

# State Review Framework (SRF) Information Key

- **Authorized Agency:** Agency authorized to implement the program (state, local, or EPA)
- **Review Year:** Fiscal year in which the activities being reviewed were conducted
- **Statutes Covered:**
  - *Clean Water Act (CAA)* – National Pollutant Discharge Elimination System (NPDES)
  - *Clean Air Act (CWA)* – Title V
  - *Resource Conservation and Recovery Act (RCRA)* – Subtitle C
- **Source Information:**
  - *Data Metrics* – Verified compliance and enforcement data in the national data systems
  - *File Metrics* – Facility files that contain compliance and enforcement activity
  - *Other* – Non-review year data or multi-year data trends; results of previous SRF reports; performance agreements; follow-up conversations with agency personnel
- **Program Elements Covered:**
  - *Data* - Completeness, accuracy, and timeliness of data entry into national data systems
  - *Inspections* - Meeting inspection and coverage commitments, inspection report quality, and inspection report timeliness
  - *Violations* - Identification of violations, accuracy of compliance determinations, and determination of significant noncompliance (SNC) or high priority violators (HPV)
  - *Enforcement* – Timeliness and appropriateness of enforcement, returning facilities to compliance
  - *Penalties* - Calculation including gravity and economic benefit components, assessment, and collection
- **Finding Levels:**
  - *Meets or Exceeds:* No deficiencies were identified, the base level of program performance was met or exceeded.
  - *Area for Attention:* Minor deficiencies were identified. One or more metrics indicates deficiencies in performance related to program activities, processes, or policy. The authorized program is to correct the issue without additional EPA oversight. EPA may make suggestions to improve performance, but they will not be monitored for completion between SRF reviews.
  - *Area for Improvement (Recommendation Required):* Significant deficiencies were identified. One or more metrics indicates deficiencies in performance related to program activities, processes, or policy. Recommended actions to correct the issue are included in the report. Recommendations must have well-defined timelines and milestones for completion, and, if possible, should address root causes. EPA will monitor recommendations for completion between SRF reviews
- **Recurring Issue**
  - The performance issue was also identified in the previous round as *Area for Improvement*
- **Recommendation Status**
  - *Ongoing:* The recommended actions are currently being implemented and are expected to be completed by the agreed upon due date
  - *Completed:* The recommended actions have been implemented and documentation provided to verify completion
  - *Overdue:* The recommended actions have not been completed by the agreed upon due date