



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
INSPECTOR GENERAL

January 18, 2017

MEMORANDUM

SUBJECT: Project Notification:
FIFRA and PRIA Funds Management
Project No. OA-FY17-0125

FROM: Paul Curtis, Director
Financial Audits

A handwritten signature in black ink, appearing to read "Paul C. Curtis", is written over the printed name of Paul Curtis.

TO: Jim Jones, Assistant Administrator
Office of Chemical Safety and Pollution Prevention

David Bloom, Deputy Chief Financial Officer
Office of the Chief Financial Officer

The Office of Inspector General (OIG) for the U.S. Environmental Protection Agency (EPA) plans to begin an audit of the EPA's funds management of the Pesticides Reregistration and Expedited Processing Fund (known as the FIFRA trust fund) and the Pesticide Registration Fund (known as the PRIA trust fund). This project is included in our annual plan and is based on our observations of the EPA's funds management from our FIFRA and PRIA financial statement audits.

The OIG's objective is to determine whether the EPA's Office of Chemical Safety and Pollution Prevention (OCSP) and the Office of the Chief Financial Officer (OCFO) manage the FIFRA and PRIA funds effectively to minimize reliance on appropriated funds. The OIG plans to conduct work at EPA headquarters in Washington, D.C. The anticipated benefits of this project are more efficient management of FIFRA and PRIA trust funds and increased availability of appropriated funds for other uses. We will conduct this audit using generally accepted government auditing standards.

We will contact you to arrange a mutually agreeable time to discuss our objectives and the purpose of the preliminary research phase of our project. We would also be particularly interested in any areas of concern that you may have. We will answer any of your questions about the project process, reporting procedures, methods used to gather and analyze data, and what we should expect of each other during the course of the project. We will determine a mutually agreeable method and frequency of providing periodic updates.

To ensure the success and timely completion of this project, please provide the following information for both FIFRA and PRIA to Art Budelier prior to our initial meeting:

1. For fiscal years 2012 through 2016, the number of applications/decisions: (a) on hand at the beginning of the year to be reviewed, (b) received during the year, (c) completed during the year, and (d) on hand to be reviewed at the end of the year.
2. Any written policies and procedures related to managing FIFRA and PRIA funds received from fees and from EPA appropriations.

We will request confidential business information clearance for auditors Art Budelier, Wendy Swan and Carol Kwok, to access FIFRA and PRIA sensitive information, if needed.

We respectfully note that the OIG is authorized by the Inspector General Act of 1978 to have timely access to personnel and all materials necessary to complete its objectives. We will request your resolution if an agency employee or contractor refuses to provide requested records to the OIG, or otherwise fails to cooperate with the OIG. We may report unresolved access matters to the EPA Administrator and include the incident in the Semiannual Report to Congress.

The project will be supervised by Paul Curtis, Director of Financial Audits, and the Project Manager will be Art Budelier. Any information related to the project should be addressed to Paul Curtis at curtis.paul@epa.gov or Art Budelier at budelier.arthur@epa.gov.

cc: Donna Vizian, Acting Assistant Administrator for Administration and Resources Management
Howard Osborne, Associate Chief Financial Officer
Wendy Cleland-Hamnett, Principal Deputy Assistant Administrator, OCSPP
Louise Wise, Deputy Assistant Administrator, OCSPP
Jack Housenger, Director, Office of Pesticide Programs, OCSPP
Arnold Layne, Deputy Director for Management, Office of Pesticide Programs, OCSPP
Pete Caulkins, Senior Advisor, PRIA Implementation, Office of Pesticide Programs OCSPP
Robert McNally, Director, Biopesticides and Pollution Prevention Division, Office of Pesticide Programs, OCSPP
Yu-Ting Guilaran, Director, Pesticide Re-Evaluation Division, Office of Pesticide Programs, OCSPP
Mike Goodis, Acting Director, Registration Division, Office of Pesticide Programs, OCSPP
Steve Knizner, Director, Antimicrobials Division, Office of Pesticide Programs, OCSPP
Delores Barber, Director, Information Technology and Resources Management Division, Office of Pesticide Programs, OCSPP
Jeanne Conklin, Acting Controller, OCFO
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Richard Gray, Director, Financial Services Division, Office of the Controller, OCFO
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Dany Lavergne, Director, Las Vegas Finance Center, Office of the Controller, OCFO

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Sherri Anthony, Chief, Management Integrity and Accountability Branch, Office of the
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Jeffrey Lagda, Congressional and Media Liaison, OIG
Art Budelier, Project Manager, OIG