
A Step-By-Step Guide to Submit 40 CFR Part 85 Defect, Recall, and Quarterly Reports to EPA

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Assessment and Standards Division
Office of Transportation and Air Quality
U.S. Environmental Protection Agency

Instructions for Submitting Defect and Recall Documents to EPA Verify Document Module

1. Manufacturers submitting data must have a manufacturer's code established with Verify

EPA receives data and documents from manufacturers through its Verify system. Any manufacturer wishing to submit data or documents must have a valid manufacturer code, which can be obtained by following the instructions at <http://www.epa.gov/otag/verify/mfr-code.htm>. Please follow all instructions on this page completely. Any problems or questions regarding Verify should be directed to the Verify Help Desk at 1-888-890-1995 or verifyhelp@csc.com.

2. First time users of Verify need to follow Verify's setup instructions

Once the data submitter obtains a valid manufacturer code with Verify, they will need to set up user account(s) by following the instructions at <http://www.epa.gov/OMS/verify/setup.htm>. In order to submit data or documents, a user account is mandatory. As with obtaining a manufacturer code, any problems with setting up a user account should be directed to the Verify Help Desk at 1-888-890-1995 or verifyhelp@csc.com.

3. Download and fill out applicable reporting templates

Proceed to <https://www3.epa.gov/otag/verify/publications.htm#edir> to download the appropriate template and fill it out in preparation for submission. For the filename to use for these forms, please refer to Appendix A.

4. Log in to Verify and submit completed data submission form to Verify's Document Module

To submit your documents, log in to Verify at <http://cdx.epa.gov/warning.asp>. Follow the instructions to log in. Once in the "MyCDX" page, click on the link titled "VERIFY: Upload Compliance Documents." The screen shots below with additional instructions superimposed provides more details for what should be entered in the following screen. Note that there are four different screen shots—corresponding to the four different types of defect and recall related document submissions:

1) Defect reports (DR),

- 2) Voluntary emission recall reports (VERR),
- 3) Quarterly reports (QR), and
- 4) Supporting documents

Defect Reports

Anything not addressed in this screen can be found in the Help page at the top right.

EPA Manufacturer Code *	EPA
Document Path and File Name *	DR example.png Delete
Path and File Name of Same Document in Alternate Format	Choose File No file chosen
Industry *	<ul style="list-style-type: none"> Aircraft All Industries All Terrain Vehicle Alternative Fuel Converter (LDV/LDT/HDV Chassis Certified) Complete Heavy-Duty Highway Vehicle
Compliance Document Type *	Defect Report
Compliance Document Type, if "Other"	
General Document Type	Select
General Document Type, if "Other"	
Compliance Document Topic *	Initial Report
Compliance Document Topic, if "Other"	
Confidentiality Status *	FOI
Document Applicability *	General Applicability (don't specify any EF/VF/TGs)
Does this document apply to one/multiple specific model year(s)? *	Yes
Model Year *	<ul style="list-style-type: none"> 2017 2016 2015
Document Date *	06/01/2016 select
Title *	Defect report #00001 for model ABC
Abstract *	0001 - Defect report for Model ABC
Keyword(s) *	<ul style="list-style-type: none"> Hybrid Hybrid Electric ICI IUVP In-Use
Document Owner	Select (Select a contact from the list to populate the Document Owner fields below)
Document Owner Name *	
Document Owner Phone *	
Document Owner E-Mail *	
Comments	

Select "Defect Report"

Select "Initial Report" for first submission or "Follow-up Report" for updates/corrections.

Select "General Applicability"

If "CBI", a separate "FOI" version would need to be submitted

Abstract must start with the defect report number, along with other details regarding this submission

At least "In-Use" must be selected, in addition to other applicable keywords

Refresh

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Voluntary Emission Recall Report

EPA Manufacturer Code *	EPA	Anything not addressed in this screen can be found in the Help page at the top right.
Document Path and File Name *	FOI_EPA_VERR_0001_BD_R00.xlsx Delete	
Path and File Name of Same Document in Alternate Format	Choose File No file chosen	
Industry *	Alternative Fuel Converter (LDV/LDT/HDV Chassis Certified) Complete Heavy-Duty Highway Vehicle Electric Motorcycle Heavy-Duty Highway Clean Fuel Fleet Heavy-Duty Highway Gas and Diesel Engines	Select "Voluntary Emission Recall Reports"
Compliance Document Type *	Voluntary Emission Recall Report	Select "Notification of Voluntary Recall" for first time submission, or "Follow-up Report" for update/correction
Compliance Document Type, if "Other"		
General Document Type	Select	Select "General Applicability"
General Document Type, if "Other"		
Compliance Document Topic *	Notification of Voluntary Recall	If "CBI", a separate "FOI" version would need to be submitted
Compliance Document Topic, if "Other"		
Confidentiality Status *	FOI	
Document Applicability *	General Applicability (don't specify any EF/VF/TGs)	
Does this document apply to one/multiple specific model year(s) *	Select	
Model Year	2019 2018 2017	
Document Date *	06/02/2016 select	
Title *	Voluntary emission recall report #0001 for model ABC	Abstract must start with the VERR number, along with other details regarding this submission
Abstract *	0001 - Voluntary emission recall report for model ABC	
Keyword(s) *	IUVP In-Use Liquid cooled Maintenance OBD	At least "In-Use" and "Recall" must be selected, in addition to other applicable keywords
Document Owner	Select (Select a contact from the list to populate the Document Owner fields below)	
Document Owner Name *		
Document Owner Phone *		
Document Owner E-Mail *		
Comments		

[Refresh](#)



Quarterly Report

EPA Manufacturer Code *	EPA	<div data-bbox="1144 247 1469 409" style="border: 1px solid black; padding: 5px;"> Anything not addressed in this screen can be found in the Help page at the top right. </div>
Document Path and File Name *	FOI_EPA_QR_0001_BD_R00.xlsx Delete	
Path and File Name of Same Document in Alternate Format	<input type="button" value="Choose File"/> No file chosen	
Industry *	<input type="checkbox"/> Heavy-Duty Highway Steam Turbine <input type="checkbox"/> Heavy-Duty Highway Gas and Diesel Engines <input type="checkbox"/> Heavy-Duty Highway Evaporative <input type="checkbox"/> Heavy-Duty Highway Tractors & Vocational Vehicles <input type="checkbox"/> Highway Motorcycle <input type="checkbox"/> ICI	
Compliance Document Type *	Voluntary Emission Recall Report	<div data-bbox="1144 462 1485 556" style="border: 1px solid black; padding: 5px;"> Select "Voluntary Emission Recall Reports" </div>
Compliance Document Type, if "Other"	<input type="text"/>	
General Document Type	Select	
General Document Type, if "Other"	<input type="text"/>	
Compliance Document Topic *	Quarterly Progress Reports	<div data-bbox="1144 577 1396 672" style="border: 1px solid black; padding: 5px;"> Select "Quarterly Progress Reports" </div>
Compliance Document Topic, if "Other"	<input type="text"/>	
Confidentiality Status *	FOI	
Document Applicability *	General Applicability (don't specify any EF/MF/TGs)	<div data-bbox="1144 693 1534 745" style="border: 1px solid black; padding: 5px;"> Select "General Applicability" </div>
Does this document apply to one/multiple specific model year(s) *	Yes	
Model Year +	<input type="checkbox"/> 2017 <input checked="" type="checkbox"/> 2016 <input type="checkbox"/> 2015	
Document Date *	06/02/2016 <input type="button" value="select"/>	
Title *	<input type="text" value="Quarterly report for Model ABC"/>	
Abstract *	<input type="text" value="QR"/>	
Keyword(s) *	<input type="checkbox"/> Phase 1 <input type="checkbox"/> Phase 2 <input checked="" type="checkbox"/> Phase 3 <input checked="" type="checkbox"/> Recall <input type="checkbox"/> Regulation <input type="checkbox"/> Small Volume	<div data-bbox="1039 1018 1437 1144" style="border: 1px solid black; padding: 5px;"> At least "In-Use" and "Recall" must be selected, in addition to other applicable keywords </div>
Document Owner	Select (Select a contact from the list to populate the Document Owner fields below)	
Document Owner Name *	<input type="text"/>	
Document Owner Phone *	<input type="text"/>	
Document Owner E-Mail *	<input type="text"/>	
Comments	<input type="text"/>	

Defect or Recall Report Supporting Documents

EPA Manufacturer Code *	EPA	<div data-bbox="1122 233 1453 394" style="border: 1px solid black; padding: 5px;"> Anything not addressed in this screen can be found in the Help page at the top right. </div>
Document Path and File Name *	VERR Supporting Documentation.png Delete	
Path and File Name of Same Document in Alternate Format	Choose File No file chosen	
Industry *	<ul style="list-style-type: none"> Aircraft All Industries All Terrain Vehicle Alternative Fuel Converter (LDV/LDT/HDV Chassis Certified) Complete Heavy-Duty Highway Vehicle 	
Compliance Document Type *	Other	<div data-bbox="1036 485 1528 615" style="border: 1px solid black; padding: 5px;"> Enter DR or VERR Number, then designate whether this document is for supporting and DR, VERR, or QR. </div>
Compliance Document Type, if "Other" *	0021 - VERR attachment	
General Document Type *	Presentation	
General Document Type, if "Other"		
Compliance Document Topic		
Compliance Document Topic, if "Other"		
Confidentiality Status *	FOI	
Document Applicability *	General Applicability (don't specify any EF/VF/TGs)	<div data-bbox="1133 699 1523 751" style="border: 1px solid black; padding: 5px;"> Select "General Applicability" </div>
Does this document apply to one/multiple specific model year(s)? *	Yes	
Model Year	<ul style="list-style-type: none"> 2017 2016 2015 	
Document Date *	06/02/2016 <input type="button" value="select"/>	
Title *	Supporting Documentation for VERR 0021	
Abstract *	0021 - This file is supporting documentation for VERR 0021	<div data-bbox="966 1018 1448 1148" style="border: 1px solid black; padding: 5px;"> Select "In-Use". If this document supports a VERR, also select "Recall". Add keywords as appropriate </div>
Keyword(s) *	<ul style="list-style-type: none"> TOI IUVP In-Use Liquid cooled Maintenance ODD 	
Document Owner	Select (Select a contact from the list to populate the Document Owner fields below)	
Document Owner Name *		
Document Owner Phone *		
Document Owner E-Mail *		
Comments		

After the submission page is correctly filled out, select “Review & Submit.” After submitting the document, if Verify accepted the submission, you will receive a message in your inbox stating so. If your submission was rejected, the inbox will also notify you with a reason for why it was reject. The inbox message may take a few minutes before arriving in your inbox. Your CDX inbox can be accessed through the left navigation bar through the “Inbox” link.

APPENDIX A

Naming a DR/VERR attachment for submittal to the Verify Document Module

A name of a document file consists of four sections:
Confidentiality_ Applicability_ Information Type_ Version Indicator. suffix [file type]

Each section has multiple -elements as listed in the following table:

<u>Section 1</u> Confidentiality (3 characters + underscore)	<u>Section 2</u> Applicability (3 characters + underscore)	<u>Section 3</u> Information Type (9 characters + 3 underscores)	<u>Section 4</u> Version Indicator (3 characters)
<ul style="list-style-type: none"> • CBI_: a confidential file that will not be released to the public • FOI_: a non-confidential file after remove confidential information from a CBI file so that it is readily releasable to the public after your vehicles/engines are introduced into commerce 	<ul style="list-style-type: none"> • Manufacturer Code_: the three character code used by the manufacturer in <i>VERIFY</i> 	<ul style="list-style-type: none"> • DRE_XXXX_BD_: Defect Report (1) (4) • VER_XXXX_BD_: Voluntary Emission Recall Report (1) (4) • QR#_YYYY_BD_: Voluntary Emission Recall Report Quarterly Report (2)(3)(4) <p><i>Notes:</i></p> <p>(1) “XXXX_” should be the four digits of the DR or VERR number assigned by the manufacturer.</p> <p>(2) “#” should be 1, 2, 3 or 4 indicating the quarter of the calendar year that the report applies to.</p> <p>(3) “YYYY_” should be the calendar year the report applies to.</p> <p>(4) “BD_” (Base Document) should only be changed to one of the following when a document is an <u>attachment</u> to a DR or a VERR:</p> <p style="margin-left: 20px;"><i>ED: Emissions Data</i> <i>DN: Dealer Notification</i> <i>ON: Owner Notification</i> <i>RI: Repair Instructions</i> <i>SB: Technical Service Bulletin</i> <i>SL: Sample Repair Label</i> <i>OT: Other</i></p>	<ul style="list-style-type: none"> • R00: original • R01: 1 revision • R02: 2 revision • etc.