

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 8
1595 WYNKOOP STREET
DENVER, COLORADO 80202-1129

AUTHORIZATION TO DISCHARGE UNDER THE
NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM

In compliance with the provisions of the Clean Water Act, as amended, (33 U.S.C. § 1251 et seq; “the Act”),

the U.S. General Services Administration

is authorized to discharge from its groundwater treatment facility located in the Denver Federal Center SE 1/4 of Section 9, Township 4S, Range 69W, latitude 39.718333° N and longitude 105.110555° W, Jefferson County,

to McIntyre Gulch entering Lakewood Gulch, tributary to the South Platte River,

in accordance with discharge point(s), effluent limitations, monitoring requirements and other conditions set forth herein. Authorization for discharge is limited to those outfalls specifically listed in the Permit.

This Permit shall become effective **September 1, 2018**

This Permit and the authorization to discharge shall expire at midnight, **August 31, 2023**

Signed this _____ day of

Authorized Permitting Official

Darcy O’Connor
Assistant Regional Administrator
Office of Water Protection

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1. EFFLUENT LIMITATIONS AND MONITORING REQUIREMENTS

1.1. Definitions.

The *7-day average*, other than for microbiological organisms (e.g., bacteria, viruses, etc.), is the arithmetic mean of all samples collected during a consecutive 7-day period commencing on the first day of a discharge event. Geometric means shall be calculated for microbiological organisms unless specified otherwise in the Permit. The 7-day averages are applicable only to those effluent characteristics for which there are 7-day average effluent limitations. If the 7-day monitoring period begins in one calendar month and ends in the next calendar month, the 7-day average shall be reported on the form for the month the last sample was collected for that averaging period. For example, if the first sample is collected in July and the last sample is collected in August, the 7-day average will be reported on the August discharge monitoring report form.

The *30-day average*, other than for microbiological organisms (e.g., bacteria, viruses, etc.), is the arithmetic average of all samples collected during a consecutive 30-day period commencing on the first day of a discharge event. Geometric means shall be calculated for microbiological organisms unless specified otherwise in the Permit. For purposes of reporting self-monitoring data, the 30-day average shall be reported on the form for the month the last sample was collected for that averaging period. For example, the 30-day average of samples collected spanning July and August will be reported on the August discharge monitoring report form.

Bypass means the intentional diversion of waste streams from any portion of a treatment facility.

Composite samples shall be flow proportioned. The composite sample shall, at a minimum, contain at least four (4) samples collected over the compositing period. Unless otherwise specified, the time between the collection of the first sample and the last sample shall not be less than six (6) hours, nor more than twenty-four (24) hours. Acceptable methods for the preparation of composite samples are as follows:

- a. Constant time interval between samples, sample volume proportional to flow rate at the time of sampling;
- b. Constant time interval between samples, sample volume proportional to total flow (volume) since last sample. For the first sample, the flow rate at the time of the first sample was collected may be used;
- c. Constant sample volume, time interval between samples proportional to flow (i.e., sample taken every "X" gallons of flow); and,
- d. Continuous collection of sample with sample collection rate proportional to flow rate.

CWA means the Clean Water Act (formerly referred to as either the Federal Water Pollution Act or the Federal Water Pollution Control Act Amendments of 1972), Pub. L. 92-500, as amended by Pub. L. 95-217, Pub. L. 95-576, Pub. L. 96-483, Pub. L. 97-117, and Pub. L. 100-4. In this Permit the CWA may be referred to as "the Act."

Daily Maximum (Daily Max.) is the maximum measured value for a pollutant discharged during a calendar day or any 24-hour period that reasonably represents a calendar day for purposes of sampling. For pollutants with daily maximum limitations expressed in units of mass (e.g., kilograms, pounds), the daily maximum is calculated as the total mass of pollutant discharged

over the calendar day or representative 24-hour period. For pollutants with limitations expressed in other units of measurement (e.g., milligrams/liter, parts per billion), the daily maximum is calculated as the average of all measurements of the pollutant over the calendar day or representative 24-hour period. If only one measurement or sample is taken during a calendar day or representative 24-hour period, the single measured value for a pollutant will be considered the daily maximum measurement for that calendar day or representative 24-hour period.

Daily Minimum (Daily Min.) is the minimum value allowable in any single sample or instantaneous measurement collected during the course of a day.

Director means the Regional Administrator of the EPA Region 8 or an authorized representative.

E. coli means *Escherichia coli*.

EPA means the United States Environmental Protection Agency.

Grab sample, for monitoring requirements, is defined as a single "dip and take" sample collected at a representative point in the discharge stream.

Instantaneous measurement, for monitoring requirements, is defined as a single reading, observation, or measurement.

Severe property damage means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.

Sewage Sludge is any solid, semi-solid or liquid residue generated during the treatment of domestic sewage in a treatment works. Sewage sludge includes, but is not limited to, domestic septage; scum or solids removed in primary, secondary or advanced wastewater treatment processes; and a material derived from sludge. Sewage sludge does not include ash generated during the firing of sewage sludge in a sewage sludge incinerator or grit and screenings generated during preliminary treatment of domestic sewage in a treatment works.

Storm Water means storm water runoff, snow melt runoff, and surface runoff and drainage.

Upset means an exceptional incident in which there is unintentional and temporary noncompliance with technology-based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, or careless or improper operation.

Whole Effluent Toxicity (WET) is the total toxic effect of an effluent measured directly with a toxicity test. Acute toxicity occurs when 50 percent or more mortality is observed for either species (see Part 1.3) at any effluent concentration. Mortality in the control must simultaneously be 10 percent or less for the effluent results to be considered valid.

1.2. Description of Discharge Point(s)

The authorization to discharge provided under this Permit is limited to those outfalls specifically designated below as discharge locations. Discharges at any location not authorized under a NPDES Permit is a violation of the Clean Water Act and could subject the person(s) responsible

for such discharge to penalties under Section 309 of the Act.

Table 1 – Outfalls permitted under this permit

Outfall Serial Number	Description of Discharge Point
001A	Any discharge from the Groundwater Treatment Plant to McIntyre Gulch
001B	Internal monitoring outfall – effluent sampling port

1.3. Specific Limitations and Self-Monitoring Requirements

1.3.1. Effluent Limitations – Outfall 001A and Outfall 001B

Effective immediately and lasting through the life of this Permit, the quality of effluent discharged by the facility shall, at a minimum, meet the limitations as set forth below:

Table 2 – Effluent Limitations for Outfall 001A and Outfall 001B

Parameter	30-Day Average <u>a/</u>	7-Day Average <u>a/</u>	Daily Maximum <u>a/</u>
Flow, mgd	0.043	n/a	0.072
Total Suspended Solids, mg/L	30	n/a	n/a
Oil and Grease, mg/L	n/a	n/a	10
BTEX, ug/L	n/a	n/a	100
Benzene, ug/L	n/a	n/a	5.0
1,1 - Dichloroethylene, ug/L	n/a	n/a	7.0
Trichloroethylene (TCE), ug/L	n/a	n/a	5.0
1,1,1 – Trichloroethane, ug/L	n/a	n/a	200
cis-1,2- Dichloroethylene, ug/L	n/a	n/a	70
Vinyl Chloride, ug/L	n/a	n/a	2.0
The pH of the discharge shall not be less than 6.5 and shall not be greater than 9.0 at any time			

a/ See Part 1.1 for definitions.

1.3.2. Self-Monitoring Requirements

Outfall 001B. At a minimum, upon the effective date of this Permit, the following constituents shall be monitored at the frequency and with the type of measurement indicated; samples or measurements shall be representative of the volume and nature of the monitored discharge. If no discharge occurs during the entire monitoring period, it shall be stated on the Discharge Monitoring Report Form (EPA No. 3320-1) that no discharge or overflow occurred.

Table 3 – Self Monitoring Requirements

	Frequency	Sample Type <u>a/</u>
Total Flow, mgd <u>b/</u>	Daily	Instantaneous
Total Suspended Solids, mg/L	Monthly	Grab
Benzene, ug/L	Monthly	Grab
BTEX, ug/L	Monthly	Grab
1,1-Dichloroethane, ug/L	Monthly	Grab
1,1-Dichloroethylene, ug/L	Monthly	Grab
Trichloroethylene (TCE), ug/L	Monthly	Grab
1,1,1-Trichloroethane, ug/L	Monthly	Grab
cis-1,2- Dichloroethylene, ug/L	Monthly	Grab
Vinyl Chloride, ug/L	Monthly	Grab
pH, s.u.	Monthly	Grab
Oil and Grease, visual <u>c/</u>	Monthly	Visual
Temperature, °C	Quarterly	Grab
Total Inorganic Nitrogen, mg/L <u>d/</u>	Quarterly	Grab
Total Phosphorus, mg/L <u>e/</u>	Quarterly	Grab
Whole Effluent Toxicity, acute LC ₅₀ <u>f/</u>	Quarterly	Grab

a/ See Definitions, Part 1.1, for definition of terms.

b/ Flow measurements of the effluent should be made in a manner that the permittee can affirmatively demonstrate that representative values are being obtained. The average flow rate (in million gallons per day) during the reporting period (i.e. month) and the daily maximum flow rate observed (in million gallons per day) shall be reported.

c/ A visual observation is required. If a visible sheen is detected, a grab sample shall be taken

immediately and analyzed in accordance with the requirements of 40 C.F.R. Part 136. The concentration of oil and grease shall not exceed 10 mg/L in any sample.

d/ "Total Inorganic Nitrogen (T.I.N.)" is defined as the sum of the concentrations of total ammonia nitrogen (as N) plus total nitrate and nitrite (or nitrate and nitrite individually) (as N).

e/ "Total Phosphorus (TP)" may be determined by the analysis for total phosphorus or the analyses of the components to calculate total phosphorus.

f/ Acute WET test shall be performed on two species; *Ceriodaphnia dubia*, EPA 2000.0, as a 48-hr, static-renewal definitive test with renewals at each 24-hr interval, and *Pimephales promelas*, EPA 2002.0, as a 96-hour static-renewal definitive test with renewals at each 24-hr interval. Both test shall utilize the standard dilution series of 100%, 75%, 50%, 25%, 12.5% and a 0 control, with moderately hard synthetic laboratory water for dilutions with test temperature set at 20° degrees.

COMPLIANCE RESPONSIBILITIES

1.4. Proper Operation and Maintenance:

In addition to the operation and maintenance requirements outlined at 3.5, the Permittee shall operate, at a minimum, one complete set of each main line unit treatment process whether or not this process is needed to achieve Permit effluent compliance.

1.4.1. The Permittee shall, as soon as reasonable and practicable, but no later than six (6) months after the effective date of this Permit, do the following as part of the operation and maintenance program for the wastewater treatment facility:

- 1.4.1.1. Have a current O & M Manual(s) that describes the proper operational procedures and maintenance requirements of the wastewater treatment facility;
- 1.4.1.2. Have the O & M Manual(s) readily available to the operator of the wastewater treatment facility and require that the operator become familiar with the manual(s) and any updates;
- 1.4.1.3. Have a schedule(s) for routine operation and maintenance activities at the wastewater treatment facility; and,
- 1.4.1.4. Require the operator to perform the routine operation and maintenance requirements in accordance with the schedule(s).

1.5. Removed Substances

Collected screenings, grit, solids, sludge (including sewage sludge), or other pollutants removed in the course of treatment shall be buried or disposed in a manner consistent with all applicable federal and state regulations (e.g., 40 C.F.R. Part 257, 40 C.F.R. Part 258, 40 C.F.R. Part 503). Sludge/digester supernatant and filter backwash shall not be directly blended with or enter either the final plant discharge and/or waters of the United States.

1.6. Toxic Pollutants

The Permittee shall comply with effluent standards or prohibitions established under Section 307 (a) of the Act for toxic pollutants within the time provided in the regulations that establish those standards or prohibitions, even if the Permit has not yet been modified to incorporate the

requirement.

1.7. Changes in Discharge of Toxic Substances

Notification shall be provided to the Director as soon as the Permittee knows of, or has reason to believe:

- 1.7.1. That any activity has occurred or will occur which would result in the discharge, on a routine or frequent basis, of any toxic pollutant which is not limited in the Permit, if that discharge will exceed the highest of the following "notification levels":
 - 1.7.1.1. One hundred micrograms per liter (100 µg/L);
 - 1.7.1.2. Two hundred micrograms per liter (200 µg/L) for acrolein and acrylonitrile; five hundred micrograms per liter (500 µg/L) for 2,4-dinitrophenol and for 2-methyl-4,6-dinitrophenol; and one milligram per liter (1 mg/L) for antimony;
 - 1.7.1.3. Five (5) times the maximum concentration value reported for that pollutant in the Permit application in accordance with 40 C.F.R. § 122.21(g)(7); or,
 - 1.7.1.4. The level established by the Director in accordance with 40 C.F.R. § 122.44(f).
- 1.7.2. That any activity has occurred or will occur which would result in any discharge, on a non-routine or infrequent basis, of a toxic pollutant which is not limited in the Permit, if that discharge will exceed the highest of the following "notification levels":
 - 1.7.2.1. Five hundred micrograms per liter (500 µg/L);
 - 1.7.2.2. One milligram per liter (1 mg/L) for antimony;
 - 1.7.2.3. Ten (10) times the maximum concentration value reported for that pollutant in the Permit application in accordance with 40 C.F.R. § 122.21(g)(7); or,
 - 1.7.2.4. The level established by the Director in accordance with 40 C.F.R. § 122.44(f).

2. GENERAL REQUIREMENTS

2.1. Planned Changes:

In addition to the requirements outlined at 3.11.1, the Permittee shall give the Director notice, at least 30 days prior to implementation, when there are any planned substantial changes to the existing sludge facilities, the manner of its operation, or to current sludge management practices of storage and disposal.

2.2. Signatory Requirements:

All applications, reports or information submitted to the Director shall be signed and certified. (See 40 C.F.R. §122.22)

- 2.2.1. All permit applications shall be signed by either a principal executive officer or ranking elected official.
- 2.2.2. All reports required by the Permit and other information requested by the Director shall be

signed by a person described above or by a duly authorized representative of that person. A person is a duly authorized representative only if:

- 2.2.2.1. The authorization is made in writing by a person described above and submitted to the Director; and,
- 2.2.2.2. The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility, such as the position of plant manager, superintendent, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters. (A duly authorized representative may thus be either a named individual or any individual occupying a named position.)
- 2.2.3. Changes to authorization: If an authorization under Part 2.2.2 is no longer accurate because a different individual or position has responsibility for the overall operation of the facility, a new authorization satisfying the requirements of Part 2.2.2 must be submitted to the Director prior to or together with any reports, information, or applications to be signed by an authorized representative.
- 2.2.4. Certification: Any person signing a document under this section shall make the following certification:

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

2.3. Penalties for Falsification of Reports:

The Act provides that any person who knowingly makes any false statement, representation, or certification in any record or other document submitted or required to be maintained under this Permit, including monitoring reports or reports of compliance or noncompliance shall, upon conviction be punished by a fine of not more than \$10,000 per violation, or by imprisonment for not more than six months per violation, or by both.

2.4. Availability of Reports:

Except for data determined to be confidential under 40 C.F.R. Part 2, Subpart B, all reports prepared in accordance with the terms of this Permit shall be available for public inspection at the offices of the Director. As required by the Act, permit applications, permits and effluent data shall not be considered confidential.

2.5. Oil and Hazardous Substance Liability:

Nothing in this Permit shall be construed to preclude the institution of any legal action or relieve the Permittee from any responsibilities, liabilities, or penalties to which the Permittee is or may be subject under Section 311 of the Act.

2.6. Property Rights:

The issuance of this Permit does not convey any property rights of any sort, or any exclusive privileges, nor does it authorize any injury to private property or any invasion of personal rights, nor any infringement of federal, state or local laws or regulations.

2.7. Severability:

The provisions of this Permit are severable, and if any provision of this Permit, or the application of any provision of this Permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this Permit, shall not be affected thereby.

2.8. Transfers:

This Permit may be automatically transferred to a new permittee if:

- 2.8.1. The current Permittee notifies the Director at least 30 days in advance of the proposed transfer date;
- 2.8.2. The notice includes a written agreement between the existing and new permittees containing a specific date for transfer of permit responsibility, coverage, and liability between them; and,
- 2.8.3. The Director does not notify the existing Permittee and the proposed new permittee of his or her intent to modify, or revoke and reissue the Permit. If this notice is not received, the transfer is effective on the date specified in the agreement mentioned in Part 2.8.2.

2.9. State Laws

Nothing in this Permit shall be construed to preclude the institution of any legal action or relieve the Permittee from any responsibilities, liabilities, or penalties established pursuant to any applicable state law or regulation under authority preserved by Section 510 of the Act.

2.10. Reopener Provision:

This Permit may be reopened and modified (following proper administrative procedures) to include the appropriate effluent limitations (and compliance schedule, if necessary), or other appropriate requirements if one or more of the following events occurs:

- 2.10.1. **Water Quality Standards:** The water quality standards of the receiving water(s) to which the Permittee discharges are modified in such a manner as to require different effluent limits than contained in this Permit.
- 2.10.2. **Wasteload Allocation:** A wasteload allocation is developed and approved by the Tribe and/or the EPA for incorporation in this Permit.

Water Quality Management Plan: A revision to the current water quality management plan is approved and adopted which calls for different effluent limitations than contained in this Permit.

2.11. Toxicity Limitation-Reopener Provision:

This Permit may be reopened and modified (following proper administrative procedures) to

include whole effluent toxicity limitations if whole effluent toxicity is detected in the discharge.

3. STANDARD CONDITIONS

3.1. Duty to comply:

The Permittee must comply with all conditions of this Permit. Any Permit noncompliance constitutes a violation of the Clean Water Act and is grounds for enforcement action; for Permit termination, revocation and reissuance, or modification; or denial of a permit renewal application.

- 3.1.1. The Permittee shall comply with effluent standards or prohibitions established under section 307(a) of the Clean Water Act for toxic pollutants and with standards for sewage sludge use or disposal established under section 405(d) of the CWA within the time provided in the regulations that establish these standards or prohibitions or standards for sludge use or disposal, even if the Permit has not yet been modified to incorporate the requirement.
- 3.1.2. The Clean Water Act provides that any person who violates section 301, 302, 306, 307, 308, 318 or 405 of the Act, or any permit condition or limitation implementing any such sections in a permit issued under section 402, or any requirement imposed in a pretreatment program approved under sections 402(a)(3) or 402(b)(8) of the Act, is subject to a civil penalty not to exceed \$51,570 per day for each violation. The Clean Water Act provides that any person who negligently violates sections 301, 302, 306, 307, 308, 318, or 405 of the Act, or any condition or limitation implementing any of such sections in a permit issued under section 402 of the Act, or any requirement imposed in a pretreatment program approved under section 402(a)(3) or 402(b)(8) of the Act, is subject to criminal penalties of \$2,500 to \$25,000 per day of violation, or imprisonment of not more than 1 year, or both. In the case of a second or subsequent conviction for a negligent violation, a person shall be subject to criminal penalties of not more than \$50,000 per day of violation, or by imprisonment of not more than 2 years, or both. Any person who knowingly violates such sections, or such conditions or limitations is subject to criminal penalties of \$5,000 to \$50,000 per day of violation, or imprisonment for not more than 3 years, or both. In the case of a second or subsequent conviction for a knowing violation, a person shall be subject to criminal penalties of not more than \$100,000 per day of violation, or imprisonment of not more than 6 years, or both. Any person who knowingly violates section 301, 302, 303, 306, 307, 308, 318 or 405 of the Act, or any permit condition or limitation implementing any of such sections in a permit issued under section 402 of the Act, and who knows at that time that he thereby places another person in imminent danger of death or serious bodily injury, shall, upon conviction, be subject to a fine of not more than \$250,000 or imprisonment of not more than 15 years, or both. In the case of a second or subsequent conviction for a knowing endangerment violation, a person shall be subject to a fine of not more than \$500,000 or by imprisonment of not more than 30 years, or both. An organization, as defined in section 309(c)(3)(B)(iii) of the CWA, shall, upon conviction of violating the imminent danger provision, be subject to a fine of not more than \$1,000,000 and can be fined up to \$2,000,000 for second or subsequent convictions.
- 3.1.3. Any person may be assessed an administrative penalty by the Administrator for violating section 301, 302, 306, 307, 308, 318 or 405 of this Act, or any permit condition or limitation implementing any of such sections in a permit issued under section 402 of this Act. Administrative penalties for Class I violations are not to exceed \$20,628 per violation, with the maximum amount of any Class I penalty assessed not to exceed \$51,570. Penalties for

Class II violations are not to exceed \$20,628 per day for each day during which the violation continues, with the maximum amount of any Class II penalty not to exceed \$257,848.

- 3.1.4. The Federal Civil Penalties Inflation Adjustment Act of 1990, as amended by the Debt Collection Improvement Act of 1996, requires the EPA to adjust the civil monetary penalties for inflation on a periodic basis. The EPA has adjusted its civil monetary penalties seven times since 1996, most recently on July 1, 2016 (81 Fed. Reg. 43091-43096). The penalties noted in Parts 3.1.2 and 3.1.3 are the civil and criminal penalties for violations of the Act (including permit conditions) as of August 1, 2016.

3.2. Duty to reapply:

If the Permittee wishes to continue an activity regulated by this Permit after the expiration date of this Permit, the Permittee must apply for and obtain a new permit.

3.3. Need to halt or reduce activity not a defense:

It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this Permit.

3.4. Duty to mitigate:

The Permittee shall take all reasonable steps to minimize or prevent any discharge or sludge use or disposal in violation of this Permit which has a reasonable likelihood of adversely affecting human health or the environment.

3.5. Proper operation and maintenance:

The Permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the Permittee to achieve compliance with the conditions of this Permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems which are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit.

3.6. Permit actions:

This Permit may be modified, revoked and reissued, or terminated for cause. The filing of a request by the Permittee for a Permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any Permit condition.

3.7. Property rights:

This Permit does not convey any property rights of any sort, or any exclusive privilege.

3.8. Duty to provide information:

The Permittee shall furnish to the Director, within a reasonable time, any information which the Director may request to determine whether cause exists for modifying, revoking and reissuing, or

terminating this Permit or to determine compliance with this Permit. The Permittee shall also furnish to the Director upon request, copies of records required to be kept by this Permit.

3.9. Inspection and entry:

The Permittee shall allow the Director, or an authorized representative (including an authorized contractor acting as a representative of the Administrator), upon presentation of credentials and other documents as may be required by law, to:

- 3.9.1. Enter upon the Permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this Permit;
- 3.9.2. Have access to and copy, at reasonable times, any records that must be kept under the conditions of this Permit;
- 3.9.3. Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this Permit; and
- 3.9.4. Sample or monitor at reasonable times, for the purposes of assuring Permit compliance or as otherwise authorized by the Clean Water Act, any substances or parameters at any location.

3.10. Monitoring and records:

- 3.10.1. Samples and measurements taken for the purpose of monitoring shall be representative of the monitored activity.
- 3.10.2. Except for records of monitoring information required by this Permit related to the Permittee's sewage sludge use and disposal activities, which shall be retained for a period of at least five years (or longer as required by 40 C.F.R. Part 503), the Permittee shall retain records of all monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, copies of all reports required by this Permit, and records of all data used to complete the application for this Permit, for a period of at least 3 years from the date of the sample, measurement, report or application. This period may be extended by request of the Director at any time.
- 3.10.3. Records of monitoring information shall include:
- 3.10.4. The date, exact place, and time of sampling or measurements;
- 3.10.5. The individual(s) who performed the sampling or measurements;
- 3.10.6. The date(s) analyses were performed;
- 3.10.7. The individual(s) who performed the analyses;
- 3.10.8. The analytical techniques or methods used; and
- 3.10.9. The results of such analyses.
- 3.10.10. Monitoring must be conducted according to test procedures approved under 40 C.F.R. Part 136, unless other test procedures have been specified in this Permit. Sludge monitoring procedures shall be those specified in 40 C.F.R. 503, or as specified in the Permit.

- 3.10.11. The Clean Water Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate any monitoring device or method required to be maintained under this Permit shall, upon conviction, be punished by a fine of not more than \$10,000, or by imprisonment for not more than 2 years, or both. If a conviction of a person is for a violation committed after a first conviction of such person under this paragraph, punishment is a fine of not more than \$20,000 per day of violation, or by imprisonment of not more than 4 years, or both.
- 3.11. Reporting requirements:
- 3.11.1. Planned changes. The Permittee shall give notice to the Director as soon as possible of any planned physical alterations or additions to the Permitted facility. Notice is required only when:
- 3.11.1.1. The alteration or addition to a permitted facility may meet one of the criteria for determining whether a facility is a new source in 40 C.F.R. § 122.29(b); or
- 3.11.1.2. The alteration or addition could significantly change the nature or increase the quantity of pollutants discharged. This notification applies to pollutants which are subject neither to effluent limitations in the Permit, nor to notification requirements under 40 C.F.R. § 122.42(a)(1).
- 3.11.1.3. The alteration or addition results in a significant change in the Permittee's sludge use or disposal practices, and such alteration, addition, or change may justify the application of Permit conditions that are different from or absent in the existing Permit, including notification of additional use or disposal sites not reported during the permit application process or not reported pursuant to an approved land application plan.
- 3.11.2. Anticipated noncompliance. The Permittee shall give advance notice to the Director of any planned changes in the permitted facility or activity which may result in noncompliance with Permit requirements.
- 3.11.3. Transfers. This Permit is not transferable to any person except after notice to the Director. The Director may require modification or revocation and reissuance of the Permit to change the name of the Permittee and incorporate such other requirements as may be necessary under the Clean Water Act. (See 40 C.F.R. § 122.61; in some cases, modification or revocation and reissuance is mandatory.)
- 3.11.4. Monitoring reports. Monitoring results shall be reported at the intervals specified in Section 1.3.2. of this Permit.
- 3.11.4.1. As of December 21, 2016 all reports and forms submitted in compliance with this section must be submitted electronically by the Permittee to the Director or initial recipient, as defined in 40 C.F.R. § 127.2(b), in compliance with this section and 40 C.F.R. Part 3 (including, in all cases, Subpart D to Part 3), 40 C.F.R. § 122.22, and 40 C.F.R. Part 127. Part 127 is not intended to undo existing requirements for electronic reporting. Prior to this date, and independent of Part 127, permittees may be required to report electronically if specified by a particular permit or if required to do so by state law.
- 3.11.4.2. If the Permittee monitors any pollutant more frequently than required by the Permit using test procedures approved under 40 C.F.R. Part 136, or another method required for an industry-specific waste stream under 40 C.F.R. Subchapters N or O, the results of such

monitoring shall be included in the calculation and reporting of the data submitted in the DMR or sludge reporting form specified by the Director.

- 3.11.4.3. Calculations for all limitations which require averaging of measurements shall utilize an arithmetic mean unless otherwise specified by the Director in the Permit.
- 3.11.5. Compliance schedules. Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule of this Permit shall be submitted no later than 14 days following each schedule date.
- 3.11.6. Twenty-four hour reporting.
 - 3.11.6.1. The Permittee shall report any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the Permittee becomes aware of the circumstances. A report shall also be provided within 5 days of the time the Permittee becomes aware of the circumstances. The report shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact dates and times, and if the noncompliance has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance. For noncompliance events related to combined sewer overflows, sanitary sewer overflows, or bypass events, these reports must include the data described above (with the exception of time of discovery) as well as the type of event (combined sewer overflows, sanitary sewer overflows, or bypass events), type of sewer overflow structure (e.g., manhole, combine sewer overflow outfall), discharge volumes untreated by the treatment works treating domestic sewage, types of human health and environmental impacts of the sewer overflow event, and whether the noncompliance was related to wet weather. As of December 21, 2020 all reports related to combined sewer overflows, sanitary sewer overflows, or bypass events submitted in compliance with this section must be submitted electronically by the Permittee to the Director or initial recipient, as defined in 40 C.F.R. § 127.2(b), in compliance with this section and 40 C.F.R. Part 3 (including, in all cases, Subpart D to Part 3), 40 C.F.R. § 122.22, and 40 C.F.R. Part 127. Part 127 is not intended to undo existing requirements for electronic reporting. Prior to this date, and independent of Part 127, permittees may be required to electronically submit reports related to combined sewer overflows, sanitary sewer overflows, or bypass events under this section by a particular permit or if required to do so by state law. The Director may also require permittees to electronically submit reports not related to combined sewer overflows, sanitary sewer overflows, or bypass events under this section.
 - 3.11.6.2. The following shall be included as information which must be reported within 24 hours under this paragraph.
 - 3.11.6.2.1. Any unanticipated bypass which exceeds any effluent limitation in the Permit. (See 40 C.F.R. § 122.41(g).)
 - 3.11.6.2.2. Any upset which exceeds any effluent limitation in the Permit.
 - 3.11.6.2.3. Violation of a maximum daily discharge limitation for any of the pollutants listed by the Director in the Permit to be reported within 24 hours. (See 40 C.F.R. § 122.44(g).)
 - 3.11.6.3. The Director may waive the written report on a case-by-case basis for reports under paragraph 3.11.6 if the oral report has been received within 24 hours.

- 3.11.7. Other Noncompliance Reporting: The Permittee shall report all instances of noncompliance not reported under paragraphs 3.11.4, 3.11.5 and 3.11.6 at the time monitoring reports are submitted. The reports shall contain the information listed in paragraph 3.11.6. For noncompliance events related to combined sewer overflows, sanitary sewer overflows, or bypass events, these reports shall contain the information described in paragraph 3.11.6 and the applicable required data in appendix A to 40 C.F.R. Part 127. As of December 21, 2020 all reports related to combined sewer overflows, sanitary sewer overflows, or bypass events submitted in compliance with this section must be submitted electronically by the Permittee to the Director or initial recipient, as defined in 40 C.F.R. § 127.2(b), in compliance with this section and 40 C.F.R. Part 3 (including, in all cases, Subpart D to Part 3), 40 C.F.R. § 122.22, and 40 C.F.R. Part 127. Part 127 is not intended to undo existing requirements for electronic reporting. Prior to this date, and independent of Part 127, permittees may be required to electronically submit reports related to combined sewer overflows, sanitary sewer overflows, or bypass events under this section by a particular permit or if required to do so by state law. The Director may also require permittees to electronically submit reports not related to combined sewer overflows, sanitary sewer overflows, or bypass events under this section.
- 3.11.8. Other information. Where the Permittee becomes aware that it failed to submit any relevant facts in a permit application, or submitted incorrect information in a permit application or in any report to the Director, it shall promptly submit such facts or information.
- 3.11.9. Identification of the initial recipient for NPDES electronic reporting data. The owner, operator, or the duly authorized representative of an NPDES-regulated entity is required to electronically submit the required NPDES information (as specified in appendix A to 40 C.F.R. Part 127) to the appropriate initial recipient, as determined by the EPA, and as defined in 40 C.F.R. § 127.2(b). The EPA will identify and publish the list of initial recipients on its Web site and in the Federal Register, by state and by NPDES data group [see 40 C.F.R. § 127.2(c)]. The EPA will update and maintain this listing.
- 3.12. Bypass:
- 3.12.1. Bypass not exceeding limitations. The Permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of paragraphs 3.12.2 and 3.12.3.
- 3.12.2. Notice:
- 3.12.2.1. Anticipated bypass: If the Permittee knows in advance of the need for a bypass, it shall submit prior notice, if possible at least ten days before the date of the bypass. As of December 21, 2020 all notices submitted in compliance with this section must be submitted electronically by the Permittee to the Director or initial recipient, as defined in 40 C.F.R. § 127.2(b), in compliance with this section and 40 C.F.R. Part 3 (including, in all cases, Subpart D to Part 3), 40 C.F.R. § 122.22, and 40 C.F.R. Part 127. Part 127 is not intended to undo existing requirements for electronic reporting. Prior to this date, and independent of Part 127, permittees may be required to report electronically if specified by a particular permit or if required to do so by state law.
- 3.12.2.2. Unanticipated bypass: The Permittee shall submit notice of an unanticipated bypass as required in paragraph 3.11.6 (24-hour notice). As of December 21, 2020 all notices submitted in compliance with this section must be submitted electronically by the

Permittee to the Director or initial recipient, as defined in 40 C.F.R. § 127.2(b), in compliance with this section and 40 C.F.R. Part 3 (including, in all cases, Subpart D to Part 3), 40 C.F.R. § 122.22, and 40 C.F.R. Part 127. Part 127 is not intended to undo existing requirements for electronic reporting. Prior to this date, and independent of part 127, permittees may be required to report electronically if specified by a particular permit or if required to do so by state law.

3.12.3. Prohibition of bypass:

- 3.12.3.1. Bypass is prohibited, and the Director may take enforcement action against a permittee for bypass, unless:
- 3.12.3.2. Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
- 3.12.3.3. There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
 - 3.12.3.3.1. The Permittee submitted notices as required under paragraph 3.11.6
- 3.12.3.4. The Director may approve an anticipated bypass, after considering its adverse effects, if the Director determines that it will meet the three conditions listed above in paragraph 3.12.

3.13. Upset:

- 3.13.1. Effect of an upset. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology based permit effluent limitations if the requirements of paragraph 3.13.2 are met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.
- 3.13.2. Conditions necessary for a demonstration of upset. A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:
 - 3.13.2.1. An upset occurred and that the Permittee can identify the cause(s) of the upset;
 - 3.13.2.2. The permitted facility was at the time being properly operated; and,
 - 3.13.2.3. The Permittee submitted notice of the upset as required in paragraph 3.11.6 (24 hour notice).
 - 3.13.2.4. The Permittee complied with any remedial measures required under paragraph 3.4.
- 3.13.3. Burden of proof. In any enforcement proceeding the Permittee seeking to establish the occurrence of an upset has the burden of proof.