

AGENCY: ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: “FY 2021 HAZARDOUS WASTE MANAGEMENT GRANT PROGRAM FOR TRIBES”

ACTION: Request for Applications (RFA) - Initial Announcement

RFP NO: EPA-OLEM-ORCR-21-01

ASSISTANCE LISTING NO.: 66.812

DATES: The closing date and time for receipt of application submissions is March 30, 2021, 11:59 PM (ET). Applications must be submitted electronically through <https://www.grants.gov> by 11:59 PM (ET) on March 30, 2021, to receive consideration. Applications received after 11:59 PM (ET) on March 30, 2021, will not be considered.

SUMMARY: This notice announces the availability of funds and solicits applications from federally recognized tribes or intertribal consortia for the development and implementation of hazardous waste programs and for building capacity to address hazardous waste management in Indian country. In accordance with the EPA Indian Policy of 1984, EPA recognizes tribal governments as the primary parties for managing programs for reservations.

To maximize the benefits to tribes from the limited funding to support the Hazardous Waste Management Grant Program for Tribes, EPA has reassessed the criteria used to evaluate applications submitted for funding through this grant program beginning in FY 2015. The goal of this effort is to provide technical assistance to a greater number of tribes for activities that involve hazardous waste management on tribal lands.

FUNDING/AWARDS: The total estimated funding available under this competitive opportunity is \$300,000, subject to the availability of funds and the quality of applications received. EPA anticipates the award of up to three cooperative agreements whose maximum estimated value each shall not exceed \$100,000 resulting from this competitive opportunity (*Refer to Section 2(B)*).

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COVID-19 Update: EPA is providing flexibilities to applicants experiencing challenges related to COVID-19. Please see the **Flexibilities Available to Organizations Impacted by COVID-19** clause in Section IV of [EPA’s Solicitation Clauses](#).

SECTION 1. FUNDING OPPORTUNITY DESCRIPTION

A. Introduction

To address hazardous waste management issues, EPA is responsible for implementing the Resource Conservation and Recovery Act (RCRA) Subtitle C program, 42 U.S.C 6901, et seq. The RCRA Subtitle C program is a comprehensive effort to ensure that hazardous waste is managed safely from “cradle to grave” from the moment it is generated; while it is transported, treated, or stored; until it is finally re-used or disposed of in a safe manner.

B. Types of Projects EPA Will Fund Under this Grant Program

This grant program will support projects designed to develop and implement hazardous waste management programs. These programs must improve the applicant’s ability to properly identify, manage, or dispose of hazardous waste. All hazardous waste management activities that address the RCRA Subtitle C “cradle to grave” approach are eligible. Each of the following hazardous waste management program activities satisfy this requirement and are eligible:

1. Hazardous Waste Identification;
2. Hazardous Waste Generator Siting, Monitoring, & Compliance (Large Quantity, Small Quantity, and Conditionally Exempt Small Quantity Generators);
3. Hazardous Waste Minimization and Recycling, and the Management of Used Oil, and Universal Wastes;
4. Hazardous Waste Transportation;
5. Treatment, Storage, and Disposal Facility Siting, Permitting, Monitoring, Corrective Action, and Enforcement;
6. Land Disposal Restrictions; and
7. Combustion.

C. Examples of Program Activities

The following activities are examples and do not limit the range of activities considered eligible under this grant program. These activities are eligible when they are performed in support of developing and implementing a tribal program to manage hazardous waste:

1. Develop and implement codes, regulations, ordinances, policies, or guidance to regulate hazardous waste management activities and facilities, such as generators, transporters, and treatment, storage and disposal facilities.
2. Develop and implement hazardous waste program enforcement policies and procedures to ensure regulatory compliance, including monitoring and inspection.
3. Develop legal and administrative infrastructure that are adequate to implement and maintain regulatory or non-regulatory hazardous waste management programs.
4. Identify and assess hazardous waste generation and ongoing community concerns regarding the management of waste, including:
 - a. Developing an inventory of active and inactive dump/disposal sites and assessing their contamination potential;

- b. Characterizing hazardous waste streams; and
 - c. Identifying sensitive sites with potential or actual impacts on water bodies, soil, air, cultural/historic resources, threatened or endangered species, etc.
5. Develop and implement tribal integrated hazardous waste management plans (including source reduction/recycling).
 6. Plan and implement used oil collection and other programs to reduce the improper management of hazardous waste in the community. Used oil collection programs must comply with 40 Code of Federal Regulations (CFR) 279.
 7. Plan and implement household hazardous waste collection events or programs that provide sustainable or long-term solutions for disposal of household hazardous waste for the community.
 8. Plan and implement Universal waste collection events or programs that provide sustainable or long-term solutions for disposal of Universal waste for the community. Universal waste programs must comply with 40 CFR 273.
 9. Develop outreach documents and other resource materials to plan and conduct hazardous waste management seminars for tribal leaders, community members, businesses and civic organizations, etc.
 10. Establish qualifications for environmental management personnel relative to the tribe's needs and plan for program implementation.
 11. Establish a communications plan for hazardous waste management program activities.
 12. Identify multi-jurisdictional opportunities for hazardous waste management, including feasibility for intergovernmental (tribal, federal, state, and local) cooperative efforts.

D. EPA Strategic Plan Linkage

The activities to be funded under this announcement support EPA's FY 2018-2022 Strategic Plan. Awards made under this announcement will support Goal 1 (A Cleaner, Healthier Environment), Objective 1.3 (Revitalize Land and Prevent Contamination) and Goal 2 (More Effective Partnerships), Objective 2.1 (Enhance Shared Accountability) of the [EPA Strategic Plan](#). All applications must be for projects that support these goals and objectives. Specifically, the recipient will develop and implement hazardous waste management programs that identify hazardous wastes, improve management of hazardous waste, encourage waste reduction/re-use/recycling activities, collect household hazardous waste, educate and train employees and the public, implement used oil collection programs, or other related activities. Grantees may also receive training in emergency response and hazardous materials handling.

E. Measuring Environmental Results: Anticipated Outcomes/Outputs

Pursuant to EPA Order 5700.7A1, "*Environmental Results under EPA Assistance Agreements*," EPA requires that all grant applicants and recipients adequately address environmental outcomes and outputs. Outcomes and outputs differ both in their nature and in how they are measured. Applicants must discuss environmental outcomes and outputs in their proposed work plan.

1. **Outcomes.** The term "outcomes" refers to the result, effect, or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-

related or programmatic in nature, must be quantitative, and may not necessarily be achievable during the project period.

EPA anticipates outcomes from projects expected to be awarded under this announcement will include, but are not limited to, the following:

- a. Improved knowledge about the location of hazardous waste handlers/facilities, and the types of hazardous wastes they manage as reflected by inventories of facilities.
 - b. Improved ability to properly identify, manage, or dispose of hazardous waste as demonstrated by a reduction in the number of citations under tribal codes, regulations, and ordinances, and fewer reports of illegal hazardous waste disposal.
 - c. Increased amount of household hazardous waste recycled, and/or increased use of hazardous waste reduction and re-use activities as demonstrated by increased use of household hazardous waste collection stations and re-use centers.
 - d. Improved community awareness of proper hazardous waste and used oil management practices as demonstrated by the level of participation in household hazardous waste collection events, used oil collection programs, and/or increased purchasing of alternative, non-toxic or less hazardous products.
2. **Outputs.** The term “outputs” refers to an environmental activity, effort, or associated work products related to an environmental goal or objective, that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during the project period.

EPA anticipates the outputs from the project expected to be awarded under this announcement will include, but are not limited to, the following:

- a. Inventory of hazardous waste handlers/facilities in Indian country;
 - b. Codes, regulations, ordinances, policies or guidance for regulating hazardous waste;
 - c. Facilities for improved hazardous waste management, such as secure household hazardous waste collection stations and re-use centers for used paint, wood stains, etc.;
 - d. Number of tribal leaders and environmental staff trained or certified;
 - e. Educational or community outreach material; and
 - f. Reports from studies, sampling, or research.
3. **Performance Measures.** The applicant should also develop performance measures they expect to achieve through the proposed activities and describe them in their application. These performance measures will help gather insights and will be the mechanism to track progress concerning successful processes and output/outcome strategies and will provide the basis for developing lessons to inform future recipients. It is expected that the description of performance measures will directly relate to the project outcomes and outputs. The following are questions to consider when developing output and outcome measures of quantitative and qualitative results:

- What are the measurable short-term and longer-term results the project will achieve?
- How does the plan measure progress in achieving the expected results (including outputs and outcomes) and how will the approach use resources effectively and efficiently?

F. Supplementary Information

The statutory authority for this action is Public Law 105-276, [42 U.S.C. 6908a](#). Tribal recipients of the Hazardous Waste Management Grant Program for Tribes are subject to 2 CFR Part 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards), 2 CFR Part 1500 and 40 CFR Part 35.720 et. seq.

SECTION 2. AWARD INFORMATION

A. What is the amount of available funding?

The total estimated funding available under this competitive opportunity is \$300,000, subject to the availability of funds, the quality of applications received, and other applicable considerations.

EPA reserves the right to make additional awards under this competition, consistent with Agency policy, if additional funding becomes available. Any additional selections for awards will be made no later than six months from the date of the original selection decision. EPA reserves the right to reject all applications and make no awards under this announcement or make fewer awards than anticipated.

B. How many agreements will EPA award through this competition?

EPA anticipates award of up to three cooperative agreements under this competitive opportunity, commensurate with funding allocated to support the FY 2021 Hazardous Waste Management Grant Program for Tribes. **The proposed federal funding for each award may not exceed \$100,000 excluding any leveraging.**

The funding for selected projects will be in the form of a cooperative agreement. A cooperative agreement is an assistance agreement that is used when there is substantial federal involvement throughout the performance of the project. EPA awards cooperative agreements for those projects in which it expects to have substantial interaction with the recipient throughout the performance of the project. EPA will negotiate the precise terms and conditions of “substantial involvement” as part of the award process. The anticipated substantial federal involvement for these projects may include:

- close monitoring of the recipient’s performance;
- collaboration during the performance of the scope of work;
- in accordance with 2 CFR §§ 200.317 and 200.318, as appropriate; review of proposed procurements;
- review of the qualifications of key personnel; and

- review and comment on the content of printed or electronic publications prepared by the grantee. (EPA does not have the authority to select employees or contractors employed by the recipient. The final decision on the content of reports rests with the recipient.)

C. Will Applications be partially funded?

In appropriate circumstances, EPA reserves the right to partially fund applications by funding discrete portions or phases of proposed projects. If EPA decides to partially fund an application, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the application or portion thereof, was evaluated and selected for award, and therefore maintains the integrity of the competition and selection process.

D. What is the project period for award(s) resulting from this solicitation?

The estimated start date for projects resulting from this solicitation is October 1, 2021. All project activities must be completed within the negotiated project performance period of one year.

E. Can funds be added to a Performance Partnership Grant (PPG)?

Funds for a grant awarded under this solicitation may be included in a PPG. Applicants should indicate in their application submission if they anticipate incorporating the proposed project, if selected for funding, into an already existing PPG or if they intend to create a new PPG that would include the project proposed under this solicitation. The PPG should be in place before the time of grant award or created concurrently with the award of the grant funds. The proposed project under this grant announcement must have a project period that is within the PPG project period. It cannot be longer than the PPG project period. A PPG enables entities to combine funds from more than one environmental program grant into a single grant with a single budget. After the funds have been included in the PPG, the recipient does not need to account for these funds in accordance with the funds' original program source. Similarly, tribal and intertribal consortia applications must first be selected under this competitive grant process in accordance with 40 CFR § 35.535. If a proposed PPG work-plan differs significantly from the work-plan approved for funding under this competition, the Regional Administrator must consult the National Program Manager (see 40 CFR § 35.535). The purpose of this consultation requirement is to address the issue of ensuring that a project which is awarded funding under this competition is implemented as proposed once combined with other grant programs in a PPG. For further information, see the final rules on Environmental Program Grants for tribes and intertribal consortia at 40 CFR Part 35, Subpart B. The rules are also available on the EPA's website at: [\(tribal\)](#).

SECTION 3. ELIGIBILITY INFORMATION

A. Eligible Entities

Applications will be accepted from (a) an Indian tribal government and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is

recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Intertribal Consortia are eligible to receive grants under this program only if the consortium demonstrates that all members of the consortium meet the eligibility requirements for the grant and authorize the consortium to apply for and receive assistance by submitting to EPA documentation of: (1) the existence of the partnership between Indian tribal governments, and (2) authorization of the consortium by all its members to apply for and receive the grant. Documentation can be in the form of letters signed by all member tribes, approved by-laws that contain language that specifically address the eligibility requirements and/or other forms of documentation that adequately meet the eligibility requirements.

B. Cost-Sharing or Matching

No matching funds are required under this competition. Although cost sharing/matching is not required as a condition of eligibility under this competition, under *Section 5* of this announcement EPA will evaluate applications based on a leveraging criterion.

Leveraging is, generally, when an applicant proposes to provide its own additional funds/resources or those from third party sources to support or complement the project they are awarded under the competition that are above and beyond the EPA grant funds awarded. Any leveraged funds/resources, and their source, must be identified in the application (*See Section 4 of the announcement*). Leveraged funds and resources may take various forms as noted below.

Leveraged Funding. Leveraging may be met by funding from another federal grant, from an applicant's own resources, or resources from other third-party sources. Leveraging should not be included in the budget and the costs need not be eligible and allowable project costs under the EPA assistance agreement. While leveraging should not be included in the budget, the grant workplan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's application. If applicants propose to provide leveraging, EPA expects them to make the effort to secure the leveraged resources described in their applications. If the proposed leveraging does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 or 1500.

C. Threshold Eligibility Criteria

Applications must meet the following threshold criteria **when they submit their application. Applications that fail to meet any one of the threshold criteria will not be considered further.** EPA will notify applicants who do not meet the threshold criteria within 15 calendar days of determining their ineligibility. Applications that successfully meet the threshold criteria will then be evaluated using the criteria described in *Section 5(A). Evaluation Criteria*.

The threshold criteria are:

1. Applications must address an acceptable project as described in *Section 1(B), Types of Projects EPA Will Fund Under this Grant Program* of this announcement.
2. Applications must specifically describe how the applicant will provide technical assistance and transfer information, including lessons learned to other tribal communities under the “Project Description” and “Technical Assistance/Transferability” criteria as outlined below:
 - Project Description – Applicants must provide a detailed description on how technical assistance and transfer of information will occur through the proposed project.
 - Technical Assistance/Transferability – Applicants must clearly address the various components of this criterion as disclosed in *Section 5(A)* of this announcement.
3. Federal funds requested may not exceed **\$100,000. This excludes any leveraging.** Applications requesting assistance funding in excess of this value will not be considered.
4. Applications must substantially comply with the application submission instructions and requirements set forth in *Section 4(B)* of this announcement or it will be rejected. However, where a page limit is expressed in *Section 4(D)* with respect to the application, pages in excess of the page limitation will not be reviewed. In addition, the “Narrative Proposal” must explicitly describe the applicant’s proposed project and specifically address each of the evaluation criteria as disclosed in *Section 5(A)* of this announcement.

In addition, initial applications must be submitted through [Grants.gov](https://www.grants.gov) as specified in *Section 4(B)* of this announcement (except in the limited circumstances where another mode of submission is specifically allowed for as explained in *Section 4(A)*), on or before the application submission deadline published in *Section 4(B)* of this announcement. Applicants are responsible for following the submission instructions in *Section 4(B)* of this announcement to ensure that their application is timely submitted.

Applications submitted after the submission deadline will be considered late and deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with Grants.gov or relevant [SAM.gov](https://www.sam.gov) system issues. An applicant’s failure to timely submit their application through Grants.gov because they did not timely or properly register in [SAM.gov](https://www.sam.gov) or Grants.gov will not be considered an acceptable reason to consider a late submission. Applications submitted through Grants.gov will be time and date stamped electronically. If you have not received a confirmation of receipt from EPA (not from Grants.gov) within 30 days of the application deadline, please contact **Denise Roy** at **(703) 308-8458** or roy.denise@epa.gov. Failure to confirm receipt of your application may result in your application not being reviewed.

5. Ineligible activities: If an application is submitted that includes any ineligible tasks or activities, that portion of the application will be ineligible for funding and may, depending on the extent to which it affects the application, render the entire application ineligible for funding.

D. Additional Funding Restrictions

Cleanup from abandoned or inactive facilities is covered by other EPA programs (e.g., the CERCLA/Superfund program, the Underground Storage Tank program, and the Brownfields Redevelopment program). Other EPA waste programs focus on municipal solid waste management, recycling, and responding to emergency releases of hazardous materials and wastes. Although these are important parts of an overall waste management program, **the Hazardous Waste Management Grant Program for Tribes is set up to address the active management of hazardous wastes as they are generated, transported, stored, or disposed of.** While other EPA programs fund similar and related projects, hazardous waste management program grants are specifically targeted to enhance federally recognized tribal government’s ongoing management of hazardous wastes and to increase awareness of hazardous waste management activities that may impact Indian country. EPA will only fund applications under this RFA that focus on the active management of hazardous wastes as they are generated, transported, or disposed of.

SECTION 4. APPLICATION AND SUBMISSION INFORMATION

A. Requirement to Submit Through Grants.gov and Limited Exception Procedures

Applicants, except as noted below, must apply electronically through [Grants.gov](https://www.grants.gov) under this funding opportunity based on the Grants.gov instructions in this announcement. If an applicant does not have the technical capability to apply electronically through Grants.gov because of limited or no internet access which prevents them from being able to upload the required application materials to [Grants.gov](https://www.grants.gov), the applicant must contact OMS-ARM-OGDWaivers@epa.gov or the address listed below in writing (e.g., by hard copy, email) at least 15 calendar days prior to the submission deadline under this announcement to request approval to submit their application materials through an alternate method.

Mailing Address: OGD Waivers c/o Jessica Durand USEPA Headquarters William Jefferson Clinton Building 1200 Pennsylvania Ave., N. W. Mail Code: 3903R Washington, DC 20460	Courier Address: OGD Waivers c/o Jessica Durand Ronald Reagan Building 1300 Pennsylvania Ave., N.W. Rm # 51278 Washington, DC 20004
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In the request, the applicant must include the following information:

- Funding Opportunity Number (FON)
- Organization Name and Unique Entity Identifier (e.g., DUNS)
- Organization’s Contact Information (email address and phone number)

- Explanation of how they lack the technical capability to apply electronically through Grants.gov because of: 1) limited internet access or 2) no internet access which prevents them from being able to upload the required application materials through Grants.gov.

EPA will only consider alternate submission exception requests based on the two reasons stated above and will timely respond to the request -- all other requests will be denied. If an alternate submission method is approved, the applicant will receive documentation of this approval and further instructions on how to apply under this announcement. Applicants will be required to submit the documentation of approval with any initial application submitted under the alternative method. In addition, any submittal through an alternative method must comply with all applicable requirements and deadlines in the announcement including the submission deadline and requirements regarding application content and page limits (although the documentation of approval of an alternate submission method will not count against any page limits).

If an exception is granted, it is valid for submissions to EPA for the remainder of the calendar year in which the exception was approved and can be used to justify alternative submission methods for application submissions made through December 31 of the calendar year in which the exception was approved (e.g., if the exception was approved on March 1, 2020, it is valid for any competitive or non-competitive application submission to EPA through December 31, 2020). Applicants need only request an exception once in a calendar year and all exceptions will expire on December 31 of that calendar year. Applicants must request a new exception from required electronic submission through Grants.gov for submissions for any succeeding calendar year. For example, if there is a competitive opportunity issued on December 1, 2020 with a submission deadline of January 15, 2021, the applicant would need a new exception to submit through alternative methods beginning January 1, 2021.

Please note that the process described in this section is only for requesting alternate submission methods. All other inquiries about this announcement must be directed to the Agency Contact listed in *Section 7* of this announcement. Queries or requests submitted to the email address identified above for any reason other than to request an alternate submission method will not be acknowledged or answered.

B. Grants.gov Application Submission Instructions

The electronic submission of your application must be made by an official representative of your institution who is registered with [Grants.gov](https://www.grants.gov) and is authorized to sign applications for federal assistance. For more information on the registration requirements that must be completed in order to submit an application through Grants.gov, go to [Grants.gov](https://www.grants.gov) and click on “Applicants” on the top of the page and then go to the “Get Registered” link on the page. If your organization is not currently registered with Grants.gov, please encourage your office to designate an Authorized Organization Representative (AOR) and ask that individual to begin the registration process as soon as possible. Please note that the registration process also requires that your organization have a Unique Entity Identifier (e.g., DUNS number) and a current registration with the System for Award Management (SAM) and the process of obtaining both could take a month or more. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through [Grants.gov](https://www.grants.gov) and

should ensure that all such requirements have been met well in advance of the submission deadline. Registration on [Grants.gov](https://www.grants.gov), [SAM.gov](https://www.sam.gov), and DUNS number assignment is FREE.

Applicants need to ensure that the AOR who submits the application through Grants.gov and whose Unique Entity Identifier (e.g., DUNS number) is listed on the application is an AOR for the applicant listed on the application. Additionally, the DUNS number listed on the application must be registered to the applicant organization's SAM account. If not, the application may be deemed ineligible.

To begin the application process under this grant announcement, go to [Grants.gov](https://www.grants.gov) and click on "Applicants" on the top of the page and then "Apply for Grants" from the dropdown menu and then follow the instructions accordingly. Please note: To apply through [Grants.gov](https://www.grants.gov), you must use Adobe Reader software and download the compatible Adobe Reader version. For more information about Adobe Reader, to verify compatibility, or to download the free software, please visit [Adobe Reader Compatibility Information](#) on Grants.gov.

You may also be able to access the application package for this announcement by searching for the opportunity on [Grants.gov](https://www.grants.gov). Go to [Grants.gov](https://www.grants.gov) and then click on "Search Grants" at the top of the page and enter the Funding Opportunity Number, EPA-OLEM-ORCR-21-01, or the CFDA number that applies to the announcement (CFDA 66.812), in the appropriate field and click the Search button.

Please Note: All applications must now be submitted through [Grants.gov](https://www.grants.gov) using the "Workspace" feature. Information on the Workspace feature can be found at the Grants.gov [Workspace Overview Page](#).

Application Submission Deadline: Your organization's AOR must submit your complete application package electronically to EPA through [Grants.gov](https://www.grants.gov) no later than **March 30, 2021**, 11:59 PM (ET). Please allow for enough time to successfully submit your application and allow for unexpected errors that may require you to resubmit.

Please submit all the application materials described below using the [Grants.gov](https://www.grants.gov) application package accessed using the instructions above.

Application Materials

The following forms and documents are required under this announcement:

Mandatory Documents:

1. Application for Federal Assistance (SF-424)
2. Budget Information for Non-Construction Programs (SF-424A)
3. EPA Key Contacts Form 5700-54
4. EPA Form 4700-4 – Preaward Compliance Review Report
5. Narrative Proposal (Project Narrative Attachment Form)-prepared as described in *Section 4(D)* of the announcement

Optional Documents:

6. Other Attachments, if applicable.

C. Technical Issues with Submission

1. Once the application package has been completed, the “Submit” button should be enabled. If the “Submit” button is not active, please call Grants.gov for assistance at 1-800-518-4726. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a Grants.gov representative by calling 606-545-5035. Applicants should save the completed application package with two different file names before providing it to the AOR, to avoid having to re-create the package should submission problems be experienced, or a revised application needs to be submitted.
2. Submitting the application. The application package must be transferred to Grants.gov by an AOR. The AOR should close all other software before attempting to submit the application package. Click the “submit” button of the application package. Your internet browser will launch, and a sign-in page will appear. **(Note: Minor problems are not uncommon with transfers to Grants.gov. It is essential to allow sufficient time to ensure that your application is submitted to Grants.gov BEFORE the due date identified in Section 4 of the Request for Applications.)** The Grants.gov support desk operates 24 hours a day, seven days a week, except federal holidays.

A successful transfer will end with an on-screen acknowledgement. For documentation purposes, print or screen capture this acknowledgement. If a submission problem occurs, reboot the computer – turning the power off may be necessary – and re-attempt the submission.

(Note: Grants.gov issues a “case number” upon a request for assistance.)

3. Transmission Difficulties. If transmission difficulties that result in a late transmission, no transmission, or rejection of the transmitted application are experienced, and following the above instructions does not resolve the problem so that the application is submitted to Grants.gov by the deadline date and time, follow the guidance below. The Agency will make a decision concerning acceptance of each late submission on a case-by-case basis. All emails, as described below, are to be sent to **Denise Roy at roy.denise@epa.gov** with the FON in the subject line. If you are unable to email, contact **Denise Roy at (703) 308-8458**. Be aware that EPA will only consider accepting applications that were unable to transmit due to Grants.gov or relevant www.Sam.gov system issues or for unforeseen exigent circumstances, such as extreme weather interfering with internet access. Failure of an applicant to submit timely because they did not properly or timely register in SAM.gov or Grants.gov is not an acceptable reason to justify acceptance of a late submittal.
 - a. If you are experiencing problems resulting in an inability to upload the application to Grants.gov, it is essential to call Grants.gov for assistance at 1-800-518-4726 before the application deadline. Applicants who are outside the U.S. at the time of submittal and are

not able to access the toll-free number may reach a Grants.gov representative by calling 606-545-5035. Be sure to obtain a case number from Grants.gov. If the problems stem from unforeseen exigent circumstances unrelated to Grants.gov, such as extreme weather interfering with internet access, contact **Denise Roy at (703) 308-8458**.

- b. Unsuccessful transfer of the application package: If a successful transfer of the application cannot be accomplished even with assistance from [Grants.gov](https://www.grants.gov) due to electronic submission system issues or unforeseen exigent circumstances, and you have already attempted to resolve the issue by contacting Grants.gov, send an email message to **Denise Roy at roy.denise@epa.gov** prior to the application deadline. The email message must document the problem and include the Grants.gov case number as well as the entire application in PDF format as an attachment.
- c. [Grants.gov](https://www.grants.gov) rejection of the application package: If a notification is received from Grants.gov stating that the application has been rejected for reasons other than late submittal and it is too late to reapply, promptly send an email to Denise Roy at roy.denise@epa.gov with the FON in the subject line within one business day of the closing date of this solicitation. The email should include any materials provided by Grants.gov and attach the entire application in PDF format.

Please note that successful submission through Grants.gov or via email does not necessarily mean your application is eligible for award.

D. Content and Form of Applications

The following documents are required for all application packages. In addition to the mandatory documents listed in *Section 4(B)*, all applications must contain a “Narrative Proposal,” and a budget narrative. The “Narrative Proposal,” a maximum of 15 pages in length, must explicitly describe the applicant’s proposed project and specifically address each of the evaluation criteria disclosed in *Section 5(A)*, *Evaluation Criteria*, and the threshold eligibility criteria in *Section 3(C)*.

1. Narrative Proposal.

Narrative Proposals must be concise, well organized, and not exceed 15 typed, single-line spaced, 8 1/2 x 11” pages. The Narrative Proposal must provide the information detailed in this section and, **include responses to all *Section 3(C)*, *Threshold Criteria*, *Section 5(A)*, *Evaluation Criteria*, as well as the information identified in *Section 1*, *Funding Opportunity Description*.** Factual information about your proposed project must be provided. Do not include discussions of broad principles that are not specific to the proposed work or project covered by your application. **Responses to evaluation criteria should include the criteria number and title but need not restate the entire text of the criteria.** Sufficient detail must be provided to allow for an evaluation of the merits of the application. Vague descriptions, redundancy, and failure to address the evaluation criteria will result in a lower ranking.

The Narrative Proposal (*Sections “a” and “b” below*) must substantially conform to the following outline and content:

- a. **Cover Letter.** The cover letter must include a brief description of your project, be written on your organization’s official letterhead, and signed by an official with the authority to commit your organization to the proposed project. The cover letter must also include:
 - i. **Applicant Information.** Provide the name and full address of the tribe or consortium applying for funds. This is the agency or organization that will be receiving the grant and will be accountable to EPA;
 - ii. **Contacts.** Provide phone/fax numbers, email address, and mailing address of the project director and head of organization/executive director responsible for the project application. These individuals may be contacted if other information is needed;
 - iii. **Cooperative Partners.** Provide names and phone numbers of individuals and organizations that have agreed to participate in the implementation of the project, if any; and
 - iv. **Funding Requested.** Specify the amount you are requesting from EPA. **The total funding requested amount may not exceed \$100,000, excluding any in-kind contribution or leveraging.**
- b. **Detailed Project Description.** The project description should provide the information below on how the applicant will implement and conduct its project and discuss how the application addresses each of the evaluation criteria in *Section 5* of this announcement. You must directly and explicitly address the evaluation criteria as part of your application package.

The detailed project description must substantially conform to the following outline and content:

- i. **Project Description.** Provide a description of the proposed project, as referenced in *Section 1(B), Types of Projects EPA Will Fund Under this Grant Program*, and program objectives. The project description should demonstrate consideration of a sustainable, comprehensive integrated waste management program and include:
 - (1) a discussion on the need for the proposed project, description of the proposed project goals, activities, budget, and project milestones;
 - (2) a description of a sustainable, comprehensive integrated waste program which incorporates hazardous waste management activities, including long-term waste management planning, program implementation, community education, and staff training;
 - (3) a description of the approach/actions proposed to transfer information and lessons learned during the project to other tribes; and
 - (4) a discussion of compliance with applicable RCRA regulations.

- ii. **Community Health Development.** The proposal should describe how the proposed project will contribute to the overall health and development of the community as well as demonstrate the alleviation or reduction of impacts on human health and the environment. In addition, the application must address proposed improvements in the areas of employment, revenue generation, technological innovation, and/or improved health and welfare of the community.
- iii. **Programmatic Capability and Past Performance.** Submit a list of federally and/or non-federally funded assistance agreements (assistance agreements include federal grants and cooperative agreements, but not federal contracts) similar in size, scope and relevance to the proposed project that your organization performed within the last three years (no more than five agreements, and preferably EPA agreements) and describe (i) whether, and how, you were able to successfully complete and manage those agreements; (ii) organizational experience and plan for timely and successfully achieving the objectives of the proposed project; (iii) staff expertise and qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project; and (iv) your history of meeting the reporting requirements under those agreements including whether you adequately and timely reported on your progress towards achieving the expected outputs and outcomes of those agreements (and if not, explain why not) and whether you submitted acceptable final technical reports under the agreements. In evaluating applicants under these factors in *Section 5*, EPA will consider the information provided by the applicant and may also consider relevant information from other sources, including information from EPA files and from current/prior grantors (e.g., to verify and/or supplement the information provided by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the application and you will receive a neutral score for these factors (a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of zero for these factors.
- iv. **Performance Measurement: Anticipated Outcomes and Outputs.**

Anticipated Outcomes: Specify the expected environmental outcomes as described in *Section 1(E), Measuring Environmental Results: Anticipated Outcomes/Outputs* of this announcement. Discuss how you propose to track and measure your progress in achieving the project outcomes and results. (*Refer to Section 5(A), Evaluation Criteria, Performance Measurement.*)

Anticipated Outputs. Identify the expected project outputs, including those described in *Section 1(E), Measuring Environmental Results: Anticipated Outcomes/Outputs* of this announcement and how you will track and measure your expected project outputs. Outputs, quantitative or qualitative, must be measurable during the project performance period.

- v. **Cooperative Partnerships.** Identify all proposed partnerships and stakeholder groups that will be involved in the project. Describe what each group's role will be in project staffing, funding, design and implementation. Please note that procurement of commercial services (e.g., consultants, audio visual equipment, meeting space) is subject to the competitive procurement provisions of 2 CFR Part 200. Naming a commercial vendor as a "partner" does not relieve the applicant of responsibility for complying with competitive procurement requirements, including cost or price analysis, or justify sole source procurement. Applicants that do not plan on working with partners in project performance will be evaluated based on the extent to which they demonstrate how they will be able to effectively perform and complete the project without working with partners.
- vi. **Technical Assistance/Transferability.** Describe a proactive, viable and innovative approach for providing technical assistance to other tribes, including how other tribal communities will benefit from the project. In describing the approach, specifically include the following: a methodology for transferring information and lessons learned during the project to other tribes, and a detailed plan that clearly describes activities that will educate and assist other tribal communities in their efforts to develop and implement sustainable hazardous waste management programs.

The following are examples of proactive approaches tribes can use to provide technical assistance and transferability through their projects:

- Implementing peer matches as a component of the proposed project. Peer matches are voluntary, structured opportunities for tribes and Alaska Native Villages who are working on similar issues to exchange experiences and practical knowledge through a mentoring program.
- Establishing strategic partnerships to address hazardous waste. Examples include:
 - Partnering with local governments, schools, or other tribes to develop and implement a Universal Waste (e.g., fluorescent light bulbs, batteries, etc.) awareness campaign or program.
 - Identifying barriers to establishing relationships with state or local governments to address hazardous waste management challenges and developing effective solutions to overcome them. Tribes can share information about their successful partnerships using a variety of outreach tools, including webinars, presentations at conferences, etc.
 - Developing and implementing HHW collection events and programs that include removal of waste from more than one tribal community. Examples include the following: joint HHW collection community events or pickups from more than one tribal community.
- Partnering with EPA and other tribes to host webinars and trainings this could include sustainable materials management principles and practices such as

green chemistry; and generating less waste from the start (prevention and source reduction) of hazardous materials.

- Developing outreach materials and other products that tribes share via social media, meetings or conferences. Examples include:
 - Developing a Hazardous Waste Management Plan (HWMP) template that other tribes can use as a model for developing their own HWMP.
 - Creating a “How-To” video of the process, from start to finish (prevention and source reduction), of developing and implementing a used oil collection event or program.
 - Creating a video tour of HHW collection facilities for other tribal environmental directors, staff or community members to provide them with a detailed look at the daily operations of these facilities.

vii. **Leveraged Funds.** Demonstrate how you will leverage additional funds/resources beyond the grant funds awarded to support the proposed project activities and how these funds/resources will be used to contribute to the performance and success of the proposed project. This includes but is not limited to funds and other resources leveraged from businesses, labor organizations, non-profit organizations, education and training providers, and/or federal, state, tribal, and local governments, as appropriate. Describe the amount and type of leveraged resources to be provided, how you will obtain the leveraged resources, the likelihood the leveraging will materialize during grant performance, the strength of the leveraging commitment, and the role the leveraged resources will play to support the proposed project activities. Selected applicants are expected to abide by their proposed leveraging commitments during grant performance and the failure to do so may affect the legitimacy of the award.

viii. **Expenditure of Awarded Grant Funds.** Describe your organization’s procedures, and controls for ensuring that awarded grant funds will be expended in a timely and efficient manner.

2. **Attachments.** The following documents shall be included as attachments to the work plan. These documents will not count as part of the 15-page limit and do not have an individual page limitation. Attachments beyond the required attachments below will not be considered. Please provide a list of all attachments to the application.

a. **Intertribal Consortia Documentation.** Intertribal Consortia are eligible to receive grants under this program only if the consortium demonstrates that all members of the consortium meet the eligibility requirements for the grant and authorize the consortium to apply for and receive assistance by submitting to EPA documentation of: (1) the existence of the partnership between Indian tribal governments, and (2) authorization of the consortium by all its members to apply for and receive the grant. Documentation can be in the form of letters signed by all member tribes or approved by-laws or other forms of documentation that contain language that specifically addresses the eligibility requirements.

- b. **Letters of Support.** The applicant should provide names and phone numbers of persons to contact at partnership organizations along with a description of what involvement (e.g., assistance and role) the organization has or will have with your organization/project. Applicants may include letters confirming commitments. Letters of support may not be from EPA staff.
- c. **Budget.** Provide a detailed itemized budget proposal that clearly explains how funds will be used for each of the following categories and how it relates to the project objective.

Indicate what portion of the cost EPA will pay, and what portion the applicant or other partners will pay, if applicable:

- i. Personnel;
- ii. Fringe Benefits;
- iii. Contractual Costs;
- iv. Travel;
- v. Supplies;
- vi. Other Costs (be specific);
- vii. Administrative Costs (other than Indirect Costs);
- viii. Non-EPA Project Funding. Identify funding from other sources including in-kind resources;
- ix. Total Direct Costs;
- x. Total Indirect Costs (must include Negotiated Indirect Cost Rate Agreement as documentation of federally approved indirect cost rate (percentage); and
- xi. Total Project Cost (Total Direct + Indirect Costs). Costs proposed in the budget must be linked directly to the narrative proposal.

Management Fees: When formulating budgets for applications, applicants must not include management fees or similar charges in excess of the direct costs and indirect costs at the rate approved by the applicant's cognizant audit agency, or at the rate provided for by the terms of the agreement negotiated with EPA. The term "management fees or similar charges" refers to expenses added to the direct costs in order to accumulate and reserve funds for ongoing business expenses, unforeseen liabilities, or for other similar costs that are not allowable under EPA assistance agreements. Management fees or similar charges may not be used to improve or expand the project funded under this agreement, except to the extent authorized as a direct cost of carrying out the scope of work.

- d. **Milestones.** Schedule indicating start times and completion dates of significant tasks under your program.

E. Additional Provisions for Applicants Incorporated into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including, but not limited to those related to confidential business information, contracts and subawards under grants, and application assistance and communications, can be found at [EPA](#)

[Solicitation Clauses](#). These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing applications for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION 5. APPLICATION REVIEW INFORMATION

All applications received by the closing date and time for the submission will first be reviewed to determine eligibility for funding based upon compliance with the Threshold Eligibility Criteria in *Section 3(C)*. Only applications determined eligible will be evaluated for technical merit.

Applications that do not meet the Threshold Eligibility Criteria will not be reviewed according to the evaluation criteria set forth below. **You must directly and explicitly address these criteria** as part of your application package. EPA will rate your application using a points system, with a total of 100 points possible.

A. Evaluation Criteria

Criterion	Maximum Points per Criterion
<p>Project Description. Under this criterion, EPA will evaluate the quality and extent to which the application effectively addresses the scope of work activities described in <i>Section 1(B)</i> of this announcement. The application will be evaluated based on the quality and extent to which it:</p> <ul style="list-style-type: none"> • Clearly, concisely and realistically presents a statement of the problem, description of the proposed project goals, activities, budget, and project milestones. (5 pts.) • Describes a sustainable, comprehensive integrated waste program that incorporates hazardous waste management activities, as described in <i>Section 1(B)</i>, considered essential to a “cradle to grave” program, including long-term waste management planning, program implementation, community education, and staff training. (10 pts.) • Describes the approach/actions proposed to transfer information and lessons learned during the project to other tribes. (5 pts.) • Will result in compliance with applicable RCRA regulations. (5 pts.) 	25
<p>Community Health Development. Under this criterion, EPA will evaluate the extent to which:</p> <ul style="list-style-type: none"> • The proposed project activities will contribute to overall health and development of the community as well as demonstrate alleviation or reduction of impacts on human health and the environment. (5 pts.) • The proposed project will lead to improved employment, revenue generation, technological innovation, and/or improved health and welfare of the community. (5 pts.) 	10

Programmatic Capability and Environmental Results Past Performance.

Under this criterion, the Application will be evaluated based on your ability to successfully complete and manage the proposed project taking in to account your:

- a. Past performance in successfully completing and managing federal and/or non-federal assistance agreements identified in response to this section. In addition, if the project is related to a previous *Hazardous Waste Management Grant Program for Tribes*’ assistance agreement, applicants must clearly demonstrate how they are expanding upon a previous project that has been funded through this grant program. (5 pts)
- b. Organizational experience and plan for timely and successfully achieving the objectives of the proposed project. (2.5 pts.)
- c. Staff expertise and qualifications, staff knowledge, and resources or the ability to obtain them, to successfully achieve the goals of the proposed project. (2.5 pts.)
- d. History of meeting the reporting requirements under the assistance agreements identified in response to this section including whether you submitted acceptable final technical reports under those agreements and the extent to which you adequately and timely reported on your progress towards achieving the expected outputs and outcomes under those agreements, and if such progress was not being made whether you adequately reported and why not. (5 pts.)

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NOTE: *In evaluating you under items “a” and “d” of this criterion, EPA will consider the information provided by you and may also consider relevant information from other sources including EPA files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the Application and you will receive a neutral score for these subfactors (items a and d above-a neutral score is half of the total points available in a subset of possible points). If you do not provide any response for these items, you may receive a score of 0 for these factors.*

Performance Measurement: Anticipated Outcomes and Outputs. Under this criterion, EPA will evaluate the extent to which the “Narrative Proposal” realistically describes how the project will lead to measurable environmental results (i.e., amount of pollution prevented; waste reduced, reused, recycled or, resources conserved). The applications will be evaluated on the quality and extent to which they:

- Clearly specify anticipated environmental outcomes and outputs as described in *Section 1(E), Measuring Environmental Results: Anticipated Outcomes/Outputs*. (4 pts.)
- Clearly describe the measures of success for the project. Measure of success should be either measures of environmental improvement or should be directly linked to such measures. EPA will look for quantitative and qualitative measurability. (3 pts.)

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<ul style="list-style-type: none"> Describe how progress towards achieving project outcomes and outputs will be evaluated and measured. Include a description of any planned reports or other deliverables that measure and track the project success and, document achievement of expected outputs identified in <i>Section 1(E), Measuring Environmental Results: Anticipated Outcomes/Outputs</i>. (3 pts.) 	
<p>Budget/Resources. This criterion refers to the proposed budget, in-kind goods and services, and other resources that the applicant outlines to carry out the proposed project. The application will be evaluated based on the extent that:</p> <ul style="list-style-type: none"> The budget is clearly stated, detailed, and appropriate to achieve the project’s objectives. How will your proposed budget effectively sustain your proposed project? How will you plan on managing this budget? This should include cost estimates for each of the proposed project activities to be conducted using EPA funds. (2.5 pts.) The application identifies partnering organization(s) and documents the relationship with the applicant, for example, through letters of support, joint statements, or principles of agreement signed by other parties. Applicants that do not plan on working with partners in project performance will be evaluated based on the extent to which they demonstrate how they will be able to effectively perform and complete the project without working with partners. (2.5 pts.) 	5
<p>Technical Assistance/Transferability. Under this criterion, EPA will evaluate the applications on the quality and extent to which they:</p> <ul style="list-style-type: none"> Describe a proactive, viable and innovative approach (<i>see Section 4(D) for examples of proactive approaches</i>) for providing technical assistance to other tribes, including how other tribal communities will benefit from the project. (10 pts.) In describing the approach, specifically include the following in the application: <ul style="list-style-type: none"> a methodology for transferring information and lessons learned during the project to other tribes (5 pts.), and a detailed plan that clearly describes activities that will educate and assist other tribal communities in their efforts to develop and implement sustainable hazardous waste management programs. (10 pts.) 	25
<p>Leveraging. Under this criterion, applicants will be evaluated based on the extent they demonstrate:</p> <ul style="list-style-type: none"> (i) how they will coordinate the use of EPA funding with other federal and/or non-federal sources of funds/resources to leverage additional resources beyond the grant funds awarded to carry out the proposed project(s) and/or (ii) how EPA funding will complement activities relevant to the proposed project(s) carried out by the applicant with other sources of funds or resources. Applicants will also be evaluated based on the type and amount of 	5

leveraging proposed, how the applicant will obtain the leveraged resources, the likelihood the leveraging will materialize during grant performance, the strength of the leveraging commitment, and the role the leveraged resources will play to support the proposed project activities. (5 pts.)	
Expenditure of Awarded Grant Funds. Under this criterion, applicants will be evaluated based on their approach, procedures, and controls for ensuring that awarded grant funds will be expended in a timely and efficient manner. (5 pts.)	5

B. Other Factors

Final funding decisions will be made by the EPA Headquarters Selection Official based on the rankings and preliminary recommendations of the EPA evaluation team. In making the final funding decisions, the EPA Headquarters Selection Official may also consider programmatic priorities and geographic diversity of funds.

C. Review and Selection Process

All applications received by the closing date and time for submission will first be reviewed to determine eligibility for funding based upon compliance with *Section 3(C)*. Only applications determined eligible will be evaluated for technical merit. Each eligible application will be evaluated by a review panel of EPA Headquarters and regional staff for technical merit, based on the evaluation factors detailed in *Section 5(A)* of this announcement.

Upon completion of the technical merit evaluation, each application will be given a numerical score with a total of 100 points possible. The evaluated numerical scores will be rank ordered. In the event of a tied score, the review panel will recommend for selection the applicant with the highest cumulative score for the Technical Assistance/Transferability Criterion. Preliminary funding recommendations will be provided to the EPA Headquarters Selection Official based on this ranking. In making the final funding decisions, the Selection Official may also consider programmatic priorities and geographic diversity of funds. Once final decisions have been made, a funding recommendation will be developed and forwarded to the EPA Award Official.

D. Additional Provisions for Applicants Incorporated into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation including the clause on Reporting and Use of Information Concerning Recipient Integrity and Performance can be found at [EPA Solicitation Clauses](#). These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing applications for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION 6. AWARD ADMINISTRATION INFORMATION

A. Award Notices

EPA anticipates notification to successful applicants will be made via telephone or electronic or postal mail by April 20, 2021. The notification will be sent to the original signer of the application or the project contact listed in the application. This notification, which informs the applicant that its application has been selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by EPA's Grants and Interagency Agreement Management Division. Applicants are cautioned that only a grants officer is authorized to bind the Government to the expenditure of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding, or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant.

The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through electronic or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., work plan), which must be approved by EPA, before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

Successful Applicants: All successful applicants will be required to contact the appropriate Regional Office for further instructions. For your information, a list of regional contacts has been provided in Section 7(B).

Unsuccessful Applicants: EPA anticipates notification to unsuccessful applicant(s) will be made via telephone or electronic or postal mail by April 20, 2021. The notification will be sent to the original signer of the SF-424, Application for Federal Assistance.

B. Administrative and National Policy Requirements

1. A listing and description of general EPA Regulations applicable to the award of assistance agreements may be viewed at: [Introduction to Regulations, Policies and Guidance for EPA Grants](#).
2. Approved cooperative agreements will include terms and conditions that will be binding on the grant recipient. Terms and conditions specify what grantees must do to ensure that grant-related and program-related requirements are met. Terms and conditions may be viewed at: [Grant Terms and Conditions](#).
3. Grants and Cooperative Agreements with Tribal governments are subject to 2 CFR [Part 200—Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards](#).
4. An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards

completing the project activities described in the work plan in a timely manner. The assistance agreement will include terms and conditions implementing this requirement.

5. Reimbursement Limitation. If the recipient expends more than the amount of funding in its EPA approved budget in anticipation of receiving additional funds from EPA, it does so at its own risk. EPA is not legally obligated to reimburse the recipient for costs incurred in excess of the EPA approved budget.
6. Executive Order 12372, Intergovernmental Review of Federal Programs, may be applicable to awards resulting from this announcement. EPA implemented the Executive Order in 40 CFR Part 29. EPA may require applicants selected for funding to provide a copy of their application to their State Point of Contact (SPOC) for review as provided at 40 CFR 29.7 and 40 CFR 29.8. The SPOC list can be found on the webpage below:

- [Office of Federal Financial Management Resources and Other Information](#)

EPA may require successful applicants from states that do not have a SPOC to provide a copy of their application for review to directly affected state, area-wide, regional and local government entities as provided at 40 CFR 29.7 and 40 CFR 29.8. These reviews are not required before submitting an application. Only applicants that EPA selects for funding under this announcement are subject to the Intergovernmental Review requirement.

C. Reporting Requirement

Quarterly progress reports and a detailed final report will be required. The successful applicant will submit quarterly progress reports to the EPA Project Officer within thirty days after the end of each reporting period. These reports shall cover work status, work progress, difficulties encountered, preliminary data results, and planned activities for the next quarter. In addition, a discussion and summary of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The report shall also include any changes of key personnel involved with the project. EPA will establish a schedule of submission of quarterly reports after award.

At the close of the grant, the successful applicant will submit a final technical report to the EPA Project Officer within 90 calendar days of completion of the period of performance. The final technical report shall include a summary of the project or activity, advances or goals achieved, and costs of the project or activity. In addition, the final technical report should discuss the problems, successes, and lessons learned from the project or activity that could help overcome structural, organizational, or technical obstacles to implementing a similar project elsewhere.

D. Use of Funds

All costs incurred under this program must be allowable under 2 CFR Part 200 Subpart E. In accordance with applicable law, regulation, and policy, any recipient of funding must agree to comply with restrictions on using assistance funds for unauthorized lobbying, fund-raising, or political activities (i.e., lobbying members of Congress or lobbying for other federal grants,

cooperative agreements, or contracts). See 2 CFR § 200.450. Funds generally cannot be used to pay for travel by federal agency staff. Proposed project activities must also comply with all state and federal regulations applicable to the project area. The applicant must also review the solicitation for any other programmatic funding restrictions applicable to this program. If awarded funding, the recipient must refer to the terms and conditions of its award for other funding restrictions applicable to its award. It is the responsibility of the recipient to ensure compliance with these requirements. In addition, please see 2 CFR §1500.8 for information on pre-award costs.

An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the work plan in a timely manner. The assistance agreement will include terms/conditions implementing this requirement.

E. Disputes

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found at [Grant Competition Dispute Resolution Procedures](#). Copies of these procedures may also be requested by contacting the person listed in Section VII of the announcement. Note, the FR notice references regulations at 40 CFR Parts 30 and 31 that have been superseded by regulations in 2 CFR parts 200 and 1500. Notwithstanding the regulatory changes, the procedures for competition-related disputes remains unchanged from the procedures described at 70 FR 3629, 3630, as indicated in 2 CFR Part 1500, Subpart E.

F. Additional Provisions for Applicants Incorporated into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to DUNS, SAM, copyrights, disputes, and administrative capability, can be found at [EPA Solicitation Clauses](#). These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing applications for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION 7. AGENCY CONTACT

A. EPA HEADQUARTERS:

Denise Roy, U.S. Environmental Protection Agency, Office of Resource Conservation and Recovery (MC 5303P), 1200 Pennsylvania Avenue, N.W., Washington, DC 20460; Phone: (703) 308-8458; or email: roy.denise@epa.gov.

B. LIST OF REGIONAL CONTACTS:

Region	Contact	Phone Number	Fax Number
Region 1	Michael Stover	(617) 918-1123	(617) 918-0343
Region 2	Alexis Stabulas	(212) 637-3749	(212) 637-4437
Region 3	Brian Hamilton	(215) 814-5497	(215) 814-5102
Region 4	Laura McMillan	(404) 562-8584	(404) 562-8439
Region 5	Dolly Tong	(312) 886-1019	(312) 353-6519
Region 6	Roger Hancock	(214) 665-6699	(214) 665-7216
Region 7	Marcus Rivas	(913) 551-7899	(913) 551-9899
Region 8	Alison Ruhs	(303) 312-6478	(303) 312-6341
Region 9	Deirdre Nurre	(415) 947-4290	(415) 947-3530
Region 10	Gabriela Carvalho	(206) 553-6698	(206) 553-8509

SECTION 8. OTHER INFORMATION

A. National Environmental Information Exchange Network

The Environmental Information Exchange Network (Exchange Network, Network or EN) is a partner-inspired, developed, implemented and governed information network. It facilitates environmental data sharing among EPA, states, tribes and territories. The EN uses a standards-based approach that allows partners to exchange data over the Internet regardless of the specific information technology used. This replaces the need to perform complex and expensive data transformations to move data between systems or to share data on paper, discs or other media or via email. Partners share electronic data more easily and at a lower cost, and environmental decision makers are able to use the data to make timely decisions. States, tribes and territories exchanging data with each other or with EPA, should make the Exchange Network and the agency's connection to it, the Central Data Exchange (CDX), the standard way they exchange data and should phase out any legacy methods they have been using. More information is available on the [Exchange Network](#).

B. Grants Resource Information

For additional information on how to apply for, manage, and complete an EPA grant, please visit: [How to Apply For Grants](#).