



**US Environmental Protection Agency  
Office of Pesticide Programs**

**Office of Pesticide Programs  
Microbiology Laboratory  
Environmental Science Center, Ft. Meade, MD**

**Standard Operating Procedure for  
Neutralization Confirmation Procedure for Products Evaluated  
with the AOAC Use Dilution Method (UDM), the AOAC  
Germicidal Spray Products as Disinfectants Test (GSPT),  
and the Disinfectant Towelette Test (DTT)**

**SOP Number: MB-17-03**

**Date Revised: 9-29-14**

SOP Number	MB-17-03
Title	Neutralization Confirmation Procedure for Products Evaluated with the AOAC Use Dilution Method (UDM), the AOAC Germicidal Spray Products as Disinfectants Test (GSPT), and the Disinfectant Towelette Test (DTT)
Scope	This SOP describes methodology used to determine the effectiveness of neutralizers specified for disinfectant testing (UDM, GSPT, and DTT). A quantitative approach is used to assess the effectiveness of the neutralizer and any bacteriostatic action resulting from the neutralizer itself or neutralizer/disinfectant interactions across a range of microbe concentrations. This SOP can be modified to accommodate other test methods.
Application	This assay is designed to simulate the conditions of the UDM, GSPT, and DTT; however, sterile carriers are used instead of inoculated carriers. The test conditions specified for product testing (e.g., water hardness, use-dilution, pH, organic soil, neutralizer, contact time, temperature) are used.

	Approval	Date
SOP Developer:	_____	_____
	Print Name: _____	
SOP Reviewer	_____	_____
	Print Name: _____	
Quality Assurance Unit	_____	_____
	Print Name: _____	
Branch Chief	_____	_____
	Print Name: _____	

Date SOP issued:	
Controlled copy number:	
Date SOP withdrawn:	

TABLE OF CONTENTS

<u>Contents</u>	<u>Page Number</u>
1. DEFINITIONS	3
2. HEALTH AND SAFETY	3
3. PERSONNEL QUALIFICATIONS AND TRAINING	3
4. INSTRUMENT CALIBRATION	3
5. SAMPLE HANDLING AND STORAGE	3
6. QUALITY CONTROL	3
7. INTERFERENCES	3
8. NON-CONFORMING DATA	3
9. DATA MANAGEMENT	3
10. CAUTIONS	3
11. SPECIAL APPARATUS AND MATERIALS	3
12. PROCEDURE AND ANALYSIS	4
13. DATA ANALYSIS/CALCULATIONS	10
14. FORMS AND DATA SHEETS	10
15. REFERENCES	10

<b>1. Definitions</b>	Additional abbreviations/definitions are provided in the text.  1. Bacteriostatic = Capable of inhibiting or controlling the growth or reproduction of bacteria without killing the cells  2. CFU = Colony Forming Unit
<b>2. Health and Safety</b>	Follow procedures specified in SOP MB-01, Laboratory Biosafety. The Study Director and/or lead analyst should consult the Safety Data Sheet for specific hazards associated with products.
<b>3. Personnel Qualifications and Training</b>	Refer to SOP ADM-04, OPP Microbiology Laboratory Training.
<b>4. Instrument Calibration</b>	Refer to SOPs EQ-02 (thermometers), EQ-03 (weigh balances), EQ-05 (timers), and QC-19 (pipettes) for details on method and frequency of calibration.
<b>5. Sample Handling and Storage</b>	Refer to SOP MB-22, Disinfectant Sample Preparation, and SOP COC-01, Chain of Custody Procedures.
<b>6. Quality Control</b>	For quality control purposes, the required information is documented on the appropriate form(s) (see section 14).
<b>7. Interferences</b>	For each neutralizer and subculture medium tested per study, use one batch (preparation) of neutralizer and medium for all treatment and control groups. Differences in performance (quality) between batches of media may lead to misleading neutralization results.
<b>8. Non-conforming Data</b>	Management of non-conforming data will be specified; procedures will be consistent with SOP ADM-07, Non-Conformance Reports.
<b>9. Data Management</b>	Data will be archived consistent with SOP ADM-03, Records and Archives.
<b>10. Cautions</b>	1. To ensure the stability of the test disinfectant solution, perform testing within 3 hours of preparation.  2. Strict adherence to the procedure is necessary for validity of test results.  3. Use appropriate aseptic techniques for all test procedures involving the manipulation of test organisms and associated test components.
<b>11. Special Apparatus and Materials</b>	1. <i>Gram stain kit.</i> Purchased from Becton Dickinson (BD).

<p><b>12. Procedure and Analysis</b></p>	<ul style="list-style-type: none"> <li>a. Sterile carriers are used for this assay.</li> <li>b. The neutralization assay is performed in advance of product testing to verify that the prescribed neutralizer is suitable for the efficacy evaluation. Two test scenarios are conducted concurrently to determine an appropriate approach for performing the product efficacy evaluation:           <ul style="list-style-type: none"> <li>i. The First Scenario involves exposing carriers to the disinfectant and transferring the carriers into the neutralizer subculture medium (primary tube). No secondary subculture medium transfers are conducted. The neutralizer tubes with the carrier are inoculated with a test organism suspension to deliver 5-100 CFU/mL.</li> <li>ii. The Second Scenario is conducted by exposing carriers to the disinfectant and transferring the carriers into the neutralizer subculture medium (primary tube); in addition, the carriers are subsequently transferred to a secondary subculture medium (secondary tube). Tubes are inoculated with a test organism suspension to deliver 5-100 CFU/mL.</li> </ul> </li> <li>c. The purpose of the two scenario approach is to determine if the prescribed neutralizer for the disinfectant is sufficient to support growth.</li> </ul>
<p>12.1 Inoculum Preparation</p>	<ul style="list-style-type: none"> <li>a. Prepare the inoculum according to SOP MB-05, AOAC UDM, sections 12.1 through 12.2b.</li> </ul>
<p>12.2 Inoculum Enumeration</p>	<ul style="list-style-type: none"> <li>a. Prepare serial ten-fold dilutions of the inoculum by pipetting 1 mL of the final test culture into 9 mL of PBDW. Use four dilutions, (e.g., <math>10^{-4}</math>, <math>10^{-5}</math>, <math>10^{-6}</math>, and <math>10^{-7}</math>) to inoculate the neutralizer (primary tubes) and subculture medium (secondary tubes). The target number of cells is 5-100 CFU/mL; this level should be seen in one of the two highest dilutions.</li> <li>b. To estimate CFU/mL, plate 0.1 mL of each of the four dilutions in duplicate on TSA or blood agar plates (BAP). Briefly vortex each dilution tube prior to plating. Plates must be dry prior to incubation.</li> <li>c. Record the dilution and plating information on the Neutralization Confirmation Assay: Enumeration Form (see section 14).</li> <li>d. Incubate plates (inverted) at <math>36\pm 1^{\circ}\text{C}</math> for up to <math>48\pm 2</math> hours and record colony counts. Plates that have colony counts over 300 are labeled as too numerous to count (TNTC). Record the counts on</li> </ul>

	the Neutralization Confirmation Assay: Enumeration Form (see section 14).
12.3 Product Sample Preparation	a. Prepare the product according to the test parameters; follow guidelines for disinfectant sample preparation provided in SOP MB-22, Disinfectant Sample Preparation, and SOP COC-01, Chain of Custody Procedures.
12.4 Carrier Preparation	<p>a. Prepare carriers according to the applicable SOP: for the UDM, SOP MB-05 (stainless steel penicylinders), for the GSPT, SOP MB-06 (25 × 25 mm glass slide carriers), and for the DTT, SOP MB-09 (25 × 75 mm glass slide carriers).</p> <p>i. For UDM: Follow carrier inoculation (SOP MB-05, section 12.2) except use sterile broth. Add organic soil to the sterile broth as necessary per the test parameters.</p> <p>ii. For GSPT and DTT: Follow carrier inoculation (SOP MB-06 and SOP MB-09, section 12.2) except use sterile broth. Add organic soil to the sterile broth as necessary per the test parameters.</p>
12.5 First Scenario: Neutralizer - Primary Subculture Treatment only	<p>a. Requires four dried carriers (with broth culture added) per organism. Use the carrier type required for the specific test.</p> <p>b. Apply the product to the carriers according to specific instruction provided in the test parameters (e.g., use dilution, spray distance, spray period, wipe pattern, and contact time).</p> <p>c. Per test, per one test organism, expose four of the carriers to the disinfectant for the specified contact time in the same manner as product efficacy testing. Record the carrier transfer information on the Neutralization Confirmation Assay: Time Recording Sheet for Carrier Transfers (see section 14).</p> <p>d. After the last carrier of a set (4 total carriers) has been treated with the disinfectant, and the contact time is complete, aseptically transfer carriers in order in a timed fashion into tubes containing the specified neutralizer, in the same manner as product efficacy testing. Drain excess liquid from the carrier prior to the transfer. This set of neutralizer tubes (4 total tubes) will represent the <b>Neutralizer-Primary Subculture Treatment</b>. Refer to section 12.8 for treatment inoculation (Table 1).</p> <p>Note: For GSPT and DTT, the amount of neutralizer is 20 mL per tube (38 × 100 mm tubes) compared to 10 mL (20 × 150 mm tubes) used in the UDM.</p>

	<p>e. Proceed immediately with the Second Scenario.</p>
<p>12.6 Second Scenario: Neutralizer Subculture Treatment plus Secondary Subculture Treatment</p>	<p>a. Requires four dried carriers (with broth culture added) per organism. Use the carrier type required for the specific test.</p> <p>b. Apply the product to the carriers according to specific instruction provided in the test parameters (e.g., use dilution, spray distance, spray period, wipe pattern, and contact time).</p> <p>c. Per test, per one test organism, expose four of the carriers to the disinfectant for the specified contact time in the same manner as product efficacy testing. Record the carrier transfer information on the Neutralization Confirmation Assay: Time Recording Sheet for Carrier Transfers.</p> <p>d. After the last carrier of a set (4 total carriers) has been treated with the disinfectant, and the contact time is complete, aseptically transfer carriers in order in a timed fashion into tubes containing the specified neutralizer, in the same manner as product efficacy testing. Drain excess liquid from the carrier prior to the transfer. This set of neutralizer tubes (4 total tubes) will represent the <b>Neutralizer-Primary Subculture Treatment</b>.</p> <p>Note: For GSPT and DTT, the amount of neutralizer is 20 mL per tube (38 × 100 mm tubes) compared to 10 mL (20 × 150 mm tubes) used in the test method for liquid products.</p> <p>e. Following the last carrier transfer into the neutralizer tube, incubate both First and Second Scenario neutralizer tubes at room temperature for 30-45 min. Then, for the Second Scenario, transfer each carrier in order into a culture tube containing the secondary subculture medium. This portion of the assay is not timed. This set of tubes (4 total tubes) will represent the <b>Secondary Subculture Treatment</b>. Refer to section 12.8 for treatment inoculation (Table 2).</p> <p>f. Repeat the assay for the second test organism, if required.</p>
<p>12.7 Controls</p>	<p>a. Inoculated controls</p> <p>i. The <b>Neutralizer-Primary Inoculated Control</b> contains four tubes of fresh, unexposed (to disinfectant) neutralizer-primary media.</p> <p>ii. The <b>Secondary Subculture Inoculated Control</b> contains four tubes of secondary subculture media.</p> <p>iii. It is highly desirable that the preparation (media preparation number) of each medium be the same as used</p>

	<p>in the treatments. Refer to section 12.8 for treatment inoculation (Table 3).</p> <p>b. Uninoculated controls</p> <p>i. <b>Neutralizer-Primary and Secondary Subculture Uninoculated Controls.</b> One tube each of uninoculated neutralizer and secondary subculture media will be included in the test and incubated with the other tubes.</p> <p>c. Confirm sterility of carriers in advance or concurrently with testing by adding an uninoculated carrier to a tube of 10-20 mL fluid thioglycollate medium or letheen broth and incubating at 36±1°C for 3-10 days.</p>																																	
<p>12.8 Treatment Inoculation</p>	<p>a. After step 12.6e, inoculate all tubes concurrently using Tables 1, 2, and 3.</p> <p>b. First Scenario: Inoculation of Treatment Group with Dilutions of the Test Organism*</p> <p><b>Table 1</b></p> <table border="1" data-bbox="467 1024 1425 1157"> <thead> <tr> <th rowspan="2">Treatment</th> <th colspan="4">Dilutions Added</th> </tr> <tr> <th>10<sup>-4</sup></th> <th>10<sup>-5</sup></th> <th>10<sup>-6</sup></th> <th>10<sup>-7</sup></th> </tr> </thead> <tbody> <tr> <td>Neutralizer-Primary Subculture Treatment</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> </tr> </tbody> </table> <p>*1×10<sup>-4</sup> through 1×10<sup>-7</sup>; based on an approx. starting suspension of 10<sup>8</sup> to 10<sup>9</sup> CFU/mL</p> <p>c. Second Scenario: Inoculation of Treatment Groups with Dilutions of the Test Organism*</p> <p><b>Table 2</b></p> <table border="1" data-bbox="467 1335 1425 1528"> <thead> <tr> <th rowspan="2">Treatments</th> <th colspan="4">Dilutions Added</th> </tr> <tr> <th>10<sup>-4</sup></th> <th>10<sup>-5</sup></th> <th>10<sup>-6</sup></th> <th>10<sup>-7</sup></th> </tr> </thead> <tbody> <tr> <td>Neutralizer-Primary Subculture Treatment</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> </tr> <tr> <td>Secondary Subculture Treatment</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> <td>0.1 mL</td> </tr> </tbody> </table> <p>*1×10<sup>-4</sup> through 1×10<sup>-7</sup>; based on an approx. starting suspension of 10<sup>8</sup> to 10<sup>9</sup> CFU/mL</p> <p>d. Controls: Inoculation of Control Groups with Dilutions of the Test Organism*</p>	Treatment	Dilutions Added				10 <sup>-4</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>	Neutralizer-Primary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL	Treatments	Dilutions Added				10 <sup>-4</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>	Neutralizer-Primary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL	Secondary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL
Treatment	Dilutions Added																																	
	10 <sup>-4</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>																														
Neutralizer-Primary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL																														
Treatments	Dilutions Added																																	
	10 <sup>-4</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>																														
Neutralizer-Primary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL																														
Secondary Subculture Treatment	0.1 mL	0.1 mL	0.1 mL	0.1 mL																														

Controls		Dilutions Added			
		10 <sup>-4</sup>	10 <sup>-5</sup>	10 <sup>-6</sup>	10 <sup>-7</sup>
Inoculated Controls (media performance)	Neutralizer-Primary	0.1 mL	0.1 mL	0.1 mL	0.1 mL
	Secondary Subculture	0.1 mL	0.1 mL	0.1 mL	0.1 mL
Sterility Controls	Neutralizer-Primary	N/A	N/A	N/A	N/A
	Secondary Subculture	N/A	N/A	N/A	N/A

\*1×10<sup>-4</sup> through 1×10<sup>-7</sup>; based on an approx. starting suspension of 10<sup>8</sup> to 10<sup>9</sup> CFU/mL

e. Shake tubes thoroughly. Incubate all tubes for up to 48±2 h at 36±1°C.

12.9 Recording Results and Confirmation Testing

a. Record results as + (growth/turbidity) or 0 (no growth) on the Neutralization Confirmation Assay Results Form (see section 14).

b. For each treatment and control group, Gram stain a minimum of one positive tube per treatment. Select the tube with the highest dilution showing growth (inoculated with the dilution with fewest CFU/mL delivered).

c. Record confirmation results on the Neutralization Confirmation Assay: Microbe Confirmation Sheet (see section 14).

12.10 Interpretation of Results

a. Plate count data. One of the four dilutions plated should provide counts within the approximate target range, 5-100 CFU/mL.

i. Note: The lack of complete neutralization of the disinfectant or bacteriostatic activity of the neutralizer itself may be masked when a high level of inoculum is added to the subculture tubes.

b. Controls. Growth in the **Secondary Subculture Inoculated Control** verifies the presence of the test microbe, performance of the media, and provides a basis for comparison of growth in the neutralizer and subculture treatment tubes. *No growth or only growth in tubes which received high levels of inoculum (e.g., a dilution with plate counts which are too numerous to count) indicates poor media performance.* Growth in the **Neutralizer-Primary Inoculated Control** should be comparable to the **Secondary Subculture Inoculated Control** if the neutralizer is the same as the secondary subculture media.

i. There may be cases when the neutralizer (primary tubes) is significantly different from the secondary subculture

	<p>media. In these cases, growth may not be comparable to the Secondary Subculture inoculated Control.</p> <p>ii. The <b>Neutralizer-Primary Uninoculated Control</b> and <b>Secondary Subculture Uninoculated Control</b> tubes are used to determine sterility and must show no growth for the test to be valid.</p> <p>c. <b>Treatments.</b> The occurrence of growth in the <b>Neutralizer-Primary Subculture</b> and <b>Secondary Subculture Treatment</b> tubes are used to assess the effectiveness of the neutralizer.</p> <p>i. <b>First Scenario:</b> The neutralizer itself may exhibit bacteriostatic activity against the test microbe. <i>No growth or growth only in tubes which received a high titer of inoculum (e.g., the dilution with plate counts which are too numerous to count) indicates poor neutralization and/or presence of bacteriostatic properties of the neutralizer or neutralizer-disinfectant interactions. For the neutralizer to be deemed effective, growth <u>must</u> occur in the <b>Neutralizer Primary Subculture Treatment</b> tubes which received lower titer of inoculum (e.g., 5-100 CFU/mL).</i></p> <p>ii. <b>Second Scenario:</b> The neutralizer itself or in combination with the recovery (subculture) medium may exhibit bacteriostatic activity against the test microbe. <i>No growth or growth only in tubes which received a high titer of inoculum (e.g., the dilution with plate counts which are too numerous to count) indicates poor neutralization and/or presence of bacteriostatic properties of the neutralizer or neutralizer-disinfectant interactions. For the neutralizer to be deemed effective, growth <u>must</u> occur in the <b>Secondary Subculture Treatment</b> tubes which received lower titer of inoculum (e.g., 5-100 CFU/mL).</i></p>
<p>12.11 Efficacy Evaluation based on Neutralization Results</p>	<p>a. If results from the First Scenario indicate effective neutralization, the efficacy evaluation will be conducted using only the neutralizer subculture tubes (i.e., primary tubes).</p> <p>b. If results from the First Scenario (Neutralizer-Primary Subculture Treatment only) are inconclusive and/or indicate that a bacteriostatic effect from the neutralizer or neutralizer-disinfectant interaction is present, results from the Second Scenario are evaluated to determine if the Secondary Subculture tube provide appropriate neutralization.</p>

	<p>c. If the Second Scenario is deemed effective, the efficacy evaluation is conducted using both subculture media tubes (i.e., primary and secondary tubes).</p> <p>d. If results from the Second Scenario (Neutralizer-Primary Subculture Treatment tubes and Secondary Subculture Treatment tubes) are inconclusive and/or indicate that a bacteriostatic effect from the neutralizer or neutralizer-disinfectant interaction is present, an alternative neutralizer will be assayed prior to conducting the efficacy evaluation. The alternative neutralizer may not be specified in the test parameters.</p>												
<p><b>13. Data Analysis/ Calculations</b></p>	<ol style="list-style-type: none"> <li>1. Plate counts are enumerated and CFU/mL added to each tube is calculated based on the average of countable plates. Apply TNTC for counts above 300 CFUs.</li> <li>2. To calculate the average CFU/mL per dilution added to each tube, add the plate counts for each plate within the dilution and divide by two.</li> <li>3. Counts from 0 through 300 are used in the calculations.</li> </ol>												
<p><b>14. Forms and Data Sheets</b></p>	<p>Test Sheets. Test sheets are stored separately from the SOP under the following file names:</p> <table border="0" style="width: 100%;"> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Time Recording Sheet for Carrier Transfers</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F1.docx</td> </tr> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Test Information Sheet</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F2.docx</td> </tr> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Results Form</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F3.docx</td> </tr> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Test Microbe Confirmation Sheet</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F4.docx</td> </tr> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Enumeration Form</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F5.docx</td> </tr> <tr> <td style="padding-left: 40px;">Neutralization Confirmation Assay: Processing Sheet</td> <td style="text-align: right; vertical-align: bottom;">MB-17-03_F6.docx</td> </tr> </table>	Neutralization Confirmation Assay: Time Recording Sheet for Carrier Transfers	MB-17-03_F1.docx	Neutralization Confirmation Assay: Test Information Sheet	MB-17-03_F2.docx	Neutralization Confirmation Assay: Results Form	MB-17-03_F3.docx	Neutralization Confirmation Assay: Test Microbe Confirmation Sheet	MB-17-03_F4.docx	Neutralization Confirmation Assay: Enumeration Form	MB-17-03_F5.docx	Neutralization Confirmation Assay: Processing Sheet	MB-17-03_F6.docx
Neutralization Confirmation Assay: Time Recording Sheet for Carrier Transfers	MB-17-03_F1.docx												
Neutralization Confirmation Assay: Test Information Sheet	MB-17-03_F2.docx												
Neutralization Confirmation Assay: Results Form	MB-17-03_F3.docx												
Neutralization Confirmation Assay: Test Microbe Confirmation Sheet	MB-17-03_F4.docx												
Neutralization Confirmation Assay: Enumeration Form	MB-17-03_F5.docx												
Neutralization Confirmation Assay: Processing Sheet	MB-17-03_F6.docx												
<p><b>15. References</b></p>	<ol style="list-style-type: none"> <li>1. Official Methods of Analysis. Methods 955.15 and 964.02. Posted September 2013. AOAC INTERNATIONAL, Gaithersburg, MD.</li> <li>2. Official Methods of Analysis. Method 961.02. Posted March 2013. AOAC INTERNATIONAL, Gaithersburg, MD.</li> <li>3. Package Insert – Gram Stain Kit and Reagents. Becton, Dickinson and Company. Part no. 8820201JAA. Revision 03/2011.</li> </ol>												