



Simulating and Analyzing Long-Term Changes in Emissions, Air Quality, Aerosol Feedback Effects and Human Health

J. Xing^{1,+}, C.-M. Gan^{1,*}, C. Wei^{1,^}, J. Wang^{1,+}, D. Wong¹, G. Pouliot¹, K. Foley¹, C. Hogrefe¹, J. Pleim¹, and R. Mathur¹

¹ Computational Exposure Division, NERL, ORD, EPA, , Research Triangle Park, NC

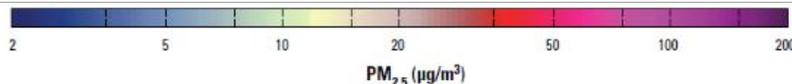
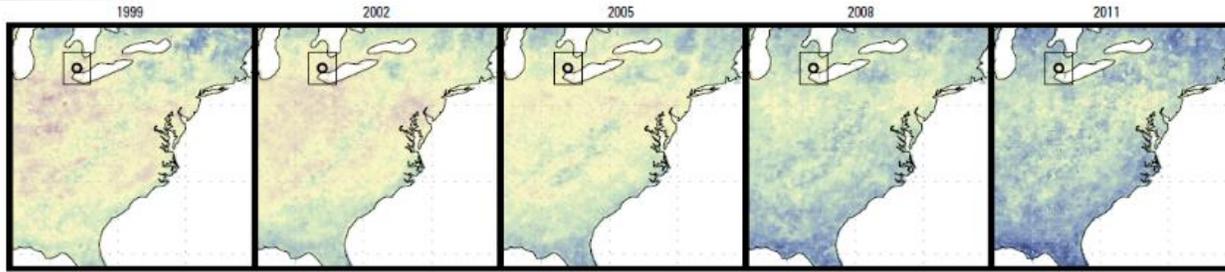
⁺ Tsinghua University, Beijing, China

^{*} Now at CSRA, Research Triangle Park, NC

[^] Now at Max Planck Institute for Chemistry, Mainz, Germany

PM_{2.5} Concentrations from 1999-2011

Eastern N. America



- Significant and diverging trends in emissions and air quality have occurred over the past decades
- These trends in air quality can have impacts on aerosol/radiation interactions and air pollution related mortality
- Modeling systems accounting for the spatially heterogeneous changes in emissions and air quality and incorporating aerosol/radiation interactions can help to better quantify these impacts

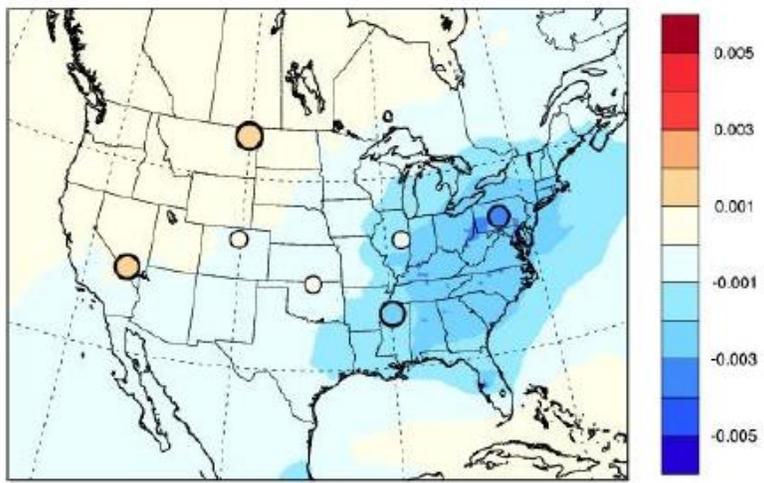
→ perform long-term simulations over both North America and the entire Northern Hemisphere with the coupled WRF-CMAQ model



Continental-Scale WRF-CMAQ Simulations

WRF-CMAQ two-way model

- WRF3.4: NARR Reanalysis data; RRTMg radiation scheme, ACM2 (Pleim) PBL, PX LSM.
- CMAQ5.0: CB05-AERO6 chemistry, inline photolysis, inline dust emission module.
- Two-way coupling captures aerosol direct effects (ADE) by transferring CMAQ aerosol information available to RRTMg in WRF



Domain:

- ✓ 36×36 km resolution over the CONUS
- ✓ 35 layers from surface to 100mb

Period:

- ✓ from 1990 to 2010

Emissions:

- ✓ *Xing et al. (2013)*

Boundary Conditions:

- ✓ *Hemispheric WRF-CMAQ simulations (Xing et al., 2015 a,b,c)*

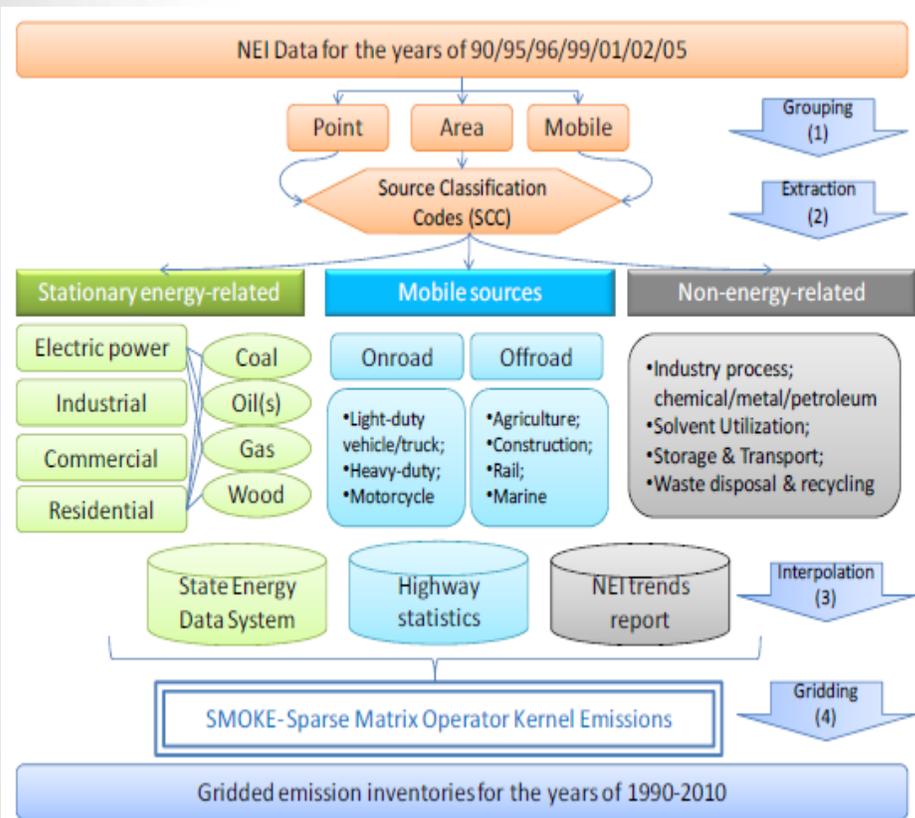
Scenarios:

- ✓ No feedback (turn off the aerosol direct effects)
- ✓ with feedback



Changes in U.S. Emissions

Constructed internally consistent 1990 – 2010 model-ready emission dataset based on available emission inventories, activity data, emission factors and control technologies

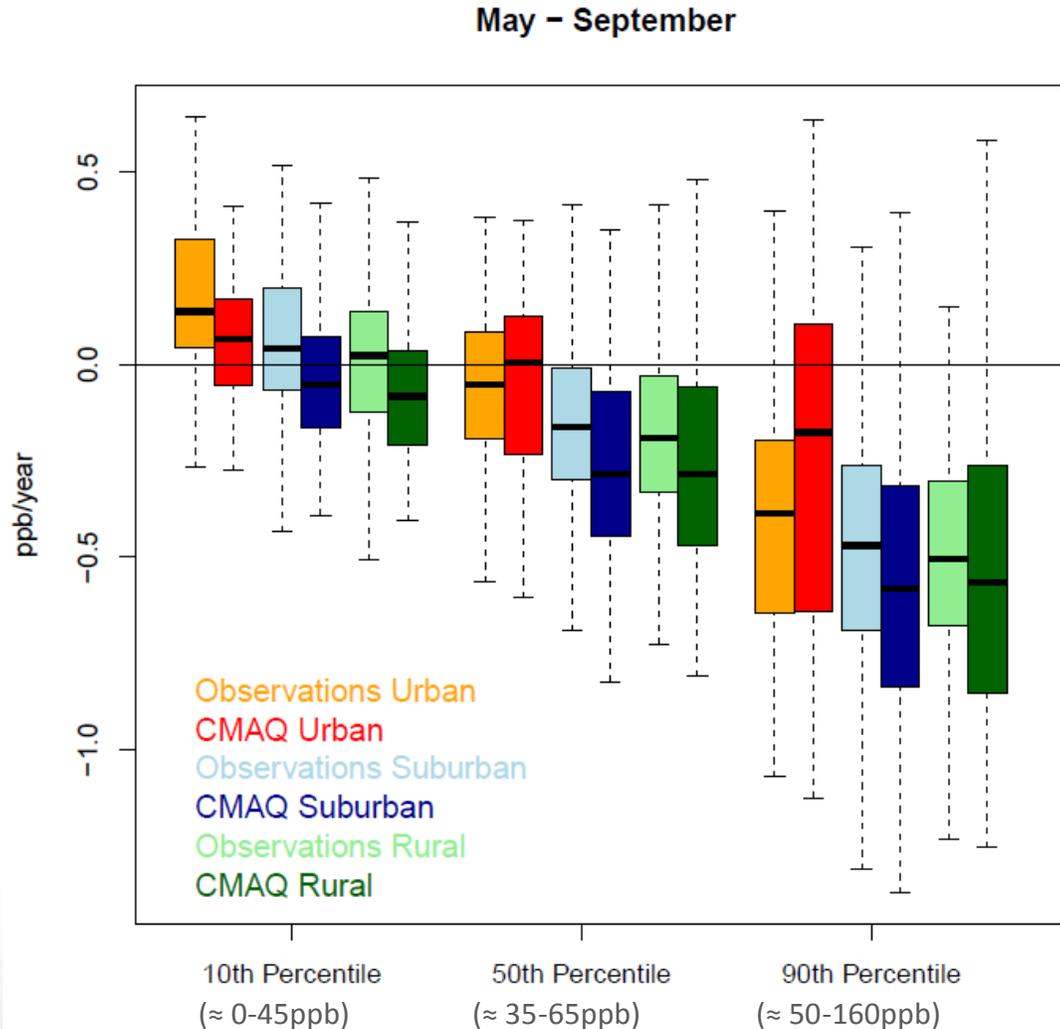


Trends in US Emissions (Tg/year)





Trends in Summer Daily Maximum 8-hr Ozone

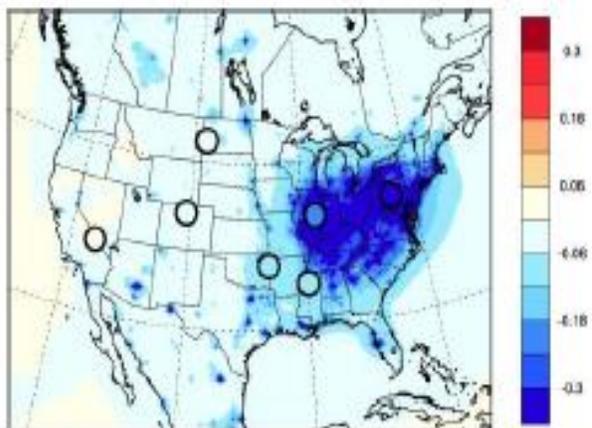


- Decreasing trends for 90th percentile and flat or increasing trends for 10th percentile in both observations and model simulations
- Trends are more negative for rural and suburban sites compared to urban sites and the model picks up this difference.
- At rural and suburban sites, modeled trends tend to be somewhat more negative than the observed trends.



Observed and Simulated Trends in Air Quality 1995 - 2010

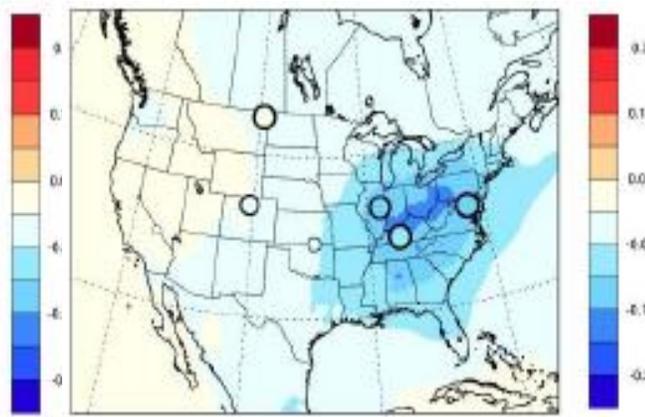
CASTNET SO₂



CASTNET Sulfate



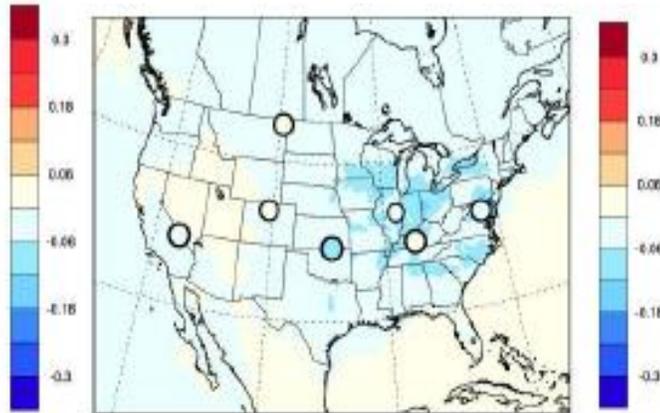
IMPROVE Sulfate



CASTNET Nitrate



IMPROVE Nitrate

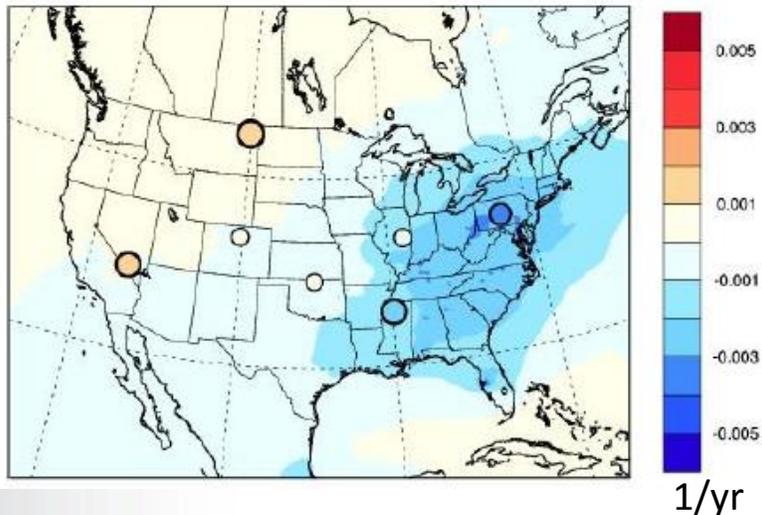


- Substantial decreases in observed and WRF-CMAQ simulated pollutant concentrations
- The greatest decreases occurred over the Eastern U.S.
- The model simulations tend to capture the magnitude and spatial variability of observed trends

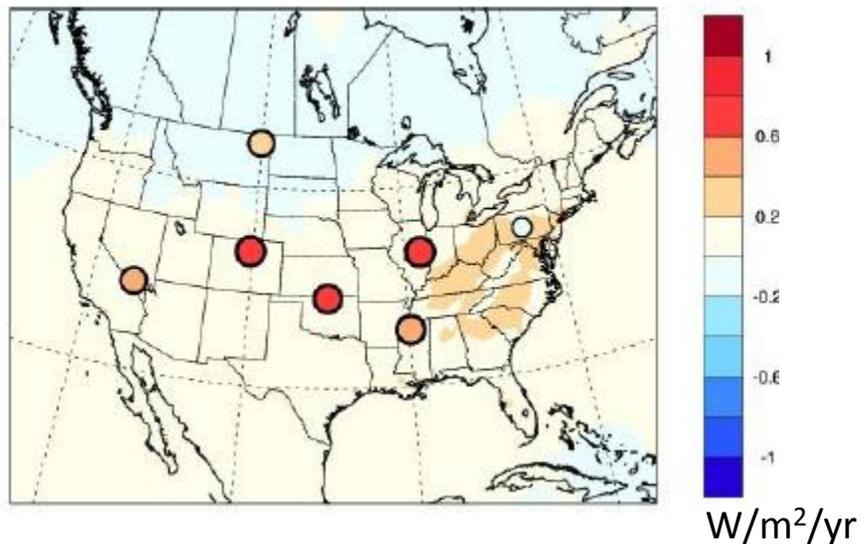


Observed and Simulated Trends in AOD and Radiation (Observations are from SURFRAD)

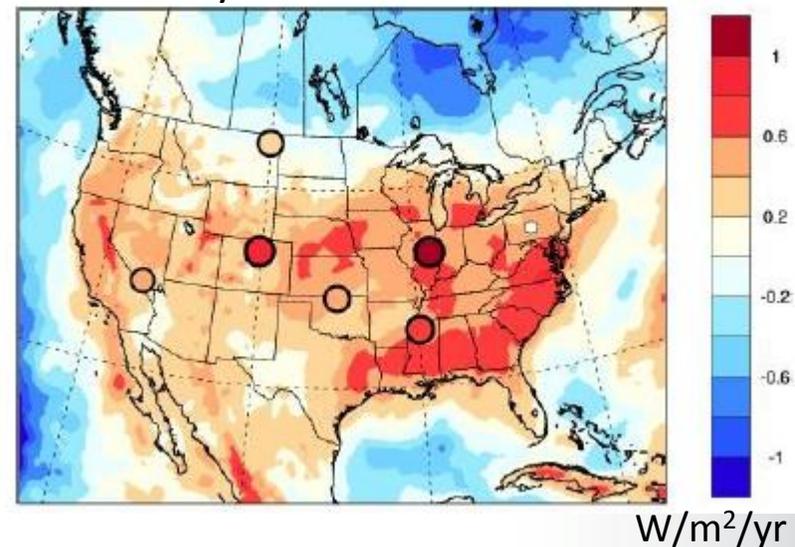
AOD



Clear-Sky Shortwave Radiation



All-Sky Shortwave Radiation



- Decreasing AOD in areas of decreasing surface $PM_{2.5}$ concentrations, i.e. Eastern U.S.
- Associated increases in clear-sky and all-sky radiation

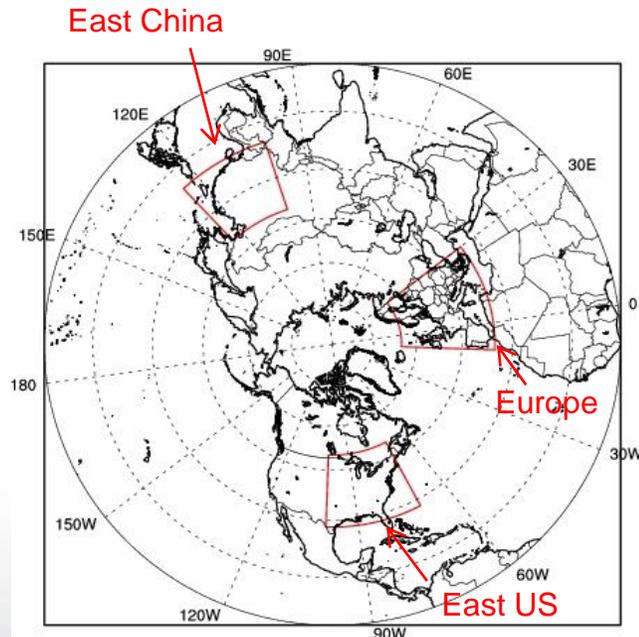


Hemispheric WRF-CMAQ Simulations

Hemispheric WRF-CMAQ two-way model

–WRF3.4: NCEP/NCAR Reanalysis data with 2.5 degree spatial and 6-hour temporal resolution; NCEP ADP Operational Global Surface/ Upper Air Observations with 6 hour intervals, MODIS land-use type, RRTMg radiation scheme, ACM2 (Pleim) PBL, PX LSM.

–CMAQ5.0: CB05-AERO6 chemistry, tropopause ozone calculated from potential vorticity, inline photolysis, inline dust emission module.



Domain:

- ✓ 108×108 km resolution over the northern hemisphere
- ✓ 44 layers from surface to 50mb

Period:

- ✓ from 1990 to 2010 (JJA, summer)

Scenarios:

- ✓ No feedback (turn off the aerosol direct effects)
- ✓ with feedback

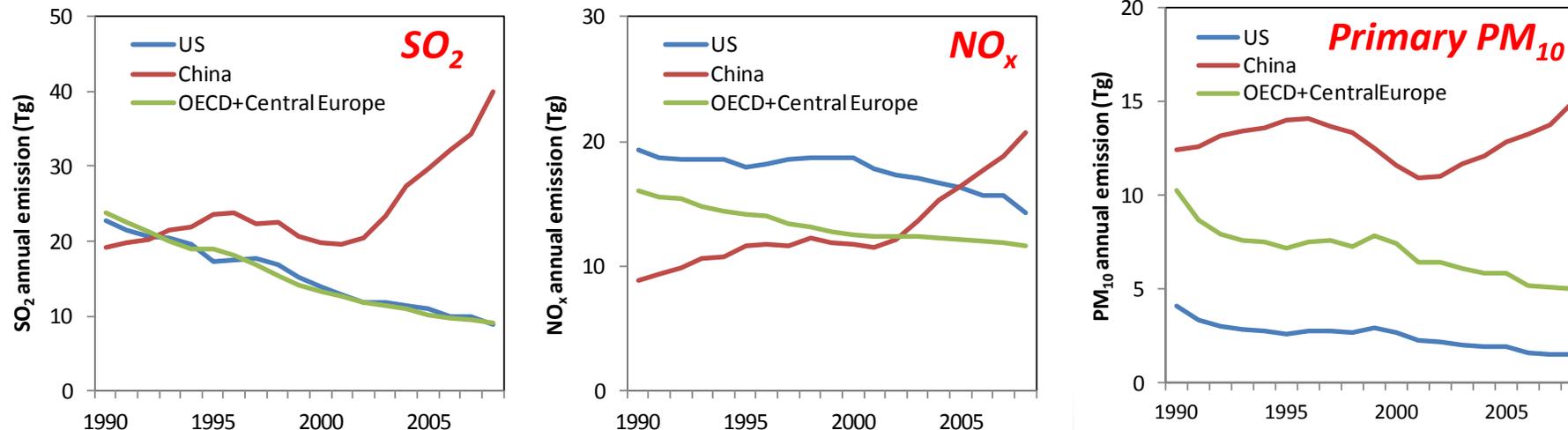


Hemispheric Emission Trends

Emissions for Hemispheric WRF-CMAQ model

- ✓ **Anthropogenic emissions** were derived from EDGAR (Emission Database for Global Atmospheric Research);
- ✓ **Biogenic VOC** and lightning **NO_x** emissions were obtained from GEIA (Global Emission Inventory Activity);
- ✓ **Temporal distribution** was based on EDGAR default profiles;
- ✓ **Speciation** was based on standard SMOKE profiles;
- ✓ **Vertical allocation** was based on SMOKE plume-rise and EMEP profiles.

1990-2008 emissions in **China**, **US** and **Europe** from EDGAR

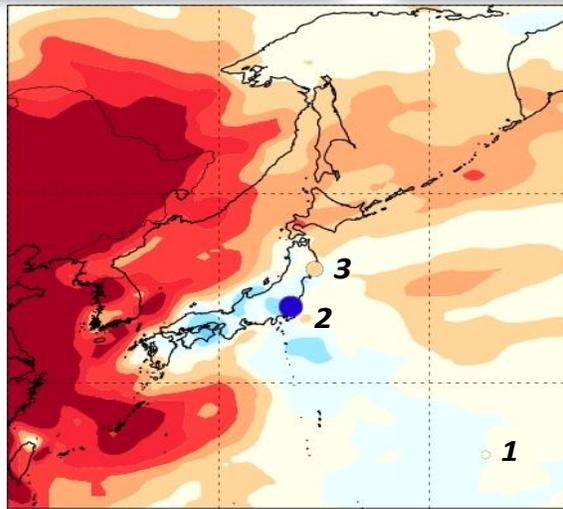


Striking contrast in emission trends between developed and developing countries



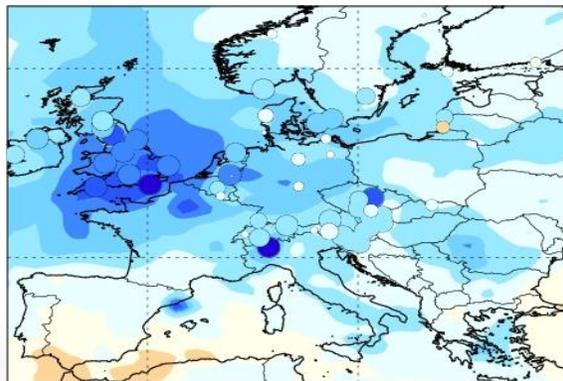
Trends in Annual Maximum of Daily Maximum 8-hr Ozone (1990-2010)

JP-WDCGG



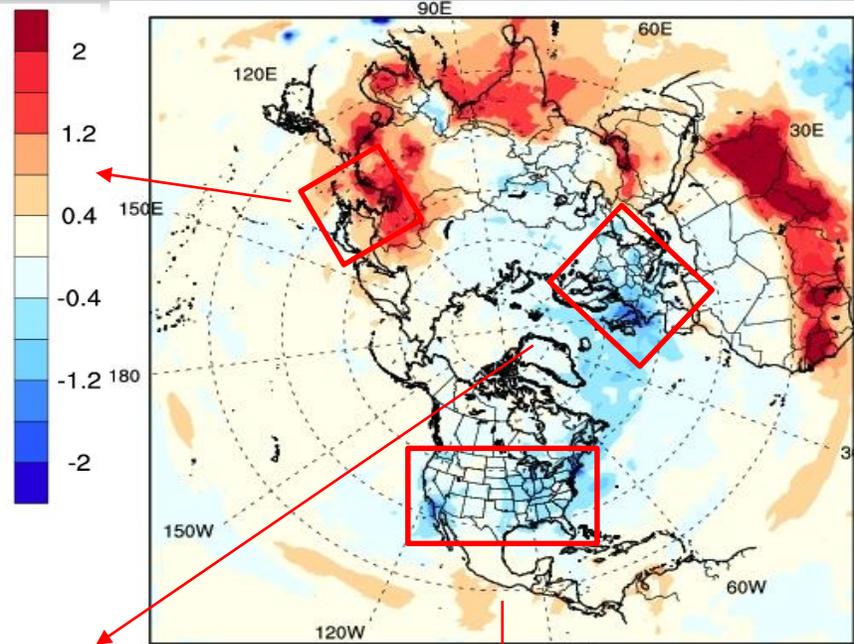
Min: -1.072 Max: 8.382

EU-EMEP

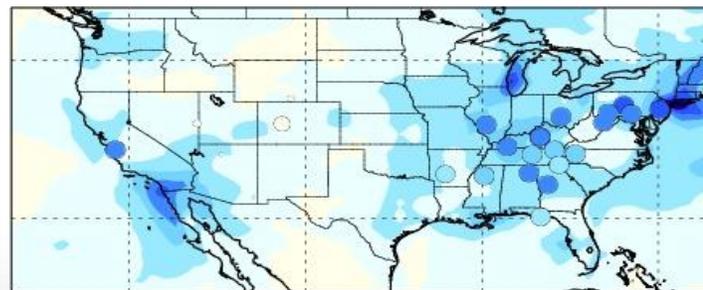


Min: -4.091 Max: 1.772

Obs (-1.07 μg m⁻³ yr⁻¹, -0.74% yr⁻¹)
Sim (-1.31 μg m⁻³ yr⁻¹, -0.87% yr⁻¹)



Min: -7.699 Max: 13.364



Min: -5.305 Max: .543

Obs (-1.86 μg m⁻³ yr⁻¹, -1.10% yr⁻¹)
Sim (-0.95 μg m⁻³ yr⁻¹, -0.64% yr⁻¹)

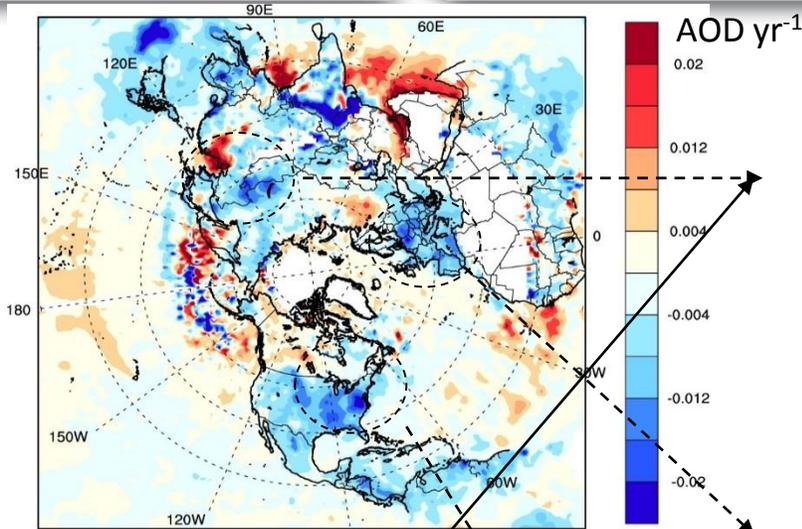
US-CASTNET

Xing et al.,
Observations and modeling of air quality trends over 1990–2010 across the Northern Hemisphere: China, the United States and Europe. ACP, 2015



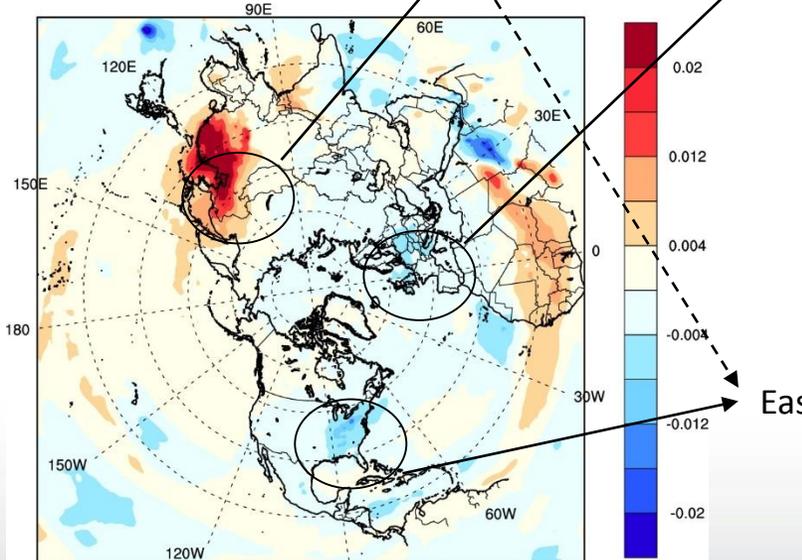
Trends in Aerosol Optical Depth (2000-2010)

MODIS-terra



Min: -.11454 Max: .10291 Mean: -0.00103856

WRF-CMAQ

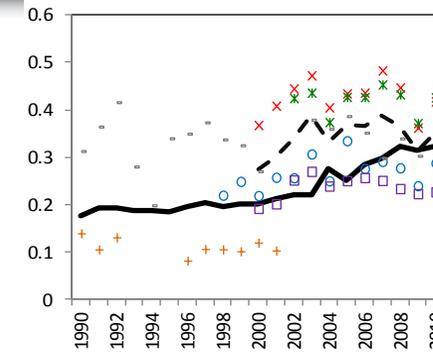


Min: -.03017 Max: .03716 Mean: 0.000376128

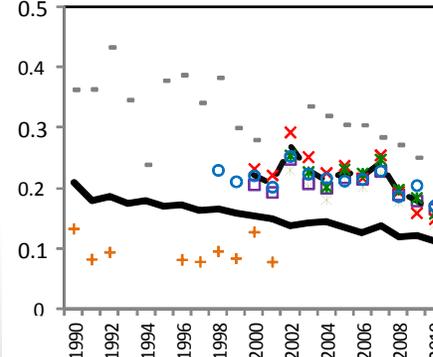
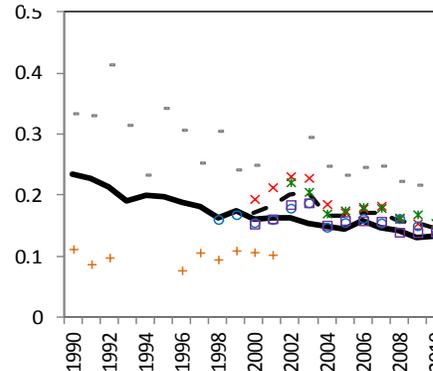
East US

Summer (JJA)

East China



Europe



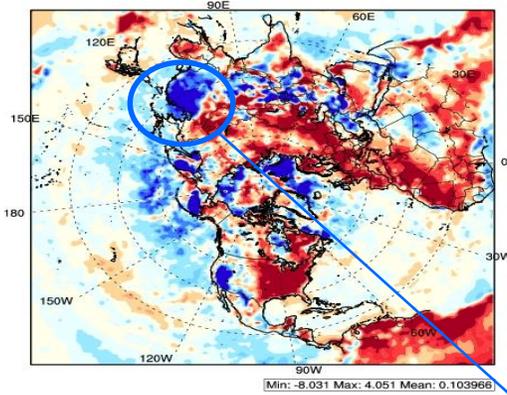
- WRF-CMAQ(608)
- - - Satellite_avg
- × MODIS_TERRA(608)
- * MODIS_AQUA(608)
- SeaWiFS(371)
- MISR(608)
- + TOMS(478)
- AVHRR(231)

Xing et al., Can a coupled meteorology-chemistry model reproduce the historical trend in aerosol direct radiative effects over the Northern Hemisphere? ACP, 2015

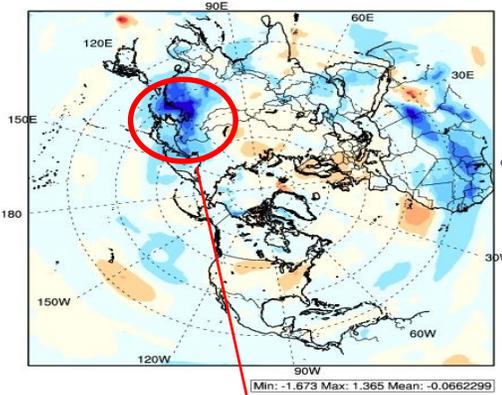


Trends in Clear-sky SWR at the Surface (2000-2010)

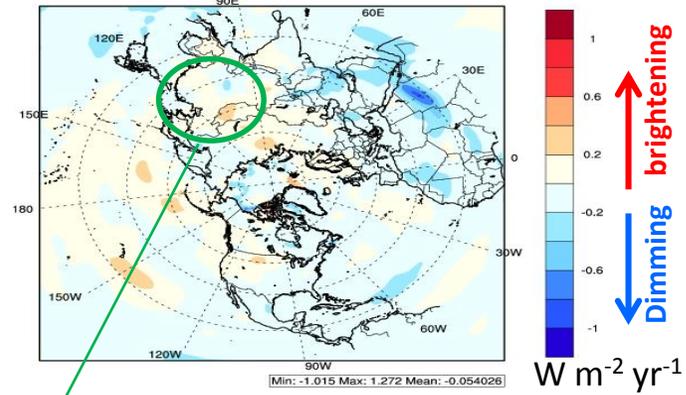
CERES



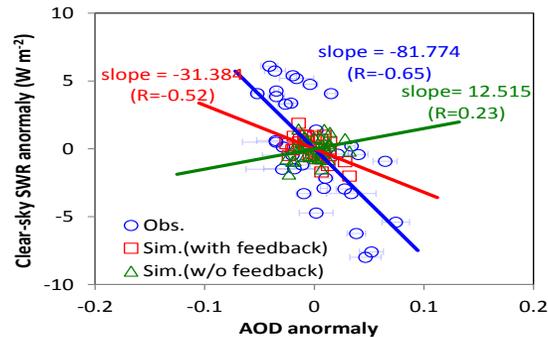
WRF-CMAQ(w/feedback)



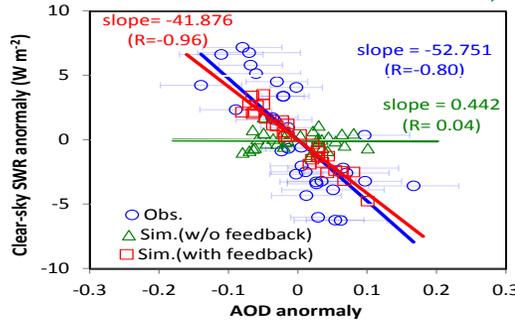
WRF-CMAQ(no feedback) Summer (JJA)



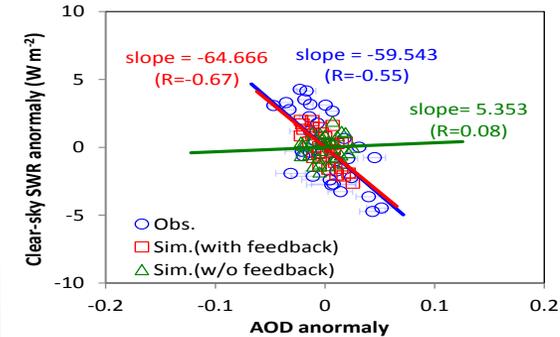
East US



East China



Europe



aerosol direct radiative efficiency



Estimating Trends in PM_{2.5} Related Mortality

Calculation of PM_{2.5} Related Mortality:

$$Mortality_{PM2.5} = \sum_{i=IHD,stroke,COPD,LC,ALRI} incidence_{0,i} \times PAF_i \times Population \quad [1]$$

$$PAF_i = (RR_i - 1) / RR_i \quad [2]$$

$$\begin{cases} \text{for } C < C_0, RR_i(C) = 1 \\ \text{for } C \geq C_0, RR_i(C) = 1 + \alpha \times \{1 - \exp[-\gamma \times (C - C_0)^\delta]\} \end{cases} \quad [3]$$

- Method based on BenMap – CE and GBD (Lim et al., 2012; Burnett et al., 2014)
- Incidence rates from Naghavi et al. (2015)
- RR estimates from Apte et al. (2015)

Calculation of Species-Specific Mortality and Emission Mitigation Efficiency (EME):

$$Mortality_{p,y} = \frac{Mortality_y}{Concentration_{PM_{2.5},y}} \times Concentration_{PM_p,y} \quad (y = 1990 \dots 2010) \quad [4]$$

$$EME_{p,y'} = \frac{Mortality_{p,y'} - Mortality_{p,1990}}{Emission_{p,y'} - Emission_{p,1990}} \quad (y' = 1991 \dots 2010) \quad [5]$$

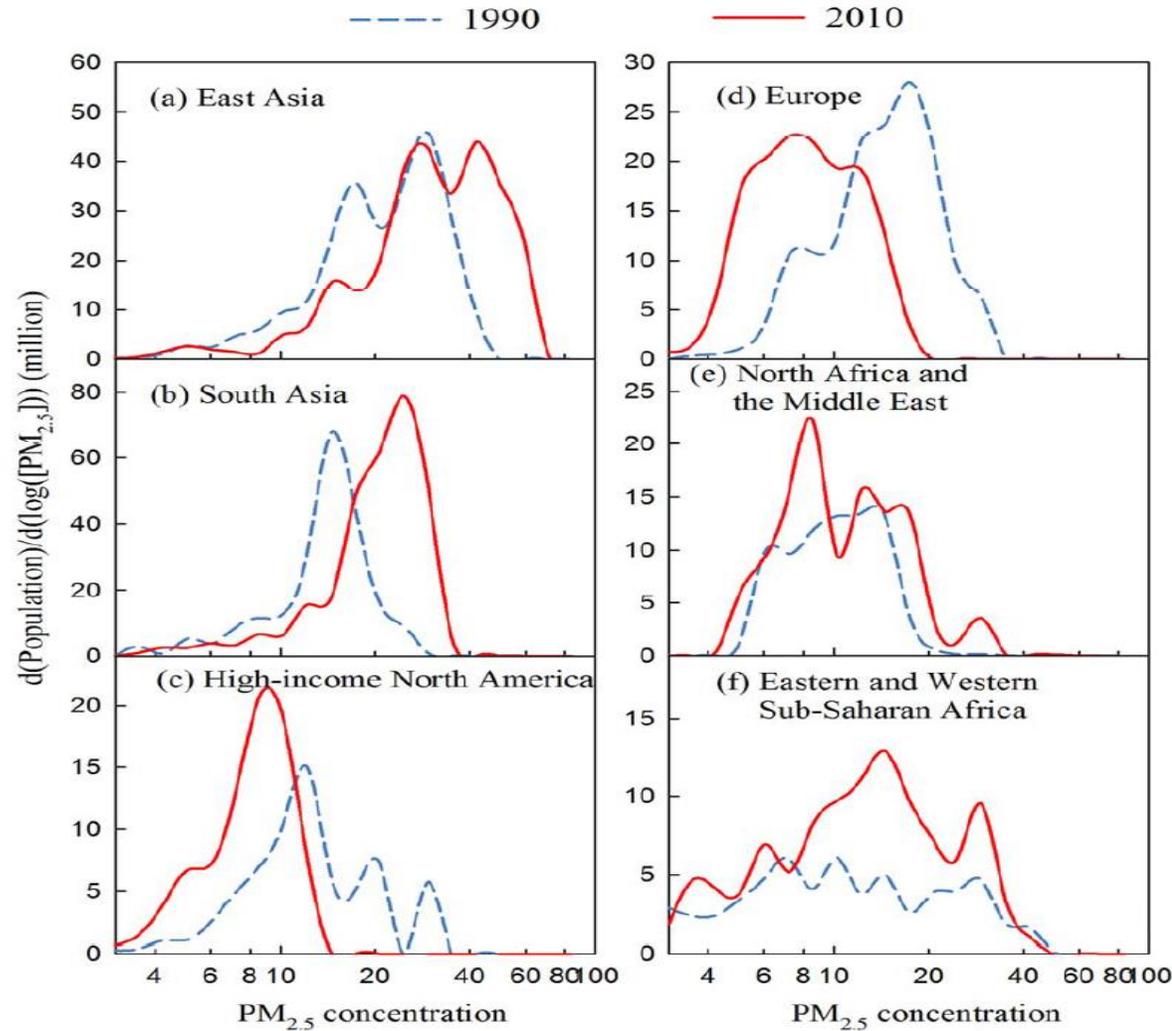
$$p = \{SO_2, NO_x, NH_3, primary PM\}$$

$$PM_p = \{SO_4^{2-}, NO_3^-, NH_4^+, other inorganic particles and primary organic aerosols\}$$

Population Scale Factor (PSF): Population-Weighted PM_{2.5} / Regional-Average PM_{2.5}



Changes in PM_{2.5} and Population 1990 - 2010

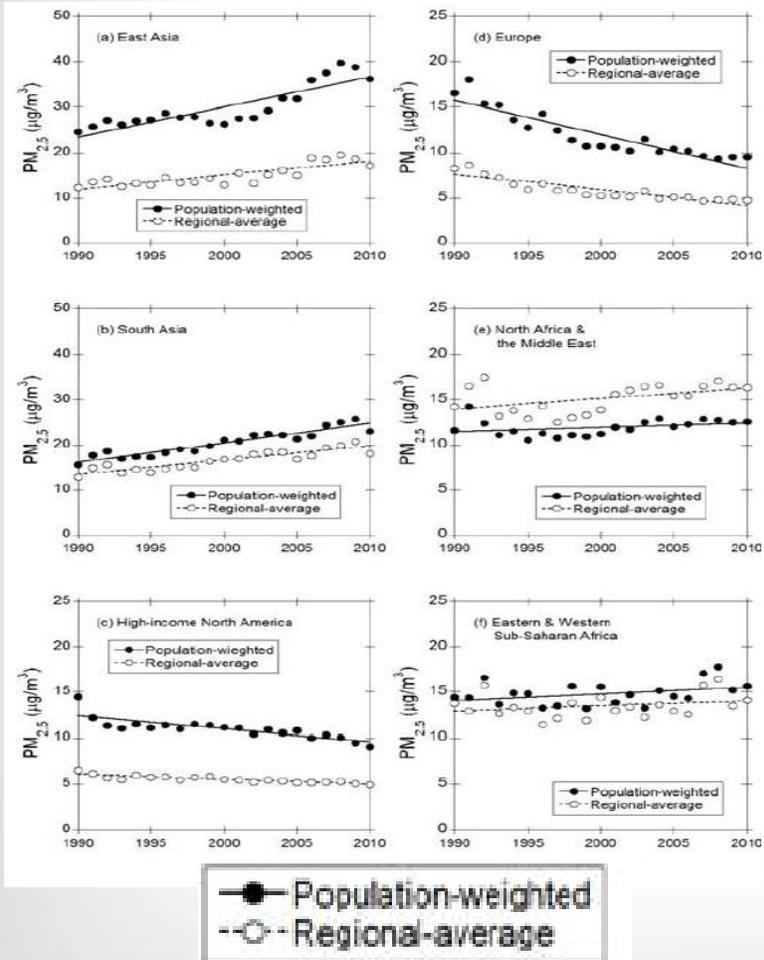


- Changing population exposure to ambient PM_{2.5} levels across six sub-regions of the northern hemisphere
- WRF-CMAQ estimated surface PM_{2.5} concentrations across grid cells in a region are grouped by population distribution
- $d(\text{Population})/d(\log([\text{PM}_{2.5}]])$ represents the population per unit PM_{2.5} section in log scale. The area below a curve represents the total population for that region for that year.
- East and South Asia: Population growth and shift towards higher PM_{2.5}
- Europe and North America: Shift of population distribution towards lower PM_{2.5}

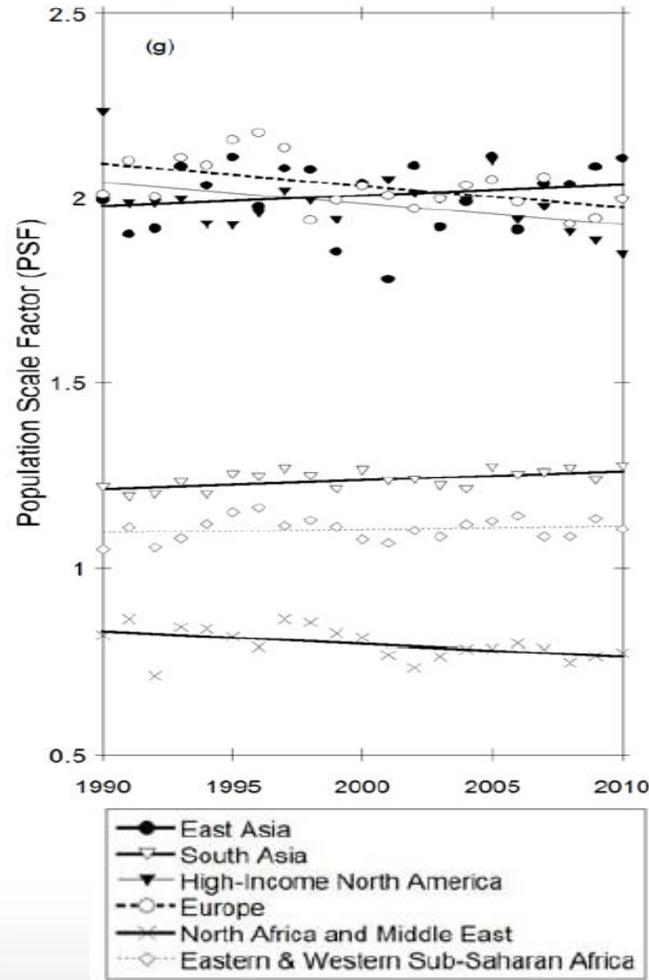


Trends in PM_{2.5} and Population Scale Factor (PSF) 1990 - 2010

Trends in Population-Weighted and Regional Average PM_{2.5}



Trends in PSF

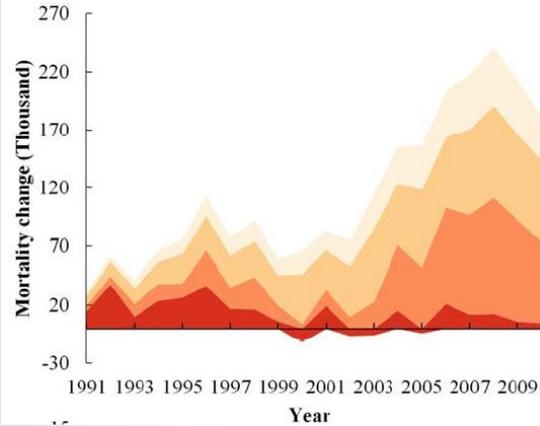


- South and East Asia: increases in PSF
- Europe and High-Income North America: decreases in PSF

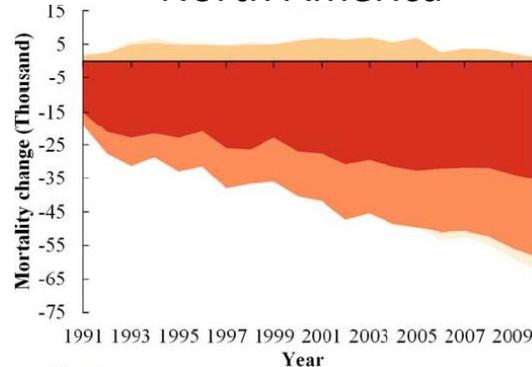


Precursor-Attributed Mortality and Emission Mitigation Efficiency (EME)

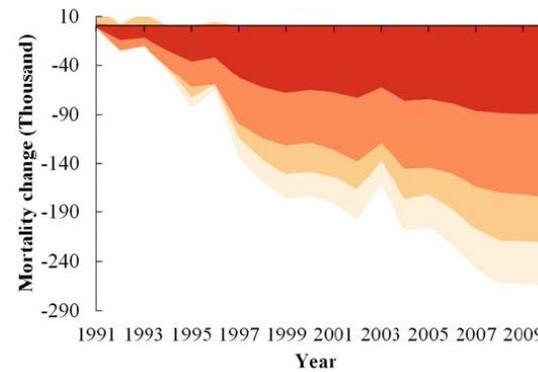
East Asia



High-Income North America

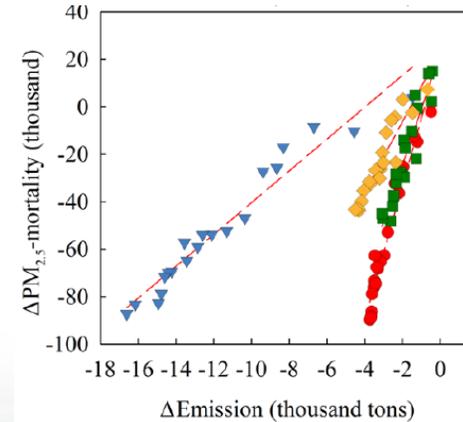
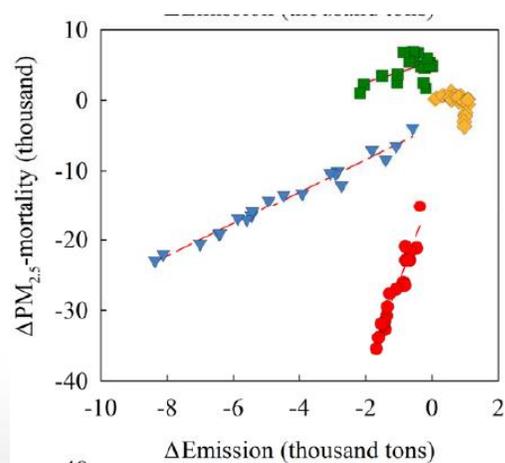
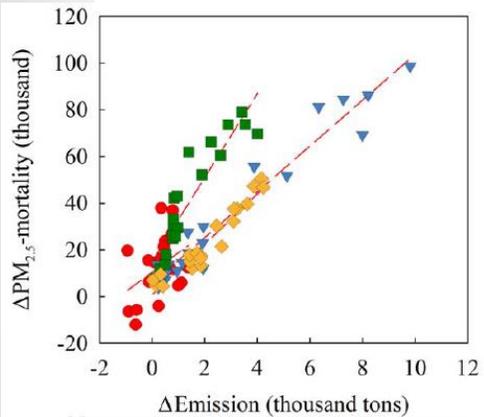


Europe



■ Primary PM ■ SO₂ ■ NO_x ■ NH₃

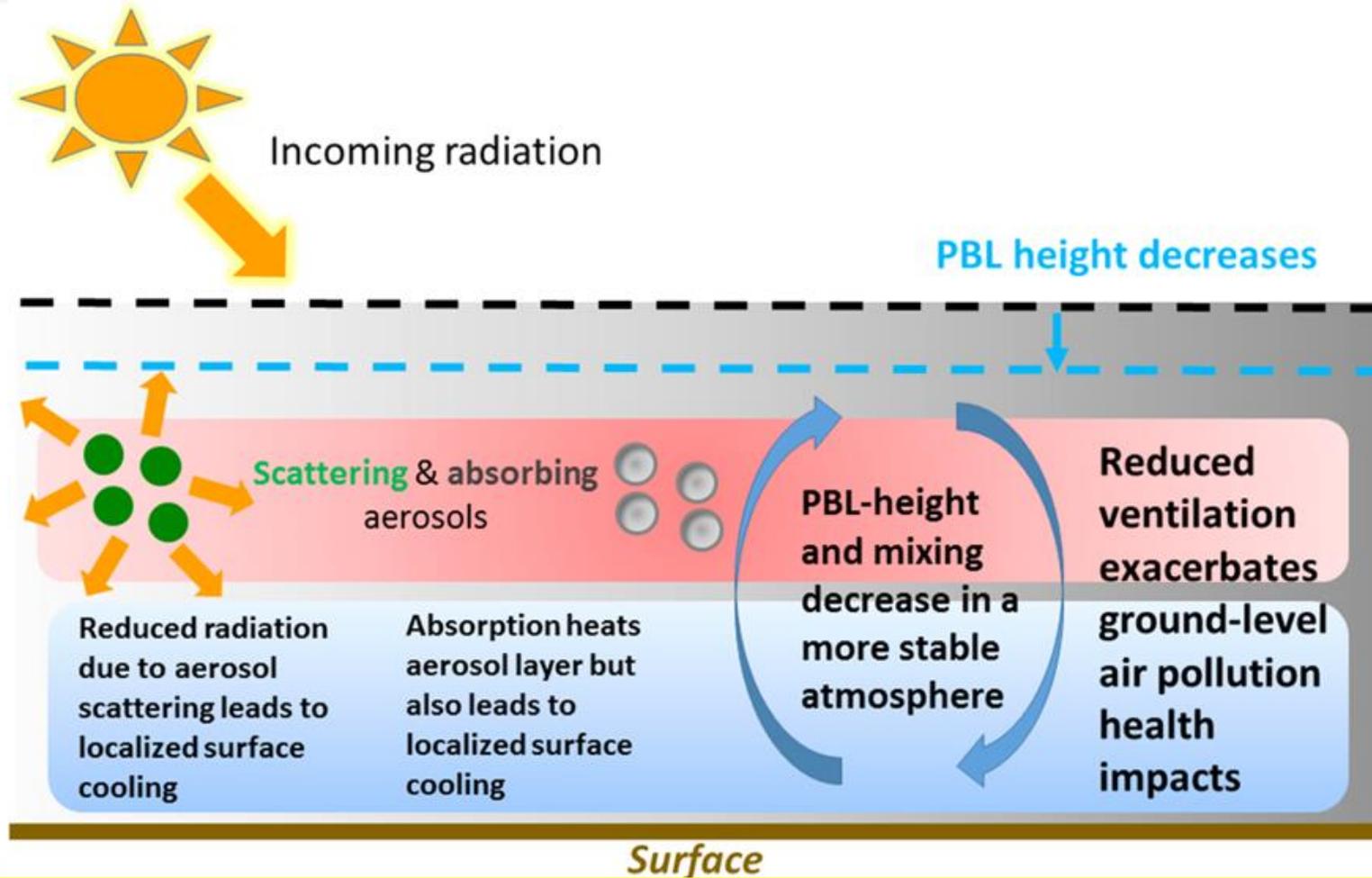
● Primary PM_{2.5} ▼ SO₂ ■ NO_x ◆ NH₃



Top: Estimated changes in PM_{2.5}-mortality associated with changes in precursor emissions during 1991 to 2010 with respect to the 1990 values

Bottom: Relationship between changes in PM_{2.5}-mortality and changes in emissions. The slope of the linear regression between the variables represents the emission mitigation efficiency, i.e., EME.

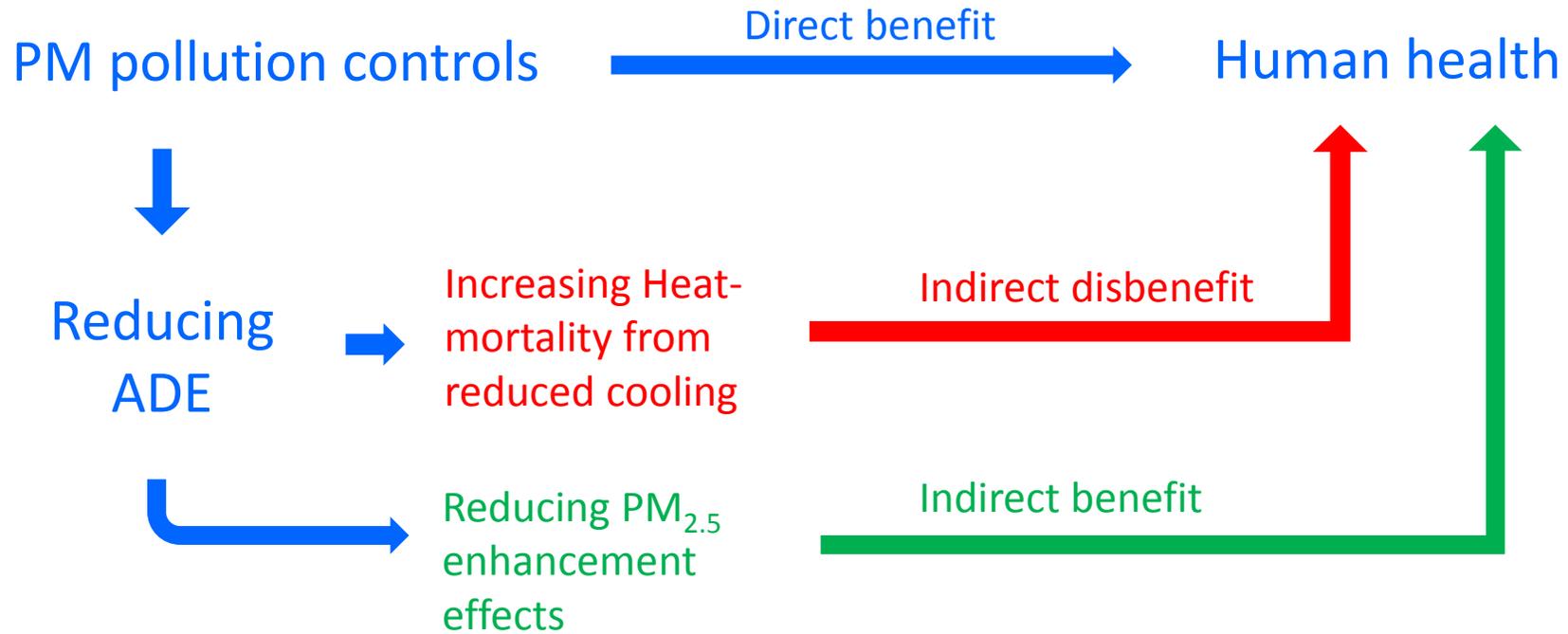
Results show highest EME for primary PM_{2.5} in all regions and benefit of controlling NH₃ in Europe



We need a comprehensive assessment with consideration of multiple manifestations of aerosol direct effects



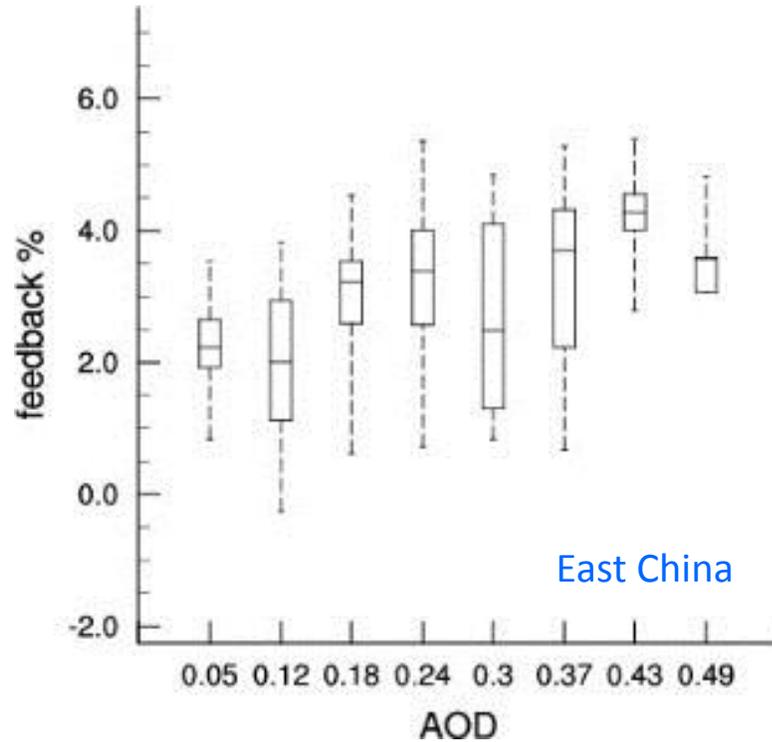
Health Impacts Associated with ADE





PM_{2.5} Response to ADE

Correlations between PM_{2.5} response (%) and AOD

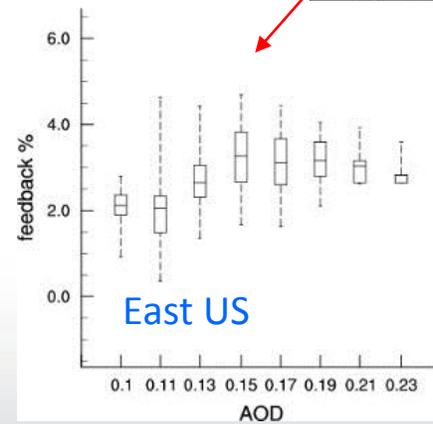
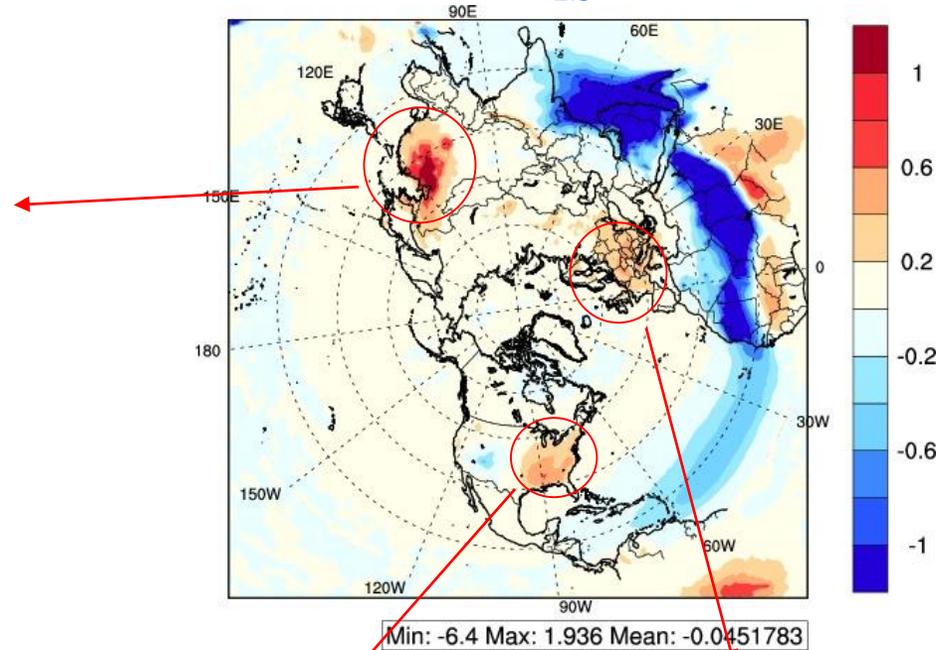


East China

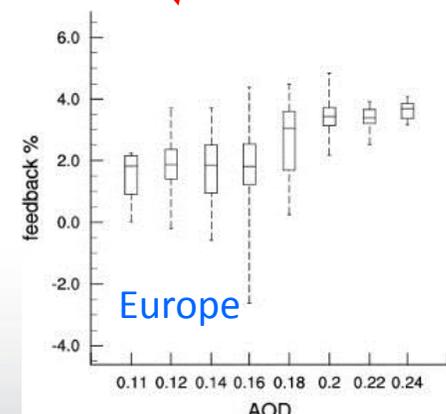
Clean area → Polluted area

$$\text{feedback\%} = \frac{\text{feedback} - \text{no_feedback}}{\text{no_feedback}} * 100\%$$

21-year averaged PM_{2.5} response due to ADE



East US



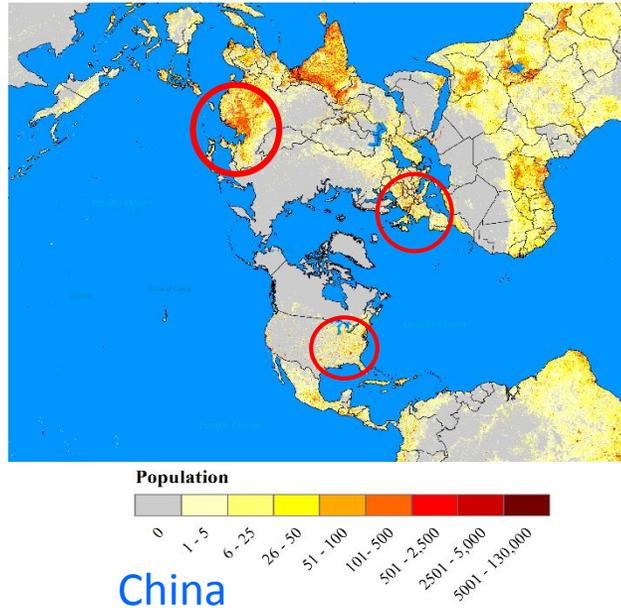
Europe

*Xing et al.,
Unexpected benefits of reducing aerosol cooling effects.
Environmental Science & Technology, 2016*

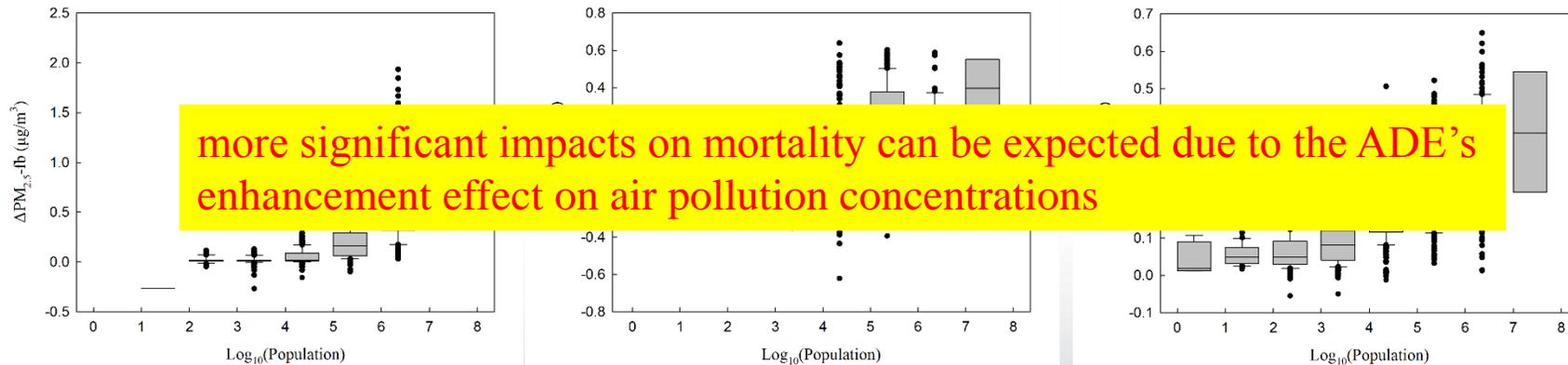
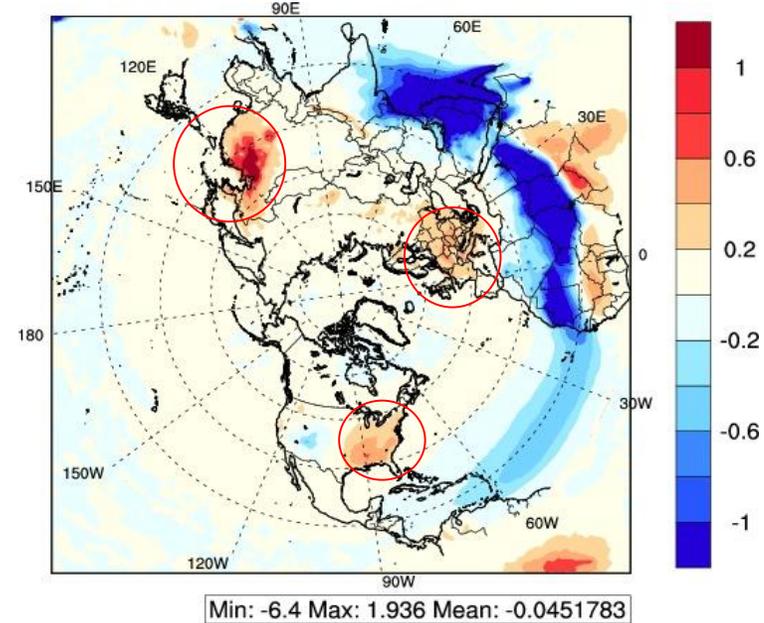


PM_{2.5} Response vs Population

Landsat Population Data
(from Oak Ridge National Laboratory)



21-year Averaged PM_{2.5} Response Due to ADE



Xing et al.,
Unexpected benefits of reducing aerosol cooling effects.
Environmental Science & Technology, 2016



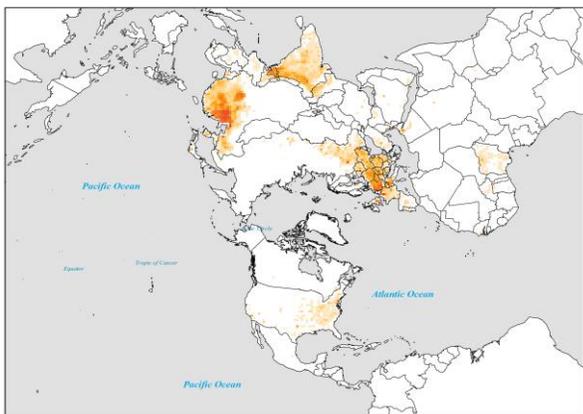
Estimation of PM_{2.5} Related Premature Mortality

Based on the GBD (Global Burden of Disease) Integrated Exposure–Response Model

$$Mortality_{PM2.5} = \sum_{i=IHD,stroke,COPD,LC,ALRI} incidence_{0,i} \times PAF_i \times Population$$

Annotations:
- $i=IHD,stroke,COPD,LC,ALRI$ (green dashed box) → cause-specific premature mortality
- $incidence_{0,i}$ (red dashed box) → baseline incidence rate
- PAF_i (blue dashed box) → population attributable fraction

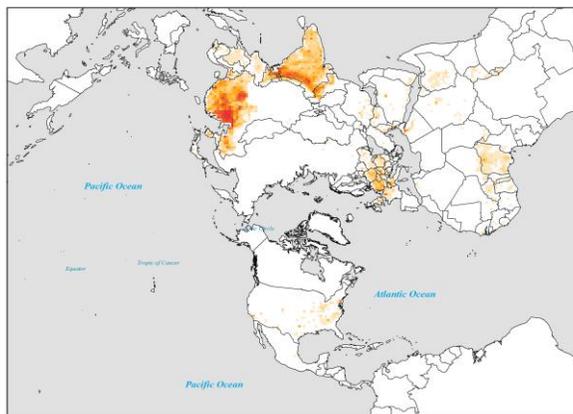
1990



Mortality due to PM_{2.5} in 1990



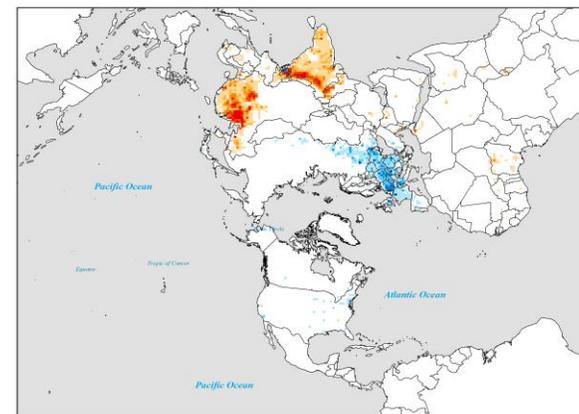
2010



Mortality due to PM_{2.5} in 2010



Diff. between 2010 to 1990



Difference of mortality

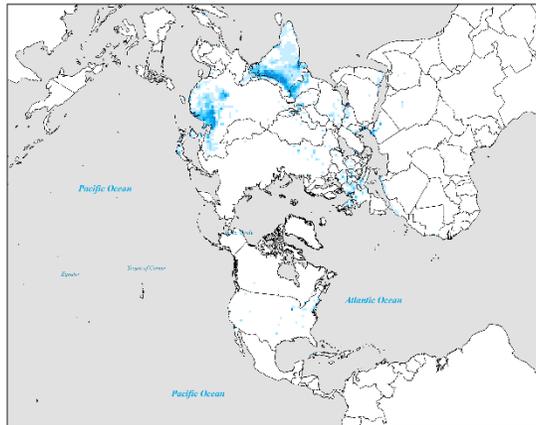




Health Impacts Associated with ADE

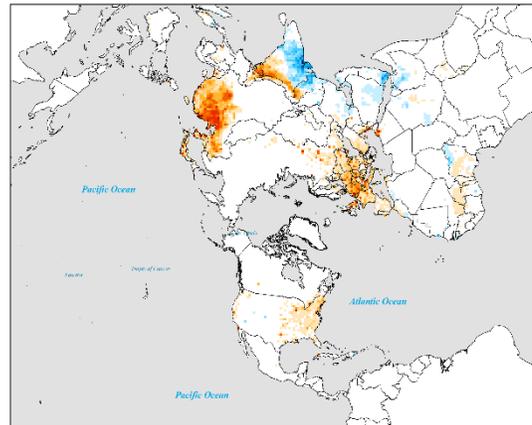
Cooling effects

Due to ΔT -fb



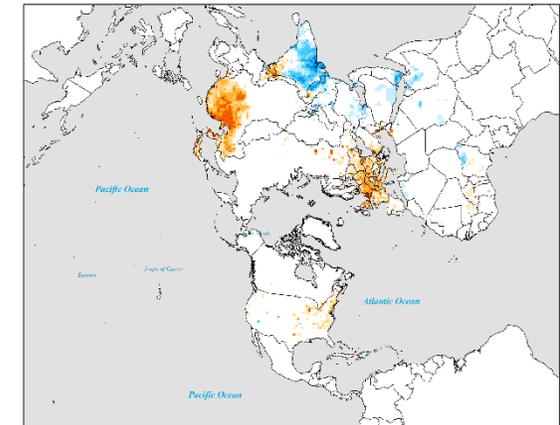
Enhancement effects

Due to $\Delta PM_{2.5}$ -fb



Total effects

Due to $(\Delta PM_{2.5}$ -fb + ΔT -fb)



Heat-related mortality calculations were based on Basu et al. (2005; 2008) and Voorhees et al. (2009)

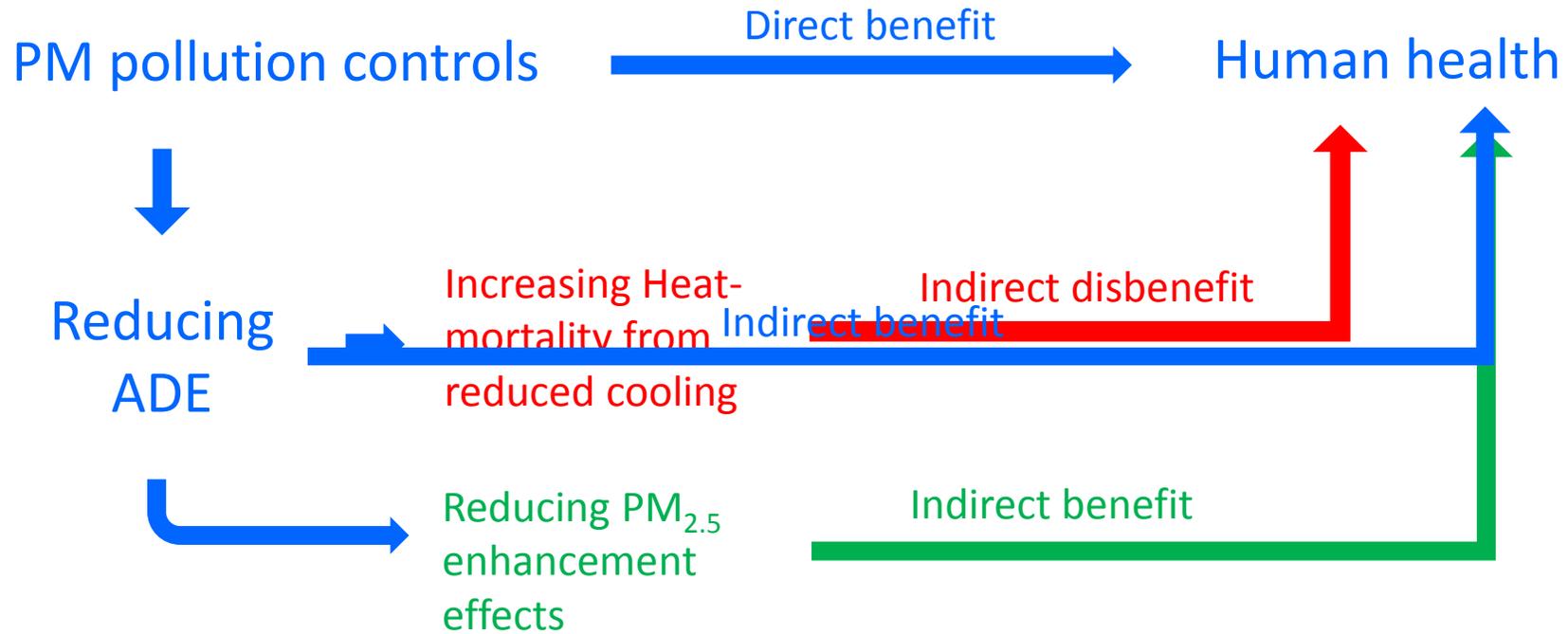
$$\text{mortality}_{\text{temperature}} = \text{incidence}_0 \times (\exp(\beta \times \Delta \text{temperature}) - 1) \times \text{population}$$

Change in mortality (per 108×108km² grid cell)





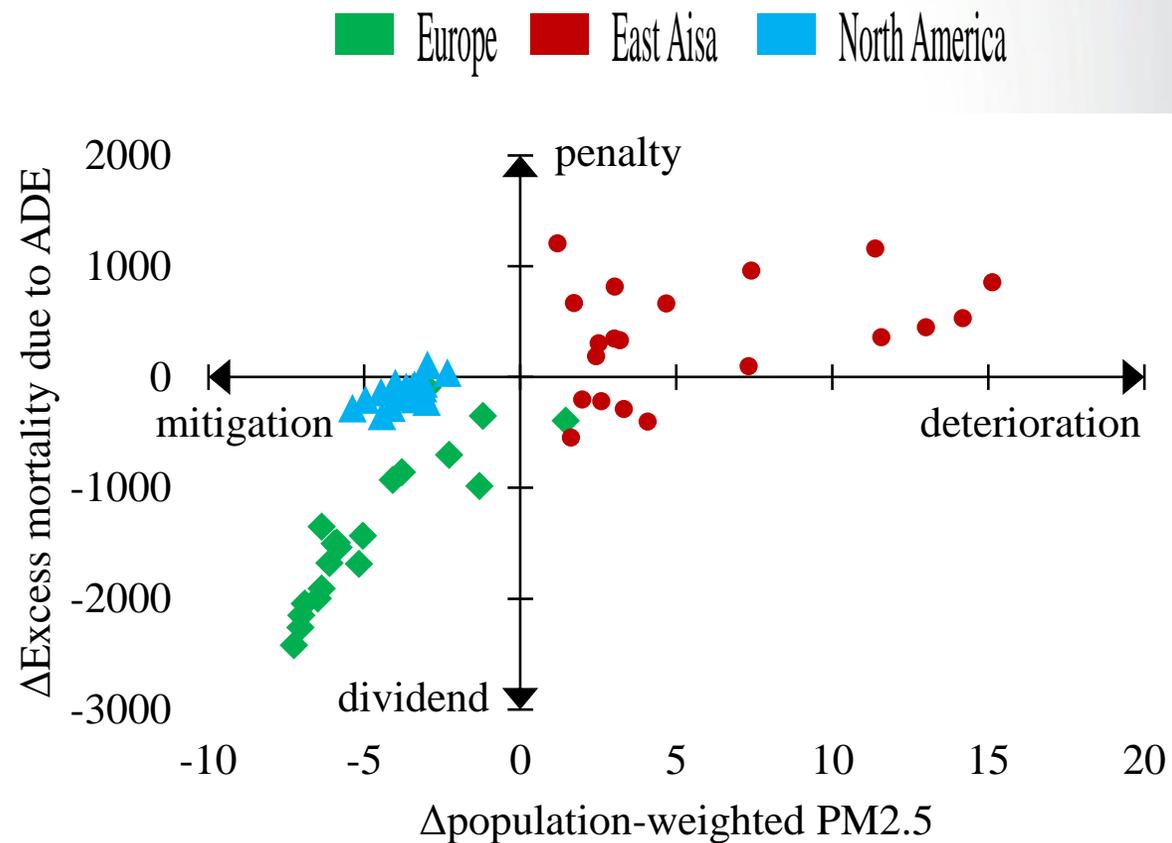
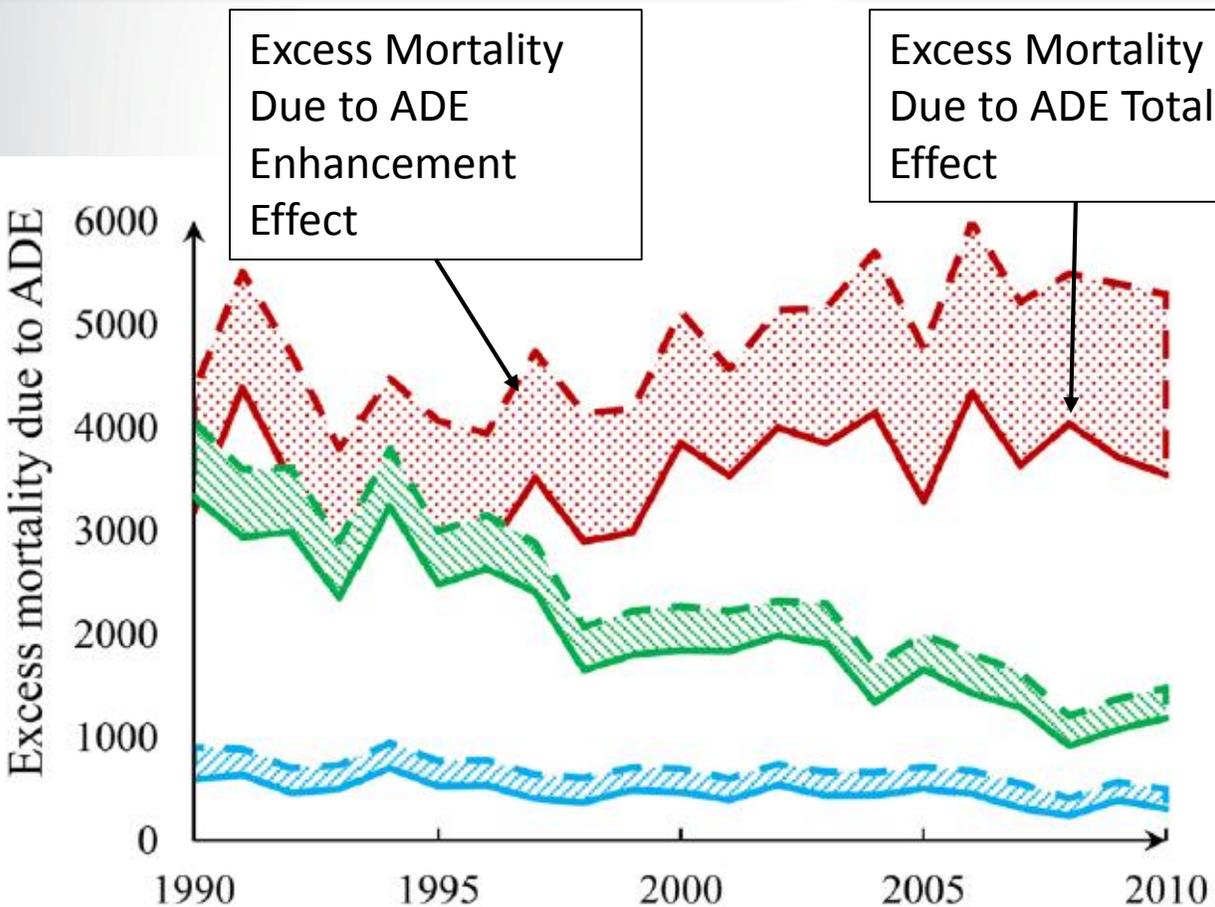
Health Impacts Associated with ADE



Mitigating aerosol pollution provides direct benefits on health, and indirect benefits on health through changes in local climate and not offsetting changes as traditionally thought.



Excess Mortality Due to ADE



- Changes in emissions over the past decades led to substantial changes in air quality, aerosol/radiation feedback effects, and PM_{2.5}-related mortality in the U.S. as well as the entire hemisphere
- The coupled WRF-CMAQ system was used to quantify the changes in air quality and aerosol/radiation feedback effects
- Output from these WRF-CMAQ simulations was used to estimate changes in mortality due to changes in PM_{2.5} concentrations as well as changes in aerosol/radiation feedback effects



Disclaimer and Acknowledgments

Although this presentation has been reviewed and approved for presentation by the U.S. Environmental Protection Agency (EPA), it does not necessarily reflect the views and policies of the agency.

This work was supported in part by an interagency agreement between the Department of energy project (IA number is DE-SC000378) and EPA (IA number is RW-89-9233260 1), also the MEP's Special Funds for Research on Public Welfare (201409002) and Strategic Priority Research Program of the Chinese Academy of Sciences (XDB05020300). This research was performed while Jia Xing Chuen-Meei Gan, and Chao Wei held a National Research Council Research Associateship Award at U.S. EPA, and Jiandong Wang held a China Scholar Council Award at U.S. EPA. The authors gratefully acknowledge the availability of population data from GPW and cause of death data from HEI.