

# Small Systems Working Group

## EXECUTIVE SUMMARY

**National Drinking Water Advisory Council  
June 30 - July 1, 1997  
Loews L'Enfant Plaza Hotel  
480 L'Enfant Plaza  
Washington, D.C.**

The NDWAC Small Systems Working Group met for the fourth time on June 30-July 1, 1997 and completed its set of recommendations for the full NDWAC. In general the working group reaffirmed previous consensus decisions and completed final substantive editing of the products they plan to pass forward to the full council. A small number of working group members expressed an interest in submitting minority opinions to accompany the recommendations. Potential minority opinions discussed at the meeting include:

RECOMMENDATION	MINORITY OPINION
EPA Should publish Guidance for States on Preparation of Capacity Development Strategies (What States need to do to avoid the SRF withholding).	EPA should not publish <b>guidance</b> in this area.
EPA should publish Guidance for the States on Assessing the Technical, Financial, and Managerial Capacity of Water Systems Seeking SRF Assistance.	EPA should not publish <b>guidance</b> in this area.
The guidance on assessing capacity should require States to provide a <b>brief</b> description of their capacity assessment procedure as part of their SRF grant application.	The guidance should require a <b>comprehensive</b> description.

Minority opinions will be finalized the week of July 7, 1997.

The working group decided to separate Appendix B from "Guidance for States on Ensuring the Technical, Financial, and Managerial Capacity of New Community Water Systems and New Non-Transient, Non-Community Water Systems" and recommend that it be produced separate from the guidance as "Information for States on Legal Authorities and Other Means to Ensure that All New CWSs and NTNCWSs Demonstrate Technical, Financial, and Managerial Capacity."

The working group agreed on final substantive edits to the following individual documents:

1. Guidance for States on Ensuring the Technical, Financial, and Managerial Capacity of New Community Water Systems and New Non-Transient, Non-Community Water Systems.
2. Guidance for States on Preparation of Capacity Development Strategies (What States need to do to avoid the SRF withholding).
3. Guidance for States on assessing technical, financial, and managerial capacity of water systems seeking SRF assistance.
4. Information for States on options they can consider in developing their Capacity Development Strategies.
5. Information for States on options for assessing the technical, financial, and managerial capacity of systems seeking SRF assistance.
6. Information for the public to better enable them to participate with States in the development of State Capacity Development Strategies.

7. Information for States to assist them in developing affordability criteria.
8. Information for States on Legal Authorities and Other Means to Ensure that All New CWSs and NTNCWSs Demonstrate Technical, Financial, and Managerial Capacity.
9. Issue Paper on Technical, Managerial, and Financial Capacity of Water Systems.

The working group will recommend that the three guidances be published together as three chapters of one document and that product 9 (the Issue Paper) be used as the basis for an introductory chapter which would define technical, financial, and managerial capacity. They will also recommend that the capacity related "Information for States" (documents 4, 5, and 8) be published together. The working group will recommend that information documents 6 and 7 be published individually.

After incorporating the edits agreed to at this meeting, the documents will be packaged and submitted to the full NDWAC the week of July 21, 1997. The full NDWAC will meet via teleconference on August 27, 1997 to consider the recommendations of the Small System Working Group.

Working Group members absent: Ted Michaels, Jack Bryck, David Monie.

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### **Documents discussed by Small Systems Working Group**

Guidance for States on Implementing the Capacity Development Provisions of the Safe Drinking Water Act [[WordPerfect 6.1 \(231 KB\)](#)]

Information for States on Implementing the Capacity Development Provisions of the Safe Drinking Water Act [[WordPerfect 6.1 \(776 KB\)](#)]

Information for States on Affordability Criteria [[WordPerfect 6.1 \(316 KB\)](#)]