Introduction to Grants Management

EPA Region 6
Clean Water Act (CWA)
§106/319
TAS Eligibility

- TAS = “Treatment as a State” or “Treatment in a Similar Manner as a State”
  - Federal recognition
  - Demonstrate substantial government duties
  - Demonstrate legal authority or jurisdiction
- Demonstrate Capability
Federally Recognized as a Sovereign Tribe/Nation

- Secretary of Interior
- Published in the Federal Register
Demonstrate Substantial Government Duties

• Tribal government that has functions
  – Organized structure
    • Tribal Council, Governor/President/CEO/Chairman/War Chief
  – Codes, laws that they have created as a result of being a government
  – Departments (Environmental, Forestry, Wildlife, Mining, Cultural)
    • Carry out substantial duties
Demonstrate Substantial Government Duties

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  - Organized structure
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Demonstrate Legal Authority or Jurisdiction

• Show that the tribe has Legal Authority in the form of
  – titles,
  – deeds,
  – legal boundaries approved by BIA,
  – trust documents,
  – treaties,
  – memoranda of agreement,
  – maps, including aerial maps
  – waterbody locations,
  – land grants,
  – other legal agreements,
Demonstrate Capability

• More subjective – this requirement is at the programmatic level

• Show the tribe has capability to manage federal environmental programs. Mention areas in which you have implemented and reported on other programs.

• Show you have staffing capability
Demonstrate Capability

• Who, what are their qualifications (resume or biography), shows personnel can manage the program (working with federal government)

• Cooperative Assistance Agreement – not a grant

• We have a trust responsibility to help you manage your precious water resources.
TAS Conclusion

• Finally, each program must demonstrate these four points in order.
  – Federal recognition
  – Demonstrate substantial government duties
  – Demonstrate legal authority or jurisdiction
  – Demonstrate Capability
TAS for 319

• *Assessment Report and Management Plan
  – Make a determination of where all tribal water bodies are located.
  – Make an assessment of where they are and/or the conditions of the waterbodies.
  – Then develop a 5-year management plan
QUESTIONS?
Grant Management

• Application Review Process
• Project Management
• Financial Management
• Close-out process
Application Review Process

- Cooperative Agreement vs. Grant
- Region-specific
  - Some post Funding Opportunities with specific criteria
  - Some just ask eligible tribes to send in workplans
  - EPA opportunity to reflect national or regional priorities
  - Your workplan must incorporate EPA’s Strategic Plan
    - Example: Must submit data to STORET via WQX
  - Must conform to guidance for the program
Application review process cont.

- Submit Workplan or proposal
- Workplan is reviewed by Region’s programmatic team
- Once approved, application materials will be needed (see website)
Components of Funding Application

- SF 424A (signed by governor, president, or chairman) & SF 424B
- Lobbying certifications
- Contact list
- Can be accomplished online via Grants.gov, Regional Grants Management Office, or your Project Officer but check w/ Regional program staff for the preferred method
Components of Funding Application

• Application will need to be processed within a certain period so that the award can be made

• Grantee must comply with Terms and Conditions of an award

• Applications lead to awards, and the awards lead to dollars. The signed award allows you to draw down funds for your project as approved.

• Your award cannot be for more funds than you requested in the 424A.

• We do not extend project periods just so all monies can be expended.
Project Management
“Getting the Work Done”

• Workplan Development And Implementation
  – Includes Goals, Objectives, Tasks, and Activities
  – Milestone and/or schedules
  – Project Budget
  – Reporting
  – Evaluation
Workplan Development and Implementation

– Identify Management Measures Or Practices To Be Implemented
– Includes Project Costs, Amount Of Award, Implementation Schedule, Milestones...
– Incorporating EPA Strategic Plan and/or Regional Priorities
Financial Management

- Reimbursement programs
- Drawdowns
- Financial Status Reports
- MBE/WBEs
- Financial Accountability
Close-Out

• Final Reporting
  – 90 days after expiration of project period to complete programmatic and financial reporting
  – Includes final MBE/WBE
EPA Region 6 CWA §106/319

Contacts:

George Craft
Tribal Coordinator
214-665-6684
craft.george@epa.gov

Nikole Witt
Tribal Project Officer
214-665-2781
witt.nikole@epa.gov