA)                   |
| <b>1990 06 22 Process Flow Diagram.jpg</b>   | Diagram of carbon regeneration facility process flow.   |  |   |
| <b>1990 06 25 Certificate of Disclosure.pdf</b>  | Articles of Incorporation of the Westates Carbon-Arizona, Inc.  | Streich, Lang, Weeks and Cardon                      | Arizona Corporations Commission               |
| <b>1990 07 26 excerpts of Business Lease CRIT and Westates.pdf</b>                           | Includes: the complete Table of Contents (pp. i -vi); Excerpts from pp. 13-14; Signature pages; and Exh. A, personal property and trade fixtures.   |  |   |
| <b>1990 08 03 Letter Request for Review of Draft NEPA Document.pdf</b>                       | Letter requesting EPA substantive review for technical adequacy of draft NEPA document submitted to BIA by Westates Carbon for proposed facility on CRIT reservation.   | Barry Welch (US DOI, BIA)                            | Jacqueline Wyland, (U.S. EPA)                 |
| <b>1990 09 07 EPA Review of BIA Draft EA.pdf</b>   | Re: review of "BIA Draft Environmental Assessment" of Carbon Reactivation Plant in Parker, AZ.  | Larry Bowerman (U.S. EPA)                            | David Tomsovic (U.S. EPA)                     |
| <b>1990 09 14 Notification of Intent to Construct Facility for Activated Carbon.pdf</b>      | Letter regarding intent to construct carbon regeneration plant.   | Robert Babbitt (Westates Carbon, Inc.)               | Jeff Zelikson (U.S. EPA)                      |
| <b>1990 09 14 Re Review of Environmental Assessment.pdf</b>                                  | Letter regarding review of EA for the BIA regarding proposed project in Parker and clarifying the company's position on the exempt nature of the proposed facility.   | Robert Babbitt (Westates Carbon, Inc.)               | Jeff Zelikson (U.S. EPA)                      |
| <b>1990 09 XX EPA comments on BIA draft EA.pdf</b>   | Purporting to be EPA comments on "BIA Carbon Reactivation Plant Draft EA."  |  |   |
| <b>1990 10 25 Response to Letter of Determination.pdf</b>                                    | Letter re: Mr. Feeley's "letter of determination" received on 10/24/1990 and intent to file EPA Form 8700-12 upon final approval of lease agreement with CRIT by BIA.   | Robert Babbitt (Westates Carbon Inc.)                | Michael Feeley (U.S. EPA)                     |
| <b>1990 11 05 Letter Regarding Preliminary Review of Discharge.pdf</b>                       | Letter from re: review of Westates wastewater discharge plans and requirement to obtain an industrial wastewater discharge permit.  | Roger Garcia (CRIT Joint Venture)                    | Dr. Robert Shapiro (Simon EEI, Inc.)          |
| <b>1990 11 29 Letter Re_Cultural_Resources_Determination.pdf</b>                             | Memo with comments on cultural resources documentation provided by Weldon Johnson (CRIT Museum) pursuant to the NHPA.   | Robert Gasser, for Shereen Lerner (AZ SHPO)          | Randall Morrison (US DOI BIA)                 |
| <b>1990 12 01 Westates Maps and Photos.pdf</b>   | Maps, graphs, and data prepared by EEI  | Engineering Enterprises Inc.                         |   |

|  |  |  |  |
|--|--|--|--|
| <b>1990 12 18 Topographic Survey.jpg</b>   | Topographic Survey of Lots 13 & 14 of Colorado River Industrial Park.  |  |  |
| <b>1991 02 21 CFR Regulation of Carbon Regeneration Units.pdf</b>                          | 56 FR 7200, Feb. 21, 1991. Excerpt of preamble associated with EPA's final rule regulating Carbon Regeneration Units under RCRA (Miscellaneous Units under Subpart X). |  |  |
| <b>1991 04 02 Transmittal Letter w_o attchmt Environmental Assessment.pdf</b>              | Cover letter transmitting copies of final EA approved by BIA and requesting RCRA forms and instructions.   | Robert Babbitt (Westates Carbon, Inc.) | Jim Bergkamp (U.S. EPA)                |
| <b>1991 06 24 Letter re Determination that Proposed Proj does not discharge dredge.pdf</b> | Letter advising that the construction of the facility is not subject to permitting under Section 404 of the Clean Water Act for dredge or fill materials.              | Diane Noda (US ACE)                    | Robert Babbitt (Westates Carbon, Inc.) |
| <b>1991 08 02 EPA OSW PPC 9489_1991_04 Reg Status Carbon Regen Units.pdf</b>               | Memo re the regulatory status of carbon regeneration units. Printed out on 2001 09 18.   | Sylvia Lowrance (U.S. EPA)             | Bruce Smith (U.S. EPA)                 |
| <b>1991 08 05 RCRA Part A Permit Application.pdf</b>                                       | Part A Permit Application, signed by Dr. Alan Sass, President, Westates Carbon-Arizona.  |  |  |
| <b>1991 08 12 Aerial Photo.jpg</b>   | Aerial Photo of Site Location.   |  |  |
| <b>1991 08 12 Letter re Part A Permit Application.pdf</b>                                  | Letter transmitting Part A Permit Application.   | Robert Babbitt (Westates Carbon, Inc.) | Larry Bowerman (U.S. EPA)              |
| <b>1991 08 12 Part A Application Form 8700 reduced size.pdf</b>                            | Duplicate of <i>1991 08 05 RCRA Part A Permit Application</i> . (Page 6 is right side up.)   |  |  |
| <b>1991 08 12 Part A Application_Cover_Letter_1991.pdf</b>                                 | Duplicate of <i>1991 08 12 Letter re Part A Permit Application</i> .   |  |  |
| <b>1991 08 12 Topographic Map 1 of 2.jpg</b>   | Topographic Map of Parker, AZ.   |  |  |
| <b>1991 08 12 Topographic Map 2 of 2.jpg</b>   | Topographic Map of Parker, AZ.   |  |  |
| <b>1991 08 12 Topographic Map.jpg</b>  | Duplicate of <i>1991 08 12 Topographic Map 2 of 2</i> .  |  |  |
| <b>1991 08 12 Westates Arial Photo scan 16_jpg - OneDrive_aspx.mht</b>                     | Duplicate of <i>1991 08 12 Aerial Photo</i> .  |  |  |
| <b>1991 08 12 Westates Arial Photo scan 20_jpg - OneDrive_aspx.mht</b>                     | Duplicate of <i>1991 08 12 Aerial Photo</i> .  |  |  |
| <b>1991 08 12 Westates Arial Photo scan 23_jpg - OneDrive_aspx.mht</b>                     | Duplicate of <i>1991 08 12 Aerial Photo</i> .  |  |  |
| <b>1991 08 12 Westates Arial Photo scan3_jpg - OneDrive_aspx.mht</b>                       | Duplicate of <i>1991 08 12 Aerial Photo</i> .  |  |  |
| <b>1991 08 12 Westates Arial Photo scan4_jpg - OneDrive_aspx.mht</b>                       | Duplicate of <i>1991 08 12 Aerial Photo</i> .  |  |  |
| <b>1991 08 12 Westates Topo Map Scan 1_jpg - OneDrive_aspx.mht</b>                         | Duplicate of <i>1991 08 12 Topographic Map 1 of 2</i> .  |  |  |
| <b>1991 08 12 Westates Topo Map scan 17_jpg - OneDrive_aspx.mht</b>                        | Duplicate of <i>1991 08 12 Topographic Map 1 of 2</i> .  |  |  |
| <b>1991 08 12 Westates Topo Map scan 18_jpg - OneDrive_aspx.mht</b>                        | Duplicate of <i>1991 08 12 Topographic Map 2 of 2</i> .  |  |  |

|  |   |   |   |
|--|---|---|---|
| <b>1991 08 12 Westates Topo Map scan 21_jpg - OneDrive_aspx.mht</b>                    | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |   |   |
| <b>1991 08 12 Westates Topo Map scan 22_jpg - OneDrive_aspx.mht</b>                    | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |   |   |
| <b>1991 08 12 Westates Topo Map scan2_jpg - OneDrive_aspx.mht</b>                      | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |   |   |
| <b>1991 08 12 Westates Topo Map scan5_jpg - OneDrive_aspx.mht</b>                      | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |   |   |
| <b>1991 08 12 Westates Topo Map scan6_jpg - OneDrive_aspx.mht</b>                      | Duplicate of 1991 08 12 Topographic Map 2 of 2.   |   |   |
| <b>1991 08 12 Westates Topo Map Sheet 1 of 2 scan 14_jpg - OneDrive_aspx.mht</b>       | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |   |   |
| <b>1991 08 12 Westates Topo Map Sheet 2 of 2 scan 15_jpg - OneDrive_aspx.mht</b>       | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |   |   |
| <b>1991 10 10 HQ Delegation 8-6 RCRA TSDF Permits.pdf</b>                              | EPA OARM Website showing HQ Delegation 8-6 authorizing the Regional Administrators (or their delegates) to perform all actions necessary to issue, deny, modify, revoke and reissue, or terminate permits pursuant to the Solid Waste Disposal Act. |   |   |
| <b>1991 11 06 Parker Pioneer Article of Westates Breaking Ground.pdf</b>               | Parker Pioneer article including photos of Westates groundbreaking ceremony.  |   |   |
| <b>1991 est Date not legible Westates Flow Diagram scan 19_jpg - OneDrive_aspx.mht</b> | Duplicate of <i>1993 11 29 Carbon Reactivation Facility Flow Diagram.</i>   |   |   |
| <b>1992 02 14 Interim Status Eligibility _incl portions of lease.pdf</b>               | Letter provides chronology of events after May of 1989 regarding required approvals and permits. Referenced document: <i>1992 01 31 Letter Requesting Information Concerning Interim Status.</i>  | Dr. Allan Sass (Westates Carbon, Inc.)      | Chris Heppe (U.S. EPA)                        |
| <b>1992 09 23 letter re revise Part A w_o encl.pdf</b>                                 | Letter enclosing revised Part A Permit Application for Westates Carbon that was submitted on 1992 09 04 with a request to: (1) Change the ID number; (2) Submit updated photos; (3) Obtain the signature of the property owner as well as operator. | Paula Bisson (U.S. EPA)                     | Vic Hutcheson (Westates Carbon-Arizona, Inc.) |
| <b>1992 10 01 Process Code T04 Top Section.pdf</b>                                     | Copies of photos and 1 process flow diagram.  |   |   |
| <b>1992 11 30 Revised RCRA Part A Permit Application.pdf</b>                           | Letter transmitting revised Part A Permit Application. Includes as property owner's signature "Russell Welsh, Vice Chairman, CRIT" dated Nov. 30, 1992.   | Monte McCue (Westates Carbon-Arizona, Inc.) | Paula Bisson (U.S. EPA)                       |
| <b>1993 01 01 1992 Annual Report.pdf</b>   | 1992 Annual Report for Wheelabrator Technologies Inc.   |   |   |
| <b>1993 01 05 RCRA Preliminary Assessment 1.pdf</b>                                    | Letter transmitting RCRA Preliminary Assessment.  | Bridget Coyle (U.S. EPA)                    | Chris Heppe (U.S. EPA)                        |
| <b>1993 04 13 EAB Decision in GE matter reduced size.pdf</b>                           | EAB decision.   |   |   |
| <b>1993 04 25 Aug 1993 CEI_8.pdf</b>   | Remaining documents in Attachment 13 and Attachments 14-18 of Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9                           | Westates Carbon – Arizona, Inc.               |
| <b>1993 05 11 Westates Diagram Tree.pdf</b>  | Corporate personnel and parent company structure – Wheelabrator and Westates Carbon-Arizona.  |   |   |

|   |  |   |                                 |
|---|--|---|---------------------------------|
| <b>1993 06 10 Current Corporate Status of Facility.pdf</b>                                  | Letter regarding stock transfers and corporate ownership status.   | Monte McCue (Westates Carbon)           | Paula Bisson (U.S. EPA)         |
| <b>1993 07 26 Tank Inspection and Certification Per 40 CFR.pdf</b>                          | Letter re: bid/estimate for tank inspection services.  | James Wright (RUST Engineering Company) | Monte McCue (Westates Carbon)   |
| <b>1993 07 29 2nd page of fax of Draft Proposed Meeting Agenda for Aug 4 1993.pdf</b>       | Draft Meeting Agenda for meeting between EPA and Westates regarding need for additional treatment capacity for reactivated carbon and expansion planning.  |   |                                 |
| <b>1993 08 04 Aug 1993 CEI_5.pdf</b>  | Westates operating logs for late July and early August 1993. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9                       | Westates Carbon – Arizona, Inc. |
| <b>1993 08 04 Letter Jeffrey Walsh Inquiry.pdf</b>  | Letter re: lease requirement for “annual environmental review of the leased premises during the first month of each successive year of the Primary Term.”  | Allan Anspach (US BIA)                  | Chairman Daniel Eddy, Jr (CRIT) |
| <b>1993 08 08 Case Conclusion Data Sheet 1.pdf</b>  | Indicates there is a \$57,515 penalty assessed for the failure to have the required tank assessments. U.S. EPA attorney on the case was Roger Klein.   | Laura Gentile (U.S. EPA).               |                                 |
| <b>1993 08 14 Aug 1993 CEI_4.pdf</b>  | Attachments 4 through 7 of a Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9                       | Westates Carbon – Arizona, Inc. |
| <b>1993 08 17 Aug 1993 CEI_1.pdf</b>  | Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>   | U.S. EPA Region 9                       | Westates Carbon – Arizona, Inc. |
| <b>1993 08 19 Inspection Memo US EPA R9 Ray Fox to Larry Bowerman.pdf</b>                   | Memo Includes observations made with respect to facility operations during compliance evaluation inspection.   | Ray Fox (U.S. EPA)                      | Larry Bowerman (U.S. EPA)       |
| <b>1993 08 19 Memo re site visit 1993 08 17.pdf</b>   | Duplicate of <i>1993 08 19 Inspection Memo US EPA R9 Ray Fox to Larry Bowerman</i> .   | Ray Fox (U.S. EPA)                      | Larry Bowerman (U.S. EPA)       |
| <b>1993 08 30 Request of Documents.pdf</b>  | Response to request for information includes the full business lease between the Tribe and Westates; the wastewater discharge permit from the Joint Venture #1001-91; and approved building, plumbing and electrical permits from the Tribe. | Matthew Killeen (Wheelabrator)          | Ray Fox (U.S. EPA)              |
| <b>1993 09 02 Aug 1993 CEI_7.pdf</b>  | Attachments 10 through 13 of a Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9                       | Westates Carbon – Arizona, Inc. |
| <b>1993 09 03 Letter re Site Inspection.pdf</b>   | Letter regarding issues raised at conclusion of compliance evaluation inspection. Addresses cracks in pad as well as various tanks and containers and recycle water.   | Monte McCue (Westates Carbon)           | Amy Sokolov (U.S. EPA)          |
| <b>1993 09 20 Transmittal Letter w_o attchmts of Employee Personal Training Records.pdf</b> | Letter with attachments may have become incorporated as attachments to the August 1993 Compliance Evaluation Inspection (CEI) Report.  | Jeffrey Walsh (Westates Carbon)         | Amy Sokolov (U.S. EPA)          |
| <b>1993 09 24 Intended Change Sole Shareholder.pdf</b>                                      | Indicates that Westates Carbon-Arizona, Inc. is a wholly owned subsidiary of Westates Carbon, Inc., which is itself a wholly owned subsidiary of Wheelabrator Clean Air Systems Inc.   | Monte McCue (Westates Carbon)           | Jeffery Zelikson (U.S. EPA)     |
| <b>1993 10 XX Response to Requests in 10141993 Letter w_o attchmts.pdf</b>                  | Letter indicating that the facility has not received waste codes K111, K124, and K062.   | Monte McCue (Westates Carbon)           | Amy Sokolov (U.S. EPA)          |

|  |   |   |                                      |
|--|---|---|--------------------------------------|
| <b>1993 11 22 Aug 1993 CEI_2.pdf</b>                                   | Letter transmitting CEI inspection report with Attachment 1 and 2. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>   | Karen Schwinn (U.S. EPA)                                      | Monte McCue (Westates Carbon)        |
| <b>1993 12 08 ltr re EPA Inspection Report.pdf</b>                     | Letter with responses to inspection report.   | Monte McCue (Westates Carbon)                                 | Karen Schwinn (U.S. EPA)             |
| <b>1993 12 17 Revised RCRA Part A Permit Application.pdf</b>           | Letter transmitting revised Part A Permit Application.  | Monte McCue (Westates Carbon)                                 | Ray Fox (U.S. EPA)                   |
| <b>1993 Aug 1993 CEI_3.pdf</b>   | General Facility Layout – 1 page drawing. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  |   |                                      |
| <b>1993 site plan Aug 1993 CEI_3.pdf</b>                               | Duplicate of <i>1993 Aug 1993 CEI_3</i> .   |   |                                      |
| <b>1994 01 01 BIA and BLM maps - Cover.pdf</b>                         | Cover page of BLM California Desert District Blythe and Arizona Desert District Parker. Complete copy: <i>2004 09 28 Memorandum_Maps Received from BLM and BIA w Maps</i>       |   |                                      |
| <b>1994 02 03 Waste Analysis and Contingency Plan.pdf</b>              | Documents are labelled Attachments 1 – 20 and appear to be enforcement related.   | U.S. EPA  | Monte McCue (Westates Carbon)        |
| <b>1994 02 16 Determination of Violation.pdf</b>                       | Letter transmitting Determination of Violation, Compliance Order and notice of Right to Request a Hearing (Complaint). Includes attached Complaint, Docket No. RCRA 09-94-0001. | Jeff Zelikson (U.S. EPA)                                      | Monte McCue (Westates Carbon)        |
| <b>1994 02 18 EPA Proposes Fine Against Westates.pdf</b>               | EPA Environmental News press release on proposed fine against Westates.   |   |                                      |
| <b>1994 02 18 Press Release EPA Proposes Fine Against Westates.pdf</b> | Duplicate of <i>1994 02 18 EPA Proposes Fine Against Westates</i> .   |   |                                      |
| <b>1994 02 25 Response to EPA Information Request.pdf</b>              | Letter transmitting response to <i>1994 02 16 Determination of Violation</i> .  | Monte McCue (Westates Carbon)                                 | Greg Czajkowski (U.S. EPA)           |
| <b>1994 03 04 Compliance Tasks.pdf</b>                                 | Letter transmitting response to <i>1994 02 16 Determination of Violation</i> with attachments.  | Monte McCue (Westates Carbon)                                 | Greg Czajkowski (U.S. EPA)           |
| <b>1994 03 04 Letter re Compliance Tasks.pdf</b>                       | Duplicate of <i>1994 03 04 Compliance Tasks</i> , except without any attachments other than the corporate certification.  |   |                                      |
| <b>1994 03 10 EPA Letter re formal enforcement action.pdf</b>          | Letter re: late notice regarding Complaint issued against Westates.   | Jeff Zelikson (U.S. EPA)                                      | Peter Nimkoff (CRIT AG)              |
| <b>1994 03 15 CEI Report_2.pdf</b>                                     | SAIC Inspection Report prepared for EPA dated March 15, 1994.   | Science Applications International Corp. Tech. Services Comp. | U.S. EPA Region 9                    |
| <b>1994 03 16 CRIT response to USEPA Letter.pdf</b>                    | Letter responding to <i>1994 03 10 EPA Letter re formal enforcement action</i> .  | Peter Nimkoff (CRIT AG)                                       | Jeff Zelikson (U.S. EPA)             |
| <b>1994 03 18 Letter re phone call on deadlines.pdf</b>                | Letter re: phone call confirming deadline for filing answer to EPA's complaint.   | Aaron Goldberg (Beveridge & Diamond)                          | Jo Ann Asami (U.S. EPA)              |
| <b>1994 03 18 Memo re call regarding deadlines 1.pdf</b>               | Fax confirming accuracy of Goldberg's letter re: deadline to file answer to EPA's Complaint.  | Jo Ann Asami (U.S. EPA)                                       | Aaron Goldberg (Beveridge & Diamond) |
| <b>1994 03 21 Answer and Request for Hearing.pdf</b>                   | Pleading in Docket No. RCRA 09-94-0001.   |   |                                      |

|  |  |   |  |
|--|--|---|--|
| <b>1994 03 21 Letter Phase II Environmental Assessment.pdf</b>       | Letter indicating that at special CRIT Council meeting on 1994 02 18, the Tribes recognized that Phase II was known to be the intention of [WCAI], when preparing the Lease, Environmental Assessment and RCRA Part A application. | Jonathan Speier (CRIT Commercial Manager) | Monte McCue (Westates Carbon)                                      |
| <b>1994 03 21 Letter re Answer and Request for Hearing.pdf</b>       | Letter re: reflecting hand delivery of Westates' Answer to Complaint. Referenced document: <i>1994 03 21 Answer and Request for Hearing</i>  | Aaron Goldberg (Beveridge & Diamond)      | Steve Armsey (U.S. EPA)  |
| <b>1994 03 21 Re Assignment of Admin Law Judge.pdf</b>               | Letter requesting assignment of ALJ to matter.   | Steven Armsey (U.S. EPA)                  | Henry Frasier (U.S. EPA)   |
| <b>1994 03 21 Transmittal for Answer and Request for Hearing.pdf</b> | Duplicate of <i>1994 03 21 Letter re Answer and Request for Hearing</i>  | Aaron Goldberg (Beveridge & Diamond)      | Steve Armsey (U.S. EPA)  |
| <b>1994 03 29 Items SAIC requested.pdf</b>                           | Letter transmitting information requested in 1994 03 24 transmittal.   | Jeffery Walsh (Westates Carbon)           | Peter Reich (SAIC)   |
| <b>1994 04 12 RCRA CEI March 15 1994 Report.pdf</b>                  | SAIC Inspection Report of the Facility.  |   |  |
| <b>1994 04 15 Order of Designation.pdf</b>                           | Appointment of ALJ Vanderheyden to Docket No. RCRA-09-94-0001.   |   |  |
| <b>1994 04 21 Site View Overall Arrangement Map.jpg</b>              | Attachment to: <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.</i>   |   |  |
| <b>1994 04 29 Notice and Order.pdf</b>                               | Notice and order in the matter of Westates. Docket No. RCRA-09-94-0001.  |   |  |
| <b>1994 05 03 Memo re May 18 Conference.pdf</b>                      | Memo regarding plans to attend Westates Carbon, Inc. conference in San Francisco on 1994 05 18 with CRIT AG Peter Nimkoff.   | Connor Byestewa (CRIT EPO)                | Amy Sokolov (U.S. EPA)   |
| <b>1994 05 19 Annual Report Certificate of Disclosure.pdf</b>        | Annual report and certificate of disclosures submittal to the Arizona Corporation Commission.  |   |  |
| <b>1994 05 19 Status Report.pdf</b>                                  | Westates Carbon-Arizona, Inc. Docket No. RCRA 09 94 0001. Status Report re: early stage in settlement discussions.   |   |  |
| <b>1994 05 26 Prelim Assessment Review Waste Div USEPA R9.pdf</b>    | Letter re: Preliminary Assessment dated 1992 09 21 for Westates Carbon-Arizona, Inc. There appears to be a corrective-action related focus in this form.   | Latha Rajagopalan (U.S. EPA)              | Paula Bisson (U.S. EPA)  |
| <b>1994 07 05 Guidance on Trial Burn Failures 9498_1994_04.pdf</b>   | Guidance on trial burns, performance demonstration test, etc.  | Michael Shapiro (U.S. EPA)                | U.S. EPA Hazardous Waste Management Division Directors Regions I-X |
| <b>1994 07 13 Warning Letter Mar 1994 Insp w_o attchmts.pdf</b>      | Letter re: 1994 03 15 inspection and investigation. See Referenced documents: <i>1994 04 12 RCRA CEI March 15 1994 Report.</i>   | Greg Czajkowski (U.S. EPA)                | Jeffrey Walsh (Westates Carbon)                                    |
| <b>1994 08 10 Response to 07151994 Letter.pdf</b>                    | Letter re: potential violation re: personnel training records has been corrected.  | Monte McCue (Westates Carbon)             | Diane Bodine (U.S. EPA)  |
| <b>1994 09 07 Corrective Action Stabilization Questionnaire.pdf</b>  | Document refers to Preliminary Assessment (PA) dated 1992 09 XX, an NCAPS run dated 1994 05 XX, and the Part B being due on 1994 06 30.  | Latha Rajagopalan (U.S. EPA)              |  |
| <b>1994 09 13 corrected violation.pdf</b>                            | Letter confirming that the facility's response dated 1994 08 10 documents the facility's return to compliance with the regulations cited in the inspection report.   | Greg Czajkowski (U.S. EPA)                | Jeffrey Walsh (Westates Carbon)                                    |



|   |   |  |  |
|---|---|--|--|
| <b>1994 11 09 OSWER 9441_1994_29 reg status waste reclamation.pdf</b>                                 | Letter re: regulatory status of waste reclamation system. Site specific determination that needs to be made by EPA R6 or Texas NRCC.  | David Bussar (U.S. EPA)                                    | Prabhakar Kulkarni (Quantum Tech, LLC)   |
| <b>1994 12 07 Letter re Sept 1994 Inspection Report.pdf</b>   | Letter transmitting SAIC-developed inspection report dated 1994 10 21 from attached inspection conducted 1994 09 08.  | Arlene Kabei (U.S. EPA)                                    | Jeffrey Walsh (Westates Carbon)          |
| <b>1994 12 31 Analysis of the Potential Hazards of Spent Granular.pdf</b>                             | Report re: evaluation of potential environmental and health hazards posed by management of spent granular activated carbon at Parker, AZ facility.  | Karl Monninger (Chavond-Barry Engineering Corp.)           | Wheelabrator Environmental Systems, Inc. |
| <b>1994 Complaint Determination of Violation Compliance Order Right to Hearing.pdf</b>                | Letter dated 1994 02 16 transmitting the Complaint In the Matter of Westates Carbon-Arizona, Inc. Docket No. RCRA 09 94 0001, also filed on 1994 02 16.   | Jeff Zelikson (U.S. EPA)                                   | Monte McCue (Westates)                   |
| <b>1995 01 04 Attributes of activated carbon.pdf</b>  | This document was inadvertently included in Draft Permit AR. This record is confidential settlement related correspondence. It was not relied upon by the Region and has been removed from the AR for this permit decision.   |  |  |
| <b>1995 01 Revised RCRA Part A Permit Application.pdf</b>   | Revised RCRA Part A Permit Application.   |  | Westates Carbon – Arizona Inc.           |
| <b>1995 03 09 CEI_1 w_o Attachments.pdf</b>   | Inspection Report without attachments 1 through 6. Referenced documents: <i>1995 03 09 CEI_2 w 1994 Site Plan Attachment; 1995 03 09 CEI_3 w 1993 BR Attachment.</i>  | John Moody (U.S. EPA)                                      |  |
| <b>1995 03 09 CEI_2 w 1994 Site Plan Attachment.pdf</b>   | Attachment to Part A - <i>1995 03 09 CEI_1 w_o Attachments.pdf</i>  |  |  |
| <b>1995 03 09 CEI_3 w 1993 BR Attachment.pdf</b>  | Attachment to: <i>1995 03 09 CEI_1 w_o Attachments</i>  |  |  |
| <b>1995 04 18 Letter Regarding Telephone Conversation on 04131995.pdf</b>                             | Letter transmitting 3 agency guidance documents dated 1986 04 02, 1985 07 10 and 1986 06 XX re: regulatory exclusion for spent carbon that is considered a sludge.  | Matthew Killeen (Wheelabrator Environmental Systems, Inc.) | Ray Fox (U.S. EPA)                       |
| <b>1995 05 31 Construction Begins on 2nd Unit.pdf</b>   | Memo indicating that Matthew Killeen (Wheelabrator) had advised on 1995 05 22 that construction of RF-2 would begin during the summer and expected to be complete by the end of the year.   | Ray Fox (U.S. EPA)   | File                                     |
| <b>1995 05 31 cover ltr AZ w_o Encl Inspection Rpt Transmittal Letter Mar 1995.pdf</b>                | Letter transmitting copy of inspection report. Referenced documents: <i>1995 03 09 CEI_1 w_o Attachments.</i>   | Arlene Kabei (U.S. EPA)                                    | Patrick Kuefler (ADEQ)                   |
| <b>1995 05 31 cover ltr CRIT w_o Encl Inspection Rpt Transmittal Letter Mar 1995.pdf</b>              | Letter transmitting copy of inspection report. Referenced documents: <i>1995 03 09 CEI_1 w_o Attachments.</i>   | Arlene Kabei (U.S. EPA)                                    | Connor Byestewa (CRIT EPO)               |
| <b>1995 07 07 Applicability Determination.pdf</b>   | Letter regarding modification to carbon regeneration facility and U.S. EPA analysis that maximum potential emissions mean this is not a major stationary source or major modification under the Clean Air Act PSD regulations based on 250 tons per year threshold of the facility's potential to emit. | David Howekamp (U.S. EPA)                                  | Monte McCue (Westates)                   |
| <b>1995 08 04 Letter response to 1995 06 06 application for approval of proposed modification.pdf</b> | Letter re: 1995 06 06 application approval under Clean Air Act.   | Felicia Marcus (U.S. EPA)                                  | Monte McCue (Westates)                   |

|   |  |                                |                           |
|---|--|--------------------------------|---------------------------|
| <b>1995 09 01 Consent Agreement and Final Order.pdf</b>   | Letter transmitting executed CAFO, which is attached and date stamped 1995 09 05.  | Laura Yoshii (U.S. EPA)        | Monte McCue (Westates)    |
| <b>1995 09 05 Consent Agreement and Final Order.pdf</b>   | Executed CAFO but includes certificate of service dated 1995 09 13. Duplicate of <i>1995 09 01 Consent Agreement and Final Order</i> .   |                                |                           |
| <b>1995 09 19 RCRA CEI Report.pdf</b>   | Inspection Report that identifies no potential violations.   | Clint Seiter (U.S. EPA)        |                           |
| <b>1995 10 04 Fax re Wire Transfer Payment Civil Penalty.pdf</b>  | Fax transmitting evidence of wire transfer of civil penalty payment.   | Marc Browning (Westates)       | Kaoru Morimoto (U.S. EPA) |
| <b>1995 10 26 Waste Exception _Partial_ Forms and Explanation.pdf</b>                                   | Memo transmitting information about waste shipment that was mistakenly identified when sent to facility due to generator error.  | Monte McCue (Westates)         | John Moody (U.S. EPA)     |
| <b>1995 11 30 Receipt of penalty payment.pdf</b>  | Letter regarding EPA's receipt of penalty payment in settlement of enforcement action.   | Arlene Kabei (U.S. EPA)        | Monte McCue (Westates)    |
| <b>1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion.pdf</b>               | First 35 pages of Part A Application with indices of attachments. Referenced documents: <i>1995 11 Revised RCRA Part A Permit Application Pt_2</i> ; <i>1995 11 Revised RCRA Part A Permit Application Pt_3</i> ; <i>1995 11 Revised RCRA Part A Permit Application Pt_4</i> . |                                |                           |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_1.pdf</b>  | Duplicate of <i>1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion</i> .   |                                |                           |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_2.pdf</b>  | Attachment C of 1995 11 Revised RCRA Part A Permit Application.  |                                |                           |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_3.pdf</b>  | Remaining documents in Attachment 3 of <i>1995 11 Revised RCRA Part A Permit Application Pt_2</i> .  |                                |                           |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_4.pdf</b>  | Attachment D of 1995 11 Revised RCRA Part A Permit Application.  |                                |                           |
| <b>1995 12 15 Submittal to Summarize Construction Schedule of RF-2.pdf</b>                              | Letter with schedule. Anticipated delivery of unit to facility in January 1996 and anticipated start-up of RF-2 in June 1996.  | Matthew Killeen (Wheelabrator) | Ray Fox (U.S. EPA)        |
| <b>1996 01 09 Letter Intention to Provide Updated Info on Equipment - 01091996.pdf</b>                  | Letter enclosing updated appendices for Part B Permit Application to reflect new equipment.  | Matthew Killeen (Wheelabrator) | Ray Fox (U.S. EPA)        |
| <b>1996 01 11 Transmittal Letter w_o attchmts 4 copies of revised Part A and Part B Apps - 1995.pdf</b> | Letter transmitting copies of revised Part A and Part B applications.  | Monte McCue (Westates)         | Michael Feeley (U.S. EPA) |
| <b>1996 03 08 Correspondence Fax Cover Sheet - SEA Westates Proposed Expansion.pdf</b>                  | Fax including Supplemental Environmental Assessment regarding expansion of the Westates facility dated 1996 02 21. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.   | Goldie Stroup (US BIA)         | Ray Fox (U.S. EPA)        |
| <b>1996 03 08 Fax Transmission - Description of Acid Treatment Process.pdf</b>                          | Fax transmitting excerpt regarding Acid Treatment from Supplement to Final Environmental Assessment. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.   | Matthew Killeen (Wheelabrator) | Ray Fox (U.S. EPA)        |
| <b>1996 03 13 Memo re Draft Supp EA dated 1996 02 23.pdf</b>  | Memo re: acid treatment process not covered under RCRA but BIA's request for EPA review of Supplemental Environmental Assessment. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.  | Ray Fox (U.S. EPA)             | Various                   |
| <b>1996 03 13 Memo re PD Plan for Reactivation Unit no2.pdf</b>   | Memo regarding review of undated Performance Demonstration Plan for RF-2 and Draft QAPP.   | David Taylor (U.S. EPA)        | Ray Fox (U.S. EPA)        |

|   |   |  |                           |
|---|---|--|---------------------------|
| <b>1996 06 10 Letter re Disablement Notification of Existing RF-1 Unit.pdf</b>                    | Letter re: disabling of RF-1 unit as of 1996 06 10.   | Monte McCue (Westates)   | Michael Feeley (U.S. EPA) |
| <b>1996 07 24 Clarification re Review of Draft QAPJP.pdf</b>                                      | Memo passing along Westates' requests for clarification on comments regarding draft QAPP.   | Ray Fox (U.S. EPA)   | David Taylor (U.S. EPA)   |
| <b>1996 08 27 Certificate of Reactivation.pdf</b>   | Certificates of reactivation of spent carbon received from U.S. EPA's Casmalia Superfund site on 1996 07 17 (90 drums), 1996 07 31 (25 drums), and 1996 08 20 (100 drums).  |  |                           |
| <b>1996 09 18 Announcment of 2 Major Water Transactions.pdf</b>                                   | Joint press release regarding acquisition by US Filter of all Wheelabrators industrial water process and manufacturing units and creation of joint venture company.   | Wheelabrator Technologies, Inc. and United States Filter Corporation |                           |
| <b>1996 10 03 Cover Letter transmitting Photos taken at Westates w_o attchmts.pdf</b>             | Letter transmitting photos of the spent carbon storage area and 6 photos of the sealed cracks on the facility's containment pad. Referenced documents: <i>1996 12 19 Warning Letter re 1995 CEI</i>   | Monte McCue (Westates)   | Clint Seiter (U.S. EPA)   |
| <b>1996 10 16 Revised RCRA Part A Permit Application.pdf</b>                                      | Letter transmitting notice of change in ownership under 40 CFR 270,.72(a), along with revised Part A Application for the Existing Facility and the Revised Part A Application for the proposed expansion of the facility.                               | Monte McCue (Westates)   | Felicia Markus (U.S. EPA) |
| <b>1996 12 12 Request for Info per Phone Conversation w_o attchmt.pdf</b>                         | Letter transmitting example of training records maintained at the facility Referenced document: <i>1996 12 19 Warning Letter re 1995 CEI</i> .  | Monte McCue (Westates)   | Clint Seiter (U.S. EPA)   |
| <b>1996 12 19 Warning Letter re 1995 CEI.pdf</b>  | Letter transmitting copy of 1996 12 18 Compliance Evaluation Inspection Report for 1996 09 30 inspection.   | Arlene Kabei (U.S. EPA)  | Monte McCue (Westates)    |
| <b>1996 12 XX excerpt re Leak Check SMVOC.pdf</b>   | Unlabeled excerpt regarding leak checking the entire train.   |  |                           |
| <b>1997 05 06 Memo re PD Plan for RU-2.pdf</b>  | Memo regarding review of 1996 08 29 Performance Demo Plan response to comments and PDD and QAPP.  | David Taylor (U.S. EPA)  | Milton Morales (U.S. EPA) |
| <b>1998 01 05 EPA OSW Status of Carbon Regeneration Units Under RCRA_Subpart X Envirotrol.pdf</b> | Memo regarding regulation of carbon regeneration units under RCRA.  | Elizabeth Cotsworth (U.S. EPA)                                       | Paul Gotthold (U.S. EPA)  |
| <b>1998 10 05 Notice of Implementation of Contingency Plan.pdf</b>                                | Letter providing notice of spill that occurred on 1998 09 28 from an on-site truck containing recycle water used to slurry the carbon. Provides notice of implementation of Contingency Plan. Duplicative copy: <i>1999 12 06 CEI Report Dec 1998</i> . | Monte McCue (US Filter)  | Felicia Markus (U.S. EPA) |
| <b>1999 02 18 Memo NESHAP for Benzene Waste Operations w encl.pdf</b>                             | Memo regarding applicability of benzene NESHAPS to Westates' containers and tanks, (40 CFR Part 61, Subpart FF). Duplicative copy: 1999 12 06 CEI Report Dec 1998.  | Charles Aldred and John Kim (U.S. EPA)                               | Ron Brown (U.S. EPA)      |
| <b>1999 02 18 Memo NESHAP for Benzene Waste Operations w o encl.pdf</b>                           | Duplicate of first 2 pages of <i>1999 02 18 Memo NESHAP for Benzene Waste Operations w encl</i> .   |  |                           |
| <b>1999 10 18 Notification Westates will participate in Transition Policy.pdf</b>                 | Letter providing notice that Westates will participate in the EPA transition policy for sources that are located in Indian country, would be covered by the part 71 program.  | Monte McCue (Westates)   | Steve Branoff (U.S. EPA)  |
| <b>1999 12 06 CEI Report Dec 1998.pdf</b>   | Inspection report that occurred on December 9-10, 1998.   | Ronald Brown (U.S. EPA)  |                           |
| <b>2000 01 26 1999 Air Emission Report.pdf</b>  | Letter transmitting 1-page document entitled 1999 Actual Emissions Summary.   | Monte McCue (Westates)   | Matt Haber (U.S. EPA)     |

|  |  |                                 |   |
|--|--|---------------------------------|---|
| <b>2000 01 31 Letter re Dec 1998 Inspection w_o Attachments.pdf</b>  | Letter transmitting a copy of the inspection report for inspection conducted on December 9-10, 1998. Referenced documents: <i>1999 12 06 CEI Report Dec 1998</i> .   | Frances Schultz (U.S. EPA)      | Monte McCue (Westates)                                      |
| <b>2000 02 29 Correspondence Citation and Notification of Penalty from US DOL.pdf</b>                        | Citation for the failure to label or otherwise indicate on two separate electrical control panels what equipment they control.   | OSHA, Dept. of Labor            | Westates Carbon   |
| <b>2000 02 29 Letter re Dec 1998 Inspection Report.pdf</b>   | Letter transmitting a copy of the CEI Report. Referenced documents: <i>1999 12 06 CEI Report Dec 1998</i> .  | Frances Schultz (U.S. EPA)      | Fred Gonzales (CRIT EPO)                                    |
| <b>2000 06 26 Toxic Release Inventory Report for 1999.pdf</b>  | Letter transmitting TRI Report for 1999.   | Roy Provins (US Filter)         | Chairman Daniel Eddy (CRIT)                                 |
| <b>2000 08 22 transmittal to B Angel w 1 encl and note.pdf</b>   | Letter transmitting: (1) Westates Risk Assessment 1994; (2) BRS data from 1993, 1995 and 1997. Promises to send 1996 supplemental environmental assessment and 1996 PDT Plan separately. Referenced documents: <i>1994 06 17 Screening HHRA, 1996 02 23 Supplement to the Final EA, and 1996 08 29 Performance Demo Plan</i> .   | Karen Scheuermann (U.S. EPA)    | Bradley Angel (Greenaction)                                 |
| <b>2000 10 04 Parker Pioneer Article - Westates Wants to Expand.pdf</b>                                      | News article about anticipated publication of proposed demonstration test plan for Westates in the winter or spring of 2001.   | Joan M. Travis (Parker Pioneer) |   |
| <b>2000 10 19 Applicability of Title V of the Clean Air Act.pdf</b>  | Letter indicating EPA adopted a rule for hazardous waste combustors and that Westates may no longer use the transition policy. Unsigned duplicate of <i>2000 10 19 Concerning Applicability of Title V</i> .   | Gerardo Rios (U.S. EPA)         | Monte McCue (Westates)                                      |
| <b>2000 11 01 Response to 10192000 Letter re MACT EEE.pdf</b>  | Letter asserting that carbon regeneration units are not subject to 40 CFR Part 63, Subpart EEE.  | Monte McCue (Westates)          | Gerardo Rios (U.S. EPA)                                     |
| <b>2000 11 09 Open House November 2000.pdf</b>   | Flyer for Open House.  |                                 |   |
| <b>2000 11 13 transmittal to D Harper w 1 encl Note.pdf</b>  | Letter transmitting: (1) June 1994 Westates' Risk Assessment; (2) BRS data from 1993, 1995 and 1997 for Westates; (3) BIA's supplemental environmental assessment dated February 1996; and (4) Westates Performance Demonstration Test Plan dated August 1996. Referenced documents: <i>1994 06 17 Screening HHRA, 1996 02 23 Supplement to the Final EA, and 1996 08 29 Performance Demo Plan</i> .                         | Kevin Wong (U.S. EPA)           | Dave Harper (Mohave Elders Group)                           |
| <b>2001 01 17 ltr to Harper Angel re air emissions w_o encls.pdf</b>   | Letter responding to request for air emissions data by transmittal of Vol. 1 of a Source Test Report. Referenced documents: <i>2003 05 07 Worksheet for Emissions tests 1993 1994 and 2000</i> .   | Karen Scheuermann (U.S. EPA)    | Dave Harper (Mohave Elders) and Bradley Angel (Greenaction) |
| <b>2001 01 18 Letter re Applicability of 40 CFR Part 63 Subpart EEE for RCRA Permitting Requirements.pdf</b> | Letter re: Applicability of Part 63, Subpart EEE combustion MACT standards, EPA will consider EEE during our review of Westates' Permit Application.   | Jeff Scott (U.S. EPA)           | Monte McCue (Westates)                                      |
| <b>2001 01 22 letter to CRIT AG w_o encls..pdf</b>   | Letter transmitting documentation regarding status of RCRA permitting for Westates Carbon: (1) 2000 10 05 EPA letter to CRIT Chairman Eddy; (2) 2000 09 U.S. EPA Fact Sheet; and (3) 2000 08 25 letter from Westates to EPA. Referenced documents: <i>2000 10 05 Review of Waste Permit Application - Oct 2000; 2000 09 26 Email Westates Publications 2000; and 2000 08 25 Letter Re Aspects of 1995 Part B Application</i> | Karen Scheuermann (U.S. EPA)    | Michelle Gonzalez (CRIT AG's Office)                        |

|  |   |   |  |
|--|---|---|--|
| <b>2001 01 23 request for docs at BIA Realty.pdf</b>                                   | Letter requesting: (1) 1993 08 04 BIA to Chairman Eddy letter; 1990 04 01 Lease between CRIT/BIA and Westates; and (3) supplement to final EA dated 1996 04 25.   | Karen Scheuermann (U.S. EPA)                      | Goldie Stroup (US BIA)                                   |
| <b>2001 02 01 InsideEPA CARBON FACILITY EXEMPT FROM AIR TOXICS RULE.pdf</b>            | InsideEPA article about EPA determination that carbon regeneration units are not strictly subject to hazardous combusters NESHAP/MACT standards at Part 63, Subpart EEE.  |   |  |
| <b>2001 02 02 Review of 01252001 Letter incl Fin Assur.pdf</b>                         | Letter responding to request for documents with attached financial guarantee bond by American Home Assurance Company  | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 02 06 Response to EPA Request for documents listed in 01232001 Letter.pdf</b>  | Letter transmitting requested documents. Referenced documents: <i>1993 08 04 Letter Jeffrey Walsh Inquiry; 1993 08 30 Request of Documents; and 1996 04 25 Supplement to the Final EA - 04291996.</i>   | Superintendent (US BIA, Realty Services)          | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 02 08 Fax Transmittal Article from Inside EPA concerning Westates.pdf</b>      | Fax transmitting copy of Inside EPA article. See <a href="https://insideepa.com/clean-air-report/epa-decision-exempts-carbon-facility-air-toxics-rule">https://insideepa.com/clean-air-report/epa-decision-exempts-carbon-facility-air-toxics-rule</a> .      | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 02 12 Response to 01252001 Letter.pdf</b>                                      | Letter transmitting information regarding implementation of contingency plan and the latest page of contingency plan which is sent to emergency responders and updated whenever the emergency coordinator changes.  | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 02 17 List of Concerns raised by community.pdf</b>                             | Letter re: meetings between EPA and Mohave Elders in which questions and concerns were raised.  | Karen Scheuermann (U.S. EPA)                      | Bradley Angel (GreenAction), Dave Harper (Mojave Elders) |
| <b>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000.pdf</b>               | Letter transmitting preliminary stack test data; dioxin was not tested, but will be tested during the Final RF-2 Performance Test.  | Monte McCue (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 03 13 Followup on 03072001 Phone Call.pdf</b>                                  | Letter providing clarification on statements provided in attachment to letter dated 2001 02 28 to Dave Harper and Bradley Angel regarding Westates Emissions Tests.   | Monte McCue (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 03 29 Verification of Stack Plume Hourly Visual Observations.pdf</b>           | Letter transmitting data from stack plume observations.   | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 04 05 Letter re Ariz Dept of Commerce Support of RCRA Permit.pdf</b>           | Letter in support of Westates' permit application.  | Margie Emmermann (Director, AZ Dept. of Commerce) | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 05 09 Daily Record of Events May 2001 - March 2002.pdf</b>                     | Daily record of events 2001 05 to 2002 03.  | U.S. EPA Region 9 Waste Management Division       |  |
| <b>2001 05 11 CBI Internal Stack Testing Furnace Monitoring Analytical Reports.pdf</b> | Letter transmitting (1) complete report for internal stack testing performed in October 2000, (2) profiles and manifests from waste streams identified in EPA request, (3) an example of furnace monitoring documentation, and (4) metals analytical results. | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                             |
| <b>2001 05 11 EPA Request from US Filter w o attchmts reduced size.pdf</b>             | Duplicate of <i>2001 05 11 Internal Stack Testing Furnace Monitoring Analytical Reports</i> with different attachments.   |   |  |
| <b>2001 05 11 EPA Request from US Filter xx attchmts.pdf</b>                           | Duplicate of <i>2001 05 11 Internal Stack Testing Furnace Monitoring Analytical Reports</i> with different attachments.   |   |  |
| <b>2001 05 21 Availability of Hazardous Waste Funding for FY02 w_o encl.pdf</b>        | Letter regarding opportunities for funding to help develop Tribe's hazardous waste program.   | Rich Vaille (U.S. EPA)                            | Elena Etcitty (CRIT EPO)                                 |

|   |   |  |                              |
|---|---|--|------------------------------|
| <b>2001 05 24 CBI Handwritten Generator Profiles.pdf</b>                    | Letter transmitting documentation subject to CBI claim.   | Roy Provins (US Filter)                | Karen Scheuermann (U.S. EPA) |
| <b>2001 06 07 NPDES Permit Fact Sheet.pdf</b>                               | Draft U.S. EPA Fact sheet about Colorado River Sewage System Joint Venture's NPDES Permit No. AZ0021415, issued January 10, 1996, effective February 13, 1996.  |  |                              |
| <b>2001 06 18 Letter re Scheduled VSI Visit.pdf</b>                         | Letter regarding EPA preparation of RCRA Facility Inspection and anticipated Visual Site Inspection on 2001 07 12.  | Andrea Austin (Booz Allen Hamilton)    | Roy Provins (Westates)       |
| <b>2001 06 19 img-421123104-0001.pdf</b>                                    | Misc. facility color photos.  |  |                              |
| <b>2001 06 19 img-421123140-0001.pdf</b>                                    | Misc. facility color photos.  |  |                              |
| <b>2001 06 19 img-421123230-0001.pdf</b>                                    | Misc. facility color photos.  |  |                              |
| <b>2001 06 29 RFA and RFA Equivalent Assessments.pdf</b>                    | Letter transmitting Preliminary Report on RCRA Facility Assessment for US Filter Westates.  | Katherine Hastie (Booz Allen Hamilton) | Bess Gordon (U.S. EPA)       |
| <b>2001 07 02 Draft Chart Percent People of Color within 5 miles.pdf</b>    | Draft EJ charts of the different percentages of people of color, people below the poverty level, Native Americans, etc. living within 5 miles of carbon regeneration facilities across the US.  | Karen Scheuermann (U.S. EPA)           |                              |
| <b>2001 07 17 1999 Annual Report.pdf</b>                                    | Duplicate of Supp. AR - <i>2001 07 17 Vivendi 1999 Annual Report</i> , only includes cover page.  |  |                              |
| <b>2001 07 17 Letter Follow up of Telephone Conversation - 07172001.pdf</b> | Letter requesting documents in pursuit of their work on behalf of CRIT.   | Bill Smedley (Greenwatch)              | Karen Scheuermann (U.S. EPA) |
| <b>2001 07 20 Memo re Materials delivered to CRIT Reservation.pdf</b>       | Memo regarding materials delivered to various people during Karen's visit to the reservation.   | Karen Scheuermann (U.S. EPA)           | File                         |
| <b>2001 07 21 encl list of Westates Generators.pdf</b>                      | Includes (1) list of Waste Received by Westates in 1993, 1995, 1997 and 1999 sorted by amount received; and (2) list of the amount of waste received by Westates Carbon in 1993, 1995, 1997 and 1999 sorted by amount received per Generator in each year and combined. |  |                              |
| <b>2001 07 21 Log Sheet and Tally Sheet and LDR Notif Form.pdf</b>          | Indicating that the attachments were obtained from Roy Provins (Westates) during Karen's site visit on 2001 07 11 and are an example of how waste shipments are logged in and screened, and how the LDR form is filled out.   | Karen Scheuermann (U.S. EPA)           |                              |
| <b>2001 07 21 ltr w_o full encl list of Westates Generators.pdf</b>         | Letter transmitting two lists of generators (1) Generators who sent waste to Westates in 1993, 1995, 1997 and 1999; and (2) Generators who sent waste to Westates in 1999. Referenced Attachment: <i>2001 07 21 encl list of Westates Generators</i>                    | Karen Scheuermann (U.S. EPA)           | Elena Etcitty (CRIT EPO)     |
| <b>2001 07 30 cover ltr trnsmtg maps w_o encls.pdf</b>                      | Letter transmitting topographic map of CRIT generated by the EPA GIS group.   | Karen Scheuermann (U.S. EPA)           | Dave Harper (Mohave Elders)  |
| <b>2001 08 02 memo to file inaccuracies in preliminary review.pdf</b>       | Memo memorializing corrections to statements reflected in the Preliminary Review for Westates forwarded to EPA Region 9 by Booz-Allen & Hamilton by cover letter dated 2001 07 29.  | Karen Scheuermann (U.S. EPA)           | File                         |
| <b>2001 08 20 USEPA Inspection Correspondence.pdf</b>                       | Letter with attached unsigned letter from Drew Bolyard (US Filter) to Kandice Bellamy regarding several of the issues raised in the closing conference following Kandice's inspection of the facility on 2001 06 19 and 2001 06 20.                                     | Roy Provins (US Filter/Westates)       | Kandice Bellamy (U.S. EPA)   |

|   |  |                                 |                              |
|---|--|---------------------------------|------------------------------|
| <b>2001 08 21 Letter Request complete copies of previous RCRA Permit Applications.pdf</b> | Letter requesting complete copies of previous Westates RCRA permit applications.   | Lola C. Rainey (Acting CRIT AG) | Karen Scheuermann (U.S. EPA) |
| <b>2001 08 28 Waste Manifests 2000 2001.pdf</b>   | Hazardous waste manifests.   |                                 |                              |
| <b>2001 08 31 Commercial Hazardous Waste Carbon Regeneration Facilities US.pdf</b>        | Materials marked "Draft" including: (1) a map of carbon regeneration facilities in the US; (2) the permit status of the furnaces/kilns at these facilities; (3) the waste treated at these facilities; (4) the type of equipment, e.g., scrubbers, used with the furnace/kiln; (5) emission controls; and (6) Location and Administrative information. | Karen Scheuermann (U.S. EPA)    |                              |
| <b>2001 09 05 EPA requests manifests in prep for air test.pdf</b>                         | Letter requesting information on the quantities of the wastes received at Westates.  | Arlene Kabei (U.S. EPA)         | Monte McCue (Westates)       |
| <b>2001 09 07 Draft List Superfund Wastes Received.pdf</b>                                | Letter transmitting list of Superfund wastes received at Westates (1) wastes received by Westates in 1993, 1995 1997 and 1999 sorted by year; (2) wastes received by Westates in 1993, 1995 1997 and 1999 sorted by amount received; (3) and key to waste codes.   | Karen Scheuermann (U.S. EPA)    | Elena Etcitty (CRIT)         |
| <b>2001 09 07 ltr to CRIT EPO re CERCLA waste encls.pdf</b>                               | Duplicate of attachments in <i>2001 09 07 Draft List Superfund Wastes Received</i> .   |                                 |                              |
| <b>2001 09 07 ltr to CRIT EPO re CERCLA waste w_o encl.pdf</b>                            | Duplicate of letter in <i>2001 09 07 Draft List Superfund Wastes Received</i> .  |                                 |                              |
| <b>2001 09 17 Response to EPA 08212001 Letter reduced size.pdf</b>                        | Letter responding to <i>2001 08 21 Formal Request of Air Emissions Tests Plan and Risk Assessment Workplan</i> .   | Monte McCue (Westates)          | Jeff Scott (U.S. EPA)        |
| <b>2001 09 20 Stack Plume Visual Observations.pdf</b>                                     | Pages 1-3 are stack emission related. Page 4 relates to "the current procedures in place to address the LDR/F039 issue."   |                                 |                              |
| <b>2001 09 26 centralized waste trtmt rules CWA letter to westates.pdf</b>                | Letter regarding applicability of Clean Water Act centralized waste treatment rules at 40 CFR Parts 136 and 437 to Parker, AZ facility.  | Terry Oda (U.S. EPA)            | Roy Provins (Westates)       |
| <b>2001 09 30 Waste Manifests.pdf</b>   | Letter transmitting September 2001 RCRA manifests, with cover letter dated 2001 10 01.   | Monte McCue (US Filter)         | Karen Scheuermann (U.S. EPA) |
| <b>2001 10 11 Change to WCAI Contingency Plan.pdf</b>                                     | Letter transmitting revised Westates Contingency Plan. Referenced document: <i>2001 10 11 Change to WCAI Contingency Plan w Plan</i> .   | Deborah Foster (US Filter)      | Distribution                 |
| <b>2001 10 31 Waste Manifests.pdf</b>   | Letter transmitting October 2001 RCRA manifests, with cover letter dated 2001 11 02.   | Deborah Foster (US Filter)      | Karen Scheuermann (U.S. EPA) |
| <b>2001 11 15 Invitation to Meeting 11192001.pdf</b>                                      | Letter regarding invitation from Dave Harper and others for U.S. EPA to attend a meeting on the reservation regarding Westates.  | Jeff Scott (U.S. EPA)           | Chairman Daniel Eddy (CRIT)  |
| <b>2001 11 30 Waste Manifests.pdf</b>   | Letter transmitting November 2001 RCRA manifests, with cover letter dated 2001 12 03.  | Deborah Foster (US Filter)      | Karen Scheuermann (U.S. EPA) |
| <b>2001 12 01 RCRA Spent Carbon Receipts.pdf</b>  | Letter transmitting internal Westates Report re: spent carbon received at the facility in 2000 and up through August 2001 with profile numbers, generator ID numbers, waste codes and the amount shipped.  | Monte McCue (US Filter)         | Karen Scheuermann (U.S. EPA) |
| <b>2001 12 10 Fax Transmittal - Westcarbon Safe.pdf</b>                                   | Letter transmitting Dave Harper's flyer announcing meeting with U.S. EPA to be held on 2001 11 19.   | Monte McCue (US Filter)         | Karen Scheuermann (U.S. EPA) |

|  |  |  |                                     |
|--|--|--|-------------------------------------|
| <b>2001 12 17 Warning Letter and Jun 2001 Inspection results.pdf</b>       | Letter transmitting warning letter relating to 2001 06 19 and 2001 06 20 inspection. This includes the attached 2001 08 09 inspection report by Kandice Bellamy (U.S. EPA) and Kaoru Morimoto (U.S. EPA).                | Frances Schultz (U.S. EPA)                             | Monte McCue (US Filter)             |
| <b>2001 12 17 Warning Letter and June 2001 Investigation.pdf</b>           | Duplicates <i>2001 12 17 Warning Letter and Jun 2001 Inspection result</i> but includes additional photos 1-9.   | Frances Schultz (U.S. EPA)                             | Monte McCue (US Filter)             |
| <b>2001 12 18 Letter w_o Enclosure of 4 Maps of CRIT and Parker_AZ.pdf</b> | Letter transmitting 4 maps entitled: (1) Parker, Arizona; (2) EPA Regulated Sites near Parker, Arizona; (3) Water Related Features on the Colorado River Indian Reservation; and (4) Regional Air Monitoring Activities. | Karen Scheuermann (U.S. EPA)                           | Elena Etcitty (CRIT EPO)            |
| <b>2001 12 19 Address Concerns arisen about EPA participation.pdf</b>      | Letter regarding EPA decision not to attend 2001 11 19 meeting and efforts to attend 2002 01 03 meeting.   | Arlene Kabei (U.S. EPA)                                | Dave Harper (Mohave Elders)         |
| <b>2001 12 19 Invitation to Meeting 01032002.pdf</b>                       | Letter re: attempts to coordinate EPA participation at 2002 01 03 meeting to which Dave Harper has invited EPA and Tribe.  | Arlene Kabei (U.S. EPA)                                | Daniel Eddy (CRIT Chairman)         |
| <b>2002 01 01 Westates Photographs</b>                                     | Westates Photographs.  |  |                                     |
| <b>2002 01 16 cover ltr indig envtl network w list of but no encls.pdf</b> | Letter includes a detailed list of contents, not included as attachments because they are voluminous and found elsewhere in the facility files.  | Karen Scheuermann (U.S. EPA)                           | Tom Goldtooth (Ind. Env'tl Network) |
| <b>2002 01 31 Waste Manifests.pdf</b>                                      | Letter transmitting January 2002 RCRA manifests, with cover letter dated 2002 02 04.   | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)        |
| <b>2002 02 01 Transmittal of CFRs to CRIT.pdf</b>                          | Memo transmitting two boxes of volumes of the Code of Federal Regulations.   | Karen Scheuermann (U.S. EPA)                           | Elena Etcitty (CRIT EPO)            |
| <b>2002 02 04 Letter Re RFA.pdf</b>  | Letter requesting information for the purposes of preparing a RCRA Facility Assessment (RFA) for the facility; request pertains to releases and CRIT EPO inspections of the facility.                                    | Andrea Austin (Booz-Allen & Hamilton)                  | Eric Shepard (CRIT AG)              |
| <b>2002 02 28 Waste Manifests.pdf</b>                                      | Letter transmitting February 2002 RCRA manifests, with cover letter dated 2002 03 04.  | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)        |
| <b>2002 03 25 Public Comment 001.pdf</b>                                   | Public comment in support of Westates.   | Gary and Patti Bergman                                 | Steve Armann (U.S. EPA)             |
| <b>2002 03 26 Public Comment 002.pdf</b>                                   | Public comment in support of Westates facility as "winter visitors" to the Parker area.  | Don & Dorothy Rover (Cherokee, IA)                     | Steve Armann (U.S. EPA)             |
| <b>2002 03 27 Public Comment 003.pdf</b>                                   | Redacted for Privacy. Public comment in support of Westates facility.  | Barbara LeMasurier (Lake Havasu, Az.)                  | Steve Armann (U.S. EPA)             |
| <b>2002 03 28 Public Comment 004.pdf</b>                                   | Public comment in support of Westates facility.  | Christine Rice (House-2-House Cleaning and Supply Co.) | Steve Armann (U.S. EPA)             |
| <b>2002 03 28 Public Comment 005.pdf</b>                                   | Redacted for Privacy. Public comment in support of Westates facility.  | Gerald & Winifred Wallin (Big River, CA)               | Steve Armann (U.S. EPA)             |
| <b>2002 03 29 Public Comment 006.pdf</b>                                   | Public comment in support of Westates facility.  | Rick Fuscardo (Parker Oil Products)                    | Steve Armann (U.S. EPA)             |
| <b>2002 03 31 Waste Manifests.pdf</b>                                      | Letter transmitting March 2002 RCRA manifests, with cover letter dated 2002 04 01.   | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)        |
| <b>2002 04 01 Public Comment 007 w o address.pdf</b>                       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Mrs. Jack Bedell (Lake Havasu City, AZ)                | Steve Armann (U.S. EPA)             |



|  |   |   |                         |
|--|---|---|-------------------------|
| <b>2002 04 01 Public Comment 008 w o emailaddress.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Jack and Linda Kelsey   | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 009 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Judd Morgan, Chandler, AZ.   | Judd Morgan (Chandler, AZ)  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 010 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Julie Jones, representing Parker Auto Parts.                           | Julie Jones (Parker Auto Parts)                                     | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 011 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Cliff Anderson, Jr. (Plant Maintenance Technician, Westates Carbon) | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 012 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility, which refers to stringent safety requirements for drivers at the facility. | Mark Canestrone (Transportation Plus, Inc.)                         | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 013 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Yoli Gomez.  | Yoli Gomez  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 014 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Patty Settle  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 015 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility on behalf of him and his staff.   | Phil Ragen, Ragen Dist.   | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 016 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Regina Robbins  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 017 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Bob Crabtree (Lake Havasu City Council Member)                      | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 018 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Bob Dietz (Lake Havasu City, AZ)                                    | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 019 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Lanny Sloan (Town of Parker)  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 020 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Marion Dietz (Lake Havasu City, AZ)                                 | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 021 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Myra Aggen, Parker, AZ  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 022 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Ron Foster, Parker, AZ.  | Ron Foster (Parker, AZ)   | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 023 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Tony Wong, President, Air Kinetics, Inc., Huntington Beach, CA.        | Tony Wong (Kinetics, Inc.)  | Steve Armann (U.S. EPA) |
| <b>2002 04 03 Public Comment 024 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Donald Covert (Bachelor Science Energy Engineering)                 | Steve Armann (U.S. EPA) |
| <b>2002 04 03 Public Comment 025 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.  | Jose Garcia (Westates Carbon)                                       | Steve Armann (U.S. EPA) |

|   |  |  |   |
|---|--|--|---|
| <b>2002 04 03 Public Comment 026 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Kirk Kinderdietz (Phoenix, AZ)                           | Steve Armann (U.S. EPA)                 |
| <b>2002 04 03 Public Comment 027 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Lysa M. Gordon (Desert Hot Springs, CA)                  | Steve Armann (U.S. EPA)                 |
| <b>2002 04 03 Public Comment 028 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Myrna Taft (Lake Havasu City, AZ)                        | Steve Armann (U.S. EPA)                 |
| <b>2002 04 04 Public Comment 029 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Diane McCue (Lake Havasu City, AZ)                       | Steve Armann (U.S. EPA)                 |
| <b>2002 04 04 Public Comment 030 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Ernest L. Chase (Parker, AZ)                             | Steve Armann (U.S. EPA)                 |
| <b>2002 04 04 Public Comment 031 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Priscilla Younis   | Steve Armann (U.S. EPA)                 |
| <b>2002 04 05 Public Comment 032 w o email address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Bill and CJ Fauntleroy, Lifeline Marine Safety Products.  | Bill and CJ Fauntleroy (Lifeline Marine Safety Products) | Steve Armann (U.S. EPA)                 |
| <b>2002 04 05 Public Comment 033 w o email address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Laura Lee Miller   | Steve Armann (U.S. EPA)                 |
| <b>2002 04 05 Public Comment 034 w o email address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Stephanie McCorkle                                       | Steve Armann (U.S. EPA)                 |
| <b>2002 04 08 Certificate of Correction.pdf</b>   | Regarding correction of RCRA Subtitle C violations at carbon regeneration facility. Tribal consultation re: our internal policies.” Duplicate complete copy: <i>2002 04 08 Letter Certification of Correction.</i> | Kandice Bellamy (U.S. EPA)                               | Frances Schultz (U.S. EPA)              |
| <b>2002 04 08 Public Comment 035 w o email address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bill and Viki Powell                                     | Steve Armann (U.S. EPA)                 |
| <b>2002 04 08 Public Comment 036 w o address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bob Songer (Lake Havasu City, AZ)                        | Steve Armann (U.S. EPA)                 |
| <b>2002 04 08 Public Comment 037 w o email address.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Tracy Riggan (Parker, AZ)                                | Steve Armann (U.S. EPA)                 |
| <b>2002 04 09 Public Comment 038.pdf</b>  | Public Comment in support of carbon regeneration facility.   | Michelle Goodman (Parker, AZ)                            | Steve Armann (U.S. EPA)                 |
| <b>2002 04 10 Inspection Warning Letter and Request for Info.pdf</b>                              | Letter transmitting notice of violation, request for information and inspection report.  | Frances Schultz (U.S. EPA)                               | Monte McCue (US Filter, Westates)       |
| <b>2002 04 10 Transmittal of Inspection Facility Description_3.pdf</b>                            | Miscellaneous materials likely included in the enforcement/inspection file as preparatory materials for an inspection.   |  |   |
| <b>2002 04 10 Transmittal of Inspection Report Warning Letter and Request for Information.pdf</b> | Letter transmitting Inspection Report dated 2002 04 05 from inspection that took place 2002 01 24.   | Frances Schultz (U.S. EPA)                               | Monte McCue (US Filter/Westates Carbon) |
| <b>2002 04 23 Letter re Lanny Sloan Request to be included to mailing list.Unpdf</b>              | Letter requesting addition of Parker Town Manager, Larry Sloan, to EPA mailing list for “permit reviews.”  | Candy Cockrell (Town Clerk, Parker, AZ)                  | Karen Scheuermann (U.S. EPA)            |

|   |  |                             |   |
|---|--|-----------------------------|---|
| <b>2002 04 26 thru 2002 05 02 email string re 2dary containment and max tank capacity.pdf</b> | String of messages re: revised rain data and calculations for containment capacity etc. *Listed under <i>2002 04 05 Public Comment 034 w o email address.pdf</i> in Draft AR*                                    | Kaoru Morimoto (U.S. EPA)   | Kandice Bellamy (U.S. EPA)                        |
| <b>2002 04 30 Waste Manifests.pdf</b>   | Letter dated 2002 05 02, submitting April 2002 manifests.  | Deborah Foster (US Filter)  | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 05 15 First Response to Request for Info RCRA 3007.pdf</b>                            | Letter includes letter dated 1993 09 03 from Monte McCue (Westates Carbon) to Amy Sokolov (U.S. EPA) re: issues raised in previous inspection. Constitute portions of the December 1993 RCRA Part A application. | Monte McCue (US Filter)     | Kandice Bellamy (U.S. EPA)                        |
| <b>2002 05 16 RCRA 3007 Request for Info and Warning Letter.pdf</b>                           | Letter re: agreements reached re: responses to EPA information requests connected to compliance evaluation inspection.   | Frances Schultz (U.S. EPA)  | Stephen M. Richmond (Bowditch & Dewey)            |
| <b>2002 05 21 Apr 2002 Open House Participation.pdf</b>                                       | Letter transmitting information from facility's Open House event 2002 04 10, including boards, and information regarding dioxin emissions.   | Monte McCue (Westates)      | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 05 21 Open House Apr 2002.pdf</b>   | Letter following up to Westates community open house on April 10,2002.   | Monte McCue (US Filter)     | Dave Harper, Etta Anderson and CRIT Youth Council |
| <b>2002 05 31 Waste Manifests.pdf</b>   | Letter dated 2002 06 03, submitting May 2002 manifests.  | Deborah Foster (US Filter)  | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 06 11 CRIT ITCA Webpage Information.pdf</b>   | Information on CRIT from itcaonline.com.   |                             |   |
| <b>2002 06 20 Comments on EPA Fact Sheets .pdf</b>  | Letter regarding various inaccuracies and misleading information in EPA Fact Sheets about the Westates Facility.   | Monte McCue (Westates)      | Jeannie Cervera (U.S. EPA)                        |
| <b>2002 06 30 Waste Manifests.pdf</b>   | Letter dated 2002 07 01, submitting June 2002 manifests.   | Deborah Foster (US Filter)  | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 07 31 Waste Manifests.pdf</b>   | Letter dated 2002 08 01, submitting July 2002 manifests.   | Deborah Foster (US Filter)  | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 08 01 EPA Notice Air Emissions Test.pdf</b>   | Fact sheet/FAQs on Air Emissions tests at Westates describing how the EPA use the results of the test and how the public can comment on the test.  |                             |   |
| <b>2002 08 01 EPA Notice Risk Assessment.pdf</b>  | Fact sheet/ FAQs on Risk Assessment at Westates describing the purpose of the risk assessment and how the results will be used.  |                             |   |
| <b>2002 08 01 EPA Notice Update on Inspections at Westates.pdf</b>                            | Fact sheet/FAQs on recent inspections at Westates including the June 2001 inspection and the January 2002 inspection.  |                             |   |
| <b>2002 08 01 Westates Photographs</b>  | Document library containing photos from <i>2002 08 29 RCRA Compliance Evaluation.pdf</i> .   |                             |   |
| <b>2002 08 06 email B Angel Greenaction to E Manzanilla USEPA R9 redacted privacy act.pdf</b> | Email message regarding criticism of process, response to violations and permit processing delays associated with Westates.  | Bradley Angel (Greenaction) | Enrique Manzanilla (U.S. EPA)                     |
| <b>2002 08 07 Westates Carbon Public Meeting Conf Call Agenda.pdf</b>                         | Agenda dated 2002 08 28 for conference call with unknown parties listing the concerns raised by Greenaction in its 2002 08 06 email message.   |                             |   |

|   |  |  |   |
|---|--|--|---|
| <b>2002 08 13 Letter re Outrage at EPA Public Workshop on Facility statements and actions.pdf</b> | Letter regarding EPA's "biased, pro-Westates statements" at Public Workshop held on 2002 08 07.  | Various                                | Wayne Nastri (U.S. EPA)                             |
| <b>2002 08 15 RFA Report.pdf</b>  | Transmitting work draft Report on RCRA Facility Assessment (RFA) performed under contract to U.S. EPA.   | Katherine Hastie (Booz Allen Hamilton) | Ron Leach (U.S. EPA)                                |
| <b>2002 08 29 Focused RCRA Subtitle C CEI.pdf</b>   | Nine pages of a Compliance Evaluation Inspection Report from an inspection that occurred on 2002 08 29.  | Kandice Bellamy (U.S. EPA)             | Julie O'Shea (Mountain View Voice)                  |
| <b>2002 08 29 RCRA Compliance Evaluation.pdf</b>  | Complete Compliance Evaluation Inspection Report from the 2002 08 29 inspection.   | Kandice Bellamy (U.S. EPA)             |   |
| <b>2002 08 31 Waste Manifests.pdf</b>   | Letter dated 2002 09 04, submitting August 2002 manifests.   | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 09 26 Letter Enclosure Draft RFA Report 09202002.pdf</b>                                  | Letter providing enclosed Draft RCRA Facility Assessment Report, Westates Carbon-Arizona, Inc. Referenced attachment: <i>2002 08 15 RFA Report</i> .                   | Steve Armann (USEPA)                   | Eric Shepard (CRIT)                                 |
| <b>2002 09 27 Letter re Consultation on the Protection of Tribal Cultural Resources.pdf</b>       | Letter regarding consultation to ensure protection of tribal cultural resources during review of RCRA permit application.  | Jeff Scott (USEPA)                     | Daniel Eddy (CRIT)                                  |
| <b>2002 09 30 Waste Manifests.pdf</b>   | Letter dated 2002 10 02, submitting September 2002 manifests.  | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 10 04 Request_for Meeting w RA.pdf</b>  | Letter requesting a meeting with EPA management to discuss conduct of EPA outreach and public meetings and to take issue with certain messages provided to the public. | Monte McCue (Westates)                 | Wayne Nastri (U.S. EPA)                             |
| <b>2002 10 04 Westates Request for meeting with EPA.pdf</b>                                       | Duplicate of <i>2002 10 04 Request_for Meeting w RA</i> .  | Monte McCue (Westates)                 | Wayne Nastri (U.S. EPA)                             |
| <b>2002 10 31 Waste Manifests.pdf</b>   | Letter dated 2002 11 04, submitting October 2002 manifests.  | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 11 04 Letter re Response to concerns re public meeting on 08072002.pdf</b>                | Letter responding to David's written comments received at the public meeting on 2002 08 07.  | Jeff Scott (USEPA)                     | David Harper (Mohave Cultural Preservation Program) |
| <b>2002 11 04 Westates Carbon Public Meeting.pdf</b>  | Duplicate of <i>2002 11 04 Letter re Response to concerns re public meeting on 08072002</i> . Includes attachment.   | Jeff Scott (U.S. EPA)                  | Dave Harper (Mohave Cultural Preservation Program)  |
| <b>2002 11 30 Waste Manifests.pdf</b>   | Letter dated 2002 12 02, submitting November 2002 manifests.   | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 12 31 Waste Manifests (2).pdf</b>   | Duplicate of <i>2002 12 31 Waste Manifests</i> but without signature.  | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 12 31 Waste Manifests.pdf</b>   | Letter dated 2003 01 07, submitting December 2002 manifests.   | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 Certification of Correction re Inspections - 2002.pdf</b>                                 | Undated letter wrapping up compliance request for certain violations detected during inspections dated 2001 06 19 to 2001 06 20 and 2002 01 24.                        | Frances Schultz (U.S. EPA)             | Monte McCue (US Filter Westates)                    |
| <b>2003 01 31 Waste Manifests.pdf</b>   | Letter submitting January 2003 manifests, Cover letter dated 2003 02 03.   | Deborah Foster (US Filter)             | Karen Scheuermann (U.S. EPA)                        |

|   |  |                                  |   |
|---|--|----------------------------------|---|
| <b>2003 02 03 Email - Response to Question on Westates Hearth Efficiency.pdf</b>  | Email re: conversation with Drew Bolyard (Westates) re: efficiency of hearth and use of iodine test and butane test of carbon for adsorptive capacity of carbon.   | Mary Blevins (U.S. EPA)          | Steve Armann (U.S. EPA)                       |
| <b>2003 02 05 Email - EPA Discussion with Chairman Eddy on 02042003.pdf</b>   | Email memorializing discussion during telephone call that occurred on February 4, 2003. Mentions consultation regarding cultural resources; designation of Betty Cornelius as point of contact; tour of sites by Dave Harper; and arranging opportunity for EPA representatives to meet with Tribal Council representatives. | Steve Armann (U.S. EPA)          | Sami for CRIT Chairman Eddy                   |
| <b>2003 02 06 Pursuant to 02052003 Telephone Conversation.pdf</b>   | Letter designating Betty Cornelius as contact for cultural resource issues. Indicates no objection to EPA officials going on tour of cultural sites with Dave Harper but asks it to be coordinated through Ms. Cornelius.  | Chairman Daniel Eddy, Jr. (CRIT) | Steve Armann (U.S. EPA)                       |
| <b>2003 02 10 Invitation for EPA to Attend an Event on Tribal Lands.pdf</b>   | Letter re: U.S. EPA representative to attend “ceremony and protest” to be held on February 14, 2003 regarding Westates Carbon in order to better understand concerns and answer questions.   | Steve Armann (U.S. EPA)          | Chairman Daniel Eddy, Jr. (CRIT)              |
| <b>2003 02 24 Warning Letter and August 2002 Inspection.pdf</b>   | Letter re: inspection conducted on August 29, 2002 and identification of violations pertaining to secondary containment area; provides 30 days from receipt to reply.  | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter Westates)              |
| <b>2003 03 03 Waste Manifests.pdf</b>   | Letter submitting February 2003 manifests.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 03 06 Letter re Plans for EPA to Attend Cultural Tour with Dave Harper.pdf</b>  | Letter re: firming up plans for tour of cultural sites with Dave Harper and, potentially, Betty Cornelius.   | Arlene Kabei (U.S. EPA)          | Chairman Daniel Eddy, Jr. (CRIT)              |
| <b>2003 03 12 EPA Comments on PDT Plan and RA WP.pdf</b>  | Letter transmitting comments on Performance Demonstration Test Plan and Risk Assessment Workplan.  | Arlene Kabei (U.S. EPA)          | Monte McCue (Westates Carbon – Arizona, Inc.) |
| <b>2003 03 17 img-421123312-0001.pdf</b>  | Photos of facility *Dated 2003 03 07*  |                                  |   |
| <b>2003 04 02 Response to EPA Warning Letter.pdf</b>  | Letter responding to warning letter disagree as to characterization as a violation.  | Monte McCue (US Filter)          | Kandace Bellamy (U.S. EPA)                    |
| <b>2003 04 07 Replace_of_TitleIII_TierII_Report.pdf</b>   | Letter amending past submittals under SARA Title III, Tier II reporting, including calendar year 2002 form and MSDS – and Emergency Planning and Community Right to Know Act (EPCRA).  | Deborah Foster (US Filter)       | Elena Etcitty or Successor (CRIT EPO)         |
| <b>2003 04 15 Waste Manifests.pdf</b>   | Letter submitting March 2003 manifests.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 04 22 Letter re Request for Extension for Submittal of Revised Performance Demo Test Plan and RA WP.pdf</b>                     | Letter agreeing to request to extend deadline to submit revised Performance Demonstration Test Plan and Risk Assessment Workplan to May 30, 2003.  | Arlene Kabei (U.S. EPA)          | Doug Eisner (Westates Carbon – Arizona, Inc.) |
| <b>2003 05 07 Letter and supporting documents re Response to Information about amount of contaminants emits from stack per year.pdf</b> | Letter re: lbs per year of certain contaminants and based on stack tests that Westates conducted in 1993/1994, and in 2000. Air emissions tests were not overseen by EPA, which cannot confirm accuracy of test results.   | Karen Scheuermann (U.S. EPA)     | Julie O’Shea (Mountain View Voice)            |
| <b>2003 05 09 Waste Manifests.pdf</b>   | Letter submitting April 2003 manifests.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 05 30 RTC_Performance_Demo_Test_Plan_and RA WP.pdf</b>  | Letter transmitting responses to EPA’s comments on Performance Demonstration Test Plan and Risk Assessment Workplan.   | Douglas Eisner (US Filter)       | Arlene Kabei (U.S. EPA)                       |
| <b>2003 06 01 Westates Photographs</b>  | Document library containing photos of Westates from June 2003.   |                                  |   |

|   |   |  |  |
|---|---|--|--|
| <b>2003 06 09 Waste Manifests.pdf</b>   | Letter submitting May 2003 manifests.   | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 06 11 Question of Tribal Facility Determination.pdf</b>                         | Letter re: Tribal Facility Status – Tribe does not believe the facility is a “tribal facility” for the purposes of the 2001 U.S. EPA Guidance on the Enforcement Principles Outlined in the 1984 Indian Policy.   | Chairman Daniel Eddy, Jr. (CRIT)                                   | Jeff Scott (U.S. EPA)                              |
| <b>2003 06 26 Survey_Secondary Containment_Volume_Schematics.pdf</b>                    | 4 pages of drawings labeled “US Filter Westates Survey of Secondary Containment Volume.”  |  |  |
| <b>2003 06 30 RCRA Civil Penalty Policy.pdf</b>   | Copy of the 2003 RCRA Penalty Policy.   |  |  |
| <b>2003 07 02 Waste Manifests.pdf</b>   | Letter submitting June 2003 manifests.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 07 28 Notification of Intent to File a Determination of Violation.pdf</b>       | Letter identifies Kandice Bellamy and Harrison Karr as U.S. EPA contacts. Referenced document: RCRA Civil Penalty Policy 2003 06 30 RCRA Civil Penalty Policy. Referenced Attachment: <i>2003 06 30 RCRA Civil Penalty Policy.pdf</i>   | Frances Schultz (U.S. EPA)   | Monte McCue (US Filter/Westates Carbon)            |
| <b>2003 07 28 NOV and Inspection Report 2003 March.pdf</b>                              | Duplicate of <i>2003 07 28 Notification of Intent to File a Determination of Violation</i> but includes a July 24, 2003 Inspection Report. Attachment 2: <i>2003 07 28 NOV and Inspection Report 2003 March Attachment 2</i> .  | Frances Schultz (U.S. EPA)   | Monte McCue (US Filter/Westates Carbon)            |
| <b>2003 07 29 Containment Pad Update.pdf</b>  | Letter following up on conversation with Steve Armann re: containment pad calculations. Referenced document: <i>2003 07 29 Attachment Containment Pad Update</i>  | Monte McCue (US Filter)  | Kandice Bellamy (U.S. EPA)                         |
| <b>2003 08 05 Waste Manifests.pdf</b>   | Letter submitting July 2003 manifests.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 09 03 Waste Manifests.pdf</b>   | Letter submitting Aug. 2003 manifests.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 09 04 Letter re Followup to 07182003 Consultation Letter.pdf</b>                | Letter re: request for meeting with members of CRIT to discuss Westates Carbon. Consultation government to government before meeting with individual Tribe members, Dave and Mamie Harper.  | Enrique Manzanilla (U.S. EPA)                                      | Chairman Daniel Eddy, Jr. (CRIT)                   |
| <b>2003 09 26 Memorandum re Materials Provided at Sept 22 2003 mtg.pdf</b>              | Memo transmitting materials provided to participants at September 2003 meeting. Sign in sheet was redacted to remove Privacy Act Information for meeting attendees.   | Karen Scheuermann (U.S. EPA)                                       | File   |
| <b>2003 09 30 Draft RFA Appendices.pdf</b>  | Appendices A through U of draft RFA. Complete copy in Supp AR - <i>2003 09 30 Draft RFA Appendices_Complete_.pdf</i>  |  |  |
| <b>2003 10 01 Comments from consulting parties re Permit.pdf</b>                        | Letter recommends referring to APE as “the APE associated with cultural use” and references determination based on the work of “experts in the cultures of Numic and Yuman speakers.” See <a href="https://en.wikipedia.org/wiki/Colorado_River_Numic_language_and">https://en.wikipedia.org/wiki/Colorado_River_Numic_language_and</a> <a href="https://en.wikipedia.org/wiki/Quechan_language">https://en.wikipedia.org/wiki/Quechan_language</a> . | Matthew H. Bilsbarrow (Arizona State Historic Preservation Office) | Steve Armann (U.S. EPA)                            |
| <b>2003 10 01 Waste Manifests.pdf</b>   | Letter submitting September 2003 manifests.   | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 10 13 EPA Comments on Performance Demo Test Plan and Risk Assessment WP.pdf</b> | Letter requesting extension of time to respond to U.S. EPA comments on revised Performance Demonstration Test Plan and revised Risk Assessment Workplan.  | Douglas Eisner (US Filter)   | Arlene Kabei (U.S. EPA)                            |
| <b>2003 10 14 Letter re 09222003 Meeting with MCPP.pdf</b>                              | Letter re: meeting on 2003 09 22. One of the requests was to provide Mr. Harper and other Tribal members with information on Westates’ air emissions test and risk assessment.  | Steve Armann (U.S. EPA)  | Dave Harper (Mohave Cultural Preservation Program) |

|  |   |  |   |
|--|---|--|---|
| <b>2003 10 14 Letter re Proposed Meetings and Workshops.pdf</b>                        | Letter re: coordinating with Tribal Council on scheduling 3 meetings and workshops re: Westates' air emissions test plan and risk assessment workplan.  | Arlene Kabei (U.S. EPA)                        | Chairman Daniel Eddy, Jr. (CRIT)                                    |
| <b>2003 10 29 Unmanifested Waste Report.pdf</b>  | Letter submitting an unmanifested waste report for the receipt of 1 drum of waste received at the facility on Oct 14, 2003.   | Drew Bolyard (US Filter Westates)              | Wayne Nastri (U.S. EPA)   |
| <b>2003 11 06 Waste Manifests.pdf</b>  | Letter submitting Oct. 2003 manifests.  | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2003 11 10 Letter EPA Meeting with Tribal Members.pdf</b>                           | Letter re: upcoming meeting with Dave Harper re: Westates air emissions test plan and risk assessment workplan.   | Arlene Kabei (U.S. EPA)                        | Chairman Daniel Eddy, Jr. (CRIT)                                    |
| <b>2003 11 18 Documents re Meeting with CRIT Tribal Members.pdf</b>                    | Materials from meeting with CRIT Tribal Members on Nov. 18, 2003. Sign in sheet with addresses was redacted.  |  |   |
| <b>2003 11 25 Memorandum with Materials Received from Arizona SHPO.pdf</b>             | Memo re: NHPA tribal consultation. Includes maps of tribal claims locations within the original APE.  | Karen Scheuermann (U.S. EPA)                   | File  |
| <b>2003 11 XX excerpts PDT QAPP.pdf</b>  | Excerpts of PDT QAPP.   |  |   |
| <b>2003 12 01 Waste Manifests.pdf</b>  | Letter submitting Nov. 2003 manifests.  | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2003 12 10 Letter with documents re Requesting Info about California Tribes.pdf</b> | Letter requesting information about California Tribes for purposes of NHPA tribal consultation, with attachment that includes the original APE configuration.   | Karen Scheuermann (U.S. EPA)                   | Rob Wood (California Native American Heritage Commission)           |
| <b>2003 12 15 Letter re Proposed EPA Undertaking.pdf</b>                               | Letter re: contact information for individuals/organizations who may have knowledge of cultural resources in vicinity of Parker, AZ. For NHPA consultation.   | Rob Wood (Native American Heritage Commission) | Karen Scheuermann (U.S. EPA)  |
| <b>2003 12 31 Letter re EPA Plans for a Public Workshop.pdf</b>                        | Letter re: public workshop on upcoming Air Emissions Test.  | Steve Armann (U.S. EPA)                        | Chairman Daniel Eddy, Jr. (CRIT)                                    |
| <b>2003 12 31 Waste Manifests.pdf</b>  | Letter submitting Dec. 2003 manifests. Cover letter dated 2004 01 05.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2004 01 26 Letter re Recycling_Exemption_Spent_Carbon.pdf</b>                       | Letter re: recycling exemption for spent carbon when reclaimed under Federal and State law. Indicates still a hazardous waste if reclaimed from listed waste or if exhibits characteristics under CA law. | Douglas Corcoran (CA DTSC)                     | Douglas Eisner (US Filter Corporation Westates Carbon, Oakland, CA) |
| <b>2004 01 31 Waste Manifests.pdf</b>  | Letter submitting Jan. 2004 manifests, cover letter dated 2004 02 02.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2004 02 01 Westates Photographs</b>   | Document library containing photos of Westates from February 2004.  |  |   |
| <b>2004 02 28 Waste Manifests.pdf</b>  | Letter submitting Feb. 2004 manifests, cover letter dated 2004 03 01.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2004 02 Investigation Photos.pdf</b>  | Photos from 2004 02 12 Inspection Report. Redacted enforcement confidential notes which are not being relied upon.  |  |   |
| <b>2004 03 19 EPA Response to Aug 2003 Letters.pdf</b>                                 | Letter with response to concerns about tribal consultation with CRIT and CERCLA off-site rule.  | Harrison Karr (U.S. EPA)                       | Stephen Richmond (Bowditch & Dewey)                                 |
| <b>2004 03 24 Fact Sheet Received with corresponding documents.pdf</b>                 | Memo with Westates' fact sheet attached.  | Karen Scheuermann (U.S. EPA)                   | File  |
| <b>2004 03 31 Waste Manifests.pdf</b>  | Letter submitting Mar. 2004 manifests, cover letter dated 2004 04 04.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |

|  |   |                                  |   |
|--|---|----------------------------------|---|
| <b>2004 04 01 Email-Some Comments on Call Log.pdf</b>  | Email transmitting notes from a conference call with Monte McCue, Doug Eisner (US Filter Westates) and Tony Eicher (Focus Environmental) regarding development of system removal efficiency extrapolation factors for spike plan for performance demonstration test.  | Stacy Braye (U.S. EPA)           | Mary Blevins (U.S. EPA)                 |
| <b>2004 04 30 Waste Manifests.pdf</b>  | Letter submitting Apr. 2004 manifests, cover letter dated 2004 05 03.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 05 18 Fax Transmittal of USDI BIA Western Region Office Maps.pdf</b>                             | Fax transmitting system map for CRIT Reservation. Referenced document Supp AR - <i>2004 05 18 Fax Transmittal of USDI BIA Western Region Office w Maps.pdf</i>  | Pierre Cantou (US BIA)           | Jim Howard (U.S. EPA)                   |
| <b>2004 05 31 Waste Manifests.pdf</b>  | Letter submitting May 2004 manifests, cover letter dated 2004 06 01.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 06 09 Consultation regarding Air Emissions Test.pdf</b>  | Letter seeking input on public comments that suggested that conducting a trial burn would constitute a desecration of the "cultural, traditional, and spiritual pathways of the Mohave people."   | Jeff Scott (U.S. EPA)            | Chairman Daniel Eddy, Jr. (CRIT)        |
| <b>2004 06 30 Waste Manifests.pdf</b>  | Letter submitting June 2004 manifests, cover letter dated 2004 07 06.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 07 15 County Species Lists.pdf</b>   | Website of County species list for Mohave County.   |                                  |   |
| <b>2004 07 31 Waste Manifests.pdf</b>  | Letter submitting July 2004 manifests, cover letter dated 2004 08 02.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process.pdf</b>              | Email re: transmittal of hard copy of working draft of programmatic agreement for NHPA process via mail. 2004 08 13 Karen Scheuermann (U.S. EPA) memo to George Ray (CRIT Museum) transmitting working draft of programmatic agreement for NHPA process as requested by Betty Cornelius. Referenced document: Part A <i>2005 02 09 Draft Programmatic Agreement for NHPA Review.pdf</i> | Karen Scheuermann (U.S. EPA)     | Eric Shepard (CRIT AG)                  |
| <b>2004 08 16 NOV and Feb 12 Inspection Report.pdf</b>   | Letter requesting response to inspection report.  | Loren Henning (U.S. EPA)         | Monte McCue (US Filter/Westates Carbon) |
| <b>2004 08 16 NOV and Inspection Report Feb 2004 with photos.pdf</b>                                     | Duplicate of <i>2004 08 16 NOV and Feb 12 Inspection Report</i> including routing copy of 2004 08 16 Henning to McCue letter with CCs and BCCs listed.  | Loren Henning (U.S. EPA)         | Monte McCue (US Filter/Westates Carbon) |
| <b>2004 08 31 Waste Manifests.pdf</b>  | Letter submitting Aug. 2004 manifests, cover letter dated 2004 09 01.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 09 03 Email - EPA Response to Westate Question on Assessment of Surface Water in HHERA.pdf</b>   | Email denying request not to collect/use surface water samples and/or assess surface water samples in Human Health Risk Assessment.   | Mary Blevins (U.S. EPA)          | Monte McCue (US Filter)                 |
| <b>2004 09 28 Memorandum_Maps Received from BLM and BIA.pdf</b>  | Memo submitting BLM and BIA maps. Referenced documents: Part A <i>1994 01 01 BIA and BLM maps - Cover.</i>  | Karen Scheuermann (U.S. EPA)     | File                                    |
| <b>2004 09 30 Waste Manifests.pdf</b>  | Letter submitting Sept. 2004 manifests, cover letter dated 2004 10 01.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)            |
| <b>2004 10 22 Memorandum re Westates Web Page and Attached Documents April 2004 through Oct 2004.pdf</b> | Memo transmitting NHPA Public Notice dated Dec. 17, 2003 with incorrect reservation boundary.   | Karen Scheuermann (U.S. EPA)     | File                                    |
| <b>2004 12 08 Response to 06092004 Letter re Air Emissions Test.pdf</b>                                  | Letter indicating that CRIT does not object to Air Emissions Test.  | Chairman Daniel Eddy, Jr. (CRIT) | Jeff Scott (U.S. EPA)                   |



|  |   |  |   |
|--|---|--|---|
| <b>2005 02 09 Draft Programmatic Agreement for NHPA Review.pdf</b>                     | Letter transmitting draft Programmatic Agreement. Referenced documents: <i>2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process.</i>  | Steve Armann (U.S. EPA)                                  | George Ray (CRIT Museum)                              |
| <b>2005 03 20 Email-Maximum Temperature Issues.pdf</b>                                 | Email re: attached document from Vol 4 of the HWC MACT Background documentation.  | Tony Eicher (Focus Environmental)                        | Various   |
| <b>2005 03 21 EPA Approval of Air Emissions Test Plan.pdf</b>                          | Letter notifying of approval of Air Emissions Test Plan and Risk Assessment Workplan, providing information about next steps in the process and providing additional information about Westates, including stack emissions and compliance status. | Jeff Scott (U.S. EPA)                                    | Chairman Daniel Eddy, Jr. (CRIT)                      |
| <b>2005 03 24 Ltr to David Harper re Feb 2004 Public Meeting.pdf</b>                   | Letter seeking response about EPA holding a small group workshop on the topic of dioxin.  | Steve Armann (U.S. EPA)                                  | Dave Harper (Mohave Cultural Preservation Program)    |
| <b>2005 04 12 Email - Westates Preliminary Emissions Test.pdf</b>                      | Email re: date of preliminary emissions test and emissions test.  | Steve Armann (U.S. EPA)                                  | Eric Shepard (CRIT AG)                                |
| <b>2005 04 12 Letter re Draft Programmatic Agreement.pdf</b>                           | Letter transmitting draft programmatic agreement and correspondence.  | Steve Armann (U.S. EPA)                                  | Don Klima (Advisory Council on Historic Preservation) |
| <b>2005 04 14 Fax_Memo of Record re test plan.pdf</b>                                  | Fax requesting to change cocktail compound in Section 4.4.4. of the Performance Demonstration Test Plan from 1, 1, 1-Trichloroethane to Methylene Chloride.   | Drew Bolyard (US Filter)                                 | Mary Blevins (U.S. EPA)                               |
| <b>2005 04 14 Memo of Record Trial Burn.pdf</b>  | Mary Blevins (U.S. EPA) approval of request to change cocktail as per 2005 04 14 fax.   | Drew Bolyard's (US Filter)                               |   |
| <b>2005 04 29 Comments on the draft Programmatic Agreement.pdf</b>                     | Letter transmitting comments on the draft Programmatic Agreement for the NHPA process.  | Matthew Bilsbarrow (AZ SHPO)                             | Steve Armann (U.S. EPA)                               |
| <b>2005 04 29 Letter re Date set for EPA Workshop re Dioxin.pdf</b>                    | Letter inviting Tribal Council or staff to dioxin workshop scheduled for May 26, 2005.  | Steve Armann (U.S. EPA)                                  | Chairman Daniel Eddy, Jr. (CRIT)                      |
| <b>2005 05 31 Letter Receipt of Notification supporting Programmatic Agreement.pdf</b> | Letter re: development of programmatic agreement for NHPA process and requirement to file agreement with ACHP once final.   | Nancy Kochan (Advisory Council on Historic Preservation) | Karen Scheuermann (U.S. EPA)                          |
| <b>2005 06 22 email re 07 18 Site Visit.pdf</b>  | Email transmitting draft permittable units table and draft agenda for July 18-20 site visit. Referenced documents: <i>2005 09 30 Specific Information on SWMUs at Westates Carbon.</i>  | Mary Blevins (U.S. EPA)                                  | Monte McCue (Westates Carbon)                         |
| <b>2005 06 22 Programmatic Agreement for the NHPA Review.pdf</b>                       | Letter transmitting final programmatic agreement for the NHPA process for signature.  | Jeff Scott (U.S. EPA)                                    | Chairman Daniel Eddy, Jr. (CRIT)                      |
| <b>2005 08 04 Carbon Reactivation Diagram.pdf</b>                                      | 2 color diagrams of carbon reactivation diagrams.   |  |   |
| <b>2005 09 07 Revised_RF-1_Closure Plan.pdf</b>  | Letter transmitting revised RF-1 Closure Plan.  | Drew Bolyard (US Filter)                                 | Mary Blevins (U.S. EPA)                               |
| <b>2005 12 06 Repair to Tank Cone Certification.pdf</b>                                | Letter transmitting independent professional engineer's certification of completion of T-6 repairs consistent with 40 CFR 265.196(f), with certification attached.  | Drew Bolyard (US Filter)                                 | Wayne Nastri (U.S. EPA)                               |
| <b>2005 12 30 Re_Request for Return of Surety Bond 21-79-95.pdf</b>                    | Letter re: EPA's reluctance to return Surety Bond due to outstanding concerns with new Letter of Credit.  | Steve Armann (U.S. EPA)                                  | Monte McCue and Drew Bolyard (US Filter Westates)     |

|  |  |   |  |
|--|--|---|--|
| <b>2005 July photos</b>  | Library of photos from Westates Carbon site visit on July 18 - 20th 2005. Photolog.wpd is duplicate of <i>2005 07 Photo Log.pdf</i>  |   |  |
| <b>2006 01 03 Re_Review of Financial Assurance Mechanisms.pdf</b>                                      | Letter re: EPA's review of Surety Bond and Letter of Credit and request for submittal of revised financial assurance documents.  | Steve Armann (U.S. EPA)                                       | Monte McCue and Drew Bolyard (US Filter Westates)          |
| <b>2006 01 05 EVASE and diagram.pdf</b>  | Fax transmitting diagram depicting "Stack," "EVASE," and "ID Fan."   | Drew Bolyard (US Filter)                                      | Mary Blevins (U.S. EPA)                                    |
| <b>2006 01 10 Availability of PPB Hazardous Organic Cylinder Gases.pdf</b>                             | Fax re: availability of cylinder gases of hazardous organics at the ppb level that may be used to audit the performance of ambient air and stationary source measurement systems during RCRA hazardous waste trial burns for POHC with marginalia. | Beth Antley (U.S. EPA R4)                                     | Stacy Braye (U.S. EPA R9)                                  |
| <b>2006 01 12 Email - PDT.pdf</b>  | Email with attached string of messages going back to 2006 01 10 re: timing of Performance Demonstration Test and need to provide notice to the public.   | Mary Blevins (U.S. EPA)                                       | Monte McCue (US Filter)                                    |
| <b>2006 01 30 metal volatility temp email.pdf</b>  | Email with attached email from Tony Eicher (Focus Environmental) transmitting Table VI Relative Volatility of Hazardous Metals   | Monte McCue (US Filter)                                       | Mary Blevins (U.S. EPA)                                    |
| <b>2006 02 08 Email - Draft Minutes from 02062006.pdf</b>  | Email transmitting draft minutes from call on 2006 02 06 re: Hearth 5 temperature, Minimum Hearth Temperature, Afterburner Temperature and Venturi Scrubber.   | Mary Blevins (U.S. EPA)                                       | Monte McCue and Drew Bolyard (US Filter)                   |
| <b>2006 02 09 Email - Summary of 01202006 Call btw EPA and Westates.pdf</b>                            | Email summary of Jan. 20, 2006 conference call re: Furnace Temperatures, Location of Thermocouple placement in afterburner, and organic loading.   | Mary Blevins (U.S. EPA)                                       | Monte McCue, Drew Bolyard, and Doug Eisner (US Filter)     |
| <b>2006 02 14 Email - Schedule for Air Emissions Test.pdf</b>  | Email re: air emissions test to be conducted week of March 26.   | Mary Blevins (U.S. EPA)                                       | Diana de Leon and Mona Duran (CRIT)                        |
| <b>2006 02 16 min temp test.pdf</b>  | Email re: 1 mg/kg detection limit for each organic contained in waste codes received to date, which will be tested.  | Monte McCue (US Filter Westates)                              | Mary Blevins (U.S. EPA)                                    |
| <b>2006 02 22 Email - Action Needed - HAZWOPR Certifications PPE for Air Emissions Test.pdf</b>        | Email to U.S. EPA air emissions test observers re: preparation and training requirements to be an observer.  | Mary Blevins (U.S. EPA)                                       | Steve Armann, Stacy Braye, and Michael Svizzero (U.S. EPA) |
| <b>2006 02 24 Email - Reply to question re Minimum Furnace Temperature Test - Detection Limits.pdf</b> | Email message about detection limit and minimum temperature calculations and requesting "range of % saturation in the spent carbon prior to treatment.   | Mary Blevins (U.S. EPA)                                       | Monte McCue's (US Filter Westates)                         |
| <b>2006 03 30 Memo - QAPP for CRU PDT.pdf</b>  | Memo re: Conditional approval of QAPP for Performance Demonstration Test with attached comments on facility's consultant's response to EPA comments on QAPP.   | David Taylor (U.S. EPA) through Eugenia McNaughton (U.S. EPA) | Mary Blevins (U.S. EPA)                                    |
| <b>2006 04 21 Analytical Report Westates.pdf</b>   | Sample analysis report for Focus/US Filter Westates for Volatile Organics (Analytical Method SW 846 8260B) for Spent Activated Carbon, Scrubber Blowdown, POTW Discharge, Caustic Feed, and Make Up Water.   | William Anderson (Severn Trent Laboratories, Inc.)            |  |
| <b>2006 06 08 Email-Greenaction Objection and Comments on Proposed Area of Potential Effects.pdf</b>   | Email re: deficiencies in Notice re: NHPA proposed 1 mile radius around facility for Area of Potential Effects (APE). Lacks information about what is in mile-wide radius.   | Bradley Angel (Greenaction)                                   | Karen Scheuermann (U.S. EPA)                               |
| <b>2006 06 09 Email - Greenaction Objection and Comments on Proposed area of Potential Effects.pdf</b> | Email replying to Mr. Angel's comments on proposed APE for NHPA. References request from CRIT Museum Director Michael Tsosie after conferring with CRIT EPO Director Diana de Leon.  | Bob Fitzgerald (U.S. EPA)                                     | Bradley Angel (Greenaction)                                |

|   |   |                                   |   |
|---|---|-----------------------------------|---|
| <b>2006 06 09 Email-Greenaction Objection and Comments on Proposed Areas of Potential Effects.pdf</b> | Email responding to Bob's email about the concerns with the APE and the public notice provided for the NHPA process.  | Bradley Angel (Greenaction)       | Bob Fitzgerald (U.S. EPA)                                   |
| <b>2006 06 12 Fax Transmittal - EPA Letters sent from CRIT.pdf</b>                                    | Fax transmitting copy of 2006 06 05 letter from Dave Harper to CRIT Tribal Council re: concerns about letter Director Tsosie sent U.S. EPA about APE and NHPA Programmatic Agreement.   | Michael Tsosie (CRIT Museum)      | Karen Scheuermann (U.S. EPA)                                |
| <b>2006 06 12 Letter Requesting Comments on Proposed Area of Potential Effects under NHPA.pdf</b>     | Letter seeking input on revised proposed APE as part of NHPA process.   | Bob Fitzgerald (U.S. EPA)         | Chairman Daniel Eddy, Jr. (CRIT)                            |
| <b>2006 06 14 Email-Westates VOST Audit Comparison.pdf</b>  | Email re: Volatile Organics Sampling Train (VOST) Audit with attached table comparing results of original VOST audit samples (without ice) and final VOST audit sample (with ice).  | Tony Eicher (Focus Environmental) | Mary Blevins (U.S. EPA)                                     |
| <b>2006 06 22 Letter re Inquiry Regarding Dave Harper Letter 06052006.pdf</b>                         | Letter re: references made about Ms. Wilson's statements to Dave Harper in Harper's 2006 06 05 letter to CRIT Tribal Council. Includes statements about EPA's role in NHPA process, and input from Tribe and public.                                | Wenona Wilson (U.S. EPA)          | Dr. Michael Tsosie (CRIT Museum)                            |
| <b>2006 06 30 Executed CAFO_06302006.pdf</b>  | Letter providing the fully executed Consent Agreement and Final Order (CAFO) which contains the terms of the settlement reached with the EPA. Transmittal of Settlement Agreement assessing \$27,059 penalty.                                       | Jeff Scott (U.S. EPA)             | Monte McCue (Siemens Water Technologies Corp.)              |
| <b>2006 06 30 Fully Executed CAFO.pdf</b>   | Duplicate of <i>2006 06 30 Executed CAFO_06302006</i> .   | Jeff Scott (U.S. EPA)             | Monte McCue (Siemens Water Technologies Corp.)              |
| <b>2006 07 17 Email-NHPA Scope of Impact.pdf</b>  | Email et al., re: opposition to "small" APE proposed as part of NHPA process. U.S. EPA has ignored prior communications from CRIT government re: sacred nature of area close to Westates. Referenced document: 2006 06 30 Fully Executed CAFO.      | Bradley Angel (Greenaction)       | Bob Fitzgerald (U.S. EPA)                                   |
| <b>2006 07 28 submittal of final PDT Report.pdf</b>   | Email transmitting electronic copy of final Performance Demonstration Test report. See Part B - <i>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test.pdf</i>   | Monte McCue (Siemens)             | Mary Blevins (U.S. EPA)                                     |
| <b>2006 07 31 Letter thanking for Public Access.pdf</b>   | Letter requesting that enclosed materials be added to two document repository binders.  | Karen Scheuermann (U.S. EPA)      | Amelia Flores (CRIT Library)                                |
| <b>2006 08 01 EPA News Release on Westates Settlement.pdf</b>   | Press release about civil settlement between EPA and Westates regarding violations.   |                                   |   |
| <b>2006 08 02 Siemens Visitor Information.pdf</b>   | Undated visitor information handout for Siemens facility re: operations, general health and safety procedures and pertinent facility orientation.   |                                   |   |
| <b>2006 08 31 Correspondence Facility Comments.pdf</b>  | Email expressing concerns about facility being located in a residential area includes a series of replies and responses with the last response dated 2006 09 11.  | Steve Petoskey (Parker, AZ)       | Karen Scheuermann (U.S. EPA)                                |
| <b>2006 10 06 Email-Re Request for Siemens PDT Report Data Validation SOPs.pdf</b>                    | Email requesting SOPs used for data validation associated with Siemens' PDT Report and Tony Eicher's reply indicating QAPP serves as SOP for data validation and attaching copies of EPA Functional Guidelines for both organic and inorganic data. | Mary Blevins (U.S. EPA)           | Monte McCue (Siemens) and Tony Eicher (Focus Environmental) |
| <b>2006 10 25 Email-Response to Email Comments.pdf</b>  | Email attaching responses to email comments from EPA 2006 10 06.  | Tony Eicher (Focus Environmental) | Mary Blevins (U.S. EPA)                                     |

|  |  |                                   |  |
|--|--|-----------------------------------|--|
| <b>2006 10 31 Email-Hexavalent Chromium Sample G-2945.pdf</b>  | Email string of email messages with attached letter explaining chain of custody discrepancy for hexavalent chromium sample G-2945.   | Teri Bales (Focus Environmental)  | Carl Brickner (U.S. EPA)                       |
| <b>2006 11 02 Email-Response Concerning Stack Gas Flow Monitor.pdf</b>                                     | Email re: location of facility's stack gas flow rate monitor and what was done with regard to the establishment of stack gas flow rate limits.   | Tony Eicher (Focus Environmental) | Mary Blevins and Stacy Braye (U.S. EPA)        |
| <b>2006 11 03 Email-Response Concerning call_saturation_issue-11032006.pdf</b>                             | Email regarding Steve Remaley's (U.S. EPA) question regarding the "cal. Saturation issue." Reply is from Teri Bales (Focus Environmental) with attachments regarding VOST extended calibration.                          | Mary Blevins (U.S. EPA)           | A. Reicher (Focus Environmental)               |
| <b>2006 11 06 CAFO Extension Granted.pdf</b>   | Agreement to amend CAFO to extend completion of compliance task involving replacement of tank H-18 with a double walled tank by no later than 2007 03 03 Referenced document: <i>2006 10 03 Email_T-18 Replacement</i> . | Jeff Scott (U.S. EPA)             | Monte McCue (Siemens Water Technologies Corp.) |
| <b>2006 11 09 Email and Letter Sample Custody.pdf</b>  | Email transmitting letter from AIRTECH Environmental Services, Inc. (Arvada, CO) to Teri Bales (2006 11 08) re: sample chain of custody and transport in truck from Parker, AZ to Knoxville, TN.                         | Teri Bales (Focus Environmental)  | Mary Blevins (U.S. EPA)                        |
| <b>2006 11 09 Memo - Review of Carbon RF-2 PDT Data Validation Report.pdf</b>                              | Memorandum re: review of Siemens' Performance Demonstration Test Data Validation Report.   | Carl Brickner (U.S. EPA)          | Mary Blevins (U.S. EPA)                        |
| <b>2006 11 17 Email - Fw_Response Concerning Ca_Saturation Issuer-11172006.pdf</b>                         | String of email messages following up regarding saturation issues.   | Steve Remaley (U.S. EPA)          | Teri Bales (Focus Environmental)               |
| <b>2006 11 17 Email-Response Concerning call_saturation_issue-11172006.pdf</b>                             | Duplicate of <i>2006 11 17 Email - Fw_Response Concerning Ca_Saturation Issuer-11172006</i> .  | Steve Remaley (U.S. EPA)          | Teri Bales (Focus Environmental)               |
| <b>2006 11 20 Memo - Review of Metals Data Carbon RF-2 Performance Demonstration Test Report.pdf</b>       | Memorandum regarding the review of the metals data in the Evoqua carbon reactivation furnace RF-2 Performance Demonstration (PDT) Report.  | Joe Eidelberg (U.S. EPA)          | Mary Blevins (U.S. EPA)                        |
| <b>2006 11 30 Request for Complete Part B Permit Application and HH and Eco Risk Assessment Report.pdf</b> | Letter re: deadline for submittal of Part B Permit Application (by 2007 02 01) and HHERA within 30 days of EPA notification that data in Air Emissions Test Report is acceptable for use in HHERA.                       | Bob Fitzgerald (U.S. EPA)         | Monte McCue (Siemens)                          |
| <b>2006 12 08 Email - Fw_Response Concerning Ca_Saturation Issuer.pdf</b>                                  | Email re: Steve's thoughts on lack of pictorial displays of curve; expressing curiosity about deviation from the compliant RSD being mostly from the top of the curve where it saturated all at once.                    | Steve Remaley (U.S. EPA)          | Mary Blevins (U.S. EPA)                        |
| <b>2006 March PDT Photos</b>   | This document folder containing photos from March 2006 PDT has been removed from the AR because it was inadvertently included. It is not being relied upon. See <i>2006 03 30 Memo - PDT Pictures .pdf</i> .             |                                   |  |
| <b>2007 01 22 email re Part B app.pdf</b>  | Email requesting permission to submit Part B Permit Application (without CRIT signature) to U.S. EPA and CRIT by no later than March 1, 2007.  | Monte McCue (Siemens)             | Mary Blevins and Bob Fitzgerald (U.S. EPA)     |
| <b>2007 01 26 Review of Siemens CRF RF-2 PDT - June 2006.pdf</b>   | Letter re: review of PDT Report dated June 2006, with detailed comments in attachment 1.   | Kathryn Brantingham (Arcadis)     | Eric Shepard (CRIT AG)                         |
| <b>2007 03 02 email re Part B app.pdf</b>  | Email indicating that Part B Permit Application Package was delivered to U.S. EPA on 2007 03 01 and included 2 hard copies and 2 electronic copies and also to CRIT.   | Monte McCue (Siemens)             | Mary Blevins (U.S. EPA)                        |
| <b>2007 03 05 NHPA ext to public comment period.pdf</b>  | Email re: EPA Public Notice dated 2007 01 31 in which EPA designated APE and sought information about historic properties within the APE as part of NHPA process.  | Karen Scheuermann (U.S. EPA)      | Bradley Angel (Greenaction)                    |
| <b>2007 03 08 Letter Response to 02162007 Letter.pdf</b>   | Letter re: APE and NHPA – recommendations on file by Dr. Tsosie regarding the APE still stand.   | Howard Magill (CRIT Museum)       | U.S. EPA Region IX                             |

|   |  |   |  |
|---|--|---|--|
| <b>2007 03 12 public comment on NHPA.pdf</b>                                      | Email in response to Mr. Angel's request for a month's extension to public comment period.   | Gila River Alliance for a Clean Environment | Bradley Angel (Greenaction) and Karen Scheuermann (U.S. EPA) |
| <b>2007 04 18 Memo - Siemens Carbon RF-2 PDT Data Review.pdf</b>                  | Memo re: QA Office review of PDT data.   | Stephen Remaley (U.S. EPA)                  | Mary Blevins (U.S. EPA)                                      |
| <b>2007 07 31 email re Part B app.pdf</b>   | Email re: missing Appendix A and Figure 1 from Section XVI, Subpart CC Compliance Plan and seeking Volatile Organics determination for Tank T-11; and Monte McCue's response to Mary Blevins indicating that he's working on it.                 | Mary Blevins (U.S. EPA)                     | Monte McCue (Siemens)  |
| <b>2007 08 02 email re request for records.pdf</b>                                | Email re: public request for copies of Part B Permit Application, Air Emissions Test Report and Health Risk Report and seeking clarification regarding public availability of records; and Monte McCue's response seeking identity of requestor. | Mary Blevins (U.S. EPA)                     | Monte McCue (Siemens)  |
| <b>2007 08 20 Manifests 08132007 - 08202007.pdf</b>                               | Letter transmitting manifests received during week of 8/13 to 8/20.  | Jason Hargis (Siemens)                      | Hector Duran (CRIT)  |
| <b>2007 09 07 RCRA Site Detail.pdf</b>  | RCRA Site Detail Report (10 pages) integrating National Biennial RCRA Hazardous Waste Report data with Site Identification data.   |   |  |
| <b>2007 10 09 Email-AERMOD vs ISCST3.pdf</b>                                      | Email transmitting information on AERMOD versus ISCST3.  | Scott Bohning (U.S. EPA)                    | Mary Blevins (U.S. EPA)                                      |
| <b>2008 05 14 Sept 13 2007 Inspection Rpt.pdf</b>                                 | Letter attaching a copy of 2007 09 13 inspection report.   | Loren Henning (U.S. EPA)                    | Monte McCue (Siemens)  |
| <b>2008 05 31 Waste Manifests.pdf</b>   | Transmittal letter dated 2008 06 02 sending May 2008 waste manifests.  | Jason Hargis (Siemens)                      | John Moody (U.S. EPA)  |
| <b>2008 06 24 Letter Re 06252004 Telephone Conversation on Stock Transfer.pdf</b> | Letter re: Westates-Carbon's parent company stock transfer, which does not constitute a change in operational control of the facility.   | Stephen Richmond (Bowditch & Dewey)         | Mimi Newton (U.S. EPA)                                       |
| <b>2008 misc emails re risk assessment.pdf</b>                                    | Emails asking about status of response to EPA comments on risk assessment report; and 2008 01 09 reply. 2008 02 01 email re: conversation among Patrick Wilson (U.S. EPA), Sara Foster and Monte about when the response would be submitted.     | Mary Blevins (U.S. EPA)                     | Monte McCue (Siemens)  |
| <b>2009 09 14 Warning Letter and Certification of Violation Correction.pdf</b>    | Letter transmitting inspection report noting potential violations (PVs).   | Loren Henning (U.S. EPA)                    | Todd Guimond (Siemens)                                       |
| <b>2009 09 30 Draft RFA Report.pdf</b>  | Draft RCRA Facility Assessment Report.   | Booz Allen Hamilton                         | Ron Leach (U.S. EPA)   |
| <b>2010 06 30 Transmittal of Manifest Submittals for Apr_May_Jun_2010.pdf</b>     | Email transmitting April, May, and June 2010 manifests.  | Mike Zabaneh (U.S. EPA)                     | Bradley Angel (Greenaction)                                  |
| <b>2010 10 07 Transmittal Letter Waste Manifests July - Sept 2010.pdf</b>         | Letter transmitting manifests. Just the letter, not the manifests.   | Mike Zabaneh (U.S. EPA)                     | Bradley Angel (Greenaction)                                  |
| <b>2011 04 30 Waste Manifests.pdf</b>   | Letter transmitting manifests for month of April 2011. *Cover letter is dated 2011 05 02*  | John Hargis (Siemens)                       | John Moody (U.S. EPA)  |
| <b>2011 05 25 Apr 2011 Inspection Report.pdf</b>                                  | Letter with notice of upcoming hazardous waste inspection; and 2011 05 25 letter from Amy Miller to Todd Guimond (Siemens).  | Amy Miller (U.S. EPA)                       | Eldred Enas (CRIT Chairman)                                  |
| <b>2011 07 30 Waste Manifests from May 2011 - Jul 2011.pdf</b>                    | Letter transmitting May, June, and July 2011 waste manifests.  | Mike Zabaneh (U.S. EPA)                     | Bradley Angel (Greenaction)                                  |
| <b>2011 07 31 Waste Manifests.pdf</b>   | Letter transmitting July 2011 waste manifests.   | Jason Hargis (Siemens)                      | John Moody (U.S. EPA)  |
| <b>2011 08 31 Waste Manifests.pdf</b>   | Letter transmitting August 2011 waste manifests.   | Jason Hargis (Siemens)                      | Mike Zabaneh (U.S. EPA)                                      |

|   |   |  |                             |
|---|---|--|-----------------------------|
| <b>2011 09 29 Current Human Exposures Under Control.pdf</b>                           | Documentation of Environmental Indicator Determination.   | Kevin Wong, Mike Zabaneh and Steve Armann (U.S. EPA) |                             |
| <b>2011 09 30 Waste Manifests.pdf</b>   | Letter transmitting September 2011 waste manifests.   | Jason Hargis (Siemens)                               | Mike Zabaneh (U.S. EPA)     |
| <b>2011 12 30 Waste Manifests from Oct 2011 - Dec 2011.pdf</b>                        | Letter transmitting October, November, and December 2011 waste manifests.   | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |
| <b>2012 01 27 Waste Manifests from 01132012 - 01272012.pdf</b>                        | Transmitting January 13, 2012 - January 27, 2012 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2012 02 10 AZD982441263 RCRA Biennial Report.pdf</b>                               | Letter transmitting 2011 Biennial Report. *Cover letter is dated 2012 02 07*  | Roy Provins (Siemens)                                | Kim Thomas (U.S. EPA)       |
| <b>2012 02 22 Updated Standby LOC New Amount.pdf</b>                                  | Letter transmitting updated LOC with letter from Mike Zabaneh to Monte McCue re: increased closure cost estimate.       | Roy Provins (Siemens)                                | Olof Hansen (U.S. EPA)      |
| <b>2012 02 28 Waste Manifests from 02172012 - 02282012.pdf</b>                        | Transmitting Feb 17, 2012 - Feb 28, 2012 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2012 03 23 Waste Manifests from 03062012 - 03232012.pdf</b>                        | Transmitting March 6, 2012 - March 23, 2012 manifests.  | Monte McCue (Siemens)                                |                             |
| <b>2012 04 05 Waste Manifests from 03232012 - 04052012.pdf</b>                        | Transmitting March 23, 2012 - April 5, 2012 manifests.  | Monte McCue (Siemens)                                |                             |
| <b>2012 04 25 Waste Manifests from 04102012 - 04252012.pdf</b>                        | Transmitting April 10, 2012 - April 25, 2012 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2012 05 01 Transmittal Letter Waste Manifests Jan-Apr 2012.pdf</b>                 | Letter transmitting January through April 2012 manifests.   | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |
| <b>2012 08 31 Waste Manifests from May 2012 - August 2012.pdf</b>                     | Letter transmitting May through August 2012 manifests.  | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |
| <b>2012 10 26 Waste Manifests from 10012012 - 10262012.pdf</b>                        | Transmitting October 1, 2012 - October 26, 2012 manifests.  | Monte McCue (Siemens)                                |                             |
| <b>2012 11 Letter re RCRA Financial Assurance.pdf</b>                                 | Letter dated 2012 11 27 re: comments on RCRA financial assurance.   | Mike Zabaneh (U.S. EPA)                              | Monte McCue (Siemens)       |
| <b>2012 CEI - 1 08 27 Compliance Evaluation Inspection Report.pdf</b>                 | Letter transmitting copy of March 7, 2012 inspection report.  | Chris Rollins (U.S. EPA)                             | Monte McCue (Siemens)       |
| <b>2012 CEI - 2 Reactivation Facility Site Plan revision Feb 2007.pdf</b>             | Site plan.  |  |                             |
| <b>2012 CEI - 3 Hazardous Waste Manifests 2011.pdf</b>                                | 2011 Hazardous Waste Biennial Report.   |  |                             |
| <b>2013 02 28 Waste Manifests from Oct 2012 - Feb 2013.pdf</b>                        | Manifests are dated 2012 Oct through 2013 02 05.  |  |                             |
| <b>2013 03 07 Letter re Transmittal Waste Manifests September 2012 - Feb 2013.pdf</b> | Cover letter transmitting September 2012 through Feb 2013 manifests.  | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |
| <b>2013 03 14 Waste Manifests from 02262013 - 03142013.pdf</b>                        | Transmitting Feb 26, 2013 - March 14, 2013 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2013 08 05 Minor NSR Registration Rpt Change Ownership.pdf</b>                     | Letter re: transfer of asset ownership for CAA purposes from Siemens Industry, Inc. to Siemens Water Technologies, Inc. | Monte McCue (Siemens)                                | Gerardo Rios (U.S. EPA)     |
| <b>2013 09 30 Waste Manifests from Mar 2013 - Sept 2013.pdf</b>                       | Letter transmitting March 2013 - Sept 2013 manifests. Cover letter is dated 2013 10 24.                                 | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |

|   |   |                                 |                             |
|---|---|---------------------------------|-----------------------------|
| <b>2014 02 09 Biennial Report for 2013.pdf</b>                              | Letter transmitting 2013 Biennial Report.   | Monte McCue (Siemens)           | Kim Thomas (U.S. EPA)       |
| <b>2014 04 30 Waste Manifests Oct 2013 - Apr 2014.pdf</b>                   | Letter transmitting Oct 2013 - Apr 2014 manifests. Cover letter is dated 2013 05 27.  | Mike Zabaneh (U.S. EPA)         | Bradley Angel (Greenaction) |
| <b>2014 09 30 Waste Manifests May 2014 - Sept 2014.pdf</b>                  | Manifests from May 2014 - Sept 2014.  |                                 |                             |
| <b>2014 10 10 R9 Delegation Approve Closure Plans R9-SWDA-001.pdf</b>       | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to the authority to approve interim status closure and post-closure plans for HW management facilities.  | Jared Blumenfeld (U.S. EPA)     |                             |
| <b>2014 10 10 R9 Delegation RCRA Release for Closure FR R9-SWDA-002.pdf</b> | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to the authorities relating to financial assurance for closure and post-closure care of HW management facilities.  | Jared Blumenfeld (U.S. EPA)     |                             |
| <b>2014 10 10 R9 Delegation RCRA TSD Permits R9-08-006.pdf</b>              | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to all actions necessary to issue, deny, modify, revoke and reissue, or terminate HW management facility permits.  | Jared Blumenfeld (U.S. EPA)     |                             |
| <b>2015 04 20 March CEI.pdf</b>   | Letter re: inspection dated 2015 03 03 through 2015 03 04, in which no significant violations were detected.  | Doug McDaniel (U.S. EPA)        | Monte McCue (Evoqua)        |
| <b>2015 06 29 Letter and attachement re Unmanifested Waste Report.pdf</b>   | Letter transmitting unmanifested waste report from generator in Hinkley, CA.  | Monte McCue (Evoqua)            | Jared Blumenfeld (U.S. EPA) |
| <b>2015 09 30 Waste Manifests September 2015.pdf</b>                        | Manifests from the month of Sept. 2015. Additional September Manifests <i>2016 01 31 Waste Manifests Oct 2014 – Jan 2016.</i>   |                                 |                             |
| <b>2015 12 31 Waste Manifests.pdf</b>                                       | Manifests from the month of Dec. 2015. Additional December Manifests: <i>2016 01 31 Waste Manifests Oct 2014 – Jan 2016.</i>  |                                 |                             |
| <b>2016 01 26 Biennial Report for 2015.pdf</b>                              | Letter transmitting 2015 Biennial Report for facility.  | Monte McCue (Evoqua)            | Kim Thomas (U.S. EPA)       |
| <b>2016 01 31 Waste Manifests Oct 2014 - Jan 2016.pdf</b>                   | Manifests from Oct 2014 - Jan 2016.   |                                 |                             |
| <b>2016 03 31 Waste Manifests Feb - March 2016.pdf</b>                      | Manifests form Feb 2016 - March 2016.   |                                 |                             |
| <b>2016 04 26 Evoqua Letter.pdf</b>   | Letter providing clarification regarding facility's calculations of its potential to emit under the CAA in light of RCRA requirements to operate air emissions control requirements under interim status and obligation to seek EPA approval prior to making any changes to such equipment.   | Monte McCue (Evoqua)            | Gerardo Rios (U.S. EPA)     |
| <b>Undated Book Passage on the CRIT.pdf</b>                                 | Article about the CRIT spanning pp. 124-127 of this unknown source.   | Amelia Flores (CRIT Museum)     |                             |
| <b>UNDATED Business Cards.pdf</b>   | Wheelabrator Environmental Systems, Inc. Vice President Western Region, Bill Carlson; Wheelabrator Manager, Environmental Permitting, Matthew P. Killeen; Wheelabrator Senior Counsel, Stephen Richmond; RUST Environment & Infrastructure, Envtl Staff Engr., John Dent; and Monte McCue, Plant Manager, Westates Carbon-Arizona, Inc. |                                 |                             |
| <b>UNDATED Comment from C Paul Ludwig regarding US Filter.pdf</b>           | Undated comment in support of US Filter/Westates.   | C. Paul Ludwig (Parker, AZ)     | Steve Armann (U.S. EPA)     |
| <b>UNDATED Comment from Chino Hay Market regarding US Filter.pdf</b>        | Undated comment in support of US Filter/Westates.   | Wayne Gordon (Chino Hay Market) | Steve Armann (U.S. EPA)     |

|  |   |                      |   |
|--|---|----------------------|---|
| <b>UNDATED Cover letter w_o Enclosure of CRSSJV Final NPDES Permit.pdf</b>             | Letter transmitting final NPDES Permit No. AZ 0021415.  | Terry Oda (U.S. EPA) | Andy Jones (Colorado River Sewage System Joint Venture) |
| <b>UNDATED CRIT Mohave Resource Listing.pdf</b>  | List of Materials with information about the Mohave – from the CRIT Library.  |                      |   |
| <b>UNDATED Directions to Westates.pdf</b>  | Directions to facility from Las Vegas and Phoenix.  |                      |   |
| <b>Undated EPA RFA Questionnaire.pdf</b>   | Notes with questions about “WS-1 Groundwater Route,” “WS-2 Surface Water Route,” “WS-3 Air Route” and “WS-4 On-Site Contamination.”               |                      |   |
| <b>UNDATED Excerpt from Component 5 How to Conduct Laboratory Audit.pdf</b>            | U.S. EPA R.6. Center for Combustion Science and Engineering re: Section 2.1.4., Reviewing the Matrices to be Analyzed and the Analytical Methods. |                      |   |
| <b>Undated Haz Waste Inspection Review.pdf</b>   | List of records that need to be made available during inspection. With marginalia.  |                      |   |
| <b>Undated img-421123001-0001.pdf</b>  | Photos of equipment at the Facility.  |                      |   |
| <b>UNDATED Industrial Wastewater Discharge Permit and Fact Sheet.pdf</b>               | Industrial Discharge Permit for Joint Venture dated 1996 05 08.   |                      |   |
| <b>UNDATED Parker Reactivation Facility Information.pdf</b>                            | Descriptions of the facility capabilities, site description, facility operation, restrictions, identification number, and permits.                |                      |   |
| <b>UNDATED Public Library for the Tribal Community and Parker Area Residents.pdf</b>   | Information about the Colorado River Indian Tribes.   |                      |   |
| <b>UNDATED Questions &amp; Answers About Westates.pdf</b>                              | Westates’ Q & A material.   |                      |   |
| <b>UNDATED Statement of Visitor Policies and Haz Info and Release of Liability.pdf</b> | Material that Westates provides to visitors.  |                      |   |
| <b>UNDATED Westates Pollution Control Technology Information for Kingman AZ.pdf</b>    | Promotional material, when facility was still envisioned for siting in Kingman, AZ.   |                      |   |



## Part B - Draft Administrative Record Index

| Date of Document/Title   | Description  | Author/Sender                    | Addressee                          |
|--|--|----------------------------------|------------------------------------|
| <b>1984 10 05 Use of Compliance Schedules in RCRA Permits.pdf</b>  | Memo re: use of compliance schedules in RCRA permits.  | Bruce Weddle (US EPA)            | Hazardous Water Directors (US EPA) |
| <b>1988 09 01 RCRA Permit Quality Protocol.pdf</b>   | Administrative Record Guidance and Checklist.  |                                  |                                    |
| <b>1988 12 16 Application For Class C Installation Permit.pdf</b>  | Letter transmitting completed applications for the installation and operation of a proposed new facility in Kingman, AZ.   | Robert Babbitt (Westates Carbon) | John Hoffman (ADEQ)                |
| <b>1989 05 01 Introduction of Facility.pdf</b>   | Presentation regarding proposed Westates Carbon facility for Kingman, AZ with attached application submitted to ADEQ.  |                                  |                                    |
| <b>1991 08 02 EPA OSW PPC 9489_1991_04 Reg Status Carbon Regen Units.pdf</b>   | Memo re: Regulatory Status of Carbon Regeneration Units.   | Sylvia Lowrance (US EPA)         | Bruce Smith (US EPA)               |
| <b>1991 12 03 RCRA Regs Applicable to Control Devices Required by the OAES - Dec 1991.pdf</b>                        | Memo re: standards that apply to control devices under Subparts AA and BB when the device meets the definition of a regulated unit under another portion of the regulations.   | James Michael (US EPA)           | Catherine Massimino (US EPA)       |
| <b>1993 08 10 Request for Determination under 40 CFR 270-72.pdf</b>  | Letter asking about including RF 2 in facility's interim status proposed expansion of capacity at the facility.  | Stephen Richmond (Wheelabrator)  | Jeff Zelikson (US EPA)             |
| <b>1993 08 13 Applicability Determination.pdf</b>  | Memo re: Applicability determination for Parker facility under CAA Prevention of Significant Deterioration PSD Program.  | Jennifer Fox (US EPA)            | Matthew P. Killeen (Wheelabrator)  |
| <b>1993 08 30 Request to Submit Part B Application.pdf</b>   | Letter requesting submittal of RCRA Part B Permit Application for Miscellaneous Unit under Subpart X and 270.1(b) by March 1, 1994.  | Michael Feeley (US EPA)          | Monte McCue (Westates)             |
| <b>1993 12 29 Response to 08101993 and to meetings btw EPA and Westates.pdf</b>                                      | Letter re: Westates' plans to add second carbon regeneration unit that would increase capacity of the Facility were included in Part A Application.  | Jeffrey Zelikson (US EPA)        | Stephen M. Richmond (Wheelabrator) |
| <b>1994 01 14 Request for Extension of Part B application.pdf</b>  | Letter requesting an extension to June 30, 1994 due to timing of receipt of trial burn results.  | Monte McCue (Westates)           | Michael Feeley (US EPA)            |
| <b>1994 01 24 Extension of Part B Permit Deadline 1994.pdf</b>   | Letter granting an extension to deadline to file Part B Permit Application to June 30, 1994. Extension will enable Westates to: (1) carry out improvements to emission control system; (2) conduct additional emission testing; and (3) perform a screening level risk assessment. | Michael Feeley (US EPA)          | Monte McCue (Westates)             |
| <b>1994 02 01 RCRA Expanded Public Participation and Revisions to Combustion Permitting Procedures_June 1994.pdf</b> | 59 FR 28680, June 2, 1994. Proposed RCRA Expanded Public Participation and Revisions to Combustion Permitting Rule, proposing earlier and more frequent involvement options for the public.  |                                  |                                    |
| <b>1994 02 24 Assessment of Tank Systems.pdf</b>   | Assessments for T-1, T-2, T-5, T-6 and T-8 performed for Westates Carbon-AZ. Includes results of ultrasonic thickness testing and detailed plans re: containment.  | Rust Engineering Co.             |                                    |

|  |  |  |  |
|--|--|--|--|
| <b>1994 06 03 Response to 01061994 Letter about Second Thermal Treatment Unit.pdf</b>                  | Letter re: meeting agenda items for review regarding: item (2) about options for construction and capacity of RF-2; and item (4) about permit application capacity increase request and implications for trial burn if RF-1 and RF-2 operate simultaneously.   | Michael Feeley (US EPA)                        | Monte McCue (Westates)                       |
| <b>1994 06 14 Response to 01241994 Letter re Part B Permit Application.pdf</b>                         | Letter putting obligation to submit Part B Permit application aside pending further communication in order to consult with CRIT Tribe on whether or not to begin implementation of proposed rule regarding public participation options.   | Michael Feeley (US EPA)                        | Monte McCue (Westates)                       |
| <b>1994 06 17 Screening HHRA.pdf</b>   | Risk Assessment based on RF-1 trial burn.  | Weinberg Consulting Group Inc.                 | Westates Carbon-Arizona, Inc.                |
| <b>1994 06 20 Response to 06141994 Letter from EPA.pdf</b>   | Letter in response to <i>1994 06 14 Response to 01241994 Letter re Part B Permit Application.pdf</i> indicating that public meeting would be appropriate but doesn't want it to delay filing of Part B Permit Application. Suggests a fall public meeting, after submission of the Part B.   | Connor Byestewa, Jr. (CRIT Councilman and EPO) | Michael Feeley (US EPA)                      |
| <b>1994 07 28 Memorandum Re Westates and CRIT Meet 071994.pdf</b>                                      | Memo re: notice from Wheelabrator that Westates met with CRIT on July 25, 1994 and agreed to give notice of application and hold an informal public meeting and submit meeting information with Part B permit application by December 1994.  | Ray Fox (US EPA)                               | Steve Etsitty (US EPA)                       |
| <b>1994 07 29 Clarification Regarding Single Emission Point_Multi-Device Combustion Facilities.pdf</b> | Memo re: clarification regarding single emission point, multi-device combustion facilities.  | Michael Shapiro (US EPA)                       | Allyn M. Davis and Walter L. Sutton (US EPA) |
| <b>1994 08 19 Undertake Preapplication Public Participation Requirements.pdf</b>                       | Letter re: Pre-application public meeting scheduled for 1994 10 04. Includes a copy of the public notice as an attachment.   | Monte McCue (Westates)                         | Michael Feeley (US EPA)                      |
| <b>1994 10 04 Public Comments Meeting Oct 1994 w o addresses of attendees.pdf</b>                      | Transcript from Westates' public meeting, which consists entirely of Westates Carbon speakers.   |  |  |
| <b>1994 11 10 Lift Station Overflow Incident.pdf</b>   | Includes: Lift Station Overflow Incident report; Experimental Statistics (US Dept. Commerce, National Bureau of Standards); Memo transmitting Overflow incident report; Memo re: required follow up written notifications for release of scrubber blow down; Memo re: Incident Report; Letter re: spills of hazardous waste; Handwritten notes of sampling locations; Metals concentration sampling comparison, metal results by month, 1994; Westates Carbon-Arizona Inc. Purchase Requisition forms for Del Mar. | Various  | Various                                      |
| <b>1995 01 16 Re_Revised Part Applications and original Part B Application.pdf</b>                     | Letter transmitting 4 copies of the revised Part A applications and the original Part B application. Referenced document Part A - <i>1995 01 Revised RCRA Part A Permit Application.pdf</i>  | Monte McCue (Westates)                         | Michael Feeley (US EPA)                      |
| <b>1995 04 17 Environmental Incident Report.pdf</b>  | Incident Report re: facility lift station pumping to CRIT Joint Venture overflow on the morning of 1995 03 17 due to a power outage on the evening of 1995 03 16, with fax confirmation details.   | Monte McCue (Westates)                         |  |

|   |   |         |         |
|---|---|---------|---------|
| <b>1995 04 27 Environmental Incident Report on 1995 04 17.pdf</b>                 | Includes: Monte McCue (Westates Carbon-AZ) to Bill Verkamp (Dir. Emerg. Services, Parker, AZ) submitting attached incident report. Monte McCue (Westates Carbon-AZ) to Felicia Marcus (US EPA) notice of implementation of contingency plan. Notes, and sampling and analysis results. Spill incident report. | Various | Various |
| <b>1995 07 20 Building Permit Application.pdf</b>                                 | CRIT Building Dept. Approved Permit for installation of 4800 sq. ft. pre-engineered building on concrete slab to be followed by installation of 4000 sq. ft. and 7200 sq. ft. buildings on concrete all on lots #13 and 14 of the CRIT Industrial Park by Nelson Digging Service, of Parker, AZ.              |         |         |
| <b>1995 11 01 Afterburner Outlet Refractory.jpg</b>                               | Diagram of Afterburner Outlet Refractory. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.   |         |         |
| <b>1995 11 01 Afterburner Sections Elevation Views.jpg</b>                        | Diagram of Afterburner Sections Elevation Views Baffle Wall and Gas Outlet. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.   |         |         |
| <b>1995 11 01 Afterburner Sections Plan Views.jpg</b>                             | Diagram of Afterburner Sections Plan Views Baffle Wall and Gas Outlet. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.  |         |         |
| <b>1995 11 01 Afterburner Steel.jpg</b>   | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Afterburner Steel. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.   |         |         |
| <b>1995 11 01 Arrangement CGS Venturi Scrubber_Absorber.jpg</b>                   | Diagram of Arrangement CGS Venturi Scrubber/Absorber. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |         |         |
| <b>1995 11 01 Assembly of Flood Furnace.jpg</b>                                   | Diagram of Assembly of Pacific Furnace Puget Sound Naval Shipyard, WA. Attachment to Appendix XX of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4.   |         |         |
| <b>1995 11 01 Detail of Parker Pre-quench System.jpg</b>                          | Diagram of Parker Pre-Quench System. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.  |         |         |
| <b>1995 11 01 Equipment Arrangement.jpg</b>                                       | Diagram of Equipment Arrangement Current Facility. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplement.  |         |         |
| <b>1995 11 01 Fan General Arrangement.jpg</b>                                     | Diagram of Fan General Arrangement.   |         |         |
| <b>1995 11 01 Flood Insurance Rate Map.jpg</b>                                    | Diagram of Flood Insurance Rate Map.  |         |         |
| <b>1995 11 01 Furnace and I Feed System Piping and Instrumenation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #1 Feed System Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |         |         |
| <b>1995 11 01 Furnace Assembly.jpg</b>  | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Furnace Assesmbly. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.   |         |         |

|  |   |  |  |
|--|---|--|--|
| <b>1995 11 01 Furnace No 1 off Gas Scrubber Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #1 Off Gas Scrubber Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> . |  |  |
| <b>1995 11 01 Furnace No 1 Process Water Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Furnace #1 Process Water Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .    |  |  |
| <b>1995 11 01 Furnace No 1 Product Handling Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #1 Product Handling Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> . |  |  |
| <b>1995 11 01 Furnace No 2 Feed System Piping and Instrumentation Diagram.jpg</b>      | Diagram of Reactivation Facility Furnace #2 Feed System Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .      |  |  |
| <b>1995 11 01 Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> . |  |  |
| <b>1995 11 01 Furnace No 2 Process Water Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Furnace #2 Process Water Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .    |  |  |
| <b>1995 11 01 Furnace No 2 Product Handling Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Product Handling Piping & Instrumentation Diagram.  |  |  |
| <b>1995 11 01 Gemera; Arrangement of CGS Wet Electrostatic Precipitator.jpg</b>        | Diagram of General Arrangement of CGS Wet Electrostatic Precipitator. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .                          |  |  |
| <b>1995 11 01 Legend and Specialty Items Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Legend & Specialty Items Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .    |  |  |
| <b>1995 11 01 Liquid Phase Carbon Process Flow Diagram RF-1.jpg</b>                    | Diagram of Liquid and Vapor Phase Carbons Process Flow Diagram Balance for RF-1. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .               |  |  |
| <b>1995 11 01 Liquid Phase Carbon Process Flow Diagram RF-2.jpg</b>                    | Diagram of Liquid Phase Carbon Process Flow Diagram Balance for RF-2. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .                          |  |  |
| <b>1995 11 01 Orientation of 9 ft 4th Furnace Puget Sound Naval Ship Yard Wall.jpg</b> | Diagram of Orientation of Furnace Puget Sound Naval Ship Yard, WA. Attachment to Appendix XX of <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4</i> .                       |  |  |
| <b>1995 11 01 P and ID Flow Diagram for CGS Scrubbing System.jpg</b>                   | Diagram of P & ID Flow Diagram for CGS Scrubbing System.  |  |  |
| <b>1995 11 01 Packed Bed Scrubber Assembly Model.jpg</b>                               | Diagram of Packed Bed Scrubber Assembly. Attachment to Appendix XXI of <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4</i> .  |  |  |
| <b>1995 11 01 Piping Plan.jpg</b>  | Diagram of Piping Plan.   |  |  |

|  |  |                                  |                         |
|--|--|----------------------------------|-------------------------|
| <b>1995 11 01 Plot Plan.jpg</b>  | Diagram of Plot Plan.  |                                  |                         |
| <b>1995 11 01 Process Flow Diagram balance for RF-2.jpg</b>  | Diagram of Vapor Phase Carbon Process Flow Diagram Balance for RF-2. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Process Water Discharge to PDTW Piping and Instrumentation Diagram.jpg</b>                             | Diagram of Reactivation Facility Process Water Discharge to POTW Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Proposed Site Plan Facility Expansion.jpg</b>  | Diagram of Proposed Site Plan Facility Expansion.  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram.pdf</b>         | Diagram Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation Diagram.  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Precipitator and Exhaust Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Precipitator & Exhaust Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Precipitator and Exhaust Piping and Instrumentation.pdf</b>         | Diagram of Reactivation Facility Furnace #2 Precipitator & Exhaust Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace and No 1 Piping and Instrumentation Diagram.jpg</b>                               | Diagram of Reactivation facility Reactivation Furnace #1 Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram .jpg</b>                 | Diagram of Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace No 2 Piping and Instrumentation Diagram.jpg</b>                                   | Diagram of Reactivation Furnace #2 Piping & Instrumentation. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace.jpg</b>   | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Furnace Assesmbly.   |                                  |                         |
| <b>1995 11 01 Recycle Water Piping and Instrumentation Diagram.jpg</b>   | Diagram of Reactivation Facility Recycle Water Piping & Instrumentation Diagram. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 01 Sanitary Sewer Plan and Profile.jpg</b>  | Diagram of Sanitary Sewer Plan & Profile.  |                                  |                         |
| <b>1995 11 01 Site Plan.jpg</b>  | Diagram of Site Plan Carbon Reactivation Facility. Attachment to <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements</i> .  |                                  |                         |
| <b>1995 11 07 Re 4 Revised copies of Part A Permit App Jan 1995.pdf</b>  | Letter transmitting 4 copies of revised copies of Part A permit application and Part B permit application to incorporate minor changes to facility design and operation which have occurred since 1995 01 16 submittal of previous versions of the applications. | Monte McCue (Westates Carbon-AZ) | Michael Feeley (US EPA) |

|   |  |   |  |
|---|--|---|--|
| <b>1996 02 23 Supplement to the Final EA.pdf</b>                                      | Supplement to the Final Environmental Assessment for the Westates Carbon Reactivation Plant Development Project.   | Rust Environment and Infrastructure for Westates Carbon - Arizona, Inc. | US Dept. of the Interior Bureau of Indian Affairs, Colorado River Agency |
| <b>1996 03 11 Re Supplemental Env Assessment.pdf</b>                                  | Letter transmitting <i>1996 02 23 Supplement to the Final EA</i> .   | John T. Dent (Rust Environment & Infrastructure)                        | Ray Fox (US EPA)   |
| <b>1996 03 13 Fax Transmittal from Carlos Flores.pdf</b>                              | Incident Report based on 1996 02 15 domestic sewage and scrubber blowdown release spill report, including notice of implementing contingency plan. Includes correspondence describing the incident to numerous entities, including Felicia Marcus (US EPA), Bill Verkamp (Parker Dept. of Emergency Services) and Connor Byestewa, (CRIT Environment Officer). | Various   | Various  |
| <b>1996 04 04 Memorandum - EPA Comments on Supplement to Final Env Assessment.pdf</b> | Memo re: comments on Supplement to Final Environmental Assessment (EA).  | David Tomsovic (US EPA)   | Amy Heuslein (BIA)   |
| <b>1996 04 24 Transmittance of Wastewater Discharge Analytical Results.pdf</b>        | Memo transmitting wastewater discharge analytical results from October 1995, which characterize the present POTW discharge.  | Mark Sandoval (Westates Carbon-AZ)                                      | Jean Daniel (US EPA)   |
| <b>1996 04 25 Supplement to the Final EA - 04291996.pdf</b>                           | Supplement to the Final Environmental Assessment finding of no significant impact (RE-CRA-FONSI-96-37) based on Supplement to Final NEPA Environmental Assessment (EA).  | Rust Environment and Infrastructure for Westates Carbon - Arizona, Inc. | US Dept. of the Interior Bureau of Indian Affairs, Colorado River Agency |
| <b>1996 05 01 Transmittal of Supplemental to the Final Env Assessment 1996.pdf</b>    | Letter transmitting signed FONSI dated 1996 04 29 and Final Supplemental EA. Referenced document: <i>1996 02 23 Supplement to the Final EA</i> .   | Rodney McVey (US BIA)   | Interested Individuals, Organizations and Agencies                       |
| <b>1996 05 10 Transmittance of Additional Info per USEPA Request 05021996.pdf</b>     | Letter transmitting: 1. Jan. 1996 POTW Discharge Report; 2. Feb. 1996 POTW Discharge Report; 3. <i>1995 04 17 Environmental Incident Report</i> ; and 4. <i>1995 04 27 Environmental Incident Report on 1995 04 17</i> .   | Mark Sandoval (Westates Carbon-AZ)                                      | Jean Daniel (US EPA)   |
| <b>1996 08 01 Re Spill on 02151996.pdf</b>  | Letter providing copies of sample test results received from Westates Carbon of the sanitary sewage and scrubber blowdown materials the facility is permitted to discharge to the POTW. Referenced documents: <i>1996 04 24 Transmittance of Wastewater Discharge Analytical Results</i> .   | Arlene Kabei (US EPA)   | Carlos Flores (AZ Pipeline Co.)  |
| <b>1996 08 29 Performance Demo Plan.pdf</b>   | Performance Demonstration Plan – Trial Burn Test Plan – PDT Test Plan for Reactivation Unit No. 2 (RF-2) (Revision 1.0) prepared by AirKinetics, Inc. Emissions Characterization and Testing Services.   | Monte McCue (Westates Carbon-AZ)  | Ray Fox (US EPA)   |
| <b>1996 12 03 Certification of Compliance - Subpart CC.pdf</b>                        | Letter certifying that all waste management units at WCAI are either exempt from Subpart CC air emissions requirements under 265.1080(b)(7) or exempt from the control requirements under 265.1083(c) (all but T-11 equipped with and operating air emissions control equipment).  | Monte McCue (Westates Carbon-AZ)  | John McCarroll (US EPA)  |
| <b>1999 02 26 Copy of Subpart CC Plan.pdf</b>   | Letter transmitting Subpart CC Plan and mail receipt demonstrating delivery to John McCarroll on 1996 12 03.   | Roy Provins (US Filter)   | Kevin Wong (US EPA)  |

|  |   |                            |   |
|--|---|----------------------------|---|
| <b>1999 04 16 Ltr to Senator Wyden re HWC MACT Rule.pdf</b>                                      | Letter re: request for information about the Part 63 MACT Rule for Hazardous Waste Combustion Units with Attachments A and B.   | Timothy Fields (US EPA)    | Senator Ron Wyden   |
| <b>1999 10 01 Attachment 2_Inspection Plan.pdf</b>   | Excerpts of the Calgon Carbon Corporation Neville Island Plant Attachment 2 "Inspection Plan."  |                            |   |
| <b>1999 XX XX Comments on WAP Appendix I.pdf</b>   | Comment on WAP/Appendix I.  |                            |   |
| <b>2000 01 01 Affidavit of Publication_1.pdf</b>   | Invoice from River City Newspapers.   |                            |   |
| <b>2000 08 17 Affidavit of Publication - 08162000.pdf</b>  | Affidavit if publication EPA published notice on 2000 08 16 requesting people who wanted to be on EPA mailing list to contact EPA.  |                            |   |
| <b>2000 08 23 Fax Request Form - Publication Date Spanish and English Version - 08302000.pdf</b> | Fax request form enclosing Spanish translation, along with English version, of notice to be published on 2000 08 30 re: mailing list for Westates Carbon.   | Vern Christianson (US EPA) | Richard (Parker Pioneer)  |
| <b>2000 08 25 Letter Re Aspects of 1995 Part B Application.pdf</b>                               | Letter re: information regarding RCRA Permit Application – including: Deletion of increased storage and feed capacity requests; Changes in business.  | Roy Provins (US Filter)    | Jeff Scott (US EPA)   |
| <b>2000 08 25 Notice of EPA Updates Mailing List for Westates.pdf</b>                            | Fax dated 2000 08 28 from the Parker Pioneer with a copy of EPA notice regarding Westates Carbon mailing list.  |                            |   |
| <b>2000 08 30 Advertising Order August 2000.pdf</b>  | Order for advertisement for publication on 2000 08 30 in the Parker Pioneer.  |                            |   |
| <b>2000 08 31 Parker Public Library - Request to enclose documents.pdf</b>                       | Two letters transmitting documents for placement in Westates Carbon-AZ repositories at each library, including: Risk Assessment (June 1994); Part B Permit Application (Nov. 1995) (4 vols.); BIA Supplemental EA (Feb. 1996); and Performance Demonstration Test (PDT) Trial Burn Test Plan (Aug. 1996). Referenced documents: <i>1994 06 17 Screening HHRA</i> ; <i>1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion</i> ; <i>1996 02 23 Supplement to the Final EA and 1996 03 11 Re Supplemental Env Assessment</i> ; <i>1996 08 29 Performance Demo Plan</i> . | Karen Scheuermann (US EPA) | Librarian (Parker, AZ Public Library), Amelia Flores (CRIT Library) |
| <b>2000 08 31 RCRA Part B Permit Application 1995 Vol_1 of 4.pdf</b>                             | Attachment to <i>2000 08 31 Parker Public Library - Request to enclose documents</i> with First of 4 volumes of the RCRA Part A Permit Application (through Section D, Diagram D-1).  | Karen Scheuermann (US EPA) | Parker Public Library Librarian                                     |
| <b>2000 09 04 Affidavit of Publication.pdf</b>   | Document indicating that EPA published notice on 2000 08 30 requesting people who wanted to be on EPA mailing list to contact EPA.  |                            |   |
| <b>2000 09 26 Email Westates Publications 2000.pdf</b>   | Email indicating that fact sheets and post cards were sent to CRIT Chairman Daniel Eddy, the League of Women Voters, the Parker City Library and the Parker City Clerk. Includes the September 2000 EPA Fact Sheet.   | Vicky Semones (US EPA)     | Karen Scheuermann and Kevin Wong (US EPA)                           |
| <b>2000 10 05 Review of Waste Permit Application - Oct 2000.pdf</b>                              | Concurrence Copy describes EPA anticipated steps to evaluate permit application and request Tribes' input on proposed consultation process. Includes attachment, "Compliance History of Westates October 2000."   | Jeff Scott (US EPA)        | Daniel Eddy (CRIT Tribal Chairman)                                  |
| <b>2000 10 19 Concerning Applicability of Title V.pdf</b>  | Signed duplicate of <i>2000 10 19 Applicability of Title V of the Clean Air Act</i> .   | Gerardo Rios (EPA)         | Monte McCue (Westates Carbon)                                       |

|   |  |                           |                                  |
|---|--|---------------------------|----------------------------------|
| <b>2000 11 01 Response to 2000 10 19 Letter.doc</b>   | Duplicate of <i>2000 11 01 Update Title V Application.pdf</i>  | Monte McCue (US Filter)   | Gerardo Rios (US EPA)            |
| <b>2000 11 01 Update Title V Application.pdf</b>  | Letter explaining that the carbon regeneration unit (RF-2) is not subject to Part 63, Subpart EEE unless a finding is made in the context of a RCRA permit that such requirements are necessary to protect human health or the environment.  | Monte McCue (US Filter)   | Gerardo Rios (US EPA)            |
| <b>2000 12 14 Email Westates_Publication_For the Record.pdf</b>                                 | Duplicate of <i>2000 09 26 Email Westates Publications 2000.</i>   | Vicky Semones (US EPA)    | Karen Scheuermann (US EPA)       |
| <b>2000 12 14 Email_Westates_Publication_For the Record.pdf</b>                                 | Duplicate of <i>2000 12 14 Email Westates_Publication_For the Record.pdf</i> except includes handwritten note from Karen Scheuermann (US EPA) to the file indicating that the Fact Sheet was in English only with an offer in Spanish for a Spanish translation.   | Vicky Semones (US EPA)    | Karen Scheuermann (US EPA)       |
| <b>2000 12 22 Response to 11012000 Letter.pdf</b>   | Letter advising that EPA agrees that the facility is not directly subject to Subpart EEE.  | Gerardo Rios (US EPA)     | Monte McCue (Westates Carbon AZ) |
| <b>2002 02 19 Letter and Enclosure re Requesting a Part B Permit Application - Feb 2002.pdf</b> | Letter requesting submittal of Part B permit application along with emissions test report and risk assessment in approximately June of 2003. Includes two enclosures.  | Steve Armann (US EPA)     | Monte McCue (Westates Carbon)    |
| <b>2002 02 19 Re_Requesting a Part B Permit App.pdf</b>   | Duplicate of <i>2002 02 19 Letter and Enclosure re Requesting a Part B Permit Application - Feb 2002</i> except unsigned.  | Steve Armann (US EPA)     | Monte McCue (Westates Carbon)    |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.pdf</b>    | Coversheet of RCRA Part B Permit Application Drawing supplements.  |                           |                                  |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4.pdf</b>             | Volume 2 of RCRA Part B Permit Application. Referenced attachments in Appendix XX: <i>1995 11 01 Assembly of Flood Furnace, 1995 11 01 Orientation of 9 ft 4th Furnace Puget Sound Naval Ship Yard Wall.</i>   |                           |                                  |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.pdf</b>             | Volume 3 of RCRA Part B Permit Application. Referenced diagrams: <i>1995 11 01 Afterburner Sections Plan Views; 1995 11 01 Afterburner Sections Elevation Views; 1995 11 01 Afterburner Outlet Refractory; 1995 11 01 Detail of Parker Pre-quench System; and 1995 11 01 Packed Bed Scrubber Assembly Model.</i> |                           |                                  |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_4 of 4.pdf</b>             | Volume 4 of RCRA Part B Permit Application.  |                           |                                  |
| <b>2002 08 19 rev 1995 11 01 Table of Contents - Part B November 1995.pdf</b>                   | Table of Contents of Revision 1 Part B Permit Application.   |                           |                                  |
| <b>2002 Photos_Maps</b>   | Includes 1978 09 22 Furnace Shell Spec and Details, 1987 05 04 Flood Insurance Rate Map, 1994 02 03 Topographic Survey Map, and 2002 03 01 Reactivation Facility Site Plan.  |                           |                                  |
| <b>2003 05 01 Carbon Reactivation Furnace - PDT Plan.pdf</b>                                    | Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc. | Westates Carbon-Arizona, Inc.    |
| <b>2003 05 01 Carbon Reactivation Furnace Performance Demo Test Plan Markup.pdf</b>             | Markup of Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc. | Westates Carbon-Arizona, Inc.    |
| <b>2003 05 01 Carbon Reactivation Furnace Performance Demo Test Plan.pdf</b>                    | Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc. | Westates Carbon-Arizona, Inc.    |



|   |   |                                  |   |
|---|---|----------------------------------|---|
| <b>2003 05 21 RA Anticipated Receptor Grid Layout.jpg</b>   | Figure 3 Anticipated Receptor Grid Layout. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>   |                                  |   |
| <b>2003 05 21 RA Habitat Map - USGS Orthophotography.jpg</b>  | Figure 7 Habitat Map USGS Orthophotography Risk Assessment Work Plan. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>  |                                  |   |
| <b>2003 05 21 RA Habitat Map - USGS Topography.jpg</b>  | Figure 6 Habitat Map USGS Topography Risk Assessment Work Plan. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>  |                                  |   |
| <b>2003 05 21 RA Vicinity Map.jpg</b>   | Diagram of Vicinity Map. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>   |                                  |   |
| <b>2003 05 29 RA protocol REDLINE 5_21_03.pdf</b>   | Redline version of Working Draft Risk Assessment Workplan.  | CPF Associates, Inc.             | Westates Carbon-Arizona, Inc.                               |
| <b>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</b>   | Clean copy of Working Draft Risk Assessment Workplan. Includes <i>2003 05 21 RA Habitat Map - USGS Topography.jpg</i> ; <i>2003 05 21 RA Habitat Map - USGS Orthophotography.jpg</i> ; <i>Area Topography.jpg</i> and <i>Anticipated Receptor Grid Layout.jpg</i> as part of the appendices | CPF Associates, Inc.             | Westates Carbon-Arizona, Inc.                               |
| <b>2003 05 30 RTC - PDT Plan and Risk Assessment WP.pdf</b>   | Letter transmitting detailed responses and 2 copies each of the subject documents in response to her 2003 03 12 letter.   | Douglas Eisner (US Filter)       | Arlene Kabei (US EPA)                                       |
| <b>2003 06 17 EPA Undertaking Under NHPA - Various Recipients.pdf</b>                                 | Two letters re: initiation of formal NHPA process. Attachment to: <i>2003 12 31 Memo Enclosures to Letters sent on Dec 17 and Dec 23 2003.pdf</i> . Referenced attachment: <i>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use</i> .                       | Arlene Kabei (US EPA)            | Chairman Daniel Eddy, Jr. (CRIT) & James Garrison (AZ SHPO) |
| <b>2003 07 25 Re_NHPA Consultation Meeting for August 1 2003.pdf</b>                                  | Letter re: meeting regarding NHPA process.  | Karen Scheuermann (US EPA)       | Betty Cornelius (CRIT Museum)                               |
| <b>2003 08 29 Meeting Notes 08012003 NHPA Meeting - Various Recipients.pdf</b>                        | Three letters to consulting parties of NHPA process enclosing meeting notes in <i>2003 08 29 Meeting Notes from 08012003 NHPA Meeting - DEddyJr.pdf</i>   | Karen Scheuermann (US EPA)       | Various   |
| <b>2003 08 29 Meeting Notes from 08012003 NHPA Meeting - DEddyJr.pdf</b>                              | Letter transmitting NHPA Process meeting notes.   | Karen Scheuermann (US EPA)       | Chairman Daniel Eddy, Jr. (CRIT)                            |
| <b>2003 09 10 Letter re Designated Areas of Potential Effects.pdf</b>                                 | Letter transmitting tribal input regarding NHPA process, including Area of Potential Effects (APE).   | Chairman Daniel Eddy, Jr. (CRIT) | Karen Scheuermann (US EPA)                                  |
| <b>2003 09 15 Chairman Eddy Letter 09102003.pdf</b>   | Letter transmitting <i>2003 09 10 Letter re Designated Areas of Potential Effects</i> to other consulting parties.  | Karen Scheuermann (US EPA)       | Various   |
| <b>2003 09 19 Letter - Requesting Comments on Proposed Area of Potential Effects.pdf</b>              | Duplicate of <i>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr</i> .   | Steve Armann (US EPA)            | Chairman Daniel Eddy, Jr. (CRIT)                            |
| <b>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr.pdf</b>            | Letter seeking tribal input on proposed APE in NHPA process. This pdf file includes the attached map.   | Steve Armann (US EPA)            | Chairman Daniel Eddy, Jr. (CRIT)                            |
| <b>2003 09 19 Requesting Comments on Proposed Areas of Potential Effects - Various Recipients.pdf</b> | Four letters seeking input on proposed Area of Potential Effects (APE) under NHPA. Referenced attachments: <i>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr</i> .   | Steve Armann (US EPA)            | Various   |
| <b>2003 09 25 Comments on PDT Plan and RA WP.pdf</b>  | Letter commenting on PDT trial burn Workplan, includes enclosures A, B and C. Requests revised plan by submitted by 2003 11 05.   | Arlene Kabei (US EPA)            | Monte McCue (US Filter/Westates)                            |

|  |  |                                    |                               |
|--|--|------------------------------------|-------------------------------|
| <b>2003 09 30 SWMU List and Suggestions for Further Action.pdf</b>                           | Portion of the draft RCRA Facility Assessment (RFA) prepared by Booz Allen Hamilton regarding SWMUs and AOCs.  |                                    |                               |
| <b>2003 10 01 Re_Review of documents sent on Sept 22 2003.pdf</b>                            | Letter regarding proposed Area of Potential Effects (APE) in NHPA process.   | Matthew Bilsbarrow (AZ SHPO)       | Steve Armann (US EPA)         |
| <b>2003 10 14 Letter re Permit Application Request for Comments.pdf</b>                      | Letter regarding proposed Area of Potential Effects (APE) in NHPA process.   | Monte McCue (Westates)             | Steve Armann (US EPA)         |
| <b>2003 11 01 QAPP.pdf</b>   | Quality Assurance Project Plan prepared by Focus Environmental for Westates Carbon-Arizona, Inc. with attachments. (See 2003 12 03 Submittal of PDT_QAPP, DRAWP_Draft SSM Plan.pdf.)   | Focus Environmental, Inc.          | Westates Carbon-Arizona, Inc. |
| <b>2003 11 26 Startup_Shutdown_Malfunction_Plan.pdf</b>                                      | SSMP prepared by Focus Environmental.  | Focus Environmental, Inc.          | Westates Carbon-Arizona, Inc. |
| <b>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use.pdf</b> | Map of the Area of Potential Effects (APE) for the NHPA process that the Tribe originally recommended. Attachment to: <i>2003 09 19 Letter - Requesting Comments on Proposed Area of Potential Effect.</i>   |                                    |                               |
| <b>2003 12 03 Letter Submittal of PDT Plan QAPP etc.pdf</b>                                  | Letter transmitting both the QAPP and the SSMP. Referenced attachments: <i>2003 11 01 QAPP &amp; 2003 11 26 Startup_Shutdown_Malfunction_Plan.</i>   | James Cudahy (Focus Environmental) | Karen Scheuermann (US EPA)    |
| <b>2003 12 03 Submittal of PDT_QAPP, DRAWP_Draft SSM Plan.pdf</b>                            | Letter submitting the Performance Demonstration Test plan, Quality Assurance Project Plan, Draft Risk Assessment Workplan and Draft Startup, Shutdown and Malfunction Plan for the Carbon Reactivation Furnace.  | James Cudahy (Focus Environmental) | Karen Scheuermann (US EPA)    |
| <b>2003 12 05 PDT Plan.pdf</b>   | Response to EPA Comments Document relating to the revised Performance Demonstration Test workplan – trial burn workplan; a redline of the revised trial burn workplan and a redline of the Tables; a redline of Attachment A&B Tables; A clean copy of Revision 1 of the trial burn workplan; revised (clean) tables; and a revised (clean) Attachment A&B Tables. |                                    |                               |
| <b>2003 12 05 QAPP.pdf</b>   | Response to EPA Comments on QAPP; Redline version of revised QAPP; Redline version Tables and Figures (QAPP); Clean Copy of Revised QAPP; clean copy of revised QAPP Tables and Figures.   |                                    |                               |
| <b>2003 12 05 RTC Working Draft RA WP.pdf</b>  | Response to comments re: working draft risk assessment workplan; working draft risk assessment workplan.   |                                    |                               |
| <b>2003 12 05 RTC_Performance_Demo Test Plan.pdf</b>   | Response to comments re: PDT Plan (Trial Burn Workplan) ; Revision 1; Revision 1 Attachment A and B Tables; C James Cudahy (Focus Environmental) to Karen Scheuermann (US EPA) letter; Cover for PDT Plan, QAPP, and Working Draft RA Workplan; Draft SSMP.  |                                    |                               |
| <b>2003 12 05 Section sheets.pdf</b>   | Cover sheets meant to segregate the attachments to letter dated 2003 12 03 and 2003 12 05 in <i>2003 12 05 RTC_Performance_Demo Test Plan.</i>   | Westates Carbon – Arizona Inc.     |                               |
| <b>2003 12 05 Working_Draft_Risk_Assessment_Workplan.pdf</b>                                 | Response to comments re: working draft risk assessment workplan; redline of May 2003 version of working draft risk assessment workplan; Nov. 2003 version of working draft risk assessment workplan.   |                                    |                               |

|  |  |                                   |  |
|--|--|-----------------------------------|--|
|  |  |                                   |  |
| <b>2003 12 15 Letter re Public Access - Jeannie Chavez.pdf</b>                                 | Letter transmitting materials relating to EPA activities pursuant to NHPA, includes an index of the enclosed documents.  | Karen Scheuermann (US EPA)        | Jeannie Chavez (Parker Public Library) |
| <b>2003 12 15 Public Access Amelia Flores.pdf</b>  | Letter transmitting materials relating to EPA activities pursuant to NHPA, includes an index of the enclosed documents.  | Karen Scheuermann (US EPA)        | Amelia Flores (CRIT Library)           |
| <b>2003 12 17 Public Notice Mailing List.pdf</b>   | Redacted for Privacy. Facility mailing list developed by US EPA.   | U.S. EPA                          | Various                                |
| <b>2003 12 17 Public Notice Propsed Area of Potential Effects.pdf</b>                          | Public Notice seeking comment to US EPA on proposed Area of Potential Effects (APE) under NHPA.  |                                   |  |
| <b>2003 12 23 Cover Letter Public Notice_Proposed Area_of Potential Effects.pdf</b>            | Memo transmitting 2003 12 17 public notice re: Proposed Area of Potential Effects for publication in the Parker Pioneer and mailing list. Referenced attachments: <i>2003 12 17 Public Notice Mailing List</i> & <i>2003 12 17 Public Notice Proposed Area of Potential Effects</i> .    | Karen Scheuermann (US EPA)        | File                                   |
| <b>2003 12 23 Letter re Acitivities Conducted pursuant to NHPA - Various Recipients.pdf</b>    | 19 identical letters transmitting notice and invitation to participate in NHPA decision-making process.  | Steve Armann (US EPA)             | Various                                |
| <b>2003 12 30 Letters to Prospective Consulting Parties - DEddyJr.pdf</b>                      | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)             | Daniel Eddy (CRIT Tribal Chairman)     |
| <b>2003 12 30 Letters to Prospective Consulting Parties - JGarrison.pdf</b>                    | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)             | James Garrison (AZ SHPO)               |
| <b>2003 12 30 Letters to Prospective Consulting Parties - LSloan.pdf</b>                       | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)             | Lanny Sloan (Town of Parker)           |
| <b>2003 12 30 Letters to Prospective Consulting Parties - MMcCue.pdf</b>                       | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)             | Monte McCue (Westates)                 |
| <b>2003 12 31 Memo Enclosures to Letters sent on Dec 17 and Dec 23 2003.pdf</b>                | Memo transmitting letters EPA sent to Tribal governments, local governments and federal agencies. Referenced attachments: <i>2003 06 17 EPA Undertaking Under NHPA - Various Recipients</i> & <i>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use</i> . | Karen Scheuermann (US EPA)        | File                                   |
| <b>2004 01 07 Letter Review of 12172003.pdf</b>  | Letter indicating that Yavapai Tribe will defer to CRIT consultation under NHPA.   | Ernest Jones, Sr. (Yavapai Tribe) | Steve Armann (US EPA)                  |
| <b>2004 01 09 Letter re Public Access - Amelia Flores.pdf</b>                                  | Letter transmitting (1) PDT Trial Burn Plan; (2) QAPP; (3) Working Draft Risk Assessment Workplan; and (4) SSMP, none of which are in this pdf file. But see 2003 12 03 and 2003 12 05 documents listed above.   | Karen Scheuermann (US EPA)        | Amelia Flores (Dir. CRIT Library)      |
| <b>2004 01 09 Letter re Public Access - Jeannie Chavez.pdf</b>                                 | Letter transmitting: (1) PDT Trial Burn Plan; (2) QAPP; (3) Working Draft Risk Assessment Workplan; and (4) SSMP, none of which are in this pdf file. But see 2003 12 03 and 2003 12 05 documents listed above.  | Karen Scheuermann (US EPA)        | Jeannie Chavez (Parker Public Library) |
| <b>2004 01 21 Memo Public Notice Air Emissions and RA Public Workshop w_o mailing list.pdf</b> | Memo transmitting Public Notice seeking public comment on Air Emissions Test Plan (Trial Burn Workplan) and Working Draft Risk Assessment Workplan.  | Karen Scheuermann (US EPA)        | File                                   |

|  |  |  |                                  |
|--|--|--|----------------------------------|
| <b>2004 01 23 Letter re Activities Conducted pursuant to NHPA_Deanna Miller.pdf</b>                    | Letter transmitting notice and invitation to participate in NHPA decision-making process.  | Steve Armann (US EPA)                          | Deanna Miller (Bureau of Rec)    |
| <b>2004 01 28 Letter re Activities Conducted Pursuant to NHPA for Permit Decision_Steve Armann.pdf</b> | Letter conveying that CA SHPO has no objection to AZ SHPO being lead on NHPA Consultation.   | Dr. Know Mellon (CA SHPO)                      | Steve Armann (US EPA)            |
| <b>2004 02 04 Letter Dec 2003 PDT Plan Electronic format and hard copies.pdf</b>                       | Letter transmitting tables and figures from Performance Demonstration Test Plan (Trial Burn Workplan).   | James Cudahy (Focus Environmental)             | Karen Scheuermann (US EPA)       |
| <b>2004 02 09 Email_NHPA Response Consultation.pdf</b>   | Email re: consultation under NHPA. Seeks contact only if human remains discovered during activities.   | Britt Wilson (Morongo Band of Mission Indians) | Steve Armann (US EPA)            |
| <b>2004 02 11 Public_Workshop_Public_Hearing w o sign in sheets &amp; incomplete pp.pdf</b>            | Materials from Public Meeting/Workshop. For complete version Supp AR - <i>2004 02 11 Public Workshop Hearing.pdf</i>   |  |                                  |
| <b>2004 02 18 News Article EPA Fields Questions About Westates.pdf</b>                                 | Article from the Parker Pioneer dated 2004 02 18, entitled "EPA fields questions about Westates."  | John Gutekunst (Parker Pioneer)                |                                  |
| <b>2004 04 22 Email Comment on Draft Minutes for 033104.pdf</b>  | Email string regarding transmittal of draft minutes and clarification regarding extrapolation of the risk assessment metals.   | Tony Eicher (Focus Environmental)              | Mary Blevins (US EPA)            |
| <b>2004 04 30 Email Question on Metals Classification.pdf</b>  | Email regarding transmittal of text file with metal volatility table filled in.  | Stacy Braye (US EPA)                           | Mary Blevins (US EPA)            |
| <b>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time.pdf</b>                              | Paper presenting a technique to calculate the combustion gas flow rate and the residence time of a combustion chamber when only stack gas data and the combustion chamber temperature are available. | Tony Eicher (Focus Environmental)              |                                  |
| <b>2004 05 20 Containment Area Plan Map.jpg</b>  | Draft diagram of containment area plan map.  |  |                                  |
| <b>2004 05 20 Sheet showing different slaps.jpg</b>  | Draft diagram of various slabs.  |  |                                  |
| <b>2004 06 09 Letter re Consultation regarding Air Emissions.pdf</b>                                   | Duplicate of <i>2004 06 09 Consultation regarding Air Emissions Test</i> .   | Jeff Scott (US EPA)                            | Chairman Daniel Eddy, Jr. (CRIT) |
| <b>2004 08 13 Memo_Working Draft_Programmatic Agreement.pdf</b>  | Duplicate of 2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process without attachments.   | Karen Scheuermann (US EPA)                     | George Ray (CRIT)                |
| <b>2004 09 08 Letter re Minimum Bottom Hearth Furnace Temperature.pdf</b>                              | Letter methodology that may be employed to demonstrate the minimum operating temperature of the furnace.   | Monte McCue (US Filter)                        | Steve Armann (US EPA)            |
| <b>2004 12 08 Letter re Consultation Regarding Air Emissions Test.pdf</b>                              | Letter re CRIT believes that the best way to insure the health and safety of its membership and environment is to allow the test to be conducted.  | Chairman Daniel Eddy, Jr. (CRIT)               | Jeff Scott (US EPA)              |
| <b>2005 TSD Vol3 – MACT Standards.pdf</b>  | Technical Support Document for Hazardous Waste Combustors (HWC) Maximum Achievable Control Technology (MACT) Standards, Volume III: Selection of MACT Standards.                                     | US EPA   |                                  |
| <b>2005 01 01 Carbon Reactivation Diagram.pdf</b>  | Handwritten notes by EPA personnel on facility diagram indicating application of various requirements to various pieces of equipment.  |  |                                  |
| <b>2005 02 24 2002 Total Annual Benzene Report.pdf</b>   | Letter transmitting 2004 Benzene NESHAP Report.  | Willard Bolyard, Jr. (US Filter Westates)      | John Kim (US EPA)                |

|   |  |                                   |                                  |
|---|--|-----------------------------------|----------------------------------|
| <b>2005 03 16 PDT Plan Rev1 USEPA R9 Approval.pdf</b>   | Letter transmitting approval and conditions of approval of Performance Demonstration Test Plan Revision 1 (Trial Burn Workplan) and Working Draft Risk Assessment Workplan.                | Steve Armann (US EPA)             | Monte McCue (US Filter Westates) |
| <b>2005 03 16 Status of Carbon Regeneration Units Under RCRA and Subpart X Prmt Conditions.pdf</b>        | Memo re: status of carbon regeneration units under RCRA and Proposed Subpart X Permit Conditions for Envirorotrol. This document is RCRA Online 14242.                                     | Elizabeth Cotsworth (US EPA)      | Paul Gotthold (US EPA)           |
| <b>2005 03 16 Transmittal and Conditional Approval for CRFPD Test Plan.pdf</b>                            | Duplicate of <i>2005 03 16 PDT Plan Rev1 USEPA R9 Approval</i> .   | Steve Armann (US EPA)             | Monte McCue (US Filter Westates) |
| <b>2005 03 22Email_Westates Permit - Closure Plan and FR Issue-2.pdf</b>                                  | Document was inadvertently included in Draft Permit AR and has been removed. It is a privileged attorney-client communication and is not being relied upon for this final permit decision. | —                                 | —                                |
| <b>2005 03 29 Email_Permit Applciation Certification Languauge.pdf</b>                                    | Email message re: draft certification language for tribal signature on permit application.   | Mimi Newton (US EPA)              | Eric Shepard (CRIT)              |
| <b>2005 04 14 Memo of Record Notif_Change Cocktail Organic Compound in Performance Demo Test Plan.pdf</b> | Memo approving change of one of the cocktail organic compounds in Section 4.4.4. of the PDT Plan (Trial Burn Workplan) with attached Nov. 2003 PDT Plan (Trial Burn Workplan).             | Drew Bolyard (US Filter Westates) | US EPA                           |
| <b>2005 04 14 Memo of Record.pdf</b>  | Duplicate of cover page in <i>2005 04 14 Memo of Record Notif_Change Cocktail Organic Compound in Performance Demo Test Plan</i> .   | Drew Bolyard (US Filter Westates) | US EPA                           |
| <b>2005 05 18 Email_New Director at CRIT Env Protection Office.pdf</b>                                    | Email advising of new director at CRIT EPO, Diana De Leon.   | Karen Scheuermann (US EPA)        | Various                          |
| <b>2005 05 19 Email_Rescheduling the dioxin workshop.pdf</b>  | Email regarding notifying tribe and CRIT EPO about new date for dioxin workshop.   | Wenona Wilson (US EPA)            | Various                          |
| <b>2005 05 27 Emails_WP File With Comments on RF1 Closure.pdf</b>   | Email messages regarding EPA's review of RF-1 Closure Plan. Referenced document: <i>2005 05 27 EPA Comments on Closure Plan</i> .  | Monte McCue (US EPA)              | Mary Blevins (US EPA)            |
| <b>2005 05 27 EPA Comments on Closure Plan.pdf</b>  | Letter transmitting comments on closure plan for RF-1.   | Steve Armann (US EPA)             | Monte McCue (US Filter Westates) |
| <b>2005 05 27 Letter re Comments On Closure Plan for CRF Unit RF-1 06142002 .pdf</b>                      | Duplicate of <i>2005 05 27 EPA Comments on Closure Plan</i> with signature.  | Steve Armann (US EPA)             | Monte McCue (US Filter Westates) |
| <b>2005 06 01 US Filter Certification of Ind User Rpts by M McCue.pdf</b>                                 | Letter identifying Monte McCue and Willard Bolyard, Jr. to certify industrial user reports under the Clean Water Act.  | Check Gordon (Westates Carbon)    | Keith Silva (US EPA)             |
| <b>2005 06 03 Email_Re_WP File With Comments on RF1 Closure.pdf</b>                                       | Email messages regarding EPA's review of RF-1 Closure Plan.  | Monte McCue (US Filter Westates)  | Mary Blevins (US EPA)            |
| <b>2005 06 08 Email_Re FYI Westates Part A 1996 signed by Tribe.pdf</b>                                   | Document was inadvertently included in Draft Permit AR and has been removed and is not being relied upon for this final permit decision.   | —                                 | —                                |
| <b>2005 06 08 Emails_RF1 Closure Date.pdf</b>   | String of email messages including EPA response to previous message and including an email to the file re: RF-1 Closure date was 1996 06 09 per Monte McCue on 2005 06 07.                 | Mary Blevins (US EPA)             | Monte McCue (US Filter Westates) |
| <b>2005 06 28 Email_Dave Harper Monthly Packets.pdf</b>   | Email regarding monthly document production provided to Dave Harper and whether Bradley could also receive these documents.  | Steve Armann (US EPA)             | Bradley Angel (Green Action)     |

|   |   |   |                                   |
|---|---|---|-----------------------------------|
| <b>2005 07 06 Email_Re Westates FOIA.pdf</b>  | Email regarding preliminary emissions test (trial burn) in April 2005, response to FOIA request from Bradley Angel, and EPA review of results of preliminary test prior to official test (trial burn).  | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 06 Email_Re Westates test burns 07062005_121PM.pdf</b>                   | Email chain regarding the Evoqua/ Westates test burns 07062005_121PM.   | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 06 Email_Re Westates test burns_07062005_1155AM.pdf</b>                  | Email chain regarding the Evoqua/ Westates test burns _07062005_1155AM.   | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 08 15 Email_Re RF-1 Closure Plan Meeting.pdf</b>                            | Email confirming a call to discuss the RF-1 Closure Plan.   | Monte McCue (US Filter Westates)                          | Various                           |
| <b>2005 09 07 Revised Closure Plan for CRU RF-1.pdf</b>                             | Letter with the attached revised Closure Plan for CRU RF-1.   | Drew Bolyard (US Filter Westates)                         | Mary Blevins (US EPA)             |
| <b>2005 09 14 Letter re Merger of Westates into US Filter_Sept2005.pdf</b>          | Letter regarding the Merger of Westates Carbon into US Filter.  | Brent Hillier (US Filter)                                 | Mimi Newton (US EPA)              |
| <b>2005 09 16 Email_Action Needed Question on air emissions Test.pdf</b>            | Email chain concerning the PDT emission test.   | Tony Eicher (Focus Environmental)                         | Mary Blevins (US EPA)             |
| <b>2005 10 03 Preliminary Comments dated Nov2005 through Aug 2002 Revisions.pdf</b> | Letter with attachment titled Preliminary Comments on the RCRA Part A and Part B application from Nov 1995 through Aug 2002 Revisions.  | Steve Armann (US EPA)                                     | Monte McCue (US Filter)           |
| <b>2005 10 07 Letter re Merger of Westates into US Filter_Oct2005.pdf</b>           | Letter regarding the Merger of Westates Carbon into US Filter.  | Brent Hillier (US Filter Westates)                        | Mimi Newton (US EPA)              |
| <b>2005 10 12 Federal Register Rules and Regs Vol 70_No 196.pdf</b>                 | Federal Register Rules and Regulations Vol 70_No 196 page 59410 through 59411 and 59420. Also, it contains Part 63.1200 (Subpart EEE). Also, contains Appendix C that is titled "Supporting Data for Stack Emission Rates".   |   |                                   |
| <b>2005 10 25 Letter re Tank T-6 Assessment.pdf</b>                                 | Letter regarding the Tank T-6 Assessment.   | Alexander Zuran III (Phoenix National Laboratories, Inc.) | Drew Bolyard (US Filter Westates) |
| <b>2005 12 06 Letter re Westates Carbon Tank Repair Certification.pdf</b>           | Letter regarding the Carbon Tank Repair Certification.  | Drew Bolyard (US Filter Westates)                         | Wayne Nastri (US EPA)             |
| <b>2006 01 01 Air Emissions.pdf</b>   | Instrumentation calibration, inspection forms, Summary of Target Volatile Organic Analytes, Summary of Target Semivolatile Organic Analytes, Summary of Target PAH Analytes, Summary of Target PCB Analytes, Summary of Target OCP Analytes, Summary of Target Dioxin/Furan Analytes, and Summary of Target Metal Analytes. |   |                                   |
| <b>2006 01 01 Annual Benzene Report.pdf</b>   | 2007 Annual Benzene Report and 2006 Annual Benzene Report with a letter dated 2007 03 13.   |   |                                   |
| <b>2006 01 01 TRI.pdf</b>   | Toxic Release Inventory (TRI) report for the years 2006 and 2007.   |   |                                   |
| <b>2006 01 05 Fax Transmittal Evase.pdf</b>   | 2006 01 05 Fax Transmittal Evase and Stack Diagram.   | Drew Bolyard (US Filter Westates)                         | Mary Blevins (US EPA)             |
| <b>2006 01 20 Email Fw Method 1 for Westates.pdf</b>                                | Email regarding the use of EPA Method 1 for Evoqua PDT for the determination of the traverse point locations for the testing to be done on RF-2 stack.  | Tony Eicher (Focus Environmental)                         | Mary Blevins (US EPA)             |

|  |  |                                   |                                       |
|--|--|-----------------------------------|---------------------------------------|
| <b>2006 02 09 Closure Plan for Carbon Reactivation Unit RF-1.pdf</b>         | Closure Plan for Carbon Reactivation Unit RF-1. This also contains the QAPP. It contains the Closure Plan Discussion (Section H) dated 2002 08 19. | Focus Environmental, Inc.         | Westates Carbon-Arizona, Inc.         |
| <b>2006 02 15 Email_ Westates Emissions Test.pdf</b>                         | Email chain regarding the Evoqua/ Westates PDT test burn and the preliminary test conducted by Evoqua prior to the PDT test.                       | Steve Armann (US EPA)             | Bradley Angel (Green Action)          |
| <b>2006 02 16 Email re Response to EPA Comments for 2003 QAPP.pdf</b>        | Email regarding the Response to EPA Comments for 2003 QAPP.  | Monte McCue (Westates)            | Mary Blevins (US EPA)                 |
| <b>2006 02 16 email string re min temp test EPA _ US FILTER WESTATES.pdf</b> | Email string regarding the minimum hearth temperature test detection limits.   | Drew Bolyard (Westates)           | Various                               |
| <b>2006 02 27 Letter Concerning NHPA process.pdf</b>                         | Letter concerning NHPA process.  | Mike Tsosie (CRIT THPO)           | Karen Scheuermann (US EPA)            |
| <b>2006 02 27 Letter re Concerning APE.pdf</b>                               | Letter concerning the APE.   | Mike Tsosie (CRIT THPO)           | Karen Scheuermann (US EPA)            |
| <b>2006 02 27 Letter Review of Mohave Program Letter of 2002.pdf</b>         | Letter concerning Review of Mohave Program Letter of 2002.   | Mike Tsosie (CRIT THPO)           | Karen Scheuermann (US EPA)            |
| <b>2006 02 28 Letter Concerning Public Access Amelia Flores.pdf</b>          | Letter concerning Public Access to the Administrative Record documents at the CRIT Library.  | Karen Scheuermann (US EPA)        | Amelia Flores (CRIT Library)          |
| <b>2006 02 28 Letter Concerning Public Access JSmith.pdf</b>                 | Letter concerning Public Access to the Administrative Record documents at the Parker Public Library.   | Karen Scheuermann (US EPA)        | Jeannie Smith (Parker Public Library) |
| <b>2006 03 01 Memorandum Split Sampling Plan.pdf</b>                         | Memorandum regarding the Split Sampling Plan during the PDT.   | Katherine Baylor (US EPA)         | Eugenia McNaughton (US EPA)           |
| <b>2006 03 01 Memorandum Westates Web Page Launch.pdf</b>                    | Memo regarding Evoqua/Westates web page on the EPA website in March 1, 2006.   | Karen Scheuermann (US EPA)        | File                                  |
| <b>2006 03 01 Public Notice to Air Emissions Test wo mailing list.pdf</b>    | Memo regarding Evoqua/Westates Public Notice announcing the Air Emissions Test without the mailing list.   | Karen Scheuermann (US EPA)        | File                                  |
| <b>2006 03 06 Memo QAPP for Closure of CRU RF-1 - Mar 2006.pdf</b>           | Memo from regarding the review of the QAPP for the PDT.  | David Taylor (US EPA)             | Mary Blevins (US EPA)                 |
| <b>2006 03 06 QAPP Comments.pdf</b>  | 2006 03 06 Responses to QAPP comments.   |                                   |                                       |
| <b>2006 03 07 Email_ Comments on 2003 QAPP.pdf</b>                           | Email regarding the review of the Evoqua 2003 QAPP. Referenced document: <i>2006 03 06 QAPP Comments</i> .   | David Taylor (US EPA)             | Mary Blevins (US EPA)                 |
| <b>2006 03 16 2005 Total Annual Benzene Report.pdf</b>                       | Letter concerning the Total Annual Benzene Report. Attachment is part of pdf file.   | Willard Bolyard (US Filter)       | John Kim (US EPA)                     |
| <b>2006 03 17 Memo SSP for CRU PDT - Mar172006.pdf</b>                       | Memo regarding the Split Sampling Plan (SSP) for PDT.  | David Taylor (US EPA)             | Mary Blevins (US EPA)                 |
| <b>2006 03 20 Email - Request for status on response to EPA comments.pdf</b> | Email chain regarding the status of the response to EPA's comments.  | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)                 |
| <b>2006 03 21 Email - Methanol Preservation.pdf</b>                          | Email chain regarding the use of Methanol for the Preservation of the samples.   | Teri White (Focus Environmental)  | Katherine Baylor (US EPA)             |

|  |  |                                  |   |
|--|--|----------------------------------|---|
| <b>2006 03 21 Memo SSP for CRU PDT - Mar212006.pdf</b>                             | Memo regarding the Split Sampling Plan (SSP) for PDT.  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 03 23 Email First response to EPA Comments on QAPP Rev 1.pdf</b>           | Email chain regarding the first response to EPA's Comments on QAPP Rev 1.  | Mary Blevins (US EPA)            | David Taylor (US EPA)                           |
| <b>2006 03 23 Email_Pretest Calibrations.pdf</b>                                   | Email chain regarding the pretest calibrations.  | Drew Bolyard (Westates)          | Mary Blevins (US EPA)                           |
| <b>2006 03 23 Revised SSP.pdf</b>  | 2006 03 23 Revised Split Sampling Plan (SSP).  | Katherine Baylor (US EPA)        |   |
| <b>2006 03 24 Memo SSP for CRU PDT - Ma242006.pdf</b>                              | Memo from EPA Quality Review regarding the Split Sampling Plan (SSP) for PDT.  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 03 26 Letter to thank for public access to documents.pdf</b>               | Letter CRIT Library Director to thank them for public access to documents at the CRIT Library.   | Karen Scheuermann (US EPA)       | Amelia Flores (CRIT Library)                    |
| <b>2006 03 27 Corrective Action Request.pdf</b>                                    | Duplicate of <i>2006 06 30 Carbon Rctvtn Furfance RF-2 Prfrmnc Demo Test_App C Westates CARs.</i>  | Teri White (Focus Environmental) |   |
| <b>2006 03 28 Field Report.pdf</b>   | EPA's Field Report for the collecting and analyzing Split Samples of spent carbon, wastewater, scrubber blowdown at the Evoqua facility. | Katherine Baylor (US EPA)        |   |
| <b>2006 03 30 Memo - PDT Pictures .pdf</b>   | Memo from Evoqua to EPA and CRIT EPO providing PDT Pictures.   | Drew Bolyard (Westates)          | Hector Duran (CRIT EPO) & Mary Blevins (US EPA) |
| <b>2006 03 30 Memo QAPP for the Carbon Reactivation Unit.pdf</b>                   | Duplicate of <i>2006 03 30 Memo - QAPP for CRU PDT.</i>  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 04 27 Analytical Testing Results - Proj R06R01.pdf</b>                     | Letter regarding the results of the Analytical Testing.  | Brenda Bettencourt (US EPA)      | Katherine Baylor (US EPA)                       |
| <b>2006 05 01 Map Proposed Area of Potential Effects.pdf</b>                       | Map of the proposed Area of Potential Effects (APE).   |                                  |   |
| <b>2006 05 03 DSW Tests RF-2 at 1350 H5 Full Report.pdf</b>                        | Sample Summary for RF-2 at 1350 at Hearth #5.  |                                  |   |
| <b>2006 05 15 Memo QAPP for Closure of CRU RF-1.pdf</b>                            | Memo regarding the review of the QAPP for the Closure of RF-1 carbon reactivation unit.  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 05 15 Memorandum QAPP_for_Closure of CR Unit.pdf</b>                       | Duplicate of <i>2006 05 15 Memo QAPP for Closure of CRU RF-1.</i>  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 05 15 Quality Assurance Management System.pdf</b>                          | Quality Assurance Management System and emails documenting the approval by EPA.  | David Taylor (US EPA)            | Mary Blevins (US EPA)                           |
| <b>2006 05 26 Re_Maintaining Public Access for EPA documents_Jeannie Smith.pdf</b> | Letter thanking Parker Public Library for maintaining Public Access for EPA documents at the Parker Public Library.                      | Karen Scheuermann (US EPA)       | Jeannie Smith (Parker Public Library)           |
| <b>2006 05 31 Public Notice for Proposed Area of Potential Effects.pdf</b>         | Public notice for proposed area of potential effects for US Filter Westates under Section 106 of the National Historic Preservation Act. |                                  |   |



|  |   |   |  |
|--|---|---|--|
| <b>2006 05 31 Telephone Call Response to Public Notice.pdf</b>   | Telephone call summary regarding the Evoqua APE Public Notice.  | Karen Scheuermann (US EPA)                    |  |
| <b>2006 06 03 Siemens Periodic Rpts Continued Compliance 40 CFR 403.12_e.pdf</b>                               | Letter containing the Siemens Periodic Reports measuring flow of the waste water as perm 40 CFR 403.12(b)(4) and pollutant measurement per 40 CFR 403.12(b)(5).       | Monte McCue (Siemens)                         | Keith Silva (US EPA)                           |
| <b>2006 06 08 Public Notice for Proposed Area Potential Effects w o mailing list.pdf</b>                       | Memo regarding Evoqua/Westates Public Notice for Proposed Area Potential Effects (APE).   | Karen Scheuermann (US EPA)                    | File   |
| <b>2006 06 15 Tech Memo Evaluation of Spike Results for EPA Method 0030.pdf</b>                                | Tech Memo Evaluation of Spike Results for EPA Method 0030 for the Performance Demonstration Test (PDT).   | Steve Hoyt (Environmental Analytical Service) | Steve Remaley (US EPA)                         |
| <b>2006 06 20 Email_Westates Trial Burn Method.pdf</b>   | Email containing the Tech Memo Evaluation of Spike Results for EPA Method 0030 for the Performance Demonstration Test (PDT).  | Steve Remaley (US EPA)                        | Mary Blevins (US EPA)                          |
| <b>2006 06 29 Email_Air Emissions Test.pdf</b>   | Email chain regarding the PDT Report (Air Emissions Test Report) and the "Notification of Compliance" that is required within 90 days as per 40 CFR 63.1207(j)(1)(i). | Monte McCue (Siemens)                         | Mary Blevins (US EPA) & Drew Bolyard (Siemens) |
| <b>2006 06 30 CA_FO Agreement.pdf</b>  | Duplicate of <i>2006 06 30 Executed CAFO_06302006</i> .   | Jeff Scott (US EPA)                           | Monte McCue (Siemens)                          |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test.pdf</b>   | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT)   | Focus Environmental, Inc.                     | Siemens Water Technologies, Corp.              |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App A.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App A   |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App B Test Manager's Log.pdf</b>                    | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App B Test Manager's Log  |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App C Westates CARs.pdf</b>                         | Corrective Action Request for the PDT to change the target analytes in the PDT Work Plan to match the selected lab target analytes.                                   |   | Teri White (Focus Environmental)               |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App D Process Field Sampling Records.pdf</b>        | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App D Process Field Sampling Records.  |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App E Westates PDT Master Sample List.pdf</b>       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App E Westates PDT Master Sample List   |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App F.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App F.   |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App G.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App G.   |   |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App H Westates Data Validation Report Rev 0.pdf</b> | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App H, Westates Data Validation Report Rev 0.  |   |  |

|  |   |  |   |
|--|---|--|---|
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_App I Airtech Stack Test Report.pdf</b>                              | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App I Airtech Stack Test Report.                          |  | Focus Environmental, Inc.               |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_App J ESS Spiking Report.pdf</b>                                     | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App J, ESS Spiking Report.                                | Engineered Spiking Solutions, Inc.                           |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_App K Airtech CEMS Report.pdf</b>                                    | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App K, Airtech CEMS Report.                              |  |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_App L Westates Calibration Records.pdf</b>                           | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App L Westates Calibration Records.                      |  |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_Feed and Process Data Packages.pdf</b>                               | 2006 06 30 Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), Feed and Process Data Packages.               |  |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_Stack Data Packages.pdf</b>  | Letter enclosing report of results for the particle size analysis of eight samples, STL project No. 142541.                     | Tim Vander Wood & Bryan Brandli (MVA Scientific Consultants) | Patti Carswell (Severn Trent)           |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_Volume I PDT Report &amp; Appendices A - H Table of Contents.pdf</b> | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume I PDT Report & Appendices A - H Table of Contents. |  |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_Volume II Appendices I - L Table of Contents.pdf</b>                 | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume II, Appendices I – L, Table of Contents.           |  |   |
| <b>2006 06 30 Carbon Rctvtn Furfnc RF-2 Prfrmnc Demo Test_Volume III Analytical Data Packages Table of Contents.pdf</b>        | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume III, Analytical Data Packages, Table of Contents.  |  |   |
| <b>2006 06 30 Memo QAPP for Closure of CRU RF-1.pdf</b>  | Memo regarding QAPP for Closure of CRU RF-1.  | David Taylor (US EPA)  | Mary Blevins (US EPA)                   |
| <b>2006 06 30 PDT Report.pdf</b>   | Performance Demonstration Test (PDT) Report.  | Focus Environmental, Inc.                                    | Siemens Water Technologies, Corp.       |
| <b>2006 06 30 QAPP_for_Closure of CR Unit.pdf</b>  | Memo regarding the review of the QAPP and SAP for Closure.  | David Taylor (US EPA)  | Mary Blevins (US EPA)                   |
| <b>2006 08 02 Memo Westates web page in Aug 2006.pdf</b>   | Memo regarding Evoqua/Westates web page on the EPA website in Aug 2006.   | Karen Scheuermann (US EPA)                                   | File                                    |
| <b>2006 08 09 Public Notice for Extended Comment Period.pdf</b>  | Memo regarding the Public Notice for the Extension of the Public Comment Period.  | Karen Scheuermann (US EPA)                                   | File                                    |
| <b>2006 08 11 Email_CAFO Requirement_Inspections.pdf</b>   | Email regarding CAFO requirement for Inspections.   | Monte McCue (Siemens)  | Kandice Bellamy & Mary Blevins (US EPA) |

|  |  |  |  |
|--|--|--|--|
| <b>2006 09 26 Email_Stack_Test_Report.pdf</b>  | Email regarding the Stack Test Report (PDT Report) having been sent to CRIT AG (Eric Shepard) and CRIT contractor (Arcadis) .  | Monte McCue (Siemens)                    | Mary Blevins (US EPA)  |
| <b>2006 10 03 Email_T-18 Replacement.pdf</b>   | Email requesting a 45-day extension to the installation of T-18 Replacement in the CAFO.   | Monte McCue (Siemens)                    | Kandice Bellamy & Loren Henning (US EPA)                               |
| <b>2006 10 31 Email_Hexavalent chromium sample.pdf</b>   | Email regarding the Hexavalent Chromium Sample G-2945.   | Carl Brickner (US EPA)                   | Teri Bales (Focus Environmental)                                       |
| <b>2006 10 31 Email_Re_Hexavalent Chromium Saample G-2945.pdf</b>  | Email regarding the Hexavalent Chromium Sample G-2945.   | Teri Bales (Focus Environmental)         | Carl Brickner (US EPA)   |
| <b>2006 11 02 Email_Response re Stack Gas Flow.pdf</b>   | Email forwarding <i>2006 11 02 Email-Response Concerning Stack Gas Flow Monitor.</i>   | Mary Blevins (EPA)                       | Carl Brickner (EPA)  |
| <b>2006 11 09 Memo Review of Carbon Reactivation Furnace-2.pdf</b>   | Duplicate of <i>2006 11 09 Memo - Review of Carbon RF-2 PDT Data Validation Report</i> with signatures.  | Mary Blevins (EPA)                       | Carl Brickner (EPA)  |
| <b>2006 11 13 Email_CAFO-Containment Pad.pdf</b>   | Email regarding the Containment Pad in the CAFO.   | Monte McCue (Siemens)                    | Kandice Bellamy (US EPA)   |
| <b>2006 11 20 Memo Review of Metals Data.pdf</b>   | Duplicate of <i>2006 11 20 Memo - Review of Metals Data Carbon RF-2 Performance Demonstration Test Report</i> without signatures.  | Joseph Eidelberg (US EPA)                | Mary Blevins (US EPA)  |
| <b>2006 11 30 Letter Request for Complete Part B Permit Application and HH Eco Assessment.pdf</b>            | Duplicate of <i>2006 11 30 Request for Complete Part B Permit Application and HH and Eco Risk Assessment Report.</i>   | Bob Fitzgerald (US EPA)                  | Monte McCue (Siemens)  |
| <b>2006 12 01 Memorandum Various_Analytical Data_Tier 3.pdf</b>  | Memo regarding the various analytical data Tier 3.   | Doug Lindelof (ESAT)                     | Mary Blevins (US EPA)  |
| <b>2006 12 04 NHPA Meeting Notes.pdf</b>   | NHPA Meeting Notes between EPA and CRIT. Purpose is to discuss the progress of the NHPA process at the Siemens facility and the 1 mile radius area of potential effects (APE). |  |  |
| <b>2006 12 26 Email_Part B Submittal.pdf</b>   | Duplicate of <i>2006 12 26 Email_Siemens Water Technology Part B Submittal.</i>  | Monte McCue (Siemens)                    | Bob Fitzgerald (US EPA)  |
| <b>2006 12 26 Email_Siemens Water Technology Part B Submittal.pdf</b>  | Email requesting an extension for the submittal of the Part B application to 2007 03 15.   | Monte McCue (Siemens)                    | Bob Fitzgerald (US EPA)  |
| <b>2006 training figures</b>   | Document folder containing 2006 training figures.  |  |  |
| <b>2007 01 18 Vapor Phase Carbon Process Flow Diagram.jpg</b>  | Diagram of Vapor Phase Carbon Process Flow Diagram Balance for RF-2.   |  |  |
| <b>2007 01 22 Email_11_30_2006_EPA_Letter.pdf</b>  | Email requesting an extension for the submittal of the Part B application to 2007 03 01 referencing 40 CFR 270.10(e)(4).   | Monte McCue (Siemens)                    | Mary Blevins & Bob Fitzgerald (US EPA)                                 |
| <b>2007 01 26 Review_Siemens Carbon Reactivation Furnace RF-2 Performance Demo Test Report June 2006.pdf</b> | Review comments from CRIT contractor who reviewed the PDT report on behalf of CRIT.  | Kathryn Brantingham (ARCADIS U.S., Inc.) | Eric Shepard (CRIT)  |
| <b>2007 01 30 Section 106 NHPA Packet.pdf</b>  | Letters with an attachment of the Section 106 NHPA Packet.   | Karen Scheuermann (US EPA)               | Jeannie Smith (Parker Public Library) Dr. Michael Tsosie (CRIT Museum) |

|  |   |                                   |                                   |
|--|---|-----------------------------------|-----------------------------------|
| <b>2007 01 31 Email-Siemens Part B Extension Request.pdf</b>   | Email requesting an extension for the submittal of the Part B application and EPA's reply granting the one-time extension.  | Bob Fitzgerald (US EPA)           | Monte McCue (Siemens)             |
| <b>2007 01 31 Letter Regarding Public Access.pdf</b>   | Letter regarding providing Public Access to the Evoqua Information Repository (IR) and moving the IR from the CRIT Library to the CRIT Museum.  | Karen Scheuermann (US EPA)        | Amelia Flores (CRIT)              |
| <b>2007 02 01 Carbon Reactivation Facility RCRA Part B Permit App_v1.pdf</b>   | Evoqua/Siemens RCRA Part B Permit Application Volume 1 of 2   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 01 Carbon Reactivation Facility RCRA Part B Permit App_v2.pdf</b>   | Evoqua/Siemens RCRA Part B Permit Application Volume 2 of 2   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 01 Comments on Feb 2007 part B Permit Application.pdf</b>   | Duplicate of <i>2007 02 01 Comments on Feb2007_Part B Permit Application</i> .  |                                   |                                   |
| <b>2007 02 01 Comments on Feb2007_Part B Permit Application.pdf</b>  | EPA Comments on the Feb 2007 Evoqua Part B Permit Application.  |                                   |                                   |
| <b>2007 02 02 Email_Westates - Lab Response to Hexavalent Chromium Sample G-2945.pdf</b>   | Email with an attached letter from STL Labs to Focus Environmental regarding the STL Lab Response to the custody of the Hexavalent Chromium Sample G-2945.  | Teri Bales (Focus Environmental)  | Carl Brickner (US EPA)            |
| <b>2007 02 02 FYI Westates Response re Sample Custody.pdf</b>  | Emails with response to an email concerning the Sample Custody of the PDT trial burn samples.   | Teri Bales (Focus Environmental)  | Mary Blevins (US EPA)             |
| <b>2007 02 14 Public Comment Letter to K Scheuermann EPA.pdf</b>   | Public comment letter.  | (Illegible)                       | Karen Scheuermann (US EPA)        |
| <b>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - Various Recipients.pdf</b>  | Letters to stakeholders regarding the determination of Area of Potential Effects (APE) and Request for Information on Historic Properties under NHPA.   | Bob Fitzgerald (US EPA)           | Various                           |
| <b>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - with Enclosures.pdf</b>     | Duplicate of <i>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - Various Recipients</i> including all attachments. | Bob Fitzgerald (US EPA)           | Various                           |
| <b>2007 02 23 DRE Calculations.pdf</b>   | Letter concerning the DRE Calculations and the trial burn report.   | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)             |
| <b>2007 02 27 Email_Furnace Feed_Tank Replacement.pdf</b>  | Email notifying that the Furnace Feed Tank (H-18 or T-18) replacement that is double walled and made of 304SS was installed that morning.   | Monte McCue (Siemens)             | Kandice Bellamy (US EPA)          |
| <b>2007 03 02 Memorandum Public Notice for Designation of Area Potential Effects and Request for Info About Historic Properties for the PermitDecision.pdf</b> | Memorandum regarding Public Notice for Designation of Area Potential Effects (APE) and Request for Info About Historic Properties for the NHPA for the Evoqua Permit Decision.                    | Karen Scheuermann (US EPA)        | File                              |
| <b>2007 03 05 Public Notice - Determination of Area of Potential Effects_2.pdf</b>   | Public Notice for the determination of Area of Potential Effects (APE) for the NHPA under Section 106.  |                                   |                                   |
| <b>2007 03 08 Letter in response to 2007 02 16 Letter from EPA.pdf</b>   | Letter in response to 2007 02 16 Letter from EPA concerning the APE for the NHPA.   | Howard Magill (CRIT Museum)       | US EPA                            |

|  |  |   |   |
|--|--|---|---|
| <b>2007 03 12 Email Re Siemens NHPA - Extension to the Public Comment Period.pdf</b>   | Duplicate of <i>2007 03 12 Email_Extension_to the Public Comment Period.</i>   | Gila River Alliance for a Clean Environment | Bradley Angel (Green Action) & Karen Scheuermann (US EPA) |
| <b>2007 03 12 Email_Extension_to the Public Comment Period.pdf</b>   | Email chain regarding the extension to the Public Comment Period for the NHPA.   | Gila River Alliance for a Clean Environment | Bradley Angel (Green Action) & Karen Scheuermann (US EPA) |
| <b>2007 03 12 Letter from AZ State Parks after review of documents submitted on 2007 02 26.pdf</b>                                       | Letter pursuant to Section 106 of the National Historic Preservation Act as implemented by 36 CFR 800.   | Matthew Bilsbarrow (ASHPO)                  | Bob Fitzgerald (US EPA)                                   |
| <b>2007 03 12 Letter Re_Permit for Siemens.pdf</b>   | Duplicate of <i>2007 03 12 Letter from AZ State Parks after review of documents submitted on 2007 02 26.</i>   |   |   |
| <b>2007 03 13 Letter 2006 Total Annual Benzene Report.pdf</b>  | Letter regarding the 2006 Total Annual Benzene (TAB) Report per 40 CFR 61.357.   | Deborah Foster (Siemens)                    | John Kim (US EPA)   |
| <b>2007 03 14 Email_Fw Contacts for Siemens NHPA.pdf</b>   | 2007 03 14 Email with list of Contacts (stakeholders) for Evoqua/Siemens NHPA.   | Karen Scheuermann (US EPA)                  | Mary Blevins & Bob Fitzgerald (US EPA)                    |
| <b>2007 03 16 Response to Comments - Reactivation Furnace Performance Demo Test Data Review.pdf</b>                                      | Duplicate of <i>2007 03 16 RTC PDT Test Data Review.</i>   | Tony Eicher (Focus Environmental)           | Mary Blevins (US EPA)                                     |
| <b>2007 03 16 RTC PDT Test Data Review.pdf</b>   | Letter with response to EPA comments on the Performance Demonstration Test (PDT) data review.  | Tony Eicher (Focus Environmental)           | Mary Blevins (US EPA)                                     |
| <b>2007 03 23 Email Re Siemens NHPA -- request of Mohave Elders for Meeting with US EPA.pdf</b>  | Email chain regarding NHPA and confirming a meeting on 2007 04 02 between Mohave Elders and EPA. Also, objecting to Mr. Michael Tsosie facilitating the meeting.   | Bradley Angel (Green Action)                | Bob Fitzgerald (US EPA) & David Harper (Mohave Elders)    |
| <b>2007 03 30 Emails Re Request Confirmation of April 2 Meeting re Siemens NHPA -- Request of Mohave Elders for Meeting.pdf</b>          | Email chain regarding NHPA and confirming a meeting on 2007 04 02 between Mohave Elders and EPA.   | Bob Fitzgerald (US EPA)                     | David Harper (Mohave Elders)                              |
| <b>2007 04 02 Email_ Re Fw SiemensResponse to Data Review Comments.pdf</b>   | Email chain regarding Evoqua/Siemens Responses to Trial Burn Data Review Comments.   | Steve Remaley (US EPA)                      | Mary Blevins (US EPA)                                     |
| <b>2007 04 10 Email_Evaluation of Focus March 16 2007 RTC - Carbon Reactivation Furnace (RF-2) Performance Demo Test Data Review.pdf</b> | Email Evaluation of Focus March 16 2007 RTC - Carbon Reactivation Furnace (RF-2) Performance Demo Test Data Review   | Carl Brickner (US EPA)                      | Steve Remaley (US EPA)                                    |
| <b>2007 04 12 Public Notice_Extension of Public Comment Period for Request for Info about Historic Properties.pdf</b>                    | Public Notice for the extension of the public comment period for the request for information about the historic properties as required under Section 106 of the National Historic Preservation Act (NHPA). |   |   |
| <b>2007 05 21 Email_FW Siemens Project Response to update risk assessment workplan.pdf</b>   | Email regarding the risk assessment workplan.  | Monte McCue (Siemens)                       | Mary Blevins (US EPA)                                     |

|   |  |                           |                           |
|---|--|---------------------------|---------------------------|
| <b>2007 06 25 RCRA Site Detail.pdf</b>  | Contains a printout of the RCRA Site Detail.   |                           |                           |
| <b>2007 07 13 Email_Re_benzidine.pdf</b>  | Email regarding removing Benzidine (U021) from the application since Evoqua has not received carbon with this waste code nor has seen it in their customers' profiles. | Monte McCue (Siemens)     | Mary Blevins (US EPA)     |
| <b>2007 07 30 Draft_Risk_Assessment.pdf</b>   | Email attaching Draft Risk Assessment.   | Monte McCue (Siemens)     | Mary Blevins (US EPA)     |
| <b>2007 07 30 Email_Siemens Risk Assessment 07302007.pdf</b>  | Email to inform her that Evoqua sent the Siemens Risk Assessment by FedEx.   | Monte McCue (Siemens)     | Mary Blevins (US EPA)     |
| <b>2007 07 31 Email_Re_Missing_Appendix A of Subpart CC Compliance Plan in Part B Application and Tank T-11 VO Concentration Question.pdf</b> | Email regarding the missing Appendix A of Subpart CC Compliance Plan in Part B Application and Tank T-11 VO Concentration Question.                                    | Monte McCue (Siemens)     | Mary Blevins (US EPA)     |
| <b>2007 07 31 Email_Siemens Risk Assessment 07312007.pdf</b>  | Email to inform her that Evoqua hand-delivered the projects Information Repository to the Parker Public Library, to the CRIT Library, and the CRIT EPO office.         | Monte McCue (Siemens)     | Mary Blevins (US EPA)     |
| <b>2007 08 16 Email_Siemens Proj RTC.pdf</b>  | Emails regarding the validity of the lab data for the metals results.  | Mary Blevins (US EPA)     | Various                   |
| <b>2007 08 31 Email_Siemens RTCs_July.pdf</b>   | Emails regarding the validity of the lab data for the metals results.  | Joseph Eidelberg (US EPA) | Mary Blevins (US EPA)     |
| <b>2007 09 11 Email_Siemens RTCs.pdf</b>  | Emails regarding the validity of the lab data for the metals results.  | Mary Blevins (US EPA)     | Joseph Eidelberg (US EPA) |
| <b>2007 09 26 Letter Landowner signature and certification of Hazardous Waste Permit Application.pdf</b>                                      | Letter regarding the Landowner Signature and Certification of Permit Application.  | Arlene Kabei (US EPA)     | Monte McCue (Siemens)     |
| <b>2007 10 15 Siemens Response re Landowner Signature and Certification of Permit Application .pdf</b>  | Letter regarding the Landowner Signature and Certification of Permit Application.  | Monte McCue (Siemens)     | Arlene Kabei (US EPA)     |
| <b>2007 12 07 USEPA R9 Memo re Draft Risk Assessment Review.pdf</b>   | Memo regarding the review of the Draft Risk Assessment for Siemens.  | Mary Blevins (US EPA)     | Mary Blevins (US EPA)     |
| <b>2008 01 01 2007_2008_Subpart BB_Analytical Results.pdf</b>   | 2007 and 2008 RCRA 40 CFR Part 264, Subpart BB Analytical Results. 2008 05 calculations show that Subpart BB is not applicable since the results are below 10%.        |                           |                           |
| <b>2008 01 01 2007_2008_Subpart cc Analytical Results.pdf</b>   | 2007 and 2008 RCRA 40 CFR Part 264, Subpart CC Analytical Results.   |                           |                           |
| <b>2008 01 01 Draft_Annual_Benzene Report.pdf</b>   | Draft Annual Benzene Report. 40 CFR Part 63, Subpart FF. As required by 40 CFR 61.357(c) and 61.357(a)(1)-(3) for calendar year 2008. Also 40 CFR 61.342.              |                           |                           |
| <b>2008 01 15 US EPA Env Justice geographic Assessment Tool.pdf</b>   | EPA Environmental Justice Geographic Assessment. This tool contains a Social, Economic, Health, and Environmental Map.   |                           |                           |
| <b>2008 01 28 Notice of Request for Temporary Authorization.pdf</b>   | Document was inadvertently included in Draft Permit AR and has been removed.   |                           |                           |

|   |  |                                   |                         |
|---|--|-----------------------------------|-------------------------|
| <b>2008 02 07 EPA Website of Siemens.pdf</b>                                    | Printout of Evoqua/Siemens Public Webpage showing all the links to other related websites and related documents and reports                          |                                   |                         |
| <b>2008 03 06 Siemens_Webpage.pdf</b>   | Printout of Evoqua/Siemens Public Webpage showing all the links to other related websites and related documents and reports.                         |                                   |                         |
| <b>2008 03 13 Executive_Summary_Carbon Regeneration Fac Risk Assessment.pdf</b> | Duplicate of attachment in <i>2008 03 13 Letter re Risk Assessment</i> .   |                                   |                         |
| <b>2008 03 13 Letter re Risk Assessment.pdf</b>                                 | Letter regarding the Risk Assessment. Attached is the response to EPA comments also dated 2008 03 13 and the Risk Assessment also dated 2008 03 13.  | Sarah Foster (CPF Associate Inc.) | Mary Blevins (US EPA)   |
| <b>2008 12 23 USEPA R9 Memo re Eco Risk Assessment Status.pdf</b>               | Memo regarding Eco Risk Assessment.  | John Beach (US EPA)               | John Moody (US EPA)     |
| <b>2009 02 25 USEPA R9 Eco Risk Assessment Special Status Species Eval.pdf</b>  | Memo to summarize the status of the subject permit application with respect to the requirements for protection of individual species and ecosystems. | John Beach (US EPA)               | John Moody (US EPA)     |
| <b>2009 04 30 Recommendations for the Process of Part B Permit.pdf</b>          | Report with a list of recommendations to improve the operations and the part B permitting process.   | Hector Duran (CRIT EPO)           |                         |
| <b>2009 05 07 Email_Follow Up to 05072009 Conference Call.pdf</b>               | Email follow-up to conference call that took place on 2009 05 07.  | Carmen Santos (US EPA)            | Monte McCue (Siemens)   |
| <b>2009 08 24 Siemens Priority Pollutants Annual Testing Report 2009.pdf</b>    | Attachment 6 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                                   |                         |
| <b>2009 10 01 Section L_Certification_Revision 1.pdf</b>                        | Duplicate of <i>2009 12 11 Certification of Permit Application</i> .   |                                   |                         |
| <b>2009 12 11 Certification of Permit Application.pdf</b>                       | Letter providing EPA with the signature page in section L of the Part B Application.   | Eric Shepard (CRIT)               | John Moody (US EPA)     |
| <b>2010 04 20 SIEMENS MTG part 1.pdf</b>  | Attachment 1 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                                   |                         |
| <b>2010 04 20 Siemens Mtg part 2.pdf</b>  | Attachment 2 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Todd Guimond (Siemens)            | Andy Jones (CRSSJV)     |
| <b>2010 04 20 Siemens Mtg part 3.pdf</b>  | Attachment 3 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Monte McCue (Siemens)             | Keith Silva (US EPA)    |
| <b>2010 04 20 Siemens Mtg part 4.pdf</b>  | Attachment 4 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Monte McCue (Siemens)             | John McCarroll (US EPA) |
| <b>2010 04 20 Siemens Permitted RCRA Waste Codes.pdf</b>                        | Attachment 5 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                                   |                         |
| <b>2011 09 20 SHPO Letter re APE and Risk Assessment NHPA.pdf</b>               | Letter regarding the APE and the area used for the Risk Assessment.  | Erick Larila (ASHPO)              | Caleb Shaffer (US EPA)  |
| <b>2011 09 28 EPA Response to Feb 2007 Permit Application.pdf</b>               | Letter enclosing EPA's comments on the RCRA Part B Permit Application dated 2007 02.   | Mike Zabaneh (US EPA)             | Monte McCue (Siemens)   |
| <b>2011 11 21 R9 Tribal NSR Transition Letter - Siemens Signed.pdf</b>          | Letter concerning the expiration of the Transition Policy for 40 CFR Part 71 and obligation to apply for an Air permit.                              | Gerardo Rios (US EPA)             | Monte McCue (Siemens)   |

|   |   |                        |                        |
|---|---|------------------------|------------------------|
| <b>2011 12 19 Letter re USEPA_Comments_July2011 Facility Wide.pdf</b>   | Letter regarding comments on the Evoqua (Siemens) Facility Wide and RF-1 Closure Plans, Sampling and Analysis Plans, and Financial Assurance Cost Estimates dated 2011 07.  | Mike Zabaneh (US EPA)  | Monte McCue (Evoqua)   |
| <b>2012 01 26 Request for Extension Letter dated 01262012 for the Delivery of the Revised Part B App.pdf</b>    | Letter requesting an extension to the Delivery of the Revised RCRA Part B Permit Application to 2012 04 30. Evoqua informed EPA that they are increasing the Financial Assurance and the amount of the letter of credit to \$1,699,293. | Monte McCue (Evoqua)   | Mike Zabaneh (US EPA)  |
| <b>2012 02 06 Re_Request for Extension Letter dated 01262012 for the Delivery of the Revised Part B App.pdf</b> | Letter granting extension to the Delivery of the Revised Part B RCRA Permit Application to 2012 04 30.  | Mike Zabaneh (US EPA)  | Monte McCue (Evoqua)   |
| <b>2012 03 21 AZ SHPO Concurrence with APE.pdf</b>  | Letter for the Concurrence with APE.  | Erick Larila (ASHPO)   | Caleb Shaffer (US EPA) |
| <b>2012 05 25 NHPA final rpt letters w 2012 07 12 memo.pdf</b>  | Final Report: Finding of No Historic Properties Affected.   |                        |                        |
| <b>2012 06 19 SHPO Concurrence Final.pdf</b>  | Letter regarding concurrence to the final National Historic Preservation Act "no adverse effect" finding.   | Erick Larila (ASHPO)   | Caleb Shaffer (US EPA) |
| <b>2012 08 30 Re_Minor New Source Review Program_Registration of Existing Source Under 40 CFR Part 49.pdf</b>   | Letter regarding Minor New Source Review Program Registration of Existing Source Under 40 CFR Part 49.  | Monte McCue (Evoqua)   | Gerardo Rios (US EPA)  |
| <b>2014 01 28 Letter re Review of Hazardous Waste Permit App.pdf</b>  | Letter re RCRA Facility Assessment, Visual Site Inspection, and site visit to Evoqua.   | Jeff Scott (US EPA)    | Dennis Patch (CRIT)    |
| <b>2014 06 XX USFWS IPaC Website Cover letter.pdf</b>   | Online Processing of Requests for Official Endangered Species Act Species List For Consultation and Conservation Partners of the U.S. Fish and Wildlife Service Arizona Ecological Services Office.                                     |                        |                        |
| <b>2014 07 08 ANNUAL METHOD 21 SUBPART FF.pdf</b>   | Email with attachment Annual Method 21 Subpart FF monitoring with FID.  | Monte McCue (Evoqua)   | Mike Zabaneh (US EPA)  |
| <b>2015 03 20 Letter re Draft Hopper Designs of 2015 02 20.pdf</b>  | Letter accepting the Draft Hopper H-1 and H-2 Designs dated 2015 02 20.   | Barbara Gross (US EPA) | Monte McCue (Evoqua)   |
| <b>2015 06 23 CWT Final Report.pdf</b>  | Email with attachment, a periodic lab report on continued compliance with Categorical Pretreatment Standards as per 40 CFR 403.12.  | Monte McCue (Evoqua)   | Mike Zabaneh (US EPA)  |
| <b>2015 07 30 USEPA R9 copy USFWS IPaC Resources ESA.pdf</b>  | USEPA R9 copy USFWS IPaC Resources ESA.pdf  |                        |                        |
| <b>2015 07 30 USEPA R9 Evoqua ESA Update.pdf</b>  | Memo contains an update to prior Endangered Species Act (ESA) Determination by USEPA (John Beach) dated 2009 02 25.   | John Beach (US EPA)    | Mike Zabaneh (US EPA)  |
| <b>2015 08 05 FF Annual Complete Testing.pdf</b>  | Email with attachment dated 2015 08 05 containing the results of the Method 21 testing in compliance with 40 CFR 61.343, 345, 349 (Subpart FF) Annual Fugitive Emissions Testing.   | Monte McCue (Evoqua)   | Mike Zabaneh (US EPA)  |
| <b>2015 12 14 Periodic Report for the Centralized Waste Treatment.pdf</b>                                       | Email with attachment dated 2015 12 14 Periodic Report for the Centralized Waste Treatment System.  | Roy Provins (Evoqua)   | Mike Zabaneh (US EPA)  |



|  |  |                      |                       |
|--|--|----------------------|-----------------------|
| <b>2016 03 07 USEPA R9 Ltr to CRIT re Signature Request and Status of EPA Consultation with CRIT.pdf</b> | Letter regarding the request for signature on the RCRA Part B permit application. Also concerning the status of EPA Consultation with CRIT regarding the permit decision.  | Jeff Scott (US EPA)  | Dennis Patch (CRIT)   |
| <b>2016 03 17 Evoqua Certification of RCRA Pt B Permit Application.pdf</b>                               | Evoqua Certification from Section L of RCRA Part B Permit Application.   |                      |                       |
| <b>2016 03 21 USEPA R9 Evoqua ESA Update.pdf</b>   | Memo with update to Prior Endangered Species Act (ESA) Determination by USEPA (John Beach) dated 2009 02 25.   | Sara Ziff (US EPA)   | Mike Zabaneh (US EPA) |
| <b>2016 04 25 CRIT Ltr re Evoqua HW Permit Application.pdf</b>   | Letter re Evoqua RCRA Hazardous Waste Permit Application. CRIT Council approved the endorsement of their 2009 signature on the RCRA Hazardous Waste Part B Permit Application Certification for the Evoqua Facility on the CRIT reservation. | Dennis Patch (CRIT)  | Jeff Scott (US EPA)   |
| <b>2016 04 26 Daily Shift Report-Stack Plume.pdf</b>   | Email with Hourly Stack Plume Visual Observations from the Daily Shift Report  | Monte McCue (Evoqua) | Mike Zabaneh (US EPA) |
| <b>2016 04 28 SO2 and NO2 emission rate and sulfur feed rate analysis.pdf</b>                            | Email with revised attachment for SO2 and NO2 Emission Rate and Sulfur feed rate analysis.   | Monte McCue (Evoqua) | Mike Zabaneh (US EPA) |
| <b>2016 05 09 USEPA R9 Ltr to Evoqua re Part B Application.pdf</b>                                       | Letter regarding the Evoqua Part B Application as complete. Attached is the email documenting emailing the letter to the CC list.  | Jeff Scott (US EPA)  | Monte McCue (Evoqua)  |
| <b>2016 08 04 ESA USFWS IPaC Evoqua Rpt.pdf</b>  | Duplicate of document in <i>2016 08 04 z 2007 12 07 USEPA R9 ESA File</i> .  |                      |                       |
| <b>2016 08 04 USEPA R9 ESA Determination Update.pdf</b>  | Duplicate of document in <i>2016 08 04 z 2007 12 07 USEPA R9 ESA File</i> .  | Sara Ziff (US EPA)   | Mike Zabaneh (US EPA) |
| <b>2016 08 04 z 2007 12 07 USEPA R9 ESA File.pdf</b>   | Memo with update to Prior Endangered Species Act (ESA) Determination. This file contains the 2016 08 04 ESA Determination attachment which is the Fish and Wildlife Service (F&WS) Information for Planning and Conservation (IPaC) report.  | Sara Ziff (US EPA)   | Mike Zabaneh (US EPA) |
| <b>2016 09 19 Evoqua Ltr to USEPA R9 re SO2 and NOx Limitations on Emissions.pdf</b>                     | Letter concerning the Annual SO2 and NOx Annual Emission Limits  | Monte McCue (Evoqua) | Gerardo Rios (US EPA) |
| <b>2016 09 26 Administrative Record Addendum.pdf</b>   | Administrative Record (AR) Addendum that contains: Links to General RCRA Information, Links to EPA Policies, Guidance documents, and other publications, Federal Register Citations, Other Publicly Available Materials                      |                      |                       |
| <b>2awfco.3</b>  | Duplicate of <i>Undated 2awfco.pdf</i>   |                      |                       |
| <b>Air Emissions Comprehensive Performance Demo Test Plan - Presentation.pdf</b>                         | PowerPoint on Westates Air Emissions Comprehensive Performance Demonstration Test Plan.  |                      |                       |
| <b>Anticipated Receptor Grid Layout.jpg</b>  | Attachment to <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003</i> .  |                      |                       |
| <b>Area Topography.jpg</b>   | Attachment to <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003</i> .  |                      |                       |
| <b>December 5, 2003 Submittal all figures files</b>  | Document library containing all figures that were submitted with PDT Plan and QAPP. All figures are duplicated elsewhere in AR.  |                      |                       |
| <b>December 5, 2003 Submittal PDT</b>  | Document library containing all documents submitted for the PDT Plan including final and redlined versions and response to comments.   |                      |                       |

|   |   |  |  |
|---|---|--|--|
| <b>December 5, 2003 Submittal QAPP</b>              | Document library containing all documents submitted for the QAPP including final and redlined versions and response to comments.                        |  |  |
| <b>December 5, 2003 Submittal Risk</b>              | Document library containing all documents submitted for the Risk Assessment including final and redlined versions and response to comments.             |  |  |
| <b>December 5, 2003 Submittal SSMP Draft</b>        | Document library containing all documents submitted for the Startup Shut Down and Malfunction Plan.   |  |  |
| <b>Habitat Map - USGS Orthophotography.jpg</b>      | Figure 7 of Habitat Map - USGS Orthophotography Risk Assessment Work Plan   |  |  |
| <b>Habitat Map - USGS Topography.jpg</b>            | Figure 6 Habitat Map - USGS Topography Risk Assessment Workplan   |  |  |
| <b>mact.toolkit intro.wpd</b>                       | Duplicate of <i>Undated Mact.toolkit.intro.pdf</i>  |  |  |
| <b>Multiple Metals Results - Run 1.pdf</b>          | Emissions results; Multiple Metals results; PCDD/PCDF Congener and TEQ results; Particulate, hydrogen chloride and chlorine results for Run 1, 2 and 3. |  |  |
| <b>PDTP~Fig3-1~BlockFlow~Rev0.pdf</b>               | Figure 3-1 Carbon Reactivation Furnace System Block Flow Diagram. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |  |  |
| <b>PDTP~Fig3-2~InstrumentLoc~Rev0.pdf</b>           | Figure 3-2 Location of Critical Process Instruments. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                            |  |  |
| <b>PDTP~Fig5-1~SamplingLoc~Rev0.pdf</b>             | Figure 5-1 Sampling Point Locations. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .  |  |  |
| <b>PDTP~Fig8-1~Report~Outline~Rev0.pdf</b>          | Figure 8-1 Example Performance Test Report Outline. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                             |  |  |
| <b>PDTP~Tab2-1~Waste~Characteristics~Rev0.pdf</b>   | Table 2-1 Spent Activated Carbon Characterization Summary. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                      |  |  |
| <b>PDTP~Tab2-2~Organic~Sum~Rev0.pdf</b>             | Table 2-2 Spent Activated Carbon Organic Constituent Data Summary. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .              |  |  |
| <b>PDTP~Tab2-3~Nat~Gas~Characteristics~Rev0.pdf</b> | Table 2-3 Typical Characteristics of Natural Gas. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                               |  |  |
| <b>PDTP~Tab3-1~Compliance~Inst~Rev0.pdf</b>         | Table 3-1 Regulatory Compliance Instrumentation. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab3-2~CEMS~Perf~Criteria~Rev0.pdf</b>      | Table 3-2 CEM Performance Criteria. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .   |  |  |
| <b>PDTP~Tab3-3~AWFCO~Params~Rev0.pdf</b>            | Table 3-3 Automatic Waste Feed Cutoff Parameters. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                               |  |  |
| <b>PDTP~Tab4-1~Test~Feed~Streams~Rev0.pdf</b>       | Table 4-1 Planned Performance Test Feed Characteristics. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                        |  |  |
| <b>PDTP~Tab4-2~Target~Op~Conds~Rev0.pdf</b>         | Table 4-2 Performance Test Target Operating Conditions. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                         |  |  |
| <b>PDTP~Tab4-2~Target~Op~Conds~Rev0~Markup.pdf</b>  | Markup copy of <i>PDTP~Tab4-2~Target~Op~Conds~Rev0</i> . Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                        |  |  |
| <b>PDTP~Tab5-1~SamCol~Rev1.pdf</b>                  | Table 5-1 Sample Collection Locations, Equipment, and Methods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                  |  |  |

|  |  |  |  |
|--|--|--|--|
| <b>PDTP~Tab5-2~Analyses~Planned~Rev0.pdf</b>                 | Table 5-2 Analyses Planned for Performance Test Samples. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                 |  |  |
| <b>PDTP~Tab5-3~Summary~Ana~Meth~Rev1.pdf</b>                 | Table 5-3 Summary of Performance Test Analytical Procedures and Methods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> . |  |  |
| <b>PDTP~Tab5-4~Volatile~Org~Target~Analytes~Rev0.pdf</b>     | Table 5-4 Summary of Target Volatile Organic Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                   |  |  |
| <b>PDTP~Tab5-5~Metal~Target~Analytes~Rev0.pdf</b>            | Table 5-5 Summary of Target Metal Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                              |  |  |
| <b>PDTP~Tab5-6~Semivolatile~Org~Target~Analytes~Rev0.pdf</b> | Table 5-6 Summary of Target Semivolatile Organic Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |  |  |
| <b>PDTP~Tab5-7~PAH~Target~Analytes~Rev0.pdf</b>              | Table 5-7 Summary of Target PAH Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab5-8~OCP~Target~Analytes~Rev0.pdf</b>              | Table 5-8 Summary of Target OCP Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab5-9~PCB~Analytes~Rev0.pdf</b>                     | Table 5-9 Summary of Target PCB Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab6-1~Test~Schedule~Rev0.pdf</b>                    | Table 6-1 Anticipated Daily Schedule for Performance Test. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |  |  |
| <b>PDTP~Tab7-1~Anticipated~Permit~Conds~Rev0.pdf</b>         | Table 7-1 Anticipated Permit Conditions.   |  |  |
| <b>PDTP~Tab7-2~Interlock~Setpoints~Rev0.pdf</b>              | Table 7-2 Interlock Setpoints During Test Periods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                       |  |  |
| <b>Siemens RTC from EPA.pdf</b>                              | Siemens response to EPA's preliminary comments.  |  |  |
| <b>subpart Xpreamble.wpd</b>                                 | Duplicate of Supp AR - <i>Undated Subpart X Preamble.pdf</i>   |  |  |
| <b>USGS Survey - Parker SE AZ Topographic Map 2 .jpg</b>     | Parker, Arizona Topographic map  |  |  |
| <b>USGS Survey - Parker SE AZ Topographic Map.jpg</b>        | Parker, Arizona Topographic map  |  |  |
| <b>Waste Minimization Guidance.pdf</b>                       | Excerpt from model permit elgin Air Force Base, FL   |  |  |
| <b>XGW04038.bin</b>  | Duplicate of Supp AR - <i>1998 06 01 Guidance Minimum Permit Conditions.pdf</i>  |  |  |

## Part C – Draft Administrative Record Index

| Date of Document/Title   | Description   | Author/Sender                                     | Addressee                           |
|--|---|---|-------------------------------------|
| <b>1991 03 01 Final Environmental Assessment.pdf</b>                             | Final Environmental Assessment finding no significant impact.   | Simon Environmental Engineering Interprises, Inc. | U.S. Department of the Interior BIA |
| <b>1996 05 01 Appendices G through Q to Final Environmental Assessment.pdf</b>   | Appendices G through Q of <i>1996 05 01 Supplement to the Final Environmental Assessment</i> .  |   |                                     |
| <b>1996 05 01 Appendix F to Supplement to Final Environmental Assessment.pdf</b> | Duplicate of Appendix F in <i>1996 05 01 Supplement to the Final Environmental Assessment</i> .   |   |                                     |
| <b>1996 05 01 Supplement to the Final Environmental Assessment.pdf</b>           | Letter enclosing Supplement to the Final Environmental Assessment that evaluates alternatives to implement the expansion and construction of a new warehouse of the Westates Carbon Reactivation Plant.   | Acting Superintendent (U.S. BIA)                  |                                     |
| <b>2001 01 17 Air Emission Report for 1999 and 2000 Westates Carbon.pdf</b>      | Letter transmitting 1999 air emission report for criteria pollutants and hazardous air pollutants that is required under the EPA Potential to Emit Transition Policy for Part 71 Implementation in Indian Country.  | Monte McCue (US Filter)                           | US EPA Region 9                     |
| <b>2002 02 13 US Filter Material Safety Data Sheet.pdf</b>                       | Material Safety Data Sheet for Activated Carbon. Duplicated in <i>2003 07 29 SARA Title III Tier II Report w Attached Amended Form and MSDS</i> .   |   |                                     |
| <b>2002 07 26 EPCRA Reporting and Information.pdf</b>                            | EPCRA and TRI Reporting Info Manual. TRI Report for Jul 2002  |   |                                     |
| <b>2003 05 07 Estimated Stack Emissions from Westates.pdf</b>                    | Includes: Duplicate of <i>2003 05 07 Letter and supporting documents re Response to Information about amount of contaminants emits from stack per year</i> . two duplicates of <i>2000 11 01 Response to 10192000 Letter re MACT EEE</i> . Duplicate of <i>2000 10 19 Applicability of Title V of the Clean Air Act</i> . Duplicate of <i>2000 12 22 Response to 11012000 Letter</i> . Duplicate of <i>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000</i> . Duplicate of <i>2002 05 21 Apr 2002 Open House Participation</i> . 2002 04 10 Power Point Presentation given by Westates/US Filter (Monte McCue) at the Open House.  | Various   | Various                             |
| <b>2003 05 07 Worksheet for Emissions tests 1993 1994 and 2000.pdf</b>           | Includes: 2003 05 07 Worksheet for Westates' Metals and Particulate Emissions Based on Emissions Test conducted by Westates in 2000. 2003 05 07 Worksheet for Westates' Metals and Particulate Emissions Based on Emissions Tests conducted by Westates in 1993 & 1994. 2001 07 25 AZMET: Arizona Meteorological Network Report. 2001 07 25 NCDC.NOAA.gov: Climate-Radar Data Inventories for 41 stations found within 1 Degree of Westates coordinates. 1994 06 06 Westates Emissions Test Report for Dioxin/Furan, Hydrogen Chloride and CEMS. 1993 11 18 Appendix A.1.a. Results Tabulation, Condition 1, EPA Method 0050 (4 runs). 1994 06 06 Westates Emissions Test Report for Particulate and Metals. 1999 09 30 53040 Federal Register Vol. 64, No. 189 (40 CFR 63.1203). 1994 06 06 Westates Emissions Test Report for Polycyclic Aromatic Hydrocarbon Results. 1994 06 06 Westates Emissions Test Report for Dioxin/Furan, Hydrogen Chloride, and CEMS. 1994 06 06 Westates Emissions Test Report for POHC, DREs, and CEMS. Duplicate of <i>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000</i> . Tables – Tier I and Tier II Feed Rate and | Various   | Various                             |

|  |  |                                   |                                      |
|--|--|-----------------------------------|--------------------------------------|
|  | Emissions Screening limits for Carcinogenic Metals for Facilities in Non Complex Terrain   |                                   |                                      |
| <b>2003 07 29 SARA Title III Tier II Report w Attached Amended Form and MSDS.pdf</b>                       | Letter enclosing a copy of S.A.R.A. Title III Tier II report.  | Deborah Foster (US Filter)        | Dillon Esquerra (CRIT)               |
| <b>2003 08 06 Email Re Calculations for Air Emissions.pdf</b>  | Various emails regarding Air Emissions calculations and coordination with Air Division.  | Various                           | Various                              |
| <b>2003 09 30 Table 3 Specific Info on SWMUs.pdf</b>   | Lists 37 SWMUs, their description, location, age, dimensions, and Material of Construction.  | Booz Allen Hamilton               |                                      |
| <b>2004 03 31 Draft Minutes Call re SRE for Spike Plan.pdf</b>   | Draft meeting minutes for call between EPA – Mary Blevins & Stacy Braye and US Filter/Westates – Monte McCue & Doug Eisner and Focus Environmental – Tony Eicher.  |                                   |                                      |
| <b>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time.pdf</b>                                  | Duplicate of <i>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time</i> .   | Tony Eicher (Focus Environmental) |                                      |
| <b>2004 05 07 EM EPA Revisions to Table 7_1 in Air Emissions Test Plan.pdf</b>                             | Email transmitting attachment: Revised Table 7.1 – Monitoring Parameters for Air Emissions Test (Test Burn). Table contains column with Operating Conditions and whether AWFCO is applied, and the 40 CFR Part 63 compliance sections. | Mary Blevins (US EPA)             | Doug Eisner, Monte McCue (US Filter) |
| <b>2004 09 08 Minimum Bottom Hearth Furnace Temp w Attachment.pdf</b>                                      | Letter regarding the Minimum Bottom Hearth Furnace Temperature.  | Monte McCue (US Filter)           | Steve Armann (US EPA)                |
| <b>Undated_Methodology for Calculating System Removal Efficiency and Unspiked Metals Extrapolation.pdf</b> | Describes the Methodology for Calculating system removal efficiency and unspiked metals extrapolation.   |                                   |                                      |
| <b>Undated_Table 1 Monitoring Parameters for Air Emissions Test.pdf</b>                                    | Monitoring Parameters for Air Emissions Test.  |                                   |                                      |

## Supplemental - Administrative Record Index

| Date of Document/Title  | Description  | Author/Sender                            | Addressee  |
|---|--|--|--|
| <b>1991 09 RCRA Contingency Plans and Emergency Procedures.pdf</b>                  | Q and A of RCRA Contingency Plans and Emergency Procedures.  | U.S. Department of Energy                |  |
| <b>1992 01 31 Letter Requesting Information Concerning Interim Status.pdf</b>       | Letter requesting information from facility to confirm the facility has met requirements for interim status.   | Rich Vaille (U.S. EPA)                   | Robert Babbitt (Westates Carbon, Inc.)               |
| <b>1992 09 21 Preliminary Assessment Summary Memo.pdf</b>                           | Preliminary Assessment of Westates summarizes investigative efforts.   | Colin Moy (Ecology and Environment Inc.) | Rachel Loftin (U.S. EPA)                             |
| <b>1992 12 Alternative Control Tech Doc - Carbon Reactivation Processes.pdf</b>     | Alternative Control Technology report for air pollution control agencies.  | U.S. EPA                                 |  |
| <b>1992 LuMar Engineering Data Sheets.pdf</b>                                       | LuMar Engineering data sheets from Westates.   | LuMar Engineering                        |  |
| <b>1993 11 29 Carbon Reactivation Facility Flow Diagram.jpg</b>                     | Diagram of carbon reactivation facility flow.  |  |  |
| <b>1994 02 25 Response to EPA Information Request Attachments D and E.pdf</b>       | Attachment to <i>1994 02 25 Response to EPA Information Request</i> .  |  |  |
| <b>1995 05 31 Notice of Violation and Certification of Violation Correction.pdf</b> | Letter stating Westates adequately addressed violations in April 11, 1995 letter.  | Monte McCue (Westates)                   | Arlene Kabei (U.S. EPA)                              |
| <b>1995 11 01 Contingency Plan.pdf</b>  | Revision 1 of Contingency Plan for Westates.   |  |  |
| <b>1996 01 26 Memo Request for Review of HRA.pdf</b>                                | Memo requesting review of HRA.   | Ray Fox (U.S. EPA)                       | Patrick Wilson (U.S. EPA)                            |
| <b>1996 02 01 Reviewing Permit Application and Periodic Requests.pdf</b>            | Fax stating how the facility will update EPA as clarifications and amplifications are needed.  | Ray Fox (U.S. EPA)                       | Matt Killeen (Westates)                              |
| <b>1996 04 01 Comments on Performance Demo Plan and Draft QAPJP.pdf</b>             | Fax sending initial comments on Draft Quality Assurance Project Plan.  | Ray Fox (U.S. EPA)                       | Matt Killeen (Westates)                              |
| <b>1996 05 23 Letter in Response to 1996 04 19 Letter re Implementation.pdf</b>     | Letter in response to questions posed in 1996 04 19 letter re EPA implementation of the Hazardous Waste Minimization and Combustion Strategy and related combustion matters. | Elliot Laws (U.S. EPA)                   | David M. McIntosh (U.S. House of Representatives)    |
| <b>1996 06 Hazardous Waste Permitting Process A Citizen's Guide.pdf</b>             | Q and As on hazardous waste, RCRA permits and public participation. EPA530-F-96-007.   | Office of Solid Waste (U.S. EPA)         |  |
| <b>1996 07 10 Record of Communication re OSR Inquiry.pdf</b>                        | Record of phone call discussing if Westates accepts CERCLA waste.  | Scott Zachary Metcalf (U.S. EPA)         | Doung Nguyen (U.S. EPA)                              |
| <b>1996 07 22 Fax Preliminary Comments on Health Risk Assessment.pdf</b>            | Fax transmitting initial comments on the health risk assessment submitted with subject application.  | Ray Fox (U.S. EPA)                       | Matt Killeen (Westates)                              |
| <b>1996 09 30 Letter re Proposed MACT Rule.pdf</b>                                  | Letter in response to 1996 08 27 letter, in regards to the recently proposed maximum achievable control technology rule for hazardous waste combustors.                      | Michael Shapiro (U.S. EPA)               | Gillespie Montgomery (U.S. House of Representatives) |
| <b>1996 11 21 Record of Communication re OSR Inquiry.pdf</b>                        | Record of phone call discussing if Westates is CERCLA approved, whether the facility had recent violations and if Arizona does inspections.                                  | Anthony Chew, Forrester Wheeler          | Duong Nguyen (U.S. EPA)                              |
| <b>1997 – 2001 Figure 2 Windrose for Parker.pdf</b>                                 | Wind rose plot from 1997 to 2001.  | Focus Environmental, Inc.                |  |

|   |  |  |   |
|---|--|--|---|
| <b>1998 04 Integrated Contingency Plan Guidance - Factsheet.pdf</b>                 | Factsheet on integrated contingency plan guidance.   |  |   |
| <b>1998 06 01 Guidance Minimum Permit Conditions.pdf</b>                            | EPA Region III guidance on minimum permit conditions for Carbon Regeneration Units.  |  |   |
| <b>1998 04 Superfund Today Focus on Risk Assessment Pamphlet.pdf</b>                | Superfund Today pamphlet including information on the health risk assessment process, public participation in the risk assessment process, tips on getting involved, and sources for more information. EPA 540-K-98-004. | Office of Solid Waste and Emergency Response (U.S. EPA)  |   |
| <b>1999 10 07 OAR Qs and As Landfill Gas to Energy Dioxin.pdf</b>                   | Questions and answers for interested stakeholders about the health, safety and environmental issues related to exposure to landfill gas and to landfill gas combustion products.   | U.S. EPA Office of Air and Radiation                     |   |
| <b>1999 11 01 Email re Use of Indian Country Transition Policy.pdf</b>              | Letter responding to Part A - <i>1999 10 18 Notification Westates will participate in Transition Policy.pdf</i>  | Matt Haber (U.S. EPA)                                    | Monte McCue (Westates)                                      |
| <b>2001 01 22 Documentation of Construction for Second Reactivation Furnace.pdf</b> | Letter transmitting documentation in regards to the start of construction for the second reactivation furnace, purchase order for construction, the building permit, and notification of intent.                         | Karen Scheuermann (U.S. EPA)                             | Roy Provins (US Filter Westates)                            |
| <b>2001 01 29 Public Records Request.pdf</b>  | Request for access to all documents regarding Westates facility.   | John J. Gregory ( LeBoeuf, Lamb, Greene & MacRae L.L.P.) | Sharon Jang (U.S. EPA)                                      |
| <b>2001 02 21 US Filter Westates RCRA Part B Permit Application.pdf</b>             | Letter providing an update on the status of the RCRA Part B permitting process from Westates' perspective.   | Monte McCue (US Filter Westates)                         | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 02 23 Engineering Report Carbon Reactivation Unit.pdf</b>                   | Summary of results from October 2000 Carbon Reactivation Unit tests.   | Orlandes Fletcher III (AirKinetics, Inc.)                |   |
| <b>2001 02 27 Tribal Consultation of Westates Permit Decision.pdf</b>               | Letter re: tribal consultation process for the hazardous waste permit decision and EPA briefing regarding permit process.  | Jeff Scott (U.S. EPA)                                    | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 02 28 Response to Providing Information on Emissions from the Stack.pdf</b> | Letter sending information requested on the emissions for the stack.   | Karen Scheuermann (U.S. EPA)                             | Dave Harper (Mohave Elders) and Bradley Angel (Greenaction) |
| <b>2001 03 29 Confirmation of Briefing for CRIT.pdf</b>                             | Letter confirming EPA briefing to CRIT in regard to Westates.  | Jeff Scott (U.S. EPA)                                    | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 04 03 Transmitting EPA Fact Sheets to Libraries.pdf</b>                     | Three letters transmitting fact sheets regarding Westates to CRIT, CRIT Library and Parker Public Library.   | Karen Scheuermann (U.S. EPA)                             | Various   |
| <b>2001 04 10 Memo Presentation to CRIT Tribal Council.pdf</b>                      | Memo transmitting remarks prepared for a presentation to the Tribal Council.   | Karen Scheuermann (U.S. EPA)                             | File  |
| <b>2001 04 Westates In Depth Look Fact Sheets.pdf</b>                               | Various fact sheets with in depth look at permitting process, waste water, air emissions, compliance history, and emergency response.  |  |   |
| <b>2001 05 03 Letter Notifying CRIT Plans to Move Forward.pdf</b>                   | Letter re: EPA's plan to move forward with technical evaluation of Westates and EPA's plan to hold public workshop   | John McCarroll (U.S. EPA)                                | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 07 09 Superfund Waste to Westates Carbon Facility.pdf</b>                   | Letter re: concern of EPA Superfund waste that is sent to Westates for treatment   | Laura Yoshii (U.S. EPA)                                  | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 07 17 Memo Transmitting Waste Profiles and Analytical Results.pdf</b>       | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information  | Karen Scheuermann (U.S. EPA)                             | File  |
| <b>2001 07 17 Vivendi 1999 Annual Report.pdf</b>                                    | Annual Report for Vivendi.   |  |   |

|   |   |   |   |
|---|---|---|---|
| <b>2001 07 18 Draft CRSSJV Fact Sheet.pdf</b>   | Email noting error in the amount of wastewater Westates discharges in CRSSJV fact sheet.  | Monte McCue (US Filter)                 | Nancy Yoshikawa (U.S. EPA)  |
| <b>2001 07 31 Memo transmitting Waste Profiles and Analytical Results.pdf</b>                 | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information.  | Karen Scheuermann (U.S. EPA)            | File  |
| <b>2001 08 08 Materials Received from Westates.pdf</b>  | Memo transmitting waste profiles and analytical results of generators which send waste to Westates.   | Karen Scheuermann (U.S. EPA)            | File  |
| <b>2001 08 08 Memo transmitting Waste Profiles and Analytical Results.pdf</b>                 | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information.  | Karen Scheuermann (U.S. EPA)            | File  |
| <b>2001 08 15 Response to Comments on CRSSJV.pdf</b>  | Email includes comment on proposed NPDES permit and the response to all comments.   | Andy Jones (CRSS Joint Venture)         | Nancy Yoshikawa (U.S. EPA)  |
| <b>2001 08 21 Comments on NPDES Permit for CRSSJV.pdf</b>                                     | Letter raising concerns regarding monitoring requirements for NPDES permit for Colorado River Sewer Systems Joint Venture.  | Nancy Yoshikawa (U.S. EPA)              | Elena Etcitty (CRIT EPO)  |
| <b>2001 08 21 Formal Request of Air Emissions Tests Plan and Risk Assessment Workplan.pdf</b> | Letter formally requesting Westates submit an Air Emissions Test Plan and Risk Assessment Workplan no later than November 1,2001.   | Jeff Scott (U.S. EPA)                   | Monte McCue (Westates)  |
| <b>2001 08 27 Letter to CRIT Attorney Enclosing RCRA Part B Permit.pdf</b>                    | Letter enclosing complete copy of Westates RCRA Part B Permit Application.  | Karen Scheuermann (U.S. EPA)            | Lola Rainey (CRIT)  |
| <b>2001 08 30 Providing Information on Additional Carbon Regeneration Facilities.pdf</b>      | Letter providing information requested on other carbon regeneration facilities across the U.S.  | Karen Scheuermann (U.S. EPA)            | Elena Etcitty (CRIT EPO)  |
| <b>2001 10 11 Change to WCAI Contingency Plan w Plan.pdf</b>                                  | Letter submitting changes relative to the current Westates Carbon- Arizona, Inc. Contingency Plan.  | Deborah Foster (US Filter)              | Distribution  |
| <b>2001 12 17 Photos Warning Letter and June 2001 Investigation.pdf</b>                       | Additional photos for Part A - <i>2001 12 17 Warning Letter and June 2001 Investigation.pdf</i>   |   |   |
| <b>2002 03 04 Letters to CRIT &amp; Parker Library Submitting Materials.pdf</b>               | Two letters enclosing index of documents being sent to the libraries for public access.   | Karen Scheuermann (U.S. EPA)            | Amelia Flores (CRIT Library) & Jeannie Chavez (Parker Public Library) |
| <b>2002 03 Mailing List.pdf</b>   | Mailing list including CRIT contacts, Parker Residents contacts, federal contacts, Elders contacts, La Paz County contacts, Arizona state contacts, environmental contacts, and Town of Parker contacts.        |   |   |
| <b>2002 04 30 Appendices for Contingency Plan. Pdf</b>  | Fax transmitting letters to various recipients enclosing changes to WCAI.   | Karen Scheuermann (U.S. EPA)            |   |
| <b>2002 04 Fact Sheet Recent Inspections.pdf</b>  | Fact sheet on June 2001 and January 2002 inspections.   |   |   |
| <b>2002 05 23 Proposed Agenda CRIT EPA BIA.pdf</b>  | Proposed agenda for CRUT, BIA and EPA conference call regarding Westates 1996 Environmental Assessment. Information has been redacted because it is not being relied upon as part of the final permit decision. | U.S. EPA                                |   |
| <b>2002 06 14 Second Response to Request for Information Pursuant RCRA Section 3007.pdf</b>   | Letter inclosing the second portion of the response to the 2002 04 15 Warning Letter.   | Monte McCue (US Filter/Westates Carbon) | Kandice Bellamy (U.S. EPA)  |
| <b>2002 06 25 Submittal on Dioxin Question from Workshop.pdf</b>                              | Email re: reply from Tony Eicher to questions on concerns of dioxin emission letter.  | Douglas Eisner (US Filter)              | Stacy Braye (U.S. EPA)  |
| <b>2002 08 05 Requesting Documents for Public Access.pdf</b>                                  | Two letters enclosing index of documents being sent to the libraries for public access and three fact sheets.   | Karen Scheuermann (U.S. EPA)            | Amelia Flores (CRIT Library) & Jeannie Chavez (Parker Public Library) |



|   |   |   |   |
|---|---|---|---|
| <b>2002 08 07 Westates Public Workshop Documents.pdf</b>  | Summary of Public Workshop held including workshop materials, meeting agenda, and comments.   |   |   |
| <b>2002 08 12 Mail-Out of Announcement and Availability of Fact Sheet.pdf</b>                               | Redacted for privacy. Memo transmitting announcement mailed for workshop, mailing list, factsheets and handouts.  | Karen Scheuermann (U.S. EPA)                                | File  |
| <b>2002 08 13 Parker Pioneer Article about 2002 08 07 Public Meeting.pdf</b>                                | Parker Pioneer article titled "Audience has questions about EPA and Westates"   | John Gutekunst (Parker Pioneer)                             |   |
| <b>2002 08 16 RCRA Part B Permit Application Vol 1 of 1.pdf</b>   | Letter transmitting two copies of the portions of WCAI's RCRA Part B permit application.  | James Cudahy (Focus Environmental, Inc.)                    | Karen Scheuermann (U.S. EPA)                  |
| <b>2002 08 19 Section E - Procedures to Prevent Hazards.pdf</b>   | Section E of Part B permit application revision 0.  |   |   |
| <b>2002 09 10 Email re Postponed 2002 09 11 Meeting.pdf</b>   | Email re Postponed meeting on Sept 11 in Parker at the request of CRIT Attorney General.  | Wenona Wilson (US EPA)                                      | Various                                       |
| <b>2002 09 10 Information on CRIT Flag and Seal.pdf</b>   | Email sending information to various U.S. EPA personnel on tribal flag and tribal seal for CRIT.  | David Tomsovic (U.S. EPA)                                   | Various                                       |
| <b>2002 11 14 Terrian Magazine Article about westates and AMCO.pdf</b>                                      | Email transmitting Terrain Magazine article about Westates and AMCO.  | Weona Wilson (U.S. EPA)                                     | Karen Scheuermann, Brunilda Davila (U.S. EPA) |
| <b>2002 Public Notice Card for 2002 08 07 Public Workshop</b>   | Public notice card announcing public workshop on August 7, 2002.  | U.S. EPA  |   |
| <b>2003 02 04 Agenda for 2003 02 13 Conf Call EPA Westates.pdf</b>  | Email transmitting proposed agenda for 2003 02 13 conference call between EPA and Westates.   | Karen Scheuermann (U.S. EPA)                                | Douglas Eisner (US Filter)                    |
| <b>2003 02 24 Notes for 2003 03 07 Presentation to CRIT.pdf</b>   | Notes for Presentation at Tribal Council Meeting with CRIT.   | U.S. EPA  |   |
| <b>2003 04 23 Fax Transmittal re Calgon Carbon Corp Air Emission Eval.pdf</b>                               | Fax transmitting the Air Emissions Evaluation completed for Calgon Carbon Corporation's carbon reactivation furnace.  | Carl Spadaro (Penn. Department of Environmental Protection) | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 05 14 Response to Comments Performance Demonstration Test Plan and Risk Assessment Workplan.pdf</b> | Letter requesting more time to submit comment responses and revised documents.  | Douglas Eisner (US Filter)                                  | Arlene Kabei (U.S. EPA)                       |
| <b>2003 05 15 Email re Calculations for Air Emissions and Info on Mailing List.pdf</b>                      | Email re calculations for air emissions and information on the mailing list.  | Karen Scheuermann (U.S. EPA)                                | Monte McCue (US Filter)<br>Tony Eicher        |
| <b>2003 05 23 Superfund Sites that send Waste to Westates</b>   | Email summary of superfund sites that send waste to Westates.   | Steve Armann (U.S. EPA)                                     | Keith Takata (U.S. EPA)                       |
| <b>2003 07 14 Closure Timetable Following Termination of Interim Status</b>                                 | Includes question and answers on 40 CFR Sections 270.73 (g)   |   |   |
| <b>2003 07 25 Draft Agenda for Greenaction Meeting.pdf</b>  | Email re draft agenda for 2003 07 25 with Greenaction, CRIT Tribal members, Mohave cultural preservation program, and Gila River Alliance for a Clean Environment. Contains redacted information. | Rachel Loftin (U.S EPA)                                     | Various                                       |
| <b>2003 07 28 NOV and Inspection Report 2003 March Attachment 2.pdf</b>                                     | Attachment to Part A - <i>2003 07 NOV Inspection Report 2003 March.pdf</i>  | Frances Schultz (U.S. EPA)                                  | Monte McCue (US Filter/<br>Westates)          |
| <b>2003 07 29 Attachment Containment Pad Update.pdf</b>   | Updated drawing referenced in <i>2003 07 29 Containment Pad Update</i> .  |   |   |

|  |   |   |   |
|--|---|---|---|
| <b>2003 07 31 Weekly Highlight Mtg with CRIT NHPA.pdf</b>                      | Weekly highlight of 2003 07 31 meeting initiating NHPA Section 106 process.   | Jeannie Cervera (U.S. EPA)                                      |   |
| <b>2003 08 01 NHPA Consultation Proposed Meeting Agenda.pdf</b>                | Proposed meeting agenda for 2003 08 01 meeting to discuss the process EPA will follow in implementing Section 106 of the NHPA.  | U.S. EPA Region 9   |   |
| <b>2003 08 25 Email re 2003 08 22 Mountain View Voice Article.pdf</b>          | Email transmitting Mountain View Voice article entitled Locals listen to toxics talk.   | Mimi Newtown (U.S. EPA)   | Karen Scheuermann & Jeannie Cervera (U.S. EPA)      |
| <b>2003 09 18 Guidance on Tribal Consultation under NHPA.pdf</b>               | Letter re Guidance on Tribal Consultation under the NHPA from the Arizona State Historic Preservation Office.   | Matthew Bilsharrow (Arizona State Historic Preservation Office) | Federal Agency Official                             |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 1.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 2.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 3.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 4.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2007 09 30 Draft RFA Appendices_Complete_Part 5.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2008 09 30 Draft RFA Appendices_Complete_Part 6.pdf</b>                     | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton   | U.S. EPA  |
| <b>2003 09 Training Mod for Permits and Interim Status.pdf</b>                 | Training module of introductions to permits and interim status 40 CFR Part 270.   |   |   |
| <b>2003 12 17 Public Notice re Proposed Area under NHPA.pdf</b>                | Public notice of proposed area of potential effects for US Filter Westates under Section 106 of NHPA and large map.   | U.S. EPA  |   |
| <b>2004 02 11 Public Workshop Hearing.pdf</b>                                  | Materials from Public Meeting/Workshop on Air Emissions Test Plan (Trial Burn Workplan) and Risk Assessment Workplan with list of materials. Information has been redacted for privacy. |   |   |
| <b>2004 05 04 Methodology for Calculating SRE and Unspiked Metals.pdf</b>      | Email transmitting revised methodology for calculating SRE and unspiked metals. Redact information that is not being relied upon.   | Stacy Braye (U.S. EPA)  | Mary Blevins (U.S. EPA)                             |
| <b>2004 05 18 Fax Transmittal of USDI BIA Western Region Office w Maps.pdf</b> | Duplicate of Part A - <i>2004 05 18 Fax Transmittal of USDI BIA Western Region Office Maps.pdf</i> with the inclusion of maps.  | Pierre Cantou (US BIA)  | Jim Howard (U.S. EPA)                               |
| <b>2004 06 28 Westates and USFRS Answers.pdf</b>                               | Letter discussing stock in Westates and potential transferring of stocks.   | Stephen Richmond (Bowditch & Dewey)                             | Mimi Newton (U.S. EPA)                              |
| <b>2004 09 28 Memorandum_Maps Received from BLM and BIA w Maps.pdf</b>         | Duplicate of Part A - <i>2004 09 28 Memorandum_Maps Received from BLM and BIA.pdf</i> with inclusion of maps.   | Karen Scheuermann (U.S. EPA)                                    | File  |
| <b>2005 01 11 Email re Action Items for 2004 12 17 Meeting.pdf</b>             | Email re action items from the 2004 12 17 meeting.  | Steve Armann (U.S. EPA)   | Bradley Angel (Greenaction) Lori Thomas-Luna (GRIC) |
| <b>2005 03 30 Information on Concerns of Westates Facility on CRIT</b>         | Email forwarding information on concerns relating to the process of the hazardous waste permit application.   | Steve Armann (U.S. EPA)   | Bradley Angel (Greenaction)                         |

|  |   |                              |                                   |
|--|---|------------------------------|-----------------------------------|
| <b>2005 07 18 - 20 Photo Log.pdf</b>   | Description of each photo in <i>2005 July photos</i> from site visit.   |                              |                                   |
| <b>2006 02 03 Response to Question on Westates Hearth Efficiency.pdf</b>                       | Email identifying how Westates know their hearth is efficient.  | Mary Blevins (U.S. EPA)      | Steve Armann (U.S. EPA)           |
| <b>2006 02 07 Fax Follow Up re NHPA EPA R9 to CRIT Museum Director.pdf</b>                     | Fax re NHPA Process for Westates including proposed APE outline and request for input.  | Karen Scheuermann (U.S. EPA) | Dr. Michael Tsosie (CRIT Museum)  |
| <b>2006 03 28 Evoqua Stack Test.pdf</b>  | Information and documentation on March 2006 Air Emissions test.   |                              |                                   |
| <b>2006 03 28 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b>                 | Includes Airtech Environmental Services Inc General Testing Data Sheets, Flow Traverse Data Sheets, Nozzle Calibration Forms, Preliminary Results RUN 1, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist |                              |                                   |
| <b>2006 03 29 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b>                 | Includes Airtech Environmental Services Inc General Testing Data Sheets, Preliminary Results RUN 2, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist.   |                              |                                   |
| <b>2006 03 30 Air Emissions Test EPA Notes.pdf</b>   | Handwritten notes on particle size re-test run #3.  | Karen Scheuermann (U.S. EPA) |                                   |
| <b>2006 03 30 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b>                 | Includes Airtech Environmental Services Inc General Testing Data Sheets, Preliminary Results RUN 3, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist.   |                              |                                   |
| <b>2006 03 Facility Plot Plan Map</b>  | Map of facility plot plan.  |                              |                                   |
| <b>2006 04 21 Fax re Stack test Data.pdf</b>   | Fax including sampling checklists from air emissions stack test.  | Hector Duran (CRIT EPO)      | Mary Blevins (U.S. EPA)           |
| <b>2006 06 30 Email re Granting Extension Request for Draft Air Emissions Test Report.pdf</b>  | Email granting an extension to 2006 07 31 for the submittal of the draft air emissions test report.   | Mary Blevins (U.S. EPA)      | Monte McCue (Siemens)             |
| <b>2007 01 09 2006 Air Emission Report Potential to Emit Transition policy for Part 71.pdf</b> | Letter enclosing 2006 air emission report for criteria pollutants and hazardous air pollutants required under the EPA Potential to Emit Transition Policy for Part 71 Implementation in Indian Country.   | Monte McCue (Siemens)        | Matt Haber (U.S. EPA)             |
| <b>2007 02 RCRA Application_Table of Contents_Rev 0.pdf</b>                                    | RCRA Part B Application for Siemens Water Technologies, Table of Contents   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section A_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section A, Part A Discussion  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section B_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section B, Facility Description   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section C_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section C, Spent Carbon Characteristics   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section D_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section D, Process Information  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section E_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section E, Groundwater  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section F_Rev 0.pdf</b>                                     | RCRA Part B Application for Siemens Water Technologies, Section F, Procedures to Prevent Hazards  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |

|   |   |                           |                                   |
|---|---|---------------------------|-----------------------------------|
| <b>2007 02 RCRA Application_Vol I- Section G_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section G, Contingency Plan   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section H_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section H, Personnel Training Program   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section I_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section I, Closure Plan and Cost Estimate and Liability Requirements  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section J_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section J, Solid Waste Management Units   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section K_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section K, Other Federal Laws   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section L_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section L, Certification  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section M_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section M, Subpart AA - Process Vents   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section N_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section N, Subpart BB - Equipment Leaks   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section O_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section O, Subpart CC - Air Emission Standards  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section P_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Section P, Exposure Information   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix I_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Appendix I, Part A Permit Application   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix II_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix III_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix III, Site Diagrams   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix IV_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix IV, Waste Analysis Plan  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix V_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Appendix V, RF-2 Performance Demonstration Test Report  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Cover Page_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Volume I Cover Page   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix IX_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix VI_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix VII_Rev 0.pdf</b> | RCRA Part B Application for Siemens Water Technologies, Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |

|  |  |                              |                                   |
|--|--|------------------------------|-----------------------------------|
| <b>2007 02 RCRA Application_Vol II-Appendix VIII_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix VIII, Spent Carbon Container Specifications   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix X_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix X, RF-2 Equipment Drawings and Specifications   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XI_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XI, Risk Assessment Work Plan   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XII_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XII, Inspection Schedule and Checklists   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XIII_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XIII, Contingency Plan  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XIV_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XIV, Training Syllabus Outline and Documentation Form   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XV_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XV, Closure Plan  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XVI_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XVI, Subpart CC Compliance Plan   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XVII_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XVII, Records Retention Requirements  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Cover Page_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Volume II Cover Page   | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 02 Response to EPA Preliminary Comments.pdf</b>  | Siemens Response to EPA's Preliminary Comments RCRA Part A & B Permit Application  | Focus Environmental, Inc.    | Siemens Water Technologies, Corp. |
| <b>2007 03 02 Memo re Public Notice for Designation of Area Potential effects and Request for Info.pdf</b> | Memo transmitting the public notice designating an Area of potential effects and the newspapers as they appeared in.   | Karen Scheuermann (U.S. EPA) | File                              |
| <b>2007 03 02 Memo re Siemens Webpage Beginning 2017 01 31.pdf</b>   | Memo transmitting documents attached to the Siemens webpage.   | Karen Scheuermann (U.S. EPA) | File                              |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_parker.out.pdf</b>                                      | ISCST3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |                              |                                   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_PARKER.PIP.pdf</b>                                      | ISCST3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |                              |                                   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_parker.sum.pdf</b>                                      | ISCST3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |                              |                                   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD01.pdf</b>           | ISCST3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |                              |                                   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD02.pdf</b>           | ISCST3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |                              |                                   |





|   |  |  |  |
|---|--|--|--|
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR01.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR02.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR03.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR04.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR05.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor01.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor02.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor03.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor04.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor05.pdf</b>                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Meteorological Data_Particle Phase_ISC0105.pdf</b>                | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Meteorological Data_Vapor Phase_ISC0105.pdf</b>                   | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER01.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER02.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |



|   |   |                                     |   |
|---|---|-------------------------------------|---|
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER03.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER04.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER05.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker01.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker02.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker03.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker04.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker05.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>  |                                     |   |
| <b>2007 09 26 Letter re Landowner Signature and Certification of Permit Application.pdf</b>                     | Letter re landowner signature and certification of Hazardous Waste Permit Application for Siemens.  | Arlene Kabei (U.S. EPA)             | Monte McCue (Siemens)                     |
| <b>2007 10 16 Email re Contingency Plan Revision Pg 8.pdf</b>   | Email re the transmittal of page 8 of the contingency plan that has been revised.   | Monte McCue (Siemens)               | Mary Blevins (U.S. EPA)                   |
| <b>2007 NHPA Public Notice.pdf</b>  | Public notice re extension of public comment period to 2007 04 12 for request for information about historic properties for Siemens under Section 106 of the NHPA.                                    | U.S. EPA                            |   |
| <b>2007 Public Notice Extending Public Comment Period on NHPA.pdf</b>   | Public notice re extension of public comment period to 2007 04 12 for request for information about historic properties for Siemens under Section 106 of the NHPA.                                    | U.S. EPA                            |   |
| <b>2008 03 13 Executive Summary Carbon Regeneration Fac Risk Assessment.pdf</b>                                 | Duplicate of <i>2008 03 13 Executive_Summary_Carbon Regeneration Fac Risk Assessment</i> with the inclusion of Attachment G.  |                                     |   |
| <b>2009 05 28 ORC Weekly.pdf</b>  | U.S. EPA weekly highlight on EPA representatives present information on risk assessment relating to Siemens Water Technologies to CRIT counsel.   | Mimi Newton (U.S. EPA)              |   |
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan.pdf</b>                              | Letter transmitting the revised Performance Demonstration Test Plan for the RF-2. Preceding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings</i> . | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |

|   |  |                                     |   |
|---|--|-------------------------------------|---|
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings.pdf</b> | Draft Performance Demonstration Test Plan Appendix E drawings. Succeeding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_F_and_G</i> . Preceding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings</i> . | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_F_and_G.pdf</b>  | Draft Performance Demonstration Test Plan Appendix E, F, and G. Succeeding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawing</i> .  | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |
| <b>2009 07 30 U.S. EPA Messages for CRIT Council Meeting.pdf</b>                                  | Document with key messages for the August 3, 2009 CRIT Council Meeting.  | U.S. EPA                            |   |
| <b>2009 09 15 Email re Question Regarding Status of Siemens.pdf</b>                               | Email re question regarding status of Siemens facility at Colorado River Indian Tribes. Includes 2009 09 11 email from Greenaction inquiring on status.  | Cheryl Nelson (U.S. EPA)            | Mimi Newton & John Moody (U.S. EPA)       |
| <b>2009 09 18 Email re Current US EPA Activity on Final Permit Application.pdf</b>                | Email re in response to 2009 09 11 US EPA activity on the Siemens final permit application.  | John Moody (U.S. EPA)               | Bradley Angel (Greenaction)               |
| <b>2009 10 05 Status of Permit Application.pdf</b>  | Email re status of permit application for Siemens incinerator at Colorado River Indian Tribes. Includes 2009 10 01 email from Greenaction inquiring on status.   | Cheryl Nelson (U.S. EPA)            | Mimi Newton & John Moody (U.S. EPA)       |
| <b>2009 10 07 Email re Status Update on Siemens.pdf</b>   | Email in response to 2009 10 01 email on status update of Siemens.   | John Moody (U.S. EPA)               | Bradley Angel (Greenaction)               |
| <b>2009 10 07 Email Response to Status Update.pdf</b>   | Email in response to <i>2009 10 07 Email re Status Update on Siemens.pdf</i>   | Bradley Angel (Greenaction)         | John Moody (U.S. EPA)                     |
| <b>2009 10 15 Email re CRIT Status of Application.pdf</b>   | Email in response to 2009 10 15 email from CRIT regarding the status of the Tribes approval of the Part B Permit Application.  | John Moody (U.S. EPA)               | Eric Shepard (CRIT Attorney General)      |
| <b>2009 10 26 CRIT Resolution.pdf</b>   | Resolution with signature by CRIT Chairman and Secretary.  | Chairman, Secretary                 |   |
| <b>2010 10 21 Draft Siemens Meeting Minutes for Meeting with Mr Harper and Ms Barrera.pdf</b>     | Meeting minutes for U.S. EPA meeting with David Harper and Amanda Barrera of CRIT.   | U.S. EPA                            |   |
| <b>2011 01 18 Agenda for Meeting with CRIT EPO Dir.pdf</b>  | Meeting agenda for U.S. EPA meeting with CRIT EPO.   | U.S. EPA                            |   |
| <b>2011 01 18 Minutes for Meeting with CRIT EPO Dir.pdf</b>                                       | Meeting minutes for U.S. EPA meeting with David Harper and Terry Dock of CRIT.   | U.S. EPA                            |   |
| <b>2011 01 18 Presentation for Meeting with CRIT EPO.pdf</b>                                      | Presentation for U.S. EPA meeting with CRIT EPO.   | U.S. EPA                            | CRIT EPO Director                         |
| <b>2011 03 17 Final Signed CRIT Chairman Letter for Public Participation at Siemens.pdf</b>       | Letter requesting assistance from CRIT EPO Director with various public participation activities.  | Jeff Scott (U.S. EPA)               | Chairman Elderd Enas (CRIT)               |
| <b>2011 04 01 Amendment to Trust Agreement.pdf</b>  | Amendment to U.S. EPA Trust Agreement.   | Wells Fargo Bank                    | Rebecca Smith (U.S. EPA)                  |
| <b>2011 05 24 Letter to Chairman Elderd Enas about Intended Site Visit.pdf</b>                    | Letter notifying intention of visiting Siemens facility personnel on June 6-9th to discuss technical aspects of the facilities permit application.   | Caleb Shaffer (U.S. EPA)            | Chairman Elderd Enas (CRIT)               |
| <b>2011 10 19 Interview 1 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.   | U.S. EPA                            |   |
| <b>2011 12 19 Interview 2 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.   | U.S. EPA                            |   |
| <b>2011 12 20 Interview 3 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.   | U.S. EPA                            |   |
| <b>2011 12 21 Interview 4 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.   | U.S. EPA                            |   |
| <b>2011 12 21 Interview 5 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.   | U.S. EPA                            |   |

|  |  |                           |                          |
|--|--|---------------------------|--------------------------|
| <b>2011 12 21 Interview 6 for PIP.pdf</b>                  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 02 21 Amendment to Trust Agreement.pdf</b>         | Amendment to U.S. EPA Trust Agreement.   | Wells Fargo Bank          | Rebecca Smith (U.S. EPA) |
| <b>2012 04 13 Interview 7 for PIP.pdf</b>                  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 04 13 Interview 7 notes for PIP.pdf</b>            | Summary of notes from community interview for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 04 16 Interview 8 for PIP.pdf</b>                  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 04 16 Interview 9 for PIP.pdf</b>                  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 04 16 Interview 9 notes for PIP.pdf</b>            | Summary of notes from community interview for the Public Involvement Plan.   | U.S. EPA                  |                          |
| <b>2012 04 23 Notes from 3 Interviews for PIP.pdf</b>      | Summary of notes from three community interviews for the Public Involvement Plan.  | U.S. EPA                  |                          |
| <b>2012 04 RCRA Application_Cover Page_Rev 1.pdf</b>       | RCRA Permit Application for Siemens Industry Inc., Cover Page  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Drawing List_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., List of Drawings for Part A and B Submittal   | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_TOC_Rev 1.pdf</b>              | RCRA Permit Application for Siemens Industry Inc., Table of Contents   | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section A_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section A, Part A Discussion  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section B_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section B, Facility Description   | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section C_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section C Spent Carbon Characteristics  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section D_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section D, Process Information  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section E_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section E, Groundwater  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section F_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section F, Procedures to Prevent Hazards  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section G_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section G, Contingency Plan   | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section H_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section H Personnel Training Program  | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section I_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section I, Closure Plan and Cost Estimate and Liability Requirements                            | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section J_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section K_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section K, Other Federal Laws   | Focus Environmental, Inc. | Siemens Industry, Inc.   |
| <b>2012 04 RCRA Application_Vol I- Section L_Rev 1.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Section L, Certification  | Focus Environmental, Inc. | Siemens Industry, Inc.   |

|   |  |                           |                        |
|---|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I- Section M_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section M, Subpart AA - Process Vents   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section N_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section N, Subpart BB - Equipment Leaks   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section O_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section O, Subpart CC - Air Emission Standards  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section P_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section P, Exposure Information   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix I_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix I, Part A Permit Application   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix II_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix III_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix III, Site Diagrams   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix IV_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix IV, Waste Analysis Plan  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-Cover Sheet.pdf</b>                                    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I- Appendices Table of Contents.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Table of Contents Volume 1   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I.pdf</b>                               | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Performance Demonstration Test Report  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Aa.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 1  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ab.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 2  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ac.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 3  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ad.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 1   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ae.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 2   | Focus Environmental, Inc. | Siemens Industry, Inc. |

|  |  |                           |                        |
|--|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Af.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 3                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ag.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, RF-2 Residence                                   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App B.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix B, CPT Manager's Log  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App C.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix C, Corrective Action Request  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App D.PDF</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix D, Process Sampling Information   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App E.PDF</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix E, List of Samples  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App F.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fa.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030205 Carbon & Process VOC Summary       | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fb.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030246 Carbon & Process SVOC Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fc.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040101 Carbon Ultimate Summary            | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fd.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040102 Carbon Total Chlorine Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fe.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040213 Carbon & Process Metals Summary    | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ff.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, 142541 M5 PSD Summary                        | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fg.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030169 M0030 VOST& 1st VOST Audit Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |

|  |  |                           |                        |
|--|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fh.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030177 M0040 CON Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fi.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030194 M0061 Hex Cr Summary   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fj.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030224 M29 Metals Summary     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fk.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030231 M0010 TCO Grav Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fl.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030236 M0023A D-F Summary     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fm.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030241 M0010 PCB PAH Summary  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fn.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030245 M0010 SVOC OCP Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fo.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040103 M26A HCl Cl Summary    | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fp.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D120117 2nd VOST Audit Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App G.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ga.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Westates Example Calculations                  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gb.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, DRE Calculations                               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gc.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Mercury MTEC                                   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gd.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Stack Gas Flow Rates                           | Focus Environmental, Inc. | Siemens Industry, Inc. |

|   |   |                           |                        |
|---|---|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App H.PDF</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix Data Validation Report   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II- Appendices Table of Contents.pdf</b>                              | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Table of Contents Volume II   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App I.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix I, Airtech, Inc. Stack Sampling Report                                     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App J.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix J, EES Spiking Report and Certificate of Composition for Spiking Materials | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App K.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix K, CEMS Performance Specification Test Report                              | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App L.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix L, Process Instrument Calibration Data                                     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages Table of Contents.pdf</b>                           | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, Table of Contents   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_A Feed Ultimate Analysis.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040101 Carbon Ultimate                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_B Feed Total Chlorine.PDF</b>    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040102 Carbon Total Chlorine.                          | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_C Volatiles.pdf</b>              | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D030205 Carbon & Process VOC                            | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_D Semivolatiles.PDF</b>          | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D030246 Carbon & Process SVOC                           | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_E Metals.PDF</b>                 | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040213 Carbon & Process Metals                         | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_A M5 PSD.pdf</b>                          | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M5 Particle Size Distribution                             | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_B M0030 VOST &amp; 1st VOST Audit.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0030 VOST and First VOST Audit                           | Focus Environmental, Inc. | Siemens Industry, Inc. |

|   |   |                           |                        |
|---|---|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_C M0040 Total VOC.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0040 Total Volatile Organic Condensate           | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_D M0061 Hex Cr.pdf</b>    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0061 Hexavalent Chromium                         | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_E M29 Metals.pdf</b>      | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M29 Metals  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_F M0010 TCO Grav.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 Total Semivolatile and Nonvolatile Organics | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_G M0023A D-F.pdf</b>      | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0023A Dioxin and Furans                          | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_H M0010 PCB PAH.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 PCBs and PAHs                               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_I M0010 SVOC OCP.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 SVOCs and OCPs                              | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_J M26A HCl Cl.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M26A Chlorine and Hydrogen Chloride               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_K 2nd VOST Audit.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, Second VOST Audit                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-Perf Demo Test Plan_Rev 0.pdf</b>                                    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Performance Demonstration Test Plan   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix IX_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VI_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VII_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VIII_Rev 2.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix VIII, Spent Carbon Container Specifications   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix X_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix X, RF-2 Equipment Drawings and Specifications   | Focus Environmental, Inc. | Siemens Industry, Inc. |



|   |  |                           |                             |
|---|--|---------------------------|-----------------------------|
| <b>2012 04 RCRA Application_Vol II-Appendix XI_Rev 1.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XI, Risk Assessment Report   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XII_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XII, Inspection Schedule and Checklists                                  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIII_Rev 1.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix XII, Contingency Plan  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIV_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XIV, Training Syllabus Outline and Training Summary                      | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIX_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XIX, Subpart BB Compliance Plan  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XV_Rev 3.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XV, RCRA Facility Closure Plan   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVI_Rev 6.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XVI, RF-1 Unit Closure Plan  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVII_Rev 2.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix XVII, Sampling, Analysis, and QA/QC Plan for Closure                     | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVIII_Rev 1.pdf</b>                     | RCRA Permit Application for Siemens Industry Inc., Appendix XVIII, Financial Assurance Mechanism                                     | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XX_Rev 5.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XX, Subpart CC Compliance Plan   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XXI_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XXI, Records Retention Requirements                                      | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 Response to Request for Information and Comments on Feb 2007 App.pdf</b> | Response to Request for Information and Comments on the February 2007 Permit Application Submittal for Siemens Water Technologies    | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 05 07 Interview 10 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                             |
| <b>2012 05 08 Interview 11 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                             |
| <b>2012 07 24 Email re Siemens SSMP Submittal.pdf</b>                               | Email re submittal of Startup, Shutdown and Malfunction Plan. Redacted information not being relied upon in the permit decision.     | Monte McCue (Siemens)     | Mike Zabaneh (U.S. EPA)     |
| <b>2012 08 02 Email Transmittal of SSMP.pdf</b>                                     | Email transmitting 2012 05 Startup, Shutdown and Malfunction Plan.   | Mike Zabaneh (U.S. EPA)   | Annastacia Braye (U.S. EPA) |
| <b>2012 09 12 Interview 12 for PIP including notes.pdf</b>                          | Community interview questions for the Public Involvement Plan and notes.   | U.S. EPA                  |                             |
| <b>2012 09 12 Interview 12 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                             |
| <b>2013 01 22 Letter Re Amendment to Letter of Credit.pdf</b>                       | Letter re amendment to irrevocable letter of credit.   | BNP Paribas               | U.S. EPA                    |
| <b>2013 02 25 Email Response to 2012 11 27 Letter with Endorsements.pdf</b>         | Email in response to <i>2012 11 27 Letter re Completion of Financial Assurance Review and Comments</i> enclosing three endorsements. | Roy Provins (Siemens)     | Mike Zabaneh (U.S. EPA)     |

|  |  |  |                              |
|--|--|--|------------------------------|
| <b>2013 03 04 Email Transmitting Closure Assurance.pdf</b>                                       | Email transmitting closure assurance adjusted for inflation and request EPA sign and acknowledge closure assurance.                                      | Roy Provins (Siemens)                        | Mike Zabaneh (U.S. EPA)      |
| <b>2013 05 22 Briefing by phone Wilfred Nabahe.pdf</b>   | PowerPoint from briefing on Siemens Carbon Reactivation Facility.  | Mike Zabaneh (U.S. EPA)                      | Wilfred Nabahe (CRIT)        |
| <b>2013 06 28 Email Transmitting CWT Periodic Compliance Verification Report.pdf</b>             | Email transmitting CWT Periodic Compliance Verification Report sent to Region 9 Water Division.  | Roy Provins (Siemens)                        | Mike Zabaneh (U.S. EPA)      |
| <b>2013 08 19 Email Transmitting Quarterly Stormwater Report.pdf</b>                             | Email transmitting Quarterly Stormwater Report for July - September 2013.  | Roy Provins (Siemens)                        | Mike Zabaneh (U.S. EPA)      |
| <b>2013 09 20 Email Transmitting Annual Stormwater Report.pdf</b>                                | Email transmitting Annual Stormwater Report sent to Office of Water.   | Roy Provins (Siemens)                        | Mike Zabaneh (U.S. EPA)      |
| <b>2013 11 22 Email Transmitting Financial Assurance.pdf</b>                                     | Email transmitting copies of documents related to financial assurance. Redacted information that is not being relied upon in this final permit decision. | Stephen Richmond (Beveridge & Diamond, P.C.) | Mimi Newton (U.S. EPA)       |
| <b>2014 01 28 Letter to Chairman Patch about EPA Plans to conduct Visual Site Inspection.pdf</b> | Letter re USEPA's plans to conduct a visual site inspection at Siemens to aid in completing the RCRA Facility Assessment.                                | Tom Huetteman for Jeff Scott (U.S. EPA)      | Chairman Dennis Patch (CRIT) |
| <b>2014 05 15 Letter re Completion of April 2012 Application Review.pdf</b>                      | Copy of Letter stating EPA finished review of application and is requesting supplemental information in enclosure.                                       | Mike Zabaneh (U.S. EPA)                      | Monte McCue (Evoqua)         |
| <b>2014 07 RCRA Application_Appendix XII_Rev 2.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XII, Inspection Schedule and Checklists   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XIII_Rev 3.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XIII, Contingency Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XV_Rev 4.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XV, RCRA Facility Closure Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XX_Rev 6.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XX, Subpart CC Compliance Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXI_Rev 2.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XXI, Records Retention Requirements   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXII_Rev 1.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XXII, Startup Shutdown Malfunction Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXIII_Rev 9.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XXIII, Subpart FF Compliance Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section F_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section F, Procedures to Prevent Hazards   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section G_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section G Contingency Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section I_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section I Closure Plan and Cost Estimate and Liability Requirements                              | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section J_Rev 3.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section J_Rev 4.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern  | Evoqua Water Technologies                    |                              |

|  |   |                           |   |
|--|---|---------------------------|---|
| <b>2014 07 RCRA Application_Section L_Rev 2.pdf</b>                              | RCRA Permit Application for Evoqua Water Technologies., Section L, Certification  | Evoqua Water Technologies |   |
| <b>2014 07 RCRA Application_Section O_Rev 2.pdf</b>                              | RCRA Permit Application for Evoqua Water Technologies., Section O, Subpart CC – Air Emission Standards  | Evoqua Water Technologies |   |
| <b>2014 07 RCRA Application_Section Q_Rev 1.pdf</b>                              | RCRA Permit Application for Evoqua Water Technologies., Section O, Subpart CC – Air Emission Standards  | Evoqua Water Technologies |   |
| <b>2014 07 RCRA Application_Table of Contents_Rev 2.pdf</b>                      | RCRA Permit Application for Evoqua Water Technologies., Table of Contents   | Evoqua Water Technologies |   |
| <b>2014 07 21 Transmittal of RTC and Section L.pdf</b>                           | Email transmitting <i>2014 07 Response to Comments on April 2012 App and 2014 07 RCRA Application_Section L_Rev 2.</i>  | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2014 09 25 ORC R9 Weekly Activity Rpt.pdf</b>                                 | Weekly Report on initial Tribal Consultation meeting with CRIT.   | Mimi Newton (U.S. EPA)    |   |
| <b>2014 10 21 Email Transmitting Memo re TCE Ambient air concentrations.pdf</b>  | Email transmitting 2014 10 21 Memo re TCE ambient air concentrations associated with the Evoqua facility.   | Monte McCue (Evoqua)      | Patrick Wilson (U.S. EPA)               |
| <b>2014 10 22 Email Transmitting Draft Hopper Design.pdf</b>                     | Email transmitting 2014 10 03 Hopper H1 Design of Vessel & Supports.  | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2014 10 23 RCRA Corrective Action EI Determination.pdf</b>                    | Documentation of environmental indicator determination mitigation of contaminated groundwater under control.  | Mike Zabaneh (U.S. EPA)   |   |
| <b>2014 12 03 Meeting Agenda and Minutes w CRIT EPO.pdf</b>                      | Agenda and meeting notes from conference call with Wilfred Nabahe.  | U.S. EPA                  |   |
| <b>2014 12 15 Transmittal of Section J Rev 4.pdf</b>                             | Email transmitting <i>2014 07 RCRA Application_Section J_Rev 4.</i>   | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 01 12 Transmittal Section J Rev 3.pdf</b>                                | Email transmitting <i>2014 07 RCRA Application_Section J_Rev 3.</i>   | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 01 13 Transmittal of Results from Mini Burn in April 2005.pdf</b>        | Email transmitting results page from the Mini Burn in April 2005.   | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 02 20 Email Transmitting Cover Letter and Hopper Designs.pdf</b>         | Email transmitting the request for approval for H1 and H2 Hoppers. Referenced attachment: <i>2015 02 20 Request for Approval - H1 and H-2 Hoppers with Attachments.</i> | Monte McCue (Evoqua)      | Mike Zabaneh & Barbara Gross (U.S. EPA) |
| <b>2015 02 20 FA Surety Bond.pdf</b>   | Letter transmitting fully executed bond.  | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 02 20 Request for Approval - H1 and H-2 Hoppers with Attachments.pdf</b> | Letter requesting EPA approval of the designs of the H-1 and H-2 hoppers.   | Monte McCue (Evoqua)      | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 02 23 Parker Pioneer EPA Meeting.pdf</b>                                 | Excerpt from Parker Pioneer announcing March 12 informational meeting.  | U.S. EPA                  |   |
| <b>2015 03 03 Email Chain re the hopper design.pdf</b>                           | Email chain with questions and response stating that the designs are sufficient.  | Mike Zabaneh (U.S. EPA)   | Monte McCue (Evoqua)                    |
| <b>2015 03 03 Initial Response email regarding the hopper design.pdf</b>         | Email response stating EPA will begin review of Hoppers' designs.   | Mike Zabaneh (U.S. EPA)   | Monte McCue (Evoqua)                    |
| <b>2015 03 05 EPA Response to CRIT Letter dated 20 Feb 2015.pdf</b>              | Letter providing answers to CRIT's questions in February 19, 2015 letter.   | Jeff Scott (U.S. EPA)     | Chairman Dennis Patch (CRIT)            |
| <b>2015 03 10 Parker Pioneer EPA Meeting.pdf</b>                                 | Excerpt from Parker Pioneer announcing March 12 informational meeting.  | U.S. EPA                  |   |
| <b>2015 03 12 Tribal Consultation Presentation.pdf</b>                           | Presentation tribal consultation on pending RCRA permit decision.   | Tom Huetteman (U.S. EPA)  | CRIT Council                            |

|   |   |  |   |
|---|---|--|---|
| <b>2015 03 20 Letter re Approval of Draft Hopper Designs dated 2015 02 20.pdf</b>         | Letter approving the 2015 02 20 draft hopper designs.   | Barbara Gross (U.S. EPA)                           | Monte McCue (Evoqua)                                |
| <b>2015 03 24 Parker Pioneer Emissions are Safe.pdf</b>                                   | Article from Parker Pioneer on the March 12 meeting.  | John Gutekunst (Parker Pioneer)                    |   |
| <b>2015 04 13 Memo re Evaluation of Sulfur Dioxide and Nitrogen Dioxide Emissions.pdf</b> | Memo with analysis of emission rates for sulfur dioxide and nitrogen dioxide for Evoqua.  | S. Foster (CPF Associates, Inc.)                   | Monte McCue (Evoqua)                                |
| <b>2015 04 16 Letter re Followup to 2015 03 12 Tribal Council.pdf</b>                     | Letter in follow up to EPA's briefing at the Tribal Council on March 12, 2015 regarding the anticipated proposed decision on the permit application.                                    | Tom Huetteman (U.S. EPA)                           | Chairman Dennis Patch (CRIT)                        |
| <b>2015 04 21 Trust Agreement.pdf</b>   | Trust Agreement entered into between Evoqua and The Bank of New York Mellon.  | The Bank of New York Mellon.                       | U.S. EPA  |
| <b>2015 05 08 Letter Enclosing Trust Agreement and Bond.pdf</b>                           | Letter enclosing initialed Trust Agreement and original bond in connection with EWT Number 982-441-263.   | Julie Mason (Evoqua)                               | Mimi Newton (U.S. EPA)                              |
| <b>2015 05 20 Letter Identifying Questions on the Financial Assurance Instruments.pdf</b> | Letter providing comments on Financial Assurance instruments.   | Mike Zabaneh (U.S. EPA)                            | Julie Mason (Evoqua)                                |
| <b>2015 06 15 Letter re Trust Agreement and Bond.pdf</b>                                  | Letter providing trust agreement and bond.  | Julie Mason (Evoqua)                               | Mimi Newton (U.S. EPA)                              |
| <b>2016 01 15 Certificate of Liability Insurance.pdf</b>                                  | Hazardous waster facility certificate of liability insurance.   | Nathaniel Martin (AIG Specialty Insurance Company) |   |
| <b>2016 02 17 Amendment to Trust Agreement.pdf</b>  | Amendment to Trust Agreement dated 2016 02 17.  | Atlantic Specialty Insurance Company               | U.S. EPA  |
| <b>2016 03 07 Letter re Status of Permit Application.pdf</b>                              | Letter re U.S. EPA completing review on permit application and requesting signatures from both the owner and the operator of the facility.  | Barbara Gross (U.S. EPA)                           | Monte McCue (Evoqua) & Chairman Dennis Patch (CRIT) |
| <b>2016 03 15 Region 9 Routing Slip.pdf</b>   | Region 9 RCRA Record Center Routing Slip.   | U.S. EPA   |   |
| <b>2016 04 26 Email Transmittal of PTE Follow Up letter.pdf</b>                           | Email transmitting follow-up letter that addresses the questions regarding Evoqua's PTE calculation contained in the August 2012 Registration of Existing Sources under 40 CFR Part 49. | Monte McCue (Evoqua)                               | Gerardo Rios (U.S. EPA)                             |
| <b>2016 04 Evoqua Part B Application.pdf</b>  | Evoqua Part B application   | Evoqua Water Technologies LLC                      |   |
| <b>2016 04 RCRA Application_Cover Page_Rev 3</b>  | RCRA Permit Application for Evoqua Water Technologies, Cover Page   | Evoqua Water Technologies LLC                      |   |
| <b>2016 04 RCRA Application_Drawing List</b>  | RCRA Permit Application for Evoqua Water Technologies, List of Drawings for Part A And Part B Submittal   | Evoqua Water Technologies LLC                      |   |
| <b>2016 04 RCRA Application_Part B Table of Contents_Rev 3</b>                            | RCRA Permit Application for Evoqua Water Technologies, Table of Contents  | Evoqua Water Technologies LLC                      |   |
| <b>2016 04 RCRA Application_Vol I-Appendix I_Rev 1</b>                                    | RCRA Permit Application for Evoqua Water Technologies, Appendix I, Part A Permit Application  | Evoqua Water Technologies LLC                      |   |
| <b>2016 04 RCRA Application_Vol I-Appendix II_Rev 1</b>                                   | RCRA Permit Application for Evoqua Water Technologies, Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian  | Evoqua Water Technologies LLC                      |   |

|   |  |                               |  |
|---|--|-------------------------------|--|
|   | Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries  |                               |  |
| <b>2016 04 RCRA Application_Vol I-Appendix III_Rev 1</b>                              | RCRA Permit Application for Evoqua Water Technologies, Appendix III, Site Diagrams   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix IV_Rev 2</b>                               | RCRA Permit Application for Evoqua Water Technologies, Appendix IV, Waste Analysis Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1_Cover Sheet</b>                    | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1_PDT Plan and Report Cover Page</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Rev 0</b>               | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Carbon Reactivation Furnace RF-2 Performance Demonstration Test Report | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Aa</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Aa PDT Report 1-Hr Data Run 1.                                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ab</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ab PDT Report 1-Hr Data Run 2.                                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ac</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ac PDT Report 1-Hr Data Run 3.                                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ad</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ad PDT Report 1-Min Data Run 1.                                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ae</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ae PDT Report 1-Min Data Run 2.                                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Af</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Af PDT Report 1-Min Data Run 3.                                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ag</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ag RF-2 Residence  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App B</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App B Test Manager's Log.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App C</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App C Westates CARs.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App D</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App D Process Field Sampling Records.                                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App E</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App E Westates PDT Master Sample List.                                 | Evoqua Water Technologies LLC |  |

|  |  |                               |  |
|--|--|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App F</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fa</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fa H6D030205 Carbon & Process VOC Summary.       | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fb</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fb H6D030246 Carbon & Process SVOC Summary.      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fc</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fc H6D040101 Carbon Ultimate Summary.            | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fd</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fd H6D040102 Carbon Total Chlorine Summary.      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fe</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fe H6D040213 Carbon & Process Metals Summary.    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ff</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ff142541 M5 PSD Summary.                         | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fg</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fg H6D030169 M0030 VOST& 1st VOST Audit Summary. | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fh</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fh H6D030177 M0040 CON Summary.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fi</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fi H6D030194 M0061 Hex Cr Summary.               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fj</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fj H6D030224 M29 Metals Summary.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fk</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fk H6D030231 M0010 TCO Grav Summary.             | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fl</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fl H6D030236 M0023A D-F Summary.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fm</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fm H6D030241 M0010 PCB PAH Summary.              | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fn</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fn H6D030245 M0010 SVOC OCP Summary.             | Evoqua Water Technologies LLC |  |

|   |   |                               |  |
|---|---|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fo</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fo H6D040103 M26A HCl CI Summary.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fp</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fp H6D120117 2nd VOST Audit Summary.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App G</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G Calculations   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ga</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ga Westates Example Calculations Rev 0.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gb</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gb DRE Calculations.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gc</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gc Mercury MTEC.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gd</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gd Stack Gas Flow Rates.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App H</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App H Westates Data Validation Report Rev 0.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-Appendices Table of Contents</b>                                | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendices A-H Table of Contents  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II- Table of Contents</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendices I-L Table of Contents  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App I</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix I Airtech, Inc. Stack Sampling Report                                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App J</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix J EES, Spiking Report and Certificate of Composition for Spiking Materials | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App K</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix K CEMS Performance Specification Test Report                               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App L</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix L Process Instrument Calibration Data                                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III- Table of Contents</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages Table of Contents  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_A Feed Ultimate Analysis</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040101 Carbon Ultimate.                          | Evoqua Water Technologies LLC |  |

|   |  |                               |  |
|---|--|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_B Total Chlorine</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040102 Carbon Total Chlorine.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_C Volatiles</b>              | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D030205 Carbon & Process VOC.    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_D Semivolatiles</b>          | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D030246 Carbon & Process SVOC.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_E Metals</b>                 | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040213 Carbon & Process Metals. | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_A M5 PSD</b>                          | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, 142541 M5 PSD.                            | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_B M0030 VOST &amp; 1st VOST Audit</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030169 M0030 VOST & 1st VOST Audit.    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_C M0040 CON</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030177 M0040 CON.                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_D M0061 Hex Cr</b>                    | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030194 M0061 Hex Cr.                   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_E M29 Metals</b>                      | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030224 M29 Metals.                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_F M0010 TCO Grav</b>                  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030231 M0010 TCO Grav.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_G M0023A D-F</b>                      | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030236 M0023A D-F.                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_H M0010 PCB PAH</b>                   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030241 M0010 PCB PAH.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_I M0010 SVOC OCP</b>                  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030245 M0010 SVOC OCP.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_J M26A HCl Cl</b>                     | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D040103 M26A HCl Cl.                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_K 2nd VOST Audit</b>                  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D120117 2nd VOST Audit.                 | Evoqua Water Technologies LLC |  |



|  |   |                               |  |
|--|---|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-Perf Demo Test Plan_Rev 0</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Carbon Reactivation Furnace Performance Demonstration Test Plan | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix IX_Rev 1</b>                         | RCRA Permit Application for Evoqua Water Technologies, Appendix IX, Hazardous Waste Tank Systems Assessment, Design Drawings and Containment Calculations                               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VI_Rev 1</b>                         | RCRA Permit Application for Evoqua Water Technologies, Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VII_Rev 1</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VIII_Rev 2</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix VIII, Spent Carbon Container Specifications   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix X_Rev 1</b>                          | RCRA Permit Application for Evoqua Water Technologies, Appendix X, RF-2 Equipment Drawings and Specifications   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XI_Rev 1</b>                         | RCRA Permit Application for Evoqua Water Technologies, Appendix XI, Risk Assessment Report  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XII_Rev 2</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix XII, Inspection Schedule and Checklists   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XIII_Rev 3</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix XIII, Contingency Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XIV_Rev 1</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix XIV, Training Syllabus Outline and Documentation Form   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XIX_Rev 1</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix XIX, Subpart BB Compliance Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XV_Rev 4</b>                         | RCRA Permit Application for Evoqua Water Technologies, Appendix XV, RCRA Facility Closure Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVI_Rev 6</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix XVI, RF-1 Closure Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVII_Rev 2</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix XVII, Sampling, Analysis, and QA/QC Plan for Closure  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVIII_Rev 1</b>                      | RCRA Permit Application for Evoqua Water Technologies, Appendix XVIII, Financial Assurance Mechanism  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XX_Rev 6</b>                         | RCRA Permit Application for Evoqua Water Technologies, Appendix XX, Subpart CC Compliance Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXI_Rev 2</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix XXI, Records Retention Requirements   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXII_Rev 1</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix XXII, Startup Shutdown Malfunction Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXIII_Rev 9</b>                      | RCRA Permit Application for Evoqua Water Technologies, Appendix XXIII, Subpart FF Compliance Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section A_Rev 1</b>                            | RCRA Permit Application for Evoqua Water Technologies, Section A, Part A Discussion   | Evoqua Water Technologies LLC |  |

|  |  |                               |                              |
|--|--|-------------------------------|------------------------------|
| <b>2016 04 RCRA Application_Vol I-Section B_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section B, Facility Description   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section C_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section C, Spent Carbon Characteristics   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section D_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section D, Process Information  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section E_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section E, Groundwater  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section F_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Section F, Procedures to Prevent Hazards  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section G_Rev 3</b>  | RCRA Permit Application for Evoqua Water Technologies, Section G, Contingency Plan   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section H_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section H, Personnel Training Program   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section I_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Section I, Closure Plan and Cost estimate and Liability Requirements                            | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section J_Rev 4</b>  | RCRA Permit Application for Evoqua Water Technologies, Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section K_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section K, Other Federal Laws   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section L_Rev 3</b>  | RCRA Permit Application for Evoqua Water Technologies, Section L, Certification  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section M_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section M, Subpart AA – Process Vents   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section N_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section N, Subpart BB – Equipment Leaks   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section O_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Section O, Subpart CC – Air Emission Standards  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section P_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section P, Exposure Information   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section Q_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section Q, Subpart FF Standards   | Evoqua Water Technologies LLC |                              |
| <b>2016 05 20 Letter Responding to Letter Regarding Permit Application Certification.pdf</b> | Letter in response to April 25, 2016 letter from CRIT approving endorsement of its 2009 signature on the permit application.                           | Jeff Scott (U.S. EPA)         | Chairman Dennis Patch (CRIT) |
| <b>2016 06 NHPA Determination Fact Sheet.pdf</b>   | Fact sheet including information on the NHPA review process, permit background, historic properties, and the EPA determination.                        | U.S. EPA                      |                              |
| <b>2016 06 Risk Assessment Fact Sheet.pdf</b>  | Fact sheet on risk assessment steps and quick facts on the facility.   | U.S. EPA                      |                              |
| <b>2016 06 15 Community Information Fact Sheet Mailer English_Spanish.pdf</b>                | Community information fact sheet and mailer for Evoqua.  | U.S. EPA                      |                              |

|  |   |  |                              |
|--|---|--|------------------------------|
| <b>2016 08 03 Letter re Clean Air Act Status.pdf</b>   | Letter in response to <i>2012 08 30 Re_Minor New Source Review Program_Registration of Existing Source Under 40 CFR Part 49.pdf</i> and <i>2016 04 26 Evoqua Letter.pdf</i> . | Gerardo Rios (U.S. EPA)                      | Monte McCue (Evoqua)         |
| <b>2016 09 21 Evoqua-CRIT Draft Permit.pdf</b>   | Draft RCRA Permit for Evoqua Water Technologies LLC Colorado River Indian Tribes.   | U.S. EPA                                     |                              |
| <b>2016 09 26 Administrative Record Addendum.pdf</b>   | Administrative Record Addendum.   | U.S. EPA                                     |                              |
| <b>2016 09 26 Statement of Basis.pdf</b>   | Statement of Basis for proposed permit for storage and processing of RCRA regulated hazardous waste.  | U.S. EPA                                     | CRIT and Evoqua              |
| <b>2016 09 26 Fact Sheet for Proposed Permit (English).pdf</b>   | Fact sheet on Proposed permit including information on the facility, RCRA regulatory history, community involvement, and current status.                                      | U.S. EPA                                     |                              |
| <b>2016 09 26 Fact Sheet for Proposed Permit (Spanish).pdf</b>   | Spanish Fact sheet on Proposed permit including information on the facility, RCRA regulatory history, community involvement, and current status.                              | U.S. EPA                                     |                              |
| <b>2016 09 27 Letter with Transmittal Notifying CRIT of Draft Permit and Public Comment Period.pdf</b> | Letter regarding proposed permit decision and plan to present to CRIT Council on November 1, 2016 to engage in additional consultation.                                       | Jeff Scott (U.S. EPA)                        | Chairman Dennis Patch (CRIT) |
| <b>2016 09 28 Email Notification of Proposed Permit Decision.pdf</b>                                   | Email notifying CRIT of proposed permit for Evoqua and plan to undertake formal consultation.   | Barbara Gross (U.S. EPA)                     | Dennis Patch (CRIT)          |
| <b>2016 09 29 Email Notification of Proposed Permit Decision.pdf</b>                                   | Emailing notifying CRIT of proposed permit for Evoqua, November 1 public meeting and public comment period.   | Barbara Gross (U.S. EPA)                     | Various                      |
| <b>2016 09 Draft RCRA Facility Assessment.pdf</b>  | Revised Draft RCRA Facility Assessment Report.  | Mike Zabaneh (U.S. EPA)                      | U.S. EPA Region IX           |
| <b>2016 10 01 CRIT FY17 workplan.pdf</b>   | GAP Online workplan for Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California 2016 10 01 through 2017 09 30                           | CRIT, Arizona and California                 |                              |
| <b>2016 10 03 Parker Line Online EPA Public Comments.pdf</b>   | Article providing information on public comment period and public hearing.  | John Wright (Parker Live Online)             |                              |
| <b>2016 10 05 Letter and Transmittal Request for Extension of Public Comment Period.pdf</b>            | Letter requesting an extension of the public comment period on draft permit.  | Stephen Richmond (Evoqua)                    | Mike Zabaneh (U.S. EPA)      |
| <b>2016 10 13 Email re Potential HAP Emiss Calcs.pdf</b>   | Email re potential hazardous air pollutant emissions calculations summary. Includes redacted information that is not being relied upon as part of this final permit decision. | Various U.S. EPA                             | Shaheerah Kelly (U.S. EPA)   |
| <b>2016 10 26 Email Transmitting Public Notice to Parker Pioneer.pdf</b>                               | Email transmitting <i>2016 10 26 Parker Pioneer PP_1026A_16.pdf</i>   | Mike Zabaneh (U.S. EPA)                      | Various                      |
| <b>2016 10 26 Parker Pioneer PP_1026A_16.pdf</b>   | Copy of U.S. EPA Public Notice for Proposed Permit Decision in Parker Pioneer on Wednesday October 26, 2016.  | U.S. EPA                                     |                              |
| <b>2016 10 28 Affidavit of Proof of Publication.pdf</b>  | Public Notice on proposed permit decision and affidavit of proof of publication from The Parker Pioneer.  | Janet Fotino (The Parker Pioneer)            |                              |
| <b>2016 11 01 Hearing Transcript Draft RCRA Permit Public Mtg Evoqua.pdf</b>                           | November 1, 2016 Public Hearing Transcript for proposed permit for the Evoqua Water Technologies, LLC facility near Parker, Arizona.  | Christine Bemiss (Fayette & Associates, LLC) |                              |
| <b>2016 11 01 Public Hearing Transcript.pdf</b>  | Signed public hearing transcript.   | Fayette & Associates, LLC                    |                              |
| <b>2016 11 01 Sign In Sheet.pdf</b>  | Sign in sheets from 2016 11 01 Public Hearing. Redacted for privacy.  |  |                              |
| <b>2016 11 10 Letter re Extension of Public Comment Period.pdf</b>                                     | Letter in response to <i>2016 10 05 Letter and Transmittal Request for Extension of Public Comment Period.pdf</i> extending the public comment period.                        | Mike Zabaneh (U.S. EPA)                      | Monte McCue (Evoqua)         |

|   |  |                                   |                           |
|---|--|-----------------------------------|---------------------------|
| <b>2016 11 10 Email re Extension of Public Comment Period.pdf</b>                                   | Email re: extension of public comment period until January 9.  | Barbara Gross (U.S. EPA)          | Anthony Leverock (AZ DEQ) |
| <b>2016 11 10 Email re Press Release of Extension of Public Comment Period.pdf</b>                  | Email distributing U.S. EPA press release to representatives of Congress and the House.  | Dean Higuchi (U.S. EPA)           | Various                   |
| <b>2016 11 10 Email re Press Release to be Published.pdf</b>  | Email transmitting press release to be published at 10.  | Margot Perez-Sullivan (U.S. EPA)  | Region 9 OPA              |
| <b>2016 11 10 Email re Total to Publish English and Spanish Public Notice in Parker Pioneer.pdf</b> | Email discussing the total price to publish the Spanish public notice in the Parker Pioneer on Nov 16, 2016, Dec 7, 2016 and Jan 1, 2017.  | Janet Fotino (The Parker Pioneer) | Mike Zabaneh (U.S. EPA)   |
| <b>2016 11 10 Email re Total to Publish Legal Notice in Parker Pioneer.pdf</b>                      | Email discussing the total price to publish the public notice in the Parker Pioneer on Nov 16, 2016, Dec 7, 2016 and Jan 1, 2017.  | Janet Fotino (The Parker Pioneer) | Mike Zabaneh (U.S. EPA)   |
| <b>2016 11 10 Email Transmitting Extension Letter.pdf</b>   | Email transmitting <i>2016 11 10 Letter re Extension of Public Comment Period.pdf</i>  | Mike Zabaneh (U.S. EPA)           | Monte McCue (Evoqua)      |
| <b>2016 11 10 Evoqua-CRIT Revised Statement of Basis.pdf</b>  | Revised Statement of Basis for proposed permit for storage and processing of RCRA- regulated hazardous wastes.   | U.S. EPA                          |                           |
| <b>2016 11 10 Press Release for Extension of Public Comment Period.pdf</b>                          | EPA Environmental News press release on extension of public comment period to January 9, 2017.   | Margot Perez-Sullivan (U.S. EPA)  |                           |
| <b>2016 11 14 Email re Extension of Public Comment Period.pdf</b>                                   | Email re: extension of public comment period until January 9.  | Barbara Gross (U.S. EPA)          | Dennis Patch (CRIT)       |
| <b>2016 11 14 Email to CRIT Librarian re revised docs.pdf</b>                                       | Email re: replacement pages for the Evoqua Statement of Basis Documents. Referenced attachments: <i>2016 11 Fact Sheet for Proposed Permit (English).pdf</i> ; <i>2016 11 15 Evoqua Public Notice (English).pdf</i> ; <i>2016 11 15 Revised SB App F - Admin Record List.pdf</i> | Mike Zabaneh (U.S. EPA)           | Daris Laffoon (CRIT)      |
| <b>2016 11 14 Fact Sheet for Proposed Permit (English).pdf</b>                                      | Fact Sheet: Proposed Permit for Evoqua with facility description, RCRA regulatory history, current status, and community involvement.  | U.S. EPA                          |                           |
| <b>2016 11 14 Fact Sheet for Proposed Permit (Spanish).pdf</b>                                      | Spanish version of <i>2016 11 Fact Sheet for Proposed Permit (English).pdf</i> .   | U.S. EPA                          |                           |
| <b>2016 11 15 Email FW Reply Letter for Extending Public Comment w Revised SB pages.pdf</b>         | Letter re: EPA extending public comment period to January 9, 2017.   | Mike Zabaneh (U.S. EPA)           | Monte McCue (Evoqua)      |
| <b>2016 11 15 Evoqua Public Notice (English).pdf</b>  | Public Notice of proposed permit decision and extension of public comment period to January 9, 2017.   | U.S. EPA                          |                           |
| <b>2016 11 15 Evoqua Public Notice (Spanish).pdf</b>  | Spanish Public Notice of proposed permit decision and extension of public comment period to January 9, 2017.   | U.S. EPA                          |                           |
| <b>2016 11 15 Revised SB App F -Admin Record List.pdf</b>   | Revised list of Administrative record documents.   | U.S. EPA                          |                           |
| <b>2016 11 15 Revised Statement of Basis Pages.pdf</b>  | 5 pages of the Revised Statement of basis changes.   | U.S. EPA                          |                           |
| <b>2016 11 16_2016 12 17_2017 01 01 Public Notice for Distribution - English.pdf</b>                | Public notice to be published on Nov 16, Dec 17, and Jan 1 in the Pioneer Parker.  | U.S. EPA                          |                           |
| <b>2016 11 16_2016 12 17_2017 01 01 Public Notice for Distribution - Spanish.pdf</b>                | Public notice to be published on Nov 16, Dec 17, and Jan 1 in the Pioneer Parker.  | U.S. EPA                          |                           |
| <b>2016 12 16 Water Tech Online EPA Extends Public Comment.pdf</b>                                  | Article from Water Technology announcing the extension of the public comment period.   | Water Technology                  |                           |

|   |  |  |   |
|---|--|--|---|
| <b>2016 12 27 CRIT Comments on Draft Evoqua Permit.pdf</b>                          | Comments from on Draft RCRA Permit from the Colorado River Indian Tribes   | Mike Zabaneh (U.S. EPA)  | Dennis Patch (CRIT)                                     |
| <b>2017 01 06 Comments of Evoqua Draft Permit Decision.pdf</b>                      | Letter with attachments consisting of a statement comments on Draft Permit and Redlined changes that EWT request EPA make to the Draft Permit.   | Stephen Richmond (Evoqua)  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 06 Redline Edits to Draft RCRA Permit.pdf</b>                            | Redline edits to RCRA Draft Permit.  | U.S. EPA   | Evoqua Water Technologies, LLC                          |
| <b>2017 01 06 River City Newspaper Invoice and Statement.pdf</b>                    | Advertising invoice and statement for EPA public notice publishing.  | River City Newspapers, LLC   |   |
| <b>2017 01 09 Email Transmittal of Comments of Evoqua Draft Permit Decision.pdf</b> | Email transmitting <i>2017 01 06 Comments of Evoqua Draft Permit Decision.pdf</i>  | Stephen Richmond (Evoqua)  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 09 Greenaction Email Transmittal of Comments.pdf</b>                     | Email and letter re comments in opposition to the proposed permit for Evoqua on behalf of Greenaction members and constituents who are CRIT tribal members and other Greenaction members who live in the area. Including all referenced attachments. | Bradley Angel (Greenaction)  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 09 Confirmation of Receipt of Greenaction Comments.pdf</b>               | Email re: confirmation of receipt of <i>2017 01 09 Greenaction Email Transmittal of Comments.pdf</i>   | Barbara Gross (U.S. EPA)   | Bradley Angel (Greenaction)                             |
| <b>2017 01 09 Public Comment L. Muriel on Evoqua.pdf</b>                            | Email re: opposition to the Evoqua Facility.   | Lorrie Ann Muriel  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 09 Confirmation of Receipt of Public Comment L. Muriel.pdf</b>           | Email re: confirmation of receipt of <i>2017 01 09 Public Comment L. Muriel on Evoqua.pdf</i>  | Barbara Gross (U.S. EPA)   | Lorrie Ann Muriel                                       |
| <b>2017 02 Risk Assessment Fact Sheet.pdf</b>                                       | Fact sheet on risk assessment steps and quick facts on the facility.   | U.S. EPA   |   |
| <b>2017 03 20 Notification to CRIT of Closure of Public Comment Period.pdf</b>      | Letter notifying CRIT the public comment period has ended and that they will responses to all comments with the final permit decision.   | Jeff Scott (U.S. EPA)  | Dennis Patch (CRIT)                                     |
| <b>2017 04 21 KQED Article re Demonstration at EPA.pdf</b>                          | KQED article entitled "Environmental Groups Rally and March in S.F. to Demand Action from EPA"   | Cesar Saldana (KQED)   |   |
| <b>2017 06 22 Letter re Transmittal of CRIT Consultation Policy.pdf</b>             | Letter transmitting the Government to Governmental Consultation Policy of the Colorado River Indian Tribes.  | Chairman Dennis Patch (CRIT)                                       | Sir or Madam  |
| <b>2017 06 26 Periodic Reports on Continued Compliance.pdf</b>                      | Letter submitting periodic reports per 40 CFR 403.12 (e).  | Monte McCue (Evoqua)   | Keith Silva (U.S. EPA)                                  |
| <b>2017 07 27 EPA HQ reply to Chrmn Patch CRIT.pdf</b>                              | Letter re the Government to Government Consultation Policy of the Colorado River Indian Tribes.  | Jane Nishida (U.S. EPA Office of International and Tribal Affairs) | Chairman Dennis Patch (CRIT)                            |
| <b>2017 08 Record of Communication H. Lin.pdf</b>                                   | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Dr. Hisini Lin (Arizona Department of Health Services)  |
| <b>2017 08 Record of Communication S. Dawavendewa.pdf</b>                           | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Sylvia Dawavendewa (CRIT Health Department)             |
| <b>2017 08 29 Record of Communication M. Allison.pdf</b>                            | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Michael Allison (Arizona Department of Health Services) |

|  |   |  |  |
|--|---|--|--|
| <b>2017 09 01 Record of Communication J. Ritchey.pdf</b>                                     | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant. | Patrick Wilson (U.S. EPA)                                | Dr. Jaime Ritchey (Intertribal Council of Arizona)                             |
| <b>2017 09 05 Letter re Notice of Resignation of the Trustee.pdf</b>                         | Letter re notice of resignation of the trustee.   | Christine Garlick Reichow (Wells Fargo)                  | Donna Reed (Siemens)<br>Matthew Thomson (US Filter)                            |
| <b>2017 09 08 Record of Communication W. Nabahe.pdf</b>                                      | Email establishing contact between EPA Senior Toxicologist and CRIT DHS.  | Wilfred J. Nabahe (CRIT Environmental Protection Office) | Sylvia Dawavendewa (CRIT Health Department)                                    |
| <b>2017 09 12 Record of Communication Z. Hargis.pdf</b>                                      | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant. | Patrick Wilson (U.S. EPA)                                | Zachary Hargis (Office of Environmental Health<br>Parker Indian Health Center) |
| <b>2017 10 01 CRIT FY18 workplan.pdf</b>   | GAP Online workplan for Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California 2017 10 01 through 2018 09 30.  | CRIT, Arizona and California                             |  |
| <b>2017 10 19 Email re Registration Statement for Potential Public Offering of Stock.pdf</b> | Email notifying EPA that the parent company of Evoqua has filed a registration for a potential public offering of its stock.  | Stephen Richmond (Beveridge & Diamond, P.C.)             | Mimi Newton (U.S. EPA)   |
| <b>2017 10 26 Email in Response to Change in EWT Parent Company.pdf</b>                      | Email in response to <i>2017 10 19 Email re Registration Statement for Potential Public Offering of Stock.</i>  | Mimi Newton (U.S. EPA)                                   | Stephen Richmond (Beveridge & Diamond, P.C.)                                   |
| <b>2018 02 02 Closure Cost Assurance.pdf</b>   | Letter including updated Closure Cost Assurance for the facility for 2018.  | Monte McCue (Evoqua)                                     | U.S. EPA   |
| <b>2018 02 17 Financial Guarantee Bond.pdf</b>   | Financial Assurance mechanism for Evoqua.   | Atlantic Specialty Insurance Company                     | Evoqua Water Technologies LLC  |
| <b>2018 02 22 Record of Communication Risk Assessment Guidance Document.pdf</b>              | Email providing excerpt of Risk Assessment Guidance Document on Human Health Risk Assessment Protocol for Hazardous Waste Combustion Facilities.  | Patrick Wilson (U.S. EPA)                                | Mimi Newton & Mike Zabaneh (U.S. EPA)  |
| <b>2018 02 23 Agency Guidance on Conducting Risk Assessments.pdf</b>                         | Email providing Agency guidance on conducting risk assessments.   | Patrick Wilson (U.S. EPA)                                | Mimi Newton & Mike Zabaneh (U.S. EPA)  |
| <b>2018 03 06 FWS Endangered Species List.pdf</b>  | List of threatened and endangered species that may occur in the proposed project location and/or may be affected by the proposed project.   | Steven Spangle (US Department of the Interior)           | To whom it may concern   |
| <b>2018 03 06 Memo Update to Prior EPA ESA Determinations.pdf</b>                            | Memorandum updating the February 25, 2009 ESA determination.  | Sara Ziff (U.S. EPA)                                     | Mike Zabaneh (U.S. EPA)  |
| <b>2018 03 14 - 2017 CCR Final Report-Big River Development.pdf</b>                          | Big River Development Annual Water Quality Report.  | Curtiss Martin   |  |
| <b>2018 03 2018 04 Waste Manifests.pdf</b>   | Waste manifests from March 2018 to April 2018.  |  |  |
| <b>2018 04 05 Inspection Report.pdf</b>  | Report on February 13, 2018 unannounced compliance evaluation inspection to determine Evoqua's compliance with applicable federal statutes and regulations.   | Douglas McDaniel (U.S. EPA)                              | Monte McCue (Evoqua)   |
| <b>2018 06 07 Certification of Delivery of Consumer Confidence Report.pdf</b>                | Certification of delivery of Consumer Confidence Report and the attached report for monitoring period of 1/1/2017-12/31/2017.   | Denton Scott (CRIT)                                      |  |

|  |  |   |   |
|--|--|---|---|
| <b>2018 06 12 Memo to File Regarding Tip on Abandoned or Disposed Waste.pdf</b>        | Memo in addition to the <i>2018 04 05 Inspection Report.pdf</i> describing following up on tip of abandoned or disposed waste.   | U.S. EPA  | File  |
| <b>2018 07 31 Evoqua ESA Update.pdf</b>  | Memo re: update to prior U.S. EPA Endangered Species Act determination.  | Sara Ziff (U.S. EPA)                            | Mike Zabaneh (U.S. EPA)                             |
| <b>2018 07 31 Evoqua Updated Species List.pdf</b>                                      | Letter re: updated list of threatened and endangered species that may occur in the proposed project location, and/or may be affected by the proposed project.  | Steven L. Spangle (U.S. Department of Interior) | To whom it may concern                              |
| <b>2018 08 06 Letter re Termination of Trust Agreement.pdf</b>                         | Letter re termination of June 30, 2003 Trust agreement and its amendments.   | Nicole Moutoux (U.S. EPA)                       | Philip Norris (Wells Fargo)                         |
| <b>2018 09 18 Supplemental Administrative Record Addendum.pdf</b>                      | Supplemental Administrative Record Addendum.   | U.S. EPA  |   |
| <b>2018 09 19 Email Re E-Manifests for Evoqua.pdf</b>                                  | Email re Evoqua manifests received in 2018 07 and 2018 08 and the U.S. EPA e-Manifest system.  | Mike Zabaneh (U.S. EPA)                         | Bradley Angel (Greenaction)                         |
| <b>Undated 2awfco.pdf</b>  | Attachment 3 describing various terms.   |   |   |
| <b>Undated Appendix A Protocol for Providing Information from CRIT to Westates.pdf</b> | Protocol for providing information from CRIT to Westates.  | Colorado River Indian Tribes                    |   |
| <b>Undated Attachments 1-2 Waste Codes and Incinerator Guidance.pdf</b>                | Attachments 1-2 Waste Codes and Incinerator Guidance.  |   |   |
| <b>Undated Attachments 1-18</b>  | Attachment 1-18 of unspecified document.   |   |   |
| <b>Undated Common and Scientific Names of Species.pdf</b>                              | Appendix B common and scientific names of species mentioned in text.   |   |   |
| <b>Undated Daily Inspection Checklist.pdf</b>  | Daily Inspection Checklist for Westates.   | Westates Carbon                                 |   |
| <b>Undated EPA Handout About Risk Assessment.pdf</b>                                   | Information on U.S. EPA Risk Assessments.  | U.S. EPA  |   |
| <b>Undated Equipment Arrangement.pdf</b>   | Diagram of equipment arrangement reactivation facilities.  |   |   |
| <b>Undated Gov Con Spent Carbon Reactivation and Recycling.pdf</b>                     | Article from Gov Con on Evoqua.  | Gov Con.com                                     |   |
| <b>UNDATED List of Attachments 1-19.pdf</b>  | Attachments 1-19.  |   |   |
| <b>Undated Mact.toolkit intro.pdf</b>  | Document describing Hazardous Waste Combustion NESHAP Toolkit.   |   |   |
| <b>Undated Mailing Routes -Zipcodes for Evoqua Mailers.pdf</b>                         | Table showing the mailing routes and number of mailers sent out by U.S. EPA.   | U.S. EPA  |   |
| <b>Undated Potential Exposure Pathways.pdf</b>   | U.S. EPA graphic showing various potential exposure pathways.  |   |   |
| <b>Undated Public Notice Announcing Public Wokrshop.pdf</b>                            | Public Notice announcing the 2004 02 11 Public workshop that will provide information about the proposed Air Emissions Test Plan, Risk Assessment Workplan, and receive public comment on the Plans. | U.S. EPA  |   |
| <b>Undated Record of Communication C.Newton.pdf</b>                                    | External Communication Log Form documenting data or scientific findings regarding putative elevated rates of newoplasms in zip codes, census tracts or community subgroups in proximity to Evoqua.   | Patrick Wilson (U.S. EPA)                       | Dr. Chris Newton (Arizona Dept. of Health Services) |
| <b>Undated Siemens Graphic.pdf</b>   | Graphic of Siemens facility in Vernon, CA.   |   |   |
| <b>Undated Subpart X Preamble.pdf</b>  | Guidance on conforming changes to Subpart X.   |   |   |
| <b>Undated US Filter Westates Facility Description.pdf</b>                             | Facility description including nature of business and types of industry served.  | US Filter Westates                              |   |

|   |   |          |  |
|---|---|----------|--|
| <b>Undated Vapor Phase Carbon Process Flow Diagram.pdf</b>                    | Diagram of vapor phase carbon process flow diagram balance for RF-2.                    |          |  |
| <b>Undated Westates Description.pdf</b>                                       | Description of Westates Carbon.   |          |  |
| <b>x_2018 09 Responses to Public Comments_001_CS-1 and I-1 to I-42.pdf</b>    | U.S. EPA Responses to Public Comments on Cover Sheet and Module I.                      | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_002_II-1 to II-20 .pdf</b>          | U.S. EPA Responses to Public Comments on Module II.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_003_III-1 to III-13.pdf</b>         | U.S. EPA Responses to Public Comments on Module III.                                    | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_004_IV-1 to IV-35.pdf</b>           | U.S. EPA Responses to Public Comments on Module IV.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_005_V-1 to V-41.pdf</b>             | U.S. EPA Responses to Public Comments on Module V.                                      | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_006_VI-1 to VI-9.pdf</b>            | U.S. EPA Responses to Public Comments on Module VI.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_007_C-1 to C-32.pdf</b>             | U.S. EPA Responses to Public Comments on General Issues.                                | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_008_C-33 to C-40.pdf</b>            | U.S. EPA Responses to Public Comments on CRIT comments regarding Proposed Draft Permit. | U.S. EPA |  |
| <b>y_2018 09 Redline Final RCRA Permit v Draft RCRA Permit.pdf</b>            | Redline version of Final RCRA Permit showing changes made to draft RCRA Permit.         | U.S. EPA |  |
| <b>z_2018 09 Evoqua Final RCRA Permit Modules I-VI.pdf</b>                    | Final RCRA Permit for Colorado River Indian Tribes and Evoqua Water Technologies LLC    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_001Permit Attachment Section A.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section A, Part A Discussion                | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_002Permit Attachment Section B.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section B, Facility Description             | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_003 Permit Attachment Section C.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section C, Spent Carbon Characteristics     | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_004Permit Attachment Section D.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section D, Process Information              | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_005 Permit Attachment Section E.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section E, Groundwater                      | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_006 Permit Attachment Section F.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section F, Procedures to Prevent Hazards    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_007 Permit Attachment Section G.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section G, Contingency Plan                 | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |



|  |   |          |  |
|--|---|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_008 Permit Attachment Section H.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section H, Personnel Training Program   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_009 Permit Attachment Section I.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section I, Closure Plan and Cost Estimate and Liability Requirements  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_010 Permit Attachment Section J.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_011 Permit Attachment Section K.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section K, Other Federal Laws   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_012 Permit Attachment Section L.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section L, Certification  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_013 Permit Attachment Section M.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section M, Subpart AA – Process Vents   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_014 Permit Attachment Section N.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section N, Subpart BB – Equipment Leaks   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_015 Permit Attachment Section O.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section O, Subpart CC – Air Emission Standards  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_016 Permit Attachment Section P.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section P, Exposure Information   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_017 Permit Attachment Section Q.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section Q, Subpart FF, Compliance Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_018 Permit Attachment Appendix I.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix I, Part A Permit Application   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_019 Permit Attachment Appendix II.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_020 Permit Attachment Appendix III.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix III, Site Diagrams   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_021 Permit Attachment Appendix IV.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix IV, Waste Analysis Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

|  |  |          |  |
|--|--|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_022 Permit Attachment Appendix V.pdf</b>     | Evoqua Final RCRA Permit, Permit Attachment Appendix V, RF-2 Performance Demonstration Test Plan and Report                                    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_023 Permit Attachment Appendix VI.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams                         | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_024 Permit Attachment Appendix VII.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation                           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_025 Permit Attachment Appendix VIII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix VIII, Spent Carbon Container Specifications   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_026 Permit Attachment Appendix IX.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_027 Permit Attachment Appendix X.pdf</b>     | Evoqua Final RCRA Permit, Permit Attachment Appendix X, RF-2 Equipment Drawings and Specifications   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_028 Permit Attachment Appendix XI.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XI, Risk Assessment Report  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_029 Permit Attachment Appendix XII.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XII, Inspection Schedule and Checklists   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_030 Permit Attachment Appendix XIII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XIII, Contingency Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_031 Permit Attachment Appendix XIV.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XIV, Training Syllabus Outline and Documentation Form                                     | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_032 Permit Attachment Appendix XV.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XV, RCRA Facility Closure Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_033 Permit Attachment Appendix XVI.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XVI, RF-1 Closure Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_034 Permit Attachment Appendix XVII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XVII, Sampling, Analysis, and OA/QC Plan for Closure Activities                           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_035 Permit Attachment Appendix XVIII.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix XVIII, Financial Assurance Mechanism  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

|  |  |          |  |
|--|--|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_036 Permit Attachment Appendix XIX.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XIX, Subpart BB Compliance Plan           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_037 Permit Attachment Appendix XX.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XX, Subpart CC Compliance Plan            | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_038 Permit Attachment Appendix XXI.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XXI, Records Retention Requirements       | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_039 Permit Attachment Appendix XXII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XXII, Startup Shutdown Malfunction Plan   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_040 Permit Attachment Appendix XXIII.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix XXIII, Subpart FF Compliance Plan         | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_Permit Exhibit I.pdf</b>                     | Evoqua Final RCRA Permit, Permit Exhibit I, Documents to be Included in Information Repository | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

## Chronological – Administrative Record Index

| Date of Document/ Title   | Description  | Author/Sender                       | Addressee  |
|---|--|-------------------------------------|--|
| 1981 03 12 RCRA Online 9487_1981_01_ with marginalia 1993 08 04.pdf                         | EPA Guidance re: qualifying a unit for interim status.   |                                     | Bruce Weddle (U.S. EPA),<br>Michael Bonchonsky<br>(U.S. EPA)                                   |
| 1984 10 05 Use of Compliance Schedules in RCRA Permits.pdf                                  | Memo re: use of compliance schedules in RCRA permits.  | Bruce Weddle (US EPA)               | Hazardous Water<br>Directors (US EPA)  |
| 1985 07 10 Skinner Ltr re Carbon Regen.pdf  | Letter re: questions raised regarding RCRA reauthorization amendments.   | John Skinner (U.S. EPA)             | Nancy Suska (Baltimore<br>City Health Dept.)   |
| 1986 04 02 _9441_1986_26 Carbon Regeneration Facilities 1.pdf                               | Memo re: regulation of regeneration of spent carbon.   | Marcia Williams (U.S.<br>EPA)       | Stephen Wassersug (U.S.<br>EPA)  |
| 1986 07 15 OSWER 9441_1986_54 activated carbon cannisters saturated with spent solvents.pdf | Letter re: activated spent carbon cannisters may not be regulated hazardous waste.   | Matt Strauss (U.S. EPA)             | Gregory J. Harvey<br>(Occupational Medical<br>Services, Newark Air<br>Force Station, Ohio)     |
| 1986 07 XX _9441_1986_59 reclaimed sludges.pdf  | RCRA/Superfund Monthly Hotline Report – June 1986. EPA will determine on a material by material basis whether sludges to be reclaimed are a hazardous waste. | Matt Strauss (U.S. EPA)             |  |
| 1986 XX XX RCRA Online 9528_1986_01_ with marginalia 1993 Aug 4.pdf                         | Letter explaining how interim status applies to existing facilities and what that means, as well as applicability of Omnibus provision to permitting.        | Marcia Williams (U.S.<br>EPA)       | Susan Lubick (US House<br>of Reps), Norman<br>Nosenchuck (NY Dept. of<br>Env't'l Conservation) |
| 1987 07 09 RO 9483_1987_10_ Secondary Containment Piping.pdf                                | Letter re: secondary containment for piping including underground piping and above piping requirements.  | Robert Dellinger (U.S.<br>EPA)      | Irving Press (Resistoflex<br>Co.)  |
| 1987 08 03 RO 9483.1987_14_ Pressurized Piping auto shut off.pdf                            | Letter confirming that secondary containment is not required for pressurized piping systems with automatic shut off devices.                                 | Robert Dellinger (U.S.<br>EPA)      | Timothy Love (Allied<br>Corp.)   |
| 1987 09 23 RO 9483_1987_17_ 2ndary containment above grd flanges valves.pdf                 | Letter regarding the scope of the term “welded flange” as used in 40 CFR 264.193 where secondary containment is required.                                    | William Kline (U.S. EPA)            | Wendy Goerss (SSOE,<br>Inc.)   |
| 1988 06 09 RO 9483_1988_11 Pump Exemption Secondary Containment.pdf                         | Letter regarding determine of pumps using the barrier fluid pump sealing system would be exempt from the requirement for secondary containment.              | James Barlow (U.S. EPA)             | Timothy Love (Allied<br>Signal, Inc.)  |
| 1988 07 01 Highway System Map - Colorado River Indian Reservation Scan 24B - 6 pages.pdf    | Draft of Highway System Map of Colorado River Indian Reservation. *Dated July 1998*  |                                     |  |
| 1988 09 01 RCRA Permit Quality Protocol.pdf   | Administrative Record Guidance and Checklist.  |                                     |  |
| 1988 12 16 Application For Class C Installation Permit.pdf                                  | Letter transmitting completed applications for the installation and operation of a proposed new facility in Kingman, AZ.                                     | Robert Babbitt (Westates<br>Carbon) | John Hoffman (ADEQ)  |

|  |   |  |   |
|--|---|--|---|
| <b>1989 05 01 Introduction of Facility.pdf</b>   | Presentation regarding proposed Westates Carbon facility for Kingman, AZ with attached application submitted to ADEQ.   |  |   |
| <b>1989 08 24 Letter Info related to Air Quality Permit w o encls.pdf</b>                    | Letter sending information that Westates Carbon, Inc. had submitted to the State of Arizona for an air quality permit.  | Billy C. Taylor (Commercial Manager, CRIT)           | Bob Baker (U.S. EPA)                          |
| <b>1989 09 12 Letter Re_CRIT_Concerns_1989.pdf</b>   | Letter indicating that ADEQ had issued an air quality installation permit for the Mohave County Airport Industrial Park and sees no reason why the same requirements couldn't suffice in Parker.  | Paul Soheidig (Ombudsman, AZ DEQ)                    | Elliott Booth (CRIT)                          |
| <b>1989 11 30 9483_1989_04 Response to October 30 Letter re Secondary Containment.pdf</b>    | Letter regarding clarification of phrase "operated to contain" for the purposes of secondary containment requirements for hazardous waste tank systems.   | Sylvia Lowrance (U.S. EPA)                           | Al Patten (C-K Assocs., Inc.)                 |
| <b>1990 02 16 Letter EEI retained for Env Assessment.pdf</b>                                 | Letter re: EEI has been retained to complete an environmental assessment for CRIT (BIA) on a site near Parker, AZ. Westates Carbon will put in a carbon recycling plant at the site location.   | William Curry (Engrg. Enterprises, Inc.)             | Shereen Lerner (AZ SHPO)                      |
| <b>1990 03 01 Response to 02061990 Letter for List of Species.pdf</b>                        | Letter indicating that no listed species would be affected by the proposed action.  | Gilbert D. Metz (US DOI F&WS)                        | William Curry (Engineering Enterprises, Inc.) |
| <b>1990 03 03 Spent Carbon Flow Control Scheme.jpg</b>                                       | Diagram of carbon regeneration facility process flow.   |  |   |
| <b>1990 03 08 Letter Request_Info_to_complete_Environmental Assessment.pdf</b>               | Letter identifying the Cactus Plains dunes ecosystem east of the facility and providing habitat for the Mohave fringe-toed lizard.  | David Walker, (AZ Game & Fish Dept., Habitat Branch) | William Curry (EEI)                           |
| <b>1990 06 12 Transmittal of All Info Compiled from co approached the CRIT -06121990.pdf</b> | Letter transmitting copy of all the information that the company has approached CRIT as a possible site for the location of their facility and whether the company's planned activities fall within RCRA based on the pyrolysis of hazardous waste. | Butch Creamer (US HHS, PHS Indian Hospital)          | Nahid Zoueshtigh (U.S. EPA)                   |
| <b>1990 06 22 Process Flow Diagram.jpg</b>   | Diagram of carbon regeneration facility process flow.   |  |   |
| <b>1990 06 25 Certificate of Disclosure.pdf</b>  | Articles of Incorporation of the Westates Carbon-Arizona, Inc.  | Streich, Lang, Weeks and Cardon                      | Arizona Corporations Commission               |
| <b>1990 07 26 excerpts of Business Lease CRIT and Westates.pdf</b>                           | Includes: the complete Table of Contents (pp. i -vi); Excerpts from pp. 13-14; Signature pages; and Exh. A, personal property and trade fixtures.   |  |   |
| <b>1990 08 03 Letter Request for Review of Draft NEPA Document.pdf</b>                       | Letter requesting EPA substantive review for technical adequacy of draft NEPA document submitted to BIA by Westates Carbon for proposed facility on CRIT reservation.   | Barry Welch (US DOI, BIA)                            | Jacqueline Wyland, (U.S. EPA)                 |
| <b>1990 09 07 EPA Review of BIA Draft EA.pdf</b>   | Re: review of "BIA Draft Environmental Assessment" of Carbon Reactivation Plant in Parker, AZ.  | Larry Bowerman (U.S. EPA)                            | David Tomsovic (U.S. EPA)                     |
| <b>1990 09 14 Notification of Intent to Construct Facility for Activated Carbon.pdf</b>      | Letter regarding intent to construct carbon regeneration plant.   | Robert Babbitt (Westates Carbon, Inc.)               | Jeff Zelikson (U.S. EPA)                      |

|  |  |   |  |
|--|--|---|--|
| <b>1990 09 14 Re Review of Environmental Assessment.pdf</b>                                | Letter regarding review of EA for the BIA regarding proposed project in Parker and clarifying the company's position on the exempt nature of the proposed facility.    | Robert Babbitt (Westates Carbon, Inc.)            | Jeff Zelikson (U.S. EPA)               |
| <b>1990 09 XX EPA comments on BIA draft EA.pdf</b>   | Purporting to be EPA comments on "BIA Carbon Reactivation Plant Draft EA."   |   |  |
| <b>1990 10 25 Response to Letter of Determination.pdf</b>                                  | Letter re: Mr. Feeley's "letter of determination" received on 10/24/1990 and intent to file EPA Form 8700-12 upon final approval of lease agreement with CRIT by BIA.  | Robert Babbitt (Westates Carbon Inc.)             | Michael Feeley (U.S. EPA)              |
| <b>1990 11 05 Letter Regarding Preliminary Review of Discharge.pdf</b>                     | Letter from re: review of Westates wastewater discharge plans and requirement to obtain an industrial wastewater discharge permit.                                     | Roger Garcia (CRIT Joint Venture)                 | Dr. Robert Shapiro (Simon EEI, Inc.)   |
| <b>1990 11 29 Letter Re_Cultural_Resources_Determination.pdf</b>                           | Memo with comments on cultural resources documentation provided by Weldon Johnson (CRIT Museum) pursuant to the NHPA.  | Robert Gasser, for Shereen Lerner (AZ SHPO)       | Randall Morrison (US DOI BIA)          |
| <b>1990 12 01 Westates Maps and Photos.pdf</b>   | Maps, graphs, and data prepared by EEI   | Engineering Enterprises Inc.                      |  |
| <b>1990 12 18 Topographic Survey.jpg</b>   | Topographic Survey of Lots 13 & 14 of Colorado River Industrial Park.  |   |  |
| <b>1991 02 21 CFR Regulation of Carbon Regeneration Units.pdf</b>                          | 56 FR 7200, Feb. 21, 1991. Excerpt of preamble associated with EPA's final rule regulating Carbon Regeneration Units under RCRA (Miscellaneous Units under Subpart X). |   |  |
| <b>1991 03 01 Final Environmental Assessment.pdf</b>                                       | Final Environmental Assessment finding no significant impact.  | Simon Environmental Engineering Interprises, Inc. | U.S. Department of the Interior BIA    |
| <b>1991 04 02 Transmittal Letter w_o attachmt Environmental Assessment.pdf</b>             | Cover letter transmitting copies of final EA approved by BIA and requesting RCRA forms and instructions.   | Robert Babbitt (Westates Carbon, Inc.)            | Jim Bergkamp (U.S. EPA)                |
| <b>1991 06 24 Letter re Determination that Proposed Proj does not discharge dredge.pdf</b> | Letter advising that the construction of the facility is not subject to permitting under Section 404 of the Clean Water Act for dredge or fill materials.              | Diane Noda (US ACE)                               | Robert Babbitt (Westates Carbon, Inc.) |
| <b>1991 08 02 EPA OSW PPC 9489_1991_04 Reg Status Carbon Regen Units.pdf</b>               | Memo re: Regulatory Status of Carbon Regeneration Units.   | Sylvia Lowrance (US EPA)                          | Bruce Smith (US EPA)                   |
| <b>1991 08 02 EPA OSW PPC 9489_1991_04 Reg Status Carbon Regen Units.pdf</b>               | Memo re the regulatory status of carbon regeneration units. Printed out on 2001 09 18.   | Sylvia Lowrance (U.S. EPA)                        | Bruce Smith (U.S. EPA)                 |
| <b>1991 08 05 RCRA Part A Permit Application.pdf</b>                                       | Part A Permit Application, signed by Dr. Alan Sass, President, Westates Carbon-Arizona.  |   |  |
| <b>1991 08 12 Aerial Photo.jpg</b>   | Aerial Photo of Site Location.   |   |  |
| <b>1991 08 12 Letter re Part A Permit Application.pdf</b>                                  | Letter transmitting Part A Permit Application.   | Robert Babbitt (Westates Carbon, Inc.)            | Larry Bowerman (U.S. EPA)              |
| <b>1991 08 12 Part A Application Form 8700 reduced size.pdf</b>                            | Duplicate of 1991 08 05 RCRA Part A Permit Application. (Page 6 is right side up.)   |   |  |

|  |   |                           |  |
|--|---|---------------------------|--|
| <b>1991 08 12 Part A Application_Cover_Letter_1991.pdf</b>                       | Duplicate of <i>1991 08 12 Letter re Part A Permit Application.</i>   |                           |  |
| <b>1991 08 12 Topographic Map 1 of 2.jpg</b>                                     | Topographic Map of Parker, AZ.  |                           |  |
| <b>1991 08 12 Topographic Map 2 of 2.jpg</b>                                     | Topographic Map of Parker, AZ.  |                           |  |
| <b>1991 08 12 Topographic Map.jpg</b>  | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Arial Photo scan 16.jpg - OneDrive_aspx.mht</b>           | Duplicate of <i>1991 08 12 Aerial Photo.</i>  |                           |  |
| <b>1991 08 12 Westates Arial Photo scan 20.jpg - OneDrive_aspx.mht</b>           | Duplicate of <i>1991 08 12 Aerial Photo.</i>  |                           |  |
| <b>1991 08 12 Westates Arial Photo scan 23.jpg - OneDrive_aspx.mht</b>           | Duplicate of <i>1991 08 12 Aerial Photo.</i>  |                           |  |
| <b>1991 08 12 Westates Arial Photo scan3.jpg - OneDrive_aspx.mht</b>             | Duplicate of <i>1991 08 12 Aerial Photo.</i>  |                           |  |
| <b>1991 08 12 Westates Arial Photo scan4.jpg - OneDrive_aspx.mht</b>             | Duplicate of <i>1991 08 12 Aerial Photo.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map Scan 1.jpg - OneDrive_aspx.mht</b>               | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan 17.jpg - OneDrive_aspx.mht</b>              | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan 18.jpg - OneDrive_aspx.mht</b>              | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan 21.jpg - OneDrive_aspx.mht</b>              | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan 22.jpg - OneDrive_aspx.mht</b>              | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan2.jpg - OneDrive_aspx.mht</b>                | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan5.jpg - OneDrive_aspx.mht</b>                | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map scan6.jpg - OneDrive_aspx.mht</b>                | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map Sheet 1 of 2 scan 14.jpg - OneDrive_aspx.mht</b> | Duplicate of <i>1991 08 12 Topographic Map 1 of 2.</i>  |                           |  |
| <b>1991 08 12 Westates Topo Map Sheet 2 of 2 scan 15.jpg - OneDrive_aspx.mht</b> | Duplicate of <i>1991 08 12 Topographic Map 2 of 2.</i>  |                           |  |
| <b>1991 09 RCRA Contingency Plans and Emergency Procedures.pdf</b>               | Q and A of RCRA Contingency Plans and Emergency Procedures.   | U.S. Department of Energy |  |
| <b>1991 10 10 HQ Delegation 8-6 RCRA TSDF Permits.pdf</b>                        | EPA OARM Website showing HQ Delegation 8-6 authorizing the Regional Administrators (or their delegates) to perform all actions necessary to issue, deny, modify, revoke and reissue, or terminate permits pursuant to the Solid Waste Disposal Act. |                           |  |

|   |   |   |   |
|---|---|---|---|
| <b>1991 11 06 Parker Pioneer Article of Westates Breaking Ground.pdf</b>                      | Parker Pioneer article including photos of Westates groundbreaking ceremony.  |   |   |
| <b>1991 12 03 RCRA Regs Applicable to Control Devices Required by the OAES - Dec 1991.pdf</b> | Memo re: standards that apply to control devices under Subparts AA and BB when the device meets the definition of a regulated unit under another portion of the regulations.  | James Michael (US EPA)                      | Catherine Massimino (US EPA)                  |
| <b>1991 est Date not legible Westates Flow Diagram scan 19.jpg - OneDrive_aspx.mht</b>        | Duplicate of <i>1993 11 29 Carbon Reactivation Facility Flow Diagram</i> .  |   |   |
| <b>1992 01 31 Letter Requesting Information Concerning Interim Status.pdf</b>                 | Letter requesting information from facility to confirm the facility has met requirements for interim status.  | Rich Vaille (U.S. EPA)                      | Robert Babbitt (Westates Carbon, Inc.)        |
| <b>1992 02 14 Interim Status Eligibility _incl portions of lease.pdf</b>                      | Letter provides chronology of events after May of 1989 regarding required approvals and permits. Referenced document: <i>1992 01 31 Letter Requesting Information Concerning Interim Status</i> .   | Dr. Allan Sass (Westates Carbon, Inc.)      | Chris Heppe (U.S. EPA)                        |
| <b>1992 09 21 Preliminary Assessment Summary Memo.pdf</b>                                     | Preliminary Assessment of Westates summarizes investigative efforts.  | Colin Moy (Ecology and Environment Inc.)    | Rachel Loftin (U.S. EPA)                      |
| <b>1992 09 23 letter re revise Part A w_o encl.pdf</b>  | Letter enclosing revised Part A Permit Application for Westates Carbon that was submitted on 1992 09 04 with a request to: (1) Change the ID number; (2) Submit updated photos; (3) Obtain the signature of the property owner as well as operator. | Paula Bisson (U.S. EPA)                     | Vic Hutcheson (Westates Carbon-Arizona, Inc.) |
| <b>1992 10 01 Process Code T04 Top Section.pdf</b>  | Copies of photos and 1 process flow diagram.  |   |   |
| <b>1992 11 30 Revised RCRA Part A Permit Application.pdf</b>                                  | Letter transmitting revised Part A Permit Application. Includes as property owner's signature "Russell Welsh, Vice Chairman, CRIT" dated Nov. 30, 1992.   | Monte McCue (Westates Carbon-Arizona, Inc.) | Paula Bisson (U.S. EPA)                       |
| <b>1992 12 Alternative Control Tech Doc - Carbon Reactivation Processes.pdf</b>               | Alternative Control Technology report for air pollution control agencies.   | U.S. EPA                                    |   |
| <b>1992 LuMar Engineering Data Sheets.pdf</b>   | LuMar Engineering data sheets from Westates.  | LuMar Engineering                           |   |
| <b>1993 01 01 1992 Annual Report.pdf</b>  | 1992 Annual Report for Wheelabrator Technologies Inc.   |   |   |
| <b>1993 01 05 RCRA Preliminary Assessment 1.pdf</b>   | Letter transmitting RCRA Preliminary Assessment.  | Bridget Coyle (U.S. EPA)                    | Chris Heppe (U.S. EPA)                        |
| <b>1993 04 13 EAB Decision in GE matter reduced size.pdf</b>                                  | EAB decision.   |   |   |
| <b>1993 04 25 Aug 1993 CEI_8.pdf</b>  | Remaining documents in Attachment 13 and Attachments 14-18 of Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9                           | Westates Carbon – Arizona, Inc.               |
| <b>1993 05 11 Westates Diagram Tree.pdf</b>   | Corporate personnel and parent company structure – Wheelabrator and Westates Carbon-Arizona.  |   |   |
| <b>1993 06 10 Current Corporate Status of Facility.pdf</b>                                    | Letter regarding stock transfers and corporate ownership status.  | Monte McCue (Westates Carbon)               | Paula Bisson (U.S. EPA)                       |
| <b>1993 07 26 Tank Inspection and Certification Per 40 CFR.pdf</b>                            | Letter re: bid/estimate for tank inspection services.   | James Wright (RUST Engineering Company)     | Monte McCue (Westates Carbon)                 |



|   |  |                                 |                                   |
|---|--|---------------------------------|-----------------------------------|
| <b>1993 07 29 2nd page of fax of Draft Proposed Meeting Agenda for Aug 4 1993.pdf</b>       | Draft Meeting Agenda for meeting between EPA and Westates regarding need for additional treatment capacity for reactivated carbon and expansion planning.  |                                 |                                   |
| <b>1993 08 04 Aug 1993 CEI_5.pdf</b>  | Westates operating logs for late July and early August 1993. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9               | Westates Carbon – Arizona, Inc.   |
| <b>1993 08 04 Letter Jeffrey Walsh Inquiry.pdf</b>  | Letter re: lease requirement for “annual environmental review of the leased premises during the first month of each successive year of the Primary Term.”  | Allan Anspach (US BIA)          | Chairman Daniel Eddy, Jr (CRIT)   |
| <b>1993 08 08 Case Conclusion Data Sheet 1.pdf</b>  | Indicates there is a \$57,515 penalty assessed for the failure to have the required tank assessments. U.S. EPA attorney on the case was Roger Klein.   | Laura Gentile (U.S. EPA).       |                                   |
| <b>1993 08 10 Request for Determination under 40 CFR 270-72.pdf</b>                         | Letter asking about including RF 2 in facility’s interim status proposed expansion of capacity at the facility.  | Stephen Richmond (Wheelabrator) | Jeff Zelikson (US EPA)            |
| <b>1993 08 13 Applicability Determination.pdf</b>   | Memo re: Applicability determination for Parker facility under CAA Prevention of Significant Deterioration PSD Program.  | Jennifer Fox (US EPA)           | Matthew P. Killeen (Wheelabrator) |
| <b>1993 08 14 Aug 1993 CEI_4.pdf</b>  | Attachments 4 through 7 of a Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9               | Westates Carbon – Arizona, Inc.   |
| <b>1993 08 17 Aug 1993 CEI_1.pdf</b>  | Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>   | U.S. EPA Region 9               | Westates Carbon – Arizona, Inc.   |
| <b>1993 08 19 Inspection Memo US EPA R9 Ray Fox to Larry Bowerman.pdf</b>                   | Memo Includes observations made with respect to facility operations during compliance evaluation inspection.   | Ray Fox (U.S. EPA)              | Larry Bowerman (U.S. EPA)         |
| <b>1993 08 19 Memo re site visit 1993 08 17.pdf</b>   | Duplicate of <i>1993 08 19 Inspection Memo US EPA R9 Ray Fox to Larry Bowerman</i> .   | Ray Fox (U.S. EPA)              | Larry Bowerman (U.S. EPA)         |
| <b>1993 08 30 Request of Documents.pdf</b>  | Response to request for information includes the full business lease between the Tribe and Westates; the wastewater discharge permit from the Joint Venture #1001-91; and approved building, plumbing and electrical permits from the Tribe. | Matthew Killeen (Wheelabrator)  | Ray Fox (U.S. EPA)                |
| <b>1993 08 30 Request to Submit Part B Application.pdf</b>                                  | Letter requesting submittal of RCRA Part B Permit Application for Miscellaneous Unit under Subpart X and 270.1(b) by March 1, 1994.  | Michael Feeley (US EPA)         | Monte McCue (Westates)            |
| <b>1993 09 02 Aug 1993 CEI_7.pdf</b>  | Attachments 10 through 13 of a Compliance Inspection Report. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | U.S. EPA Region 9               | Westates Carbon – Arizona, Inc.   |
| <b>1993 09 03 Letter re Site Inspection.pdf</b>   | Letter regarding issues raised at conclusion of compliance evaluation inspection. Addresses cracks in pad as well as various tanks and containers and recycle water.   | Monte McCue (Westates Carbon)   | Amy Sokolov (U.S. EPA)            |
| <b>1993 09 20 Transmittal Letter w_o attchmts of Employee Personal Training Records.pdf</b> | Letter with attachments may have become incorporated as attachments to the August 1993 Compliance Evaluation Inspection (CEI) Report.  | Jeffrey Walsh (Westates Carbon) | Amy Sokolov (U.S. EPA)            |

|  |  |                               |                                    |
|--|--|-------------------------------|------------------------------------|
| <b>1993 09 24 Intended Change Sole Shareholder.pdf</b>   | Indicates that Westates Carbon-Arizona, Inc. is a wholly owned subsidiary of Westates Carbon, Inc., which is itself a wholly owned subsidiary of Wheelabrator Clean Air Systems Inc.   | Monte McCue (Westates Carbon) | Jeffery Zelikson (U.S. EPA)        |
| <b>1993 10 XX Response to Requests in 10141993 Letter w_o attchmts.pdf</b>   | Letter indicating that the facility has not received waste codes K111, K124, and K062.   | Monte McCue (Westates Carbon) | Amy Sokolov (U.S. EPA)             |
| <b>1993 11 22 Aug 1993 CEI_2.pdf</b>   | Letter transmitting CEI inspection report with Attachment 1 and 2. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>  | Karen Schwinn (U.S. EPA)      | Monte McCue (Westates Carbon)      |
| <b>1993 11 29 Carbon Reactivation Facility Flow Diagram.jpg</b>  | Diagram of carbon reactivation facility flow.  |                               |                                    |
| <b>1993 12 08 ltr re EPA Inspection Report.pdf</b>   | Letter with responses to inspection report.  | Monte McCue (Westates Carbon) | Karen Schwinn (U.S. EPA)           |
| <b>1993 12 17 Revised RCRA Part A Permit Application.pdf</b>   | Letter transmitting revised Part A Permit Application.   | Monte McCue (Westates Carbon) | Ray Fox (U.S. EPA)                 |
| <b>1993 12 29 Response to 08101993 and to meetings btw EPA and Westates.pdf</b>                                      | Letter re: Westates' plans to add second carbon regeneration unit that would increase capacity of the Facility were included in Part A Application.  | Jeffrey Zelikson (US EPA)     | Stephen M. Richmond (Wheelabrator) |
| <b>1993 Aug 1993 CEI_3.pdf</b>   | General Facility Layout – 1 page drawing. Reference document: <i>1993 11 22 Aug 1993 CEI_2.pdf</i>   |                               |                                    |
| <b>1993 site plan Aug 1993 CEI_3.pdf</b>   | Duplicate of <i>1993 Aug 1993 CEI_3</i> .  |                               |                                    |
| <b>1994 01 01 BIA and BLM maps - Cover.pdf</b>   | Cover page of BLM California Desert District Blythe and Arizona Desert District Parker. Complete copy: <i>2004 09 28 Memorandum_Maps Received from BLM and BIA w Maps</i>  |                               |                                    |
| <b>1994 01 14 Request for Extension of Part B application.pdf</b>  | Letter requesting an extension to June 30, 1994 due to timing of receipt of trial burn results.  | Monte McCue (Westates)        | Michael Feeley (US EPA)            |
| <b>1994 01 24 Extension of Part B Permit Deadline 1994.pdf</b>   | Letter granting an extension to deadline to file Part B Permit Application to June 30, 1994. Extension will enable Westates to: (1) carry out improvements to emission control system; (2) conduct additional emission testing; and (3) perform a screening level risk assessment. | Michael Feeley (US EPA)       | Monte McCue (Westates)             |
| <b>1994 02 01 RCRA Expanded Public Participation and Revisions to Combustion Permitting Procedures_June 1994.pdf</b> | 59 FR 28680, June 2, 1994. Proposed RCRA Expanded Public Participation and Revisions to Combustion Permitting Rule, proposing earlier and more frequent involvement options for the public.  |                               |                                    |
| <b>1994 02 03 Waste Analysis and Contingency Plan.pdf</b>  | Documents are labelled Attachments 1 – 20 and appear to be enforcement related.  | U.S. EPA                      | Monte McCue (Westates Carbon)      |
| <b>1994 02 16 Determination of Violation.pdf</b>   | Letter transmitting Determination of Violation, Compliance Order and notice of Right to Request a Hearing (Complaint). Includes attached Complaint, Docket No. RCRA 09-94-0001.  | Jeff Zelikson (U.S. EPA)      | Monte McCue (Westates Carbon)      |
| <b>1994 02 18 EPA Proposes Fine Against Westates.pdf</b>   | EPA Environmental News press release on proposed fine against Westates.  |                               |                                    |
| <b>1994 02 18 Press Release EPA Proposes Fine Against Westates.pdf</b>   | Duplicate of <i>1994 02 18 EPA Proposes Fine Against Westates</i> .  |                               |                                    |

|   |  |   |                                      |
|---|--|---|--------------------------------------|
| <b>1994 02 24 Assessment of Tank Systems.pdf</b>                              | Assessments for T-1, T-2, T-5, T-6 and T-8 performed for Westates Carbon-AZ. Includes results of ultrasonic thickness testing and detailed plans re: containment.  | Rust Engineering Co.  |                                      |
| <b>1994 02 25 Response to EPA Information Request Attachments D and E.pdf</b> | Attachment to <i>1994 02 25 Response to EPA Information Request</i> .  |   |                                      |
| <b>1994 02 25 Response to EPA Information Request.pdf</b>                     | Letter transmitting response to <i>1994 02 16 Determination of Violation</i> .   | Monte McCue (Westates Carbon)                                 | Greg Czajkowski (U.S. EPA)           |
| <b>1994 03 04 Compliance Tasks.pdf</b>  | Letter transmitting response to <i>1994 02 16 Determination of Violation</i> with attachments.   | Monte McCue (Westates Carbon)                                 | Greg Czajkowski (U.S. EPA)           |
| <b>1994 03 04 Letter re Compliance Tasks.pdf</b>                              | Duplicate of <i>1994 03 04 Compliance Tasks</i> , except without any attachments other than the corporate certification.   |   |                                      |
| <b>1994 03 10 EPA Letter re formal enforcement action.pdf</b>                 | Letter re: late notice regarding Complaint issued against Westates.  | Jeff Zelikson (U.S. EPA)                                      | Peter Nimkoff (CRIT AG)              |
| <b>1994 03 15 CEI Report_2.pdf</b>  | SAIC Inspection Report prepared for EPA dated March 15, 1994.  | Science Applications International Corp. Tech. Services Comp. | U.S. EPA Region 9                    |
| <b>1994 03 16 CRIT response to USEPA Letter.pdf</b>                           | Letter responding to <i>1994 03 10 EPA Letter re formal enforcement action</i> .   | Peter Nimkoff (CRIT AG)                                       | Jeff Zelikson (U.S. EPA)             |
| <b>1994 03 18 Letter re phone call on deadlines.pdf</b>                       | Letter re: phone call confirming deadline for filing answer to EPA's complaint.  | Aaron Goldberg (Beveridge & Diamond)                          | Jo Ann Asami (U.S. EPA)              |
| <b>1994 03 18 Memo re call regarding deadlines 1.pdf</b>                      | Fax confirming accuracy of Goldberg's letter re: deadline to file answer to EPA's Complaint.   | Jo Ann Asami (U.S. EPA)                                       | Aaron Goldberg (Beveridge & Diamond) |
| <b>1994 03 21 Answer and Request for Hearing.pdf</b>                          | Pleading in Docket No. RCRA 09-94-0001.  |   |                                      |
| <b>1994 03 21 Letter Phase II Environmental Assessment.pdf</b>                | Letter indicating that at special CRIT Council meeting on 1994 02 18, the Tribes recognized that Phase II was known to be the intention of [WCAI], when preparing the Lease, Environmental Assessment and RCRA Part A application. | Jonathan Speier (CRIT Commercial Manager)                     | Monte McCue (Westates Carbon)        |
| <b>1994 03 21 Letter re Answer and Request for Hearing.pdf</b>                | Letter re: reflecting hand delivery of Westates' Answer to Complaint. Referenced document: <i>1994 03 21 Answer and Request for Hearing</i>  | Aaron Goldberg (Beveridge & Diamond)                          | Steve Armsey (U.S. EPA)              |
| <b>1994 03 21 Re Assignment of Admin Law Judge.pdf</b>                        | Letter requesting assignment of ALJ to matter.   | Steven Armsey (U.S. EPA)                                      | Henry Frasier (U.S. EPA)             |
| <b>1994 03 21 Transmittal for Answer and Request for Hearing.pdf</b>          | Duplicate of <i>1994 03 21 Letter re Answer and Request for Hearing</i>  | Aaron Goldberg (Beveridge & Diamond)                          | Steve Armsey (U.S. EPA)              |
| <b>1994 03 29 Items SAIC requested.pdf</b>                                    | Letter transmitting information requested in 1994 03 24 transmittal.   | Jeffery Walsh (Westates Carbon)                               | Peter Reich (SAIC)                   |
| <b>1994 04 12 RCRA CEI March 15 1994 Report.pdf</b>                           | SAIC Inspection Report of the Facility.  |   |                                      |
| <b>1994 04 15 Order of Designation.pdf</b>                                    | Appointment of ALJ Vanderheyden to Docket No. RCRA-09-94-0001.   |   |                                      |

|  |  |  |  |
|--|--|--|--|
| <b>1994 04 21 Site View Overall Arrangement Map.jpg</b>  | Attachment to: <i>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.</i>   |  |  |
| <b>1994 04 29 Notice and Order.pdf</b>   | Notice and order in the matter of Westates. Docket No. RCRA-09-94-0001.  |  |  |
| <b>1994 05 03 Memo re May 18 Conference.pdf</b>  | Memo regarding plans to attend Westates Carbon, Inc. conference in San Francisco on 1994 05 18 with CRIT AG Peter Nimkoff.   | Connor Byestewa (CRIT EPO)                     | Amy Sokolov (U.S. EPA)   |
| <b>1994 05 19 Annual Report Certificate of Disclosure.pdf</b>  | Annual report and certificate of disclosures submittal to the Arizona Corporation Commission.  |  |  |
| <b>1994 05 19 Status Report.pdf</b>  | Westates Carbon-Arizona, Inc. Docket No. RCRA 09 94 0001. Status Report re: early stage in settlement discussions.   |  |  |
| <b>1994 05 26 Prelim Assessment Review Waste Div USEPA R9.pdf</b>                                      | Letter re: Preliminary Assessment dated 1992 09 21 for Westates Carbon-Arizona, Inc. There appears to be a corrective-action related focus in this form.   | Latha Rajagopalan (U.S. EPA)                   | Paula Bisson (U.S. EPA)  |
| <b>1994 06 03 Response to 01061994 Letter about Second Thermal Treatment Unit.pdf</b>                  | Letter re: meeting agenda items for review regarding: item (2) about options for construction and capacity of RF-2; and item (4) about permit application capacity increase request and implications for trial burn if RF-1 and RF-2 operate simultaneously.                               | Michael Feeley (US EPA)                        | Monte McCue (Westates)   |
| <b>1994 06 14 Response to 01241994 Letter re Part B Permit Application.pdf</b>                         | Letter putting obligation to submit Part B Permit application aside pending further communication in order to consult with CRIT Tribe on whether or not to begin implementation of proposed rule regarding public participation options.   | Michael Feeley (US EPA)                        | Monte McCue (Westates)   |
| <b>1994 06 17 Screening HHRA.pdf</b>   | Risk Assessment based on RF-1 trial burn.  | Weinberg Consulting Group Inc.                 | Westates Carbon-Arizona, Inc.                                      |
| <b>1994 06 20 Response to 06141994 Letter from EPA.pdf</b>   | Letter in response to <i>1994 06 14 Response to 01241994 Letter re Part B Permit Application.pdf</i> indicating that public meeting would be appropriate but doesn't want it to delay filing of Part B Permit Application. Suggests a fall public meeting, after submission of the Part B. | Connor Byestewa, Jr. (CRIT Councilman and EPO) | Michael Feeley (US EPA)  |
| <b>1994 07 05 Guidance on Trial Burn Failures 9498_1994_04.pdf</b>                                     | Guidance on trial burns, performance demonstration test, etc.  | Michael Shapiro (U.S. EPA)                     | U.S. EPA Hazardous Waste Management Division Directors Regions I-X |
| <b>1994 07 13 Warning Letter Mar 1994 Insp w_o attchmts.pdf</b>  | Letter re: 1994 03 15 inspection and investigation. See Referenced documents: <i>1994 04 12 RCRA CEI March 15 1994 Report.</i>   | Greg Czajkowski (U.S. EPA)                     | Jeffrey Walsh (Westates Carbon)                                    |
| <b>1994 07 28 Memorandum Re Westates and CRIT Meet 071994.pdf</b>                                      | Memo re: notice from Wheelabrator that Westates met with CRIT on July 25, 1994 and agreed to give notice of application and hold an informal public meeting and submit meeting information with Part B permit application by December 1994.  | Ray Fox (US EPA)                               | Steve Etsitty (US EPA)   |
| <b>1994 07 29 Clarification Regarding Single Emission Point_Multi-Device Combustion Facilities.pdf</b> | Memo re: clarification regarding single emission point, multi-device combustion facilities.  | Michael Shapiro (US EPA)                       | Allyn M. Davis and Walter L. Sutton (US EPA)                       |
| <b>1994 08 10 Response to 07151994 Letter.pdf</b>  | Letter re: potential violation re: personnel training records has been corrected.  | Monte McCue (Westates Carbon)                  | Diane Bodine (U.S. EPA)  |

|  |  |  |  |
|--|--|--|--|
| <b>1994 08 19 Undertake Preapplication Public Participation Requirements.pdf</b>       | Letter re: Pre-application public meeting scheduled for 1994 10 04. Includes a copy of the public notice as an attachment.   | Monte McCue (Westates)                           | Michael Feeley (US EPA)                  |
| <b>1994 09 07 Corrective Action Stabilization Questionnaire.pdf</b>                    | Document refers to Preliminary Assessment (PA) dated 1992 09 XX, an NCAPS run dated 1994 05 XX, and the Part B being due on 1994 06 30.  | Latha Rajagopalan (U.S. EPA)                     |  |
| <b>1994 09 13 corrected violation.pdf</b>  | Letter confirming that the facility's response dated 1994 08 10 documents the facility's return to compliance with the regulations cited in the inspection report.   | Greg Czajkowski (U.S. EPA)                       | Jeffrey Walsh (Westates Carbon)          |
| <b>1994 10 04 Public Comments Meeting Oct 1994 w o addresses of attendees.pdf</b>      | Transcript from Westates' public meeting, which consists entirely of Westates Carbon speakers.   |  |  |
| <b>1994 11 09 OSWER 9441_1994_29 reg status waste reclamation.pdf</b>                  | Letter re: regulatory status of waste reclamation system. Site specific determination that needs to be made by EPA R6 or Texas NRCC.   | David Bussar (U.S. EPA)                          | Prabhakar Kulkarni (Quantum Tech, LLC)   |
| <b>1994 11 10 Lift Station Overflow Incident.pdf</b>                                   | Includes: Lift Station Overflow Incident report; Experimental Statistics (US Dept. Commerce, National Bureau of Standards); Memo transmitting Overflow incident report; Memo re: required follow up written notifications for release of scrubber blow down; Memo re: Incident Report; Letter re: spills of hazardous waste; Handwritten notes of sampling locations; Metals concentration sampling comparison, metal results by month, 1994; Westates Carbon-Arizona Inc. Purchase Requisition forms for Del Mar. | Various  | Various                                  |
| <b>1994 12 07 Letter re Sept 1994 Inspection Report.pdf</b>                            | Letter transmitting SAIC-developed inspection report dated 1994 10 21 from attached inspection conducted 1994 09 08.   | Arlene Kabei (U.S. EPA)                          | Jeffrey Walsh (Westates Carbon)          |
| <b>1994 12 31 Analysis of the Potential Hazards of Spent Granular.pdf</b>              | Report re: evaluation of potential environmental and health hazards posed by management of spent granular activated carbon at Parker, AZ facility.   | Karl Monninger (Chavond-Barry Engineering Corp.) | Wheelabrator Environmental Systems, Inc. |
| <b>1994 Complaint Determination of Violation Compliance Order Right to Hearing.pdf</b> | Letter dated 1994 02 16 transmitting the Complaint In the Matter of Westates Carbon-Arizona, Inc. Docket No. RCRA 09 94 0001, also filed on 1994 02 16.  | Jeff Zelikson (U.S. EPA)                         | Monte McCue (Westates)                   |
| <b>1995 01 04 Attributes of activated carbon.pdf</b>                                   | This document was inadvertently included in Draft Permit AR. This record is confidential settlement related correspondence. It was not relied upon by the Region and has been removed from the AR for this permit decision.  |  |  |
| <b>1995 01 16 Re_Revised Part Applications and original Part B Application.pdf</b>     | Letter transmitting 4 copies of the revised Part A applications and the original Part B application. Referenced document Part A - <i>1995 01 Revised RCRA Part A Permit Application.pdf</i>  | Monte McCue (Westates)                           | Michael Feeley (US EPA)                  |
| <b>1995 01 Revised RCRA Part A Permit Application.pdf</b>                              | Revised RCRA Part A Permit Application.  |  | Westates Carbon – Arizona Inc.           |
| <b>1995 03 09 CEI_1 w_o Attachments.pdf</b>  | Inspection Report without attachments 1 through 6. Referenced documents: <i>1995 03 09 CEI_2 w 1994 Site Plan Attachment; 1995 03 09 CEI_3 w 1993 BR Attachment.</i>   | John Moody (U.S. EPA)                            |  |
| <b>1995 03 09 CEI_2 w 1994 Site Plan Attachment.pdf</b>                                | Attachment to Part A - <i>1995 03 09 CEI_1 w_o Attachments.pdf</i>   |  |  |

|   |   |  |                            |
|---|---|--|----------------------------|
| <b>1995 03 09 CEI_3 w 1993 BR Attachment.pdf</b>  | Attachment to: <i>1995 03 09 CEI_1 w_o Attachments</i>  |  |                            |
| <b>1995 04 17 Environmental Incident Report.pdf</b>   | Incident Report re: facility lift station pumping to CRIT Joint Venture overflow on the morning of 1995 03 17 due to a power outage on the evening of 1995 03 16, with fax confirmation details.  | Monte McCue (Westates)                                     |                            |
| <b>1995 04 18 Letter Regarding Telephone Conversation on 04131995.pdf</b>                             | Letter transmitting 3 agency guidance documents dated 1986 04 02, 1985 07 10 and 1986 06 XX re: regulatory exclusion for spent carbon that is considered a sludge.  | Matthew Killeen (Wheelabrator Environmental Systems, Inc.) | Ray Fox (U.S. EPA)         |
| <b>1995 04 27 Environmental Incident Report on 1995 04 17.pdf</b>                                     | Includes: Monte McCue (Westates Carbon-AZ) to Bill Verkamp (Dir. Emerg. Services, Parker, AZ) submitting attached incident report. Monte McCue (Westates Carbon-AZ) to Felicia Marcus (US EPA) notice of implementation of contingency plan. Notes, and sampling and analysis results. Spill incident report. | Various  | Various                    |
| <b>1995 05 31 Construction Begins on 2nd Unit.pdf</b>   | Memo indicating that Matthew Killeen (Wheelabrator) had advised on 1995 05 22 that construction of RF-2 would begin during the summer and expected to be complete by the end of the year.   | Ray Fox (U.S. EPA)   | File                       |
| <b>1995 05 31 cover ltr AZ w_o Encl Inspection Rpt Transmittal Letter Mar 1995.pdf</b>                | Letter transmitting copy of inspection report. Referenced documents: <i>1995 03 09 CEI_1 w_o Attachments</i> .  | Arlene Kabei (U.S. EPA)                                    | Patrick Kuefler (ADEQ)     |
| <b>1995 05 31 cover ltr CRIT w_o Encl Inspection Rpt Transmittal Letter Mar 1995.pdf</b>              | Letter transmitting copy of inspection report. Referenced documents: <i>1995 03 09 CEI_1 w_o Attachments</i> .  | Arlene Kabei (U.S. EPA)                                    | Connor Byestewa (CRIT EPO) |
| <b>1995 05 31 Notice of Violation and Certification of Violation Correction.pdf</b>                   | Letter stating Westates adequately addressed violations in April 11, 1995 letter.   | Monte McCue (Westates)                                     | Arlene Kabei (U.S. EPA)    |
| <b>1995 07 07 Applicability Determination.pdf</b>   | Letter regarding modification to carbon regeneration facility and U.S. EPA analysis that maximum potential emissions mean this is not a major stationary source or major modification under the Clean Air Act PSD regulations based on 250 tons per year threshold of the facility's potential to emit.       | David Howekamp (U.S. EPA)                                  | Monte McCue (Westates)     |
| <b>1995 07 20 Building Permit Application.pdf</b>   | CRIT Building Dept. Approved Permit for installation of 4800 sq. ft. pre-engineered building on concrete slab to be followed by installation of 4000 sq. ft. and 7200 sq. ft. buildings on concrete all on lots #13 and 14 of the CRIT Industrial Park by Nelson Digging Service, of Parker, AZ.              |  |                            |
| <b>1995 08 04 Letter response to 1995 06 06 application for approval of proposed modification.pdf</b> | Letter re: 1995 06 06 application approval under Clean Air Act.   | Felicia Marcus (U.S. EPA)                                  | Monte McCue (Westates)     |
| <b>1995 09 01 Consent Agreement and Final Order.pdf</b>   | Letter transmitting executed CAFO, which is attached and date stamped 1995 09 05.   | Laura Yoshii (U.S. EPA)                                    | Monte McCue (Westates)     |
| <b>1995 09 05 Consent Agreement and Final Order.pdf</b>   | Executed CAFO but includes certificate of service dated 1995 09 13. Duplicate of <i>1995 09 01 Consent Agreement and Final Order</i> .  |  |                            |

|  |   |                          |                           |
|--|---|--------------------------|---------------------------|
| <b>1995 09 19 RCRA CEI Report.pdf</b>  | Inspection Report that identifies no potential violations.  | Clint Seiter (U.S. EPA)  |                           |
| <b>1995 10 04 Fax re Wire Transfer Payment Civil Penalty.pdf</b>                       | Fax transmitting evidence of wire transfer of civil penalty payment.  | Marc Browning (Westates) | Kaoru Morimoto (U.S. EPA) |
| <b>1995 10 26 Waste Exception _Partial_ Forms and Explanation.pdf</b>                  | Memo transmitting information about waste shipment that was mistakenly identified when sent to facility due to generator error.   | Monte McCue (Westates)   | John Moody (U.S. EPA)     |
| <b>1995 11 01 Afterburner Outlet Refractory.jpg</b>                                    | Diagram of Afterburner Outlet Refractory. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.   |                          |                           |
| <b>1995 11 01 Afterburner Sections Elevation Views.jpg</b>                             | Diagram of Afterburner Sections Elevation Views Baffle Wall and Gas Outlet. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.               |                          |                           |
| <b>1995 11 01 Afterburner Sections Plan Views.jpg</b>                                  | Diagram of Afterburner Sections Plan Views Baffle Wall and Gas Outlet. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.                    |                          |                           |
| <b>1995 11 01 Afterburner Steel.jpg</b>  | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Afterburner Steel. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements. |                          |                           |
| <b>1995 11 01 Arrangement CGS Venturi Scrubber_Absorber.jpg</b>                        | Diagram of Arrangement CGS Venturi Scrubber/Absorber. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                          |                           |
| <b>1995 11 01 Assembly of Flood Furnace.jpg</b>  | Diagram of Assembly of Pacific Furnace Puget Sound Naval Shipyard, WA. Attachment to Appendix XX of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4.                     |                          |                           |
| <b>1995 11 01 Contingency Plan.pdf</b>   | Revision 1 of Contingency Plan for Westates.  |                          |                           |
| <b>1995 11 01 Detail of Parker Pre-quench System.jpg</b>                               | Diagram of Parker Pre-Quench System. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.  |                          |                           |
| <b>1995 11 01 Equipment Arrangement.jpg</b>  | Diagram of Equipment Arrangement Current Facility. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplement.  |                          |                           |
| <b>1995 11 01 Fan General Arrangement.jpg</b>  | Diagram of Fan General Arrangement.   |                          |                           |
| <b>1995 11 01 Flood Insurance Rate Map.jpg</b>   | Diagram of Flood Insurance Rate Map.  |                          |                           |
| <b>1995 11 01 Furnace and I Feed System Piping and Instrumenation Diagram.jpg</b>      | Diagram of Reactivation Facility Furnace #1 Feed System Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.        |                          |                           |
| <b>1995 11 01 Furnace Assembly.jpg</b>   | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Furnace Assesmbly. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements. |                          |                           |
| <b>1995 11 01 Furnace No 1 off Gas Scrubber Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #1 Off Gas Scrubber Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.   |                          |                           |

|  |   |  |  |
|--|---|--|--|
| <b>1995 11 01 Furnace No 1 Process Water Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Furnace #1 Process Water Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.    |  |  |
| <b>1995 11 01 Furnace No 1 Product Handling Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #1 Product Handling Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements. |  |  |
| <b>1995 11 01 Furnace No 2 Feed System Piping and Instrumentation Diagram.jpg</b>      | Diagram of Reactivation Facility Furnace #2 Feed System Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.      |  |  |
| <b>1995 11 01 Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements. |  |  |
| <b>1995 11 01 Furnace No 2 Process Water Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Furnace #2 Process Water Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.    |  |  |
| <b>1995 11 01 Furnace No 2 Product Handling Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Product Handling Piping & Instrumentation Diagram.  |  |  |
| <b>1995 11 01 Gemera; Arrangement of CGS Wet Electrostatic Precipitator.jpg</b>        | Diagram of General Arrangement of CGS Wet Electrostatic Precipitator. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.                          |  |  |
| <b>1995 11 01 Legend and Specialty Items Piping and Instrumentation Diagram.jpg</b>    | Diagram of Reactivation Facility Legend & Specialty Items Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.    |  |  |
| <b>1995 11 01 Liquid Phase Carbon Process Flow Diagram RF-1.jpg</b>                    | Diagram of Liquid and Vapor Phase Carbons Process Flow Diagram Balance for RF-1. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.               |  |  |
| <b>1995 11 01 Liquid Phase Carbon Process Flow Diagram RF-2.jpg</b>                    | Diagram of Liquid Phase Carbon Process Flow Diagram Balance for RF-2. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.                          |  |  |
| <b>1995 11 01 Orientation of 9 ft 4th Furnace Puget Sound Naval Ship Yard Wall.jpg</b> | Diagram of Orientation of Furnace Puget Sound Naval Ship Yard, WA. Attachment to Appendix XX of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4.                       |  |  |
| <b>1995 11 01 P and ID Flow Diagram for CGS Scrubbing System.jpg</b>                   | Diagram of P & ID Flow Diagram for CGS Scrubbing System.  |  |  |
| <b>1995 11 01 Packed Bed Scrubber Assembly Model.jpg</b>                               | Diagram of Packed Bed Scrubber Assembly. Attachment to Appendix XXI of 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.  |  |  |
| <b>1995 11 01 Piping Plan.jpg</b>  | Diagram of Piping Plan.   |  |  |
| <b>1995 11 01 Plot Plan.jpg</b>  | Diagram of Plot Plan.   |  |  |



|  |  |                                  |                         |
|--|--|----------------------------------|-------------------------|
| <b>1995 11 01 Process Flow Diagram balance for RF-2.jpg</b>  | Diagram of Vapor Phase Carbon Process Flow Diagram Balance for RF-2. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Process Water Discharge to PDTW Piping and Instrumentation Diagram.jpg</b>                             | Diagram of Reactivation Facility Process Water Discharge to POTW Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Proposed Site Plan Facility Expansion.jpg</b>  | Diagram of Proposed Site Plan Facility Expansion.  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram.pdf</b>         | Diagram Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation Diagram.  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Precipitator and Exhaust Piping and Instrumentation Diagram.jpg</b> | Diagram of Reactivation Facility Furnace #2 Precipitator & Exhaust Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Reactivation Facility Furnace No 2 Precipitator and Exhaust Piping and Instrumentation.pdf</b>         | Diagram of Reactivation Facility Furnace #2 Precipitator & Exhaust Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace and No 1 Piping and Instrumentation Diagram.jpg</b>                               | Diagram of Reactivation facility Reactivation Furnace #1 Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace No 2 Off Gas Scrubber Piping and Instrumentation Diagram .jpg</b>                 | Diagram of Reactivation Facility Furnace #2 Off Gas Scrubber Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace No 2 Piping and Instrumentation Diagram.jpg</b>                                   | Diagram of Reactivation Furnace #2 Piping & Instrumentation. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Reactivation Furnace.jpg</b>   | Diagram of Hankin-Nichols Carbon Reactivation System 12' -10" O.D. x 5 Hearth Furnace Assesmbly.   |                                  |                         |
| <b>1995 11 01 Recycle Water Piping and Instrumentation Diagram.jpg</b>   | Diagram of Reactivation Facility Recycle Water Piping & Instrumentation Diagram. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 01 Sanitary Sewer Plan and Profile.jpg</b>  | Diagram of Sanitary Sewer Plan & Profile.  |                                  |                         |
| <b>1995 11 01 Site Plan.jpg</b>  | Diagram of Site Plan Carbon Reactivation Facility. Attachment to 2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.  |                                  |                         |
| <b>1995 11 07 Re 4 Revised copies of Part A Permit App Jan 1995.pdf</b>  | Letter transmitting 4 copies of revised copies of Part A permit application and Part B permit application to incorporate minor changes to facility design and operation which have occurred since 1995 01 16 submittal of previous versions of the applications. | Monte McCue (Westates Carbon-AZ) | Michael Feeley (US EPA) |

|   |  |   |  |
|---|--|---|--|
| <b>1995 11 30 Receipt of penalty payment.pdf</b>  | Letter regarding EPA's receipt of penalty payment in settlement of enforcement action.   | Arlene Kabei (U.S. EPA)   | Monte McCue (Westates)   |
| <b>1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion.pdf</b>               | First 35 pages of Part A Application with indices of attachments. Referenced documents: <i>1995 11 Revised RCRA Part A Permit Application Pt_2</i> ; <i>1995 11 Revised RCRA Part A Permit Application Pt_3</i> ; <i>1995 11 Revised RCRA Part A Permit Application Pt_4</i> . |   |  |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_1.pdf</b>  | Duplicate of <i>1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion</i> .   |   |  |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_2.pdf</b>  | Attachment C of 1995 11 Revised RCRA Part A Permit Application.  |   |  |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_3.pdf</b>  | Remaining documents in Attachment 3 of <i>1995 11 Revised RCRA Part A Permit Application Pt_2</i> .  |   |  |
| <b>1995 11 Revised RCRA Part A Permit Application Pt_4.pdf</b>  | Attachment D of 1995 11 Revised RCRA Part A Permit Application.  |   |  |
| <b>1995 12 15 Submittal to Summarize Construction Schedule of RF-2.pdf</b>                              | Letter with schedule. Anticipated delivery of unit to facility in January 1996 and anticipated start-up of RF-2 in June 1996.  | Matthew Killeen (Wheelabrator)  | Ray Fox (U.S. EPA)   |
| <b>1996 01 09 Letter Intention to Provide Updated Info on Equipment - 01091996.pdf</b>                  | Letter enclosing updated appendices for Part B Permit Application to reflect new equipment.  | Matthew Killeen (Wheelabrator)  | Ray Fox (U.S. EPA)   |
| <b>1996 01 11 Transmittal Letter w_o attchmts 4 copies of revised Part A and Part B Apps - 1995.pdf</b> | Letter transmitting copies of revised Part A and Part B applications.  | Monte McCue (Westates)  | Michael Feeley (U.S. EPA)  |
| <b>1996 01 26 Memo Request for Review of HRA.pdf</b>  | Memo requesting review of HRA.   | Ray Fox (U.S. EPA)  | Patrick Wilson (U.S. EPA)  |
| <b>1996 02 01 Reviewing Permit Application and Periodic Requests.pdf</b>                                | Fax stating how the facility will update EPA as clarifications and amplifications are needed.  | Ray Fox (U.S. EPA)  | Matt Killeen (Westates)  |
| <b>1996 02 23 Supplement to the Final EA.pdf</b>  | Supplement to the Final Environmental Assessment for the Westates Carbon Reactivation Plant Development Project.   | Rust Environment and Infrastructure for Westates Carbon - Arizona, Inc. | US Dept. of the Interior Bureau of Indian Affairs, Colorado River Agency |
| <b>1996 03 08 Correspondence Fax Cover Sheet - SEA Westates Proposed Expansion.pdf</b>                  | Fax including Supplemental Environmental Assessment regarding expansion of the Westates facility dated 1996 02 21. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.   | Goldie Stroup (US BIA)  | Ray Fox (U.S. EPA)   |
| <b>1996 03 08 Fax Transmission - Description of Acid Treatment Process.pdf</b>                          | Fax transmitting excerpt regarding Acid Treatment from Supplement to Final Environmental Assessment. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.   | Matthew Killeen (Wheelabrator)  | Ray Fox (U.S. EPA)   |
| <b>1996 03 11 Re Supplemental Env Assessment.pdf</b>  | Letter transmitting <i>1996 02 23 Supplement to the Final EA</i> .   | John T. Dent (Rust Environment & Infrastructure)                        | Ray Fox (US EPA)   |

|   |  |   |  |
|---|--|---|--|
| <b>1996 03 13 Fax Transmittal from Carlos Flores.pdf</b>                              | Incident Report based on 1996 02 15 domestic sewage and scrubber blowdown release spill report, including notice of implementing contingency plan. Includes correspondence describing the incident to numerous entities, including Felicia Marcus (US EPA), Bill Verkamp (Parker Dept. of Emergency Services) and Connor Byestewa, (CRIT Environment Officer). | Various   | Various  |
| <b>1996 03 13 Memo re Draft Supp EA dated 1996 02 23.pdf</b>                          | Memo re: acid treatment process not covered under RCRA but BIA's request for EPA review of Supplemental Environmental Assessment. Reference <i>1996 02 23 Supplement to the Final EA</i> for complete copy.  | Ray Fox (U.S. EPA)  | Various  |
| <b>1996 03 13 Memo re PD Plan for Reactivation Unit no2.pdf</b>                       | Memo regarding review of undated Performance Demonstration Plan for RF-2 and Draft QAPP.   | David Taylor (U.S. EPA)   | Ray Fox (U.S. EPA)   |
| <b>1996 04 01 Comments on Performance Demo Plan and Draft QAPjP.pdf</b>               | Fax sending initial comments on Draft Quality Assurance Project Plan.  | Ray Fox (U.S. EPA)  | Matt Killeen (Westates)  |
| <b>1996 04 04 Memorandum - EPA Comments on Supplement to Final Env Assessment.pdf</b> | Memo re: comments on Supplement to Final Environmental Assessment (EA).  | David Tomsovic (US EPA)   | Amy Heuslein (BIA)   |
| <b>1996 04 24 Transmittance of Wastewater Discharge Analytical Results.pdf</b>        | Memo transmitting wastewater discharge analytical results from October 1995, which characterize the present POTW discharge.  | Mark Sandoval (Westates Carbon-AZ)                                      | Jean Daniel (US EPA)   |
| <b>1996 04 25 Supplement to the Final EA - 04291996.pdf</b>                           | Supplement to the Final Environmental Assessment finding of no significant impact (RE-CRA-FONSI-96-37) based on Supplement to Final NEPA Environmental Assessment (EA).  | Rust Environment and Infrastructure for Westates Carbon - Arizona, Inc. | US Dept. of the Interior Bureau of Indian Affairs, Colorado River Agency |
| <b>1996 05 01 Appendices G through Q to Final Environmental Assessment.pdf</b>        | Appendices G through Q of <i>1996 05 01 Supplement to the Final Environmental Assessment</i> .   |   |  |
| <b>1996 05 01 Appendix F to Supplement to Final Environmental Assessment.pdf</b>      | Duplicate of Appendix F in <i>1996 05 01 Supplement to the Final Environmental Assessment</i> .  |   |  |
| <b>1996 05 01 Supplement to the Final Environmental Assessment.pdf</b>                | Letter enclosing Supplement to the Final Environmental Assessment that evaluates alternatives to implement the expansion and construction of a new warehouse of the Westates Carbon Reactivation Plant.  | Acting Superintendent (U.S. BIA)  |  |
| <b>1996 05 01 Transmittal of Supplemental to the Final Env Assessment 1996.pdf</b>    | Letter transmitting signed FONSI dated 1996 04 29 and Final Supplemental EA. Referenced document: <i>1996 02 23 Supplement to the Final EA</i> .   | Rodney McVey (US BIA)   | Interested Individuals, Organizations and Agencies                       |
| <b>1996 05 10 Transmittance of Additional Info per USEPA Request 05021996.pdf</b>     | Letter transmitting: 1. Jan. 1996 POTW Discharge Report; 2. Feb. 1996 POTW Discharge Report; 3. <i>1995 04 17 Environmental Incident Report</i> ; and 4. <i>1995 04 27 Environmental Incident Report on 1995 04 17</i> .   | Mark Sandoval (Westates Carbon-AZ)                                      | Jean Daniel (US EPA)   |
| <b>1996 05 23 Letter in Response to 1996 04 19 Letter re Implementation.pdf</b>       | Letter in response to questions posed in 1996 04 19 letter re EPA implementation of the Hazardous Waste Minimization and Combustion Strategy and related combustion matters.   | Elliot Laws (U.S. EPA)  | David M. McIntosh (U.S. House of Representatives)                        |
| <b>1996 06 10 Letter re Disablement Notification of Existing RF-1 Unit.pdf</b>        | Letter re: disabling of RF-1 unit as of 1996 06 10.  | Monte McCue (Westates)  | Michael Feeley (U.S. EPA)  |
| <b>1996 06 Hazardous Waste Permitting Process A Citizen's Guide.pdf</b>               | Q and As on hazardous waste, RCRA permits and public participation. EPA530-F-96-007.   | Office of Solid Waste (U.S. EPA)  |  |

|   |  |  |  |
|---|--|--|--|
| <b>1996 07 10 Record of Communication re OSR Inquiry.pdf</b>                          | Record of phone call discussing if Westates accepts CERCLA waste.  | Scott Zachary Metcalf (U.S. EPA)                                     | Doung Nguyen (U.S. EPA)                              |
| <b>1996 07 22 Fax Preliminary Comments on Health Risk Assessment.pdf</b>              | Fax transmitting initial comments on the health risk assessment submitted with subject application.  | Ray Fox (U.S. EPA)   | Matt Killeen (Westates)                              |
| <b>1996 07 24 Clarification re Review of Draft QAPJP.pdf</b>                          | Memo passing along Westates' requests for clarification on comments regarding draft QAPP.  | Ray Fox (U.S. EPA)   | David Taylor (U.S. EPA)                              |
| <b>1996 08 01 Re Spill on 02151996.pdf</b>  | Letter providing copies of sample test results received from Westates Carbon of the sanitary sewage and scrubber blowdown materials the facility is permitted to discharge to the POTW. Referenced documents: <i>1996 04 24 Transmittance of Wastewater Discharge Analytical Results</i> . | Arlene Kabei (US EPA)  | Carlos Flores (AZ Pipeline Co.)                      |
| <b>1996 08 27 Certificate of Reactivation.pdf</b>                                     | Certificates of reactivation of spent carbon received from U.S. EPA's Casmalia Superfund site on 1996 07 17 (90 drums), 1996 07 31 (25 drums), and 1996 08 20 (100 drums).   |  |  |
| <b>1996 08 29 Performance Demo Plan.pdf</b>   | Performance Demonstration Plan – Trial Burn Test Plan – PDT Test Plan for Reactivation Unit No. 2 (RF-2) (Revision 1.0) prepared by AirKinetics, Inc. Emissions Characterization and Testing Services.   | Monte McCue (Westates Carbon-AZ)                                     | Ray Fox (US EPA)                                     |
| <b>1996 09 18 Announcment of 2 Major Water Transactions.pdf</b>                       | Joint press release regarding acquisition by US Filter of all Wheelabrators industrial water process and manufacturing units and creation of joint venture company.  | Wheelabrator Technologies, Inc. and United States Filter Corporation |  |
| <b>1996 09 30 Letter re Proposed MACT Rule.pdf</b>                                    | Letter in response to 1996 08 27 letter, in regards to the recently proposed maximum achievable control technology rule for hazardous waste combustors.  | Michael Shapiro (U.S. EPA)   | Gillespie Montgomery (U.S. House of Representatives) |
| <b>1996 10 03 Cover Letter transmitting Photos taken at Westates w_o attchmts.pdf</b> | Letter transmitting photos of the spent carbon storage area and 6 photos of the sealed cracks on the facility's containment pad. Referenced documents: <i>1996 12 19 Warning Letter re 1995 CEI</i>  | Monte McCue (Westates)   | Clint Seiter (U.S. EPA)                              |
| <b>1996 10 16 Revised RCRA Part A Permit Application.pdf</b>                          | Letter transmitting notice of change in ownership under 40 CFR 270,.72(a), along with revised Part A Application for the Existing Facility and the Revised Part A Application for the proposed expansion of the facility.  | Monte McCue (Westates)   | Felicia Markus (U.S. EPA)                            |
| <b>1996 11 21 Record of Communication re OSR Inquiry.pdf</b>                          | Record of phone call discussing if Westates is CERCLA approved, whether the facility had recent violations and if Arizona does inspections.  | Anthony Chew, Forrester Wheeler                                      | Duong Nguyen (U.S. EPA)                              |
| <b>1996 12 03 Certification of Compliance - Subpart CC.pdf</b>                        | Letter certifying that all waste management units at WCAI are either exempt from Subpart CC air emissions requirements under 265.1080(b)(7) or exempt from the control requirements under 265.1083(c) (all but T-11 equipped with and operating air emissions control equipment).          | Monte McCue (Westates Carbon-AZ)                                     | John McCarroll (US EPA)                              |
| <b>1996 12 12 Request for Info per Phone Conversation w_o attchmt.pdf</b>             | Letter transmitting example of training records maintained at the facility Referenced document: <i>1996 12 19 Warning Letter re 1995 CEI</i> .   | Monte McCue (Westates)   | Clint Seiter (U.S. EPA)                              |
| <b>1996 12 19 Warning Letter re 1995 CEI.pdf</b>                                      | Letter transmitting copy of 1996 12 18 Compliance Evaluation Inspection Report for 1996 09 30 inspection.  | Arlene Kabei (U.S. EPA)  | Monte McCue (Westates)                               |
| <b>1996 12 XX excerpt re Leak Check SMVOC.pdf</b>                                     | Unlabeled excerpt regarding leak checking the entire train.  |  |  |

|   |  |  |                           |
|---|--|--|---------------------------|
| <b>1997 – 2001 Figure 2 Windrose for Parker.pdf</b>   | Wind rose plot from 1997 to 2001.  | Focus Environmental, Inc.                              |                           |
| <b>1997 05 06 Memo re PD Plan for RU-2.pdf</b>  | Memo regarding review of 1996 08 29 Performance Demo Plan response to comments and PDD and QAPP.   | David Taylor (U.S. EPA)                                | Milton Morales (U.S. EPA) |
| <b>1998 01 05 EPA OSW Status of Carbon Regeneration Units Under RCRA_Subpart X Envirotrol.pdf</b> | Memo regarding regulation of carbon regeneration units under RCRA.   | Elizabeth Cotsworth (U.S. EPA)                         | Paul Gotthold (U.S. EPA)  |
| <b>1998 04 Integrated Contingency Plan Guidance - Factsheet.pdf</b>                               | Factsheet on integrated contingency plan guidance.   |  |                           |
| <b>1998 04 Superfund Today Focus on Risk Assessment Pamphlet.pdf</b>                              | Superfund Today pamphlet including information on the health risk assessment process, public participation in the risk assessment process, tips on getting involved, and sources for more information. EPA 540-K-98-004.                               | Office of Solid Waste and Emergency Reponse (U.S. EPA) |                           |
| <b>1998 06 01 Guidance Minimum Permit Conditions.pdf</b>  | EPA Region III guidance on minimum permit conditions for Carbon Regeneration Units.  |  |                           |
| <b>1998 10 05 Notice of Implementation of Contingency Plan.pdf</b>                                | Letter providing notice of spill that occurred on 1998 09 28 from an on-site truck containing recycle water used to slurry the carbon. Provides notice of implementation of Contingency Plan. Duplicative copy: <i>1999 12 06 CEI Report Dec 1998.</i> | Monte McCue (US Filter)                                | Felicia Markus (U.S. EPA) |
| <b>1999 02 18 Memo NESHAP for Benzene Waste Operations w encl.pdf</b>                             | Memo regarding applicability of benzene NESHAPS to Westates' containers and tanks, (40 CFR Part 61, Subpart FF). Duplicative copy: 1999 12 06 CEI Report Dec 1998.   | Charles Aldred and John Kim (U.S. EPA)                 | Ron Brown (U.S. EPA)      |
| <b>1999 02 18 Memo NESHAP for Benzene Waste Operations w o encl.pdf</b>                           | Duplicate of first 2 pages of <i>1999 02 18 Memo NESHAP for Benzene Waste Operations w encl.</i>   |  |                           |
| <b>1999 02 26 Copy of Subpart CC Plan.pdf</b>   | Letter transmitting Subpart CC Plan and mail receipt demonstrating delivery to John McCarroll on 1996 12 03.   | Roy Provins (US Filter)                                | Kevin Wong (US EPA)       |
| <b>1999 04 16 Ltr to Senator Wyden re HWC MACT Rule.pdf</b>                                       | Letter re: request for information about the Part 63 MACT Rule for Hazardous Waste Combustion Units with Attachments A and B.  | Timothy Fields (US EPA)                                | Senator Ron Wyden         |
| <b>1999 10 01 Attachment 2_Inspection Plan.pdf</b>  | Excerpts of the Calgon Carbon Corporation Neville Island Plant Attachment 2 "Inspection Plan."   |  |                           |
| <b>1999 10 07 OAR Qs and As Landfill Gas to Energy Dioxin.pdf</b>                                 | Questions and answers for interested stakeholders about the health, safety and environmental issues related to exposure to landfill gas and to landfill gas combustion products.   | U.S. EPA Office of Air and Radiaiton                   |                           |
| <b>1999 10 18 Notification Westates will participate in Transition Policy.pdf</b>                 | Letter providing notice that Westates will participate in the EPA transition policy for sources that are located in Indian country, would be covered by the part 71 program.   | Monte McCue (Westates)                                 | Steve Branoff (U.S. EPA)  |
| <b>1999 11 01 Email re Use of Indian Country Transition Policy.pdf</b>                            | Letter responding to Part A - <i>1999 10 18 Notification Westates will participate in Transition Policy.pdf</i>  | Matt Haber (U.S. EPA)                                  | Monte McCue (Westates)    |
| <b>1999 12 06 CEI Report Dec 1998.pdf</b>   | Inspection report that occurred on December 9-10, 1998.  | Ronald Brown (U.S. EPA)                                |                           |
| <b>1999 XX XX Comments on WAP Appendix I.pdf</b>  | Comment on WAP/Appendix I.   |  |                           |
| <b>2000 01 01 Affidavit of Publication_1.pdf</b>  | Invoice from River City Newspapers.  |  |                           |

|  |   |                              |   |
|--|---|------------------------------|---|
| <b>2000 01 26 1999 Air Emission Report.pdf</b>   | Letter transmitting 1-page document entitled 1999 Actual Emissions Summary.   | Monte McCue (Westates)       | Matt Haber (U.S. EPA)   |
| <b>2000 01 31 Letter re Dec 1998 Inspection w_o Attachments.pdf</b>                              | Letter transmitting a copy of the inspection report for inspection conducted on December 9-10, 1998. Referenced documents: <i>1999 12 06 CEI Report Dec 1998</i> .  | Frances Schultz (U.S. EPA)   | Monte McCue (Westates)  |
| <b>2000 02 29 Correspondence Citation and Notification of Penalty from US DOL.pdf</b>            | Citation for the failure to label or otherwise indicate on two separate electrical control panels what equipment they control.  | OSHA, Dept. of Labor         | Westates Carbon   |
| <b>2000 02 29 Letter re Dec 1998 Inspection Report.pdf</b>                                       | Letter transmitting a copy of the CEI Report. Referenced documents: <i>1999 12 06 CEI Report Dec 1998</i> .   | Frances Schultz (U.S. EPA)   | Fred Gonzales (CRIT EPO)  |
| <b>2000 06 26 Toxic Release Inventory Report for 1999.pdf</b>                                    | Letter transmitting TRI Report for 1999.  | Roy Provins (US Filter)      | Chairman Daniel Eddy (CRIT)   |
| <b>2000 08 17 Affidavit of Publication - 08162000.pdf</b>  | Affidavit if publication EPA published notice on 2000 08 16 requesting people who wanted to be on EPA mailing list to contact EPA.  |                              |   |
| <b>2000 08 22 transmittal to B Angel w 1 encl and note.pdf</b>                                   | Letter transmitting: (1) Westates Risk Assessment 1994; (2) BRS data from 1993, 1995 and 1997. Promises to send 1996 supplemental environmental assessment and 1996 PDT Plan separately. Referenced documents: <i>1994 06 17 Screening HHRA, 1996 02 23 Supplement to the Final EA, and 1996 08 29 Performance Demo Plan</i> .  | Karen Scheuermann (U.S. EPA) | Bradley Angel (Greenaction)   |
| <b>2000 08 23 Fax Request Form - Publication Date Spanish and English Version - 08302000.pdf</b> | Fax request form enclosing Spanish translation, along with English version, of notice to be published on 2000 08 30 re: mailing list for Westates Carbon.   | Vern Christianson (US EPA)   | Richard (Parker Pioneer)  |
| <b>2000 08 25 Letter Re Aspects of 1995 Part B Application.pdf</b>                               | Letter re: information regarding RCRA Permit Application – including: Deletion of increased storage and feed capacity requests; Changes in business.  | Roy Provins (US Filter)      | Jeff Scott (US EPA)   |
| <b>2000 08 25 Notice of EPA Updates Mailing List for Westates.pdf</b>                            | Fax dated 2000 08 28 from the Parker Pioneer with a copy of EPA notice regarding Westates Carbon mailing list.  |                              |   |
| <b>2000 08 30 Advertising Order August 2000.pdf</b>  | Order for advertisement for publication on 2000 08 30 in the Parker Pioneer.  |                              |   |
| <b>2000 08 31 Parker Public Library - Request to enclose documents.pdf</b>                       | Two letters transmitting documents for placement in Westates Carbon-AZ repositories at each library, including: Risk Assessment (June 1994); Part B Permit Application (Nov. 1995) (4 vols.); BIA Supplemental EA (Feb. 1996); and Performance Demonstration Test (PDT) Trial Burn Test Plan (Aug. 1996). Referenced documents: <i>1994 06 17 Screening HHRA; 1995 11 Revised RCRA Part A Permit Application for Proposed Facility Expansion; 1996 02 23 Supplement to the Final EA and 1996 03 11 Re Supplemental Env Assessment; 1996 08 29 Performance Demo Plan</i> . | Karen Scheuermann (US EPA)   | Librarian (Parker, AZ Public Library), Amelia Flores (CRIT Library) |
| <b>2000 08 31 RCRA Part B Permit Application 1995 Vol_1 of 4.pdf</b>                             | Attachment to <i>2000 08 31 Parker Public Library - Request to enclose documents</i> with First of 4 volumes of the RCRA Part A Permit Application (through Section D, Diagram D-1).  | Karen Scheuermann (US EPA)   | Parker Public Library Librarian                                     |

|   |  |                                 |   |
|---|--|---------------------------------|---|
| <b>2000 09 04 Affidavit of Publication.pdf</b>                          | Document indicating that EPA published notice on 2000 08 30 requesting people who wanted to be on EPA mailing list to contact EPA.   |                                 |   |
| <b>2000 09 26 Email Westates Publications 2000.pdf</b>                  | Email indicating that fact sheets and post cards were sent to CRIT Chairman Daniel Eddy, the League of Women Voters, the Parker City Library and the Parker City Clerk. Includes the September 2000 EPA Fact Sheet.  | Vicky Semones (US EPA)          | Karen Scheuermann and Kevin Wong (US EPA) |
| <b>2000 10 04 Parker Pioneer Article - Westates Wants to Expand.pdf</b> | News article about anticipated publication of proposed demonstration test plan for Westates in the winter or spring of 2001.   | Joan M. Travis (Parker Pioneer) |   |
| <b>2000 10 05 Review of Waste Permit Application - Oct 2000.pdf</b>     | Concurrence Copy describes EPA anticipated steps to evaluate permit application and request Tribes' input on proposed consultation process. Includes attachment, "Compliance History of Westates October 2000."  | Jeff Scott (US EPA)             | Daniel Eddy (CRIT Tribal Chairman)        |
| <b>2000 10 19 Applicability of Title V of the Clean Air Act.pdf</b>     | Letter indicating EPA adopted a rule for hazardous waste combustors and that Westates may no longer use the transition policy. Unsigned duplicate of <i>2000 10 19 Concerning Applicability of Title V</i> .   | Gerardo Rios (U.S. EPA)         | Monte McCue (Westates)                    |
| <b>2000 10 19 Concerning Applicability of Title V.pdf</b>               | Signed duplicate of <i>2000 10 19 Applicability of Title V of the Clean Air Act</i> .  | Gerardo Rios (EPA)              | Monte McCue (Westates Carbon)             |
| <b>2000 11 01 Response to 10192000 Letter re MACT EEE.pdf</b>           | Letter asserting that carbon regeneration units are not subject to 40 CFR Part 63, Subpart EEE.  | Monte McCue (Westates)          | Gerardo Rios (U.S. EPA)                   |
| <b>2000 11 01 Response to 2000 10 19 Letter.doc</b>                     | Duplicate of <i>2000 11 01 Update Title V Application.pdf</i>  | Monte McCue (US Filter)         | Gerardo Rios (US EPA)                     |
| <b>2000 11 01 Update Title V Application.pdf</b>                        | Letter explaining that the carbon regeneration unit (RF-2) is not subject to Part 63, Subpart EEE unless a finding is made in the context of a RCRA permit that such requirements are necessary to protect human health or the environment.  | Monte McCue (US Filter)         | Gerardo Rios (US EPA)                     |
| <b>2000 11 09 Open House November 2000.pdf</b>                          | Flyer for Open House.  |                                 |   |
| <b>2000 11 13 transmittal to D Harper w 1 encl Note.pdf</b>             | Letter transmitting: (1) June 1994 Westates' Risk Assessment; (2) BRS data from 1993, 1995 and 1997 for Westates; (3) BIA's supplemental environmental assessment dated February 1996; and (4) Westates Performance Demonstration Test Plan dated August 1996. Referenced documents: <i>1994 06 17 Screening HHRA</i> , <i>1996 02 23 Supplement to the Final EA</i> , and <i>1996 08 29 Performance Demo Plan</i> . | Kevin Wong (U.S. EPA)           | Dave Harper (Mohave Elders Group)         |
| <b>2000 12 14 Email Westates_Publication_For the Record.pdf</b>         | Duplicate of <i>2000 09 26 Email Westates Publications 2000</i> .  | Vicky Semones (US EPA)          | Karen Scheuermann (US EPA)                |
| <b>2000 12 14 Email_Westates_Publication_For the Record.pdf</b>         | Duplicate of <i>2000 12 14 Email Westates_Publication_For the Record.pdf</i> except includes handwritten note from Karen Scheuermann (US EPA) to the file indicating that the Fact Sheet was in English only with an offer in Spanish for a Spanish translation.   | Vicky Semones (US EPA)          | Karen Scheuermann (US EPA)                |
| <b>2000 12 22 Response to 11012000 Letter.pdf</b>                       | Letter advising that EPA agrees that the facility is not directly subject to Subpart EEE.  | Gerardo Rios (US EPA)           | Monte McCue (Westates Carbon AZ)          |

|  |  |  |   |
|--|--|--|---|
| <b>2001 01 17 Air Emission Report for 1999 and 2000 Westates Carbon.pdf</b>                                  | Letter transmitting 1999 air emission report for criteria pollutants and hazardous air pollutants that is required under the EPA Potential to Emit Transition Policy for Part 71 Implementation in Indian Country.   | Monte McCue (US Filter)                                  | US EPA Region 9   |
| <b>2001 01 17 ltr to Harper Angel re air emissions w_o encls.pdf</b>   | Letter responding to request for air emissions data by transmittal of Vol. 1 of a Source Test Report. Referenced documents: <i>2003 05 07 Worksheet for Emissions tests 1993 1994 and 2000.</i>  | Karen Scheuermann (U.S. EPA)                             | Dave Harper (Mohave Elders) and Bradley Angel (Greenaction) |
| <b>2001 01 18 Letter re Applicability of 40 CFR Part 63 Subpart EEE for RCRA Permitting Requirements.pdf</b> | Letter re: Applicability of Part 63, Subpart EEE combustion MACT standards, EPA will consider EEE during our review of Westates' Permit Application.   | Jeff Scott (U.S. EPA)                                    | Monte McCue (Westates)                                      |
| <b>2001 01 22 Documentation of Construction for Second Reactivation Furnace.pdf</b>                          | Letter transmitting documentation in regards to the start of construction for the second reactivation furnace, purchase order for construction, the building permit, and notification of intent.   | Karen Scheuermann (U.S. EPA)                             | Roy Provins (US Filter Westates)                            |
| <b>2001 01 22 letter to CRIT AG w_o encls..pdf</b>   | Letter transmitting documentation regarding status of RCRA permitting for Westates Carbon: (1) 2000 10 05 EPA letter to CRIT Chairman Eddy; (2) 2000 09 U.S. EPA Fact Sheet; and (3) 2000 08 25 letter from Westates to EPA. Referenced documents: <i>2000 10 05 Review of Waste Permit Application - Oct 2000; 2000 09 26 Email Westates Publications 2000; and 2000 08 25 Letter Re Aspects of 1995 Part B Application</i> | Karen Scheuermann (U.S. EPA)                             | Michelle Gonzalez (CRIT AG's Office)                        |
| <b>2001 01 23 request for docs at BIA Realty.pdf</b>   | Letter requesting: (1) 1993 08 04 BIA to Chairman Eddy letter; 1990 04 01 Lease between CRIT/BIA and Westates; and (3) supplement to final EA dated 1996 04 25.  | Karen Scheuermann (U.S. EPA)                             | Goldie Stroup (US BIA)                                      |
| <b>2001 01 29 Public Records Request.pdf</b>   | Request for access to all documents regarding Westates facility.   | John J. Gregory ( LeBoeuf, Lamb, Greene & MacRae L.L.P.) | Sharon Jang (U.S. EPA)                                      |
| <b>2001 02 01 InsideEPA CARBON FACILITY EXEMPT FROM AIR TOXICS RULE.pdf</b>                                  | InsideEPA article about EPA determination that carbon regeneration units are not strictly subject to hazardous combusters NESHAP/MACT standards at Part 63, Subpart EEE.   |  |   |
| <b>2001 02 02 Review of 01252001 Letter incl Fin Assur.pdf</b>   | Letter responding to request for documents with attached financial guarantee bond by American Home Assurance Company   | Roy Provins (US Filter)                                  | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 02 06 Response to EPA Request for documents listed in 01232001 Letter.pdf</b>                        | Letter transmitting requested documents. Referenced documents: <i>1993 08 04 Letter Jeffrey Walsh Inquiry; 1993 08 30 Request of Documents; and 1996 04 25 Supplement to the Final EA - 04291996.</i>  | Superintendent (US BIA, Realty Services)                 | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 02 08 Fax Transmittal Article from Inside EPA concerning Westates.pdf</b>                            | Fax transmitting copy of Inside EPA article. See <a href="https://insideepa.com/clean-air-report/epa-decision-exempts-carbon-facility-air-toxics-rule">https://insideepa.com/clean-air-report/epa-decision-exempts-carbon-facility-air-toxics-rule</a> .   | Roy Provins (US Filter)                                  | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 02 12 Response to 01252001 Letter.pdf</b>  | Letter transmitting information regarding implementation of contingency plan and the latest page of contingency plan which is sent to emergency responders and updated whenever the emergency coordinator changes.   | Roy Provins (US Filter)                                  | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 02 17 List of Concerns raised by community.pdf</b>   | Letter re: meetings between EPA and Mohave Elders in which questions and concerns were raised.   | Karen Scheuermann (U.S. EPA)                             | Bradley Angel (GreenAction), Dave Harper (Mojave Elders)    |



|  |   |   |   |
|--|---|---|---|
| <b>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000.pdf</b>               | Letter transmitting preliminary stack test data; dioxin was not tested, but will be tested during the Final RF-2 Performance Test.  | Monte McCue (US Filter)                           | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 02 21 US Filter Westates RCRA Part B Permit Application.pdf</b>                | Letter providing an update on the status of the RCRA Part B permitting process from Westates' perspective.  | Monte McCue (US Filter Westates)                  | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 02 23 Engineering Report Carbon Reactivation Unit.pdf</b>                      | Summary of results from October 2000 Carbon Reactivation Unit tests.  | Orlandes Fletcher III (AirKinetics, Inc.)         |   |
| <b>2001 02 27 Tribal Consultation of Wesates Permit Decision.pdf</b>                   | Letter re: tribal consultation process for the hazardous waste permit decision and EPA briefing regarding permit process.   | Jeff Scott (U.S. EPA)                             | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 02 28 Response to Proving Information on Emissions from the Stack.pdf</b>      | Letter sending information requested on the emissions for the stack.  | Karen Scheuermann (U.S. EPA)                      | Dave Harper (Mohave Elders) and Bradley Angel (Greenaction) |
| <b>2001 03 13 Followup on 03072001 Phone Call.pdf</b>                                  | Letter providing clarification on statements provided in attachment to letter dated 2001 02 28 to Dave Harper and Bradley Angel regarding Westates Emissions Tests.   | Monte McCue (US Filter)                           | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 03 29 Confirmation of Briefing for CRIT.pdf</b>                                | Letter confirming EPA briefing to CRIT in regard to Westates.   | Jeff Scott (U.S. EPA)                             | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 03 29 Verification of Stack Plume Hourly Visual Observations.pdf</b>           | Letter transmitting data from stack plume observations.   | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 04 03 Transmitting EPA Fact Sheets to Libraries.pdf</b>                        | Three letters transmitting fact sheets regarding Westates to CRIT, CRIT Library and Parker Public Library.  | Karen Scheuermann (U.S. EPA)                      | Various   |
| <b>2001 04 05 Letter re Ariz Dept of Commerce Support of RCRA Permit.pdf</b>           | Letter in support of Westates' permit application.  | Margie Emmermann (Director, AZ Dept. of Commerce) | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 04 10 Memo Presentation to CRIT Tribal Council.pdf</b>                         | Memo transmitting remarks prepared for a presentation to the Tribal Council.  | Karen Scheuermann (U.S. EPA)                      | File  |
| <b>2001 04 Westates In Depth Look Fact Sheets.pdf</b>                                  | Various fact sheets with in depth look at permitting process, waste water, air emissions, compliance history, and emergency response.   |   |   |
| <b>2001 05 03 Letter Notifying CRIT Plans to Move Forward.pdf</b>                      | Letter re: EPA's plan to move forward with technical evaluation of Westates and EPA's plan to hold public workshop  | John McCarroll (U.S. EPA)                         | Chairman Daniel Eddy (CRIT)                                 |
| <b>2001 05 09 Daily Record of Events May 2001 - March 2002.pdf</b>                     | Daily record of events 2001 05 to 2002 03.  | U.S. EPA Region 9 Waste Management Division       |   |
| <b>2001 05 11 CBI Internal Stack Testing Furnace Monitoring Analytical Reports.pdf</b> | Letter transmitting (1) complete report for internal stack testing performed in October 2000, (2) profiles and manifests from waste streams identified in EPA request, (3) an example of furnace monitoring documentation, and (4) metals analytical results. | Roy Provins (US Filter)                           | Karen Scheuermann (U.S. EPA)                                |
| <b>2001 05 11 EPA Request from US Filter w o attchmts reduced size.pdf</b>             | Duplicate of <i>2001 05 11 Internal Stack Testing Furnace Monitoring Analytical Reports</i> with different attachments.   |   |   |
| <b>2001 05 11 EPA Request from US Filter xx attchmts.pdf</b>                           | Duplicate of <i>2001 05 11 Internal Stack Testing Furnace Monitoring Analytical Reports</i> with different attachments.   |   |   |
| <b>2001 05 21 Availability of Hazardous Waste Funding for FY02 w_o encl.pdf</b>        | Letter regarding opportunities for funding to help develop Tribe's hazardous waste program.   | Rich Vaille (U.S. EPA)                            | Elena Etcitty (CRIT EPO)                                    |

|   |   |  |                              |
|---|---|--|------------------------------|
| <b>2001 05 24 CBI Handwritten Generator Profiles.pdf</b>                      | Letter transmitting documentation subject to CBI claim.   | Roy Provins (US Filter)                | Karen Scheuermann (U.S. EPA) |
| <b>2001 06 07 NPDES Permit Fact Sheet.pdf</b>                                 | Draft U.S. EPA Fact sheet about Colorado River Sewage System Joint Venture's NPDES Permit No. AZ0021415, issued January 10, 1996, effective February 13, 1996.  |  |                              |
| <b>2001 06 18 Letter re Scheduled VSI Visit.pdf</b>                           | Letter regarding EPA preparation of RCRA Facility Inspection and anticipated Visual Site Inspection on 2001 07 12.  | Andrea Austin (Booz Allen Hamilton)    | Roy Provins (Westates)       |
| <b>2001 06 19 img-421123104-0001.pdf</b>                                      | Misc. facility color photos.  |  |                              |
| <b>2001 06 19 img-421123140-0001.pdf</b>                                      | Misc. facility color photos.  |  |                              |
| <b>2001 06 19 img-421123230-0001.pdf</b>                                      | Misc. facility color photos.  |  |                              |
| <b>2001 06 29 RFA and RFA Equivalent Assessments.pdf</b>                      | Letter transmitting Preliminary Report on RCRA Facility Assessment for US Filter Westates.  | Katherine Hastie (Booz Allen Hamilton) | Bess Gordon (U.S. EPA)       |
| <b>2001 07 02 Draft Chart Percent People of Color within 5 miles.pdf</b>      | Draft EJ charts of the different percentages of people of color, people below the poverty level, Native Americans, etc. living within 5 miles of carbon regeneration facilities across the US.  | Karen Scheuermann (U.S. EPA)           |                              |
| <b>2001 07 09 Superfund Waste to Westates Carbon Facility.pdf</b>             | Letter re: concern of EPA Superfund waste that is sent to Westates for treatment  | Laura Yoshii (U.S. EPA)                | Chairman Daniel Eddy (CRIT)  |
| <b>2001 07 17 1999 Annual Report.pdf</b>                                      | Duplicate of Supp. AR - <i>2001 07 17 Vivendi 1999 Annual Report</i> , only includes cover page.  |  |                              |
| <b>2001 07 17 Letter Follow up of Telephone Conversation - 07172001.pdf</b>   | Letter requesting documents in pursuit of their work on behalf of CRIT.   | Bill Smedley (Greenwatch)              | Karen Scheuermann (U.S. EPA) |
| <b>2001 07 17 Memo Transmitting Waste Profiles and Analytical Results.pdf</b> | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information   | Karen Scheuermann (U.S. EPA)           | File                         |
| <b>2001 07 17 Vivendi 1999 Annual Report.pdf</b>                              | Annual Report for Vivendi.  |  |                              |
| <b>2001 07 18 Draft CRSSJV Fact Sheet.pdf</b>                                 | Email noting error in the amount of wastewater Westates discharges in CRSSJV fact sheet.  | Monte McCue (US Filter)                | Nancy Yoshikawa (U.S. EPA)   |
| <b>2001 07 20 Memo re Materials delivered to CRIT Reservation.pdf</b>         | Memo regarding materials delivered to various people during Karen's visit to the reservation.   | Karen Scheuermann (U.S. EPA)           | File                         |
| <b>2001 07 21 encl list of Westates Generators.pdf</b>                        | Includes (1) list of Waste Received by Westates in 1993, 1995, 1997 and 1999 sorted by amount received; and (2) list of the amount of waste received by Westates Carbon in 1993, 1995, 1997 and 1999 sorted by amount received per Generator in each year and combined. |  |                              |
| <b>2001 07 21 Log Sheet and Tally Sheet and LDR Notif Form.pdf</b>            | Indicating that the attachments were obtained from Roy Provins (Westates) during Karen's site visit on 2001 07 11 and are an example of how waste shipments are logged in and screened, and how the LDR form is filled out.   | Karen Scheuermann (U.S. EPA)           |                              |
| <b>2001 07 21 ltr w_o full encl list of Westates Generators.pdf</b>           | Letter transmitting two lists of generators (1) Generators who sent waste to Westates in 1993, 1995, 1997 and 1999; and (2) Generators who sent waste to Westates in 1999. Referenced Attachment: <i>2001 07 21 encl list of Westates Generators</i>                    | Karen Scheuermann (U.S. EPA)           | Elena Etcitty (CRIT EPO)     |

|   |  |                                  |                              |
|---|--|----------------------------------|------------------------------|
| <b>2001 07 30 cover ltr trnsmtg maps w_o encls.pdf</b>  | Letter transmitting topographic map of CRIT generated by the EPA GIS group.  | Karen Scheuermann (U.S. EPA)     | Dave Harper (Mohave Elders)  |
| <b>2001 07 31 Memo transmitting Waste Profiles and Analytical Results.pdf</b>                 | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information.   | Karen Scheuermann (U.S. EPA)     | File                         |
| <b>2001 08 02 memo to file inaccuracies in preliminary review.pdf</b>                         | Memo memorializing corrections to statements reflected in the Preliminary Review for Westates forwarded to EPA Region 9 by Booz-Allen & Hamilton by cover letter dated 2001 07 29.   | Karen Scheuermann (U.S. EPA)     | File                         |
| <b>2001 08 08 Materials Received from Westates.pdf</b>  | Memo transmitting waste profiles and analytical results of generators which send waste to Westates.  | Karen Scheuermann (U.S. EPA)     | File                         |
| <b>2001 08 08 Memo transmitting Waste Profiles and Analytical Results.pdf</b>                 | Memo transmitting waste profile forms from 1999 through 2001. Contains redacted information.   | Karen Scheuermann (U.S. EPA)     | File                         |
| <b>2001 08 15 Response to Comments on CRSSJV.pdf</b>  | Email includes comment on proposed NPDES permit and the response to all comments.  | Andy Jones (CRSS Joint Venture)  | Nancy Yoshikawa (U.S. EPA)   |
| <b>2001 08 20 USEPA Inspection Correspondence.pdf</b>   | Letter with attached unsigned letter from Drew Bolyard (US Filter) to Kandice Bellamy regarding several of the issues raised in the closing conference following Kandice's inspection of the facility on 2001 06 19 and 2001 06 20.  | Roy Provins (US Filter/Westates) | Kandice Bellamy (U.S. EPA)   |
| <b>2001 08 21 Comments on NPDES Permit for CRSSJV.pdf</b>                                     | Letter raising concerns regarding monitoring requirements for NPDES permit for Colorado River Sewer Systems Joint Venture.   | Nancy Yoshikawa (U.S. EPA)       | Elena Etcitty (CRIT EPO)     |
| <b>2001 08 21 Formal Request of Air Emissions Tests Plan and Risk Assessment Workplan.pdf</b> | Letter formally requesting Westates submit an Air Emissions Test Plan and Risk Assessment Workplan no later than November 1, 2001.   | Jeff Scott (U.S. EPA)            | Monte McCue (Westates)       |
| <b>2001 08 21 Letter Request complete copies of previous RCRA Permit Applications.pdf</b>     | Letter requesting complete copies of previous Westates RCRA permit applications.   | Lola C. Rainey (Acting CRIT AG)  | Karen Scheuermann (U.S. EPA) |
| <b>2001 08 27 Letter to CRIT Attorney Enclosing RCRA Part B Permit.pdf</b>                    | Letter enclosing complete copy of Westates RCRA Part B Permit Application.   | Karen Scheuermann (U.S. EPA)     | Lola Rainey (CRIT)           |
| <b>2001 08 28 Waste Manifests 2000 2001.pdf</b>   | Hazardous waste manifests.   |                                  |                              |
| <b>2001 08 30 Providing Information on Additional Carbon Regeneration Facilities.pdf</b>      | Letter providing information requested on other carbon regeneration facilities across the U.S.   | Karen Scheuermann (U.S. EPA)     | Elena Etcitty (CRIT EPO)     |
| <b>2001 08 31 Commercial Hazardous Waste Carbon Regeneration Facilities US.pdf</b>            | Materials marked "Draft" including: (1) a map of carbon regeneration facilities in the US; (2) the permit status of the furnaces/kilns at these facilities; (3) the waste treated at these facilities; (4) the type of equipment, e.g., scrubbers, used with the furnace/kiln; (5) emission controls; and (6) Location and Administrative information. | Karen Scheuermann (U.S. EPA)     |                              |
| <b>2001 09 05 EPA requests manifests in prep for air test.pdf</b>                             | Letter requesting information on the quantities of the wastes received at Westates.  | Arlene Kabei (U.S. EPA)          | Monte McCue (Westates)       |
| <b>2001 09 07 Draft List Superfund Wastes Received.pdf</b>                                    | Letter transmitting list of Superfund wastes received at Westates (1) wastes received by Westates in 1993, 1995 1997 and 1999 sorted by year; (2) wastes received by Westates in 1993, 1995 1997 and 1999 sorted by amount received; (3) and key to waste codes.   | Karen Scheuermann (U.S. EPA)     | Elena Etcitty (CRIT)         |

|  |  |                              |                              |
|--|--|------------------------------|------------------------------|
| <b>2001 09 07 ltr to CRIT EPO re CERCLA waste encls.pdf</b>                | Duplicate of attachments in <i>2001 09 07 Draft List Superfund Wastes Received</i> .   |                              |                              |
| <b>2001 09 07 ltr to CRIT EPO re CERCLA waste w_o encl.pdf</b>             | Duplicate of letter in <i>2001 09 07 Draft List Superfund Wastes Received</i> .  |                              |                              |
| <b>2001 09 17 Response to EPA 08212001 Letter reduced size.pdf</b>         | Letter responding to <i>2001 08 21 Formal Request of Air Emissions Tests Plan and Risk Assessment Workplan</i> .   | Monte McCue (Westates)       | Jeff Scott (U.S. EPA)        |
| <b>2001 09 20 Stack Plume Visual Observations.pdf</b>                      | Pages 1-3 are stack emission related. Page 4 relates to "the current procedures in place to address the LDR/F039 issue."   |                              |                              |
| <b>2001 09 26 centralized waste trtmt rules CWA letter to westates.pdf</b> | Letter regarding applicability of Clean Water Act centralized waste treatment rules at 40 CFR Parts 136 and 437 to Parker, AZ facility.  | Terry Oda (U.S. EPA)         | Roy Provins (Westates)       |
| <b>2001 09 30 Waste Manifests.pdf</b>                                      | Letter transmitting September 2001 RCRA manifests, with cover letter dated 2001 10 01.   | Monte McCue (US Filter)      | Karen Scheuermann (U.S. EPA) |
| <b>2001 10 11 Change to WCAI Contingency Plan w Plan.pdf</b>               | Letter submitting changes relative to the current Westates Carbon- Arizona, Inc. Contingency Plan.   | Deborah Foster (US Filter)   | Distribution                 |
| <b>2001 10 11 Change to WCAI Contingency Plan.pdf</b>                      | Letter transmitting revised Westates Contingency Plan. Referenced document: <i>2001 10 11 Change to WCAI Contingency Plan w Plan</i> .   | Deborah Foster (US Filter)   | Distribution                 |
| <b>2001 10 31 Waste Manifests.pdf</b>                                      | Letter transmitting October 2001 RCRA manifests, with cover letter dated 2001 11 02.   | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA) |
| <b>2001 11 15 Invitation to Meeting 11192001.pdf</b>                       | Letter regarding invitation from Dave Harper and others for U.S. EPA to attend a meeting on the reservation regarding Westates.  | Jeff Scott (U.S. EPA)        | Chairman Daniel Eddy (CRIT)  |
| <b>2001 11 30 Waste Manifests.pdf</b>                                      | Letter transmitting November 2001 RCRA manifests, with cover letter dated 2001 12 03.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA) |
| <b>2001 12 01 RCRA Spent Carbon Receipts.pdf</b>                           | Letter transmitting internal Westates Report re: spent carbon received at the facility in 2000 and up through August 2001 with profile numbers, generator ID numbers, waste codes and the amount shipped.                | Monte McCue (US Filter)      | Karen Scheuermann (U.S. EPA) |
| <b>2001 12 10 Fax Transmittal - Westcarbon Safe.pdf</b>                    | Letter transmitting Dave Harper's flyer announcing meeting with U.S. EPA to be held on 2001 11 19.   | Monte McCue (US Filter)      | Karen Scheuermann (U.S. EPA) |
| <b>2001 12 17 Photos Warning Letter and June 2001 Investigation.pdf</b>    | Additional photos for Part A - <i>2001 12 17 Warning Letter and June 2001 Investigation.pdf</i>  |                              |                              |
| <b>2001 12 17 Warning Letter and Jun 2001 Inspection results.pdf</b>       | Letter transmitting warning letter relating to 2001 06 19 and 2001 06 20 inspection. This includes the attached 2001 08 09 inspection report by Kandice Bellamy (U.S. EPA) and Kaoru Morimoto (U.S. EPA).                | Frances Schultz (U.S. EPA)   | Monte McCue (US Filter)      |
| <b>2001 12 17 Warning Letter and June 2001 Investigation.pdf</b>           | Duplicates <i>2001 12 17 Warning Letter and Jun 2001 Inspection result</i> but includes additional photos 1-9.   | Frances Schultz (U.S. EPA)   | Monte McCue (US Filter)      |
| <b>2001 12 18 Letter w_o Enclosure of 4 Maps of CRIT and Parker_AZ.pdf</b> | Letter transmitting 4 maps entitled: (1) Parker, Arizona; (2) EPA Regulated Sites near Parker, Arizona; (3) Water Related Features on the Colorado River Indian Reservation; and (4) Regional Air Monitoring Activities. | Karen Scheuermann (U.S. EPA) | Elena Etcitty (CRIT EPO)     |
| <b>2001 12 19 Address Concerns arisen about EPA participation.pdf</b>      | Letter regarding EPA decision not to attend 2001 11 19 meeting and efforts to attend 2002 01 03 meeting.   | Arlene Kabei (U.S. EPA)      | Dave Harper (Mohave Elders)  |

|   |   |  |   |
|---|---|--|---|
| <b>2001 12 19 Invitation to Meeting 01032002.pdf</b>  | Letter re: attempts to coordinate EPA participation at 2002 01 03 meeting to which Dave Harper has invited EPA and Tribe.   | Arlene Kabei (U.S. EPA)                                | Daniel Eddy (CRIT Chairman)   |
| <b>2002 01 01 Westates Photographs</b>  | Westates Photographs.   |  |   |
| <b>2002 01 16 cover ltr indig envtl network w list of but no encls.pdf</b>                      | Letter includes a detailed list of contents, not included as attachments because they are voluminous and found elsewhere in the facility files.                                       | Karen Scheuermann (U.S. EPA)                           | Tom Goldtooth (Ind. Envntl Network)                                   |
| <b>2002 01 31 Waste Manifests.pdf</b>   | Letter transmitting January 2002 RCRA manifests, with cover letter dated 2002 02 04.  | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)  |
| <b>2002 02 01 Transmittal of CFRs to CRIT.pdf</b>   | Memo transmitting two boxes of volumes of the Code of Federal Regulations.  | Karen Scheuermann (U.S. EPA)                           | Elena Etcitty (CRIT EPO)  |
| <b>2002 02 04 Letter Re RFA.pdf</b>   | Letter requesting information for the purposes of preparing a RCRA Facility Assessment (RFA) for the facility; request pertains to releases and CRIT EPO inspections of the facility. | Andrea Austin (Booz-Allen & Hamilton)                  | Eric Shepard (CRIT AG)  |
| <b>2002 02 13 US Filter Material Safety Data Sheet.pdf</b>                                      | Material Safety Data Sheet for Activated Carbon. Duplicated in <i>2003 07 29 SARA Title III Tier II Report w Attached Amended Form and MSDS</i> .                                     |  |   |
| <b>2002 02 19 Letter and Enclosure re Requesting a Part B Permit Application - Feb 2002.pdf</b> | Letter requesting submittal of Part B permit application along with emissions test report and risk assessment in approximately June of 2003. Includes two enclosures.                 | Steve Armann (US EPA)                                  | Monte McCue (Westates Carbon)   |
| <b>2002 02 19 Re_ Requesting a Part B Permit App.pdf</b>  | Duplicate of <i>2002 02 19 Letter and Enclosure re Requesting a Part B Permit Application - Feb 2002</i> except unsigned.   | Steve Armann (US EPA)                                  | Monte McCue (Westates Carbon)   |
| <b>2002 02 28 Waste Manifests.pdf</b>   | Letter transmitting February 2002 RCRA manifests, with cover letter dated 2002 03 04.   | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)  |
| <b>2002 03 04 Letters to CRIT &amp; Parker Library Submitting Materials.pdf</b>                 | Two letters enclosing index of documents being sent to the libraries for public access.   | Karen Scheuermann (U.S. EPA)                           | Amelia Flores (CRIT Library) & Jeannie Chavez (Parker Public Library) |
| <b>2002 03 25 Public Comment 001.pdf</b>  | Public comment in support of Westates.  | Gary and Patti Bergman                                 | Steve Armann (U.S. EPA)   |
| <b>2002 03 26 Public Comment 002.pdf</b>  | Public comment in support of Westates facility as "winter visitors" to the Parker area.   | Don & Dorothy Rover (Cherokee, IA)                     | Steve Armann (U.S. EPA)   |
| <b>2002 03 27 Public Comment 003.pdf</b>  | Redacted for Privacy. Public comment in support of Westates facility.   | Barbara LeMasurier (Lake Havasu, Az.)                  | Steve Armann (U.S. EPA)   |
| <b>2002 03 28 Public Comment 004.pdf</b>  | Public comment in support of Westates facility.   | Christine Rice (House-2-House Cleaning and Supply Co.) | Steve Armann (U.S. EPA)   |
| <b>2002 03 28 Public Comment 005.pdf</b>  | Redacted for Privacy. Public comment in support of Westates facility.   | Gerald & Winifred Wallin (Big River, CA)               | Steve Armann (U.S. EPA)   |
| <b>2002 03 29 Public Comment 006.pdf</b>  | Public comment in support of Westates facility.   | Rick Fuscardo (Parker Oil Products)                    | Steve Armann (U.S. EPA)   |
| <b>2002 03 31 Waste Manifests.pdf</b>   | Letter transmitting March 2002 RCRA manifests, with cover letter dated 2002 04 01.  | Deborah Foster (US Filter)                             | Karen Scheuermann (U.S. EPA)  |

|  |  |   |                         |
|--|--|---|-------------------------|
| <b>2002 03 Mailing List.pdf</b>                            | Mailing list including CRIT contacts, Parker Residents contacts, federal contacts, Elders contacts, La Paz County contacts, Arizona state contacts, environmental contacts, and Town of Parker contacts. |   |                         |
| <b>2002 04 01 Public Comment 007 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Mrs. Jack Bedell (Lake Havasu City, AZ)                             | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 008 w o emailaddress.pdf</b>  | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Jack and Linda Kelsey   | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 009 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Judd Morgan, Chandler, AZ.  | Judd Morgan (Chandler, AZ)  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 010 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Julie Jones, representing Parker Auto Parts.  | Julie Jones (Parker Auto Parts)                                     | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 011 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Cliff Anderson, Jr. (Plant Maintenance Technician, Westates Carbon) | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 012 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility, which refers to stringent safety requirements for drivers at the facility.  | Mark Canestrone (Transportation Plus, Inc.)                         | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 013 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Yoli Gomez.   | Yoli Gomez  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 014 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Patty Settle  | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 015 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility on behalf of him and his staff.  | Phil Ragen, Ragen Dist.   | Steve Armann (U.S. EPA) |
| <b>2002 04 01 Public Comment 016 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Regina Robbins  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 017 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bob Crabtree (Lake Havasu City Council Member)                      | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 018 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bob Dietz (Lake Havasu City, AZ)                                    | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 019 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Lanny Sloan (Town of Parker)  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 020 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Marion Dietz (Lake Havasu City, AZ)                                 | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 021 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Myra Aggen, Parker, AZ  | Steve Armann (U.S. EPA) |
| <b>2002 04 02 Public Comment 022 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Ron Foster, Parker, AZ.   | Ron Foster (Parker, AZ)   | Steve Armann (U.S. EPA) |

|  |  |  |                            |
|--|--|--|----------------------------|
| <b>2002 04 02 Public Comment 023 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Tony Wong, President, Air Kinetics, Inc., Huntington Beach, CA.   | Tony Wong (Kinetics, Inc.)                               | Steve Armann (U.S. EPA)    |
| <b>2002 04 03 Public Comment 024 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Donald Covert (Bachelor Science Energy Engineering)      | Steve Armann (U.S. EPA)    |
| <b>2002 04 03 Public Comment 025 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Jose Garcia (Westates Carbon)                            | Steve Armann (U.S. EPA)    |
| <b>2002 04 03 Public Comment 026 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Kirk Kinderdietz (Phoenix, AZ)                           | Steve Armann (U.S. EPA)    |
| <b>2002 04 03 Public Comment 027 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Lysa M. Gordon (Desert Hot Springs, CA)                  | Steve Armann (U.S. EPA)    |
| <b>2002 04 03 Public Comment 028 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Myrna Taft (Lake Havasu City, AZ)                        | Steve Armann (U.S. EPA)    |
| <b>2002 04 04 Public Comment 029 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Diane McCue (Lake Havasu City, AZ)                       | Steve Armann (U.S. EPA)    |
| <b>2002 04 04 Public Comment 030 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Ernest L. Chase (Parker, AZ)                             | Steve Armann (U.S. EPA)    |
| <b>2002 04 04 Public Comment 031 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Priscilla Younis   | Steve Armann (U.S. EPA)    |
| <b>2002 04 05 Public Comment 032 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility from Bill and CJ Fauntleroy, Lifeline Marine Safety Products.  | Bill and CJ Fauntleroy (Lifeline Marine Safety Products) | Steve Armann (U.S. EPA)    |
| <b>2002 04 05 Public Comment 033 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Laura Lee Miller   | Steve Armann (U.S. EPA)    |
| <b>2002 04 05 Public Comment 034 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Stephanie McCorkle                                       | Steve Armann (U.S. EPA)    |
| <b>2002 04 08 Certificate of Correction.pdf</b>            | Regarding correction of RCRA Subtitle C violations at carbon regeneration facility. Tribal consultation re: our internal policies." Duplicate complete copy: <i>2002 04 08 Letter Certification of Correction.</i> | Kandice Bellamy (U.S. EPA)                               | Frances Schultz (U.S. EPA) |
| <b>2002 04 08 Public Comment 035 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bill and Viki Powell                                     | Steve Armann (U.S. EPA)    |
| <b>2002 04 08 Public Comment 036 w o address.pdf</b>       | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Bob Songer (Lake Havasu City, AZ)                        | Steve Armann (U.S. EPA)    |
| <b>2002 04 08 Public Comment 037 w o email address.pdf</b> | Redacted for Privacy. Public Comment in support of carbon regeneration facility.   | Tracy Riggan (Parker, AZ)                                | Steve Armann (U.S. EPA)    |
| <b>2002 04 09 Public Comment 038.pdf</b>                   | Public Comment in support of carbon regeneration facility.   | Michelle Goodman (Parker, AZ)                            | Steve Armann (U.S. EPA)    |

|   |  |   |   |
|---|--|---|---|
| <b>2002 04 10 Inspection Warning Letter and Request for Info.pdf</b>                              | Letter transmitting notice of violation, request for information and inspection report.  | Frances Schultz (U.S. EPA)              | Monte McCue (US Filter, Westates)                 |
| <b>2002 04 10 Transmittal of Inspection Facility Description_3.pdf</b>                            | Miscellaneous materials likely included in the enforcement/inspection file as preparatory materials for an inspection.   |   |   |
| <b>2002 04 10 Transmittal of Inspection Report Warning Letter and Request for Information.pdf</b> | Letter transmitting Inspection Report dated 2002 04 05 from inspection that took place 2002 01 24.   | Frances Schultz (U.S. EPA)              | Monte McCue (US Filter/Westates Carbon)           |
| <b>2002 04 23 Letter re Lanny Sloan Request to be included to mailing list.Unpdf</b>              | Letter requesting addition of Parker Town Manager, Larry Sloan, to EPA mailing list for "permit reviews."  | Candy Cockrell (Town Clerk, Parker, AZ) | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 04 26 thru 2002 05 02 email string re 2dary containment and max tank capacity.pdf</b>     | String of messages re: revised rain data and calculations for containment capacity etc. *Listed under <i>2002 04 05 Public Comment 034 w o email address.pdf</i> in Draft AR*                                    | Kaoru Morimoto (U.S. EPA)               | Kandice Bellamy (U.S. EPA)                        |
| <b>2002 04 30 Appendices for Contingency Plan. Pdf</b>  | Fax transmitting letters to various recipients enclosing changes to WCAI.  | Karen Scheuermann (U.S. EPA)            |   |
| <b>2002 04 30 Waste Manifests.pdf</b>   | Letter dated 2002 05 02, submitting April 2002 manifests.  | Deborah Foster (US Filter)              | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 04 Fact Sheet Recent Inspections.pdf</b>  | Fact sheet on June 2001 and January 2002 inspections.  |   |   |
| <b>2002 05 15 First Response to Request for Info RCRA 3007.pdf</b>                                | Letter includes letter dated 1993 09 03 from Monte McCue (Westates Carbon) to Amy Sokolov (U.S. EPA) re: issues raised in previous inspection. Constitute portions of the December 1993 RCRA Part A application. | Monte McCue (US Filter)                 | Kandice Bellamy (U.S. EPA)                        |
| <b>2002 05 16 RCRA 3007 Request for Info and Warning Letter.pdf</b>                               | Letter re: agreements reached re: responses to EPA information requests connected to compliance evaluation inspection.   | Frances Schultz (U.S. EPA)              | Stephen M. Richmond (Bowditch & Dewey)            |
| <b>2002 05 21 Apr 2002 Open House Participation.pdf</b>   | Letter transmitting information from facility's Open House event 2002 04 10, including boards, and information regarding dioxin emissions.   | Monte McCue (Westates)                  | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 05 21 Open House Apr 2002.pdf</b>   | Letter following up to Westates community open house on April 10,2002.   | Monte McCue (US Filter)                 | Dave Harper, Etta Anderson and CRIT Youth Council |
| <b>2002 05 23 Proposed Agenda CRIT EPA BIA.pdf</b>  | Proposed agenda for CRUT, BIA and EPA conference call regarding Westates 1996 Environmental Assessment. Information has been redacted because it is not being relied upon as part of the final permit decision.  | U.S. EPA                                |   |
| <b>2002 05 31 Waste Manifests.pdf</b>   | Letter dated 2002 06 03, submitting May 2002 manifests.  | Deborah Foster (US Filter)              | Karen Scheuermann (U.S. EPA)                      |
| <b>2002 06 11 CRIT ITCA Webpage Information.pdf</b>   | Information on CRIT from itcaonline.com.   |   |   |
| <b>2002 06 14 Second Response to Request for Information Pursuant RCRA Section 3007.pdf</b>       | Letter inclosing the second portion of the response to the 2002 04 15 Warning Letter.  | Monte McCue (US Filter/Westates Carbon) | Kandice Bellamy (U.S. EPA)                        |
| <b>2002 06 20 Comments on EPA Fact Sheets .pdf</b>  | Letter regarding various inaccuracies and misleading information in EPA Fact Sheets about the Westates Facility.   | Monte McCue (Westates)                  | Jeannie Cervera (U.S. EPA)                        |



|   |   |  |   |
|---|---|--|---|
| <b>2002 06 25 Submittal on Dioxin Question from Workshop.pdf</b>                                  | Email re: reply from Tony Eicher to questions on concerns of dioxin emission letter.  | Douglas Eisner (US Filter)               | Stacy Braye (U.S. EPA)  |
| <b>2002 06 30 Waste Manifests.pdf</b>   | Letter dated 2002 07 01, submitting June 2002 manifests.  | Deborah Foster (US Filter)               | Karen Scheuermann (U.S. EPA)  |
| <b>2002 07 26 EPCRA Reporting and Information.pdf</b>   | EPCRA and TRI Reporting Info Manual. TRI Report for Jul 2002  |  |   |
| <b>2002 07 31 Waste Manifests.pdf</b>   | Letter dated 2002 08 01, submitting July 2002 manifests.  | Deborah Foster (US Filter)               | Karen Scheuermann (U.S. EPA)  |
| <b>2002 08 01 EPA Notice Air Emissions Test.pdf</b>   | Fact sheet/FAQs on Air Emissions tests at Westates describing how the EPA use the results of the test and how the public can comment on the test. |  |   |
| <b>2002 08 01 EPA Notice Risk Assessment.pdf</b>  | Fact sheet/ FAQs on Risk Assessment at Westates describing the purpose of the risk assessment and how the results will be used.                   |  |   |
| <b>2002 08 01 EPA Notice Update on Inspections at Westates.pdf</b>                                | Fact sheet/FAQs on recent inspections at Westates including the June 2001 inspection and the January 2002 inspection.                             |  |   |
| <b>2002 08 01 Westates Photographs</b>  | Document library containing photos from <i>2002 08 29 RCRA Compliance Evaluation.pdf</i> .  |  |   |
| <b>2002 08 05 Requesting Documents for Public Access.pdf</b>                                      | Two letters enclosing index of documents being sent to the libraries for public access and three fact sheets.                                     | Karen Scheuermann (U.S. EPA)             | Amelia Flores (CRIT Library) & Jeannie Chavez (Parker Public Library) |
| <b>2002 08 06 email B Angel Greenaction to E Manzanilla USEPA R9 redacted privacy act.pdf</b>     | Email message regarding criticism of process, response to violations and permit processing delays associated with Westates.                       | Bradley Angel (Greenaction)              | Enrique Manzanilla (U.S. EPA)   |
| <b>2002 08 07 Westates Carbon Public Meeting Conf Call Agenda.pdf</b>                             | Agenda dated 2002 08 28 for conference call with unknown parties listing the concerns raised by Greenaction in its 2002 08 06 email message.      |  |   |
| <b>2002 08 07 Westates Public Workshop Documents.pdf</b>  | Summary of Public Workshop held including workshop materials, meeting agenda, and comments.   |  |   |
| <b>2002 08 12 Mail-Out of Announcement and Availability of Fact Sheet.pdf</b>                     | Redacted for privacy. Memo transmitting announcement mailed for workshop, mailing list, factsheets and handouts.                                  | Karen Scheuermann (U.S. EPA)             | File  |
| <b>2002 08 13 Letter re Outrage at EPA Public Workshop on Facility statements and actions.pdf</b> | Letter regarding EPA's "biased, pro-Westates statements" at Public Workshop held on 2002 08 07.   | Various                                  | Wayne Nastri (U.S. EPA)   |
| <b>2002 08 13 Parker Pioneer Article about 2002 08 07 Public Meeting.pdf</b>                      | Parker Pioneer article titled "Audience has questions about EPA and Westates"   | John Gutekunst (Parker Pioneer)          |   |
| <b>2002 08 15 RFA Report.pdf</b>  | Transmitting work draft Report on RCRA Facility Assessment (RFA) performed under contract to U.S. EPA.  | Katherine Hastie (Booz Allen Hamilton)   | Ron Leach (U.S. EPA)  |
| <b>2002 08 16 RCRA Part B Permit Application Vol 1 of 1.pdf</b>                                   | Letter transmitting two copies of the portions of WCAI's RCRA Part B permit application.  | James Cudahy (Focus Environmental, Inc.) | Karen Scheuermann (U.S. EPA)  |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Drawing Supplements.pdf</b>      | Coversheet of RCRA Part B Permit Application Drawing supplements.   |  |   |

|   |   |                            |   |
|---|---|----------------------------|---|
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_2 of 4.pdf</b>         | Volume 2 of RCRA Part B Permit Application. Referenced attachments in Appendix XX: <i>1995 11 01 Assembly of Flood Furnace</i> , <i>1995 11 01 Orientation of 9 ft 4th Furnace Puget Sound Naval Ship Yard Wall</i> .   |                            |   |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_3 of 4.pdf</b>         | Volume 3 of RCRA Part B Permit Application. Referenced diagrams: <i>1995 11 01 Afterburner Sections Plan Views</i> ; <i>1995 11 01 Afterburner Sections Elevation Views</i> ; <i>1995 11 01 Afterburner Outlet Refractory</i> ; <i>1995 11 01 Detail of Parker Pre-quench System</i> ; and <i>1995 11 01 Packed Bed Scrubber Assembly Model</i> . |                            |   |
| <b>2002 08 19 rev 1995 11 01 RCRA Part B Permit Application 1995 Vol_4 of 4.pdf</b>         | Volume 4 of RCRA Part B Permit Application.   |                            |   |
| <b>2002 08 19 rev 1995 11 01 Table of Contents - Part B November 1995.pdf</b>               | Table of Contents of Revision 1 Part B Permit Application.  |                            |   |
| <b>2002 08 19 Section E - Procedures to Prevent Hazards.pdf</b>                             | Section E of Part B permit application revision 0.  |                            |   |
| <b>2002 08 29 Focused RCRA Subtitle C CEI.pdf</b>   | Nine pages of a Compliance Evaluation Inspection Report from an inspection that occurred on 2002 08 29.   | Kandice Bellamy (U.S. EPA) | Julie O'Shea (Mountain View Voice)                  |
| <b>2002 08 29 RCRA Compliance Evaluation.pdf</b>  | Complete Compliance Evaluation Inspection Report from the 2002 08 29 inspection.  | Kandice Bellamy (U.S. EPA) |   |
| <b>2002 08 31 Waste Manifests.pdf</b>   | Letter dated 2002 09 04, submitting August 2002 manifests.  | Deborah Foster (US Filter) | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 09 10 Email re Postponed 2002 09 11 Meeting.pdf</b>                                 | Email re Postponed meeting on Sept 11 in Parker at the request of CRIT Attorney General.  | Wenona Wilson (US EPA)     | Various   |
| <b>2002 09 10 Information on CRIT Flag and Seal.pdf</b>                                     | Email sending information to various U.S. EPA personnel on tribal flag and tribal seal for CRIT.  | David Tomsovic (U.S. EPA)  | Various   |
| <b>2002 09 26 Letter Enclosure Draft RFA Report 09202002.pdf</b>                            | Letter providing enclosed Draft RCRA Facility Assessment Report, Westates Carbon-Arizona, Inc. Referenced attachment: <i>2002 08 15 RFA Report</i> .  | Steve Armann (USEPA)       | Eric Shepard (CRIT)                                 |
| <b>2002 09 27 Letter re Consultation on the Protection of Tribal Cultural Resources.pdf</b> | Letter regarding consultation to ensure protection of tribal cultural resources during review of RCRA permit application.   | Jeff Scott (USEPA)         | Daniel Eddy (CRIT)                                  |
| <b>2002 09 30 Waste Manifests.pdf</b>   | Letter dated 2002 10 02, submitting September 2002 manifests.   | Deborah Foster (US Filter) | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 10 04 Request_for Meeting w RA.pdf</b>  | Letter requesting a meeting with EPA management to discuss conduct of EPA outreach and public meetings and to take issue with certain messages provided to the public.  | Monte McCue (Westates)     | Wayne Natri (U.S. EPA)                              |
| <b>2002 10 04 Westates Request for meeting with EPA.pdf</b>                                 | Duplicate of <i>2002 10 04 Request_for Meeting w RA</i> .   | Monte McCue (Westates)     | Wayne Natri (U.S. EPA)                              |
| <b>2002 10 31 Waste Manifests.pdf</b>   | Letter dated 2002 11 04, submitting October 2002 manifests.   | Deborah Foster (US Filter) | Karen Scheuermann (U.S. EPA)                        |
| <b>2002 11 04 Letter re Response to concerns re public meeting on 08072002.pdf</b>          | Letter responding to David's written comments received at the public meeting on 2002 08 07.   | Jeff Scott (USEPA)         | David Harper (Mohave Cultural Preservation Program) |

|  |  |                                  |  |
|--|--|----------------------------------|--|
| <b>2002 11 04 Westates Carbon Public Meeting.pdf</b>                             | Duplicate of <i>2002 11 04 Letter re Response to concerns re public meeting on 08072002</i> . Includes attachment.   | Jeff Scott (U.S. EPA)            | Dave Harper (Mohave Cultural Preservation Program) |
| <b>2002 11 14 Terrian Magazine Article about westates and AMCO.pdf</b>           | Email transmitting Terrain Magazine article about Westates and AMCO.   | Weona Wilson (U.S. EPA)          | Karen Scheuermann, Brunilda Davila (U.S. EPA)      |
| <b>2002 11 30 Waste Manifests.pdf</b>  | Letter dated 2002 12 02, submitting November 2002 manifests.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                       |
| <b>2002 12 31 Waste Manifests (2).pdf</b>  | Duplicate of <i>2002 12 31 Waste Manifests</i> but without signature.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                       |
| <b>2002 12 31 Waste Manifests.pdf</b>  | Letter dated 2003 01 07, submitting December 2002 manifests.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                       |
| <b>2002 Certification of Correction re Inspections - 2002.pdf</b>                | Undated letter wrapping up compliance request for certain violations detected during inspections dated 2001 06 19 to 2001 06 20 and 2002 01 24.  | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter Westates)                   |
| <b>2002 Photos_Maps</b>  | Includes 1978 09 22 Furnace Shell Spec and Details, 1987 05 04 Flood Insurance Rate Map, 1994 02 03 Topographic Survey Map, and 2002 03 01 Reactivation Facility Site Plan.  |                                  |  |
| <b>2002 Public Notice Card for 2002 08 07 Public Workshop</b>                    | Public notice card announcing public workshop on August 7, 2002.   | U.S. EPA                         |  |
| <b>2003 01 31 Waste Manifests.pdf</b>  | Letter submitting January 2003 manifests, Cover letter dated 2003 02 03.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 02 03 Email - Response to Question on Westates Hearth Efficiency.pdf</b> | Email re: conversation with Drew Bolyard (Westates) re: efficiency of hearth and use of iodine test and butane test of carbon for adsorptive capacity of carbon.   | Mary Blevins (U.S. EPA)          | Steve Armann (U.S. EPA)                            |
| <b>2003 02 04 Agenda for 2003 02 13 Conf Call EPA Westates.pdf</b>               | Email transmitting proposed agenda for 2003 02 13 conference call between EPA and Westates.  | Karen Scheuermann (U.S. EPA)     | Douglas Eisner (US Filter)                         |
| <b>2003 02 05 Email - EPA Discussion with Chairman Eddy on 02042003.pdf</b>      | Email memorializing discussion during telephone call that occurred on February 4, 2003. Mentions consultation regarding cultural resources; designation of Betty Cornelius as point of contact; tour of sites by Dave Harper; and arranging opportunity for EPA representatives to meet with Tribal Council representatives. | Steve Armann (U.S. EPA)          | Sami for CRIT Chairman Eddy                        |
| <b>2003 02 06 Pursuant to 02052003 Telephone Conversation.pdf</b>                | Letter designating Betty Cornelius as contact for cultural resource issues. Indicates no objection to EPA officials going on tour of cultural sites with Dave Harper but asks it to be coordinated through Ms. Cornelius.  | Chairman Daniel Eddy, Jr. (CRIT) | Steve Armann (U.S. EPA)                            |
| <b>2003 02 10 Invitation for EPA to Attend an Event on Tribal Lands.pdf</b>      | Letter re: U.S. EPA representative to attend "ceremony and protest" to be held on February 14, 2003 regarding Westates Carbon in order to better understand concerns and answer questions.   | Steve Armann (U.S. EPA)          | Chairman Daniel Eddy, Jr. (CRIT)                   |
| <b>2003 02 24 Notes for 2003 03 07 Presentation to CRIT.pdf</b>                  | Notes for Presentation at Tribal Council Meeting with CRIT.  | U.S. EPA                         |  |
| <b>2003 02 24 Warning Letter and August 2002 Inspection.pdf</b>                  | Letter re: inspection conducted on August 29, 2002 and identification of violations pertaining to secondary containment area; provides 30 days from receipt to reply.  | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter Westates)                   |

|   |  |   |   |
|---|--|---|---|
| <b>2003 03 03 Waste Manifests.pdf</b>   | Letter submitting February 2003 manifests.   | Deborah Foster (US Filter)                                  | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 03 06 Letter re Plans for EPA to Attend Cultural Tour with Dave Harper.pdf</b>  | Letter re: firming up plans for tour of cultural sites with Dave Harper and, potentially, Betty Cornelius.   | Arlene Kabei (U.S. EPA)                                     | Chairman Daniel Eddy, Jr. (CRIT)              |
| <b>2003 03 12 EPA Comments on PDT Plan and RA WP.pdf</b>  | Letter transmitting comments on Performance Demonstration Test Plan and Risk Assessment Workplan.  | Arlene Kabei (U.S. EPA)                                     | Monte McCue (Westates Carbon – Arizona, Inc.) |
| <b>2003 03 17 img-421123312-0001.pdf</b>  | Photos of facility *Dated 2003 03 07*  |   |   |
| <b>2003 04 02 Response to EPA Warning Letter.pdf</b>  | Letter responding to warning letter disagree as to characterization as a violation.  | Monte McCue (US Filter)                                     | Kandace Bellamy (U.S. EPA)                    |
| <b>2003 04 07 Replace_of_TitleIII_TierII_Report.pdf</b>   | Letter amending past submittals under SARA Title III, Tier II reporting, including calendar year 2002 form and MSDS – and Emergency Planning and Community Right to Know Act (EPCRA).  | Deborah Foster (US Filter)                                  | Elena Etcitty or Successor (CRIT EPO)         |
| <b>2003 04 15 Waste Manifests.pdf</b>   | Letter submitting March 2003 manifests.  | Deborah Foster (US Filter)                                  | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 04 22 Letter re Request for Extension for Submittal of Revised Performance Demo Test Plan and RA WP.pdf</b>                     | Letter agreeing to request to extend deadline to submit revised Performance Demonstration Test Plan and Risk Assessment Workplan to May 30, 2003.  | Arlene Kabei (U.S. EPA)                                     | Doug Eisner (Westates Carbon – Arizona, Inc.) |
| <b>2003 04 23 Fax Transmittal re Calgon Carbon Corp Air Emission Eval.pdf</b>   | Fax transmitting the Air Emissions Evaluation completed for Calgon Carbon Corporation's carbon reactivation furnace.   | Carl Spadaro (Penn. Department of Environmental Protection) | Karen Scheuermann (U.S. EPA)                  |
| <b>2003 05 01 Carbon Reactivation Furnace - PDT Plan.pdf</b>  | Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc.                                   | Westates Carbon-Arizona, Inc.                 |
| <b>2003 05 01 Carbon Reactivation Furnace Performance Demo Test Plan Markup.pdf</b>   | Markup of Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc.                                   | Westates Carbon-Arizona, Inc.                 |
| <b>2003 05 01 Carbon Reactivation Furnace Performance Demo Test Plan.pdf</b>  | Revision 0 of Carbon Reactivation Furnace Performance Demonstration Test Plan.   | Focus Environmental, Inc.                                   | Westates Carbon-Arizona, Inc.                 |
| <b>2003 05 07 Estimated Stack Emissions from Westates.pdf</b>   | Includes: Duplicate of <i>2003 05 07 Letter and supporting documents re Response to Information about amount of contaminants emits from stack per year.</i> two duplicates of <i>2000 11 01 Response to 10192000 Letter re MACT EEE.</i> Duplicate of <i>2000 10 19 Applicability of Title V of the Clean Air Act.</i> Duplicate of <i>2000 12 22 Response to 11012000 Letter.</i> Duplicate of <i>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000.</i> Duplicate of <i>2002 05 21 Apr 2002 Open House Participation.</i> 2002 04 10 Power Point Presentation given by Westates/US Filter (Monte McCue) at the Open House. | Various   | Various                                       |
| <b>2003 05 07 Letter and supporting documents re Response to Information about amount of contaminants emits from stack per year.pdf</b> | Letter re: lbs per year of certain contaminants and based on stack tests that Westates conducted in 1993/1994, and in 2000. Air emissions tests were not overseen by EPA, which cannot confirm accuracy of test results.   | Karen Scheuermann (U.S. EPA)                                | Julie O'Shea (Mountain View Voice)            |
| <b>2003 05 07 Worksheet for Emissions tests 1993 1994 and 2000.pdf</b>  | Includes: 2003 05 07 Worksheet for Westates' Metals and Particulate Emissions Based on Emissions Test conducted by Westates in 2000. 2003 05 07 Worksheet for Westates' Metals and Particulate Emissions Based on Emissions Tests conducted by Westates in 1993 & 1994. 2001 07 25 AZMET:  | Various   | Various                                       |

|   |  |                              |  |
|---|--|------------------------------|--|
|   | Arizona Meteorological Network Report. 2001 07 25 NCDC.NOAA.gov: Climate-Radar Data Inventories for 41 stations found within 1 Degree of Westates coordinates. 1994 06 06 Westates Emissions Test Report for Dioxin/Furan, Hydrogen Chloride and CEMS. 1993 11 18 Appendix A.1.a. Results Tabulation, Condition 1, EPA Method 0050 (4 runs). 1994 06 06 Westates Emissions Test Report for Particulate and Metals. 1999 09 30 53040 Federal Register Vol. 64, No. 189 (40 CFR 63.1203). 1994 06 06 Westates Emissions Test Report for Polycyclic Aromatic Hydrocarbon Results. 1994 06 06 Westates Emissions Test Report for Dioxin/Furan, Hydrogen Chloride, and CEMS. 1994 06 06 Westates Emissions Test Report for POHC, DREs, and CEMS. Duplicate of <i>2001 02 21 Preliminary Internal RF-2 Stack Test Data Oct 2000</i> . Tables – Tier I and Tier II Feed Rate and Emissions Screening limits for Carcinogenic Metals for Facilities in Non Complex Terrain |                              |  |
| <b>2003 05 09 Waste Manifests.pdf</b>   | Letter submitting April 2003 manifests.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)           |
| <b>2003 05 14 Response to Comments Performance Demonstration Test Plan and Risk Assessment Workplan.pdf</b> | Letter requesting more time to submit comment responses and revised documents.   | Douglas Eisner (US Filter)   | Arlene Kabei (U.S. EPA)                |
| <b>2003 05 15 Email re Calculations for Air Emissions and Info on Mailing List.pdf</b>                      | Email re calculations for air emissions and information on the mailing list.   | Karen Scheuermann (U.S. EPA) | Monte McCue (US Filter)<br>Tony Eicher |
| <b>2003 05 21 RA Anticipated Receptor Grid Layout.jpg</b>   | Figure 3 Anticipated Receptor Grid Layout. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>  |                              |  |
| <b>2003 05 21 RA Habitat Map - USGS Orthophotography.jpg</b>  | Figure 7 Habitat Map USGS Orthophotography Risk Assessment Work Plan. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>   |                              |  |
| <b>2003 05 21 RA Habitat Map - USGS Topography.jpg</b>  | Figure 6 Habitat Map USGS Topography Risk Assessment Work Plan. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>   |                              |  |
| <b>2003 05 21 RA Vicinity Map.jpg</b>   | Diagram of Vicinity Map. Attachment to: <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</i>  |                              |  |
| <b>2003 05 23 Superfund Sites that send Waste to Westates</b>   | Email summary of superfund sites that send waste to Westates.  | Steve Armann (U.S. EPA)      | Keith Takata (U.S. EPA)                |
| <b>2003 05 29 RA protocol REDLINE 5_21_03.pdf</b>   | Redline version of Working Draft Risk Assessment Workplan.   | CPF Associates, Inc.         | Westates Carbon-Arizona, Inc.          |
| <b>2003 05 29 RA protocol REVISED 5_ 21_ 2003.pdf</b>   | Clean copy of Working Draft Risk Assessment Workplan. Includes <i>2003 05 21 RA Habitat Map - USGS Topography.jpg</i> ; <i>2003 05 21 RA Habitat Map - USGS Orthophotography.jpg</i> ; <i>Area Topography.jpg</i> and <i>Anticipated Receptor Grid Layout.jpg</i> as part of the appendices  | CPF Associates, Inc.         | Westates Carbon-Arizona, Inc.          |
| <b>2003 05 30 RTC - PDT Plan and Risk Assessment WP.pdf</b>   | Letter transmitting detailed responses and 2 copies each of the subject documents in response to her 2003 03 12 letter.  | Douglas Eisner (US Filter)   | Arlene Kabei (US EPA)                  |
| <b>2003 05 30 RTC_Performance_Demo_Test_Plan_and RA WP.pdf</b>  | Letter transmitting responses to EPA's comments on Performance Demonstration Test Plan and Risk Assessment Workplan.   | Douglas Eisner (US Filter)   | Arlene Kabei (U.S. EPA)                |
| <b>2003 06 01 Westates Photographs</b>  | Document library containing photos of Westates from June 2003.   |                              |  |

|  |   |                                  |   |
|--|---|----------------------------------|---|
| <b>2003 06 09 Waste Manifests.pdf</b>  | Letter submitting May 2003 manifests.   | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                                |
| <b>2003 06 11 Question of Tribal Facility Determination.pdf</b>                      | Letter re: Tribal Facility Status – Tribe does not believe the facility is a “tribal facility” for the purposes of the 2001 U.S. EPA Guidance on the Enforcement Principles Outlined in the 1984 Indian Policy.   | Chairman Daniel Eddy, Jr. (CRIT) | Jeff Scott (U.S. EPA)                                       |
| <b>2003 06 17 EPA Undertaking Under NHPA - Various Recipients.pdf</b>                | Two letters re: initiation of formal NHPA process. Attachment to: <i>2003 12 31 Memo Enclosures to Letters sent on Dec 17 and Dec 23 2003.pdf</i> . Referenced attachment: <i>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use</i> . | Arlene Kabei (US EPA)            | Chairman Daniel Eddy, Jr. (CRIT) & James Garrison (AZ SHPO) |
| <b>2003 06 26 Survey_Secondary Containment_Volume_Schematics.pdf</b>                 | 4 pages of drawings labeled “US Filter Westates Survey of Secondary Containment Volume.”  |                                  |   |
| <b>2003 06 30 RCRA Civil Penalty Policy.pdf</b>                                      | Copy of the 2003 RCRA Penalty Policy.   |                                  |   |
| <b>2003 07 02 Waste Manifests.pdf</b>  | Letter submitting June 2003 manifests.  | Deborah Foster (US Filter)       | Karen Scheuermann (U.S. EPA)                                |
| <b>2003 07 14 Closure Timetable Following Termination of Interim Status</b>          | Includes question and answers on 40 CFR Sections 270.73 (g)   |                                  |   |
| <b>2003 07 25 Draft Agenda for Greenaction Meeting.pdf</b>                           | Email re draft agenda for 2003 07 25 with Greenaction, CRIT Tribal members, Mohave cultural preservation program, and Gila River Alliance for a Clean Environment. Contains redacted information.   | Rachel Loftin (U.S EPA)          | Various   |
| <b>2003 07 25 Re_NHPA Consultation Meeting for August 1 2003.pdf</b>                 | Letter re: meeting regarding NHPA process.  | Karen Scheuermann (US EPA)       | Betty Cornelius (CRIT Museum)                               |
| <b>2003 07 28 Notification of Intent to File a Determination of Violation.pdf</b>    | Letter identifies Kandice Bellamy and Harrison Karr as U.S. EPA contacts. Referenced document: RCRA Civil Penalty Policy 2003 06 30 RCRA Civil Penalty Policy. Referenced Attachment: <i>2003 06 30 RCRA Civil Penalty Policy.pdf</i>                                 | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter/Westates Carbon)                     |
| <b>2003 07 28 NOV and Inspection Report 2003 March Attachment 2.pdf</b>              | Attachment to Part A - <i>2003 07 NOV Inspection Report 2003 March.pdf</i>  | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter/Westates)                            |
| <b>2003 07 28 NOV and Inspection Report 2003 March.pdf</b>                           | Duplicate of <i>2003 07 28 Notification of Intent to File a Determination of Violation</i> but includes a July 24, 2003 Inspection Report. Attachment 2: <i>2003 07 28 NOV and Inspection Report 2003 March Attachment 2</i> .  | Frances Schultz (U.S. EPA)       | Monte McCue (US Filter/Westates Carbon)                     |
| <b>2003 07 29 Attachment Containment Pad Update.pdf</b>                              | Updated drawing referenced in <i>2003 07 29 Containment Pad Update</i> .  |                                  |   |
| <b>2003 07 29 Containment Pad Update.pdf</b>   | Letter following up on conversation with Steve Armann re: containment pad calculations. Referenced document: <i>2003 07 29 Attachment Containment Pad Update</i>  | Monte McCue (US Filter)          | Kandice Bellamy (U.S. EPA)                                  |
| <b>2003 07 29 SARA Title III Tier II Report w Attached Amended Form and MSDS.pdf</b> | Letter enclosing a copy of S.A.R.A. Title III Tier II report.   | Deborah Foster (US Filter)       | Dillon Esquerra (CRIT)                                      |
| <b>2003 07 31 Weekly Highlight Mtg with CRIT NHPA.pdf</b>                            | Weekly highlight of 2003 07 31 meeting initiating NHPA Section 106 process.   | Jeannie Cervera (U.S. EPA)       |   |
| <b>2003 08 01 NHPA Consultation Proposed Meeting Agenda.pdf</b>                      | Proposed meeting agenda for 2003 08 01 meeting to discuss the process EPA will follow in implementing Section 106 of the NHPA.  | U.S. EPA Region 9                |   |

|   |  |   |  |
|---|--|---|--|
| <b>2003 08 05 Waste Manifests.pdf</b>   | Letter submitting July 2003 manifests.   | Deborah Foster (US Filter)                                      | Karen Scheuermann (U.S. EPA)                   |
| <b>2003 08 06 Email Re Calculations for Air Emissions.pdf</b>   | Various emails regarding Air Emissions calculations and coordination with Air Division.  | Various   | Various  |
| <b>2003 08 25 Email re 2003 08 22 Mountain View Voice Article.pdf</b>                                 | Email transmitting Mountain View Voice article entitled Locals listen to toxics talk.  | Mimi Newtown (U.S. EPA)   | Karen Scheuermann & Jeannie Cervera (U.S. EPA) |
| <b>2003 08 29 Meeting Notes 08012003 NHPA Meeting - Various Recipients.pdf</b>                        | Three letters to consulting parties of NHPA process enclosing meeting notes in <i>2003 08 29 Meeting Notes from 08012003 NHPA Meeting - DEddyJr.pdf</i>  | Karen Scheuermann (US EPA)                                      | Various  |
| <b>2003 08 29 Meeting Notes from 08012003 NHPA Meeting - DEddyJr.pdf</b>                              | Letter transmitting NHPA Process meeting notes.  | Karen Scheuermann (US EPA)                                      | Chairman Daniel Eddy, Jr. (CRIT)               |
| <b>2003 09 03 Waste Manifests.pdf</b>   | Letter submitting Aug. 2003 manifests.   | Deborah Foster (US Filter)                                      | Karen Scheuermann (U.S. EPA)                   |
| <b>2003 09 04 Letter re Followup to 07182003 Consultation Letter.pdf</b>                              | Letter re: request for meeting with members of CRIT to discuss Westates Carbon. Consultation government to government before meeting with individual Tribe members, Dave and Mamie Harper.         | Enrique Manzanilla (U.S. EPA)                                   | Chairman Daniel Eddy, Jr. (CRIT)               |
| <b>2003 09 10 Letter re Designated Areas of Potential Effects.pdf</b>                                 | Letter transmitting tribal input regarding NHPA process, including Area of Potential Effects (APE).  | Chairman Daniel Eddy, Jr. (CRIT)                                | Karen Scheuermann (US EPA)                     |
| <b>2003 09 15 Chairman Eddy Letter 09102003.pdf</b>   | Letter transmitting <i>2003 09 10 Letter re Designated Areas of Potential Effects</i> to other consulting parties.   | Karen Scheuermann (US EPA)                                      | Various  |
| <b>2003 09 18 Guidance on Tribal Consultation under NHPA.pdf</b>                                      | Letter re Guidance on Tribal Consultation under the NHPA from the Arizona State Historic Preservation Office.  | Matthew Bilsharrow (Arizona State Historic Preservation Office) | Federal Agency Official                        |
| <b>2003 09 19 Letter - Requesting Comments on Proposed Area of Potential Effects.pdf</b>              | Duplicate of <i>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr.</i>   | Steve Armann (US EPA)   | Chairman Daniel Eddy, Jr. (CRIT)               |
| <b>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr.pdf</b>            | Letter seeking tribal input on proposed APE in NHPA process. This pdf file includes the attached map.  | Steve Armann (US EPA)   | Chairman Daniel Eddy, Jr. (CRIT)               |
| <b>2003 09 19 Requesting Comments on Proposed Areas of Potential Effects - Various Recipients.pdf</b> | Four letters seeking input on proposed Area of Potential Effects (APE) under NHPA. Referenced attachments: <i>2003 09 19 Re_Requesting Comments on Proposed Area of Potential Effects_DEddyJr.</i> | Steve Armann (US EPA)   | Various  |
| <b>2003 09 25 Comments on PDT Plan and RA WP.pdf</b>  | Letter commenting on PDT trial burn Workplan, includes enclosures A, B and C. Requests revised plan by submitted by 2003 11 05.  | Arlene Kabei (US EPA)   | Monte McCue (US Filter/Westates)               |
| <b>2003 09 26 Memorandum re Materials Provided at Sept 22 2003 mtg.pdf</b>                            | Memo transmitting materials provided to participants at September 2003 meeting. Sign in sheet was redacted to remove Privacy Act Information for meeting attendees.                                | Karen Scheuermann (U.S. EPA)                                    | File   |
| <b>2003 09 30 Draft RFA Appendices.pdf</b>  | Appendices A through U of draft RFA. Complete copy in Supp AR - <i>2003 09 30 Draft RFA Appendices_Complete_.pdf</i>   |   |  |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 1.pdf</b>  | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.   | Booz Ailen Hamilton   | U.S. EPA                                       |

|   |   |  |  |
|---|---|--|--|
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 2.pdf</b>                              | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton  | U.S. EPA   |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 3.pdf</b>                              | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton  | U.S. EPA   |
| <b>2003 09 30 Draft RFA Appendices_Complete_Part 4.pdf</b>                              | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.  | Booz Ailen Hamilton  | U.S. EPA   |
| <b>2003 09 30 SWMU List and Suggestions for Further Action.pdf</b>                      | Portion of the draft RCRA Facility Assessment (RFA) prepared by Booz Allen Hamilton regarding SWMUs and AOCs.   |  |  |
| <b>2003 09 30 Table 3 Specific Info on SWMUs.pdf</b>                                    | Lists 37 SWMUs, their description, location, age, dimensions, and Material of Construction.   | Booz Allen Hamilton  |  |
| <b>2003 09 Training Mod for Permits and Interim Status.pdf</b>                          | Training module of introductions to permits and interim status 40 CFR Part 270.   |  |  |
| <b>2003 10 01 Comments from consulting parties re Permit.pdf</b>                        | Letter recommends referring to APE as “the APE associated with cultural use” and references determination based on the work of “experts in the cultures of Numic and Yuman speakers.” See <a href="https://en.wikipedia.org/wiki/Colorado_River_Numic_language">https://en.wikipedia.org/wiki/Colorado_River_Numic_language</a> and <a href="https://en.wikipedia.org/wiki/Quechan_language">https://en.wikipedia.org/wiki/Quechan_language</a> . | Matthew H. Bilsbarrow (Arizona State Historic Preservation Office) | Steve Armann (U.S. EPA)                            |
| <b>2003 10 01 Re_Review of documents sent on Sept 22 2003.pdf</b>                       | Letter regarding proposed Area of Potential Effects (APE) in NHPA process.  | Matthew Bilsbarrow (AZ SHPO)                                       | Steve Armann (US EPA)                              |
| <b>2003 10 01 Waste Manifests.pdf</b>   | Letter submitting September 2003 manifests.   | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 10 13 EPA Comments on Performance Demo Test Plan and Risk Assessment WP.pdf</b> | Letter requesting extension of time to respond to U.S. EPA comments on revised Performance Demonstration Test Plan and revised Risk Assessment Workplan.  | Douglas Eisner (US Filter)   | Arlene Kabei (U.S. EPA)                            |
| <b>2003 10 14 Letter re 09222003 Meeting with MCPP.pdf</b>                              | Letter re: meeting on 2003 09 22. One of the requests was to provide Mr. Harper and other Tribal members with information on Westates’ air emissions test and risk assessment.  | Steve Armann (U.S. EPA)  | Dave Harper (Mohave Cultural Preservation Program) |
| <b>2003 10 14 Letter re Permit Application Request for Comments.pdf</b>                 | Letter regarding proposed Area of Potential Effects (APE) in NHPA process.  | Monte McCue (Westates)   | Steve Armann (US EPA)                              |
| <b>2003 10 14 Letter re Proposed Meetings and Workshops.pdf</b>                         | Letter re: coordinating with Tribal Council on scheduling 3 meetings and workshops re: Westates’ air emissions test plan and risk assessment workplan.  | Arlene Kabei (U.S. EPA)  | Chairman Daniel Eddy, Jr. (CRIT)                   |
| <b>2003 10 29 Unmanifested Waste Report.pdf</b>   | Letter submitting an unmanifested waste report for the receipt of 1 drum of waste received at the facility on Oct 14,2003.  | Drew Bolyard (US Filter Westates)                                  | Wayne Nastri (U.S. EPA)                            |
| <b>2003 11 01 QAPP.pdf</b>  | Quality Assurance Project Plan prepared by Focus Environmental for Westates Carbon-Arizona, Inc. with attachments. (See 2003 12 03 Submittal of PDT_QAPP, DRAWP_Draft SSM Plan.pdf.)  | Focus Environmental, Inc.  | Westates Carbon-Arizona, Inc.                      |
| <b>2003 11 06 Waste Manifests.pdf</b>   | Letter submitting Oct. 2003 manifests.  | Deborah Foster (US Filter)   | Karen Scheuermann (U.S. EPA)                       |
| <b>2003 11 10 Letter EPA Meeting with Tribal Members.pdf</b>                            | Letter re: upcoming meeting with Dave Harper re: Westates air emissions test plan and risk assessment workplan.   | Arlene Kabei (U.S. EPA)  | Chairman Daniel Eddy, Jr. (CRIT)                   |



|  |  |                                    |   |
|--|--|------------------------------------|---|
| <b>2003 11 18 Documents re Meeting with CRIT Tribal Members.pdf</b>                          | Materials from meeting with CRIT Tribal Members on Nov. 18, 2003. Sign in sheet with addresses was redacted.   |                                    |   |
| <b>2003 11 25 Memorandum with Materials Received from Arizona SHPO.pdf</b>                   | Memo re: NHPA tribal consultation. Includes maps of tribal claims locations within the original APE.   | Karen Scheuermann (U.S. EPA)       | File  |
| <b>2003 11 26 Startup_Shutdown_Malfunction_Plan.pdf</b>                                      | SSMP prepared by Focus Environmental.  | Focus Environmental, Inc.          | Westates Carbon-Arizona, Inc.                             |
| <b>2003 11 XX excerpts PDT QAPP.pdf</b>  | Excerpts of PDT QAPP.  |                                    |   |
| <b>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use.pdf</b> | Map of the Area of Potential Effects (APE) for the NHPA process that the Tribe originally recommended. Attachment to: <i>2003 09 19 Letter - Requesting Comments on Proposed Area of Potential Effect.</i>   |                                    |   |
| <b>2003 12 01 Waste Manifests.pdf</b>  | Letter submitting Nov. 2003 manifests.   | Deborah Foster (US Filter)         | Karen Scheuermann (U.S. EPA)                              |
| <b>2003 12 03 Letter Submittal of PDT Plan QAPP etc.pdf</b>                                  | Letter transmitting both the QAPP and the SSMP. Referenced attachments: <i>2003 11 01 QAPP &amp; 2003 11 26 Startup_Shutdown_Malfunction_Plan.</i>   | James Cudahy (Focus Environmental) | Karen Scheuermann (US EPA)                                |
| <b>2003 12 03 Submittal of PDT_QAPP, DRAWP_Draft SSM Plan.pdf</b>                            | Letter submitting the Performance Demonstration Test plan, Quality Assurance Project Plan, Draft Risk Assessment Workplan and Draft Startup, Shutdown and Malfunction Plan for the Carbon Reactivation Furnace.  | James Cudahy (Focus Environmental) | Karen Scheuermann (US EPA)                                |
| <b>2003 12 05 PDT Plan.pdf</b>   | Response to EPA Comments Document relating to the revised Performance Demonstration Test workplan – trial burn workplan; a redline of the revised trial burn workplan and a redline of the Tables; a redline of Attachment A&B Tables; A clean copy of Revision 1 of the trial burn workplan; revised (clean) tables; and a revised (clean) Attachment A&B Tables. |                                    |   |
| <b>2003 12 05 QAPP.pdf</b>   | Response to EPA Comments on QAPP; Redline version of revised QAPP; Redline version Tables and Figures (QAPP); Clean Copy of Revised QAPP; clean copy of revised QAPP Tables and Figures.   |                                    |   |
| <b>2003 12 05 RTC Working Draft RA WP.pdf</b>  | Response to comments re: working draft risk assessment workplan; working draft risk assessment workplan.   |                                    |   |
| <b>2003 12 05 RTC_Performance_Demo Test Plan.pdf</b>   | Response to comments re: PDT Plan (Trial Burn Workplan) ; Revision 1; Revision 1 Attachment A and B Tables; C James Cudahy (Focus Environmental) to Karen Scheuermann (US EPA) letter; Cover for PDT Plan, QAPP, and Working Draft RA Workplan; Draft SSMP.  |                                    |   |
| <b>2003 12 05 Section sheets.pdf</b>   | Cover sheets meant to segregate the attachments to letter dated 2003 12 03 and 2003 12 05 in <i>2003 12 05 RTC_Performance_Demo Test Plan.</i>   | Westates Carbon – Arizona Inc.     |   |
| <b>2003 12 05 Working_Draft_Risk_Assessment_Workplan.pdf</b>                                 | Response to comments re: working draft risk assessment workplan; redline of May 2003 version of working draft risk assessment workplan; Nov. 2003 version of working draft risk assessment workplan.   |                                    |   |
| <b>2003 12 10 Letter with documents re Requesting Info about California Tribes.pdf</b>       | Letter requesting information about California Tribes for purposes of NHPA tribal consultation, with attachment that includes the original APE configuration.  | Karen Scheuermann (U.S. EPA)       | Rob Wood (California Native American Hertiage Commission) |

|   |  |  |  |
|---|--|--|--|
| <b>2003 12 15 Letter re Proposed EPA Undertaking.pdf</b>                                    | Letter re: contact information for individuals/organizations who may have knowledge of cultural resources in vicinity of Parker, AZ. For NHPA consultation.  | Rob Wood (Native American Heritage Commission) | Karen Scheuermann (U.S. EPA)           |
| <b>2003 12 15 Letter re Public Access - Jeannie Chavez.pdf</b>                              | Letter transmitting materials relating to EPA activities pursuant to NHPA, includes an index of the enclosed documents.  | Karen Scheuermann (US EPA)                     | Jeannie Chavez (Parker Public Library) |
| <b>2003 12 15 Public Access Amelia Flores.pdf</b>   | Letter transmitting materials relating to EPA activities pursuant to NHPA, includes an index of the enclosed documents.  | Karen Scheuermann (US EPA)                     | Amelia Flores (CRIT Library)           |
| <b>2003 12 17 Public Notice Mailing List.pdf</b>  | Redacted for Privacy. Facility mailing list developed by US EPA.   | U.S. EPA                                       | Various                                |
| <b>2003 12 17 Public Notice Propsed Area of Potential Effects.pdf</b>                       | Public Notice seeking comment to US EPA on proposed Area of Potential Effects (APE) under NHPA.  |  |  |
| <b>2003 12 17 Public Notice re Proposed Area under NHPA.pdf</b>                             | Public notice of proposed area of potential effects for US Filter Westates under Section 106 of NHPA and large map.  | U.S. EPA                                       |  |
| <b>2003 12 23 Cover Letter Public Notice_Proposed Area_of Potential Effects.pdf</b>         | Memo transmitting 2003 12 17 public notice re: Proposed Area of Potential Effects for publication in the Parker Pioneer and mailing list. Referenced attachments: <i>2003 12 17 Public Notice Mailing List</i> & <i>2003 12 17 Public Notice Proposed Area of Potential Effects</i> .    | Karen Scheuermann (US EPA)                     | File                                   |
| <b>2003 12 23 Letter re Acitivities Conducted pursuant to NHPA - Various Recipients.pdf</b> | 19 identical letters transmitting notice and invitation to participate in NHPA decision-making process.  | Steve Armann (US EPA)                          | Various                                |
| <b>2003 12 30 Letters to Prospective Consulting Parties - DEddyJr.pdf</b>                   | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)                          | Daniel Eddy (CRIT Tribal Chairman)     |
| <b>2003 12 30 Letters to Prospective Consulting Parties - JGarrison.pdf</b>                 | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)                          | James Garrison (AZ SHPO)               |
| <b>2003 12 30 Letters to Prospective Consulting Parties - LSloan.pdf</b>                    | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)                          | Lanny Sloan (Town of Parker)           |
| <b>2003 12 30 Letters to Prospective Consulting Parties - MMcCue.pdf</b>                    | Letter re: letter sent to parties seeking input on NHPA decision making process with one-page enclosure.   | Steve Armann (US EPA)                          | Monte McCue (Westates)                 |
| <b>2003 12 31 Letter re EPA Plans for a Public Workshop.pdf</b>                             | Letter re: public workshop on upcoming Air Emissions Test.   | Steve Armann (U.S. EPA)                        | Chairman Daniel Eddy, Jr. (CRIT)       |
| <b>2003 12 31 Memo Enclosures to Letters sent on Dec 17 and Dec 23 2003.pdf</b>             | Memo transmitting letters EPA sent to Tribal governments, local governments and federal agencies. Referenced attachments: <i>2003 06 17 EPA Undertaking Under NHPA - Various Recipients</i> & <i>2003 12 01 Map of Proposed Area of Potential Effects Associated with Cultural Use</i> . | Karen Scheuermann (US EPA)                     | File                                   |
| <b>2003 12 31 Waste Manifests.pdf</b>   | Letter submitting Dec. 2003 manifests. Cover letter dated 2004 01 05.  | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)           |
| <b>2004 01 07 Letter Review of 12172003.pdf</b>   | Letter indicating that Yavapai Tribe will defer to CRIT consultation under NHPA.   | Ernest Jones, Sr. (Yavapai Tribe)              | Steve Armann (US EPA)                  |

|  |   |  |   |
|--|---|--|---|
| <b>2004 01 09 Letter re Public Access - Amelia Flores.pdf</b>  | Letter transmitting (1) PDT Trial Burn Plan; (2) QAPP; (3) Working Draft Risk Assessment Workplan; and (4) SSMP, none of which are in this pdf file. But see 2003 12 03 and 2003 12 05 documents listed above.  | Karen Scheuermann (US EPA)                     | Amelia Flores (Dir. CRIT Library)                                   |
| <b>2004 01 09 Letter re Public Access - Jeannie Chavez.pdf</b>   | Letter transmitting: (1) PDT Trial Burn Plan; (2) QAPP; (3) Working Draft Risk Assessment Workplan; and (4) SSMP, none of which are in this pdf file. But see 2003 12 03 and 2003 12 05 documents listed above. | Karen Scheuermann (US EPA)                     | Jeannie Chavez (Parker Public Library)                              |
| <b>2004 01 21 Memo Public Notice Air Emissions and RA Public Workshop w_o mailing list.pdf</b>         | Memo transmitting Public Notice seeking public comment on Air Emissions Test Plan (Trial Burn Workplan) and Working Draft Risk Assessment Workplan.   | Karen Scheuermann (US EPA)                     | File  |
| <b>2004 01 23 Letter re Activities Conducted pursuant to NHPA_Deanna Miller.pdf</b>                    | Letter transmitting notice and invitation to participate in NHPA decision-making process.   | Steve Armann (US EPA)                          | Deanna Miller (Bureau of Rec)                                       |
| <b>2004 01 26 Letter re Recycling_Exemption_Spent_Carbon.pdf</b>                                       | Letter re: recycling exemption for spent carbon when reclaimed under Federal and State law. Indicates still a hazardous waste if reclaimed from listed waste or if exhibits characteristics under CA law.       | Douglas Corcoran (CA DTSC)                     | Douglas Eisner (US Filter Corporation Westates Carbon, Oakland, CA) |
| <b>2004 01 28 Letter re Activities Conducted Pursuant to NHPA for Permit Decision_Steve Armann.pdf</b> | Letter conveying that CA SHPO has no objection to AZ SHPO being lead on NHPA Consultation.  | Dr. Know Mellon (CA SHPO)                      | Steve Armann (US EPA)   |
| <b>2004 01 31 Waste Manifests.pdf</b>  | Letter submitting Jan. 2004 manifests, cover letter dated 2004 02 02.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2004 02 01 Westates Photographs</b>   | Document library containing photos of Westates from February 2004.  |  |   |
| <b>2004 02 04 Letter Dec 2003 PDT Plan Electronic format and hard copies.pdf</b>                       | Letter transmitting tables and figures from Performance Demonstration Test Plan (Trial Burn Workplan).  | James Cudahy (Focus Environmental)             | Karen Scheuermann (US EPA)  |
| <b>2004 02 09 Email_NHPA Response Consultation.pdf</b>   | Email re: consultation under NHPA. Seeks contact only if human remains discovered during activities.  | Britt Wilson (Morongo Band of Mission Indians) | Steve Armann (US EPA)   |
| <b>2004 02 11 Public Workshop Hearing.pdf</b>  | Materials from Public Meeting/Workshop on Air Emissions Test Plan (Trial Burn Workplan) and Risk Assessment Workplan with list of materials. Information has been redacted for privacy.                         |  |   |
| <b>2004 02 11 Public_Workshop_Public_Hearing w o sign in sheets &amp; incomplete pp.pdf</b>            | Materials from Public Meeting/Workshop. For complete version Supp AR - <i>2004 02 11 Public Workshop Hearing.pdf</i>  |  |   |
| <b>2004 02 18 News Article EPA Fields Questions About Westates.pdf</b>                                 | Article from the Parker Pioneer dated 2004 02 18, entitled "EPA fields questions about Westates."   | John Gutekunst (Parker Pioneer)                |   |
| <b>2004 02 28 Waste Manifests.pdf</b>  | Letter submitting Feb. 2004 manifests, cover letter dated 2004 03 01.   | Deborah Foster (US Filter)                     | Karen Scheuermann (U.S. EPA)  |
| <b>2004 02 Investigation Photos.pdf</b>  | Photos from 2004 02 12 Inspection Report. Redacted enforcement confidential notes which are not being relied upon.  |  |   |
| <b>2004 03 19 EPA Response to Aug 2003 Letters.pdf</b>   | Letter with response to concerns about tribal consultation with CRIT and CERCLA off-site rule.  | Harrison Karr (U.S. EPA)                       | Stephen Richmond (Bowditch & Dewey)                                 |

|  |  |                                   |                                      |
|--|--|-----------------------------------|--------------------------------------|
| <b>2004 03 24 Fact Sheet Received with corresponding documents.pdf</b>         | Memo with Westates' fact sheet attached.   | Karen Scheuermann (U.S. EPA)      | File                                 |
| <b>2004 03 31 Draft Minutes Call re SRE for Spike Plan.pdf</b>                 | Draft meeting minutes for call between EPA – Mary Blevins & Stacy Braye and US Filter/Westates – Monte McCue & Doug Eisner and Focus Environmental – Tony Eicher.  |                                   |                                      |
| <b>2004 03 31 Waste Manifests.pdf</b>  | Letter submitting Mar. 2004 manifests, cover letter dated 2004 04 04.  | Deborah Foster (US Filter)        | Karen Scheuermann (U.S. EPA)         |
| <b>2004 04 01 Email-Some Comments on Call Log.pdf</b>                          | Email transmitting notes from a conference call with Monte McCue, Doug Eisner (US Filter Westates) and Tony Eicher (Focus Environmental) regarding development of system removal efficiency extrapolation factors for spike plan for performance demonstration test. | Stacy Braye (U.S. EPA)            | Mary Blevins (U.S. EPA)              |
| <b>2004 04 22 Email Comment on Draft Minutes for 033104.pdf</b>                | Email string regarding transmittal of draft minutes and clarification regarding extrapolation of the risk assessment metals.   | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)                |
| <b>2004 04 30 Email Question on Metals Classification.pdf</b>                  | Email regarding transmittal of text file with metal volatility table filled in.  | Stacy Braye (US EPA)              | Mary Blevins (US EPA)                |
| <b>2004 04 30 Waste Manifests.pdf</b>  | Letter submitting Apr. 2004 manifests, cover letter dated 2004 05 03.  | Deborah Foster (US Filter)        | Karen Scheuermann (U.S. EPA)         |
| <b>2004 05 04 Methodology for Calculating SRE and Unspiked Metals.pdf</b>      | Email transmitting revised methodology for calculating SRE and unspiked metals. Redact information that is not being relied upon.  | Stacy Braye (U.S. EPA)            | Mary Blevins (U.S. EPA)              |
| <b>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time.pdf</b>      | Duplicate of <i>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time</i> .   | Tony Eicher (Focus Environmental) |                                      |
| <b>2004 05 07 Calc of Combustion Gas Flow Rate and Residence Time.pdf</b>      | Paper presenting a technique to calculate the combustion gas flow rate and the residence time of a combustion chamber when only stack gas data and the combustion chamber temperature are available.   | Tony Eicher (Focus Environmental) |                                      |
| <b>2004 05 07 EM EPA Revisions to Table 7_1 in Air Emissions Test Plan.pdf</b> | Email transmitting attachment: Revised Table 7.1 – Monitoring Parameters for Air Emissions Test (Test Burn). Table contains column with Operating Conditions and whether AWFCO is applied, and the 40 CFR Part 63 compliance sections.                               | Mary Blevins (US EPA)             | Doug Eisner, Monte McCue (US Filter) |
| <b>2004 05 18 Fax Transmittal of USDI BIA Western Region Office Maps.pdf</b>   | Fax transmitting system map for CRIT Reservation. Referenced document Supp AR - <i>2004 05 18 Fax Transmittal of USDI BIA Western Region Office w Maps.pdf</i>   | Pierre Cantou (US BIA)            | Jim Howard (U.S. EPA)                |
| <b>2004 05 18 Fax Transmittal of USDI BIA Western Region Office w Maps.pdf</b> | Duplicate of Part A - <i>2004 05 18 Fax Transmittal of USDI BIA Western Region Office Maps.pdf</i> with the inclusion of maps.   | Pierre Cantou (US BIA)            | Jim Howard (U.S. EPA)                |
| <b>2004 05 20 Containment Area Plan Map.jpg</b>                                | Draft diagram of containment area plan map.  |                                   |                                      |
| <b>2004 05 20 Sheet showing different slaps.jpg</b>                            | Draft diagram of various slabs.  |                                   |                                      |
| <b>2004 05 31 Waste Manifests.pdf</b>  | Letter submitting May 2004 manifests, cover letter dated 2004 06 01.   | Deborah Foster (US Filter)        | Karen Scheuermann (U.S. EPA)         |
| <b>2004 06 09 Consultation regarding Air Emissions Test.pdf</b>                | Letter seeking input on public comments that suggested that conducting a trial burn would constitute a desecration of the "cultural, traditional, and spiritual pathways of the Mohave people."  | Jeff Scott (U.S. EPA)             | Chairman Daniel Eddy, Jr. (CRIT)     |

|  |   |                                     |   |
|--|---|-------------------------------------|---|
| <b>2004 06 09 Letter re Consultation regarding Air Emissions.pdf</b>                                     | Duplicate of <i>2004 06 09 Consultation regarding Air Emissions Test.</i>   | Jeff Scott (US EPA)                 | Chairman Daniel Eddy, Jr. (CRIT)        |
| <b>2004 06 28 Westates and USFRS Answers.pdf</b>   | Letter discussing stock in Westates and potential transferring of stocks.   | Stephen Richmond (Bowditch & Dewey) | Mimi Newton (U.S. EPA)                  |
| <b>2004 06 30 Waste Manifests.pdf</b>  | Letter submitting June 2004 manifests, cover letter dated 2004 07 06.   | Deborah Foster (US Filter)          | Karen Scheuermann (U.S. EPA)            |
| <b>2004 07 15 County Species Lists.pdf</b>   | Website of County species list for Mohave County.   |                                     |   |
| <b>2004 07 31 Waste Manifests.pdf</b>  | Letter submitting July 2004 manifests, cover letter dated 2004 08 02.   | Deborah Foster (US Filter)          | Karen Scheuermann (U.S. EPA)            |
| <b>2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process.pdf</b>              | Email re: transmittal of hard copy of working draft of programmatic agreement for NHPA process via mail. 2004 08 13 Karen Scheuermann (U.S. EPA) memo to George Ray (CRIT Museum) transmitting working draft of programmatic agreement for NHPA process as requested by Betty Cornelius. Referenced document: Part A <i>2005 02 09 Draft Programmatic Agreement for NHPA Review.pdf</i> | Karen Scheuermann (U.S. EPA)        | Eric Shepard (CRIT AG)                  |
| <b>2004 08 13 Memo_Working Draft_Programmatic Agreement.pdf</b>  | Duplicate of 2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process without attachments.  | Karen Scheuermann (US EPA)          | George Ray (CRIT)                       |
| <b>2004 08 16 NOV and Feb 12 Inspection Report.pdf</b>   | Letter requesting response to inspection report.  | Loren Henning (U.S. EPA)            | Monte McCue (US Filter/Westates Carbon) |
| <b>2004 08 16 NOV and Inspection Report Feb 2004 with photos.pdf</b>                                     | Duplicate of <i>2004 08 16 NOV and Feb 12 Inspection Report</i> including routing copy of 2004 08 16 Henning to McCue letter with CCs and BCCs listed.  | Loren Henning (U.S. EPA)            | Monte McCue (US Filter/Westates Carbon) |
| <b>2004 08 31 Waste Manifests.pdf</b>  | Letter submitting Aug. 2004 manifests, cover letter dated 2004 09 01.   | Deborah Foster (US Filter)          | Karen Scheuermann (U.S. EPA)            |
| <b>2004 09 03 Email - EPA Response to Westate Question on Assessment of Surface Water in HHERA.pdf</b>   | Email denying request not to collect/use surface water samples and/or assess surface water samples in Human Health Risk Assessment.   | Mary Blevins (U.S. EPA)             | Monte McCue (US Filter)                 |
| <b>2004 09 08 Letter re Minimum Bottom Hearth Furnace Temperature.pdf</b>                                | Letter methodology that may be employed to demonstrate the minimum operating temperature of the furnace.  | Monte McCue (US Filter)             | Steve Armann (US EPA)                   |
| <b>2004 09 08 Minimum Bottom Hearth Furnace Temp w Attachment.pdf</b>                                    | Letter regarding the Minimum Bottom Hearth Furnace Temperature.   | Monte McCue (US Filter)             | Steve Armann (US EPA)                   |
| <b>2004 09 28 Memorandum_Maps Received from BLM and BIA w Maps.pdf</b>                                   | Duplicate of Part A - <i>2004 09 28 Memorandum_Maps Received from BLM and BIA.pdf</i> with inclusion of maps.   | Karen Scheuermann (U.S. EPA)        | File                                    |
| <b>2004 09 28 Memorandum_Maps Received from BLM and BIA.pdf</b>  | Memo submitting BLM and BIA maps. Referenced documents: Part A <i>1994 01 01 BIA and BLM maps - Cover.</i>  | Karen Scheuermann (U.S. EPA)        | File                                    |
| <b>2004 09 30 Waste Manifests.pdf</b>  | Letter submitting Sept. 2004 manifests, cover letter dated 2004 10 01.  | Deborah Foster (US Filter)          | Karen Scheuermann (U.S. EPA)            |
| <b>2004 10 22 Memorandum re Westates Web Page and Attached Documents April 2004 through Oct 2004.pdf</b> | Memo transmitting NHPA Public Notice dated Dec. 17, 2003 with incorrect reservation boundary.   | Karen Scheuermann (U.S. EPA)        | File                                    |

|  |   |   |   |
|--|---|---|---|
| <b>2004 12 08 Letter re Consultation Regarding Air Emissions Test.pdf</b>                          | Letter re CRIT believes that the best way to insure the health and safety of its membership and environment is to allow the test to be conducted.   | Chairman Daniel Eddy, Jr. (CRIT)          | Jeff Scott (US EPA)                                 |
| <b>2004 12 08 Response to 06092004 Letter re Air Emissions Test.pdf</b>                            | Letter indicating that CRIT does not object to Air Emissions Test.  | Chairman Daniel Eddy, Jr. (CRIT)          | Jeff Scott (U.S. EPA)                               |
| <b>2005 TSD Vol3 – MACT Standards.pdf</b>  | Technical Support Document for Hazardous Waste Combustors (HWC) Maximum Achievable Control Technology (MACT) Standards, Volume III: Selection of MACT Standards.  | US EPA                                    |   |
| <b>2005 01 01 Carbon Reactivation Diagram.pdf</b>  | Handwritten notes by EPA personnel on facility diagram indicating application of various requirements to various pieces of equipment.   |   |   |
| <b>2005 01 11 Email re Action Items for 2004 12 17 Meeting.pdf</b>                                 | Email re action items from the 2004 12 17 meeting.  | Steve Armann (U.S. EPA)                   | Bradley Angel (Greenaction) Lori Thomas-Luna (GRIC) |
| <b>2005 02 09 Draft Programmatic Agreement for NHPA Review.pdf</b>                                 | Letter transmitting draft Programmatic Agreement. Referenced documents: <i>2004 08 13 Email Working Draft of Programmatic Agreement - Westates NHPA Process.</i>  | Steve Armann (U.S. EPA)                   | George Ray (CRIT Museum)                            |
| <b>2005 02 24 2002 Total Annual Benzene Report.pdf</b>   | Letter transmitting 2004 Benzene NESHAP Report.   | Willard Bolyard, Jr. (US Filter Westates) | John Kim (US EPA)                                   |
| <b>2005 03 16 PDT Plan Rev1 USEPA R9 Approval.pdf</b>  | Letter transmitting approval and conditions of approval of Performance Demonstration Test Plan Revision 1 (Trial Burn Workplan) and Working Draft Risk Assessment Workplan.   | Steve Armann (US EPA)                     | Monte McCue (US Filter Westates)                    |
| <b>2005 03 16 Status of Carbon Regeneration Units Under RCRA and Subpart X Prmt Conditions.pdf</b> | Memo re: status of carbon regeneration units under RCRA and Proposed Subpart X Permit Conditions for Envirorotrol. This document is RCRA Online 14242.  | Elizabeth Cotsworth (US EPA)              | Paul Gotthold (US EPA)                              |
| <b>2005 03 16 Transmittal and Conditional Approval for CRFPD Test Plan.pdf</b>                     | Duplicate of <i>2005 03 16 PDT Plan Rev1 USEPA R9 Approval.</i>   | Steve Armann (US EPA)                     | Monte McCue (US Filter Westates)                    |
| <b>2005 03 20 Email-Maximum Temperature Issues.pdf</b>   | Email re: attached document from Vol 4 of the HWC MACT Background documentation.  | Tony Eicher (Focus Environmental)         | Various   |
| <b>2005 03 21 EPA Approval of Air Emissions Test Plan.pdf</b>                                      | Letter notifying of approval of Air Emissions Test Plan and Risk Assessment Workplan, providing information about next steps in the process and providing additional information about Westates, including stack emissions and compliance status. | Jeff Scott (U.S. EPA)                     | Chairman Daniel Eddy, Jr. (CRIT)                    |
| <b>2005 03 22Email_Westates Permit - Closure Plan and FR Issue-2.pdf</b>                           | Document was inadvertently included in Draft Permit AR and has been removed. It is a privileged attorney-client communication and is not being relied upon for this final permit decision.  | —   | —   |
| <b>2005 03 24 Ltr to David Harper re Feb 2004 Public Meeting.pdf</b>                               | Letter seeking response about EPA holding a small group workshop on the topic of dioxin.  | Steve Armann (U.S. EPA)                   | Dave Harper (Mohave Cultural Preservation Program)  |
| <b>2005 03 29 Email_Permit Applciation Certification Language.pdf</b>                              | Email message re: draft certification language for tribal signature on permit application.  | Mimi Newton (US EPA)                      | Eric Shepard (CRIT)                                 |
| <b>2005 03 30 Information on Concerns of Westates Facility on CRIT</b>                             | Email forwarding information on concerns relating to the process of the hazardous waste permit application.   | Steve Armann (U.S. EPA)                   | Bradley Angel (Greenaction)                         |

|   |  |  |   |
|---|--|--|---|
| <b>2005 04 12 Email - Westates Preliminary Emissions Test.pdf</b>   | Email re: date of preliminary emissions test and emissions test.   | Steve Armann (U.S. EPA)                                  | Eric Shepard (CRIT AG)                                |
| <b>2005 04 12 Letter re Draft Programmatic Agreement.pdf</b>  | Letter transmitting draft programmatic agreement and correspondence.   | Steve Armann (U.S. EPA)                                  | Don Klima (Advisory Council on Historic Preservation) |
| <b>2005 04 14 Fax_Memo of Record re test plan.pdf</b>   | Fax requesting to change cocktail compound in Section 4.4.4. of the Performance Demonstration Test Plan from 1, 1, 1-Trichloroethane to Methylene Chloride.                    | Drew Bolyard (US Filter)                                 | Mary Blevins (U.S. EPA)                               |
| <b>2005 04 14 Memo of Record Notif_Change Cocktail Organic Compound in Performance Demo Test Plan.pdf</b> | Memo approving change of one of the cocktail organic compounds in Section 4.4.4. of the PDT Plan (Trial Burn Workplan) with attached Nov. 2003 PDT Plan (Trial Burn Workplan). | Drew Bolyard (US Filter Westates)                        | US EPA  |
| <b>2005 04 14 Memo of Record Trial Burn.pdf</b>   | Mary Blevins (U.S. EPA) approval of request to change cocktail as per 2005 04 14 fax.  | Drew Bolyard's (US Filter)                               |   |
| <b>2005 04 14 Memo of Record.pdf</b>  | Duplicate of cover page in <i>2005 04 14 Memo of Record Notif_Change Cocktail Organic Compound in Performance Demo Test Plan</i> .   | Drew Bolyard (US Filter Westates)                        | US EPA  |
| <b>2005 04 29 Comments on the draft Programmatic Agreement.pdf</b>  | Letter transmitting comments on the draft Programmatic Agreement for the NHPA process.   | Matthew Bilsbarrow (AZ SHPO)                             | Steve Armann (U.S. EPA)                               |
| <b>2005 04 29 Letter re Date set for EPA Workshop re Dioxin.pdf</b>                                       | Letter inviting Tribal Council or staff to dioxin workshop scheduled for May 26, 2005.   | Steve Armann (U.S. EPA)                                  | Chairman Daniel Eddy, Jr. (CRIT)                      |
| <b>2005 05 18 Email_New Director at CRIT Env Protection Office.pdf</b>                                    | Email advising of new director at CRIT EPO, Diana De Leon.   | Karen Scheuermann (US EPA)                               | Various   |
| <b>2005 05 19 Email_Rescheduling the dioxin workshop.pdf</b>  | Email regarding notifying tribe and CRIT EPO about new date for dioxin workshop.   | Wenona Wilson (US EPA)                                   | Various   |
| <b>2005 05 27 Emails_WP File With Comments on RF1 Closure.pdf</b>   | Email messages regarding EPA's review of RF-1 Closure Plan. Referenced document: <i>2005 05 27 EPA Comments on Closure Plan</i> .  | Monte McCue (US EPA)                                     | Mary Blevins (US EPA)                                 |
| <b>2005 05 27 EPA Comments on Closure Plan.pdf</b>  | Letter transmitting comments on closure plan for RF-1.   | Steve Armann (US EPA)                                    | Monte McCue (US Filter Westates)                      |
| <b>2005 05 27 Letter re Comments On Closure Plan for CRF Unit RF-1 06142002 .pdf</b>                      | Duplicate of <i>2005 05 27 EPA Comments on Closure Plan</i> with signature.  | Steve Armann (US EPA)                                    | Monte McCue (US Filter Westates)                      |
| <b>2005 05 31 Letter Receipt of Notification supporting Programmatic Agreement.pdf</b>                    | Letter re: development of programmatic agreement for NHPA process and requirement to file agreement with ACHP once final.  | Nancy Kochan (Advisory Council on Historic Preservation) | Karen Scheuermann (U.S. EPA)                          |
| <b>2005 06 01 US Filter Certification of Ind User Rpts by M McCue.pdf</b>                                 | Letter identifying Monte McCue and Willard Bolyard, Jr. to certify industrial user reports under the Clean Water Act.  | Check Gordon (Westates Carbon)                           | Keith Silva (US EPA)                                  |
| <b>2005 06 03 Email_Re_WP File With Comments on RF1 Closure.pdf</b>                                       | Email messages regarding EPA's review of RF-1 Closure Plan.  | Monte McCue (US Filter Westates)                         | Mary Blevins (US EPA)                                 |
| <b>2005 06 08 Email_Re FYI Westates Part A 1996 signed by Tribe.pdf</b>                                   | Document was inadvertently included in Draft Permit AR and has been removed and is not being relied upon for this final permit decision.                                       | —  | —   |

|   |   |   |                                   |
|---|---|---|-----------------------------------|
| <b>2005 06 08 Emails_RF1 Closure Date.pdf</b>                                       | String of email messages including EPA response to previous message and including an email to the file re: RF-1 Closure date was 1996 06 09 per Monte McCue on 2005 06 07.  | Mary Blevins (US EPA)                                     | Monte McCue (US Filter Westates)  |
| <b>2005 06 22 email re 07 18 Site Visit.pdf</b>                                     | Email transmitting draft permittable units table and draft agenda for July 18-20 site visit. Referenced documents: <i>2005 09 30 Specific Information on SWMUs at Westates Carbon.</i>                                      | Mary Blevins (U.S. EPA)                                   | Monte McCue (Westates Carbon)     |
| <b>2005 06 22 Programmatic Agreement for the NHPA Review.pdf</b>                    | Letter transmitting final programmatic agreement for the NHPA process for signature.  | Jeff Scott (U.S. EPA)                                     | Chairman Daniel Eddy, Jr. (CRIT)  |
| <b>2005 06 28 Email_Dave Harper Monthly Packets.pdf</b>                             | Email regarding monthly document production provided to Dave Harper and whether Bradley could also receive these documents.   | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 06 Email_Re Westates FOIA.pdf</b>  | Email regarding preliminary emissions test (trial burn) in April 2005, response to FOIA request from Bradley Angel, and EPA review of results of preliminary test prior to official test (trial burn).                      | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 06 Email_Re Westates test burns 07062005_121PM.pdf</b>                   | Email chain regarding the Evoqua/ Westates test burns 07062005_121PM.   | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 06 Email_Re Westates test burns_07062005_1155AM.pdf</b>                  | Email chain regarding the Evoqua/ Westates test burns _07062005_1155AM.   | Steve Armann (US EPA)                                     | Bradley Angel (Green Action)      |
| <b>2005 07 18 - 20 Photo Log.pdf</b>  | Description of each photo in <i>2005 July photos</i> from site visit.   |   |                                   |
| <b>2005 08 04 Carbon Reactivation Diagram.pdf</b>                                   | 2 color diagrams of carbon reactivation diagrams.   |   |                                   |
| <b>2005 08 15 Email_Re RF-1 Closure Plan Meeting.pdf</b>                            | Email confirming a call to discuss the RF-1 Closure Plan.   | Monte McCue (US Filter Westates)                          | Various                           |
| <b>2005 09 07 Revised Closure Plan for CRU RF-1.pdf</b>                             | Letter with the attached revised Closure Plan for CRU RF-1.   | Drew Bolyard (US Filter Westates)                         | Mary Blevins (US EPA)             |
| <b>2005 09 07 Revised_RF-1_Closure Plan.pdf</b>                                     | Letter transmitting revised RF-1 Closure Plan.  | Drew Bolyard (US Filter)                                  | Mary Blevins (U.S. EPA)           |
| <b>2005 09 14 Letter re Merger of Westates into US Filter_Sept2005.pdf</b>          | Letter regarding the Merger of Westates Carbon into US Filter.  | Brent Hillier (US Filter)                                 | Mimi Newton (US EPA)              |
| <b>2005 09 16 Email_Action Needed Question on air emissions Test.pdf</b>            | Email chain concerning the PDT emission test.   | Tony Eicher (Focus Environmental)                         | Mary Blevins (US EPA)             |
| <b>2005 10 03 Preliminary Comments dated Nov2005 through Aug 2002 Revisions.pdf</b> | Letter with attachment titled Preliminary Comments on the RCRA Part A and Part B application from Nov 1995 through Aug 2002 Revisions.  | Steve Armann (US EPA)                                     | Monte McCue (US Filter)           |
| <b>2005 10 07 Letter re Merger of Westates into US Filter_Oct2005.pdf</b>           | Letter regarding the Merger of Westates Carbon into US Filter.  | Brent Hillier (US Filter Westates)                        | Mimi Newton (US EPA)              |
| <b>2005 10 12 Federal Register Rules and Regs Vol 70_No 196.pdf</b>                 | Federal Register Rules and Regulations Vol 70_No 196 page 59410 through 59411 and 59420. Also, it contains Part 63.1200 (Subpart EEE). Also, contains Appendix C that is titled "Supporting Data for Stack Emission Rates". |   |                                   |
| <b>2005 10 25 Letter re Tank T-6 Assessment.pdf</b>                                 | Letter regarding the Tank T-6 Assessment.   | Alexander Zuran III (Phoenix National Laboratories, Inc.) | Drew Bolyard (US Filter Westates) |



|  |   |                                   |   |
|--|---|-----------------------------------|---|
| <b>2005 12 06 Letter re Westates Carbon Tank Repair Certification.pdf</b>  | Letter regarding the Carbon Tank Repair Certification.  | Drew Bolyard (US Filter Westates) | Wayne Nastri (US EPA)                             |
| <b>2005 12 06 Repair to Tank Cone Certification.pdf</b>                    | Letter transmitting independent professional engineer's certification of completion of T-6 repairs consistent with 40 CFR 265.196(f), with certification attached.  | Drew Bolyard (US Filter)          | Wayne Nastri (U.S. EPA)                           |
| <b>2005 12 30 Re_Request for Return of Surety Bond 21-79-95.pdf</b>        | Letter re: EPA's reluctance to return Surety Bond due to outstanding concerns with new Letter of Credit.  | Steve Armann (U.S. EPA)           | Monte McCue and Drew Bolyard (US Filter Westates) |
| <b>2005 July photos</b>  | Library of photos from Westates Carbon site visit on July 18 - 20th 2005. Photolog.wpd is duplicate of <i>2005 07 Photo Log.pdf</i>   |                                   |   |
| <b>2006 01 01 Air Emissions.pdf</b>  | Instrumentation calibration, inspection forms, Summary of Target Volatile Organic Analytes, Summary of Target Semivolatile Organic Analytes, Summary of Target PAH Analytes, Summary of Target PCB Analytes, Summary of Target OCP Analytes, Summary of Target Dioxin/Furan Analytes, and Summary of Target Metal Analytes. |                                   |   |
| <b>2006 01 01 Annual Benzene Report.pdf</b>                                | 2007 Annual Benzene Report and 2006 Annual Benzene Report with a letter dated 2007 03 13.   |                                   |   |
| <b>2006 01 01 TRI.pdf</b>  | Toxic Release Inventory (TRI) report for the years 2006 and 2007.   |                                   |   |
| <b>2006 01 03 Re_Review of Financial Assurance Mechanisms.pdf</b>          | Letter re: EPA's review of Surety Bond and Letter of Credit and request for submittal of revised financial assurance documents.   | Steve Armann (U.S. EPA)           | Monte McCue and Drew Bolyard (US Filter Westates) |
| <b>2006 01 05 EVASE and diagram.pdf</b>                                    | Fax transmitting diagram depicting "Stack," "EVASE," and "ID Fan."  | Drew Bolyard (US Filter)          | Mary Blevins (U.S. EPA)                           |
| <b>2006 01 05 Fax Transmittal Evase.pdf</b>                                | 2006 01 05 Fax Transmittal Evase and Stack Diagram.   | Drew Bolyard (US Filter Westates) | Mary Blevins (US EPA)                             |
| <b>2006 01 10 Availability of PPB Hazardous Organic Cylinder Gases.pdf</b> | Fax re: availability of cylinder gases of hazardous organics at the ppb level that may be used to audit the performance of ambient air and stationary source measurement systems during RCRA hazardous waste trial burns for POHC with marginalia.  | Beth Antley (U.S. EPA R4)         | Stacy Braye (U.S. EPA R9)                         |
| <b>2006 01 12 Email - PDT.pdf</b>  | Email with attached string of messages going back to 2006 01 10 re: timing of Performance Demonstration Test and need to provide notice to the public.  | Mary Blevins (U.S. EPA)           | Monte McCue (US Filter)                           |
| <b>2006 01 20 Email Fw Method 1 for Westates.pdf</b>                       | Email regarding the use of EPA Method 1 for Evoqua PDT for the determination of the traverse point locations for the testing to be done on RF-2 stack.  | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)                             |
| <b>2006 01 30 metal volatility temp email.pdf</b>                          | Email with attached email from Tony Eicher (Focus Environmental) transmitting Table VI Relative Volatility of Hazardous Metals  | Monte McCue (US Filter)           | Mary Blevins (U.S. EPA)                           |
| <b>2006 02 03 Response to Question on Westates Hearth Efficiency.pdf</b>   | Email identifying how Westates know their hearth is efficient.  | Mary Blevins (U.S. EPA)           | Steve Armann (U.S. EPA)                           |
| <b>2006 02 07 Fax Follow Up re NHPA EPA R9 to CRIT Museum Director.pdf</b> | Fax re NHPA Process for Westates including proposed APE outline and request for input.  | Karen Scheuermann (U.S. EPA)      | Dr. Michael Tsosie (CRIT Museum)                  |

|  |  |                                  |  |
|--|--|----------------------------------|--|
| <b>2006 02 08 Email - Draft Minutes from 02062006.pdf</b>  | Email transmitting draft minutes from call on 2006 02 06 re: Hearth 5 temperature, Minimum Hearth Temperature, Afterburner Temperature and Venturi Scrubber. | Mary Blevins (U.S. EPA)          | Monte McCue and Drew Bolyard (US Filter)                   |
| <b>2006 02 09 Closure Plan for Carbon Reactivation Unit RF-1.pdf</b>                                   | Closure Plan for Carbon Reactivation Unit RF-1. This also contains the QAPP. It contains the Closure Plan Discussion (Section H) dated 2002 08 19.           | Focus Environmental, Inc.        | Westates Carbon-Arizona, Inc.                              |
| <b>2006 02 09 Email - Summary of 01202006 Call btw EPA and Westates.pdf</b>                            | Email summary of Jan. 20, 2006 conference call re: Furnace Temperatures, Location of Thermocouple placement in afterburner, and organic loading.             | Mary Blevins (U.S. EPA)          | Monte McCue, Drew Bolyard, and Doug Eisner (US Filter)     |
| <b>2006 02 14 Email - Schedule for Air Emissions Test.pdf</b>  | Email re: air emissions test to be conducted week of March 26.   | Mary Blevins (U.S. EPA)          | Diana de Leon and Mona Duran (CRIT)                        |
| <b>2006 02 15 Email_ Westates Emissions Test.pdf</b>   | Email chain regarding the Evoqua/ Westates PDT test burn and the preliminary test conducted by Evoqua prior to the PDT test.                                 | Steve Armann (US EPA)            | Bradley Angel (Green Action)                               |
| <b>2006 02 16 Email re Response to EPA Comments for 2003 QAPP.pdf</b>                                  | Email regarding the Response to EPA Comments for 2003 QAPP.  | Monte McCue (Westates)           | Mary Blevins (US EPA)                                      |
| <b>2006 02 16 email string re min temp test EPA _ US FILTER WESTATES.pdf</b>                           | Email string regarding the minimum hearth temperature test detection limits.   | Drew Bolyard (Westates)          | Various  |
| <b>2006 02 16 min temp test.pdf</b>  | Email re: 1 mg/kg detection limit for each organic contained in waste codes received to date, which will be tested.  | Monte McCue (US Filter Westates) | Mary Blevins (U.S. EPA)                                    |
| <b>2006 02 22 Email - Action Needed - HAZWOPR Certifications PPE for Air Emissions Test.pdf</b>        | Email to U.S. EPA air emissions test observers re: preparation and training requirements to be an observer.  | Mary Blevins (U.S. EPA)          | Steve Armann, Stacy Braye, and Michael Svizzero (U.S. EPA) |
| <b>2006 02 24 Email - Reply to question re Minimum Furnace Temperature Test - Detection Limits.pdf</b> | Email message about detection limit and minimum temperature calculations and requesting "range of % saturation in the spent carbon prior to treatment.       | Mary Blevins (U.S. EPA)          | Monte McCue's (US Filter Westates)                         |
| <b>2006 02 27 Letter Concerning NHPA process.pdf</b>   | Letter concerning NHPA process.  | Mike Tsosie (CRIT THPO)          | Karen Scheuermann (US EPA)                                 |
| <b>2006 02 27 Letter re Concerning APE.pdf</b>   | Letter concerning the APE.   | Mike Tsosie (CRIT THPO)          | Karen Scheuermann (US EPA)                                 |
| <b>2006 02 27 Letter Review of Mohave Program Letter of 2002.pdf</b>                                   | Letter concerning Review of Mohave Program Letter of 2002.   | Mike Tsosie (CRIT THPO)          | Karen Scheuermann (US EPA)                                 |
| <b>2006 02 28 Letter Concerning Public Access Amelia Flores.pdf</b>                                    | Letter concerning Public Access to the Administrative Record documents at the CRIT Library.  | Karen Scheuermann (US EPA)       | Amelia Flores (CRIT Library)                               |
| <b>2006 02 28 Letter Concerning Public Access JSmith.pdf</b>   | Letter concerning Public Access to the Administrative Record documents at the Parker Public Library.   | Karen Scheuermann (US EPA)       | Jeannie Smith (Parker Public Library)                      |
| <b>2006 03 01 Memorandum Split Sampling Plan.pdf</b>   | Memorandum regarding the Split Sampling Plan during the PDT.   | Katherine Baylor (US EPA)        | Eugenia McNaughton (US EPA)                                |
| <b>2006 03 01 Memorandum Westates Web Page Launch.pdf</b>  | Memo regarding Evoqua/Westates web page on the EPA website in March 1, 2006.   | Karen Scheuermann (US EPA)       | File   |

|  |   |                                   |                              |
|--|---|-----------------------------------|------------------------------|
| <b>2006 03 01 Public Notice to Air Emissions Test w mailing list.pdf</b>       | Memo regarding Evoqua/Westates Public Notice announcing the Air Emissions Test without the mailing list.  | Karen Scheuermann (US EPA)        | File                         |
| <b>2006 03 06 Memo QAPP for Closure of CRU RF-1 - Mar 2006.pdf</b>             | Memo from regarding the review of the QAPP for the PDT.   | David Taylor (US EPA)             | Mary Blevins (US EPA)        |
| <b>2006 03 06 QAPP Comments.pdf</b>  | 2006 03 06 Responses to QAPP comments.  |                                   |                              |
| <b>2006 03 07 Email_Comments on 2003 QAPP.pdf</b>                              | Email regarding the review of the Evoqua 2003 QAPP. Referenced document: <i>2006 03 06 QAPP Comments</i> .  | David Taylor (US EPA)             | Mary Blevins (US EPA)        |
| <b>2006 03 16 2005 Total Annual Benzene Report.pdf</b>                         | Letter concerning the Total Annual Benzene Report. Attachment is part of pdf file.  | Willard Bolyard (US Filter)       | John Kim (US EPA)            |
| <b>2006 03 17 Memo SSP for CRU PDT - Mar172006.pdf</b>                         | Memo regarding the Split Sampling Plan (SSP) for PDT.   | David Taylor (US EPA)             | Mary Blevins (US EPA)        |
| <b>2006 03 20 Email - Request for status on response to EPA comments.pdf</b>   | Email chain regarding the status of the response to EPA's comments.   | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)        |
| <b>2006 03 21 Email - Methanol Preservation.pdf</b>                            | Email chain regarding the use of Methanol for the Preservation of the samples.  | Teri White (Focus Environmental)  | Katherine Baylor (US EPA)    |
| <b>2006 03 21 Memo SSP for CRU PDT - Mar212006.pdf</b>                         | Memo regarding the Split Sampling Plan (SSP) for PDT.   | David Taylor (US EPA)             | Mary Blevins (US EPA)        |
| <b>2006 03 23 Email First response to EPA Comments on QAPP Rev 1.pdf</b>       | Email chain regarding the first response to EPA's Comments on QAPP Rev 1.   | Mary Blevins (US EPA)             | David Taylor (US EPA)        |
| <b>2006 03 23 Email_Pretest Calibrations.pdf</b>                               | Email chain regarding the pretest calibrations.   | Drew Bolyard (Westates)           | Mary Blevins (US EPA)        |
| <b>2006 03 23 Revised SSP.pdf</b>  | 2006 03 23 Revised Split Sampling Plan (SSP).   | Katherine Baylor (US EPA)         |                              |
| <b>2006 03 24 Memo SSP for CRU PDT - Ma242006.pdf</b>                          | Memo from EPA Quality Review regarding the Split Sampling Plan (SSP) for PDT.   | David Taylor (US EPA)             | Mary Blevins (US EPA)        |
| <b>2006 03 26 Letter to thank for public access to documents.pdf</b>           | Letter CRIT Library Director to thank them for public access to documents at the CRIT Library.  | Karen Scheuermann (US EPA)        | Amelia Flores (CRIT Library) |
| <b>2006 03 27 Corrective Action Request.pdf</b>                                | Duplicate of <i>2006 06 30 Carbon Rctvtn Furfance RF-2 Prfrmnc Demo Test_App C Westates CARs</i> .  | Teri White (Focus Environmental)  |                              |
| <b>2006 03 28 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b> | Includes Airtech Environmental Services Inc General Testing Data Sheets, Flow Traverse Data Sheets, Nozzle Calibration Forms, Preliminary Results RUN 1, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist |                                   |                              |
| <b>2006 03 28 Evoqua Stack Test.pdf</b>  | Information and documentation on March 2006 Air Emissions test.   |                                   |                              |
| <b>2006 03 28 Field Report.pdf</b>   | EPA's Field Report for the collecting and analyzing Split Samples of spent carbon, wastewater, scrubber blowdown at the Evoqua facility.  | Katherine Baylor (US EPA)         |                              |

|  |   |   |   |
|--|---|---|---|
| <b>2006 03 29 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b>     | Includes Airtech Environmental Services Inc General Testing Data Sheets, Preliminary Results RUN 2, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist. |   |   |
| <b>2006 03 30 Air Emissions Test EPA Notes.pdf</b>                                 | Handwritten notes on particle size re-test run #3.  | Karen Scheuermann (U.S. EPA)                                  |   |
| <b>2006 03 30 Air Emissions Test Monitoring Data and Sample Checklists.pdf</b>     | Includes Airtech Environmental Services Inc General Testing Data Sheets, Preliminary Results RUN 3, PDT One Minute Average Monitoring Data, PDT One Hour Rolling Average Monitoring Data, Sampling Checklists, and Sample Recovery Checklist. |   |   |
| <b>2006 03 30 Memo - PDT Pictures .pdf</b>   | Memo from Evoqua to EPA and CRIT EPO providing PDT Pictures.  | Drew Bolyard (Westates)                                       | Hector Duran (CRIT EPO) & Mary Blevins (US EPA) |
| <b>2006 03 30 Memo - QAPP for CRU PDT.pdf</b>                                      | Memo re: Conditional approval of QAPP for Performance Demonstration Test with attached comments on facility's consultant's response to EPA comments on QAPP.  | David Taylor (U.S. EPA) through Eugenia McNaughton (U.S. EPA) | Mary Blevins (U.S. EPA)                         |
| <b>2006 03 30 Memo QAPP for the Carbon Reactivation Unit.pdf</b>                   | Duplicate of <i>2006 03 30 Memo - QAPP for CRU PDT</i> .  | David Taylor (US EPA)   | Mary Blevins (US EPA)                           |
| <b>2006 03 Facility Plot Plan Map</b>  | Map of facility plot plan.  |   |   |
| <b>2006 04 21 Analytical Report Westates.pdf</b>                                   | Sample analysis report for Focus/US Filter Westates for Volatile Organics (Analytical Method SW 846 8260B) for Spent Activated Carbon, Scrubber Blowdown, POTW Discharge, Caustic Feed, and Make Up Water.                                    | William Anderson (Severn Trent Laboratories, Inc.)            |   |
| <b>2006 04 21 Fax re Stack test Data.pdf</b>                                       | Fax including sampling checklists from air emissions stack test.  | Hector Duran (CRIT EPO)                                       | Mary Blevins (U.S. EPA)                         |
| <b>2006 04 27 Analytical Testing Results - Proj R06R01.pdf</b>                     | Letter regarding the results of the Analytical Testing.   | Brenda Bettencourt (US EPA)                                   | Katherine Baylor (US EPA)                       |
| <b>2006 05 01 Map Proposed Area of Potential Effects.pdf</b>                       | Map of the proposed Area of Potential Effects (APE).  |   |   |
| <b>2006 05 03 DSW Tests RF-2 at 1350 H5 Full Report.pdf</b>                        | Sample Summary for RF-2 at 1350 at Hearth #5.   |   |   |
| <b>2006 05 15 Memo QAPP for Closure of CRU RF-1.pdf</b>                            | Memo regarding the review of the QAPP for the Closure of RF-1 carbon reactivation unit.   | David Taylor (US EPA)   | Mary Blevins (US EPA)                           |
| <b>2006 05 15 Memorandum QAPP_for_Closure of CR Unit.pdf</b>                       | Duplicate of <i>2006 05 15 Memo QAPP for Closure of CRU RF-1</i> .  | David Taylor (US EPA)   | Mary Blevins (US EPA)                           |
| <b>2006 05 15 Quality Assurance Management System.pdf</b>                          | Quality Assurance Management System and emails documenting the approval by EPA.   | David Taylor (US EPA)   | Mary Blevins (US EPA)                           |
| <b>2006 05 26 Re_Maintaining Public Access for EPA documents_Jeannie Smith.pdf</b> | Letter thanking Parker Public Library for maintaining Public Access for EPA documents at the Parker Public Library.   | Karen Scheuermann (US EPA)                                    | Jeannie Smith (Parker Public Library)           |

| Date of Document/ Title  | Description  | Author/Sender                                 | Addressee                        |
|--|--|---|----------------------------------|
| <b>2006 05 31 Public Notice for Proposed Area of Potential Effects.pdf</b>                             | Public notice for proposed area of potential effects for US Filter Westates under Section 106 of the National Historic Preservation Act.   |   |                                  |
| <b>2006 05 31 Telephone Call Response to Public Notice.pdf</b>   | Telephone call summary regarding the Evoqua APE Public Notice.   | Karen Scheuermann (US EPA)                    |                                  |
| <b>2006 06 03 Siemens Periodic Rpts Continued Compliance 40 CFR 403.12_e.pdf</b>                       | Letter containing the Siemens Periodic Reports measuring flow of the waste water as perm 40 CFR 403.12(b)(4) and pollutant measurement per 40 CFR 403.12(b)(5).  | Monte McCue (Siemens)                         | Keith Silva (US EPA)             |
| <b>2006 06 08 Email-Greenaction Objection and Comments on Proposed Area of Potential Effects.pdf</b>   | Email re: deficiencies in Notice re: NHPA proposed 1 mile radius around facility for Area of Potential Effects (APE). Lacks information about what is in mile-wide radius.   | Bradley Angel (Greenaction)                   | Karen Scheuermann (U.S. EPA)     |
| <b>2006 06 08 Public Notice for Proposed Area Potential Effects w o mailing list.pdf</b>               | Memo regarding Evoqua/Westates Public Notice for Proposed Area Potential Effects (APE).  | Karen Scheuermann (US EPA)                    | File                             |
| <b>2006 06 09 Email - Greenaction Objection and Comments on Proposed area of Potential Effects.pdf</b> | Email replying to Mr. Angel's comments on proposed APE for NHPA. References request from CRIT Museum Director Michael Tsosie after conferring with CRIT EPO Director Diana de Leon.                                  | Bob Fitzgerald (U.S. EPA)                     | Bradley Angel (Greenaction)      |
| <b>2006 06 09 Email-Greenaction Objection and Comments on Proposed Areas of Potential Effects.pdf</b>  | Email responding to Bob's email about the concerns with the APE and the public notice provided for the NHPA process.   | Bradley Angel (Greenaction)                   | Bob Fitzgerald (U.S. EPA)        |
| <b>2006 06 12 Fax Transmittal - EPA Letters sent from CRIT.pdf</b>                                     | Fax transmitting copy of 2006 06 05 letter from Dave Harper to CRIT Tribal Council re: concerns about letter Director Tsosie sent U.S. EPA about APE and NHPA Programmatic Agreement.                                | Michael Tsosie (CRIT Museum)                  | Karen Scheuermann (U.S. EPA)     |
| <b>2006 06 12 Letter Requesting Comments on Propsed Area of Potential Effects under NHPA.pdf</b>       | Letter seeking input on revised proposed APE as part of NHPA process.  | Bob Fitzgerald (U.S. EPA)                     | Chairman Daniel Eddy, Jr. (CRIT) |
| <b>2006 06 14 Email-Westates VOST Audit Comparison.pdf</b>   | Email re: Volatile Organics Sampling Train (VOST) Audit with attached table comparing results of original VOST audit samples (without ice) and final VOST audit sample (with ice).                                   | Tony Eicher (Focus Environmental)             | Mary Blevins (U.S. EPA)          |
| <b>2006 06 15 Tech Memo Evaluation of Spike Results for EPA Method 0030.pdf</b>                        | Tech Memo Evaluation of Spike Results for EPA Method 0030 for the Performance Demonstration Test (PDT).  | Steve Hoyt (Environmental Analytical Service) | Steve Remaley (US EPA)           |
| <b>2006 06 20 Email_Westates Trial Burn Method.pdf</b>   | Email containing the Tech Memo Evaluation of Spike Results for EPA Method 0030 for the Performance Demonstration Test (PDT).   | Steve Remaley (US EPA)                        | Mary Blevins (US EPA)            |
| <b>2006 06 22 Letter re Inquiry Regarding Dave Harper Letter 06052006.pdf</b>                          | Letter re: references made about Ms. Wilson's statements to Dave Harper in Harper's 2006 06 05 letter to CRIT Tribal Council. Includes statements about EPA's role in NHPA process, and input from Tribe and public. | Wenona Wilson (U.S. EPA)                      | Dr. Michael Tsosie (CRIT Museum) |

|  |   |                                    |  |
|--|---|------------------------------------|--|
| <b>2006 06 29 Email_Air Emissions Test.pdf</b>   | Email chain regarding the PDT Report (Air Emissions Test Report) and the "Notification of Compliance" that is required within 90 days as per 40 CFR 63.1207(j)(1)(i). | Monte McCue (Siemens)              | Mary Blevins (US EPA) & Drew Bolyard (Siemens) |
| <b>2006 06 30 CA_FO Agreement.pdf</b>  | Duplicate of <i>2006 06 30 Executed CAFO_06302006</i> .   | Jeff Scott (US EPA)                | Monte McCue (Siemens)                          |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test.pdf</b>   | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT)   | Focus Environmental, Inc.          | Siemens Water Technologies, Corp.              |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App A.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App A   |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App B Test Manager's Log.pdf</b>                    | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT App B Test Manager's Log   |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App C Westates CARs.pdf</b>                         | Corrective Action Request for the PDT to change the target analytes in the PDT Work Plan to match the selected lab target analytes.                                   |                                    | Teri White (Focus Environmental)               |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App D Process Field Sampling Records.pdf</b>        | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App D Process Field Sampling Records.  |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App E Westates PDT Master Sample List.pdf</b>       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App E Westates PDT Master Sample List   |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App F.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App F.   |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App G.pdf</b>                                       | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App G.   |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App H Westates Data Validation Report Rev 0.pdf</b> | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App H, Westates Data Validation Report Rev 0.  |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App I Airtech Stack Test Report.pdf</b>             | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App I Airtech Stack Test Report.  |                                    | Focus Environmental, Inc.                      |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App J ESS Spiking Report.pdf</b>                    | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) App J, ESS Spiking Report.  | Engineered Spiking Solutions, Inc. |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App K Airtech CEMS Report.pdf</b>                   | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App K, Airtech CEMS Report.  |                                    |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_App L Westates Calibration Records.pdf</b>          | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), App L Westates Calibration Records.  |                                    |  |

|   |  |  |  |
|---|--|--|--|
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_Feed and Process Data Packages.pdf</b>                               | 2006 06 30 Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT), Feed and Process Data Packages.  |  |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_Stack Data Packages.pdf</b>  | Letter enclosing report of results for the particle size analysis of eight samples, STL project No. 142541.  | Tim Vander Wood & Bryan Brandli (MVA Scientific Consultants) | Patti Carswell (Severn Trent)                  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_Volume I PDT Report &amp; Appendices A - H Table of Contents.pdf</b> | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume I PDT Report & Appendices A - H Table of Contents.  |  |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_Volume II Appendices I - L Table of Contents.pdf</b>                 | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume II, Appendices I – L, Table of Contents.  |  |  |
| <b>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test_Volume III Analytical Data Packages Table of Contents.pdf</b>        | Carbon Reactivation Furnace RF-2 Performance Demonstration Test (PDT) Volume III, Analytical Data Packages, Table of Contents.   |  |  |
| <b>2006 06 30 Email re Granting Extension Request for Draft Air Emissions Test Report.pdf</b>                                   | Email granting an extension to 2006 07 31 for the submittal of the draft air emissions test report.  | Mary Blevins (U.S. EPA)                                      | Monte McCue (Siemens)                          |
| <b>2006 06 30 Executed CAFO_06302006.pdf</b>  | Letter providing the fully executed Consent Agreement and Final Order (CAFO) which contains the terms of the settlement reached with the EPA. Transmittal of Settlement Agreement assessing \$27,059 penalty.                                  | Jeff Scott (U.S. EPA)  | Monte McCue (Siemens Water Technologies Corp.) |
| <b>2006 06 30 Fully Executed CAFO.pdf</b>   | Duplicate of <i>2006 06 30 Executed CAFO_06302006</i> .  | Jeff Scott (U.S. EPA)  | Monte McCue (Siemens Water Technologies Corp.) |
| <b>2006 06 30 Memo QAPP for Closure of CRU RF-1.pdf</b>   | Memo regarding QAPP for Closure of CRU RF-1.   | David Taylor (US EPA)  | Mary Blevins (US EPA)                          |
| <b>2006 06 30 PDT Report.pdf</b>  | Performance Demonstration Test (PDT) Report.   | Focus Environmental, Inc.                                    | Siemens Water Technologies, Corp.              |
| <b>2006 06 30 QAPP_for_Closure of CR Unit.pdf</b>   | Memo regarding the review of the QAPP and SAP for Closure.   | David Taylor (US EPA)  | Mary Blevins (US EPA)                          |
| <b>2006 07 17 Email-NHPA Scope of Impact.pdf</b>  | Email et al., re: opposition to “small” APE proposed as part of NHPA process. U.S. EPA has ignored prior communications from CRIT government re: sacred nature of area close to Westates. Referenced document: 2006 06 30 Fully Executed CAFO. | Bradley Angel (Greenaction)                                  | Bob Fitzgerald (U.S. EPA)                      |
| <b>2006 07 28 submittal of final PDT Report.pdf</b>   | Email transmitting electronic copy of final Performance Demonstration Test report. See Part B - <i>2006 06 30 Carbon Rctvtn Furnace RF-2 Prfrmnc Demo Test.pdf</i>   | Monte McCue (Siemens)  | Mary Blevins (U.S. EPA)                        |
| <b>2006 07 31 Letter thanking for Public Access.pdf</b>   | Letter requesting that enclosed materials be added to two document repository binders.   | Karen Scheuermann (U.S. EPA)                                 | Amelia Flores (CRIT Library)                   |
| <b>2006 08 01 EPA News Release on Westates Settlement.pdf</b>   | Press release about civil settlement between EPA and Westates regarding violations.  |  |  |

|  |   |                                   |   |
|--|---|-----------------------------------|---|
| <b>2006 08 02 Memo Westates web page in Aug 2006.pdf</b>                           | Memo regarding Evoqua/Westates web page on the EPA website in Aug 2006.   | Karen Scheuermann (US EPA)        | File  |
| <b>2006 08 02 Siemens Visitor Information.pdf</b>                                  | Undated visitor information handout for Siemens facility re: operations, general health and safety procedures and pertinent facility orientation.   |                                   |   |
| <b>2006 08 09 Public Notice for Extended Comment Period.pdf</b>                    | Memo regarding the Public Notice for the Extension of the Public Comment Period.  | Karen Scheuermann (US EPA)        | File  |
| <b>2006 08 11 Email_CAFO Requirement_Inspections.pdf</b>                           | Email regarding CAFO requirement for Inspections.   | Monte McCue (Siemens)             | Kandice Bellamy & Mary Blevins (US EPA)                     |
| <b>2006 08 31 Correspondence Facility Comments.pdf</b>                             | Email expressing concerns about facility being located in a residential area includes a series of replies and responses with the last response dated 2006 09 11.  | Steve Petoskey (Parker, AZ)       | Karen Scheuermann (U.S. EPA)                                |
| <b>2006 09 26 Email_Stack_Test_Report.pdf</b>                                      | Email regarding the Stack Test Report (PDT Report) having been sent to CRIT AG (Eric Shepard) and CRIT contractor (Arcadis) .   | Monte McCue (Siemens)             | Mary Blevins (US EPA)                                       |
| <b>2006 10 03 Email_T-18 Replacement.pdf</b>                                       | Email requesting a 45-day extension to the installation of T-18 Replacement in the CAFO.  | Monte McCue (Siemens)             | Kandice Bellamy & Loren Henning (US EPA)                    |
| <b>2006 10 06 Email-Re Request for Siemens PDT Report Data Validation SOPs.pdf</b> | Email requesting SOPs used for data validation associated with Siemens' PDT Report and Tony Eicher's reply indicating QAPP serves as SOP for data validation and attaching copies of EPA Functional Guidelines for both organic and inorganic data. | Mary Blevins (U.S. EPA)           | Monte McCue (Siemens) and Tony Eicher (Focus Environmental) |
| <b>2006 10 25 Email-Response to Email Comments.pdf</b>                             | Email attaching responses to email comments from EPA 2006 10 06.  | Tony Eicher (Focus Environmental) | Mary Blevins (U.S. EPA)                                     |
| <b>2006 10 31 Email_Hexavalent chromium sample.pdf</b>                             | Email regarding the Hexavalent Chromium Sample G-2945.  | Carl Brickner (US EPA)            | Teri Bales (Focus Environmental)                            |
| <b>2006 10 31 Email_Re_Hexavalent Chromium Sample G-2945.pdf</b>                   | Email regarding the Hexavalent Chromium Sample G-2945.  | Teri Bales (Focus Environmental)  | Carl Brickner (US EPA)                                      |
| <b>2006 10 31 Email-Hexavalent Chromium Sample G-2945.pdf</b>                      | Email string of email messages with attached letter explaining chain of custody discrepancy for hexavalent chromium sample G-2945.  | Teri Bales (Focus Environmental)  | Carl Brickner (U.S. EPA)                                    |
| <b>2006 11 02 Email_Response re Stack Gas Flow.pdf</b>                             | Email forwarding <i>2006 11 02 Email-Response Concerning Stack Gas Flow Monitor</i> .   | Mary Blevins (EPA)                | Carl Brickner (EPA)   |
| <b>2006 11 02 Email-Response Concerning Stack Gas Flow Monitor.pdf</b>             | Email re: location of facility's stack gas flow rate monitor and what was done with regard to the establishment of stack gas flow rate limits.  | Tony Eicher (Focus Environmental) | Mary Blevins and Stacy Braye (U.S. EPA)                     |
| <b>2006 11 03 Email-Response Concerning call_saturation_issue-11032006.pdf</b>     | Email regarding Steve Remaley's (U.S. EPA) question regarding the "cal. Saturation issue." Reply is from Teri Bales (Focus Environmental) with attachments regarding VOST extended calibration.   | Mary Blevins (U.S. EPA)           | A. Reicher (Focus Environmental)                            |
| <b>2006 11 06 CAFO Extension Granted.pdf</b>                                       | Agreement to amend CAFO to extend completion of compliance task involving replacement of tank H-18 with a double walled tank by no later than 2007 03 03 Referenced document: <i>2006 10 03 Email_T-18 Replacement</i> .                            | Jeff Scott (U.S. EPA)             | Monte McCue (Siemens Water Technologies Corp.)              |



|  |  |                                  |                                  |
|--|--|----------------------------------|----------------------------------|
| <b>2006 11 09 Email and Letter Sample Custody.pdf</b>  | Email transmitting letter from AIRTECH Environmental Services, Inc. (Arvada, CO) to Teri Bales (2006 11 08) re: sample chain of custody and transport in truck from Parker, AZ to Knoxville, TN.             | Teri Bales (Focus Environmental) | Mary Blevins (U.S. EPA)          |
| <b>2006 11 09 Memo - Review of Carbon RF-2 PDT Data Validation Report.pdf</b>                              | Memorandum re: review of Siemens' Performance Demonstration Test Data Validation Report.   | Carl Brickner (U.S. EPA)         | Mary Blevins (U.S. EPA)          |
| <b>2006 11 09 Memo Review of Carbon Reactivation Furnace-2.pdf</b>   | Duplicate of <i>2006 11 09 Memo - Review of Carbon RF-2 PDT Data Validation Report</i> with signatures.  | Mary Blevins (EPA)               | Carl Brickner (EPA)              |
| <b>2006 11 13 Email_CAFO-Containment Pad.pdf</b>   | Email regarding the Containment Pad in the CAFO.   | Monte McCue (Siemens)            | Kandice Bellamy (US EPA)         |
| <b>2006 11 17 Email - Fw_Response Concerning Ca_Saturation Issuer-11172006.pdf</b>                         | String of email messages following up regarding saturation issues.   | Steve Remaley (U.S. EPA)         | Teri Bales (Focus Environmental) |
| <b>2006 11 17 Email-Response Concerning call_saturation_issue-11172006.pdf</b>                             | Duplicate of <i>2006 11 17 Email - Fw_Response Concerning Ca_Saturation Issuer-11172006</i> .  | Steve Remaley (U.S. EPA)         | Teri Bales (Focus Environmental) |
| <b>2006 11 20 Memo - Review of Metals Data Carbon RF-2 Performance Demonstration Test Report.pdf</b>       | Memorandum regarding the review of the metals data in the Evoqua carbon reactivation furnace RF-2 Performance Demonstration (PDT) Report.  | Joe Eidelberg (U.S. EPA)         | Mary Blevins (U.S. EPA)          |
| <b>2006 11 20 Memo Review of Metals Data.pdf</b>   | Duplicate of <i>2006 11 20 Memo - Review of Metals Data Carbon RF-2 Performance Demonstration Test Report</i> without signatures.  | Joseph Eidelberg (US EPA)        | Mary Blevins (US EPA)            |
| <b>2006 11 30 Letter Request for Complete Part B Permit Application and HH Eco Assessment.pdf</b>          | Duplicate of <i>2006 11 30 Request for Complete Part B Permit Application and HH and Eco Risk Assessment Report</i> .  | Bob Fitzgerald (US EPA)          | Monte McCue (Siemens)            |
| <b>2006 11 30 Request for Complete Part B Permit Application and HH and Eco Risk Assessment Report.pdf</b> | Letter re: deadline for submittal of Part B Permit Application (by 2007 02 01) and HHERA within 30 days of EPA notification that data in Air Emissions Test Report is acceptable for use in HHERA.           | Bob Fitzgerald (U.S. EPA)        | Monte McCue (Siemens)            |
| <b>2006 12 01 Memorandum Various_Analytical Data_Tier 3.pdf</b>  | Memo regarding the various analytical data Tier 3.   | Doug Lindelof (ESAT)             | Mary Blevins (US EPA)            |
| <b>2006 12 04 NHPA Meeting Notes.pdf</b>   | NHPA Meeting Notes between EPA and CRIT. Purpose is to discuss the progress of the NHPA process at the Siemens facility and the 1 mile radius area of potential effects (APE).                               |                                  |                                  |
| <b>2006 12 08 Email - Fw_Response Concerning Ca_Saturation Issuer.pdf</b>                                  | Email re: Steve's thoughts on lack of pictorial displays of curve; expressing curiosity about deviation from the compliant RSD being mostly from the top of the curve where it saturated all at once.        | Steve Remaley (U.S. EPA)         | Mary Blevins (U.S. EPA)          |
| <b>2006 12 26 Email_Part B Submittal.pdf</b>   | Duplicate of <i>2006 12 26 Email_Siemens Water Technology Part B Submittal</i> .   | Monte McCue (Siemens)            | Bob Fitzgerald (US EPA)          |
| <b>2006 12 26 Email_Siemens Water Technology Part B Submittal.pdf</b>                                      | Email requesting an extension for the submittal of the Part B application to 2007 03 15.   | Monte McCue (Siemens)            | Bob Fitzgerald (US EPA)          |
| <b>2006 March PDT Photos</b>   | This document folder containing photos from March 2006 PDT has been removed from the AR because it was inadvertently included. It is not being relied upon. See <i>2006 03 30 Memo - PDT Pictures .pdf</i> . |                                  |                                  |
| <b>2006 training figures</b>   | Document folder containing 2006 training figures.  |                                  |                                  |

|  |   |  |  |
|--|---|--|--|
| <b>2007 01 09 2006 Air Emission Report Potential to Emit Transition policy for Part 71.pdf</b>               | Letter enclosing 2006 air emission report for criteria pollutants and hazardous air pollutants required under the EPA Potential to Emit Transition Policy for Part 71 Implementation in Indian Country. | Monte McCue (Siemens)                    | Matt Haber (U.S. EPA)  |
| <b>2007 01 18 Vapor Phase Carbon Process Flow Diagram.jpg</b>  | Diagram of Vapor Phase Carbon Process Flow Diagram Balance for RF-2.  |  |  |
| <b>2007 01 22 email re Part B app.pdf</b>  | Email requesting permission to submit Part B Permit Application (without CRIT signature) to U.S. EPA and CRIT by no later than March 1, 2007.   | Monte McCue (Siemens)                    | Mary Blevins and Bob Fitzgerald (U.S. EPA)                             |
| <b>2007 01 22 Email_11_30_2006_EPA_Letter.pdf</b>  | Email requesting an extension for the submittal of the Part B application to 2007 03 01 referencing 40 CFR 270.10(e)(4).  | Monte McCue (Siemens)                    | Mary Blevins & Bob Fitzgerald (US EPA)                                 |
| <b>2007 01 26 Review of Siemens CRF RF-2 PDT - June 2006.pdf</b>   | Letter re: review of PDT Report dated June 2006, with detailed comments in attachment 1.  | Kathryn Brantingham (Arcadis)            | Eric Shepard (CRIT AG)   |
| <b>2007 01 26 Review_Siemens Carbon Reactivation Furnace RF-2 Performance Demo Test Report June 2006.pdf</b> | Review comments from CRIT contractor who reviewed the PDT report on behalf of CRIT.   | Kathryn Brantingham (ARCADIS U.S., Inc.) | Eric Shepard (CRIT)  |
| <b>2007 01 30 Section 106 NHPA Packet.pdf</b>  | Letters with an attachment of the Section 106 NHPA Packet.  | Karen Scheuermann (US EPA)               | Jeannie Smith (Parker Public Library) Dr. Michael Tsosie (CRIT Museum) |
| <b>2007 01 31 Email-Siemens Part B Extension Request.pdf</b>   | Email requesting an extension for the submittal of the Part B application and EPA's reply granting the one-time extension.  | Bob Fitzgerald (US EPA)                  | Monte McCue (Siemens)  |
| <b>2007 01 31 Letter Regarding Public Access.pdf</b>   | Letter regarding providing Public Access to the Evoqua Information Repository (IR) and moving the IR from the CRIT Library to the CRIT Museum.  | Karen Scheuermann (US EPA)               | Amelia Flores (CRIT)   |
| <b>2007 02 01 Carbon Reactivation Facility RCRA Part B Permit App_v1.pdf</b>                                 | Evoqua/Siemens RCRA Part B Permit Application Volume 1 of 2   | Focus Environmental, Inc.                | Siemens Water Technologies, Corp.                                      |
| <b>2007 02 01 Carbon Reactivation Facility RCRA Part B Permit App_v2.pdf</b>                                 | Evoqua/Siemens RCRA Part B Permit Application Volume 2 of 2   | Focus Environmental, Inc.                | Siemens Water Technologies, Corp.                                      |
| <b>2007 02 01 Comments on Feb 2007 part B Permit Application.pdf</b>   | Duplicate of <i>2007 02 01 Comments on Feb2007_Part B Permit Application</i> .  |  |  |
| <b>2007 02 01 Comments on Feb2007_Part B Permit Application.pdf</b>  | EPA Comments on the Feb 2007 Evoqua Part B Permit Application.  |  |  |
| <b>2007 02 02 Email_Westates - Lab Response to Hexavalent Chromium Sample G-2945.pdf</b>                     | Email with an attached letter from STL Labs to Focus Environmental regarding the STL Lab Response to the custody of the Hexavalent Chromium Sample G-2945.  | Teri Bales (Focus Environmental)         | Carl Brickner (US EPA)   |
| <b>2007 02 02 FYI Westates Response re Sample Custody.pdf</b>  | Emails with response to an email concerning the Sample Custody of the PDT trial burn samples.   | Teri Bales (Focus Environmental)         | Mary Blevins (US EPA)  |
| <b>2007 02 14 Public Comment Letter to K Scheuermann EPA.pdf</b>   | Public comment letter.  | (Illegible)                              | Karen Scheuermann (US EPA)   |

|   |   |                                   |                                   |
|---|---|-----------------------------------|-----------------------------------|
| <b>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - Various Recipients.pdf</b> | Letters to stakeholders regarding the determination of Area of Potential Effects (APE) and Request for Information on Historic Properties under NHPA.   | Bob Fitzgerald (US EPA)           | Various                           |
| <b>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - with Enclosures.pdf</b>    | Duplicate of <i>2007 02 16 Letter Re_Determination of Area of Potential Effects and Request for Information on Historic Properties under NHPA - Various Recipients</i> including all attachments. | Bob Fitzgerald (US EPA)           | Various                           |
| <b>2007 02 23 DRE Calculations.pdf</b>  | Letter concerning the DRE Calculations and the trial burn report.   | Tony Eicher (Focus Environmental) | Mary Blevins (US EPA)             |
| <b>2007 02 27 Email_Furnace Feed_Tank Replacement.pdf</b>   | Email notifying that the Furnace Feed Tank (H-18 or T-18) replacement that is double walled and made of 304SS was installed that morning.   | Monte McCue (Siemens)             | Kandice Bellamy (US EPA)          |
| <b>2007 02 RCRA Application_Table of Contents_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Table of Contents   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section A_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section A, Part A Discussion  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section B_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section B, Facility Description   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section C_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section C, Spent Carbon Characteristics   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section D_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section D, Process Information  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section E_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section E, Groundwater  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section F_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section F, Procedures to Prevent Hazards  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section G_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section G, Contingency Plan   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section H_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section H, Personnel Training Program   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section I_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section I, Closure Plan and Cost Estimate and Liability Requirements  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section J_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section J, Solid Waste Management Units   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section K_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section K, Other Federal Laws   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section L_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section L, Certification  | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section M_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Section M, Subpart AA - Process Vents   | Focus Environmental, Inc.         | Siemens Water Technologies, Corp. |

|  |   |                           |                                   |
|--|---|---------------------------|-----------------------------------|
| <b>2007 02 RCRA Application_Vol I- Section N_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Section N, Subpart BB - Equipment Leaks   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section O_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Section O, Subpart CC - Air Emission Standards  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I- Section P_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Section P, Exposure Information   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix I_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Appendix I, Part A Permit Application   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix II_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix III_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix III, Site Diagrams   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix IV_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Appendix IV, Waste Analysis Plan  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Appendix V_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Appendix V, RF-2 Performance Demonstration Test Report  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol I-Cover Page_Rev 0.pdf</b>     | RCRA Part B Application for Siemens Water Technologies, Volume I Cover Page   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix IX_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix VI_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix VII_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix VIII_Rev 0.pdf</b> | RCRA Part B Application for Siemens Water Technologies, Appendix VIII, Spent Carbon Container Specifications  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix X_Rev 0.pdf</b>    | RCRA Part B Application for Siemens Water Technologies, Appendix X, RF-2 Equipment Drawings and Specifications  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XI_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XI, Risk Assessment Work Plan  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XII_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XII, Inspection Schedule and Checklists  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XIII_Rev 0.pdf</b> | RCRA Part B Application for Siemens Water Technologies, Appendix XIII, Contingency Plan   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XIV_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XIV, Training Syllabus Outline and Documentation Form  | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |
| <b>2007 02 RCRA Application_Vol II-Appendix XV_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XV, Closure Plan   | Focus Environmental, Inc. | Siemens Water Technologies, Corp. |

|  |  |   |   |
|--|--|---|---|
| <b>2007 02 RCRA Application_Vol II-Appendix XVI_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Appendix XVI, Subpart CC Compliance Plan   | Focus Environmental, Inc.                   | Siemens Water Technologies, Corp.                         |
| <b>2007 02 RCRA Application_Vol II-Appendix XVII_Rev 0.pdf</b>   | RCRA Part B Application for Siemens Water Technologies, Appendix XVII, Records Retention Requirements  | Focus Environmental, Inc.                   | Siemens Water Technologies, Corp.                         |
| <b>2007 02 RCRA Application_Vol II-Cover Page_Rev 0.pdf</b>  | RCRA Part B Application for Siemens Water Technologies, Volume II Cover Page   | Focus Environmental, Inc.                   | Siemens Water Technologies, Corp.                         |
| <b>2007 02 Response to EPA Preliminary Comments.pdf</b>  | Siemens Response to EPA's Preliminary Comments RCRA Part A & B Permit Application  | Focus Environmental, Inc.                   | Siemens Water Technologies, Corp.                         |
| <b>2007 03 02 email re Part B app.pdf</b>  | Email indicating that Part B Permit Application Package was delivered to U.S. EPA on 2007 03 01 and included 2 hard copies and 2 electronic copies and also to CRIT.           | Monte McCue (Siemens)                       | Mary Blevins (U.S. EPA)                                   |
| <b>2007 03 02 Memo re Public Notice for Designation of Area Potential effects and Request for Info.pdf</b>   | Memo transmitting the public notice designating an Area of potential effects and the newspapers as they appeared in.   | Karen Scheuermann (U.S. EPA)                | File  |
| <b>2007 03 02 Memo re Siemens Webpage Beginning 2017 01 31.pdf</b>   | Memo transmitting documents attached to the Siemens webpage.   | Karen Scheuermann (U.S. EPA)                | File  |
| <b>2007 03 02 Memorandum Public Notice for Designation of Area Potential Effects and Request for Info About Historic Properties for the PermitDecision.pdf</b> | Memorandum regarding Public Notice for Designation of Area Potential Effects (APE) and Request for Info About Historic Properties for the NHPA for the Evoqua Permit Decision. | Karen Scheuermann (US EPA)                  | File  |
| <b>2007 03 05 NHPA ext to public comment period.pdf</b>  | Email re: EPA Public Notice dated 2007 01 31 in which EPA designated APE and sought information about historic properties within the APE as part of NHPA process.              | Karen Scheuermann (U.S. EPA)                | Bradley Angel (Greenaction)                               |
| <b>2007 03 05 Public Notice - Determination of Area of Potential Effects_2.pdf</b>   | Public Notice for the determination of Area of Potential Effects (APE) for the NHPA under Section 106.   |   |   |
| <b>2007 03 08 Letter in response to 2007 02 16 Letter from EPA.pdf</b>   | Letter in response to 2007 02 16 Letter from EPA concerning the APE for the NHPA.  | Howard Magill (CRIT Museum)                 | US EPA  |
| <b>2007 03 08 Letter Response to 02162007 Letter.pdf</b>   | Letter re: APE and NHPA – recommendations on file by Dr. Tsosie regarding the APE still stand.   | Howard Magill (CRIT Museum)                 | U.S. EPA Region IX  |
| <b>2007 03 12 Email Re Siemens NHPA - Extension to the Public Comment Period.pdf</b>   | Duplicate of <i>2007 03 12 Email_Extension_to the Public Comment Period</i> .  | Gila River Alliance for a Clean Environment | Bradley Angel (Green Action) & Karen Scheuermann (US EPA) |
| <b>2007 03 12 Email_Extension_to the Public Comment Period.pdf</b>   | Email chain regarding the extension to the Public Comment Period for the NHPA.   | Gila River Alliance for a Clean Environment | Bradley Angel (Green Action) & Karen Scheuermann (US EPA) |
| <b>2007 03 12 Letter from AZ State Parks after review of documents submitted on 2007 02 26.pdf</b>   | Letter pursuant to Section 106 of the National Historic Preservation Act as implemented by 36 CFR 800.   | Matthew Bilsbarrow (ASHPO)                  | Bob Fitzgerald (US EPA)                                   |

|  |  |   |  |
|--|--|---|--|
| <b>2007 03 12 Letter Re_Permit for Siemens.pdf</b>   | Duplicate of <i>2007 03 12 Letter from AZ State Parks after review of documents submitted on 2007 02 26.</i>   |   |  |
| <b>2007 03 12 public comment on NHPA.pdf</b>   | Email in response to Mr. Angel's request for a month's extension to public comment period.   | Gila River Alliance for a Clean Environment | Bradley Angel (Greenaction) and Karen Scheuermann (U.S. EPA) |
| <b>2007 03 13 Letter 2006 Total Annual Benzene Report.pdf</b>  | Letter regarding the 2006 Total Annual Benzene (TAB) Report per 40 CFR 61.357.   | Deborah Foster (Siemens)                    | John Kim (US EPA)  |
| <b>2007 03 14 Email_Fw Contacts for Siemens NHPA.pdf</b>   | 2007 03 14 Email with list of Contacts (stakeholders) for Evoqua/Siemens NHPA.   | Karen Scheuermann (US EPA)                  | Mary Blevins & Bob Fitzgerald (US EPA)                       |
| <b>2007 03 16 Response to Comments - Reactivation Furnace Performance Demo Test Data Review.pdf</b>                                      | Duplicate of <i>2007 03 16 RTC PDT Test Data Review.</i>   | Tony Eicher (Focus Environmental)           | Mary Blevins (US EPA)  |
| <b>2007 03 16 RTC PDT Test Data Review.pdf</b>   | Letter with response to EPA comments on the Performance Demonstration Test (PDT) data review.  | Tony Eicher (Focus Environmental)           | Mary Blevins (US EPA)  |
| <b>2007 03 23 Email Re Siemens NHPA -- request of Mohave Elders for Meeting with US EPA.pdf</b>  | Email chain regarding NHPA and confirming a meeting on 2007 04 02 between Mohave Elders and EPA. Also, objecting to Mr. Michael Tsosie facilitating the meeting.   | Bradley Angel (Green Action)                | Bob Fitzgerald (US EPA) & David Harper (Mohave Elders)       |
| <b>2007 03 30 Emails Re Request Confirmation of April 2 Meeting re Siemens NHPA -- Request of Mohave Elders for Meeting.pdf</b>          | Email chain regarding NHPA and confirming a meeting on 2007 04 02 between Mohave Elders and EPA.   | Bob Fitzgerald (US EPA)                     | David Harper (Mohave Elders)                                 |
| <b>2007 04 02 Email_ Re Fw SiemensResponse to Data Review Comments.pdf</b>   | Email chain regarding Evoqua/Siemens Responses to Trial Burn Data Review Comments.   | Steve Remaley (US EPA)                      | Mary Blevins (US EPA)  |
| <b>2007 04 10 Email_Evaluation of Focus March 16 2007 RTC - Carbon Reactivation Furnace (RF-2) Performance Demo Test Data Review.pdf</b> | Email Evaluation of Focus March 16 2007 RTC - Carbon Reactivation Furnace (RF-2) Performance Demo Test Data Review   | Carl Brickner (US EPA)                      | Steve Remaley (US EPA)                                       |
| <b>2007 04 12 Public Notice_Extension of Public Comment Period for Request for Info about Historic Properties.pdf</b>                    | Public Notice for the extension of the public comment period for the request for information about the historic properties as required under Section 106 of the National Historic Preservation Act (NHPA). |   |  |
| <b>2007 04 18 Memo - Siemens Carbon RF-2 PDT Data Review.pdf</b>   | Memo re: QA Office review of PDT data.   | Stephen Remaley (U.S. EPA)                  | Mary Blevins (U.S. EPA)                                      |
| <b>2007 05 21 Email_FW Siemens Project Response to update risk assessment workplan.pdf</b>   | Email regarding the risk assessment workplan.  | Monte McCue (Siemens)                       | Mary Blevins (US EPA)  |
| <b>2007 06 25 RCRA Site Detail.pdf</b>   | Contains a printout of the RCRA Site Detail.   |   |  |
| <b>2007 07 13 Email_Re_benzidine.pdf</b>   | Email regarding removing Benzidine (U021) from the application since Evoqua has not received carbon with this waste code nor has seen it in their customers' profiles.                                     | Monte McCue (Siemens)                       | Mary Blevins (US EPA)  |
| <b>2007 07 30 Draft_Risk_Assessment.pdf</b>  | Email attaching Draft Risk Assessment.   | Monte McCue (Siemens)                       | Mary Blevins (US EPA)  |

|   |  |                         |                       |
|---|--|-------------------------|-----------------------|
| <b>2007 07 30 Email_Siemens Risk Assessment 07302007.pdf</b>  | Email to inform her that Evoqua sent the Siemens Risk Assessment by FedEx.   | Monte McCue (Siemens)   | Mary Blevins (US EPA) |
| <b>2007 07 31 email re Part B app.pdf</b>   | Email re: missing Appendix A and Figure 1 from Section XVI, Subpart CC Compliance Plan and seeking Volatile Organics determination for Tank T-11; and Monte McCue's response to Mary Blevins indicating that he's working on it. | Mary Blevins (U.S. EPA) | Monte McCue (Siemens) |
| <b>2007 07 31 Email_Re_Missing_Appendix A of Subpart CC Compliance Plan in Part B Application and Tank T-11 VO Concentration Question.pdf</b> | Email regarding the missing Appendix A of Subpart CC Compliance Plan in Part B Application and Tank T-11 VO Concentration Question.  | Monte McCue (Siemens)   | Mary Blevins (US EPA) |
| <b>2007 07 31 Email_Siemens Risk Assessment 07312007.pdf</b>  | Email to inform her that Evoqua hand-delivered the projects Information Repository to the Parker Public Library, to the CRIT Library, and the CRIT EPO office.   | Monte McCue (Siemens)   | Mary Blevins (US EPA) |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_parker.out.pdf</b>   | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_PARKER.PIP.pdf</b>   | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_BPIP_parker.sum.pdf</b>   | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD01.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD02.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD03.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD04.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_PARTBD05.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_Furance Stack_Part Phase Dep Surf_partbd01.pdf</b>  | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                         |                       |







|  |  |  |  |
|--|--|--|--|
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_VAPOR05.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor01.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor02.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor03.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor04.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_H1 Vol Source_Vapor Phase_vapor05.pdf                         | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_Meteorological Data_Particle Phase_ISC0105.pdf                | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_Meteorological Data_Vapor Phase_ISC0105.pdf                   | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER01.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER02.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER03.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER04.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_PARKER05.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |
| 2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker01.pdf | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i> |  |  |

|   |  |                           |                           |
|---|--|---------------------------|---------------------------|
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker02.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                           |                           |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker03.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                           |                           |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker04.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                           |                           |
| <b>2007 07 ISCST3 Disp and Depo Modeling Data_OnsiteReceptorModeling_H1 Vol Source_Vapor Phase_parker05.pdf</b> | ISCTS3 air dispersion and deposition modeling results as referenced in Appendix D of the Draft Risk Assessment in <i>2016 04 Evoqua Part B Application.pdf</i>   |                           |                           |
| <b>2007 08 02 email re request for records.pdf</b>  | Email re: public request for copies of Part B Permit Application, Air Emissions Test Report and Health Risk Report and seeking clarification regarding public availability of records; and Monte McCue's response seeking identity of requestor. | Mary Blevins (U.S. EPA)   | Monte McCue (Siemens)     |
| <b>2007 08 16 Email_Siemens Proj RTC.pdf</b>  | Emails regarding the validity of the lab data for the metals results.  | Mary Blevins (US EPA)     | Various                   |
| <b>2007 08 20 Manifests 08132007 - 08202007.pdf</b>   | Letter transmitting manifests received during week of 8/13 to 8/20.  | Jason Hargis (Siemens)    | Hector Duran (CRIT)       |
| <b>2007 08 31 Email_Siemens RTCs_July.pdf</b>   | Emails regarding the validity of the lab data for the metals results.  | Joseph Eidelberg (US EPA) | Mary Blevins (US EPA)     |
| <b>2007 09 07 RCRA Site Detail.pdf</b>  | RCRA Site Detail Report (10 pages) integrating National Biennial RCRA Hazardous Waste Report data with Site Identification data.   |                           |                           |
| <b>2007 09 11 Email_Siemens RTCs.pdf</b>  | Emails regarding the validity of the lab data for the metals results.  | Mary Blevins (US EPA)     | Joseph Eidelberg (US EPA) |
| <b>2007 09 26 Letter Landowner signature and certification of Hazardous Waste Permit Application.pdf</b>        | Letter regarding the Landowner Signature and Certification of Permit Application.  | Arlene Kabei (US EPA)     | Monte McCue (Siemens)     |
| <b>2007 09 26 Letter re Landowner Signature and Certification of Permit Application.pdf</b>                     | Letter re landowner signature and certification of Hazardous Waste Permit Application for Siemens.   | Arlene Kabei (U.S. EPA)   | Monte McCue (Siemens)     |
| <b>2007 09 30 Draft RFA Appendices_Complete_Part 5.pdf</b>  | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.   | Booz Ailen Hamilton       | U.S. EPA                  |
| <b>2007 10 09 Email-AERMOD vs ISCST3.pdf</b>  | Email transmitting information on AERMOD versus ISCST3.  | Scott Bohning (U.S. EPA)  | Mary Blevins (U.S. EPA)   |
| <b>2007 10 15 Siemens Response re Landowner Signature and Certification of Permit Application .pdf</b>          | Letter regarding the Landowner Signature and Certification of Permit Application.  | Monte McCue (Siemens)     | Arlene Kabei (US EPA)     |
| <b>2007 10 16 Email re Contingency Plan Revision Pg 8.pdf</b>   | Email re the transmittal of page 8 of the contingency plan that has been revised.  | Monte McCue (Siemens)     | Mary Blevins (U.S. EPA)   |
| <b>2007 12 07 USEPA R9 Memo re Draft Risk Assessment Review.pdf</b>   | Memo regarding the review of the Draft Risk Assessment for Siemens.  | Mary Blevins (US EPA)     | Mary Blevins (US EPA)     |

|   |  |                                     |                        |
|---|--|-------------------------------------|------------------------|
| <b>2007 NHPA Public Notice.pdf</b>  | Public notice re extension of public comment period to 2007 04 12 for request for information about historic properties for Siemens under Section 106 of the NHPA.   | U.S. EPA                            |                        |
| <b>2007 Public Notice Extending Public Comment Period on NHPA.pdf</b>             | Public notice re extension of public comment period to 2007 04 12 for request for information about historic properties for Siemens under Section 106 of the NHPA.   | U.S. EPA                            |                        |
| <b>2008 01 01 2007_2008_Subpart BB_Analytical Results.pdf</b>                     | 2007 and 2008 RCRA 40 CFR Part 264, Subpart BB Analytical Results. 2008 05 calculations show that Subpart BB is not applicable since the results are below 10%.  |                                     |                        |
| <b>2008 01 01 2007_2008_Subpart cc Analytical Results.pdf</b>                     | 2007 and 2008 RCRA 40 CFR Part 264, Subpart CC Analytical Results.   |                                     |                        |
| <b>2008 01 01 Draft_Annual_Benzene Report.pdf</b>                                 | Draft Annual Benzene Report. 40 CFR Part 63, Subpart FF. As required by 40 CFR 61.357(c) and 61.357(a)(1)-(3) for calendar year 2008. Also 40 CFR 61.342.  |                                     |                        |
| <b>2008 01 15 US EPA Env Justice geographic Assessment Tool.pdf</b>               | EPA Environmental Justice Geographic Assessment. This tool contains a Social, Economic, Health, and Environmental Map.   |                                     |                        |
| <b>2008 01 28 Notice of Request for Temporary Authorization.pdf</b>               | Document was inadvertently included in Draft Permit AR and has been removed.   |                                     |                        |
| <b>2008 02 07 EPA Website of Siemens.pdf</b>                                      | Printout of Evoqua/Siemens Public Webpage showing all the links to other related websites and related documents and reports  |                                     |                        |
| <b>2008 03 06 Siemens_Webpage.pdf</b>   | Printout of Evoqua/Siemens Public Webpage showing all the links to other related websites and related documents and reports.   |                                     |                        |
| <b>2008 03 13 Executive Summary Carbon Regeneration Fac Risk Assessment.pdf</b>   | Duplicate of <i>2008 03 13 Executive_Summary_Carbon Regeneration Fac Risk Assessment</i> with the inclusion of Attachment G.   |                                     |                        |
| <b>2008 03 13 Executive_Summary_Carbon Regeneration Fac Risk Assessment.pdf</b>   | Duplicate of attachment in <i>2008 03 13 Letter re Risk Assessment</i> .   |                                     |                        |
| <b>2008 03 13 Letter re Risk Assessment.pdf</b>                                   | Letter regarding the Risk Assessment. Attached is the response to EPA comments also dated 2008 03 13 and the Risk Assessment also dated 2008 03 13.  | Sarah Foster (CPF Assoicate Inc.)   | Mary Blevins (US EPA)  |
| <b>2008 05 14 Sept 13 2007 Inspection Rpt.pdf</b>                                 | Letter attaching a copy of 2007 09 13 inspection report.   | Loren Henning (U.S. EPA)            | Monte McCue (Siemens)  |
| <b>2008 05 31 Waste Manifests.pdf</b>   | Transmittal letter dated 2008 06 02 sending May 2008 waste manifests.  | Jason Hargis (Siemens)              | John Moody (U.S. EPA)  |
| <b>2008 06 24 Letter Re 06252004 Telephone Conversation on Stock Transfer.pdf</b> | Letter re: Westates-Carbon's parent company stock transfer, which does not constitute a change in operational control of the facility.   | Stephen Richmond (Bowditch & Dewey) | Mimi Newton (U.S. EPA) |
| <b>2008 09 30 Draft RFA Appendices_Complete_Part 6.pdf</b>                        | Duplicate of Part A - <i>2003 09 30 Draft RFA Appendices.pdf</i> with the inclusion Appendix D and J maps.   | Booz Ailen Hamilton                 | U.S. EPA               |
| <b>2008 12 23 USEPA R9 Memo re Eco Risk Assessment Status.pdf</b>                 | Memo regarding Eco Risk Assessment.  | John Beach (US EPA)                 | John Moody (US EPA)    |
| <b>2008 misc emails re risk assessment.pdf</b>                                    | Emails asking about status of response to EPA comments on risk assessment report; and 2008 01 09 reply. 2008 02 01 email re: conversation among Patrick Wilson (U.S. EPA), Sara Foster and Monte about when the response would be submitted. | Mary Blevins (U.S. EPA)             | Monte McCue (Siemens)  |

|   |  |                                     |   |
|---|--|-------------------------------------|---|
| <b>2009 02 25 USEPA R9 Eco Risk Assessment Special Status Species Eval.pdf</b>                    | Memo to summarize the status of the subject permit application with respect to the requirements for protection of individual species and ecosystems.   | John Beach (US EPA)                 | John Moody (US EPA)                       |
| <b>2009 04 30 Recommendations for the Process of Part B Permit.pdf</b>                            | Report with a list of recommendations to improve the operations and the part B permitting process.   | Hector Duran (CRIT EPO)             |   |
| <b>2009 05 07 Email_Follow Up to 05072009 Conference Call.pdf</b>                                 | Email follow-up to conference call that took place on 2009 05 07.  | Carmen Santos (US EPA)              | Monte McCue (Siemens)                     |
| <b>2009 05 28 ORC Weekly.pdf</b>  | U.S. EPA weekly highlight on EPA representatives present information on risk assessment relating to Siemens Water Technologies to CRIT counsel.  | Mimi Newton (U.S. EPA)              |   |
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan.pdf</b>                | Letter transmitting the revised Performance Demonstration Test Plan for the RF-2. Preceding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings</i> .  | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings.pdf</b> | Draft Performance Demonstration Test Plan Appendix E drawings. Succeeding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_F_and_G</i> . Preceding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawings</i> . | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |
| <b>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_F_and_G.pdf</b>  | Draft Performance Demonstration Test Plan Appendix E, F, and G. Succeeding document: <i>2009 07 29 Draft Carbon Reactivation Furnace Performance Demo Test Plan_App_E_Drawing</i> .  | Douglas Eisner (US Filter Westates) | Anthony Eicher (Focus Environmental Inc.) |
| <b>2009 07 30 U.S. EPA Messages for CRIT Council Meeting.pdf</b>                                  | Document with key messages for the August 3, 2009 CRIT Council Meeting.  | U.S. EPA                            |   |
| <b>2009 08 24 Siemens Priority Pollutants Annual Testing Report 2009.pdf</b>                      | Attachment 6 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                                     |   |
| <b>2009 09 14 Warning Letter and Certification of Violation Correction.pdf</b>                    | Letter transmitting inspection report noting potential violations (PVs).   | Loren Henning (U.S. EPA)            | Todd Guimond (Siemens)                    |
| <b>2009 09 15 Email re Question Regarding Status of Siemens.pdf</b>                               | Email re question regarding status of Siemens facility at Colorado River Indian Tribes. Includes 2009 09 11 email from Greenaction inquiring on status.  | Cheryl Nelson (U.S. EPA)            | Mimi Newton & John Moody (U.S. EPA)       |
| <b>2009 09 18 Email re Current US EPA Activity on Final Permit Application.pdf</b>                | Email re in response to 2009 09 11 US EPA activity on the Siemens final permit application.  | John Moody (U.S. EPA)               | Bradley Angel (Greenaction)               |
| <b>2009 09 30 Draft RFA Report.pdf</b>  | Draft RCRA Facility Assessment Report.   | Booz Allen Hamilton                 | Ron Leach (U.S. EPA)                      |
| <b>2009 10 01 Section L_Certification_Revision 1.pdf</b>  | Duplicate of <i>2009 12 11 Certification of Permit Application</i> .   |                                     |   |
| <b>2009 10 05 Status of Permit Application.pdf</b>  | Email re status of permit application for Siemens incinerator at Colorado River Indian Tribes. Includes 2009 10 01 email from Greenaction inquiring on status.   | Cheryl Nelson (U.S. EPA)            | Mimi Newton & John Moody (U.S. EPA)       |
| <b>2009 10 07 Email re Status Update on Siemens.pdf</b>   | Email in response to 2009 10 01 email on status update of Siemens.   | John Moody (U.S. EPA)               | Bradley Angel (Greenaction)               |
| <b>2009 10 07 Email Response to Status Update.pdf</b>   | Email in response to <i>2009 10 07 Email re Status Update on Siemens.pdf</i>   | Bradley Angel (Greenaction)         | John Moody (U.S. EPA)                     |
| <b>2009 10 15 Email re CRIT Status of Application.pdf</b>   | Email in response to 2009 10 15 email from CRIT regarding the status of the Tribes approval of the Part B Permit Application.  | John Moody (U.S. EPA)               | Eric Shepard (CRIT Attorney General)      |
| <b>2009 10 26 CRIT Resolution.pdf</b>   | Resolution with signature by CRIT Chairman and Secretary.  | Chairman, Secretary                 |   |

|   |  |                          |                             |
|---|--|--------------------------|-----------------------------|
| <b>2009 12 11 Certification of Permit Application.pdf</b>                                     | Letter providing EPA with the signature page in section L of the Part B Application.   | Eric Shepard (CRIT)      | John Moody (US EPA)         |
| <b>2010 04 20 SIEMENS MTG part 1.pdf</b>  | Attachment 1 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                          |                             |
| <b>2010 04 20 Siemens Mtg part 2.pdf</b>  | Attachment 2 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Todd Guimond (Siemens)   | Andy Jones (CRSSJV)         |
| <b>2010 04 20 Siemens Mtg part 3.pdf</b>  | Attachment 3 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Monte McCue (Siemens)    | Keith Silva (US EPA)        |
| <b>2010 04 20 Siemens Mtg part 4.pdf</b>  | Attachment 4 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   | Monte McCue (Siemens)    | John McCarroll (US EPA)     |
| <b>2010 04 20 Siemens Permitted RCRA Waste Codes.pdf</b>                                      | Attachment 5 to the EPA meeting with Evoqua/Siemens that took place on 2010 04 20.   |                          |                             |
| <b>2010 06 30 Transmittal of Manifest Submittals for Apr_May_Jun_2010.pdf</b>                 | Email transmitting April, May, and June 2010 manifests.  | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |
| <b>2010 10 07 Transmittal Letter Waste Manifests July - Sept 2010.pdf</b>                     | Letter transmitting manifests. Just the letter, not the manifests.   | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |
| <b>2010 10 21 Draft Siemens Meeting Minutes for Meeting with Mr Harper and Ms Barrera.pdf</b> | Meeting minutes for U.S. EPA meeting with David Harper and Amanda Barrera of CRIT.   | U.S. EPA                 |                             |
| <b>2011 01 18 Agenda for Meeting with CRIT EPO Dir.pdf</b>                                    | Meeting agenda for U.S. EPA meeting with CRIT EPO.   | U.S. EPA                 |                             |
| <b>2011 01 18 Minutes for Meeting with CRIT EPO Dir.pdf</b>                                   | Meeting minutes for U.S. EPA meeting with David Harper and Terry Dock of CRIT.   | U.S. EPA                 |                             |
| <b>2011 01 18 Presentation for Meeting with CRIT EPO.pdf</b>                                  | Presentation for U.S. EPA meeting with CRIT EPO.   | U.S. EPA                 | CRIT EPO Director           |
| <b>2011 03 17 Final Signed CRIT Chairman Letter for Public Participation at Siemens.pdf</b>   | Letter requesting assistance from CRIT EPO Director with various public participation activities.  | Jeff Scott (U.S. EPA)    | Chairman Elderd Enas (CRIT) |
| <b>2011 04 01 Amendment to Trust Agreement.pdf</b>  | Amendment to U.S. EPA Trust Agreement.   | Wells Fargo Bank         | Rebecca Smith (U.S. EPA)    |
| <b>2011 04 30 Waste Manifests.pdf</b>   | Letter transmitting manifests for month of April 2011. *Cover letter is dated 2011 05 02*  | John Hargis (Siemens)    | John Moody (U.S. EPA)       |
| <b>2011 05 24 Letter to Chairman Elderd Enas about Intended Site Visit.pdf</b>                | Letter notifying intention of visiting Siemens facility personnel on June 6-9th to discuss technical aspects of the facilities permit application. | Caleb Shaffer (U.S. EPA) | Chairman Elderd Enas (CRIT) |
| <b>2011 05 25 Apr 2011 Inspection Report.pdf</b>  | Letter with notice of upcoming hazardous waste inspection; and 2011 05 25 letter from Amy Miller to Todd Guimond (Siemens).                        | Amy Miller (U.S. EPA)    | Eldred Enas (CRIT Chairman) |
| <b>2011 07 30 Waste Manifests from May 2011 - Jul 2011.pdf</b>                                | Letter transmitting May, June, and July 2011 waste manifests.  | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |
| <b>2011 07 31 Waste Manifests.pdf</b>   | Letter transmitting July 2011 waste manifests.   | Jason Hargis (Siemens)   | John Moody (U.S. EPA)       |
| <b>2011 08 31 Waste Manifests.pdf</b>   | Letter transmitting August 2011 waste manifests.   | Jason Hargis (Siemens)   | Mike Zabaneh (U.S. EPA)     |
| <b>2011 09 20 SHPO Letter re APE and Risk Assessment NHPA.pdf</b>                             | Letter regarding the APE and the area used for the Risk Assessment.  | Erick Larila (ASHPO)     | Caleb Shaffer (US EPA)      |

|   |   |  |                             |
|---|---|--|-----------------------------|
| <b>2011 09 28 EPA Response to Feb 2007 Permit Application.pdf</b>   | Letter enclosing EPA's comments on the RCRA Part B Permit Application dated 2007 02.  | Mike Zabaneh (US EPA)                                | Monte McCue (Siemens)       |
| <b>2011 09 29 Current Human Exposures Under Control.pdf</b>   | Documentation of Environmental Indicator Determination.   | Kevin Wong, Mike Zabaneh and Steve Armann (U.S. EPA) |                             |
| <b>2011 09 30 Waste Manifests.pdf</b>   | Letter transmitting September 2011 waste manifests.   | Jason Hargis (Siemens)                               | Mike Zabaneh (U.S. EPA)     |
| <b>2011 10 19 Interview 1 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 11 21 R9 Tribal NSR Transition Letter - Siemens Signed.pdf</b>  | Letter concerning the expiration of the Transition Policy for 40 CFR Part 71 and obligation to apply for an Air permit.   | Gerardo Rios (US EPA)                                | Monte McCue (Siemens)       |
| <b>2011 12 19 Interview 2 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 12 19 Letter re USEPA_Comments_July2011 Facility Wide.pdf</b>   | Letter regarding comments on the Evoqua (Siemens) Facility Wide and RF-1 Closure Plans, Sampling and Analysis Plans, and Financial Assurance Cost Estimates dated 2011 07.  | Mike Zabaneh (US EPA)                                | Monte McCue (Evoqua)        |
| <b>2011 12 20 Interview 3 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 12 21 Interview 4 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 12 21 Interview 5 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 12 21 Interview 6 for PIP.pdf</b>   | Community interview questions for the Public Involvement Plan.  | U.S. EPA   |                             |
| <b>2011 12 30 Waste Manifests from Oct 2011 - Dec 2011.pdf</b>  | Letter transmitting October, November, and December 2011 waste manifests.   | Mike Zabaneh (U.S. EPA)                              | Bradley Angel (Greenaction) |
| <b>2012 01 26 Request for Extension Letter dated 01262012 for the Delivery of the Revised Part B App.pdf</b>    | Letter requesting an extension to the Delivery of the Revised RCRA Part B Permit Application to 2012 04 30. Evoqua informed EPA that they are increasing the Financial Assurance and the amount of the letter of credit to \$1,699,293. | Monte McCue (Evoqua)                                 | Mike Zabaneh (US EPA)       |
| <b>2012 01 27 Waste Manifests from 01132012 - 01272012.pdf</b>  | Transmitting January 13, 2012 - January 27, 2012 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2012 02 06 Re_Request for Extension Letter dated 01262012 for the Delivery of the Revised Part B App.pdf</b> | Letter granting extension to the Delivery of the Revised Part B RCRA Permit Application to 2012 04 30.  | Mike Zabaneh (US EPA)                                | Monte McCue (Evoqua)        |
| <b>2012 02 10 AZD982441263 RCRA Biennial Report.pdf</b>   | Letter transmitting 2011 Biennial Report. *Cover letter is dated 2012 02 07*  | Roy Provins (Siemens)                                | Kim Thomas (U.S. EPA)       |
| <b>2012 02 21 Amendment to Trust Agreement.pdf</b>  | Amendment to U.S. EPA Trust Agreement.  | Wells Fargo Bank                                     | Rebecca Smith (U.S. EPA)    |
| <b>2012 02 22 Updated Standby LOC New Amount.pdf</b>  | Letter transmitting updated LOC with letter from Mike Zabaneh to Monte McCue re: increased closure cost estimate.   | Roy Provins (Siemens)                                | Olof Hansen (U.S. EPA)      |
| <b>2012 02 28 Waste Manifests from 02172012 - 02282012.pdf</b>  | Transmitting Feb 17, 2012 - Feb 28, 2012 manifests.   | Monte McCue (Siemens)                                |                             |
| <b>2012 03 21 AZ SHPO Concurrence with APE.pdf</b>  | Letter for the Concurrence with APE.  | Erick Larila (ASHPO)                                 | Caleb Shaffer (US EPA)      |
| <b>2012 03 23 Waste Manifests from 03062012 - 03232012.pdf</b>  | Transmitting March 6, 2012 - March 23, 2012 manifests.  | Monte McCue (Siemens)                                |                             |

|  |  |                           |                        |
|--|--|---------------------------|------------------------|
| <b>2012 04 05 Waste Manifests from 03232012 - 04052012.pdf</b> | Transmitting March 23, 2012 - April 5, 2012 manifests.   | Monte McCue (Siemens)     |                        |
| <b>2012 04 13 Interview 7 for PIP.pdf</b>                      | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                        |
| <b>2012 04 13 Interview 7 notes for PIP.pdf</b>                | Summary of notes from community interview for the Public Involvement Plan.   | U.S. EPA                  |                        |
| <b>2012 04 16 Interview 8 for PIP.pdf</b>                      | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                        |
| <b>2012 04 16 Interview 9 for PIP.pdf</b>                      | Community interview questions for the Public Involvement Plan.   | U.S. EPA                  |                        |
| <b>2012 04 16 Interview 9 notes for PIP.pdf</b>                | Summary of notes from community interview for the Public Involvement Plan.   | U.S. EPA                  |                        |
| <b>2012 04 23 Notes from 3 Interviews for PIP.pdf</b>          | Summary of notes from three community interviews for the Public Involvement Plan.  | U.S. EPA                  |                        |
| <b>2012 04 25 Waste Manifests from 04102012 - 04252012.pdf</b> | Transmitting April 10, 2012 - April 25, 2012 manifests.  | Monte McCue (Siemens)     |                        |
| <b>2012 04 RCRA Application_Cover Page_Rev 1.pdf</b>           | RCRA Permit Application for Siemens Industry Inc., Cover Page  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Drawing List_Rev 1.pdf</b>         | RCRA Permit Application for Siemens Industry Inc., List of Drawings for Part A and B Submittal   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_TOC_Rev 1.pdf</b>                  | RCRA Permit Application for Siemens Industry Inc., Table of Contents   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section A_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section A, Part A Discussion  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section B_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section B, Facility Description   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section C_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section C Spent Carbon Characteristics  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section D_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section D, Process Information  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section E_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section E, Groundwater  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section F_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section F, Procedures to Prevent Hazards  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section G_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section G, Contingency Plan   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section H_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section H Personnel Training Program  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section I_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section I, Closure Plan and Cost Estimate and Liability Requirements                            | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section J_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section K_Rev 1.pdf</b>     | RCRA Permit Application for Siemens Industry Inc., Section K, Other Federal Laws   | Focus Environmental, Inc. | Siemens Industry, Inc. |



|   |  |                           |                        |
|---|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I- Section L_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section L, Certification  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section M_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section M, Subpart AA - Process Vents   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section N_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section N, Subpart BB - Equipment Leaks   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section O_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section O, Subpart CC - Air Emission Standards  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I- Section P_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Section P, Exposure Information   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix I_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix I, Part A Permit Application   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix II_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix III_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix III, Site Diagrams   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix IV_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix IV, Waste Analysis Plan  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-Cover Sheet.pdf</b>                                    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I- Appendices Table of Contents.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Table of Contents Volume 1   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Aa.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 1  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ab.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 2  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ac.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Hr Data Run 3  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ad.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 1   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ae.PDF</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 2   | Focus Environmental, Inc. | Siemens Industry, Inc. |

|  |  |                           |                        |
|--|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Af.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, 1-Min Data Run 3                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ag.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix A, Process Operating Data, RF-2 Residence                                   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App B.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix B, CPT Manager's Log  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App C.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix C, Corrective Action Request  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App D.PDF</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix D, Process Sampling Information   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App E.PDF</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix E, List of Samples  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App F.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fa.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030205 Carbon & Process VOC Summary       | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fb.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030246 Carbon & Process SVOC Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fc.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040101 Carbon Ultimate Summary            | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fd.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040102 Carbon Total Chlorine Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fe.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040213 Carbon & Process Metals Summary    | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ff.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, 142541 M5 PSD Summary                        | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fg.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030169 M0030 VOST& 1st VOST Audit Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |

|  |  |                           |                        |
|--|--|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fh.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030177 M0040 CON Summary      | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fi.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030194 M0061 Hex Cr Summary   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fj.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030224 M29 Metals Summary     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fk.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030231 M0010 TCO Grav Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fl.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030236 M0023A D-F Summary     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fm.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030241 M0010 PCB PAH Summary  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fn.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D030245 M0010 SVOC OCP Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fo.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D040103 M26A HCl Cl Summary    | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fp.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries, H6D120117 2nd VOST Audit Summary | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App G.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ga.PDF</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Westates Example Calculations                  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gb.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, DRE Calculations                               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gc.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Mercury MTEC                                   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gd.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G, Calculations, Stack Gas Flow Rates                           | Focus Environmental, Inc. | Siemens Industry, Inc. |

|   |   |                           |                        |
|---|---|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App H.PDF</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix Data Validation Report   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Performance Demonstration Test Report   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II- Appendices Table of Contents.pdf</b>                              | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Table of Contents Volume II   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App I.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix I, Airtech, Inc. Stack Sampling Report                                     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App J.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix J, EES Spiking Report and Certificate of Composition for Spiking Materials | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App K.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix K, CEMS Performance Specification Test Report                              | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App L.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix L, Process Instrument Calibration Data                                     | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages Table of Contents.pdf</b>                           | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, Table of Contents   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_A Feed Ultimate Analysis.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040101 Carbon Ultimate                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_B Feed Total Chlorine.PDF</b>    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040102 Carbon Total Chlorine.                          | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_C Volatiles.pdf</b>              | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D030205 Carbon & Process VOC                            | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_D Semivolatiles.PDF</b>          | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D030246 Carbon & Process SVOC                           | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_E Metals.PDF</b>                 | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, H6D040213 Carbon & Process Metals                         | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_A M5 PSD.pdf</b>                          | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M5 Particle Size Distribution                             | Focus Environmental, Inc. | Siemens Industry, Inc. |

|   |   |                           |                        |
|---|---|---------------------------|------------------------|
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_B M0030 VOST &amp; 1st VOST Audit.pdf</b> | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0030 VOST and First VOST Audit                   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_C M0040 Total VOC.pdf</b>                 | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0040 Total Volatile Organic Condensate           | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_D M0061 Hex Cr.pdf</b>                    | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0061 Hexavalent Chromium                         | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_E M29 Metals.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M29 Metals  | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_F M0010 TCO Grav.pdf</b>                  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 Total Semivolatile and Nonvolatile Organics | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_G M0023A D-F.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0023A Dioxin and Furans                          | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_H M0010 PCB PAH.pdf</b>                   | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 PCBs and PAHs                               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_I M0010 SVOC OCP.pdf</b>                  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M0010 SVOCs and OCPs                              | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_J M26A HCl Cl.pdf</b>                     | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, M26A Chlorine and Hydrogen Chloride               | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_K 2nd VOST Audit.pdf</b>                  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages, Second VOST Audit                                 | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol I-Appendix V_Rev 1-Perf Demo Test Plan_Rev 0.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix V, RF-2 Performance Demonstration Test Plan and Report, Performance Demonstration Test Plan   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix IX_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VI_Rev 1.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VII_Rev 1.pdf</b>   | RCRA Permit Application for Siemens Industry Inc., Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation   | Focus Environmental, Inc. | Siemens Industry, Inc. |
| <b>2012 04 RCRA Application_Vol II-Appendix VIII_Rev 2.pdf</b>  | RCRA Permit Application for Siemens Industry Inc., Appendix VIII, Spent Carbon Container Specifications   | Focus Environmental, Inc. | Siemens Industry, Inc. |

|   |   |                           |                             |
|---|---|---------------------------|-----------------------------|
| <b>2012 04 RCRA Application_Vol II-Appendix X_Rev 1.pdf</b>                         | RCRA Permit Application for Siemens Industry Inc., Appendix X, RF-2 Equipment Drawings and Specifications                         | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XI_Rev 1.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XI, Risk Assessment Report  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XII_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XII, Inspection Schedule and Checklists                               | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIII_Rev 1.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix XII, Contingency Plan   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIV_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XIV, Training Syllabus Outline and Training Summary                   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XIX_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XIX, Subpart BB Compliance Plan                                       | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XV_Rev 3.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XV, RCRA Facility Closure Plan  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVI_Rev 6.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XVI, RF-1 Unit Closure Plan   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVII_Rev 2.pdf</b>                      | RCRA Permit Application for Siemens Industry Inc., Appendix XVII, Sampling, Analysis, and QA/QC Plan for Closure                  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XVIII_Rev 1.pdf</b>                     | RCRA Permit Application for Siemens Industry Inc., Appendix XVIII, Financial Assurance Mechanism                                  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XX_Rev 5.pdf</b>                        | RCRA Permit Application for Siemens Industry Inc., Appendix XX, Subpart CC Compliance Plan  | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 RCRA Application_Vol II-Appendix XXI_Rev 1.pdf</b>                       | RCRA Permit Application for Siemens Industry Inc., Appendix XXI, Records Retention Requirements                                   | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 04 Response to Request for Information and Comments on Feb 2007 App.pdf</b> | Response to Request for Information and Comments on the February 2007 Permit Application Submittal for Siemens Water Technologies | Focus Environmental, Inc. | Siemens Industry, Inc.      |
| <b>2012 05 01 Transmittal Letter Waste Manifests Jan-Apr 2012.pdf</b>               | Letter transmitting January through April 2012 manifests.   | Mike Zabaneh (U.S. EPA)   | Bradley Angel (Greenaction) |
| <b>2012 05 07 Interview 10 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.  | U.S. EPA                  |                             |
| <b>2012 05 08 Interview 11 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.  | U.S. EPA                  |                             |
| <b>2012 05 25 NHPA final rpt letters w 2012 07 12 memo.pdf</b>                      | Final Report: Finding of No Historic Properties Affected.   |                           |                             |
| <b>2012 06 19 SHPO Concurrence Final.pdf</b>  | Letter regarding concurrence to the final National Historic Preservation Act "no adverse effect" finding.                         | Erick Larila (ASHPO)      | Caleb Shaffer (US EPA)      |
| <b>2012 07 24 Email re Siemens SSMP Submittal.pdf</b>                               | Email re submittal of Startup, Shutdown and Malfunction Plan. Redacted information not being relied upon in the permit decision.  | Monte McCue (Siemens)     | Mike Zabaneh (U.S. EPA)     |
| <b>2012 08 02 Email Transmittal of SSMP.pdf</b>                                     | Email transmitting 2012 05 Startup, Shutdown and Malfunction Plan.  | Mike Zabaneh (U.S. EPA)   | Annastacia Braye (U.S. EPA) |

|   |  |                          |                             |
|---|--|--------------------------|-----------------------------|
| <b>2012 08 30 Re_Minor New Source Review Program_Registration of Existing Source Under 40 CFR Part 49.pdf</b> | Letter regarding Minor New Source Review Program Registration of Existing Source Under 40 CFR Part 49.                               | Monte McCue (Evoqua)     | Gerardo Rios (US EPA)       |
| <b>2012 08 31 Waste Manifests from May 2012 - August 2012.pdf</b>   | Letter transmitting May through August 2012 manifests.   | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |
| <b>2012 09 12 Interview 12 for PIP including notes.pdf</b>  | Community interview questions for the Public Involvement Plan and notes.   | U.S. EPA                 |                             |
| <b>2012 09 12 Interview 12 for PIP.pdf</b>  | Community interview questions for the Public Involvement Plan.   | U.S. EPA                 |                             |
| <b>2012 10 26 Waste Manifests from 10012012 - 10262012.pdf</b>  | Transmitting October 1, 2012 - October 26, 2012 manifests.   | Monte McCue (Siemens)    |                             |
| <b>2012 11 Letter re RCRA Financial Assurance.pdf</b>   | Letter dated 2012 11 27 re: comments on RCRA financial assurance.  | Mike Zabaneh (U.S. EPA)  | Monte McCue (Siemens)       |
| <b>2012 CEI - 1 08 27 Compliance Evaluation Inspection Report.pdf</b>   | Letter transmitting copy of March 7, 2012 inspection report.   | Chris Rollins (U.S. EPA) | Monte McCue (Siemens)       |
| <b>2012 CEI - 2 Reactivation Facility Site Plan revision Feb 2007.pdf</b>                                     | Site plan.   |                          |                             |
| <b>2012 CEI - 3 Hazardous Waste Manifests 2011.pdf</b>  | 2011 Hazardous Waste Biennial Report.  |                          |                             |
| <b>2013 01 22 Letter Re Amendment to Letter of Credit.pdf</b>   | Letter re amendment to irrevocable letter of credit.   | BNP Paribas              | U.S. EPA                    |
| <b>2013 02 25 Email Response to 2012 11 27 Letter with Endorsements.pdf</b>                                   | Email in response to <i>2012 11 27 Letter re Completion of Financial Assurance Review and Comments</i> enclosing three endorsements. | Roy Provins (Siemens)    | Mike Zabaneh (U.S. EPA)     |
| <b>2013 02 28 Waste Manifests from Oct 2012 - Feb 2013.pdf</b>  | Manifests are dated 2012 Oct through 2013 02 05.   |                          |                             |
| <b>2013 03 04 Email Transmitting Closure Assurance.pdf</b>  | Email transmitting closure assurance adjusted for inflation and request EPA sign and acknowledge closure assurance.                  | Roy Provins (Siemens)    | Mike Zabaneh (U.S. EPA)     |
| <b>2013 03 07 Letter re Transmittal Waste Manifests September 2012 - Feb 2013.pdf</b>                         | Cover letter transmitting September 2012 through Feb 2013 manifests.   | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |
| <b>2013 03 14 Waste Manifests from 02262013 - 03142013.pdf</b>  | Transmitting Feb 26, 2013 - March 14, 2013 manifests.  | Monte McCue (Siemens)    |                             |
| <b>2013 05 22 Briefing by phone Wilfred Nabahe.pdf</b>  | PowerPoint from briefing on Siemens Carbon Reactivation Facility.  | Mike Zabaneh (U.S. EPA)  | Wilfred Nabahe (CRIT)       |
| <b>2013 06 28 Email Transmitting CWT Periodic Compliance Verification Report.pdf</b>                          | Email transmitting CWT Periodic Compliance Verification Report sent to Region 9 Water Division.                                      | Roy Provins (Siemens)    | Mike Zabaneh (U.S. EPA)     |
| <b>2013 08 05 Minor NSR Registration Rpt Change Ownership.pdf</b>   | Letter re: transfer of asset ownership for CAA purposes from Siemens Industry, Inc. to Siemens Water Technologies, Inc.              | Monte McCue (Siemens)    | Gerardo Rios (U.S. EPA)     |
| <b>2013 08 19 Email Transmitting Quarterly Stormwater Report.pdf</b>  | Email transmitting Quarterly Stormwater Report for July - September 2013.  | Roy Provins (Siemens)    | Mike Zabaneh (U.S. EPA)     |
| <b>2013 09 20 Email Transmitting Annual Stormwater Report.pdf</b>   | Email transmitting Annual Stormwater Report sent to Office of Water.   | Roy Provins (Siemens)    | Mike Zabaneh (U.S. EPA)     |
| <b>2013 09 30 Waste Manifests from Mar 2013 - Sept 2013.pdf</b>   | Letter transmitting March 2013 - Sept 2013 manifests. Cover letter is dated 2013 10 24.  | Mike Zabaneh (U.S. EPA)  | Bradley Angel (Greenaction) |

|  |   |  |                              |
|--|---|--|------------------------------|
| <b>2013 11 22 Email Transmitting Financial Assurance.pdf</b>                                     | Email transmitting copies of documents related to financial assurance. Redacted information that is not being relied upon in this final permit decision.  | Stephen Richmond (Beveridge & Diamond, P.C.) | Mimi Newton (U.S. EPA)       |
| <b>2014 01 28 Letter re Review of Hazardous Waste Permit App.pdf</b>                             | Letter re RCRA Facility Assessment, Visual Site Inspection, and site visit to Evoqua.   | Jeff Scott (US EPA)                          | Dennis Patch (CRIT)          |
| <b>2014 01 28 Letter to Chairman Patch about EPA Plans to conduct Visual Site Inspection.pdf</b> | Letter re USEPA's plans to conduct a visual site inspection at Siemens to aid in completing the RCRA Facility Assessment.   | Tom Huetteman for Jeff Scott (U.S. EPA)      | Chairman Dennis Patch (CRIT) |
| <b>2014 02 09 Biennial Report for 2013.pdf</b>   | Letter transmitting 2013 Biennial Report.   | Monte McCue (Siemens)                        | Kim Thomas (U.S. EPA)        |
| <b>2014 04 30 Waste Manifests Oct 2013 - Apr 2014.pdf</b>  | Letter transmitting Oct 2013 - Apr 2014 manifests. Cover letter is dated 2013 05 27.  | Mike Zabaneh (U.S. EPA)                      | Bradley Angel (Greenaction)  |
| <b>2014 05 15 Letter re Completion of April 2012 Application Review.pdf</b>                      | Copy of Letter stating EPA finished review of application and is requesting supplemental information in enclosure.  | Mike Zabaneh (U.S. EPA)                      | Monte McCue (Evoqua)         |
| <b>2014 06 XX USFWS IPaC Website Cover letter.pdf</b>  | Online Processing of Requests for Official Endangered Species Act Species List For Consultation and Conservation Partners of the U.S. Fish and Wildlife Service Arizona Ecological Services Office. |  |                              |
| <b>2014 07 08 ANNUAL METHOD 21 SUBPART FF.pdf</b>  | Email with attachment Annual Method 21 Subpart FF monitoring with FID.  | Monte McCue (Evoqua)                         | Mike Zabaneh (US EPA)        |
| <b>2014 07 21 Transmittal of RTC and Section L.pdf</b>   | Email transmitting <i>2014 07 Response to Comments on April 2012 App</i> and <i>2014 07 RCRA Application_Section L_Rev 2</i> .  | Monte McCue (Evoqua)                         | Mike Zabaneh (U.S. EPA)      |
| <b>2014 07 RCRA Application_Appendix XII_Rev 2.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XII, Inspection Schedule and Checklists  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XIII_Rev 3.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XIII, Contingency Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XV_Rev 4.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XV, RCRA Facility Closure Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XX_Rev 6.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XX, Subpart CC Compliance Plan   | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXI_Rev 2.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XXI, Records Retention Requirements  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXII_Rev 1.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Appendix XXII, Startup Shutdown Malfunction Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Appendix XXIII_Rev 9.pdf</b>   | RCRA Permit Application for Evoqua Water Technologies., Appendix XXIII, Subpart FF Compliance Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section F_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section F, Procedures to Prevent Hazards  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section G_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section G Contingency Plan  | Evoqua Water Technologies                    |                              |
| <b>2014 07 RCRA Application_Section I_Rev 2.pdf</b>  | RCRA Permit Application for Evoqua Water Technologies., Section I Closure Plan and Cost Estimate and Liability Requirements   | Evoqua Water Technologies                    |                              |



|   |  |                             |   |
|---|--|-----------------------------|---|
| <b>2014 07 RCRA Application_Section J_Rev 3.pdf</b>                             | RCRA Permit Application for Evoqua Water Technologies., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern                              | Evoqua Water Technologies   |   |
| <b>2014 07 RCRA Application_Section J_Rev 4.pdf</b>                             | RCRA Permit Application for Evoqua Water Technologies., Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern                              | Evoqua Water Technologies   |   |
| <b>2014 07 RCRA Application_Section L_Rev 2.pdf</b>                             | RCRA Permit Application for Evoqua Water Technologies., Section L, Certification   | Evoqua Water Technologies   |   |
| <b>2014 07 RCRA Application_Section O_Rev 2.pdf</b>                             | RCRA Permit Application for Evoqua Water Technologies., Section O, Subpart CC – Air Emission Standards   | Evoqua Water Technologies   |   |
| <b>2014 07 RCRA Application_Section Q_Rev 1.pdf</b>                             | RCRA Permit Application for Evoqua Water Technologies., Section O, Subpart CC – Air Emission Standards   | Evoqua Water Technologies   |   |
| <b>2014 07 RCRA Application_Table of Contents_Rev 2.pdf</b>                     | RCRA Permit Application for Evoqua Water Technologies., Table of Contents  | Evoqua Water Technologies   |   |
| <b>2014 09 25 ORC R9 Weekly Activity Rpt.pdf</b>                                | Weekly Report on initial Tribal Consultation meeting with CRIT.  | Mimi Newton (U.S. EPA)      |   |
| <b>2014 09 30 Waste Manifests May 2014 - Sept 2014.pdf</b>                      | Manifests from May 2014 - Sept 2014.   |                             |   |
| <b>2014 10 10 R9 Delegation Approve Closure Plans R9-SWDA-001.pdf</b>           | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to the authority to approve interim status closure and post-closure plans for HW management facilities.           | Jared Blumenfeld (U.S. EPA) |   |
| <b>2014 10 10 R9 Delegation RCRA Release for Closure FR R9-SWDA-002.pdf</b>     | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to the authorities relating to financial assurance for closure and post-closure care of HW management facilities. | Jared Blumenfeld (U.S. EPA) |   |
| <b>2014 10 10 R9 Delegation RCRA TSD Permits R9-08-006.pdf</b>                  | EPA R9 Delegation of Authority, Solid Waste Disposal Act, relating to all actions necessary to issue, deny, modify, revoke and reissue, or terminate HW management facility permits. | Jared Blumenfeld (U.S. EPA) |   |
| <b>2014 10 21 Email Transmitting Memo re TCE Ambient air concentrations.pdf</b> | Email transmitting 2014 10 21 Memo re TCE ambient air concentrations associated with the Evoqua facility.  | Monte McCue (Evoqua)        | Patrick Wilson (U.S. EPA)               |
| <b>2014 10 22 Email Transmitting Draft Hopper Design.pdf</b>                    | Email transmitting 2014 10 03 Hopper H1 Design of Vessel & Supports.   | Monte McCue (Evoqua)        | Mike Zabaneh (U.S. EPA)                 |
| <b>2014 10 23 RCRA Corrective Action EI Determination.pdf</b>                   | Documentation of environmental indicator determination mitigation of contaminated groundwater under control.   | Mike Zabaneh (U.S. EPA)     |   |
| <b>2014 12 03 Meeting Agenda and Minutes w CRIT EPO.pdf</b>                     | Agenda and meeting notes from conference call with Wilfred Nabahe.   | U.S. EPA                    |   |
| <b>2014 12 15 Transmittal of Section J Rev 4.pdf</b>                            | Email transmitting <i>2014 07 RCRA Application_Section J_Rev 4.</i>  | Monte McCue (Evoqua)        | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 01 12 Transmittal Section J Rev 3.pdf</b>                               | Email transmitting <i>2014 07 RCRA Application_Section J_Rev 3.</i>  | Monte McCue (Evoqua)        | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 01 13 Transmittal of Results from Mini Burn in April 2005.pdf</b>       | Email transmitting results page from the Mini Burn in April 2005.  | Monte McCue (Evoqua)        | Mike Zabaneh (U.S. EPA)                 |
| <b>2015 02 20 Email Transmitting Cover Letter and Hopper Designs.pdf</b>        | Email transmitting the request for approval for H1 and H2 Hoppers. Referenced attachment: <i>2015 02 20 Request for Approval - H1 and H-2 Hoppers with Attachments.</i>              | Monte McCue (Evoqua)        | Mike Zabaneh & Barbara Gross (U.S. EPA) |

|   |  |                                  |                              |
|---|--|----------------------------------|------------------------------|
| <b>2015 02 20 FA Surety Bond.pdf</b>  | Letter transmitting fully executed bond.   | Monte McCue (Evoqua)             | Mike Zabaneh (U.S. EPA)      |
| <b>2015 02 20 Request for Approval - H1 and H-2 Hoppers with Attachments.pdf</b>          | Letter requesting EPA approval of the designs of the H-1 and H-2 hoppers.  | Monte McCue (Evoqua)             | Mike Zabaneh (U.S. EPA)      |
| <b>2015 02 23 Parker Pioneer EPA Meeting.pdf</b>  | Excerpt from Parker Pioneer announcing March 12 informational meeting.   | U.S. EPA                         |                              |
| <b>2015 03 03 Email Chain re the hopper design.pdf</b>                                    | Email chain with questions and response stating that the designs are sufficient.   | Mike Zabaneh (U.S. EPA)          | Monte McCue (Evoqua)         |
| <b>2015 03 03 Initial Response email regarding the hopper design.pdf</b>                  | Email response stating EPA will begin review of Hoppers' designs.  | Mike Zabaneh (U.S. EPA)          | Monte McCue (Evoqua)         |
| <b>2015 03 05 EPA Response to CRIT Letter dated 20 Feb 2015.pdf</b>                       | Letter providing answers to CRIT's questions in February 19, 2015 letter.  | Jeff Scott (U.S. EPA)            | Chairman Dennis Patch (CRIT) |
| <b>2015 03 10 Parker Pioneer EPA Meeting.pdf</b>  | Excerpt from Parker Pioneer announcing March 12 informational meeting.   | U.S. EPA                         |                              |
| <b>2015 03 12 Tribal Consultation Presentation.pdf</b>                                    | Presentation tribal consultation on pending RCRA permit decision.  | Tom Huetteman (U.S. EPA)         | CRIT Council                 |
| <b>2015 03 20 Letter re Approval of Draft Hopper Designs dated 2015 02 20.pdf</b>         | Letter approving the 2015 02 20 draft hopper designs.  | Barbara Gross (U.S. EPA)         | Monte McCue (Evoqua)         |
| <b>2015 03 20 Letter re Draft Hopper Designs of 2015 02 20.pdf</b>                        | Letter accepting the Draft Hopper H-1 and H-2 Designs dated 2015 02 20.  | Barbara Gross (US EPA)           | Monte McCue (Evoqua)         |
| <b>2015 03 24 Parker Pioneer Emissions are Safe.pdf</b>                                   | Article from Parker Pioneer on the March 12 meeting.   | John Gutekunst (Parker Pioneer)  |                              |
| <b>2015 04 13 Memo re Evaluation of Sulfur Dioxide and Nitrogen Dioxide Emissions.pdf</b> | Memo with analysis of emission rates for sulfur dioxide and nitrogen dioxide for Evoqua.   | S. Foster (CPF Associates, Inc.) | Monte McCue (Evoqua)         |
| <b>2015 04 16 Letter re Followup to 2015 03 12 Tribal Council.pdf</b>                     | Letter in follow up to EPA's briefing at the Tribal Council on March 12, 2015 regarding the anticipated proposed decision on the permit application. | Tom Huetteman (U.S. EPA)         | Chairman Dennis Patch (CRIT) |
| <b>2015 04 20 March CEI.pdf</b>   | Letter re: inspection dated 2015 03 03 through 2015 03 04, in which no significant violations were detected.   | Doug McDaniel (U.S. EPA)         | Monte McCue (Evoqua)         |
| <b>2015 04 21 Trust Agreement.pdf</b>   | Trust Agreement entered into between Evoqua and The Bank of New York Mellon.   | The Bank of New York Mellon.     | U.S. EPA                     |
| <b>2015 05 08 Letter Enclosing Trust Agreement and Bond.pdf</b>                           | Letter enclosing initialed Trust Agreement and original bond in connection with EWT Number 982-441-263.  | Julie Mason (Evoqua)             | Mimi Newton (U.S. EPA)       |
| <b>2015 05 20 Letter Identifying Questions on the Financial Assurance Instruments.pdf</b> | Letter providing comments on Financial Assurance instruments.  | Mike Zabaneh (U.S. EPA)          | Julie Mason (Evoqua)         |
| <b>2015 06 15 Letter re Trust Agreement and Bond.pdf</b>                                  | Letter providing trust agreement and bond.   | Julie Mason (Evoqua)             | Mimi Newton (U.S. EPA)       |
| <b>2015 06 23 CWT Final Report.pdf</b>  | Email with attachment, a periodic lab report on continued compliance with Categorical Pretreatment Standards as per 40 CFR 403.12.                   | Monte McCue (Evoqua)             | Mike Zabaneh (US EPA)        |
| <b>2015 06 29 Letter and attachment re Unmanifested Waste Report.pdf</b>                  | Letter transmitting unmanifested waste report from generator in Hinkley, CA.   | Monte McCue (Evoqua)             | Jared Blumenfeld (U.S. EPA)  |
| <b>2015 07 30 USEPA R9 copy USFWS IPaC Resources ESA.pdf</b>                              | USEPA R9 copy USFWS IPaC Resources ESA.pdf   |                                  |                              |

|  |  |  |   |
|--|--|--|---|
| <b>2015 07 30 USEPA R9 Evoqua ESA Update.pdf</b>   | Memo contains an update to prior Endangered Species Act (ESA) Determination by USEPA (John Beach) dated 2009 02 25.  | John Beach (US EPA)                                | Mike Zabaneh (US EPA)                               |
| <b>2015 08 05 FF Annual Complete Testing.pdf</b>   | Email with attachment dated 2015 08 05 containing the results of the Method 21 testing in compliance with 40 CFR 61.343, 345, 349 (Subpart FF) Annual Fugitive Emissions Testing.  | Monte McCue (Evoqua)                               | Mike Zabaneh (US EPA)                               |
| <b>2015 09 30 Waste Manifests September 2015.pdf</b>   | Manifests from the month of Sept. 2015. Additional September Manifests <i>2016 01 31 Waste Manifests Oct 2014 – Jan 2016.</i>  |  |   |
| <b>2015 12 14 Periodic Report for the Centralized Waste Treatment.pdf</b>                                | Email with attachment dated 2015 12 14 Periodic Report for the Centralized Waste Treatment System.   | Roy Provins (Evoqua)                               | Mike Zabaneh (US EPA)                               |
| <b>2015 12 31 Waste Manifests.pdf</b>  | Manifests from the month of Dec. 2015. Additional December Manifests: <i>2016 01 31 Waste Manifests Oct 2014 – Jan 2016.</i>   |  |   |
| <b>2016 01 15 Certificate of Liability Insurance.pdf</b>   | Hazardous waster facility certificate of liability insurance.  | Nathaniel Martin (AIG Specialty Insurance Company) |   |
| <b>2016 01 26 Biennial Report for 2015.pdf</b>   | Letter transmitting 2015 Biennial Report for facility.   | Monte McCue (Evoqua)                               | Kim Thomas (U.S. EPA)                               |
| <b>2016 01 31 Waste Manifests Oct 2014 - Jan 2016.pdf</b>  | Manifests from Oct 2014 - Jan 2016.  |  |   |
| <b>2016 02 17 Amendment to Trust Agreement.pdf</b>   | Amendment to Trust Agreement dated 2016 02 17.   | Atlantic Specialty Insurance Company               | U.S. EPA  |
| <b>2016 03 07 Letter re Status of Permit Application.pdf</b>   | Letter re U.S. EPA completing review on permit application and requesting signatures from both the owner and the operator of the facility.   | Barbara Gross (U.S. EPA)                           | Monte McCue (Evoqua) & Chairman Dennis Patch (CRIT) |
| <b>2016 03 07 USEPA R9 Ltr to CRIT re Signature Request and Status of EPA Consultation with CRIT.pdf</b> | Letter regarding the request for signature on the RCRA Part B permit application. Also concerning the status of EPA Consultation with CRIT regarding the permit decision.  | Jeff Scott (US EPA)                                | Dennis Patch (CRIT)                                 |
| <b>2016 03 15 Region 9 Routing Slip.pdf</b>  | Region 9 RCRA Records Center Routing Slip.   | U.S. EPA   |   |
| <b>2016 03 17 Evoqua Certification of RCRA Pt B Permit Application.pdf</b>                               | Evoqua Certification from Section L of RCRA Part B Permit Application.   |  |   |
| <b>2016 03 21 USEPA R9 Evoqua ESA Update.pdf</b>   | Memo with update to Prior Endangered Species Act (ESA) Determination by USEPA (John Beach) dated 2009 02 25.   | Sara Ziff (US EPA)                                 | Mike Zabaneh (US EPA)                               |
| <b>2016 03 31 Waste Manifests Feb - March 2016.pdf</b>   | Manifests form Feb 2016 - March 2016.  |  |   |
| <b>2016 04 25 CRIT Ltr re Evoqua HW Permit Application.pdf</b>   | Letter re Evoqua RCRA Hazardous Waste Permit Application. CRIT Council approved the endorsement of their 2009 signature on the RCRA Hazardous Waste Part B Permit Application Certification for the Evoqua Facility on the CRIT reservation. | Dennis Patch (CRIT)                                | Jeff Scott (US EPA)                                 |
| <b>2016 04 26 Daily Shift Report-Stack Plume.pdf</b>   | Email with Hourly Stack Plume Visual Observations from the Daily Shift Report  | Monte McCue (Evoqua)                               | Mike Zabaneh (US EPA)                               |

|   |   |                               |                         |
|---|---|-------------------------------|-------------------------|
| <b>2016 04 26 Email Transmittal of PTE Follow Up letter.pdf</b>                       | Email transmitting follow-up letter that addresses the questions regarding Evoqua's PTE calculation contained in the August 2012 Registration of Existing Sources under 40 CFR Part 49.   | Monte McCue (Evoqua)          | Gerardo Rios (U.S. EPA) |
| <b>2016 04 26 Evoqua Letter.pdf</b>   | Letter providing clarification regarding facility's calculations of its potential to emit under the CAA in light of RCRA requirements to operate air emissions control requirements under interim status and obligation to seek EPA approval prior to making any changes to such equipment. | Monte McCue (Evoqua)          | Gerardo Rios (U.S. EPA) |
| <b>2016 04 28 SO2 and NO2 emission rate and sulfur feed rate analysis.pdf</b>         | Email with revised attachment for SO2 and NO2 Emission Rate and Sulfur feed rate analysis.  | Monte McCue (Evoqua)          | Mike Zabaneh (US EPA)   |
| <b>2016 04 Evoqua Part B Application.pdf</b>  | Evoqua Part B application   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Cover Page_Rev 3</b>                                      | RCRA Permit Application for Evoqua Water Technologies, Cover Page   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Drawing List</b>  | RCRA Permit Application for Evoqua Water Technologies, List of Drawings for Part A And Part B Submittal   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Part B Table of Contents_Rev 3</b>                        | RCRA Permit Application for Evoqua Water Technologies, Table of Contents  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix I_Rev 1</b>                                | RCRA Permit Application for Evoqua Water Technologies, Appendix I, Part A Permit Application  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix II_Rev 1</b>                               | RCRA Permit Application for Evoqua Water Technologies, Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries                                | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix III_Rev 1</b>                              | RCRA Permit Application for Evoqua Water Technologies, Appendix III, Site Diagrams  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix IV_Rev 2</b>                               | RCRA Permit Application for Evoqua Water Technologies, Appendix IV, Waste Analysis Plan   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1_Cover Sheet</b>                    | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1_PDT Plan and Report Cover Page</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Cover Sheet   | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Rev 0</b>               | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Carbon Reactivation Furnace RF-2 Performance Demonstration Test Report  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Aa</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Aa PDT Report 1-Hr Data Run 1.  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ab</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ab PDT Report 1-Hr Data Run 2.  | Evoqua Water Technologies LLC |                         |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ac</b>        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ac PDT Report 1-Hr Data Run 3.  | Evoqua Water Technologies LLC |                         |

|  |  |                               |  |
|--|--|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ad</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ad PDT Report 1-Min Data Run 1.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ae</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ae PDT Report 1-Min Data Run 2.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Af</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Af PDT Report 1-Min Data Run 3.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ag</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ag RF-2 Residence                                | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App B</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App B Test Manager's Log.                            | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App C</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App C Westates CARs.                                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App D</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App D Process Field Sampling Records.                | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App E</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App E Westates PDT Master Sample List.               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App F</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix F Analytical Result Summaries               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fa</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fa H6D030205 Carbon & Process VOC Summary.       | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fb</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fb H6D030246 Carbon & Process SVOC Summary.      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fc</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fc H6D040101 Carbon Ultimate Summary.            | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fd</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fd H6D040102 Carbon Total Chlorine Summary.      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fe</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fe H6D040213 Carbon & Process Metals Summary.    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ff</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ff142541 M5 PSD Summary.                         | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fg</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fg H6D030169 M0030 VOST& 1st VOST Audit Summary. | Evoqua Water Technologies LLC |  |

|  |  |                               |  |
|--|--|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fh</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fh H6D030177 M0040 CON Summary.          | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fi</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fi H6D030194 M0061 Hex Cr Summary.       | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fj</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fj H6D030224 M29 Metals Summary.         | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fk</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fk H6D030231 M0010 TCO Grav Summary.     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fl</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fl H6D030236 M0023A D-F Summary.         | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fm</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fm H6D030241 M0010 PCB PAH Summary.      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fn</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fn H6D030245 M0010 SVOC OCP Summary.     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fo</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fo H6D040103 M26A HCl Cl Summary.        | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Fp</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Fp H6D120117 2nd VOST Audit Summary.     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App G</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix G Calculations                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Ga</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Ga Westates Example Calculations Rev 0.  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gb</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gb DRE Calculations.                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gc</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gc Mercury MTEC.                         | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App Gd</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App Gd Stack Gas Flow Rates.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-App H</b>                        | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, App H Westates Data Validation Report Rev 0. | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol I-Appendices Table of Contents</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendices A-H Table of Contents             | Evoqua Water Technologies LLC |  |

|   |   |                               |  |
|---|---|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II- Table of Contents</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendices I-L Table of Contents  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App I</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix I Airtech, Inc. Stack Sampling Report                                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App J</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix J EES, Spiking Report and Certificate of Composition for Spiking Materials | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App K</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix K CEMS Performance Specification Test Report                               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol II-App L</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Appendix L Process Instrument Calibration Data                                      | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III- Table of Contents</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Analytical Data Packages Table of Contents  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_A Feed Ultimate Analysis</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040101 Carbon Ultimate.                          | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_B Total Chlorine</b>         | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040102 Carbon Total Chlorine.                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_C Volatiles</b>              | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D030205 Carbon & Process VOC.                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_D Semivolatiles</b>          | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D030246 Carbon & Process SVOC.                    | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Feed &amp; Process_E Metals</b>                 | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Feed and Process Data Packages, H6D040213 Carbon & Process Metals.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_A M5 PSD</b>                          | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, 142541 M5 PSD.   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_B M0030 VOST &amp; 1st VOST Audit</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030169 M0030 VOST & 1st VOST Audit.                     | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_C M0040 CON</b>                       | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030177 M0040 CON.                                       | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_D M0061 Hex Cr</b>                    | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030194 M0061 Hex Cr.                                    | Evoqua Water Technologies LLC |  |

|  |   |                               |  |
|--|---|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_E M29 Metals</b>     | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030224 M29 Metals.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_F M0010 TCO Grav</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030231 M0010 TCO Grav.              | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_G M0023A D-F</b>     | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030236 M0023A D-F.                  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_H M0010 PCB PAH</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030241 M0010 PCB PAH.               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_I M0010 SVOC OCP</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D030245 M0010 SVOC OCP.              | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_J M26A HCl Cl</b>    | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D040103 M26A HCl Cl.                 | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-PDT Report_Vol III-Data Packages_Stack Gas_K 2nd VOST Audit</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Stack Gas Data Packages, H6D120117 2nd VOST Audit.              | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Appendix V_Rev 1-Perf Demo Test Plan_Rev 0</b>                                   | RCRA Permit Application for Evoqua Water Technologies, Appendix V, RF-2 Performance Demonstration Test Plan and Report, Carbon Reactivation Furnace Performance Demonstration Test Plan | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix IX_Rev 1</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix IX, Hazardous Waste Tank Systems Assessment, Design Drawings and Containment Calculations                               | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VI_Rev 1</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VII_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix VIII_Rev 2</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix VIII, Spent Carbon Container Specifications   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix X_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix X, RF-2 Equipment Drawings and Specifications   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XI_Rev 1</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix XI, Risk Assessment Report  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XII_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix XII, Inspection Schedule and Checklists   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XIII_Rev 3</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix XIII, Contingency Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XIV_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix XIV, Training Syllabus Outline and Documentation Form   | Evoqua Water Technologies LLC |  |



|   |  |                               |  |
|---|--|-------------------------------|--|
| <b>2016 04 RCRA Application_Vol II-Appendix XIX_Rev 1</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix XIX, Subpart BB Compliance Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XV_Rev 4</b>    | RCRA Permit Application for Evoqua Water Technologies, Appendix XV, RCRA Facility Closure Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVI_Rev 6</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix XVI, RF-1 Closure Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVII_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix XVII, Sampling, Analysis, and QA/QC Plan for Closure                                   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XVIII_Rev 1</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix XVIII, Financial Assurance Mechanism   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XX_Rev 6</b>    | RCRA Permit Application for Evoqua Water Technologies, Appendix XX, Subpart CC Compliance Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXI_Rev 2</b>   | RCRA Permit Application for Evoqua Water Technologies, Appendix XXI, Records Retention Requirements  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXII_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Appendix XXII, Startup Shutdown Malfunction Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol II-Appendix XXIII_Rev 9</b> | RCRA Permit Application for Evoqua Water Technologies, Appendix XXIII, Subpart FF Compliance Plan  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section A_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section A, Part A Discussion  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section B_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section B, Facility Description   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section C_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section C, Spent Carbon Characteristics   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section D_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section D, Process Information  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section E_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section E, Groundwater  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section F_Rev 2</b>       | RCRA Permit Application for Evoqua Water Technologies, Section F, Procedures to Prevent Hazards  | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section G_Rev 3</b>       | RCRA Permit Application for Evoqua Water Technologies, Section G, Contingency Plan   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section H_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section H, Personnel Training Program   | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section I_Rev 2</b>       | RCRA Permit Application for Evoqua Water Technologies, Section I, Closure Plan and Cost estimate and Liability Requirements                            | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section J_Rev 4</b>       | RCRA Permit Application for Evoqua Water Technologies, Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern | Evoqua Water Technologies LLC |  |
| <b>2016 04 RCRA Application_Vol I-Section K_Rev 1</b>       | RCRA Permit Application for Evoqua Water Technologies, Section K, Other Federal Laws   | Evoqua Water Technologies LLC |  |

|  |   |                               |                              |
|--|---|-------------------------------|------------------------------|
| <b>2016 04 RCRA Application_Vol I-Section L_Rev 3</b>  | RCRA Permit Application for Evoqua Water Technologies, Section L, Certification   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section M_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section M, Subpart AA – Process Vents  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section N_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section N, Subpart BB – Equipment Leaks  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section O_Rev 2</b>  | RCRA Permit Application for Evoqua Water Technologies, Section O, Subpart CC – Air Emission Standards   | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section P_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section P, Exposure Information  | Evoqua Water Technologies LLC |                              |
| <b>2016 04 RCRA Application_Vol I-Section Q_Rev 1</b>  | RCRA Permit Application for Evoqua Water Technologies, Section Q, Subpart FF Standards  | Evoqua Water Technologies LLC |                              |
| <b>2016 05 09 USEPA R9 Ltr to Evoqua re Part B Application.pdf</b>                           | Letter regarding the Evoqua Part B Application as complete. Attached is the email documenting emailing the letter to the CC list.   | Jeff Scott (US EPA)           | Monte McCue (Evoqua)         |
| <b>2016 05 20 Letter Responding to Letter Regarding Permit Application Certification.pdf</b> | Letter in response to April 25, 2016 letter from CRIT approving endorsement of its 2009 signature on the permit application.  | Jeff Scott (U.S. EPA)         | Chairman Dennis Patch (CRIT) |
| <b>2016 06 15 Community Information Fact Sheet Mailer English_Spanish.pdf</b>                | Community information fact sheet and mailer for Evoqua.   | U.S. EPA                      |                              |
| <b>2016 06 NHPA Determination Fact Sheet.pdf</b>   | Fact sheet including information on the NHPA review process, permit background, historic properties, and the EPA determination.   | U.S. EPA                      |                              |
| <b>2016 06 Risk Assessment Fact Sheet.pdf</b>  | Fact sheet on risk assessment steps and quick facts on the facility.  | U.S. EPA                      |                              |
| <b>2016 08 03 Letter re Clean Air Act Status.pdf</b>   | Letter in response to <i>2012 08 30 Re_Minor New Source Review Program_Registration of Existing Source Under 40 CFR Part 49.pdf</i> and <i>2016 04 26 Evoqua Letter.pdf</i> .   | Gerardo Rios (U.S. EPA)       | Monte McCue (Evoqua)         |
| <b>2016 08 04 ESA USFWS IPaC Evoqua Rpt.pdf</b>  | Duplicate of document in <i>2016 08 04 z 2007 12 07 USEPA R9 ESA File</i> .   |                               |                              |
| <b>2016 08 04 USEPA R9 ESA Determination Update.pdf</b>                                      | Duplicate of document in <i>2016 08 04 z 2007 12 07 USEPA R9 ESA File</i> .   | Sara Ziff (US EPA)            | Mike Zabaneh (US EPA)        |
| <b>2016 08 04 z 2007 12 07 USEPA R9 ESA File.pdf</b>   | Memo with update to Prior Endangered Species Act (ESA) Determination. This file contains the 2016 08 04 ESA Determination attachment which is the Fish and Wildlife Service (F&WS) Information for Planning and Conservation (IPaC) report. | Sara Ziff (US EPA)            | Mike Zabaneh (US EPA)        |
| <b>2016 09 19 Evoqua Ltr to USEPA R9 re SO2 and NOx Limitations on Emissions.pdf</b>         | Letter concerning the Annual SO2 and NOx Annual Emission Limits   | Monte McCue (Evoqua)          | Gerardo Rios (US EPA)        |
| <b>2016 09 21 Evoqua-CRIT Draft Permit.pdf</b>   | Draft RCRA Permit for Evoqua Water Technologies LLC Colorado River Indian Tribes.   | U.S. EPA                      |                              |
| <b>2016 09 26 Administrative Record Addendum.pdf</b>   | Administrative Record (AR) Addendum that contains: Links to General RCRA Information, Links to EPA Policies, Guidance documents, and other publications, Federal Register Citations, Other Publicly Available Materials                     |                               |                              |
| <b>2016 09 26 Administrative Record Addendum.pdf</b>   | Administrative Record Addendum.   | U.S. EPA                      |                              |

|  |   |  |                              |
|--|---|--|------------------------------|
| <b>2016 09 26 Fact Sheet for Proposed Permit (English).pdf</b>   | Fact sheet on Proposed permit including information on the facility, RCRA regulatory history, community involvement, and current status.                                      | U.S. EPA                                     |                              |
| <b>2016 09 26 Fact Sheet for Proposed Permit (Spanish).pdf</b>   | Spanish Fact sheet on Proposed permit including information on the facility, RCRA regulatory history, community involvement, and current status.                              | U.S. EPA                                     |                              |
| <b>2016 09 26 Statement of Basis.pdf</b>   | Statement of Basis for proposed permit for storage and processing of RCRA regulated hazardous waste.  | U.S. EPA                                     | CRIT and Evoqua              |
| <b>2016 09 27 Letter with Transmittal Notifying CRIT of Draft Permit and Public Comment Period.pdf</b> | Letter regarding proposed permit decision and plan to present to CRIT Council on November 1, 2016 to engage in additional consultation.                                       | Jeff Scott (U.S. EPA)                        | Chairman Dennis Patch (CRIT) |
| <b>2016 09 28 Email Notification of Proposed Permit Decision.pdf</b>                                   | Email notifying CRIT of proposed permit for Evoqua and plan to undertake formal consultation.   | Barbara Gross (U.S. EPA)                     | Dennis Patch (CRIT)          |
| <b>2016 09 29 Email Notification of Proposed Permit Decision.pdf</b>                                   | Emailing notifying CRIT of proposed permit for Evoqua, November 1 public meeting and public comment period.   | Barbara Gross (U.S. EPA)                     | Various                      |
| <b>2016 09 Draft RCRA Facility Assessment.pdf</b>  | Revised Draft RCRA Facility Assessment Report.  | Mike Zabaneh (U.S. EPA)                      | U.S. EPA Region IX           |
| <b>2016 10 01 CRIT FY17 workplan.pdf</b>   | GAP Online workplan for Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California 2016 10 01 through 2017 09 30                           | CRIT, Arizona and California                 |                              |
| <b>2016 10 03 Parker Line Online EPA Public Comments.pdf</b>   | Article providing information on public comment period and public hearing.  | John Wright (Parker Live Online)             |                              |
| <b>2016 10 05 Letter and Transmittal Request for Extension of Public Comment Period.pdf</b>            | Letter requesting an extension of the public comment period on draft permit.  | Stephen Richmond (Evoqua)                    | Mike Zabaneh (U.S. EPA)      |
| <b>2016 10 13 Email re Potential HAP Emiss Calcs.pdf</b>   | Email re potential hazardous air pollutant emissions calculations summary. Includes redacted information that is not being relied upon as part of this final permit decision. | Various U.S. EPA                             | Shaheerah Kelly (U.S. EPA)   |
| <b>2016 10 26 Email Transmitting Public Notice to Parker Pioneer.pdf</b>                               | Email transmitting <i>2016 10 26 Parker Pioneer PP_1026A_16.pdf</i>   | Mike Zabaneh (U.S. EPA)                      | Various                      |
| <b>2016 10 26 Parker Pioneer PP_1026A_16.pdf</b>   | Copy of U.S. EPA Public Notice for Proposed Permit Decision in Parker Pioneer on Wednesday October 26, 2016.  | U.S. EPA                                     |                              |
| <b>2016 10 28 Affidavit of Proof of Publication.pdf</b>  | Public Notice on proposed permit decision and affidavit of proof of publication from The Parker Pioneer.  | Janet Fotino (The Parker Pioneer)            |                              |
| <b>2016 11 01 Hearing Transcript Draft RCRA Permit Public Mtg Evoqua.pdf</b>                           | November 1, 2016 Public Hearing Transcript for proposed permit for the Evoqua Water Technologies, LLC facility near Parker, Arizona.  | Christine Bemiss (Fayette & Associates, LLC) |                              |
| <b>2016 11 01 Public Hearing Transcript.pdf</b>  | Signed public hearing transcript.   | Fayette & Associates, LLC                    |                              |
| <b>2016 11 01 Sign In Sheet.pdf</b>  | Sign in sheets from 2016 11 01 Public Hearing. Redacted for privacy.  |  |                              |
| <b>2016 11 10 Email re Extension of Public Comment Period.pdf</b>                                      | Email re: extension of public comment period until January 9.   | Barbara Gross (U.S. EPA)                     | Anthony Leverock (AZ DEQ)    |
| <b>2016 11 10 Email re Press Release of Extension of Public Comment Period.pdf</b>                     | Email distributing U.S. EPA press release to representatives of Congress and the House.   | Dean Higuchi (U.S. EPA)                      | Various                      |
| <b>2016 11 10 Email re Press Release to be Published.pdf</b>   | Email transmitting press release to be published at 10.   | Margot Perez-Sullivan (U.S. EPA)             | Region 9 OPA                 |
| <b>2016 11 10 Email re Total to Publish English and Spanish Public Notice in Parker Pioneer.pdf</b>    | Email discussing the total price to publish the Spanish public notice in the Parker Pioneer on Nov 16, 2016, Dec 7, 2016 and Jan 1, 2017.                                     | Janet Fotino (The Parker Pioneer)            | Mike Zabaneh (U.S. EPA)      |
| <b>2016 11 10 Email re Total to Publish Legal Notice in Parker Pioneer.pdf</b>                         | Email discussing the total price to publish the public notice in the Parker Pioneer on Nov 16, 2016, Dec 7, 2016 and Jan 1, 2017.   | Janet Fotino (The Parker Pioneer)            | Mike Zabaneh (U.S. EPA)      |

|   |   |                                  |                                |
|---|---|----------------------------------|--------------------------------|
| <b>2016 11 10 Email Transmitting Extension Letter.pdf</b>                                   | Email transmitting <i>2016 11 10 Letter re Extension of Public Comment Period.pdf</i>   | Mike Zabaneh (U.S. EPA)          | Monte McCue (Evoqua)           |
| <b>2016 11 10 Evoqua-CRIT Revised Statement of Basis.pdf</b>                                | Revised Statement of Basis for proposed permit for storage and processing of RCRA- regulated hazardous wastes.  | U.S. EPA                         |                                |
| <b>2016 11 10 Letter re Extension of Public Comment Period.pdf</b>                          | Letter in response to <i>2016 10 05 Letter and Transmittal Request for Extension of Public Comment Period.pdf</i> extending the public comment period.  | Mike Zabaneh (U.S. EPA)          | Monte McCue (Evoqua)           |
| <b>2016 11 10 Press Release for Extension of Public Comment Period.pdf</b>                  | EPA Environmental News press release on extension of public comment period to January 9, 2017.  | Margot Perez-Sullivan (U.S. EPA) |                                |
| <b>2016 11 14 Email re Extension of Public Comment Period.pdf</b>                           | Email re: extension of public comment period until January 9.   | Barbara Gross (U.S. EPA)         | Dennis Patch (CRIT)            |
| <b>2016 11 14 Email to CRIT Librarian re revised docs.pdf</b>                               | Email re: replacement pages for the Evoqua Statement of Basis Documents. Referenced attachments: <i>2016 11 Fact Sheet for Proposed Permit (English).pdf</i> ; <i>2016 11 15 Evoqua Public Notice (English).pdf</i> ; <i>2016 11 15 Revised SB App F -Admin Record List.pdf</i> | Mike Zabaneh (U.S. EPA)          | Daris Laffoon (CRIT)           |
| <b>2016 11 14 Fact Sheet for Proposed Permit (English).pdf</b>                              | Fact Sheet: Proposed Permit for Evoqua with facility description, RCRA regulatory history, current status, and community involvement.   | U.S. EPA                         |                                |
| <b>2016 11 14 Fact Sheet for Proposed Permit (Spanish).pdf</b>                              | Spanish version of <i>2016 11 Fact Sheet for Proposed Permit (English).pdf</i> .  | U.S. EPA                         |                                |
| <b>2016 11 15 Email FW Reply Letter for Extending Public Comment w Revised SB pages.pdf</b> | Letter re: EPA extending public comment period to January 9, 2017.  | Mike Zabaneh (U.S. EPA)          | Monte McCue (Evoqua)           |
| <b>2016 11 15 Evoqua Public Notice (English).pdf</b>  | Public Notice of proposed permit decision and extension of public comment period to January 9, 2017.  | U.S. EPA                         |                                |
| <b>2016 11 15 Evoqua Public Notice (Spanish).pdf</b>  | Spanish Public Notice of proposed permit decision and extension of public comment period to January 9, 2017.  | U.S. EPA                         |                                |
| <b>2016 11 15 Revised SB App F -Admin Record List.pdf</b>                                   | Revised list of Administrative record documents.  | U.S. EPA                         |                                |
| <b>2016 11 15 Revised Statement of Basis Pages.pdf</b>                                      | 5 pages of the Revised Statement of basis changes.  | U.S. EPA                         |                                |
| <b>2016 11 16_2016 12 17_2017 01 01 Public Notice for Distribution - English.pdf</b>        | Public notice to be published on Nov 16, Dec 17, and Jan 1 in the Pioneer Parker.   | U.S. EPA                         |                                |
| <b>2016 11 16_2016 12 17_2017 01 01 Public Notice for Distribution - Spanish.pdf</b>        | Public notice to be published on Nov 16, Dec 17, and Jan 1 in the Pioneer Parker.   | U.S. EPA                         |                                |
| <b>2016 12 16 Water Tech Online EPA Extends Public Comment.pdf</b>                          | Article from Water Technology announcing the extension of the public comment period.  | Water Technology                 |                                |
| <b>2016 12 27 CRIT Comments on Draft Evoqua Permit.pdf</b>                                  | Comments from on Draft RCRA Permit from the Colorado River Indian Tribes  | Mike Zabaneh (U.S. EPA)          | Dennis Patch (CRIT)            |
| <b>2017 01 06 Comments of Evoqua Draft Permit Decision.pdf</b>                              | Letter with attachments consisting of a statement comments on Draft Permit and Redlined changes that EWT request EPA make to the Draft Permit.  | Stephen Richmond (Evoqua)        | Mike Zabaneh (U.S. EPA)        |
| <b>2017 01 06 Redline Edits to Draft RCRA Permit.pdf</b>                                    | Redline edits to RCRA Draft Permit.   | U.S. EPA                         | Evoqua Water Technologies, LLC |
| <b>2017 01 06 River City Newspaper Invoice and Statement.pdf</b>                            | Advertising invoice and statement for EPA public notice publishing.   | River City Newspapers, LLC       |                                |
| <b>2017 01 09 Confirmation of Receipt of Greenaction Comments.pdf</b>                       | Email re: confirmation of receipt of <i>2017 01 09 Greenaction Email Transmittal of Comments.pdf</i>  | Barbara Gross (U.S. EPA)         | Bradley Angel (Greenaction)    |

|   |  |  |   |
|---|--|--|---|
| <b>2017 01 09 Confirmation of Receipt of Public Comment L. Muriel.pdf</b>           | Email re: confirmation of receipt of <i>2017 01 09 Public Comment L. Muriel on Evoqua.pdf</i>  | Barbara Gross (U.S. EPA)   | Lorrie Ann Muriel                                       |
| <b>2017 01 09 Email Transmittal of Comments of Evoqua Draft Permit Decision.pdf</b> | Email transmitting <i>2017 01 06 Comments of Evoqua Draft Permit Decision.pdf</i>  | Stephen Richmond (Evoqua)  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 09 Greenaction Email Transmittal of Comments.pdf</b>                     | Email and letter re comments in opposition to the proposed permit for Evoqua on behalf of Greenaction members and constituents who are CRIT tribal members and other Greenaction members who live in the area. Including all referenced attachments. | Bradley Angel (Greenaction)  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 01 09 Public Comment L. Muriel on Evoqua.pdf</b>                            | Email re: opposition to the Evoqua Facility.   | Lorrie Ann Muriel  | Mike Zabaneh (U.S. EPA)                                 |
| <b>2017 02 Risk Assessment Fact Sheet.pdf</b>                                       | Fact sheet on risk assessment steps and quick facts on the facility.   | U.S. EPA   |   |
| <b>2017 03 20 Notification to CRIT of Closure of Public Comment Period.pdf</b>      | Letter notifying CRIT the public comment period has ended and that they will responses to all comments with the final permit decision.   | Jeff Scott (U.S. EPA)  | Dennis Patch (CRIT)                                     |
| <b>2017 04 21 KQED Article re Demonstration at EPA.pdf</b>                          | KQED article entitled "Environmental Groups Rally and March in S.F. to Demand Action from EPA"   | Cesar Saldana (KQED)   |   |
| <b>2017 06 22 Letter re Transmittal of CRIT Consultation Policy.pdf</b>             | Letter transmitting the Government to Governmental Consultation Policy of the Colorado River Indian Tribes.  | Chairman Dennis Patch (CRIT)                                       | Sir or Madam  |
| <b>2017 06 26 Periodic Reports on Continued Compliance.pdf</b>                      | Letter submitting periodic reports per 40 CFR 403.12 (e).  | Monte McCue (Evoqua)   | Keith Silva (U.S. EPA)                                  |
| <b>2017 07 27 EPA HQ reply to Chrmn Patch CRIT.pdf</b>                              | Letter re the Government to Government Consultation Policy of the Colorado River Indian Tribes.  | Jane Nishida (U.S. EPA Office of International and Tribal Affairs) | Chairman Dennis Patch (CRIT)                            |
| <b>2017 08 29 Record of Communication M. Allison.pdf</b>                            | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Michael Allison (Arizona Department of Health Services) |
| <b>2017 08 Record of Communication H. Lin.pdf</b>                                   | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Dr. Hisini Lin (Arizona Department of Health Services)  |
| <b>2017 08 Record of Communication S. Dawavendewa.pdf</b>                           | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Sylvia Dawavendewa (CRIT Health Department)             |
| <b>2017 09 01 Record of Communication J. Ritchey.pdf</b>                            | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant.                  | Patrick Wilson (U.S. EPA)  | Dr. Jaime Ritchey (Intertribal Council of Arizona)      |
| <b>2017 09 05 Letter re Notice of Resignation of the Trustee.pdf</b>                | Letter re notice of resignation of the trustee.  | Christine Garlick Reichow (Wells Fargo)                            | Donna Reed (Siemens) Matthew Thomson (US Filter)        |
| <b>2017 09 08 Record of Communication W. Nabahe.pdf</b>                             | Email establishing contact between EPA Senior Toxicologist and CRIT DHS.   | Wilfred J. Nabahe (CRIT Environmental Protection Office)           | Sylvia Dawavendewa (CRIT Health Department)             |

|  |   |   |   |
|--|---|---|---|
| <b>2017 09 12 Record of Communication Z. Hargis.pdf</b>                                      | External Communications Log Form documenting conversation regarding Data or scientific findings regarding putative elevated rates of neoplasms in zip codes, census tracts or community subgroups in proximity to permit applicant. | Patrick Wilson (U.S. EPA)                       | Zachary Hargis (Office of Environmental Health Parker Indian Health Center) |
| <b>2017 10 01 CRIT FY18 workplan.pdf</b>   | GAP Online workplan for Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California 2017 10 01 through 2018 09 30.  | CRIT, Arizona and California                    |   |
| <b>2017 10 19 Email re Registration Statement for Potential Public Offering of Stock.pdf</b> | Email notifying EPA that the parent company of Evoqua has filed a registration for a potential public offering of its stock.  | Stephen Richmond (Beveridge & Diamond, P.C.)    | Mimi Newton (U.S. EPA)  |
| <b>2017 10 26 Email in Response to Change in EWT Parent Company.pdf</b>                      | Email in response to <i>2017 10 19 Email re Registration Statement for Potential Public Offering of Stock</i> .   | Mimi Newton (U.S. EPA)                          | Stephen Richmond (Beveridge & Diamond, P.C.)                                |
| <b>2018 02 02 Closure Cost Assurance.pdf</b>   | Letter including updated Closure Cost Assurance for the facility for 2018.  | Monte McCue (Evoqua)                            | U.S. EPA  |
| <b>2018 02 17 Financial Guarantee Bond.pdf</b>   | Financial Assurance mechanism for Evoqua.   | Atlantic Specialty Insurance Company            | Evoqua Water Technologies LLC   |
| <b>2018 02 22 Record of Communication Risk Assessment Guidance Document.pdf</b>              | Email providing excerpt of Risk Assessment Guidance Document on Human Health Risk Assessment Protocol for Hazardous Waste Combustion Facilities.  | Patrick Wilson (U.S. EPA)                       | Mimi Newton & Mike Zabaneh (U.S. EPA)                                       |
| <b>2018 02 23 Agency Guidance on Conducting Risk Assessments.pdf</b>                         | Email providing Agency guidance on conducting risk assessments.   | Patrick Wilson (U.S. EPA)                       | Mimi Newton & Mike Zabaneh (U.S. EPA)                                       |
| <b>2018 03 06 FWS Endangered Species List.pdf</b>  | List of threatened and endangered species that may occur in the proposed project location and/or may be affected by the proposed project.   | Steven Spangle (US Department of the Interior)  | To whom it may concern  |
| <b>2018 03 06 Memo Update to Prior EPA ESA Determinations.pdf</b>                            | Memorandum updating the February 25, 2009 ESA determination.  | Sara Ziff (U.S. EPA)                            | Mike Zabaneh (U.S. EPA)   |
| <b>2018 03 14 - 2017 CCR Final Report-Big River Development.pdf</b>                          | Big River Development Annual Water Quality Report.  | Curtiss Martin                                  |   |
| <b>2018 03 2018 04 Waste Manifests.pdf</b>   | Waste manifests from March 2018 to April 2018.  |   |   |
| <b>2018 04 05 Inspection Report.pdf</b>  | Report on February 13, 2018 unannounced compliance evaluation inspection to determine Evoqua's compliance with applicable federal statutes and regulations.   | Douglas McDaniel (U.S. EPA)                     | Monte McCue (Evoqua)  |
| <b>2018 06 07 Certification of Delivery of Consumer Confidence Report.pdf</b>                | Certification of delivery of Consumer Confidence Report and the attached report for monitoring period of 1/1/2017-12/31/2017.   | Denton Scott (CRIT)                             |   |
| <b>2018 06 12 Memo to File Regarding Tip on Abandoned or Disposed Waste.pdf</b>              | Memo in addition to the <i>2018 04 05 Inspection Report.pdf</i> describing following up on tip of abandoned or disposed waste.  | U.S. EPA  | File  |
| <b>2018 07 31 Evoqua ESA Update.pdf</b>  | Memo re: update to prior U.S. EPA Endangered Species Act determination.   | Sara Ziff (U.S. EPA)                            | Mike Zabaneh (U.S. EPA)   |
| <b>2018 07 31 Evoqua Updated Species List.pdf</b>  | Letter re: updated list of threatened and endangered species that may occur in the proposed project location, and/or may be affected by the proposed project.   | Steven L. Spangle (U.S. Department of Interior) | To whom it may concern  |
| <b>2018 08 06 Letter re Termination of Trust Agreement.pdf</b>                               | Letter re termination of June 30, 2003 Trust agreement and its amendments.  | Nicole Moutoux (U.S. EPA)                       | Philip Norris (Wells Fargo)   |

|  |   |                         |                             |
|--|---|-------------------------|-----------------------------|
| <b>2018 09 18 Supplemental Administrative Record Addendum.pdf</b>                | Supplemental Administrative Record Addendum.  | U.S. EPA                |                             |
| <b>2018 09 19 Email Re E-Manifests for Evoqua.pdf</b>                            | Email re Evoqua manifests received in 2018 07 and 2018 08 and the U.S. EPA e-Manifest system.   | Mike Zabaneh (U.S. EPA) | Bradley Angel (Greenaction) |
| <b>2awfco.3</b>  | Duplicate of <i>Undated 2awfco.pdf</i>  |                         |                             |
| <b>Air Emissions Comprehensive Performance Demo Test Plan - Presentation.pdf</b> | PowerPoint on Westates Air Emissions Comprehensive Performance Demonstration Test Plan.   |                         |                             |
| <b>Anticipated Receptor Grid Layout.jpg</b>                                      | Attachment to <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003</i> .   |                         |                             |
| <b>Area Topography.jpg</b>   | Attachment to <i>2003 05 29 RA protocol REVISED 5_ 21_ 2003</i> .   |                         |                             |
| <b>December 5, 2003 Submittal all figures files</b>                              | Document library containing all figures that were submitted with PDT Plan and QAPP. All figures are duplicated elsewhere in AR.                         |                         |                             |
| <b>December 5, 2003 Submittal PDT</b>  | Document library containing all documents submitted for the PDT Plan including final and redlined versions and response to comments.                    |                         |                             |
| <b>December 5, 2003 Submittal QAPP</b>   | Document library containing all documents submitted for the QAPP including final and redlined versions and response to comments.                        |                         |                             |
| <b>December 5, 2003 Submittal Risk</b>   | Document library containing all documents submitted for the Risk Assessment including final and redlined versions and response to comments.             |                         |                             |
| <b>December 5, 2003 Submittal SSMP Draft</b>                                     | Document library containing all documents submitted for the Startup Shut Down and Malfunction Plan.   |                         |                             |
| <b>Habitat Map - USGS Orthophotography.jpg</b>                                   | Figure 7 of Habitat Map - USGS Orthophotography Risk Assessment Work Plan   |                         |                             |
| <b>Habitat Map - USGS Topography.jpg</b>   | Figure 6 Habitat Map - USGS Topography Risk Assessment Workplan   |                         |                             |
| <b>mact.toolkit intro.wpd</b>  | Duplicate of <i>Undated Mact.toolkit.intro.pdf</i>  |                         |                             |
| <b>Multiple Metals Results - Run 1.pdf</b>                                       | Emissions results; Multiple Metals results; PCDD/PCDF Congener and TEQ results; Particulate, hydrogen chloride and chlorine results for Run 1, 2 and 3. |                         |                             |
| <b>PDTP~Fig3-1~BlockFlow~Rev0.pdf</b>  | Figure 3-1 Carbon Reactivation Furnace System Block Flow Diagram. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |                         |                             |
| <b>PDTP~Fig3-2~InstrumentLoc~Rev0.pdf</b>  | Figure 3-2 Location of Critical Process Instruments. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                            |                         |                             |
| <b>PDTP~Fig5-1~SamplingLoc~Rev0.pdf</b>  | Figure 5-1 Sampling Point Locations. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .  |                         |                             |
| <b>PDTP~Fig8-1~Report~Outline~Rev0.pdf</b>                                       | Figure 8-1 Example Performance Test Report Outline. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                             |                         |                             |
| <b>PDTP~Tab2-1~Waste~Characteristics~Rev0.pdf</b>                                | Table 2-1 Spent Activated Carbon Characterization Summary. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                      |                         |                             |
| <b>PDTP~Tab2-2~Organic~Sum~Rev0.pdf</b>  | Table 2-2 Spent Activated Carbon Organic Constituent Data Summary. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .              |                         |                             |

|  |  |  |  |
|--|--|--|--|
| <b>PDTP~Tab2-3~Nat~Gas~Characteristics~Rev0.pdf</b>          | Table 2-3 Typical Characteristics of Natural Gas. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                        |  |  |
| <b>PDTP~Tab3-1~Compliance~Inst~Rev0.pdf</b>                  | Table 3-1 Regulatory Compliance Instrumentation. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                         |  |  |
| <b>PDTP~Tab3-2~CEMS~Perf~Criteria~Rev0.pdf</b>               | Table 3-2 CEM Performance Criteria. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                      |  |  |
| <b>PDTP~Tab3-3~AWFCO~Params~Rev0.pdf</b>                     | Table 3-3 Automatic Waste Feed Cutoff Parameters. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                        |  |  |
| <b>PDTP~Tab4-1~Test~Feed~Streams~Rev0.pdf</b>                | Table 4-1 Planned Performance Test Feed Characteristics. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                 |  |  |
| <b>PDTP~Tab4-2~Target~Op~Conds~Rev0.pdf</b>                  | Table 4-2 Performance Test Target Operating Conditions. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                  |  |  |
| <b>PDTP~Tab4-2~Target~Op~Conds~Rev0~Markup.pdf</b>           | Markup copy of <i>PDTP~Tab4-2~Target~Op~Conds~Rev0</i> . Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                 |  |  |
| <b>PDTP~Tab5-1~SamCol~Rev1.pdf</b>                           | Table 5-1 Sample Collection Locations, Equipment, and Methods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .           |  |  |
| <b>PDTP~Tab5-2~Analyses~Planned~Rev0.pdf</b>                 | Table 5-2 Analyses Planned for Performance Test Samples. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                 |  |  |
| <b>PDTP~Tab5-3~Summary~Ana~Meth~Rev1.pdf</b>                 | Table 5-3 Summary of Performance Test Analytical Procedures and Methods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> . |  |  |
| <b>PDTP~Tab5-4~Volatile~Org~Target~Analytes~Rev0.pdf</b>     | Table 5-4 Summary of Target Volatile Organic Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                   |  |  |
| <b>PDTP~Tab5-5~Metal~Target~Analytes~Rev0.pdf</b>            | Table 5-5 Summary of Target Metal Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                              |  |  |
| <b>PDTP~Tab5-6~Semivolatile~Org~Target~Analytes~Rev0.pdf</b> | Table 5-6 Summary of Target Semivolatile Organic Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |  |  |
| <b>PDTP~Tab5-7~PAH~Target~Analytes~Rev0.pdf</b>              | Table 5-7 Summary of Target PAH Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab5-8~OCP~Target~Analytes~Rev0.pdf</b>              | Table 5-8 Summary of Target OCP Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab5-9~PCB~Analytes~Rev0.pdf</b>                     | Table 5-9 Summary of Target PCB Analytes. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                                |  |  |
| <b>PDTP~Tab6-1~Test~Schedule~Rev0.pdf</b>                    | Table 6-1 Anticipated Daily Schedule for Performance Test. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .               |  |  |
| <b>PDTP~Tab7-1~Anticipated~Permit~Conds~Rev0.pdf</b>         | Table 7-1 Anticipated Permit Conditions.   |  |  |
| <b>PDTP~Tab7-2~Interlock~Setpoints~Rev0.pdf</b>              | Table 7-2 Interlock Setpoints During Test Periods. Duplicate copy in <i>December 5, 2003 Submittal all figures files</i> .                       |  |  |
| <b>Siemens RTC from EPA.pdf</b>                              | Siemens response to EPA's preliminary comments.  |  |  |



|  |  |                                 |   |
|--|--|---------------------------------|---|
| <b>subpart Xpreamble.wpd</b>   | Duplicate of Supp AR - <i>Undated Subpart X Preamble.pdf</i>   |                                 |   |
| <b>Undated 2awfco.pdf</b>  | Attachment 3 describing various terms.   |                                 |   |
| <b>Undated Appendix A Protocol for Providing Information from CRIT to Westates.pdf</b> | Protocol for providing information from CRIT to Westates.  | Colorado River Indian Tribes    |   |
| <b>Undated Attachments 1-18</b>  | Attachment 1-18 of unspecified document.   |                                 |   |
| <b>Undated Attachments 1-2 Waste Codes and Incinerator Guidance.pdf</b>                | Attachments 1-2 Waste Codes and Incinerator Guidance.  |                                 |   |
| <b>Undated Book Passage on the CRIT.pdf</b>  | Article about the CRIT spanning pp. 124-127 of this unknown source.  | Amelia Flores (CRIT Museum)     |   |
| <b>UNDATED Business Cards.pdf</b>  | Wheelabrator Environmental Systems, Inc. Vice President Western Region, Bill Carlson; Wheelabrator Manager, Environmental Permitting, Matthew P. Killeen; Wheelabrator Senior Counsel, Stephen Richmond; RUST Environment & Infrastructure, Env'tl Staff Engr., John Dent; and Monte McCue, Plant Manager, Westates Carbon-Arizona, Inc. |                                 |   |
| <b>UNDATED Comment from C Paul Ludwig regarding US Filter.pdf</b>                      | Undated comment in support of US Filter/Westates.  | C. Paul Ludwig (Parker, AZ)     | Steve Armann (U.S. EPA)                                 |
| <b>UNDATED Comment from Chino Hay Market regarding US Filter.pdf</b>                   | Undated comment in support of US Filter/Westates.  | Wayne Gordon (Chino Hay Market) | Steve Armann (U.S. EPA)                                 |
| <b>Undated Common and Scientific Names of Species.pdf</b>                              | Appendix B common and scientific names of species mentioned in text.   |                                 |   |
| <b>UNDATED Cover letter w_o Enclosure of CRSSJV Final NPDES Permit.pdf</b>             | Letter transmitting final NPDES Permit No. AZ 0021415.   | Terry Oda (U.S. EPA)            | Andy Jones (Colorado River Sewage System Joint Venture) |
| <b>UNDATED CRIT Mohave Resource Listing.pdf</b>  | List of Materials with information about the Mohave – from the CRIT Library.   |                                 |   |
| <b>Undated Daily Inspection Checklist.pdf</b>  | Daily Inspection Checklist for Westates.   | Westates Carbon                 |   |
| <b>UNDATED Directions to Westates.pdf</b>  | Directions to facility from Las Vegas and Phoenix.   |                                 |   |
| <b>Undated EPA Handout About Risk Assessment.pdf</b>                                   | Information on U.S. EPA Risk Assessments.  | U.S. EPA                        |   |
| <b>Undated EPA RFA Questionnaire.pdf</b>   | Notes with questions about “WS-1 Groundwater Route,” “WS-2 Surface Water Route,” “WS-3 Air Route” and “WS-4 On-Site Contamination.”  |                                 |   |
| <b>Undated Equipment Arrangement.pdf</b>   | Diagram of equipment arrangement reactivation facilities.  |                                 |   |
| <b>UNDATED Excerpt from Component 5 How to Conduct Laboratory Audit.pdf</b>            | U.S. EPA R.6. Center for Combustion Science and Engineering re: Section 2.1.4., Reviewing the Matrices to be Analyzed and the Analytical Methods.  |                                 |   |
| <b>Undated Gov Con Spent Carbon Reactivation and Recycling.pdf</b>                     | Article from Gov Con on Evoqua.  | Gov Con.com                     |   |
| <b>Undated Haz Waste Inspection Review.pdf</b>   | List of records that need to be made available during inspection. With marginalia.   |                                 |   |
| <b>Undated img-421123001-0001.pdf</b>  | Photos of equipment at the Facility.   |                                 |   |

|  |  |                           |  |
|--|--|---------------------------|--|
| <b>UNDATED Industrial Wastewater Discharge Permit and Fact Sheet.pdf</b>                                   | Industrial Discharge Permit for Joint Venture dated 1996 05 08.  |                           |  |
| <b>UNDATED List of Attachments 1-19.pdf</b>  | Attachments 1-19.  |                           |  |
| <b>Undated Mact.toolkit intro.pdf</b>  | Document describing Hazardous Waste Combustion NESHAP Toolkit.   |                           |  |
| <b>Undated Mailing Routes -Zipcodes for Evoqua Mailers.pdf</b>   | Table showing the mailing routes and number of mailers sent out by U.S. EPA.   | U.S. EPA                  |  |
| <b>UNDATED Parker Reactivation Facility Information.pdf</b>  | Descriptions of the facility capabilities, site description, facility operation, restrictions, identification number, and permits.   |                           |  |
| <b>Undated Potential Exposure Pathways.pdf</b>   | U.S. EPA graphic showing various potential exposure pathways.  |                           |  |
| <b>UNDATED Public Library for the Tribal Community and Parker Area Residents.pdf</b>                       | Information about the Colorado River Indian Tribes.  |                           |  |
| <b>Undated Public Notice Announcing Public Wokrshop.pdf</b>  | Public Notice announcing the 2004 02 11 Public workshop that will provide information about the proposed Air Emissions Test Plan, Risk Assessment Workplan, and receive public comment on the Plans. | U.S. EPA                  |  |
| <b>UNDATED Questions &amp; Answers About Westates.pdf</b>  | Westates' Q & A material.  |                           |  |
| <b>Undated Record of Communication C.Newton.pdf</b>  | External Communication Log Form documenting data or scientific findings regarding putative elevated rates of newoplasms in zip codes, census tracts or community subgroups in proximity to Evoqua.   | Patrick Wilson (U.S. EPA) | Dr. Chris Newton<br>(Arizona Dept. of Health Services) |
| <b>Undated Siemens Graphic.pdf</b>   | Graphic of Siemens facility in Vernon, CA.   |                           |  |
| <b>UNDATED Statement of Visitor Policies and Haz Info and Release of Liability.pdf</b>                     | Material that Westates provides to visitors.   |                           |  |
| <b>Undated Subpart X Preamble.pdf</b>  | Guidance on conforming changes to Subpart X.   |                           |  |
| <b>Undated US Filter Westates Facility Description.pdf</b>   | Facility description including nature of business and types of industry served.  | US Filter Westates        |  |
| <b>Undated Vapor Phase Carbon Process Flow Diagram.pdf</b>   | Diagram of vapor phase carbon process flow diagram balance for RF-2.   |                           |  |
| <b>Undated Westates Description.pdf</b>  | Description of Westates Carbon.  |                           |  |
| <b>UNDATED Westates Pollution Control Technology Information for Kingman AZ.pdf</b>                        | Promotional material, when facility was still envisioned for siting in Kingman, AZ.  |                           |  |
| <b>Undated_Methodology for Calculating System Removal Efficiency and Unspiked Metals Extrapolation.pdf</b> | Describes the Methodology for Calculating system removal efficiency and unspiked metals extrapolation.   |                           |  |
| <b>Undated_Table 1 Monitoring Parameters for Air Emissions Test.pdf</b>                                    | Monitoring Parameters for Air Emissions Test.  |                           |  |
| <b>USGS Survey - Parker SE AZ Topographic Map 2 .jpg</b>   | Parker, Arizona Topographic map  |                           |  |
| <b>USGS Survey - Parker SE AZ Topographic Map.jpg</b>  | Parker, Arizona Topographic map  |                           |  |

|   |   |          |  |
|---|---|----------|--|
| <b>Waste Minimization Guidance.pdf</b>  | Excerpt from model permit elgin Air Force Base, FL                                      |          |  |
| <b>x_2018 09 Responses to Public Comments_001_CS-1 and I-1 to I-42.pdf</b>    | U.S. EPA Responses to Public Comments on Cover Sheet and Module I.                      | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_002_ II-1 to II-20 .pdf</b>         | U.S. EPA Responses to Public Comments on Module II.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_003_III-1 to III-13.pdf</b>         | U.S. EPA Responses to Public Comments on Module III.                                    | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_004_IV-1 to IV-35.pdf</b>           | U.S. EPA Responses to Public Comments on Module IV.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_005_V-1 to V-41.pdf</b>             | U.S. EPA Responses to Public Comments on Module V.                                      | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_006_VI-1 to VI-9.pdf</b>            | U.S. EPA Responses to Public Comments on Module VI.                                     | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_007_C-1 to C-32.pdf</b>             | U.S. EPA Responses to Public Comments on General Issues.                                | U.S. EPA |  |
| <b>x_2018 09 Responses to Public Comments_008_C-33 to C-40.pdf</b>            | U.S. EPA Responses to Public Comments on CRIT comments regarding Proposed Draft Permit. | U.S. EPA |  |
| <b>XGW04038.bin</b>   | Duplicate of Supp AR - <i>1998 06 01 Guidance Minimum Permit Conditions.pdf</i>         |          |  |
| <b>y_2018 09 Redline Final RCRA Permit v Draft RCRA Permit.pdf</b>            | Redline version of Final RCRA Permit showing changes made to draft RCRA Permit.         | U.S. EPA |  |
| <b>z_2018 09 Evoqua Final RCRA Permit Modules I-VI.pdf</b>                    | Final RCRA Permit for Colorado River Indian Tribes and Evoqua Water Technologies LLC    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_001Permit Attachment Section A.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section A, Part A Discussion                | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_002Permit Attachment Section B.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section B, Facility Description             | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_003 Permit Attachment Section C.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section C, Spent Carbon Characteristics     | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_004Permit Attachment Section D.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Section D, Process Information              | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_005 Permit Attachment Section E.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section E, Groundwater                      | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_006 Permit Attachment Section F.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section F, Procedures to Prevent Hazards    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_007 Permit Attachment Section G.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Section G, Contingency Plan                 | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

|  |   |          |  |
|--|---|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_008 Permit Attachment Section H.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section H, Personnel Training Program   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_009 Permit Attachment Section I.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section I, Closure Plan and Cost Estimate and Liability Requirements  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_010 Permit Attachment Section J.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section J, Solid Waste Management Units, Hazardous Waste Management Units, and Areas of Concern   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_011 Permit Attachment Section K.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section K, Other Federal Laws   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_012 Permit Attachment Section L.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section L, Certification  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_013 Permit Attachment Section M.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section M, Subpart AA – Process Vents   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_014 Permit Attachment Section N.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section N, Subpart BB – Equipment Leaks   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_015 Permit Attachment Section O.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section O, Subpart CC – Air Emission Standards  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_016 Permit Attachment Section P.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section P, Exposure Information   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_017 Permit Attachment Section Q.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Section Q, Subpart FF, Compliance Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_018 Permit Attachment Appendix I.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix I, Part A Permit Application   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_019 Permit Attachment Appendix II.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix II, Topographic Map, Flood Insurance Map for the Colorado River Indian Reservation, Peripheral Land Use Study Diagram for the Colorado River Indian Tribe Lands, Wind Rose, Legal Boundaries | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_020 Permit Attachment Appendix III.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix III, Site Diagrams   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_021 Permit Attachment Appendix IV.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix IV, Waste Analysis Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

|  |  |          |  |
|--|--|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_022 Permit Attachment Appendix V.pdf</b>     | Evoqua Final RCRA Permit, Permit Attachment Appendix V, RF-2 Performance Demonstration Test Plan and Report                                    | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_023 Permit Attachment Appendix VI.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix VI, Process Flow Diagrams and Piping and Instrumentation Diagrams                         | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_024 Permit Attachment Appendix VII.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix VII, Container Storage Area Concrete Pad Engineering Evaluation                           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_025 Permit Attachment Appendix VIII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix VIII, Spent Carbon Container Specifications   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_026 Permit Attachment Appendix IX.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix IX, Hazardous Waste Tank System Assessment, Design Drawings, and Containment Calculations | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_027 Permit Attachment Appendix X.pdf</b>     | Evoqua Final RCRA Permit, Permit Attachment Appendix X, RF-2 Equipment Drawings and Specifications   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_028 Permit Attachment Appendix XI.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XI, Risk Assessment Report  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_029 Permit Attachment Appendix XII.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XII, Inspection Schedule and Checklists   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_030 Permit Attachment Appendix XIII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XIII, Contingency Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_031 Permit Attachment Appendix XIV.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XIV, Training Syllabus Outline and Documentation Form                                     | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_032 Permit Attachment Appendix XV.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XV, RCRA Facility Closure Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_033 Permit Attachment Appendix XVI.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XVI, RF-1 Closure Plan  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_034 Permit Attachment Appendix XVII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XVII, Sampling, Analysis, and OA/QC Plan for Closure Activities                           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_035 Permit Attachment Appendix XVIII.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix XVIII, Financial Assurance Mechanism  | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |

|  |  |          |  |
|--|--|----------|--|
| <b>z_2018 09 Evoqua Final RCRA Permit_036 Permit Attachment Appendix XIX.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XIX, Subpart BB Compliance Plan           | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_037 Permit Attachment Appendix XX.pdf</b>    | Evoqua Final RCRA Permit, Permit Attachment Appendix XX, Subpart CC Compliance Plan            | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_038 Permit Attachment Appendix XXI.pdf</b>   | Evoqua Final RCRA Permit, Permit Attachment Appendix XXI, Records Retention Requirements       | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_039 Permit Attachment Appendix XXII.pdf</b>  | Evoqua Final RCRA Permit, Permit Attachment Appendix XXII, Startup Shutdown Malfunction Plan   | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_040 Permit Attachment Appendix XXIII.pdf</b> | Evoqua Final RCRA Permit, Permit Attachment Appendix XXIII, Subpart FF Compliance Plan         | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |
| <b>z_2018 09 Evoqua Final RCRA Permit_Permit Exhibit I.pdf</b>                     | Evoqua Final RCRA Permit, Permit Exhibit I, Documents to be Included in Information Repository | U.S. EPA | Colorado River Indian Tribes and Evoqua Water Technologies LLC |