



User Guide to the Online Docket for the NPDES Electronic Reporting Rule (Proposal)



July 2013
DCN 0010
EPA Docket Number EPA-HQ-OECA-2009-0274
Available at: <http://www.regulations.gov>

1.0 OVERVIEW

On July 15, 2013, Acting Administrator Bob Perciasepe signed the proposed National Pollutant Discharge Elimination System (NPDES) Electronic Reporting Rule for publication in the Federal Register. EPA is requesting comment on the proposed rule and solicits comments and data to inform the final rule. The proposed Clean Water Act (CWA) regulation would require permittees and regulators to use existing, available information technology to electronically report information and data related to the NPDES permit program in lieu of filing written reports. The proposal will also allow better allocation and use of limited program resources and enhance transparency and public accountability by providing regulatory agencies and the public with more timely, complete, accurate, and nationally-consistent sets of data about the NPDES program and potential sources of water pollution.

This proposed rule would require that NPDES regulated entities electronically submit the following permit and compliance monitoring information instead of using paper reports:

- Discharge Monitoring Reports (DMRs);
- General Permit reports (e.g., Notices of Intent to discharge); and
- Program reports (e.g., Pretreatment Program Annual Reports).

Authorized NPDES programs will also electronically submit NPDES program data to EPA to ensure that there is consistent and complete reporting nationwide, and to expedite the collection and processing of the data, thereby making it more accurate and timely. Importantly, while the proposed rule changes the method by which information is provided (i.e., electronic rather than paper-based), it does not increase the amount of information required from NPDES regulated entities facilities under existing regulations.

The benefits of this proposed rulemaking should allow NPDES-authorized programs in states, tribes, and territories to shift precious resources from data management activities to those more targeted to solving water quality and noncompliance issues. This in turn may contribute to increased compliance, improved water quality, and a level playing field for the regulated community. EPA anticipates that the proposed rule will save significant resources for states, tribes, and territories as well as EPA and NPDES permittees, while resulting in a more complete, accurate, and nationally-consistent set of data about the NPDES program. With full implementation, the anticipated savings are:

- Authorized State NPDES programs: \$28.7 million annually,
- NPDES regulated entities: \$1.2 million annually, and
- EPA: \$0.5 million annually.

EPA would also incur incremental costs with full implementation of approximately \$0.82 million per year.

To promote transparency and accountability, EPA intends to make this more complete set of data available to the public, providing communities and citizens with information on facility

and government performance that is not currently available. This can serve to elevate the importance of permitting and compliance information and environmental performance within regulated entities, providing opportunity for them to quickly address any potential environmental problems.

The proposed rule will also lighten the reporting burden currently placed on the states. Upon successful implementation, the proposed rule would provide states with regulatory relief from reporting associated with the Quarterly Non-Compliance Report (QNCR), the Annual Non-Compliance Report (ANCR), the Semi-Annual Statistical Summary Report, and the biosolids information required to be submitted to EPA annually by states.

2.0 BACKGROUND INFORMATION ON THE DOCKET

What is the Docket and How Can I Gain Access to It?

EPA Docket ID No. EPA-HQ-OECA-2009-0274 is the official docket for EPA's proposed NPDES Electronic Reporting Rule. The official docket consists of the documents specifically referenced in the Federal Register notices of these actions, any public comments received, and other related information. Although it is a part of the official docket, Confidential Business Information (CBI) or other information whose disclosure is restricted by statute is not included in the materials available to the public.

The official public docket is the collection of electronic and hard copy materials that is available for public viewing at the Enforcement and Compliance Docket (OECA Docket) in the EPA Docket Center, (EPA/DC), located in the EPA Headquarters Library, EPA West, Room Number 3334, 1301 Constitution Ave., NW, Washington, DC. An electronic version of the public docket is available through a federal-wide electronic docket management system located at www.regulations.gov.

You may use the Regulations.gov web site to view public comments, access a listing of the contents of the official docket, and to access those documents in the public docket that are available electronically. Certain documents are not available in the electronic docket system. These documents include but are not limited to copyright-protected material and physical objects such as maps, aerial photographs, colored charts, etc. Although not all docket materials may be available electronically, you may still access any of the publicly available docket materials at the EPA Docket Center.

3.0 ACCESSING INFORMATION IN THE DOCKET

How do I Find Documents in the Docket?

OECA Docket in the EPA Docket Center

The official public docket is the collection of electronic and hard copy materials that is available for public viewing at the OECA Docket in the EPA Docket Center, (EPA/DC), located in the EPA Headquarters Library, EPA West, Room Number 3334, 1301 Constitution Ave., NW,

Washington, DC. The Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. The telephone number for the Public Reading Room is (202) 566-1744, and the telephone number for the OECA Docket is (202) 566-1752. You can also contact the OECA Docket via e-mail: docket.oeca@epa.gov, Attention Docket ID No. EPA-HQ-OECA-2009-0274.

Regulations.gov

Regulations.gov provides online access to most of items in the docket for the NPDES Electronic Reporting Rule. You can use the Regulations.gov to search for documents using all or a portion of the document title or its full Regulations.gov Document ID Number. For example, from the main Regulations.gov webpage you can key in “EPA-HQ-OECA-2009-0274-0001” in main search field on Regulations.gov (see Figure 1) to retrieve the following document: “Meetings: Proof of Concept Demonstration for Electronic Reporting of Clean Water Act Compliance Monitoring Data.”



Figure 1: Screenshot of Regulations.gov Website.

You can also use “Advanced Search” on Regulations.gov and a Document Control Number (DCN) to retrieve a particular document. EPA uses the DCN in the preamble to the rule and other supporting documents to individually identify documents in the docket. The following figures show how you can use the “Advanced Search” on Regulations.gov and a DCN to retrieve a particular document from the rulemaking docket.

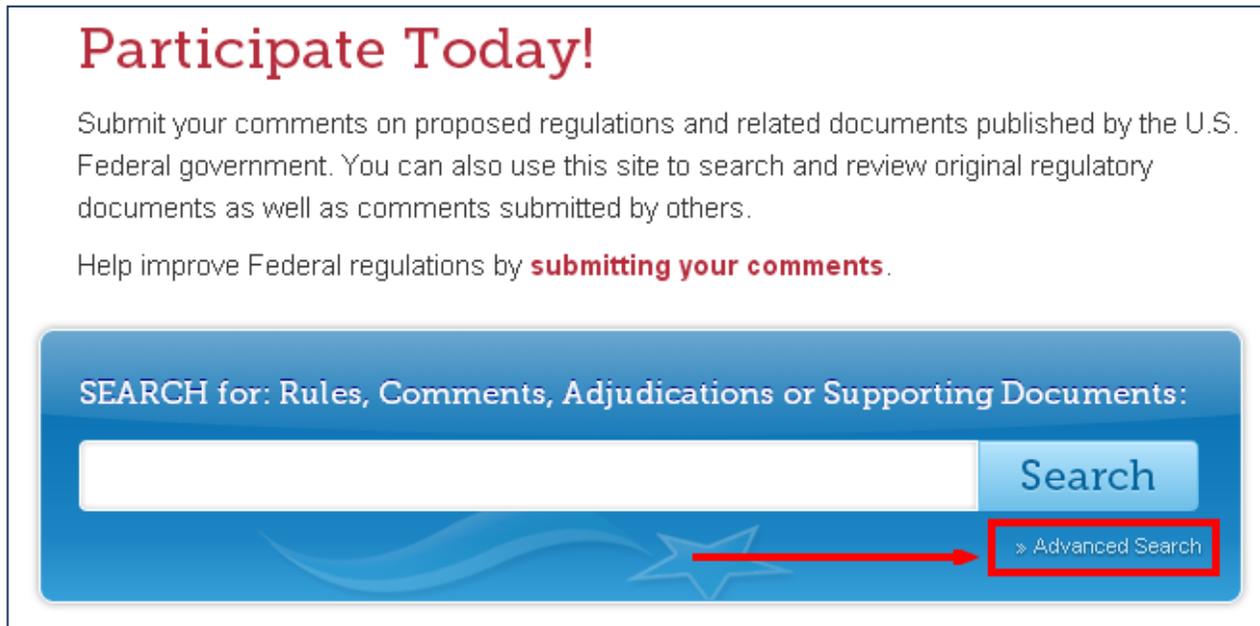


Figure 2: Location of “Advanced Search” on Regulations.gov Website

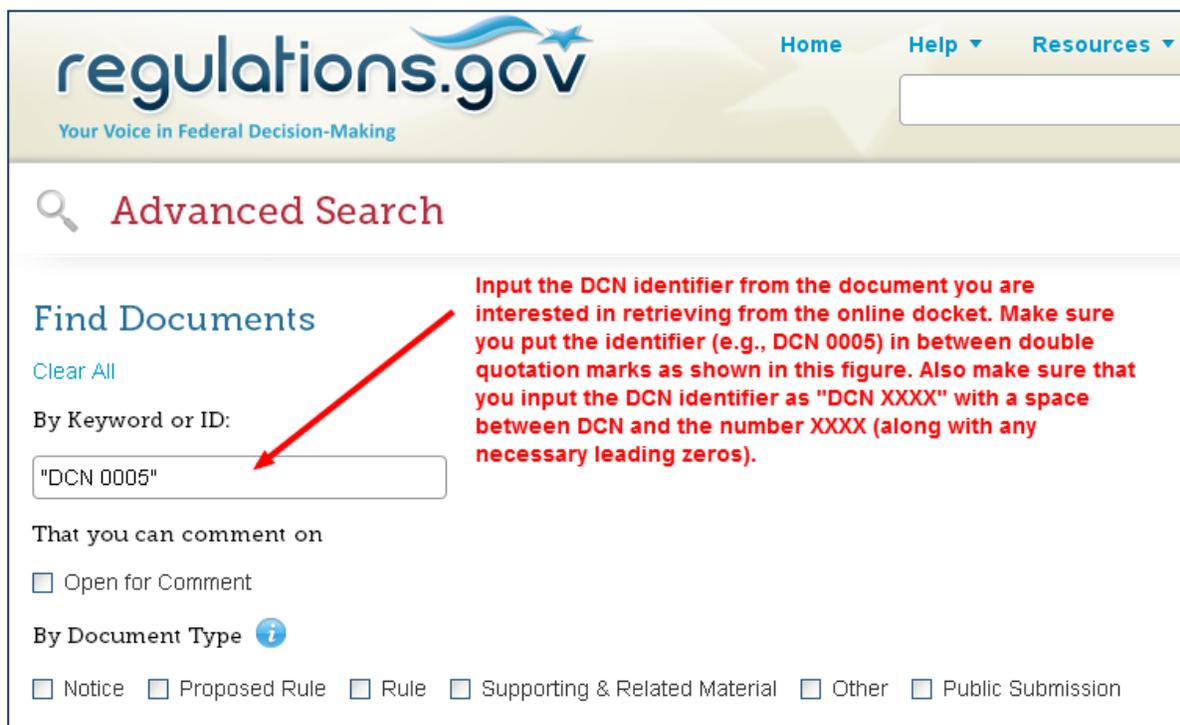


Figure 3: DCN Identifier Input Location on Advanced Search

By Docket ID:

By Docket Type
 Rulemaking Nonrulemaking

By Docket Subtype:
 You must select an Agency to search by Docket Subtype

By Docket Subtype Level 2:
 You must select a Docket Subtype to search by Docket Subtype Level 2

[Clear All](#)

Search **After all done....click "Search"**

Put in the Docket Number here.

Figure 4: Input Docket Number in “By Docket ID” Input Field on Advanced Search

Search Results

Filter Results By...
Comment Period
 Open (0)
 Closed (0)

Document Type
[Clear Filter](#)
 Notice (0)
 Proposed Rule (1)
 Rule (0)
 Supporting & Related Material (1)
 Other (0)
 Public Submission (0)

Results per page: 25 Sort By: Best Match

National Pollutant Discharge Elimination System (NPDES) Electronic Reporting Rule
 - Document Contents : ...activities.111 For more background information regarding the NPDES program, see [DCN 0005](#) 111 See 50 FR 34649.
 ----- E. Evolution of the NPDES Program In...

Proposed Rule by EPA on 07/30/2013 ID: EPA-HQ-OECA-2009-0274-0097

Description of the NPDES Program [DCN 0005] **Click on this link to retrieve the document**
 - Document Contents : ...gov DATE: 17 November 2012 SUBJECT: Description of the NPDES Program [DCN 0005](#) As authorized by the Clean Water Act, the NPDES permit program controls...

Supporting & Related Material by EPA on 07/30/2013 ID: EPA-HQ-OECA-2009-0274-0103

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Figure 5: Advanced Search Results (Showing the DCN Identifier in the Document Title)

NPDES Electronic Reporting Rule Docket Index

This user guide provides the index for all documents in docket EPA-HQ-OECA-2009-0274 (see Table 1 and Attachment 1). Each document has two document identification numbers. One is the Regulations.gov Document ID Number (e.g., EPA-HQ-OECA-2009-0274-0001) that was assigned when EPA added the document to the public version of the docket at Regulations.gov. The second is the document control number (DCN) that was assigned during the development of the regulation (e.g., DCN 0001). EPA uses the DCN identifier in the preamble to the proposed rule and other supporting documents. The DCN appears at the end of the document titles in the **Document Title** field listed in Regulations.gov (e.g., “Description of the NPDES Program [DCN 0005]”).

Public Submissions (comments) that were submitted directly to the docket by the public do not have DCNs but do have Regulations.gov Document ID Numbers and are part of the official docket. You can use “Advanced Search” on Regulations.gov to find these documents by selecting “Public Submission” (see Figure 6) and by inputting the Docket ID (EPA-HQ-OECA-2009-0274) (see Figure 4).

Advanced Search

Find Documents

[Clear All](#)

By Keyword or ID:

That you can comment on

Open for Comment

By Document Type [i](#)

Notice Proposed Rule Rule Supporting & Related Material Other Public Submission

Select this check box to see all public comments on the rulemaking

Figure 6: Selection of “Public Submission” on Advanced Search to View Public Comments on the Rulemaking (**Note:** Must also input Docket ID – See Figure 4)

Table 1: Metadata for NPDES Electronic Reporting Rule Docket Index

Field Name	Description
DCN	Unique document control number (DCN) assigned during the development of the document. This number is included in the title of the document in this docket.
Title	This is the title of document with the DCN number.
Author	This is the author of document (Last name, first full name or organization).
Author Date	Date of publication, issue, edition, or version. Actual date of meeting or telephone call.
Source Citation	For copyright protected documents, this is a bibliographic citation (without title or author) that you can use to find the document in a library. For materials retrieved from the Internet, "Source Citation" lists the URL.
Preamble	This field is marked with "Yes" for materials that are referenced in the preamble.
Copyrighted ©	This field is marked with "Yes" for materials that are copyright protected (e.g., books and other published material). These documents are not available through Regulations.gov but are only available in hard copy at the EPA Docket Center (EPA Headquarters Library, EPA West, Room Number 3334, 1301 Constitution Ave., NW, Washington, DC).
CBI	This field is marked with "Yes" for materials that are Confidential Business Information. CBI is not available to the public.
Regulations.gov Document ID Number	Unique document number assigned when EPA added the document to the official docket. The Document ID Number includes the Docket Number followed by a consecutive document number.

How do I use the Docket Index to Find Documents in the Docket?

Locate documents in the index and note their Regulations.gov Document ID Number or DCN identifier. Documents available electronically can be accessed through Regulations.gov. Other documents can be reviewed at the OECA Docket in the EPA Docket Center in Washington, DC. See information on the OECA Docket above. You may also be able to locate copyright protected materials (for example, articles from technical publications) at an academic or public library.

Confidential Business Information

The official docket for the proposed rule does not contain any confidential business information (CBI). Additionally, CBI documents are not available for review by the public, and are not filed in the OECA Docket in the EPA Docket Center. Some documents can be classified as CBI because companies providing the information specifically claimed certain information

(e.g., operating or financial data) as CBI. Other documents can be classified as CBI because release of these documents could indirectly reveal information claimed to be confidential.

Documents Cited in the Federal Register Notice and Other Key Documents

Documents cited in the proposed NPDES Electronic Reporting Rule are listed in Attachment 1 with “Yes” under the column header labeled “Preamble?”.

How Can I Submit Comments to U.S. EPA Regarding this Rulemaking?

Comments on this proposed action must be received on or before 28 October 2013 (90 days after publication of the proposed rule in the Federal Register). Submit your comments, identified by Docket ID No. EPA-HQ-OECA-2009-0274 by one of the following methods:

- *Federal Rulemaking Portal:* <http://www.regulations.gov>: Follow the on-line instructions for submitting comments.
- *E-mail:* docket.oeca@epa.gov, Attention Docket ID No. EPA-HQ-OECA-2009-0274.
- *Mail:* Send the original and three copies of your comments to: U.S. Environmental Protection Agency, EPA Docket Center, Enforcement and Compliance Docket, Mail Code 28221T, 1200 Pennsylvania Avenue, NW, Washington, DC 20460, Attention Docket ID No. EPA-HQ-OECA-2009-0274. In addition, if applicable, please mail a copy of your comments on the information collection provisions to the Office of Information and Regulatory Affairs, Office of Management and Budget (OMB), Attn: Desk Officer for EPA, 725 17th St. NW, Washington, DC 20503.
- *Hand Deliver:* Deliver your comments to: EPA Docket Center, EPA West Building, Room 3334, 1301 Constitution Avenue, NW, Washington, DC, 20004, Attention Docket ID No. EPA-HQ-OECA-2009-0274. Such deliveries are only accepted during the EPA Docket Center’s normal hours of operation and special arrangements should be made for deliveries of boxed information.

EPA’s policy is that all comments received by the deadline will be included in the public docket without charge, and may be made available online at www.regulations.gov, including any personal information provided, unless the comment includes information claimed to be Confidential Business Information (CBI) or other information for which disclosure is restricted by statute. Do not submit information that you consider to be CBI or otherwise protected through www.regulations.gov or e-mail.

The www.regulations.gov website is an “anonymous access” system, which means EPA will not know your identity or contact information unless you provide it within the body of your comment. If you send an e-mail comment directly to EPA without going through www.regulations.gov, your e-mail address will be automatically captured and included as part of the comment that is placed in the public docket and made available on the Internet. If you submit an electronic comment, EPA recommends that you include your name and other contact

information in the body of your comment, and, if applicable, with any disk or CD-ROM you submit.

If EPA cannot read your comment due to technical difficulties and cannot contact you for clarification, EPA may not be able to consider your comment. Electronic files should avoid the use of special characters and any form of encryption, and be free of any defects or viruses. For additional information about EPA's public docket, please visit the EPA Docket Center homepage at <http://www.epa.gov/dockets/>.

4.0 FURTHER INFORMATION

For more information on the proposed NPDES Electronic Reporting Rule, please contact:

Mr. John Dombrowski, Director
U.S. EPA Office of Compliance, Enforcement Targeting and Data Division (2222A)
1200 Pennsylvania Avenue NW
Washington, DC 20460
(202) 566-0742 (telephone)
dombrowski.john@epa.gov (e-mail)

Attachment 1: NPDES Electronic Reporting Rule Docket Index

DCN	Title	Author	Author Date	Source Citation	Pre- amble?	©	CBI	Regulations.gov Document ID #
DCN 0001	Meetings: Proof of Concept Demonstration for Electronic Reporting of Clean Water Act Compliance Monitoring Data [DCN 0001]	U.S. EPA	06/23/2011	Federal Register, 76 FR 36919, FRL-9322-8	Yes	No	No	EPA-HQ-OECA-2009-0274-0001 [Duplicate is 0005]
DCN 0002	Advancing E-file Study Phase 1 Report - Achieving the 80% E-file Goal Requires Partnering with Stakeholders on New Approaches to Motivate Paper Filers [DCN 0002]	U.S. IRS	09/30/2008	Case Number 08-1062. Document Number 0206.0210	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0100
DCN 0003	Electronic Filing, Tax Preparers, and Participation In The Earned Income Tax Credit [DCN 0003]	Wojciech Kopczuka, Christian Pop-Eleches	03/11/2005	Journal of Public Economics, Vol. 91, Nr. 7-8 (August 2007)	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0101
DCN 0004	A National Biosolids Regulation, Quality, End Use & Disposal Survey-Final Report [DCN 0004]	North East Biosolids and Residuals Association (NEBRA)	07/20/2007	http://www.nebiosolids.org/uploads/pdf/Nt1BiosolidsReport-20July07.pdf	No	Yes	No	EPA-HQ-OECA-2009-0274-0102
DCN 0005	Description of the NPDES Program [DCN 0005]	Carey Johnston, EPA/OECA/OC	11/17/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0103
DCN 0006	Description of Existing NPDES Program Reporting Requirements and Expectations of the States, Territories, and Tribes [DCN 0006]	Carey Johnston, EPA/OECA/OC	11/17/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0104
DCN 0007	ICIS Addendum to the Appendix of the 1985 Permit Compliance System [DCN 0007]	Michael M. Stahl, Office of Compliance James A. Hanlon, Office of Wastewater Management, U.S. EPA	11/17/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0105
DCN 0008	Description of the PCS and ICIS-NPDES [DCN 0008]	Carey Johnston, EPA/OECA/OC	11/17/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0106
DCN 0009	U.S. EPA Administrator Jackson Takes New Steps to Improve Water Quality [DCN 0009]	Lisa P. Jackson, Administrator, U.S. EPA	07/02/2009	http://www.epa.gov/compliance/data/results/performance/cwa/jackson-ltr-cwa-enf.html	Yes	No	No	EPA-HQ-OECA-2009-0274-0107
DCN 0010	User Guide to the Online Docket for the NPDES Electronic Reporting Rule (Proposal) [DCN 0010]	U.S. EPA, Office of Compliance	07/2013		Yes	No	No	EPA-HQ-OECA-2009-0274-0192
DCN 0011	Case Study: Ohio Environmental Protection Agency's Electronic Discharge Monitoring Report (eDMR) System Reaches 90% Adoption [EPA-305-F-10-00] [DCN 0011]	U.S. EPA	04/27/2011	EPA-305-F-10-00, http://www.epa.gov/compliance/resources/publications/civil/programs/cwa/ohiocasestudy.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0108

Attachment 1: NPDES Electronic Reporting Rule Docket Index

DCN	Title	Author	Author Date	Source Citation	Pre- amble?	©	CBI	Regulations.gov Document ID #
DCN 0012	Penalty Authority and Digitizing More Paper Return Data Could Increase Benefit [GAO-12-33] [DCN 0012]	U.S. Government Accountability Office (GAO)	10/2011	GAO-12-33, http://www.gao.gov/products/GAO-12-33	Yes	No	No	EPA-HQ-OECA-2009-0274-0109
DCN 0013	Calculating Software ROI [DCN 0013]	Paul Leavoy	06/01/2011	http://www.pollutionengineering.com/Articles/Feature_Article/BNP_GUID_9-5-2006_A_10000000000001059137	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0099
DCN 0014	White House Forum on Modernizing Government: Overview and Next Steps [DCN 0014]	U.S. Executive Office of President	03/2010	http://www.whitehouse.gov/sites/default/files/omb/assets/modernizing_government/ModernizingGovernmentOverview.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0098
DCN 0015	U.S. EPA Uses of NPDES information [DCN 0015]	Carey Johnston, EPA/OECA/OC	11/01/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0110
DCN 0016	2008 Clean Water Act Annual Noncompliance Report (ANCR) [DCN 0016]	U.S. EPA	04/29/2010	http://www.epa-echo.gov/echo/anncr/us/	Yes	No	No	EPA-HQ-OECA-2009-0274-0111
DCN 0017	U.S. EPA Responses to Public Input Received on Development of the Clean Water Act Enforcement Action Plan [DCN 0017]	U.S. EPA	10/15/2009	http://www.epa.gov/compliance/resources/policies/civil/cwa/ideas-responsestable101509.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0112
DCN 0018	NPDES Electronic Reporting Rule Analysis A: Discharge Monitoring Reports [DCN 0018]	U.S. EPA	09/23/2010		Yes	No	No	EPA-HQ-OECA-2009-0274-0113
DCN 0019	Electronic Rule Analysis B: General Permit Reports Electronic Reporting [DCN 0019]	Shane Knipschild, EPA/OECA/OC	08/2010		Yes	No	No	EPA-HQ-OECA-2009-0274-0114
DCN 0020	NPDES Electronic Reporting Rule Analysis C: Electronic State NPDES Information Reformatted from Qs & As to Heading Statements [DCN 0020]	U.S. EPA	09/13/2010		Yes	No	No	EPA-HQ-OECA-2009-0274-0115
DCN 0021	NPDES Electronic Reporting Rule Analysis E: Annual Compliance Certifications [DCN 0021]	U.S. EPA	09/13/2010		Yes	No	No	EPA-HQ-OECA-2009-0274-0116
DCN 0022	NPDES Electronic Reporting Rule Analysis F: Program Reports [DCN 0022]	U.S. EPA	09/15/2010		Yes	No	No	EPA-HQ-OECA-2009-0274-0117
DCN 0023	1985 PCS Policy Statement [DCN 0023]	Lawrence J. Jensen, Assistant Administrator, U.S. EPA, Office of Water	1985		Yes	No	No	EPA-HQ-OECA-2009-0274-0118

Attachment 1: NPDES Electronic Reporting Rule Docket Index

DCN	Title	Author	Author Date	Source Citation	Pre- amble?	©	CBI	Regulations.gov Document ID #
DCN 0024	OMB Control No. 2040-0004 [EPA ICR No. 0229.19] [DCN 0024]	Office of Management and Budget, U.S. Executive Office of President		http://www.reginfo.gov/public/do/PRAOMBHistory?ombControlNumber=2040-0004	Yes	No	No	EPA-HQ-OECA-2009-0274-0119
DCN 0025	2009 Clean Water Act Annual Noncompliance Report [DCN 0025]	U.S. EPA	03/2011	http://www.epa-echo.gov/echo/ancr/us/	Yes	No	No	EPA-HQ-OECA-2009-0274-0120
DCN 0026	DMR Data Availability Table from State Review Framework, 2007 to 2009 [DCN 0026]	Jerry Lappan, EPA/OECA/OC	05/24/2012		Yes	No	No	EPA-HQ-OECA-2009-0274-0121
DCN 0027	Adoption rate of e-reporting by permittees where states have the option for e-reporting DMRs [DCN 0027]	Carey Johnston, EPA/OECA/OC	08/31/2012	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0122
DCN 0028	Multimedia Dashboard Helps Water Plant Make Better, Faster Decisions [DCN 0028]	Renee Bassett	02/2013	Automation World, http://www.automationworld.com/print/12866	No	Yes	No	EPA-HQ-OECA-2009-0274-0123
DCN 0029	NPDES Information Collection Rulemaking and CAFOs [DCN 0029]	U.S. EPA, Office of Water	09/2010	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0124
DCN 0030	Broadband Adoption and Use in America [DCN 0030]	John B. Horrigan	02/23/ 2010	OBI Working Paper Series No. 1, http://hraunfoss.fcc.gov/edocs_public/attachmatch/DOC-296442A1.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0125
DCN 0031	1988 Update of PCS Policy Statement/WENDB Data Elements [DCN 0031]	William J. Jordan, U.S. EPA	03/23/1988		Yes	No	No	EPA-HQ-OECA-2009-0274-0126
DCN 0032	EPA Needs to Reinforce Its National Pretreatment Program [OIG Report No. 2004-P-00030] [DCN 0032]	U.S. EPA, Office of Inspector General	09/28/2004	Report No. 2004-P-00030	Yes	No	No	EPA-HQ-OECA-2009-0274-0127
DCN 0033	Data? Why do we need that? - Using data to drive collection system maintenance [DCN 0033]	Karen M. Morris	05/2011	Water Environment Magazine, Vol. 23, No.5, http://www.wef.org/publications/page_wet.aspx?id=10115&page=feature	No	Yes	No	EPA-HQ-OECA-2009-0274-0128
DCN 0034	Biosolids Applied To Land: Advancing Standards And Practices [DCN 0034]	National Research Council	07/2002	http://www.nap.edu/catalog.php?record_id=10426	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0129
DCN 0035	Improvements for the Cross-Media Electronic Reporting Rule [DCN 0035]	U.S. EPA, Office of Environmental Information	05/09/2013		Yes	No	No	EPA-HQ-OECA-2009-0274-0130

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DCN	Title	Author	Author Date	Source Citation	Pre- amble?	©	CBI	Regulations.gov Document ID #
DCN 0036	The Effect of EDGAR on the Market Reaction to 10-K Filings [DCN 0036]	Sharad Asthana, Steven Balsam	2001	Journal of Accounting and Public Policy 20: 349-372	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0131
DCN 0037	The Enforcement Management System, National Pollutant Discharge Elimination System (Clean Water Act) [DCN 0037]	U.S. EPA	1989	http://www.epa.gov/compliance/resources/policies/civil/cwa/emscwa-jensen-rpt.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0132
DCN 0038	ATTAINS: National Summary: Causes of Impairment in Assessed Rivers and Streams [DCN 0038]	U.S. EPA, Office of Water	2013	http://ofmpub.epa.gov/waters10/attains_nation_cy.control	Yes	No	No	EPA-HQ-OECA-2009-0274-0133
DCN 0039	Other Phase-In Options Considered by EPA for Electronic Reporting of NPDES Information to EPA [DCN 0039]	Carey Johnston, EPA/OECA/OC	11/17/2011	Memorandum to Docket	No	No	No	EPA-HQ-OECA-2009-0274-0134
DCN 0040	Economic Analysis of the National Pollutant Discharge Elimination System (NPDES) Electronic Reporting Proposed Rule [DCN 0040]	U.S. EPA, Office of Compliance	06/2013		Yes	No	No	EPA-HQ-OECA-2009-0274-0135
DCN 0041	Meeting Notes from Meeting with IRS on Working with Third-party Commercial Software Providers [DCN 0041]	Carey Johnston, EPA/OECA/OC	03/11/2011	Memorandum to Docket	Yes	No	No	EPA-HQ-OECA-2009-0274-0136
DCN 0042	Clean Water Act Action Plan [DCN 0042]	U.S. EPA	10/15/2009	http://www.epa.gov/compliance/resources/policies/civil/cwa/actionplan101409.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0137
DCN 0043	Hack, Mash, & Peer: Crowdsourcing Government Transparency [DCN 0043]	Jerry Brito	2008	Columbia Science and Technology Law Review, http://mercatus.org/sites/default/files/publication/Hack_Mash_Peer.pdf	No	Yes	No	EPA-HQ-OECA-2009-0274-0138
DCN 0044	FY 2010 Office of Enforcement and Compliance Assurance (OECA) National Program Manager (NPM) Guidance [DCN 0044]	U.S. EPA	04/23/2009	http://nepis.epa.gov	Yes	No	No	EPA-HQ-OECA-2009-0274-0139
DCN 0045	Report to Congress: Impacts and Control of CSOs and SSO, August [EPA 833-R-04-001] [DCN 0045]	U.S. EPA	08/2004	EPA 833-R-04-001, http://cfpub.epa.gov/npdes/cso/cpolicy_report2004.cfm	Yes	No	No	EPA-HQ-OECA-2009-0274-0140
DCN 0046	Controlling Fats, Oils, and Grease Discharges from Food Service Establishments [EPA-833-F-07-007] [DCN 0046]	U.S. EPA	07/2007	EPA-833-F-07-007, http://www.epa.gov/npdes/pubs/pretreatment_foodservice_fs.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0141

Attachment 1: NPDES Electronic Reporting Rule Docket Index

DCN	Title	Author	Author Date	Source Citation	Pre- amble?	©	CBI	Regulations.gov Document ID #
DCN 0047	Standards of Performance for New Stationary Sources and Emission Guidelines for Existing Sources: Sewage Sludge Incineration Units. Fact Sheet [DCN 0047]	U.S. EPA	2010	http://www.epa.gov/ttn/oarpg/t3/fact_sheet/s/ssi_atec_fs_093010.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0142
DCN 0048	WENDB Data Elements for Sludge [DCN 0048]	U.S. EPA	01/25/1994		Yes	No	No	EPA-HQ-OECA-2009-0274-0143
DCN 0049	New NPDES Non-Municipal Permit Rating Worksheet [DCN 0049]	James A. Elder, U.S. EPA, Office of Water	06/27/1990	http://www.epa.gov/npdes/pubs/owm0116.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0144
DCN 0050	Revision of NPDES Significant Noncompliance (SNC) Criteria to Address Violations of Non-Monthly Average Limits [DCN 0050]	Steven A. Herman, U.S. EPA	09/21/1995	www.epa.gov/compliance/resources/policies/civil/federal/revisepdessnc.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0145
DCN 0051	Presidential Memoranda - Regulatory Compliance [DCN 0051]	U.S. Executive Office of President	01/18/2011	http://www.whitehouse.gov/the-press-office/2011/01/18/presidential-memoranda-regulatory-compliance	Yes	No	No	EPA-HQ-OECA-2009-0274-0146
DCN 0052	Information as Environmental Regulation: TRI and Performance Benchmarking, Precursor to a New Paradigm? [DCN 0052]	Bradley C. Karkkainen	01/2001	Georgetown Law Journal 89: 257	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0147
DCN 0053	The Impacts of the “Right to Know” Information Disclosure and the Violation of Drinking Water Standards, [DCN 0053]	Lori S. Bennear and Sheila M. Olmstead	2008	JEEM Vol. 50, Iss. 2; pp. 117-130	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0148
DCN 0054	Effects of Red-Light Camera Enforcement on Fatal Crashes in Large U.S. Cities (Insurance Institute for Highway Safety [DCN 0054]	Wen Hu, Anne T. McCartt, Eric R. Teoh	02/2011	http://www.northfieldil.org/documents/police/IIHS_Study_2-1-11.pdf	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0149
DCN 0055	Fundamentals of Successful Monitoring, Reporting, and Verification under a Cap-and-Trade Program [DCN 0055]	John Schakenbach, Robert Vollaro, Reynaldo Forte	11/2006	J. Air & Waste Manage.. Assoc., 56:1576–1583 2006 http://www.epa.gov/airmarket/cap-trade/docs/fundamentals.pdf	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0150
DCN 0056	ICIS-NPDES Policy Statement [DCN 0056]	U.S. EPA	04/30/2007		Yes	No	No	EPA-HQ-OECA-2009-0274-0151

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DCN 0057	Public Disclosure of Private Information as a Tool for Regulating Environmental Emissions: Firm-Level Responses by Petroleum Refineries to the Toxics Release Inventory [DCN 0057]	Linda T. M. Bui	06/2005	Brandeis Univ. Working Paper Series, http://ideas.repec.org/p/cen/wpaper/05-13.html	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0152
DCN 0058	Centralize & Streamline: New Management Platform Helps Louisville Utility With Environmental Data Integration [DCN 0058]	Justin Gray, Patrick Fitzgerald, Chase Dabney	05/2012	Water & Wastes Digest,, http://www.wwdmag.com/monitoring-systems/centralize-streamline	No	Yes	No	EPA-HQ-OECA-2009-0274-0153
DCN 0059	Information Technology: The Unsung Hero of Market-Based Environmental Policies [DCN 0059]	Blas Pérez Henríquez	2004	Resources, http://www.rff.org/Publications/Pages/PublicationDetails.aspx?PublicationID=11294	No	Yes	No	EPA-HQ-OECA-2009-0274-0154
DCN 0060	Sample Data Checks Effluent Quality [DCN 0060]	Denise Kearns	09/1993	Environmental Protection	No	Yes	No	EPA-HQ-OECA-2009-0274-0155
DCN 0061	Environmental Information Exchange Network: Exchange Network – Return On Investment And Business Process Analysis Final Report [DCN 0061]	U.S. EPA	09/05/2006	http://www.exchange-network.net/benefits/ROIReport_v1.0.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0156
DCN 0062	State of Michigan Department Of Environmental Quality: Electronic Reporting for Wastewater Facilities: Questions And Answers [DCN 0062]	Michigan Department Of Environmental Quality		https://secure1.state.mi.us/e2rs/config/helpdoc/e2rs_faq.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0157
DCN 0063	New Enhanced Version – Electronic Discharge Monitoring Report (eDMR) [DCN 0063]	Florida Department of Environmental Protection		http://www.dep.state.fl.us/water/wastewater/wce/edmr/	Yes	No	No	EPA-HQ-OECA-2009-0274-0158
DCN 0064	Economic Analysis of Federal Regulations Under Executive Order 12866, Office of Management and Budget [DCN 0064]	Office of Management and Budget, U.S. Executive Office of President	01/11/1996	http://www.whitehouse.gov/omb/inforeg_r iaguide	Yes	No	No	EPA-HQ-OECA-2009-0274-0159
DCN 0065	Economic Analysis of the Final Revisions to the NPDES and Effluent Guidelines for CAFOs [EPA-821-R-03-002] [DCN 0065]	U.S. EPA	2003	EPA-821-R-03-002, http://cfpub.epa.gov/npdes/docs.cfm?view=allprog&program_id=7&sort=name#cafofinalruleandelg	No	No	No	EPA-HQ-OECA-2009-0274-0160

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DCN 0066	States vote yes to online registration [DCN 0066]	Kevin Robillard	04/01/2013	http://www.politico.com/story/2013/04/online-voting-states-89503.html	No	Yes	No	EPA-HQ-OECA-2009-0274-0161
DCN0 067	Processing of Freedom of Information Act (FOIA) Exemption Determinations Pursuant to 10 USC §130e and 10 USC §2254a [DCN 0067]	Michael L. Rhodes, Director, Office of Secretary of Defense, Administration and Management	08/03/2012	http://www.dod.mil/pubs/foi/dfoipo/docs/8-3-2012_Guidance.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0162
DCN 0068	Executive Order -- Making Open and Machine Readable the New Default for Government Information [DCN 0068]	U.S. Executive Office of President	05/09/2013	http://www.whitehouse.gov/the-press-office/2013/05/09/executive-order-making-open-and-machine-readable-new-default-government-	No	No	No	EPA-HQ-OECA-2009-0274-0163
DCN 0069	Benefits of NPDES Electronic Reporting Tools for the EPA Region 6 Gulf of Mexico Offshore Oil & Gas Permit [DCN 0069]	U.S. EPA, Office of Compliance	07/2013		No	No	No	EPA-HQ-OECA-2009-0274-0188
DCN 0070	Environmental Impact and Benefits Assessment for Final Effluent Guidelines and Standards for the Construction and Development Category [EPA-821-R-09-012] [DCN 0070]	U.S. EPA, Office of Water	11/2009	http://water.epa.gov/scitech/wastetech/guide/construction/upload/2008_12_8_guide_construction_files_environment.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0164
DCN 0071	Urban Stormwater Management in the United States [DCN 0071]	National Academy of Sciences	2009	http://nap.edu/catalog.php?record_id=12465	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0165
DCN 0072	Environmental and Economic Benefit Analysis of Proposed Revisions to the National Pollutant Discharge Elimination System Regulation and the Effluent Guidelines for Concentrated Animal Feeding Operations [EPA-821-R-01-002] [DCN 0072]	U.S. EPA, Office of Water	01/2001	http://water.epa.gov/scitech/wastetech/guide/cafo/upload/2001_02_13_guide_cafo_CAFo_Benefits.pdf	Yes	No	No	EPA-HQ-OECA-2009-0274-0166
DCN 0073	EPA's National Hardrock Mining Framework: Appendix B (Potential Environmental Impacts of Hardrock Mining) [DCN 0073]	U.S. EPA, Office of Water	09/1997	http://cfpub.epa.gov/npdes/indpermitting/mining.cfm	Yes	No	No	EPA-HQ-OECA-2009-0274-0167

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DCN 0074	Downstream effects of mountaintop coal mining: comparing biological conditions using family- and genus-level macroinvertebrate bioassessment tools [DCN 0074]	Gregory J. Pond, Margaret E. Passmore, Frank A. Borsuk, Lou Reynolds, and Carole J. Rose	07/2008	J. N. Am. Benthol. Soc., 2008, 27(3):717-737	Yes	Yes	No	EPA-HQ-OECA-2009-0274-0168
DCN 0075	Memorandum Documenting Changes to the Proposed NPDES Electronic Reporting Rule Made During E.O. 12866 Review [DCN 0075]	Carey Johnston, EPA/OECA/OC	07/2013		No	No	No	EPA-HQ-OECA-2009-0274-0169
DCN 0076	Enabling Lean Water Operations: Transforming Data Into Information is Critical for Driving Efficiency for Renewable Water Resources [DCN 0076]	Randy Boyette, Chuck Scholpp, Gabi Miles	01/31/2012	AWWA/WEF Utility Management Conference	No	No	No	EPA-HQ-OECA-2009-0274-0170
DCN 0077	Example DMR Instructions: NPDES Permit Program Instructions for the Discharge Monitoring Report Forms (DMRs) Report Year 2010 [DCN 0077]	U.S. EPA, Region 1	2010	http://www.epa.gov/region1/enforcement/water/pdfs/2010-DMR-Instructions.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0171
DCN 0078	The Advantages of Proper Data Management [DCN 0078]	Graham Holtom	04/2011	Pollution Engineering, http://www.ehsdata.com/media/pdf/press/pe_article_published.pdf	No	Yes	No	EPA-HQ-OECA-2009-0274-0172
DCN 0079	Automation Masterplan: SCADA evolves into the operations and information hub of the future. [DCN 0079]	Ed Ritchie	05/2012	Water Efficiency, www.waterefficiency.net/WE/Articles/16691.aspx?format=2	No	Yes	No	EPA-HQ-OECA-2009-0274-0173
DCN 0080	Data Centered: Large Colorado WWTP Reduces Operating Costs With Automated Data Control Systems [DCN 0080]	Gabi Miles	05/2011	Water & Wastes Digest, http://www.wwdmag.com/data-centered	No	Yes	No	EPA-HQ-OECA-2009-0274-0174
DCN 0081	Presentation Materials for Proof of Concept Demonstration for Electronic Reporting of Clean Water Act Compliance Monitoring Data [DCN 0081]	U.S. EPA, Office of Compliance	07/13/2011		No	No	No	EPA-HQ-OECA-2009-0274-0006
DCN 0082	Tribal Consultation Letter [DCN 0082]	Lisa Lund, U.S. EPA, Office of Compliance	11/30/2010		No	No	No	EPA-HQ-OECA-2009-0274-0175
DCN 0083	Environmental Compliance And Enforcement: EPA's Effort to Improve and Make More Consistent Its Compliance and Enforcement Activities [GAO-06-840T] [DCN 0083]	U.S. Government Accountability Office (GAO)	06/28/2006	GAO-06-840T, http://www.gao.gov/products/GAO-06-840T	No	No	No	EPA-HQ-OECA-2009-0274-0190

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DCN 0084	Water Enforcement Policy, Guidance and Publications [DCN 0084]	U.S. EPA		http://www.epa.gov/enforcement/water/documents/	No	No	No	EPA-HQ-OECA-2009-0274-0176
DCN 0085	Clean Water Act National Pollutant Discharge Elimination System Compliance Monitoring Strategy for the Core Program and Wet Weather Sources [DCN 0085]	Granta Y. Nakayama, U.S. EPA	10/17/2007	http://www.epa.gov/compliance/resources/policies/monitoring/cwa/npdescms.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0177
DCN 0086	Stormwater Phase II Final Rule Permitting and Reporting: The Process and Requirements [DCN 0086]	U.S. EPA, Office of Water	01/2000	EPA 833-F-011, http://www.epa.gov/npdes/pubs/fact2-9.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0178
DCN 0087	Office of Wastewater Management - Water Permitting Factsheet [DCN 0087]	U.S. EPA, Office of Water		http://www.epa.gov/npdes/pubs/101paper.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0179
DCN 0088	Concentrated Animal Feeding Operations: EPA Needs More Information and a Clearly Defined Strategy to Protect Air and Water Quality from Pollutants of Concern [GAO-08-944] [DCN 0088]	U.S. Government Accountability Office (GAO)	09/2008	GAO-08-944, http://www.gao.gov/products/GAO-08-944	No	No	No	EPA-HQ-OECA-2009-0274-0180
DCN 0089	Environmental Protection: EPA-State Enforcement Partnership Has Improved, but EPA's Oversight Needs Further Enhancement [GAO-07-883] [DCN 0089]	U.S. Government Accountability Office (GAO)	07/2007	GAO-07-883, http://www.gao.gov/products/GAO-07-883	No	No	No	EPA-HQ-OECA-2009-0274-0181
DCN 0090	Clean Water Act: Longstanding Issues Impact EPA's and States' Enforcement Efforts [GAO-10-165T] [DCN 0090]	U.S. Government Accountability Office (GAO)	10/15/2009	GAO-10-165T, http://www.gao.gov/products/GAO-10-165T	No	No	No	EPA-HQ-OECA-2009-0274-0182
DCN 0091	The Effect of Electronic Reporting of Discharge Monitoring Reports on Clean Water Act Compliance In Ohio [DCN 0091]	Gregory B. Siedschlag	04/11/2011	Georgetown University Masters Thesis https://repository.library.georgetown.edu/handle/10822/553916	No	No	No	EPA-HQ-OECA-2009-0274-0183
DCN 0092	Environmental Compliance And Enforcement: EPA's Effort to Improve and Make More Consistent Its Compliance and Enforcement Activities [GAO-06-840T] [DCN 0092]	U.S. Government Accountability Office (GAO)	06/28/2006	GAO-06-840T, http://www.gao.gov/products/GAO-06-840T	No	No	No	EPA-HQ-OECA-2009-0274-0184
DCN 0093	Setting Priorities for Addressing Discharges from Separate Sanitary Sewers -1996 (part of the Enforcement Management System) [DCN 0093]	U.S. EPA	1996	http://www.epa.gov/enforcement/water/documents/policies/ssodoc.pdf	No	No	No	EPA-HQ-OECA-2009-0274-0185
DCN 0094	Transmittal Memorandum for the CWA Action Plan Implementation Priorities: Changes to Improve Water Quality, Increase Compliance and Expand Transparency [DCN 0094]	U.S. EPA	05/11/2011	http://www.epa.gov/oeaerth/civil/cwa/cwaenfplan.html	No	No	No	EPA-HQ-OECA-2009-0274-0187

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DCN 0095	CWA Action Plan Implementation Priorities: Changes to Improve Water Quality, Increase Compliance and Expand Transparency [DCN 0095]	U.S. EPA	05/11/2011	http://www.epa.gov/oea/cwa/cwa-enfplan.html	No	No	No	EPA-HQ-OECA-2009-0274-0186
DCN 0096	Fact Sheet: Proposed NPDES Electronic Reporting Rule [DCN 0096]	U.S. EPA	07/2013		No	No	No	EPA-HQ-OECA-2009-0274-0189
DCN 0097	Information Collection Request (ICR) for the Electronic Reporting of National Pollutant Discharge Elimination System (NPDES) Program Data (Proposed Rule) (EPA No. 2468.01, OMB No. 2020-NEW) [DCN 0097] <ul style="list-style-type: none"> • Appendix A - Regulated Entity Burden and Cost • Appendix B - State Permitting Authority Burden and Cost • Appendix C - EPA Headquarters and EPA Region Burden and Cost 	U.S. EPA	07/2013		No	No	No	EPA-HQ-OECA-2009-0274-0191