Development of User Requirements and Use Cases for a Contamination Warning System Dashboard
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This paper can also be downloaded from www.ch2mhill.com/iws.
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Abstract

The Philadelphia Water Department (PWD) developed a comprehensive contamination warning system (CWS) for its drinking water system under a Water Security (WS) initiative grant from the U.S. Environmental Protection Agency (EPA). The integration of multiple information streams is a core element when designing a CWS. PWD envisioned a web-based dashboard application to serve as the primary user interface for CWS alerts and an incident management system. The primary objective of system engineering and data integration was to combine the five surveillance components—online water quality monitoring, consumer complaint surveillance, sampling and analysis, enhanced security monitoring, and public health surveillance—into a centralized platform that can be used to determine whether a water contamination event has occurred and to facilitate appropriate response and consequence management actions. To develop the CWS Dashboard, the system engineering team conducted a series of dashboard requirement workshops with CWS component leads and primary CWS users. After each user requirement workshop, the system engineering team developed a detailed list of user requirements, coordinated follow-up workshops to consolidate and prioritize the requirements, and then turned them over to the software design and development team to implement the CWS Dashboard.

This paper describes the four critical steps taken in the development of user requirements for the CWS Dashboard: (1) educate PWD staff on the process development through chartering workshop, (2) conduct requirement definition workshops with key staff from each CWS component, (3) review and prioritize the user requirements, and (4) develop software design documents.

Project Background

PWD developed a comprehensive CWS for its drinking water system under a WS initiative grant. The WS initiative is a program developed by the EPA in partnership with drinking water utilities and other key stakeholders in response to Homeland Security Presidential Directive 9. The WS initiative involves designing, deploying, and evaluating a model CWS for drinking water security. A CWS is a systematic approach to collecting information from various sources, including monitoring and surveillance programs, to detect contamination in drinking water early enough to reduce public health or economic consequences. The WS initiative goal is to develop water security CWS guidance that can be applied by drinking water utilities nationwide.

The project has six major components:
1. Online water quality monitoring (OWQM)
2. Sampling and analysis (S&A)
3. Enhanced security monitoring (ESM)
4. Customer complaint surveillance (CCS)
5. Public health surveillance (PHS)
6. Consequence management (CM)

The integration of multiple information streams is a core element in designing a CWS. Taken separately, each component provides its own set of event triggers that have value for event detection. The primary objective of system engineering and data integration is to combine the five surveillance components into a centralized platform that can be used to determine whether a water contamination event has occurred and to facilitate appropriate response and consequence management actions.

The CWS Dashboard development process used for the PWD Pilot Project consisted of five steps (Figure 1); the first three are described in this white paper:

• Define business processes.
• Define user requirements.
• Define use cases.
• Design the software.
• Develop the solution.
Definition of Business Processes

The CWS Dashboard development process started during operational strategy discussions that defined the core business processes and initial information flow. Using the information flow diagrams, the project team then verified the sources of information for the given component topic of the workshop and detailed the systems of record for the data (i.e., the application or database housing the data for the component). As shown in Figure 1, documents prepared during the development process were User Requirements, System Requirements, Mapping Requirements, and Use Cases.

This paper focuses on the methods used to define user requirements and use cases for the CWS Dashboard.

Development of User Requirements

PWD envisioned a web-based dashboard application developed to serve as the primary user interface for CWS alerts and an incident management system. To develop the CWS Dashboard, the system engineering team conducted a series of dashboard requirement workshops with the five component leads and primary CWS Dashboard users. After each requirement workshop, the system engineering team developed a detailed list of requirements. The team identified whether a particular requirement was relevant only to a single component or could be applied to all components. These requirements were presented to component leads and users during follow-up workshops for consolidation and prioritization before turning them over to the software design and development team to implement the CWS Dashboard. The process for developing the user requirements for the requested functionality had four steps:

1. Educate PWD staff on the process development through chartering workshops.
2. Conduct requirement definition workshops with key staff from each component.
3. Review and prioritize user requirements.
4. Develop the software design documents.

Each step is discussed in detail in the following subsections.
Chartering Workshop

Many of PWD’s staff had not previously gone through a formal requirements-gathering process, nor did they completely understand their role in the process and the expectations associated with their participation. The chartering session allowed the system engineering team to educate the participants on the process, define the need for the process, and provide examples of the outcome from the requirements gathering effort. The following key topics were discussed during the chartering workshop:

- **Project objectives**—All participants were informed of the overall CWS project, particularly the CWS Dashboard application that would be developed for CWS. The scope of work and the timeline necessary to meet the overall project’s schedule was conveyed.
- **Critical success factors**—Critical success factors both for the CWS Dashboard development effort and the business processes/functions served were identified. Questions such as “How will we know that the planned changes have been effective?” and “How will success be measured?” were answered.
- **Project deliverables**—The deliverables from the effort were identified.
- **Prepare, inform, and educate the workshop participants**—Background on the software development effort including why it was critical for the stakeholders to get involved in the requirements definition, was provided.
- **Participants**—Participants that were needed for a successful workshop were selected. In general, these included business users and staff with domain knowledge and expertise.
- **Workshop schedule**—Workshop dates and schedules were coordinated to achieve participation with critical staff.

**Definition of User Requirements**

After the information sources were identified, the focus turned to individual data elements within the repositories:

- Users needed to define, in detail, the data fields from each source system necessary to perform various business processes.
- Users also were asked to define how they wanted to view and interact with these data.

This critical information was used to define user requirements. A template was created to document the following information for each user requirement (Table 1 lists example user requirements for the CCS component):

- **ID** – Identifier to be used for requirement tracking
- **Requirement Name** – A descriptive requirement name
- **Requirement Description** – As much information as necessary to clearly describe the requirement
- **Category** – Used to group like requirements into functional groups. Possible categories included the following:
  - Map – Requirement related to map display and availability of data layers, cartography
  - Data – Specific to a data layer or data set (see user requirement 2 in Table 1)
  - Navigation – Map navigation tools and shortcuts
  - Toolbox Functions – For each toolbox, toolbar icons control the functionality provided within the toolbox (see user requirements 4 and 5 in Table 1)
  - Query – Dataset-specific filtering (see user requirement 3 in Table 1)
  - Reporting – The way that data are to be reported (chart, table, map)
  - Help – Online documentation
  - Printing – Maps, reports, queries

Workshops with key PWD staff were held to define the component-specific user requirements. The workshops allowed free exchange of user requirements that aligned to the business processes automated by the application.
The workshop facilitator ensured that all attendees participated and that requirements with technical or organizational constraints were identified. The consolidation of requests and analysis of constraints occurred during the review cycles. If enough requests came up with the same constraints, the PWD organization was alerted that some investments and changes were needed to remove or reduce those constraints.

**TABLE 1**

<table>
<thead>
<tr>
<th>ID</th>
<th>Name</th>
<th>Description</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Pressure zone</td>
<td>Pressure zone layer.</td>
<td>Map service</td>
</tr>
<tr>
<td>2</td>
<td>Message board/overall activity log</td>
<td>Running list of all activities performed for each alert and incident. Includes custom messages entered by users. Message can be associated with an alert or a component but can also be a generic systemwide message. Log will include ID, timestamp, component, action/message, user, and priority. Include ability to filter by component, user, or priority.</td>
<td>Data</td>
</tr>
<tr>
<td>3</td>
<td>Query CCS complaints</td>
<td>Query by complaint type and date range, display chart with number of calls (and event detection algorithms [EDA] thresholds) by pressure zone, service area.  Click on chart –&gt; display calls (for the selected chart element) on the map.</td>
<td>Query</td>
</tr>
<tr>
<td>4</td>
<td>Mouse Over: CCS complaint</td>
<td>Mouse-over window displays service request ID, status (open/closed), priority, complaint type, problem address/zip, timestamp.</td>
<td>Toolbox functions</td>
</tr>
<tr>
<td>5</td>
<td>Click On: CCS complaint</td>
<td>Click-on window should display service request ID, status (open/close), priority, complaint type, customer name, problem address/zip, phone number, timestamp, sample taken, and a link to activity log for that call.</td>
<td>Toolbox functions</td>
</tr>
</tbody>
</table>

**Review and Prioritization of User Requirements**

Once all user requirements were gathered at the component level, the system engineering team reviewed them both from a requirement definition perspective and from a business process perspective for completeness. Individual meetings were conducted to clarify any outstanding issues. Redundant requests were combined into a common request. Technical and organizational constraints were evaluated to identify requirements that were not feasible to implement given the project schedule and budget.

The following process was used in the review and prioritization of the requirements:

1. List all requirements to be prioritized in a spreadsheet. All items must be at the same level of detail.
2. Estimate the relative benefit that each feature provides to the end user or the component.
3. Estimate the negative impact on the end user if a feature is not implemented.
4. Calculate a total value of the feature as the sum of items 2 and 3 above.
5. Estimate the relative cost of implementing each feature.
6. Estimate the relative degree of risk (e.g., unknown elements, technical complexity).
7. Weight the value from 4 against the cost and risk of 5 and 6.
8. Rank the features according to 7.

As part of Step 7, the requirements were prioritized to identify the critical user requirements and those items that are “nice to have” and could be implemented in the future. Prioritization allowed the system engineering team to resolve conflicts, plan for staged deliveries, and make the necessary tradeoff decisions. The priority scale used to categorize and rank the requirements is provided in Table 2.

**TABLE 2**

<table>
<thead>
<tr>
<th>Priority Classification</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 – High</td>
<td>A mission-critical requirement; required for next release.</td>
</tr>
<tr>
<td>2 – Medium</td>
<td>Required eventually but could wait until a later release if necessary.</td>
</tr>
<tr>
<td>3 – Low</td>
<td>An enhancement; would be nice to have someday if resources permit.</td>
</tr>
</tbody>
</table>
Definition of Use Cases and Software Design Documents

Once the user requirements were reviewed and prioritized, user requirements with a high or medium classification were analyzed further to convert them into meaningful use cases to aid in software development. A use case is a list of steps typically defining interactions between a user and a system to achieve a goal.

Software design documents were prepared in the form of tables or figures to document the conversion of user requirements to use cases and provide overall guidance on the architecture of the CWS Dashboard. Example use cases in table form are provided to illustrate the conversion of requirements to a use case. Table 3 illustrates a general user requirement, i.e., opening the CWS Dashboard. Table 4 provides the use case that documents the mouse-over user requirement defined for CCS functionality that was shown in Table 1.

**TABLE 3**

<table>
<thead>
<tr>
<th>Use Case ID</th>
<th>CWS-1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Related requirements</td>
<td>55</td>
</tr>
<tr>
<td>Title</td>
<td>Open CWS Dashboard</td>
</tr>
<tr>
<td>Purpose/overview</td>
<td>A user navigates to the Dashboard URL and opens the CWS Dashboard Intro/home page.</td>
</tr>
<tr>
<td>Users/actors</td>
<td>All CWS users.</td>
</tr>
<tr>
<td>Precondition</td>
<td>A user opens a web browser.</td>
</tr>
<tr>
<td>Scenario</td>
<td>A user navigates to the CWS Dashboard Intro/home page with a unique URL. System displays an intro page to the CWS Dashboard. System displays web links relevant to PWD CWS (TBD). System displays a login tab or button.</td>
</tr>
<tr>
<td>Post-condition</td>
<td>CWS Dashboard Intro/home page is shown on the screen. A user can:</td>
</tr>
<tr>
<td></td>
<td>• Read CWS Dashboard information and/or user instruction on the intro page.</td>
</tr>
<tr>
<td></td>
<td>• Click the login button to log into the Dashboard system.</td>
</tr>
</tbody>
</table>

**TABLE 4**

<table>
<thead>
<tr>
<th>Use Case ID</th>
<th>CCS-3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Related requirements</td>
<td>5</td>
</tr>
<tr>
<td>Title</td>
<td>Mouse-over: CCS.</td>
</tr>
<tr>
<td>Purpose/overview</td>
<td>As a user moves the mouse over a CCS complaint point on the map, quick facts about the complaint are displayed on the screen.</td>
</tr>
<tr>
<td>Users/actors</td>
<td>CCS users.</td>
</tr>
<tr>
<td>Precondition</td>
<td>Default CCS map and CCS toolbox is open on the screen, and a user selected the identify tool.</td>
</tr>
<tr>
<td>Scenario</td>
<td>A user moves the mouse over a CCS complaint point on the map. System displays a map tips window showing:</td>
</tr>
<tr>
<td></td>
<td>- service request ID</td>
</tr>
<tr>
<td></td>
<td>- status (open/close)</td>
</tr>
<tr>
<td></td>
<td>- priority</td>
</tr>
<tr>
<td></td>
<td>- complaint type</td>
</tr>
<tr>
<td></td>
<td>- problem address/zip</td>
</tr>
<tr>
<td></td>
<td>- timestamp</td>
</tr>
</tbody>
</table>

(Note: Too much information in the mouse-over window may block the view of the map, need to revisit and revise the number of parameters to be displayed by mouse-over.)
TABLE 4
Example CCS Use Case

<table>
<thead>
<tr>
<th>Use Case ID</th>
<th>CCS-3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Post-condition</td>
<td>Selected CCS complaint information, including service request ID, status (open/close), priority, complaint type, problem address/zip, timestamp, is displayed on the map (also see the note above).</td>
</tr>
<tr>
<td>Alternate Scenario 1</td>
<td>A user moves the mouse away from a CCS complaint point on the map. System closes the map tips window.</td>
</tr>
</tbody>
</table>

Screen mockups are an effective way of conveying user interface concepts from the requirements team to the development team. A simple screen mockup created in Microsoft Excel (Figure 3) conveyed the general screen layout of the CWS Dashboard to the development team.

FIGURE 3
Example Screen Mockup

Many of the user requirements requested particular geographic information system (GIS) layers to fulfill specific business needs. Table 5 is a separate mapping requirements document generated to manage the spatial requirements and coordinate the mapping requirements with the GIS group.

TABLE 5
Example Set of Mapping Requirements

<table>
<thead>
<tr>
<th>Map Service Name</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pressure zone</td>
<td>Display pressure zone layer on map</td>
</tr>
<tr>
<td>Water assets</td>
<td>Display water assets</td>
</tr>
<tr>
<td>Hydrant</td>
<td>Display hydrants</td>
</tr>
<tr>
<td>WTP service area</td>
<td>Display water treatment plant (WTP) service areas</td>
</tr>
<tr>
<td>OWQM GIS</td>
<td>Display OWQM GIS sites and water quality parameters</td>
</tr>
<tr>
<td>BLS GIS</td>
<td>Display Bureau of Laboratory Services (BLS) GIS (sample location and water quality parameters)</td>
</tr>
<tr>
<td>Zip codes</td>
<td>Zip codes</td>
</tr>
<tr>
<td>Councilmanic districts</td>
<td>Councilmanic districts</td>
</tr>
</tbody>
</table>
Lessons Learned

The most effective user requirements came from input specific to the contributor’s roles and responsibilities. Although end users were not always pleased to learn that they would not get all the features they desired in the initial release of the CWS Dashboard, they understood that due to time and budget constraints, some features would be implemented before others. Getting a single user to set priorities on his or her requirements, let alone a large group of users from different parts of the organization, can be difficult, but it is an important part of the CWS Dashboard development process. Having a clearly communicated process that empowers end users throughout the organization led not only to an application that was an integral part of the CWS, but also to a more efficient development process and a higher rate of user acceptance. Using a consistent team through the entire process from initial business requirement and process definition through the development of the application also fostered an efficient development pipeline with fast review and modification cycles.

Conclusions and Recommendations

The system engineering team conducted a series of dashboard requirement workshops with component leads and primary CWS Dashboard users from five surveillance components (OWQM, CCS, S&A, ESM, and PHS) as part of the development of the CWS Dashboard. After each requirement workshop, the system engineering team developed a detailed list of requirements. The four-step process to develop the user requirements for the requested functionality proved to be successful.

PWD has benefited from integrating the various component data systems. The CWS Dashboard is a complex application that brings together data from multiple components and facilitates the determination of whether a contamination or operational event has occurred in the water utility system. The CWS Dashboard provides important system information to key decision-makers, wherever and whenever, to support timely and appropriate responses to water quality anomalies.
Abbreviations and Acronyms

BLS  Bureau of Laboratory Services
CCS  Customer Complaint Surveillance
CM   Consequence Management
CWS  Contamination Warning System
EDA  Event detection algorithm
EPA  United States Environmental Protection Agency
ESM  Enhanced Security Monitoring
GIS  Geographic Information System
ID   Identification number
OWQM Online Water Quality Monitoring
PHS  Public Health Surveillance
PWD  Philadelphia Water Department
S&A  Sampling and Analysis
TBD  To be determined
WS   Water Security
WTP  Water treatment plant

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