



# Update of Engine Categories, Emission Rates and Speciation Profiles for Tier-4 Nonroad Compression Ignition Engines

Jaehoon Han and Darrell Sonntag

MOVES Review Work Group

December 6, 2017



# Agenda

- Emission Standards
- Compliance Technology
- Scope of Modeling Updates
- Data and Approaches
- Summary
- Q&A



This presentation includes preliminary results from analysis in progress. The actual implementation in future MOVES versions is subject to change.



# EPA Nonroad Compression Ignition Exhaust Emission Standards\*

kW (HP)	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
<8 (11)					7.5 ----- 0.80			7.5 ----- 0.40							
≥8 (11) <19 (25)					7.5 ----- 0.80			7.5 ----- 0.40							
≥19 (25) <37 (50)				7.5 ----- 0.60				7.5 ----- 0.30					4.7 ----- 0.03		
≥37 (50) <56 (75) Opt 1				7.5 ----- 0.40				4.7 ----- 0.30					4.7 ----- 0.03		
Opt 2								4.7 ----- 0.40				4.7 ----- 0.03			
≥56 (75) <75 (100)				7.5 ----- 0.40				4.7 ----- 0.40				3.4 ----- 0.19 0.02			0.40 0.19 0.02
≥75 (100) <130 (175)			6.6 ----- 0.30				4.0 ----- 0.30					3.4 ----- 0.19 0.02			0.40 0.19 0.02
≥130 (175) <225 (300)			6.6 ----- 0.20												
≥225 (300) <450 (600)	6.4 ----- 0.20						4.0 ----- 0.20				2.0 0.19 0.02			0.40 0.19 0.02	
≥450 (600) <560 (750)		6.4 ----- 0.20													
≥560 (750)						6.4 ----- 0.20						3.5 0.19 0.10			3.5 0.19 0.04

TIER Color Code
Tier 1
Tier 2
Tier 3
Tier 4 Transitional
Tier 4 Final

Rates [g/kW-hr]
NMHC+NOx ----- PM
or
NOx NMHC PM



\* EPA NRCI exhaust emission standards at <https://nepis.epa.gov/Exe/ZyPDF.cgi?Dockey=P100OA05.pdf>

# Tier-4 Compliance Technology

- After-treatment systems
  - Selective **C**atalytic **R**eduction (SCR) for NO<sub>x</sub>
  - Diesel **P**articulate **F**ilter (DPF) for PM
  - Diesel **O**xidation **C**atalyst (DOC) for HC/CO/PM, ...
- In-cylinder air handling technology
  - Exhaust **G**as **R**ecirculation (EGR)
  - Turbo-charging, ...
- In-cylinder fuel injection system
  - Injection pressure, rate, timing, ...

Emission rates vary depending on technology



# MOVES2014a NRCI Modeling

- “Engine technology categories” for NRCI equipment
  - Defined to assign population fractions & emission factors
  - Classification based on EPA emission standards

Base	Tier 0	Tier 1	Tier 2	Tier 3	Tier 3B	Tier 4A	Tier 4B	Tier 4	Tier 4N
------	--------	--------	--------	--------	---------	---------	---------	--------	---------

- Not differentiated by control technology
- Population fraction values for Tier-4 model years
  - Estimates based on Tier-4 Transitional (Interim) & Final Standards phase-in schedule
- Emission factor values for Tier-4 model years
  - Estimates based on standards with compliance margin applied



# Scope of Tier-4 Updates

- Engine technology categories
  - New Tier-4 definition considering after-treatment types
- Population fractions\*
  - Tier-4 data from EPA engine certification database (VERIFY)
  - Consideration of Tier-4 flexibility program (TPFM)
- Emission factors
  - Tier-4 rates from engine certification database (VERIFY)
- Speciation profiles
  - Differentiation based on after-treatment types
  - A topic discussed in 2017 June Workgroup meeting

\*Note: Population growth updates are handled in other related projects.



# Recommended Engine Tech Categories

- New Tier-4 Definition
  - Now considering after-treatment configurations

Base	Tier 0	Tier 1	Tier 2	Tier 3	Tier 4IA	Tier 4IB	Tier 4IC	Tier 4ID	Tier 4FA	Tier 4FB	Tier 4FC	Tier 4FD
------	--------	--------	--------	--------	----------	----------	----------	----------	----------	----------	----------	----------



**I: Interim (Transitional)**

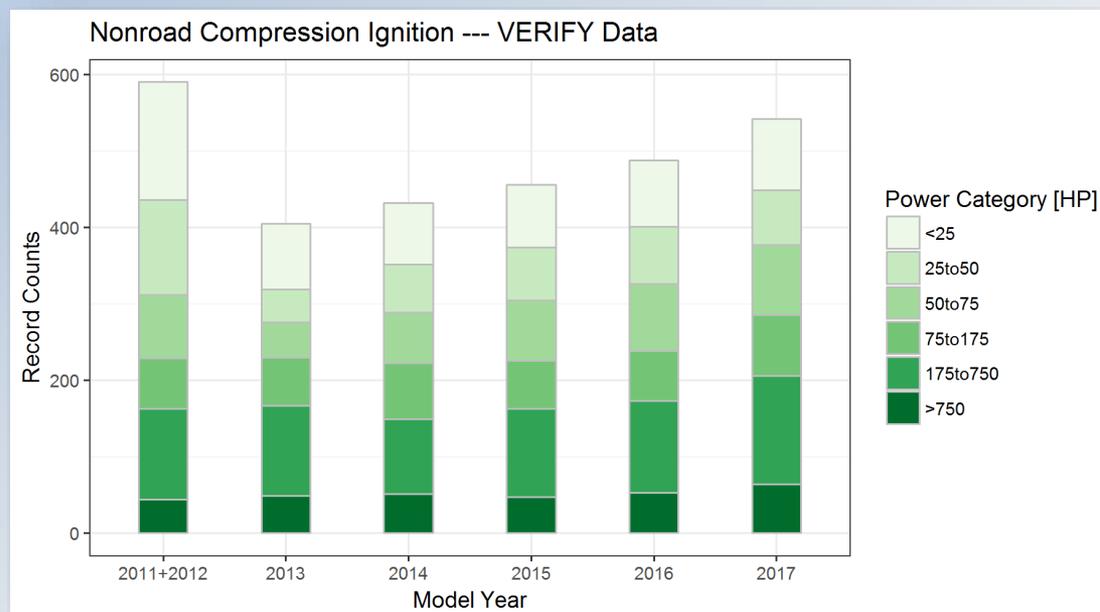
**F: Final**

<b>A</b>	No DPF	No SCR
<b>B</b>	No DPF	With SCR
<b>C</b>	With DPF	No SCR
<b>D</b>	With DPF	With SCR



# Engine Certification Data

- NRCI compliance data extracted from VERIFY
  - Model years from 2011\* thru 2017
  - Includes info about:
    - Engine family
    - Power category
    - Applicable certification tier
    - After-treatment device types
    - Emission rates: NO<sub>x</sub>, PM, NMHC, CO
    - Projected sales volume (CBI)



\*Partial data for MY 2011

# Certified Engine Population Fractions

- The volume-averaged fractions of the engines in each engine tech category are calculated
  - Per power category
  - Per model year group
- “Stationary engines” in certification data set
  - Exemption clause in Tier-4 rules\*
  - Certified as “Tier 2” or “Tier 3” instead
  - Excluded from our analysis
    - since MOVES has “mobile engine” population only



\*<https://www.epa.gov/regulations-emissions-vehicles-and-engines/regulations-emissions-heavy-equipment-compression>

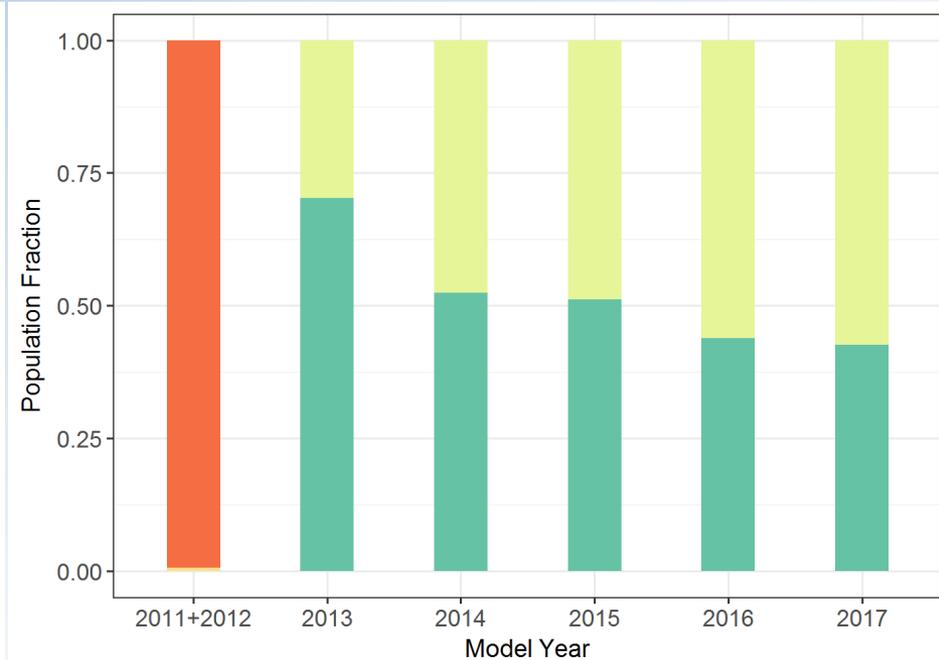
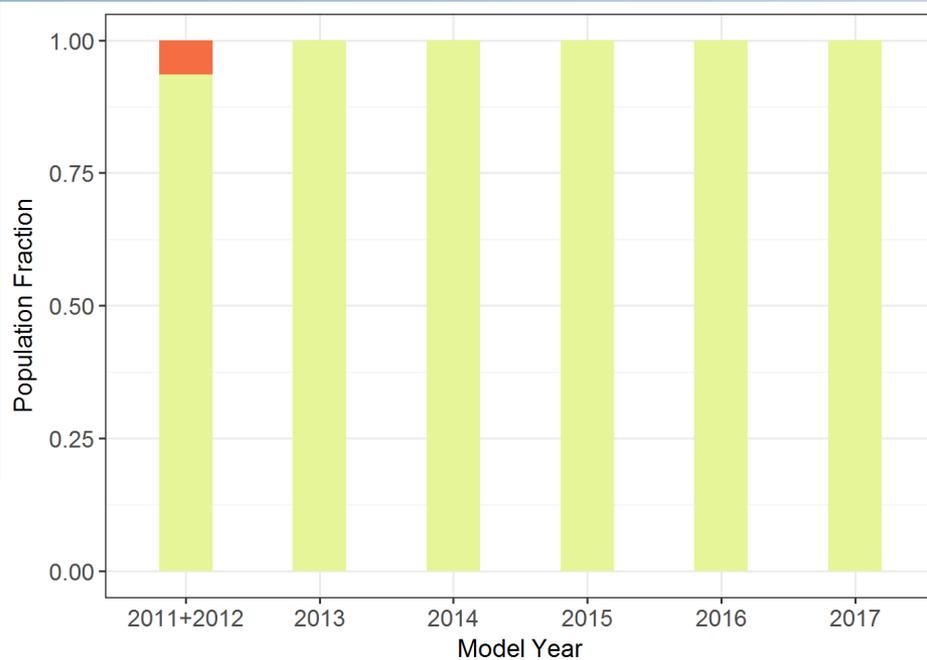
# Certified Engine Population Fractions

Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

HP <=25

HP 25 to 50

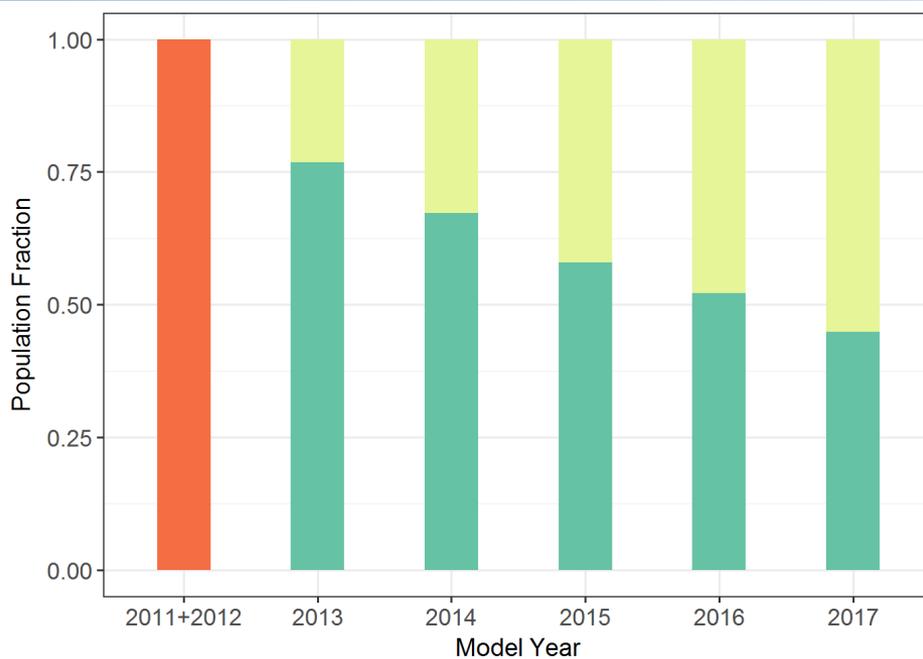


# Certified Engine Population Fractions

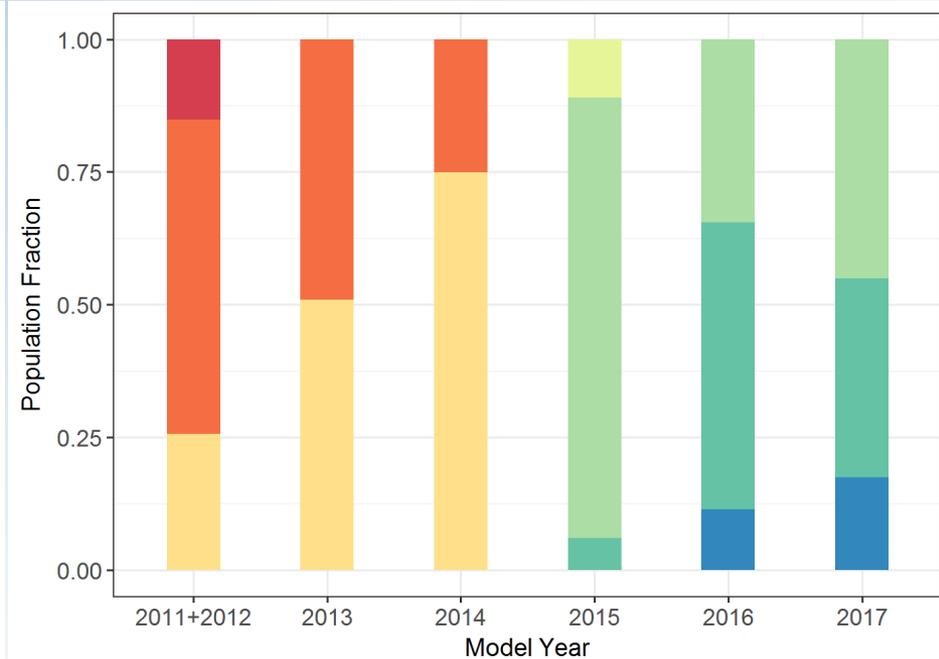
Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

## HP 50 to 75



## HP 75 to 100



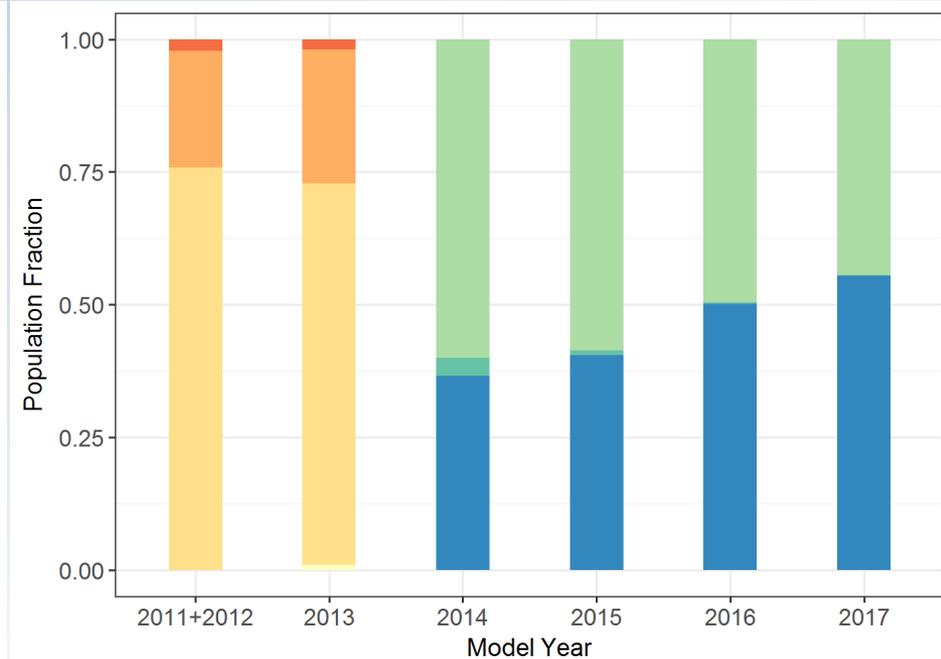
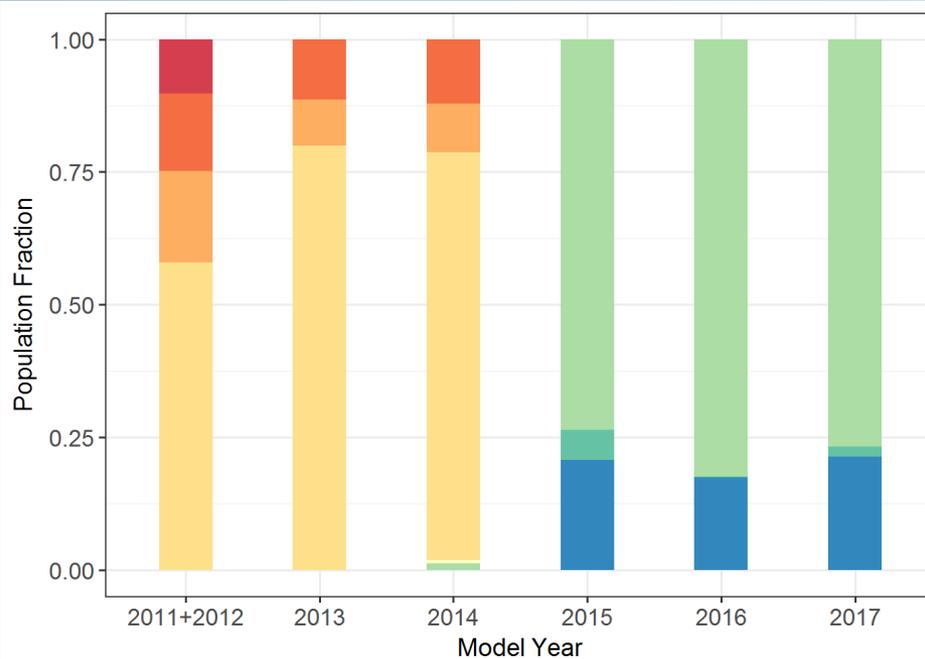
# Certified Engine Population Fractions

Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

## HP 100 to 175

## HP 175 to 300



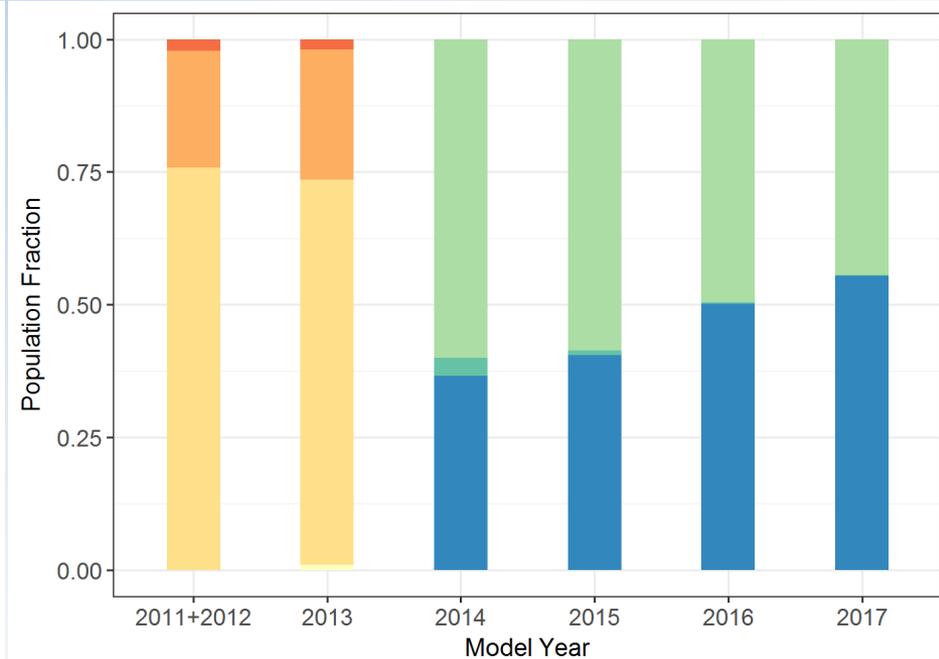
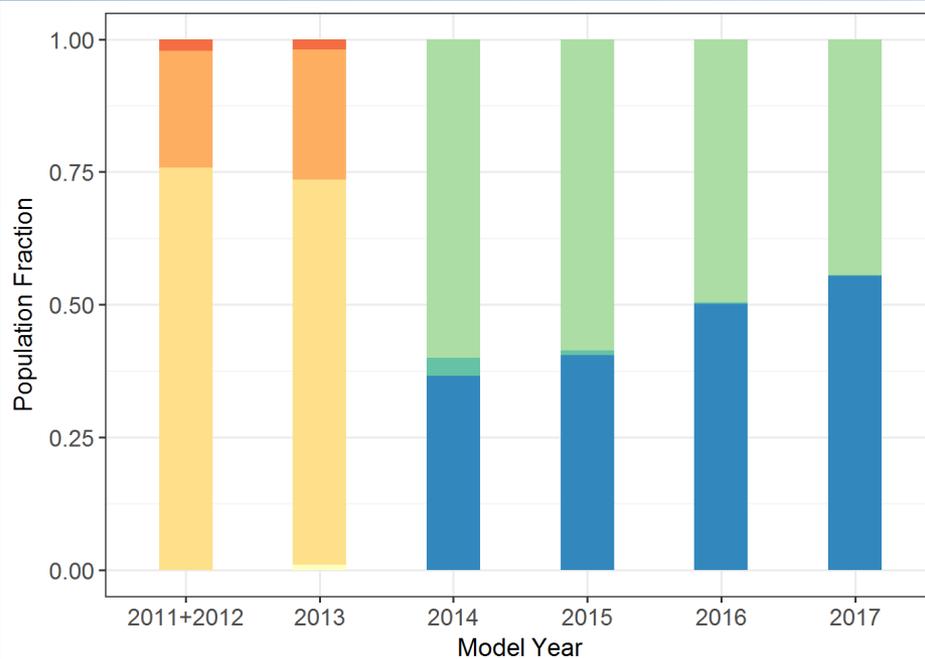
# Certified Engine Population Fractions

Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

HP 300 to 600

HP 600 to 750



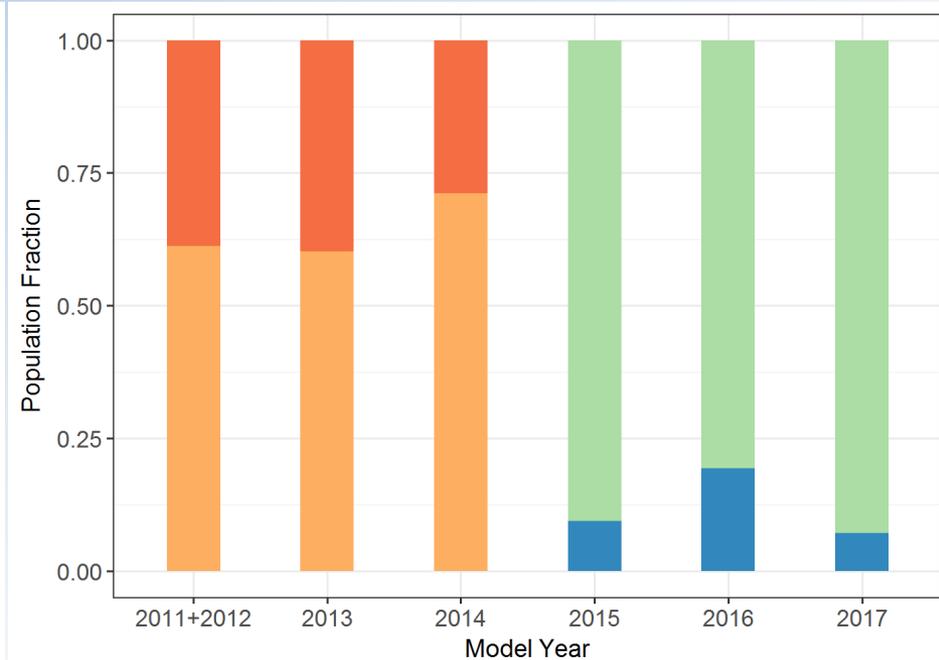
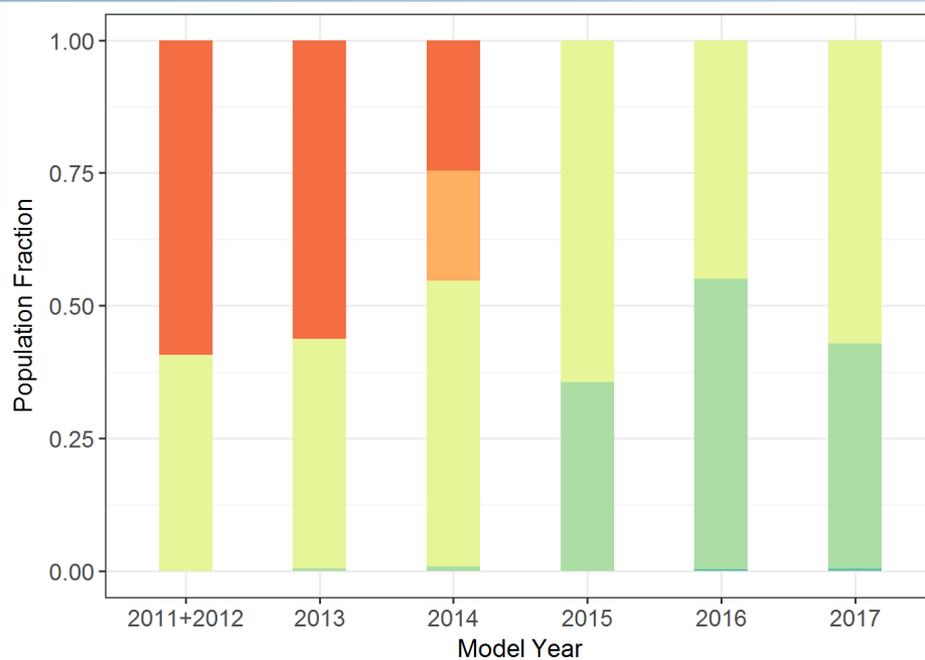
# Certified Engine Population Fractions

Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

HP >750 - non generator

HP 750 to 1200 - generator

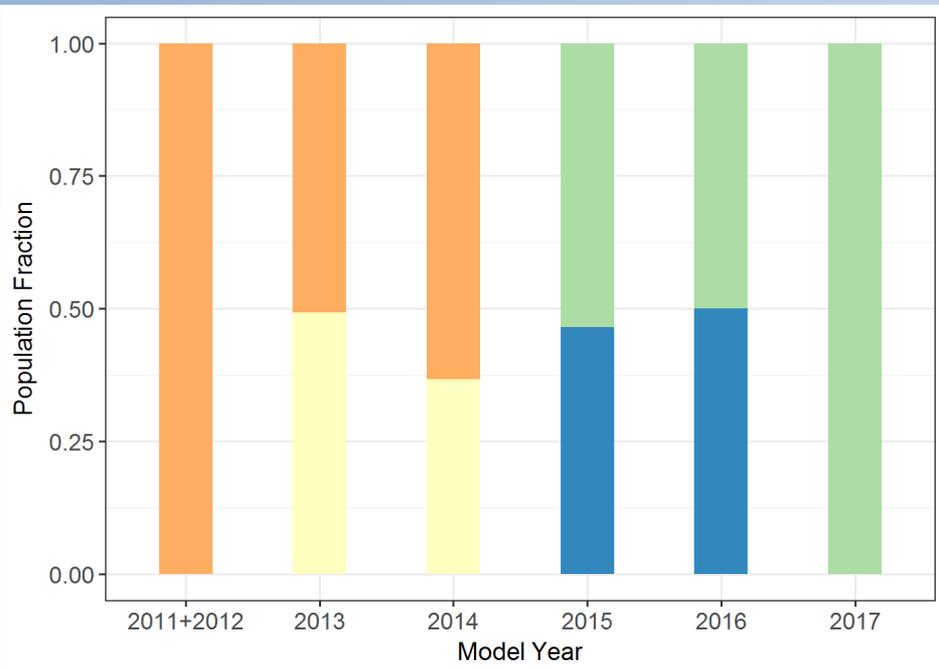


# Certified Engine Population Fractions

Tier 3 4IA 4IB 4IC 4ID 4FA 4FB 4FC 4FD

A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

HP >1200 - generator



# TPEM Exemption

- **Transition Program for Equipment Manufacturers\***  
(a.k.a. “flexibility program”)
  - Temporary exemption that allows equipment manufacturers to delay installing Tier-4 compliant engines in their products for up to 7 years

TPEM Category kW (HP)	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	TPEM Color Code
<19 (25)	Green	White	Tier 4 Regular TPEM available												
															Tier 4 Delayed TPEM available
≥19 (25) <56 (75)	Green	Green	Green	Green	Blue	White	White	White							
≥56 (75) <130 (175)	White	White	White	White	Green	Blue	Blue	White							
≥130 (175) <560 (750)	White	White	White	Green	Blue	Blue	Blue	White							
≥560 (750)	White	White	White	Green	Blue	Blue	Blue	Blue							

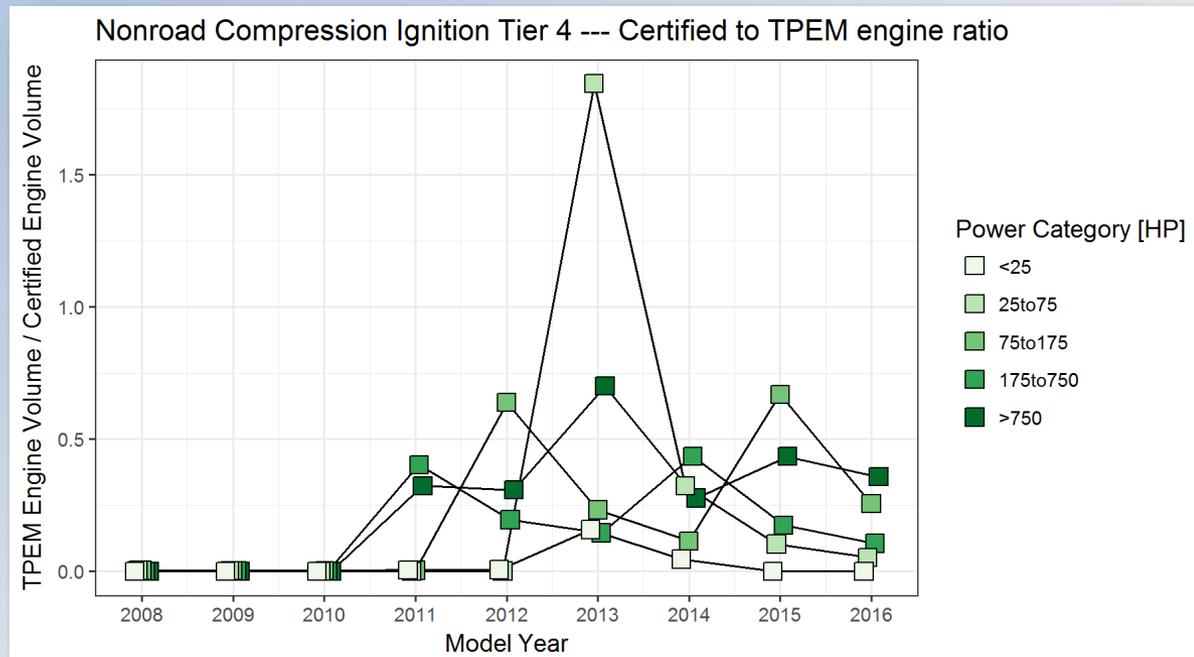
– Participation is voluntary



\* <https://www.epa.gov/vehicle-and-engine-certification/transition-program-equipment-manufacturers-tpem>

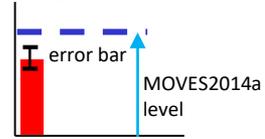
# Certified vs. TPEM Engine Volumes

- The volume ratio of Tier-4 certified engines to the TPEM engines needs to be accounted for in the total population fraction calculation
- Analysis is in progress using limited data available based on yearly reports from manufacturers to EPA (separate DB from VERIFY)



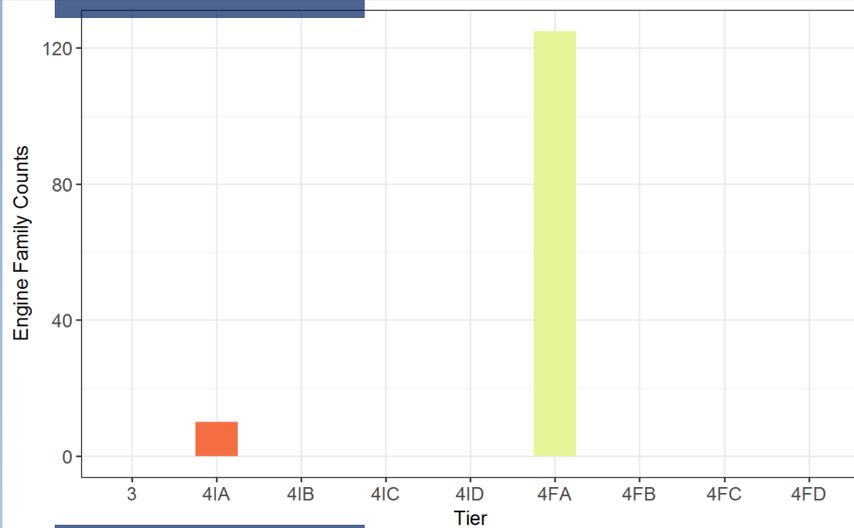
# Recommended Emission Factors

## HP <11

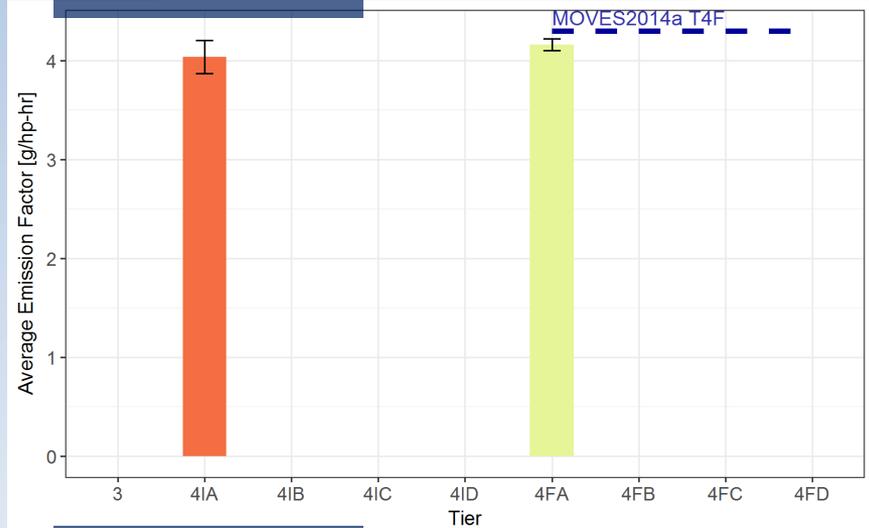


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

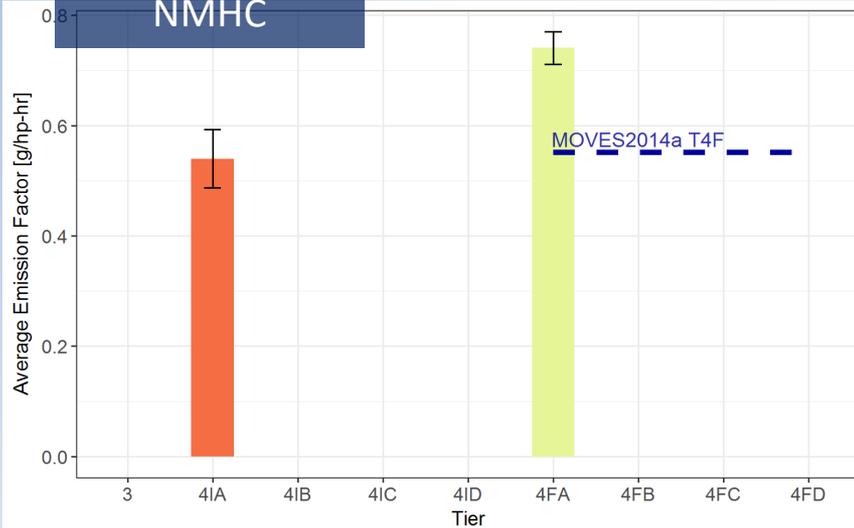
### Record Count



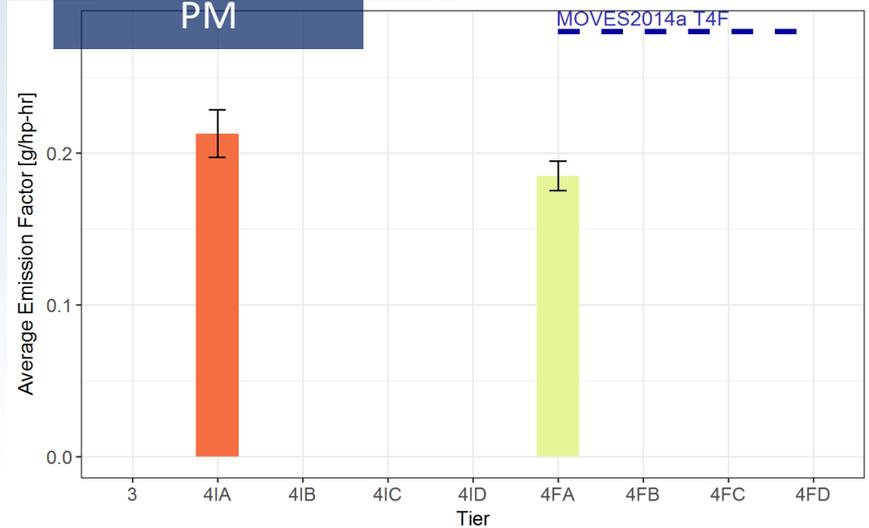
### NOx



### NMHC

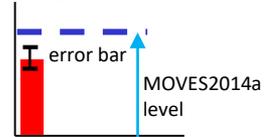


### PM

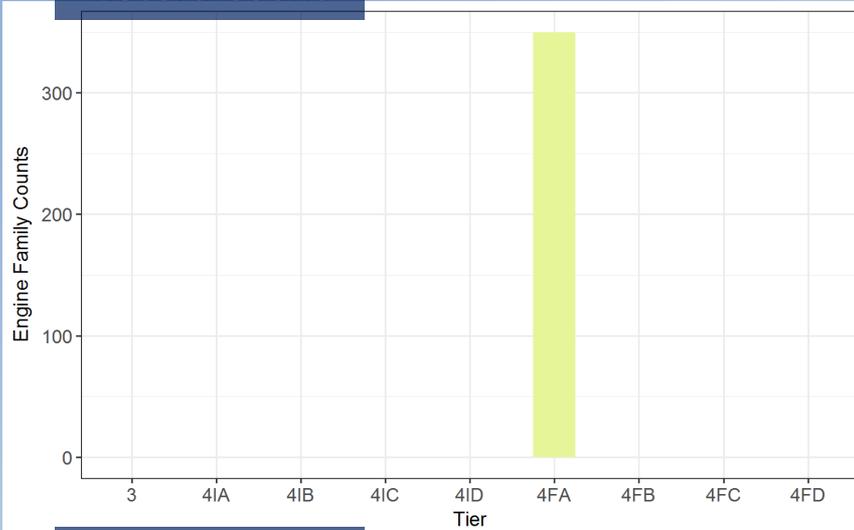


# Recommended Emission Factors HP 11 to 25

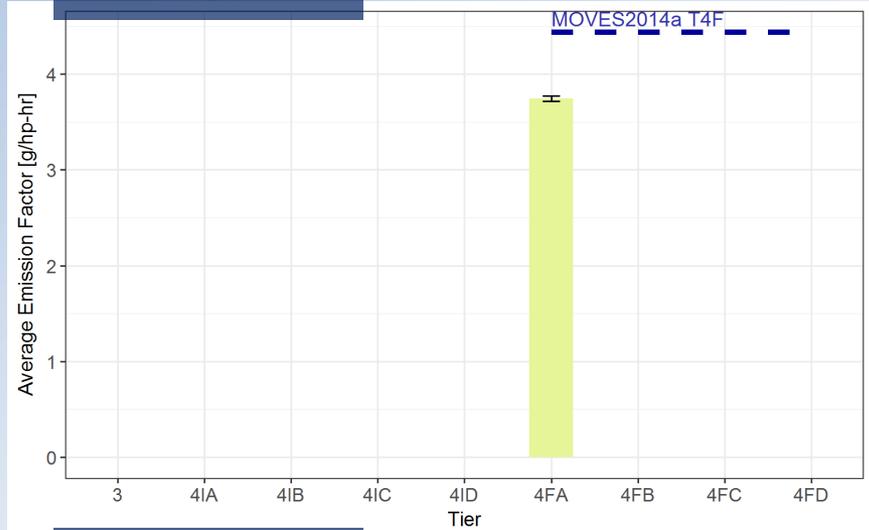
A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR



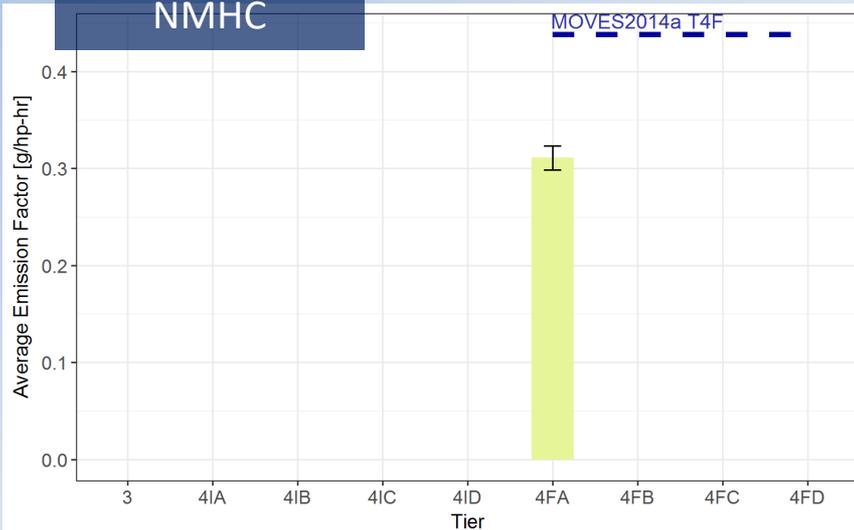
## Record Count



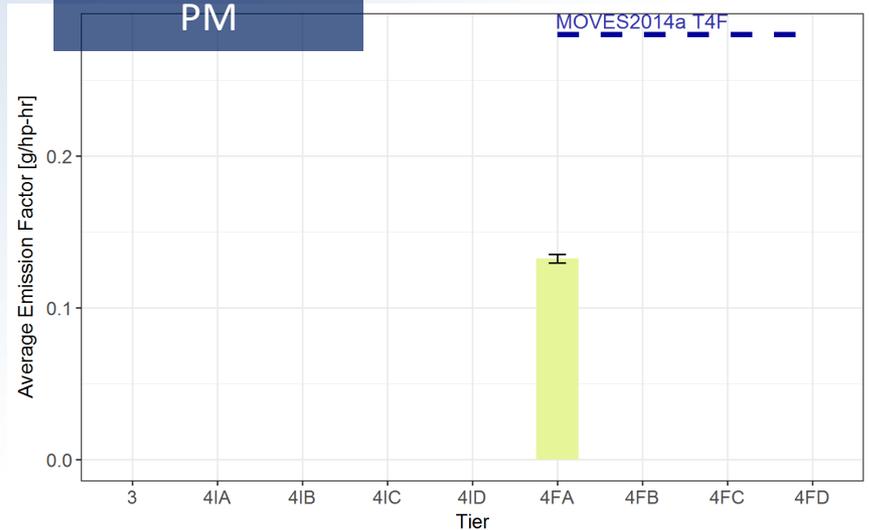
## NOx



## NMHC

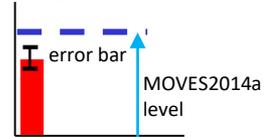


## PM

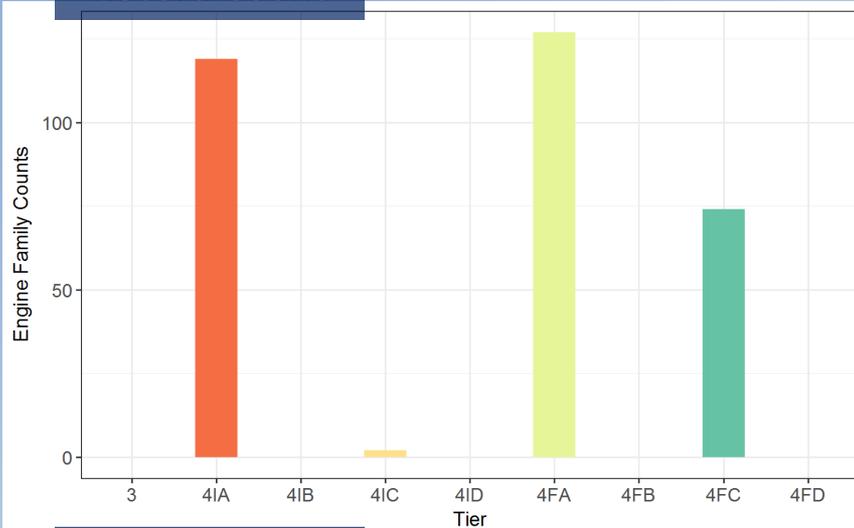


# Recommended Emission Factors HP 25 to 50

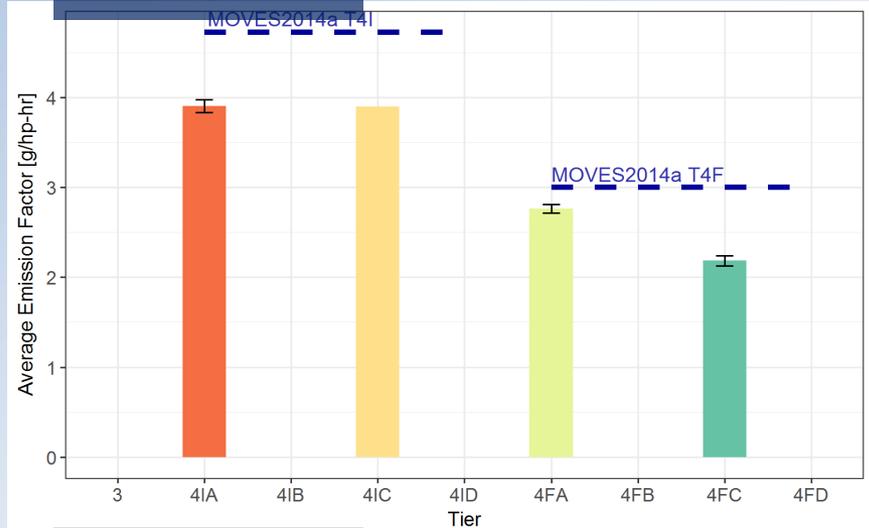
A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR



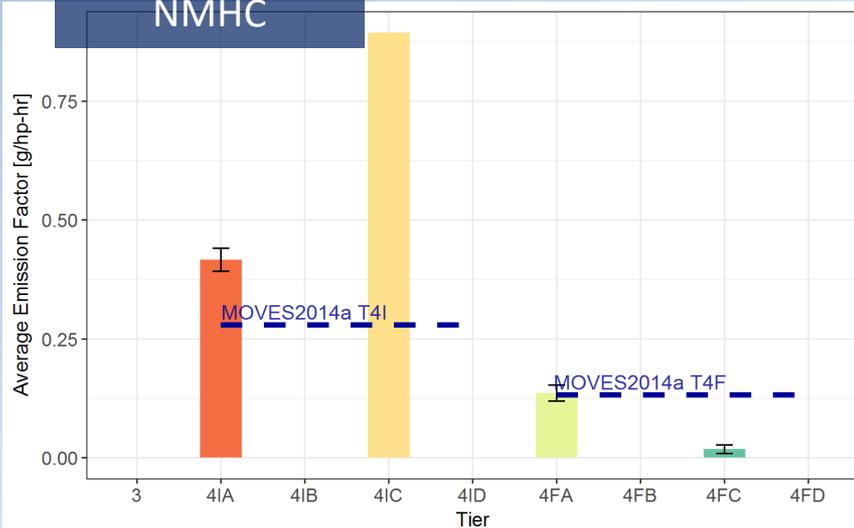
## Record Count



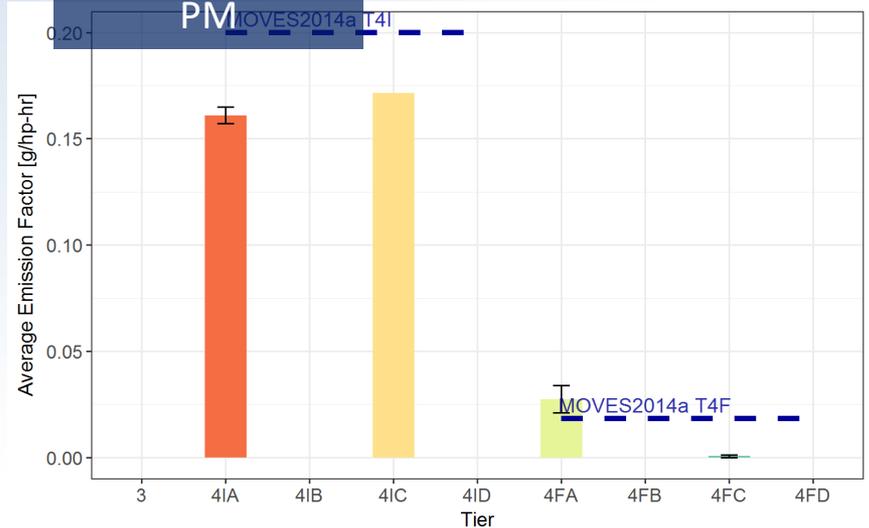
## NOx



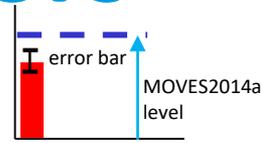
## NMHC



## PM

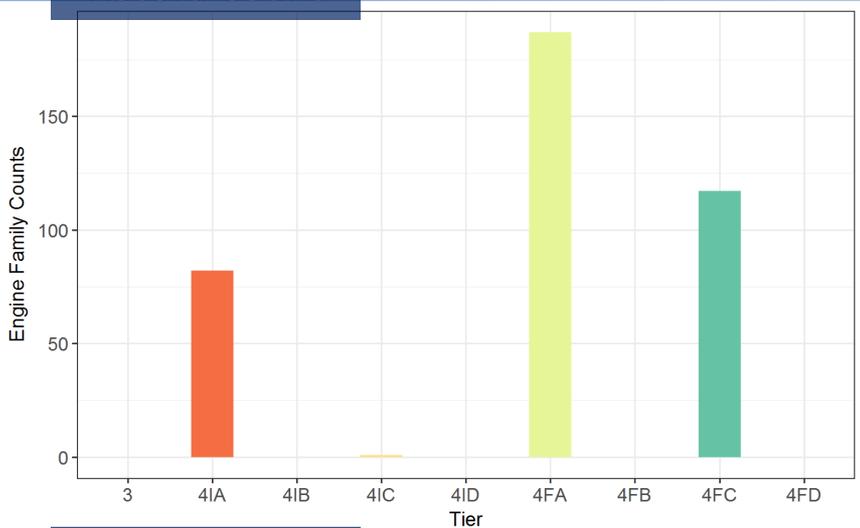


# Recommended Emission Factors HP 50 to 75

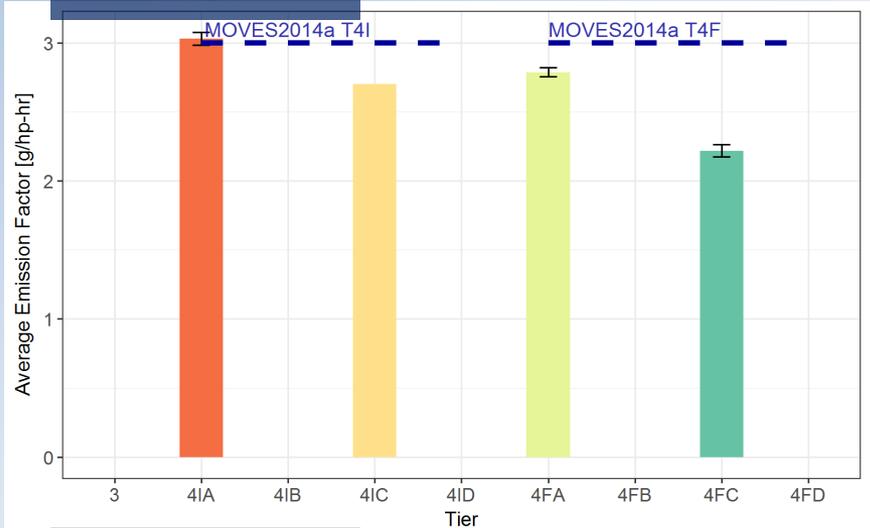


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

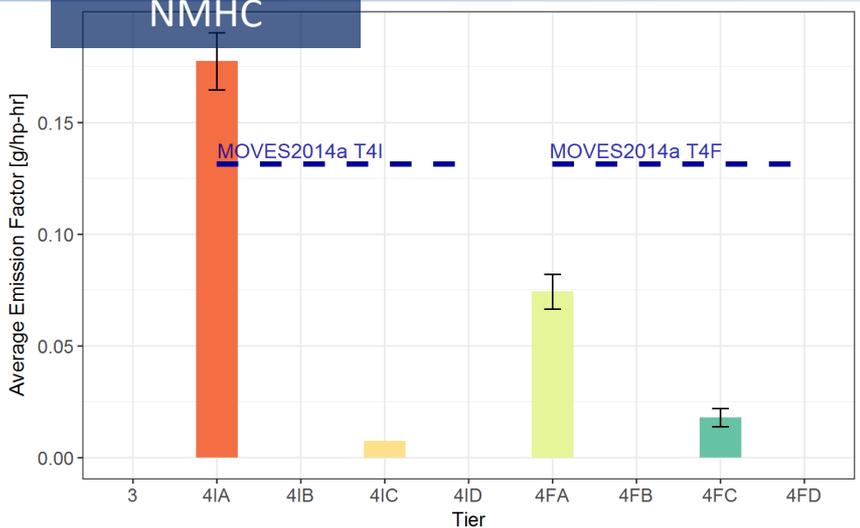
Record Count



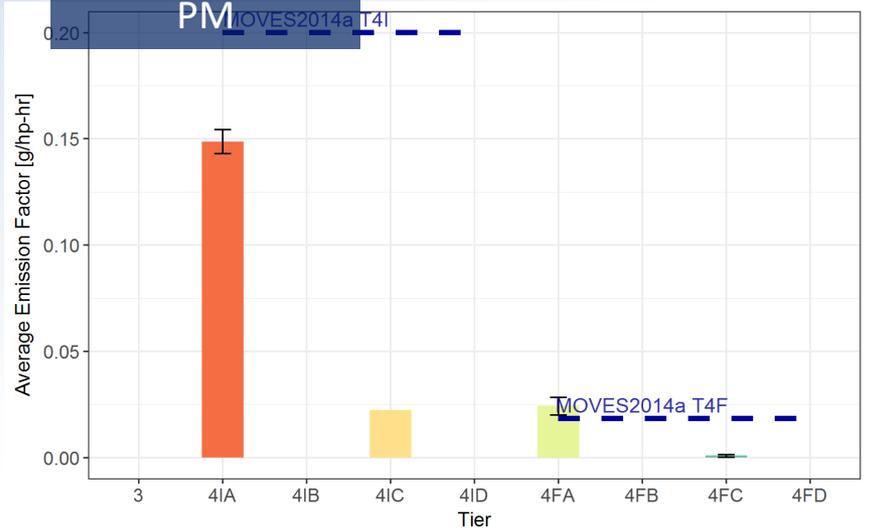
NOx



NMHC

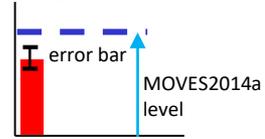


PM



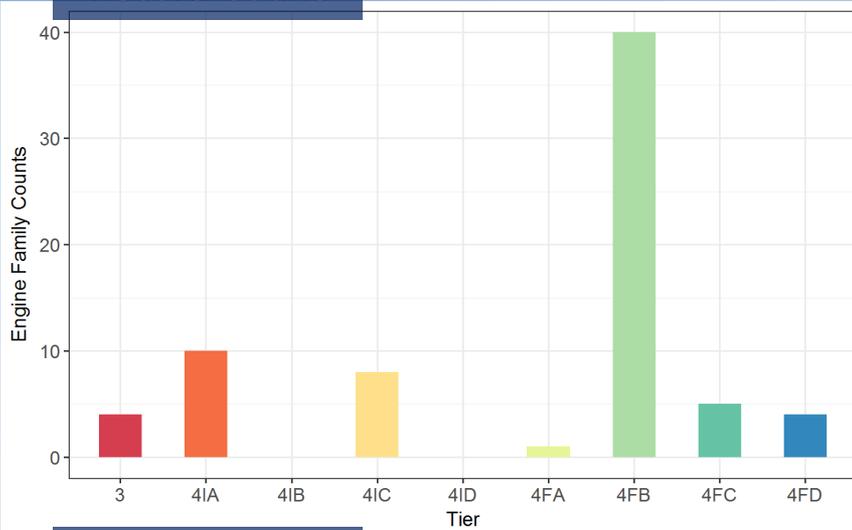
# Recommended Emission Factors

## HP 75 to 100

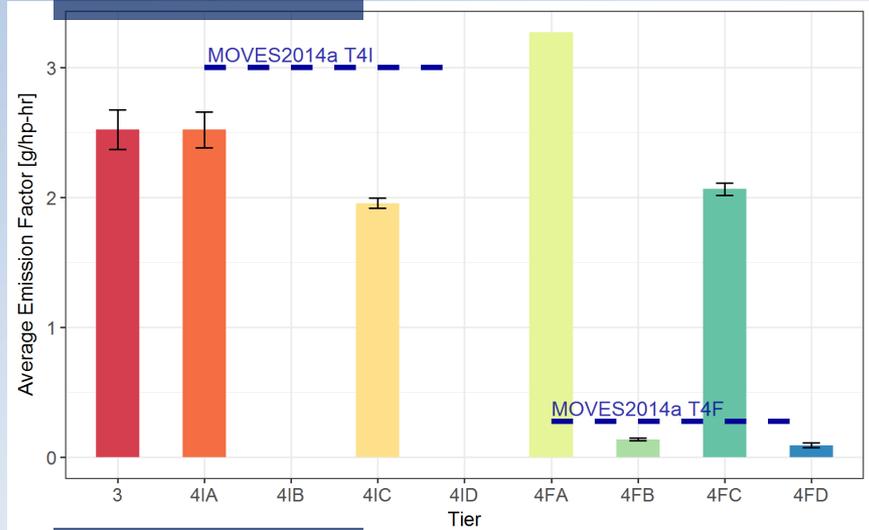


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

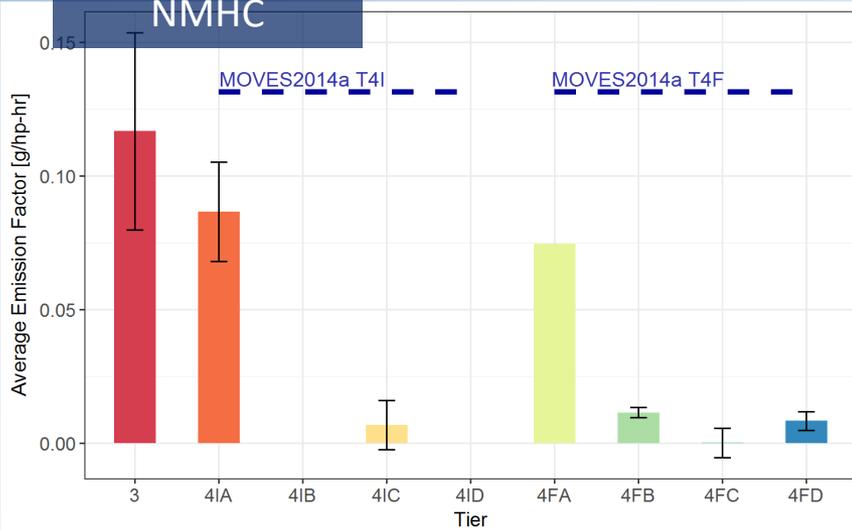
### Record Count



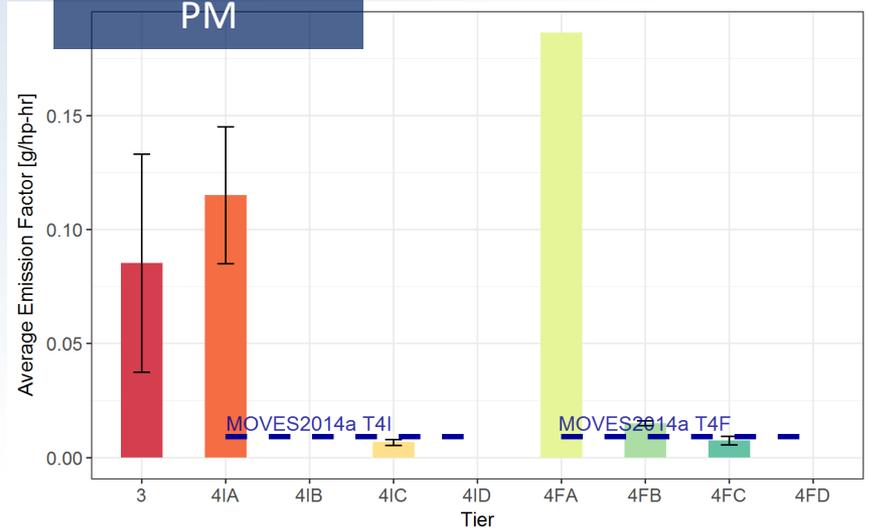
### NOx



### NMHC

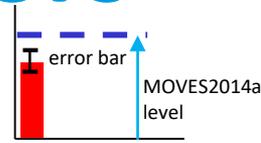


### PM



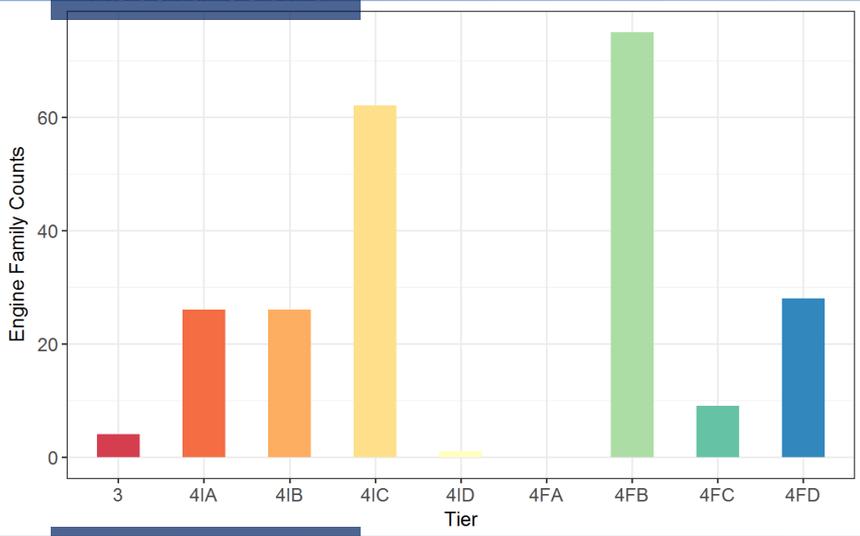
# Recommended Emission Factors

## HP 100 to 175

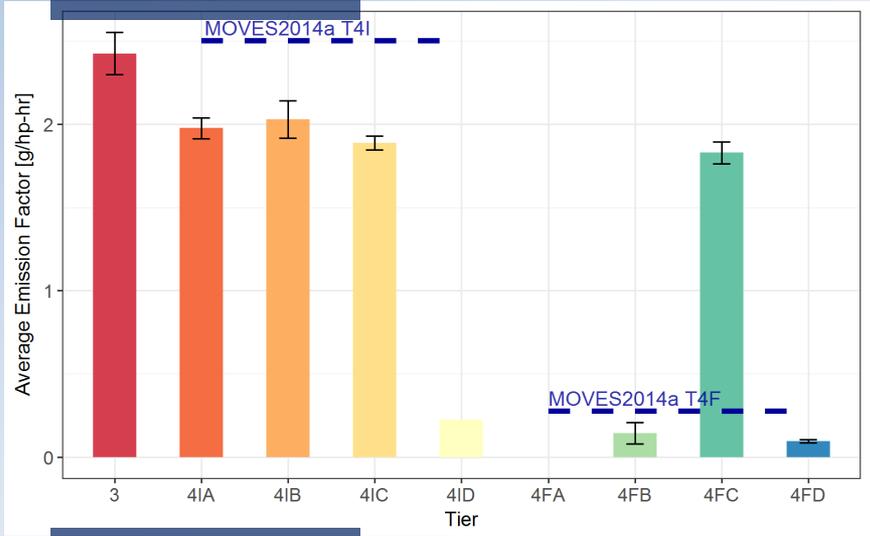


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

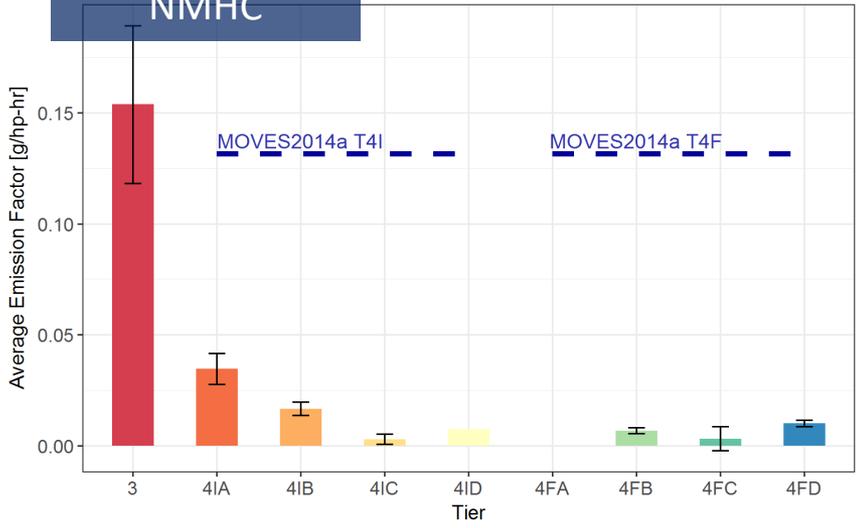
Record Count



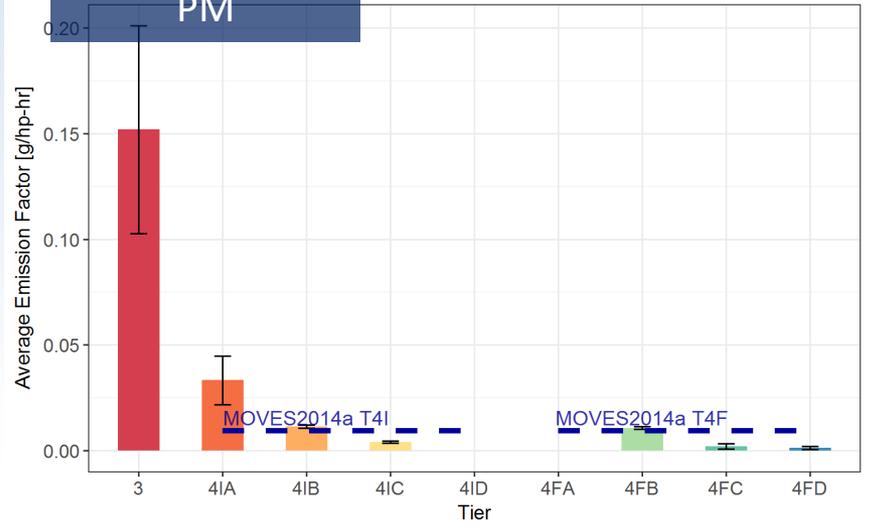
NOx



NMHC

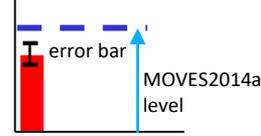


PM



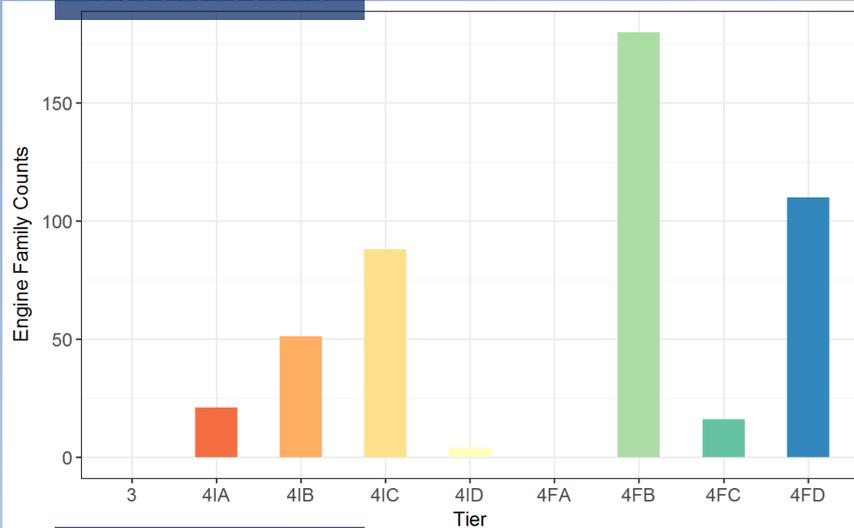
# Recommended Emission Factors

## HP 175 to 300

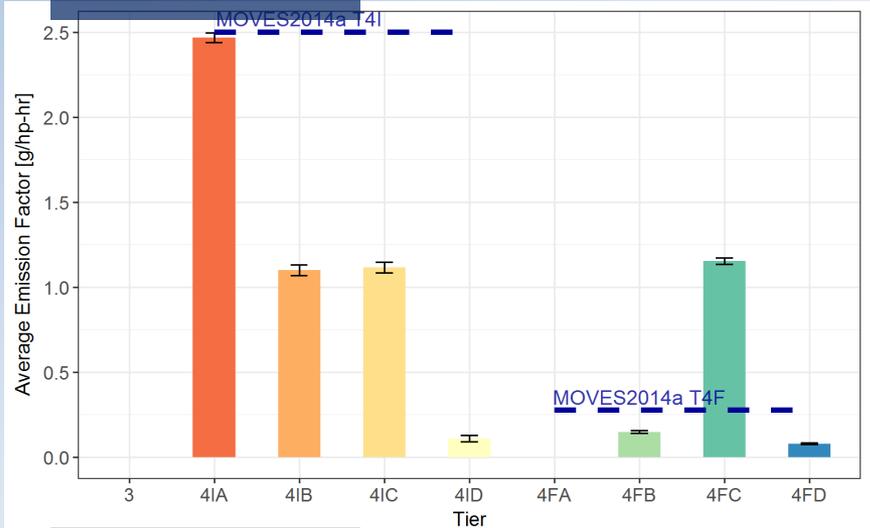


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

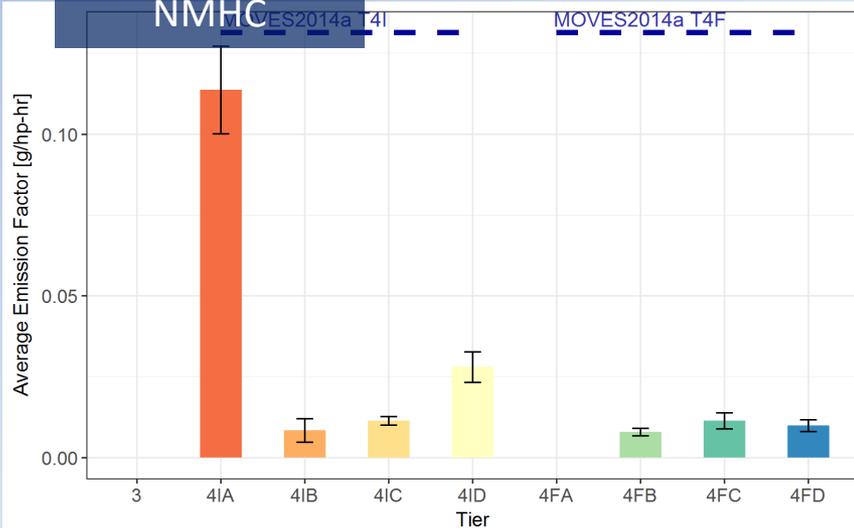
### Record Count



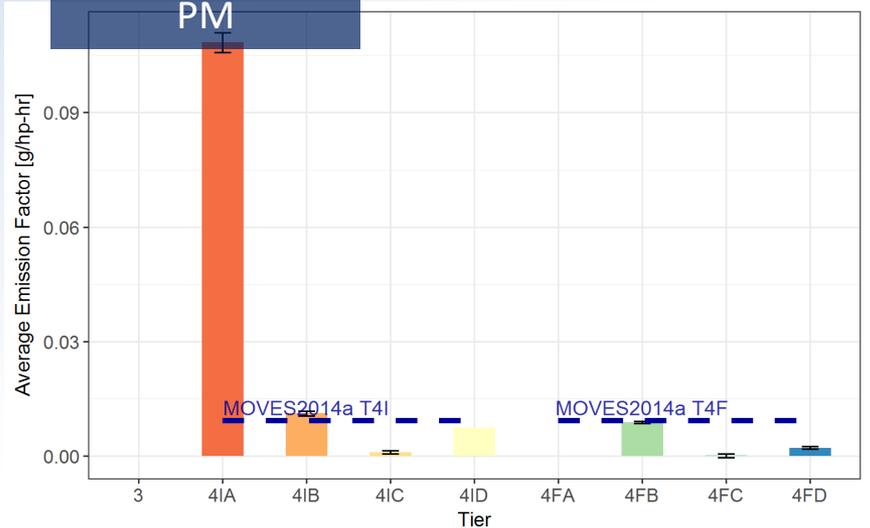
### NOx



### NMHC

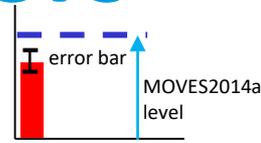


### PM



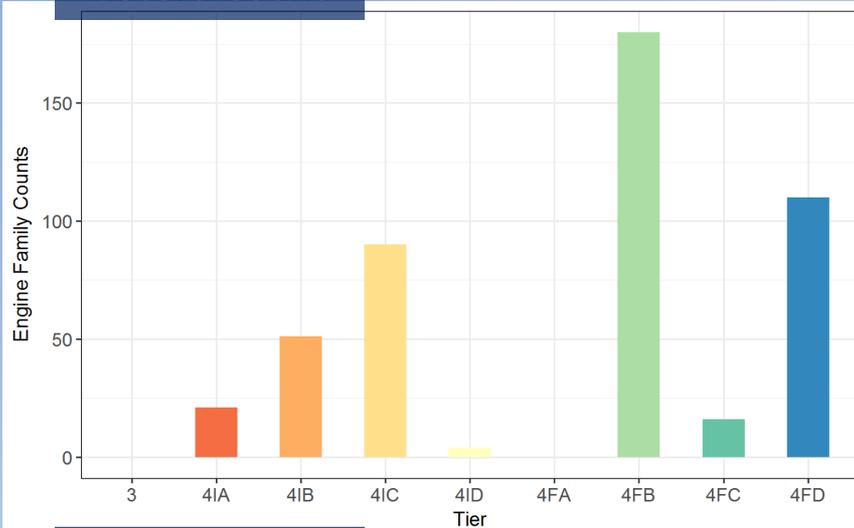
# Recommended Emission Factors

## HP 300 to 600

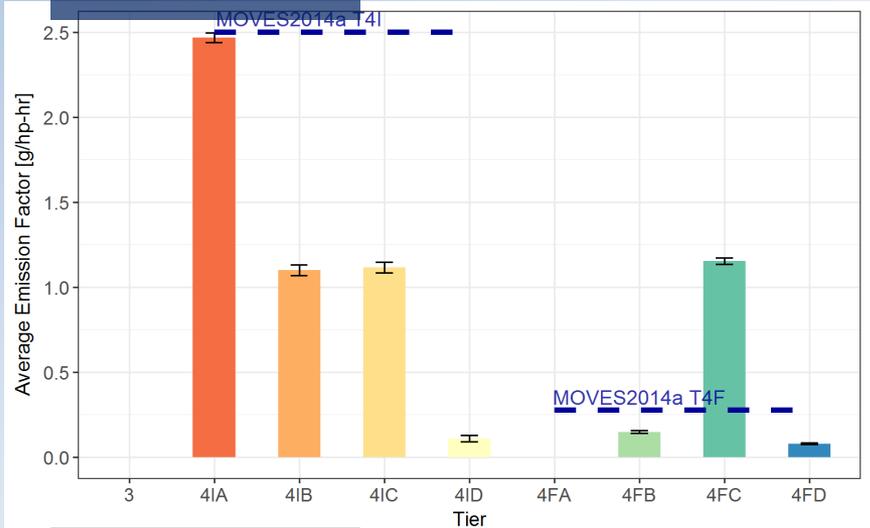


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

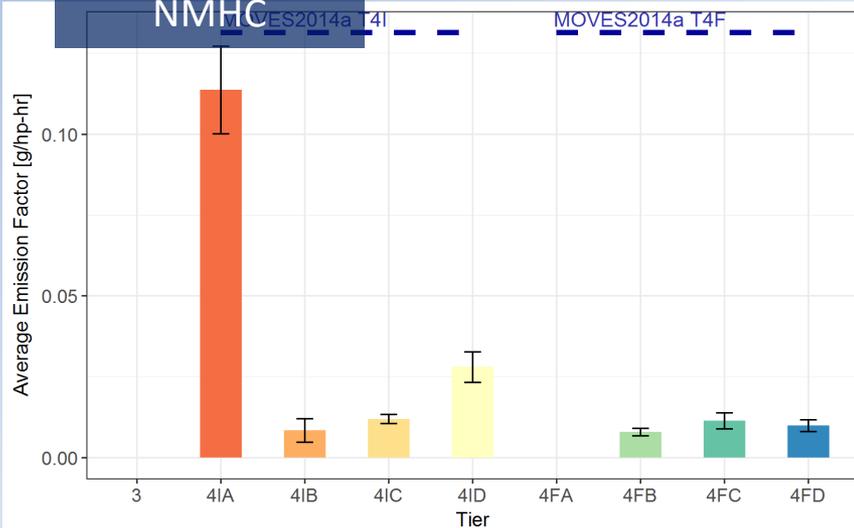
### Record Count



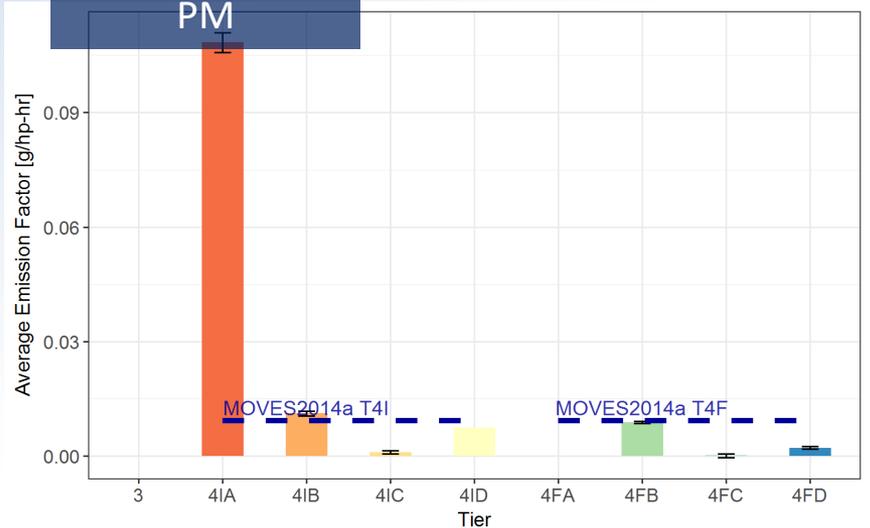
### NOx



### NMHC

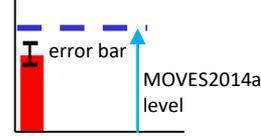


### PM



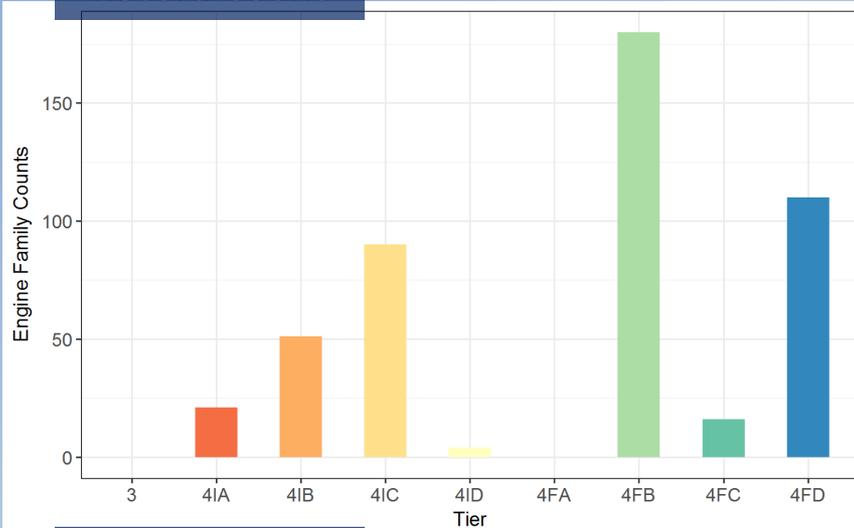
# Recommended Emission Factors

## HP 600 to 750

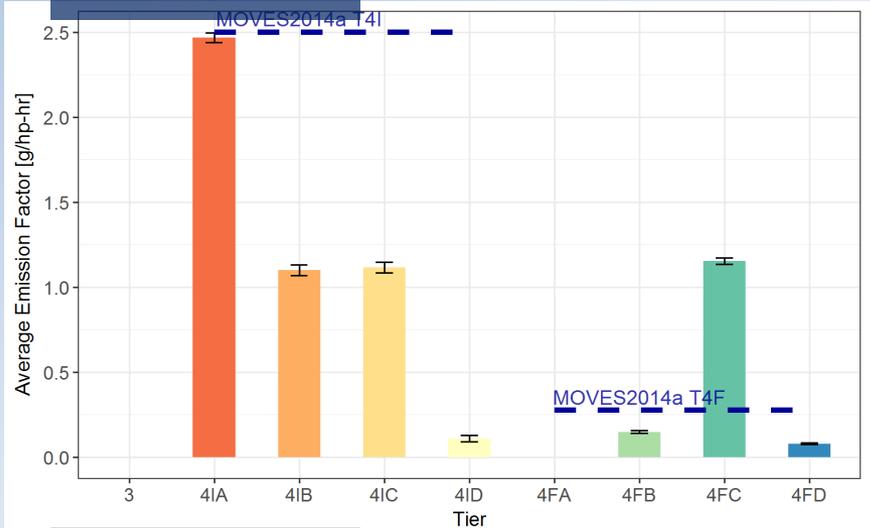


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

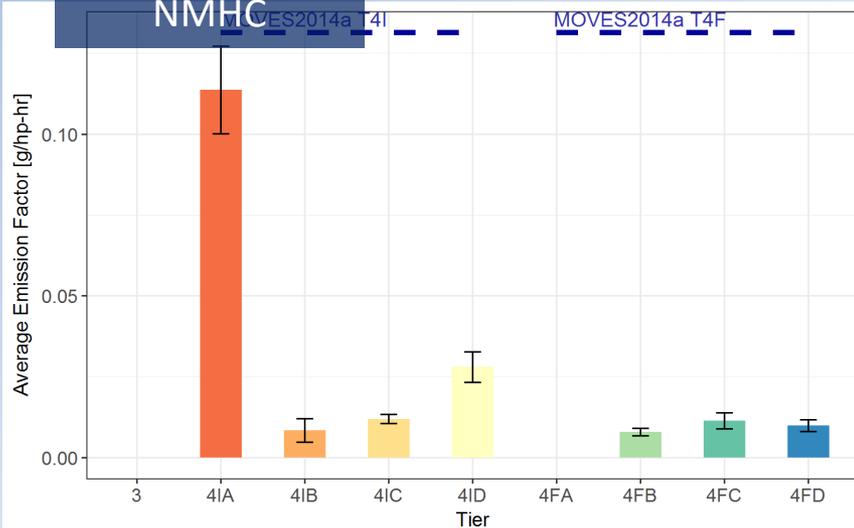
### Record Count



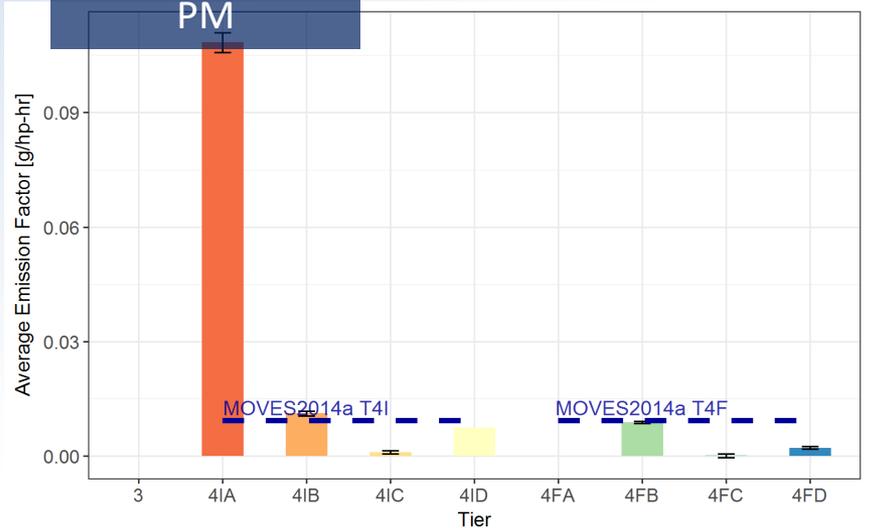
### NOx



### NMHC

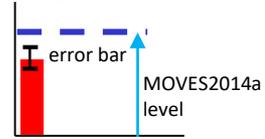


### PM



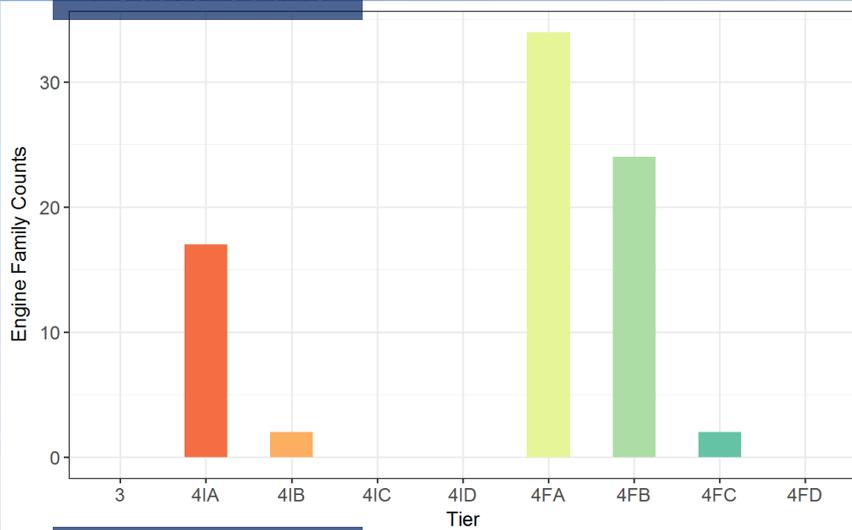
# Recommended Emission Factors

## HP >750 – non generator

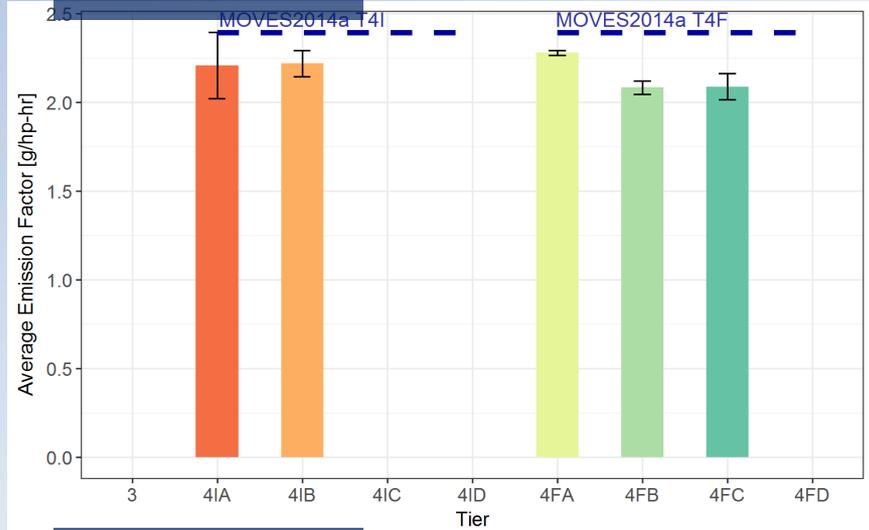


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

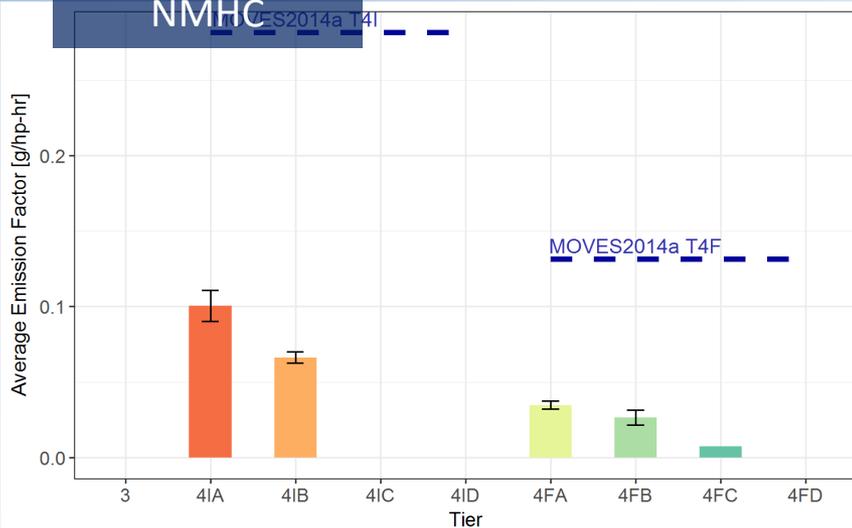
### Record Count



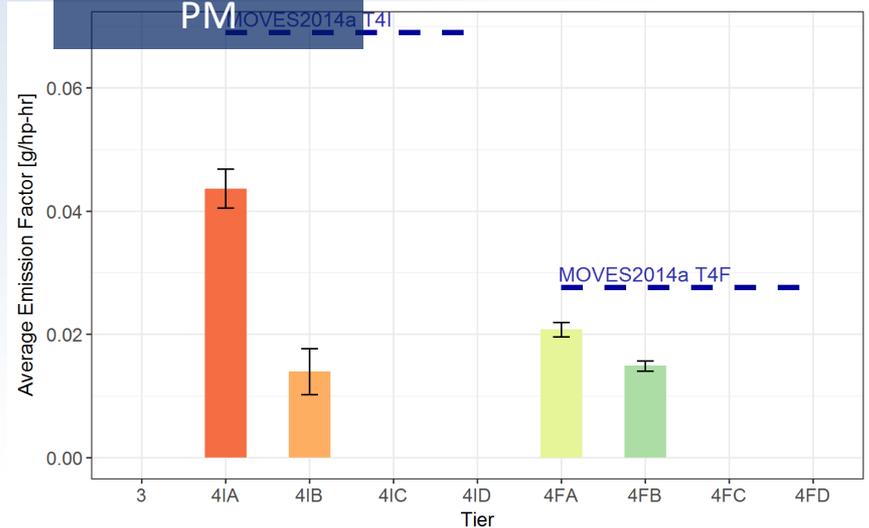
### NOx



### NMHC

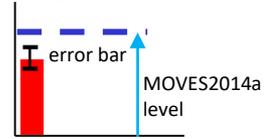


### PM



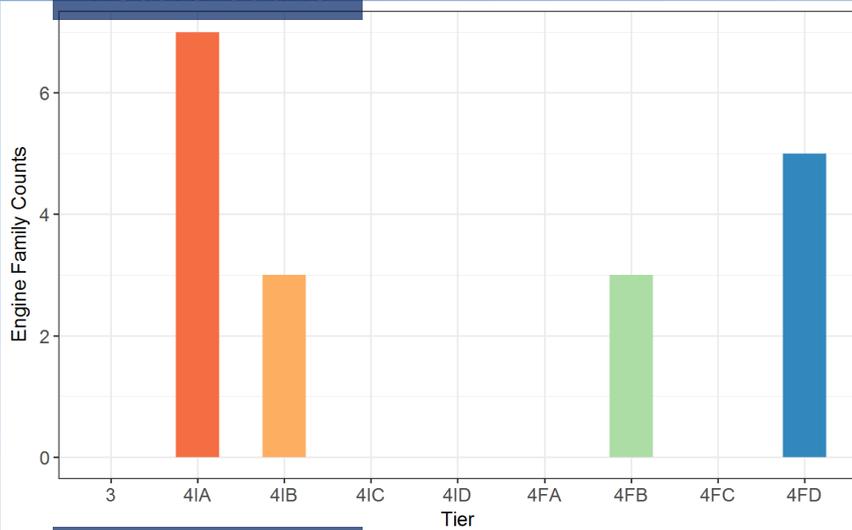
# Recommended Emission Factors

## HP 750 to 1200 - generator

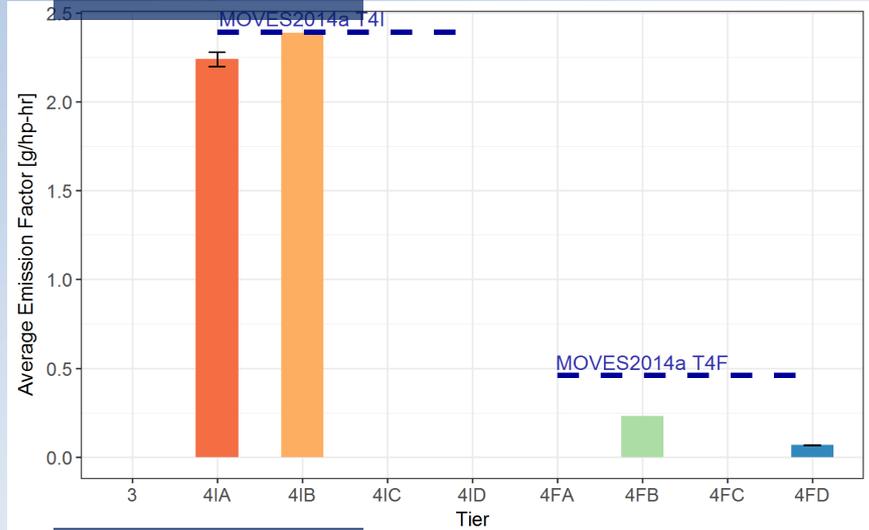


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

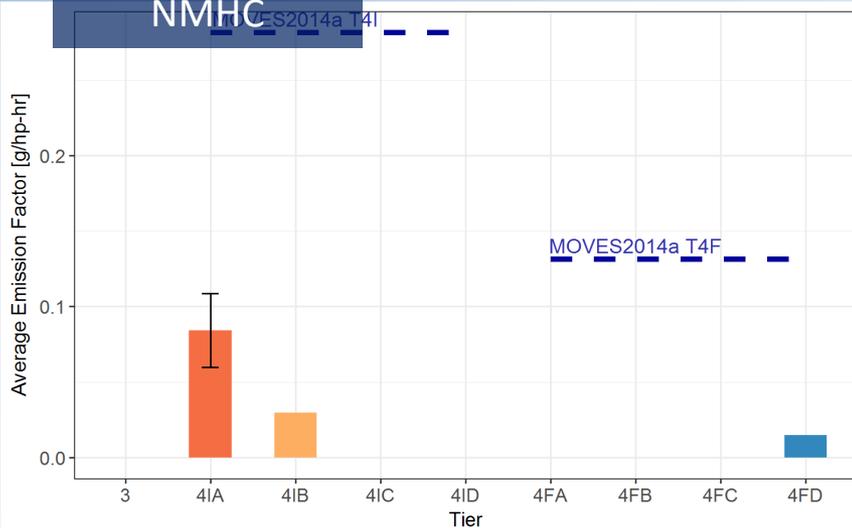
### Record Count



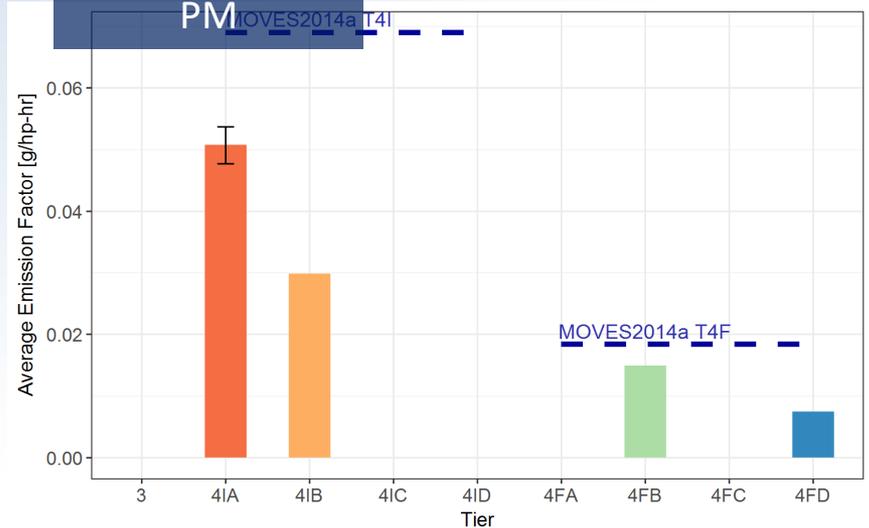
### NOx



### NMHC

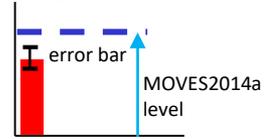


### PM



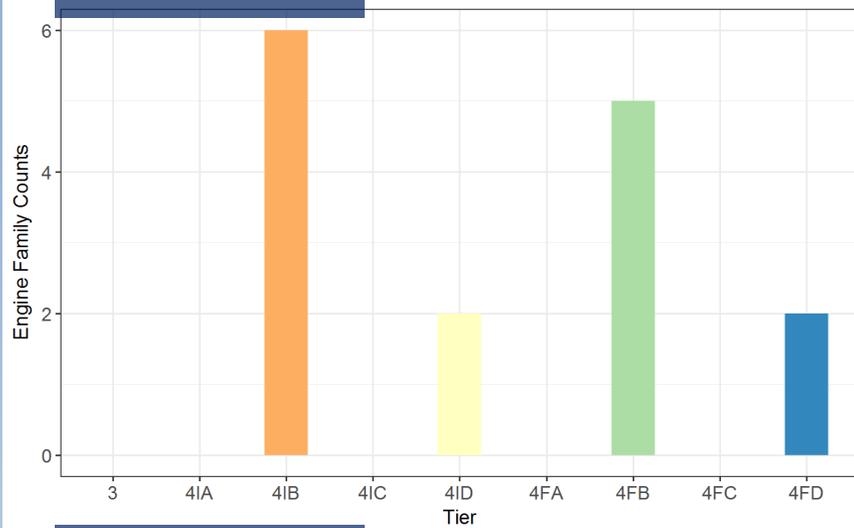
# Recommended Emission Factors

## HP >1200 - generator

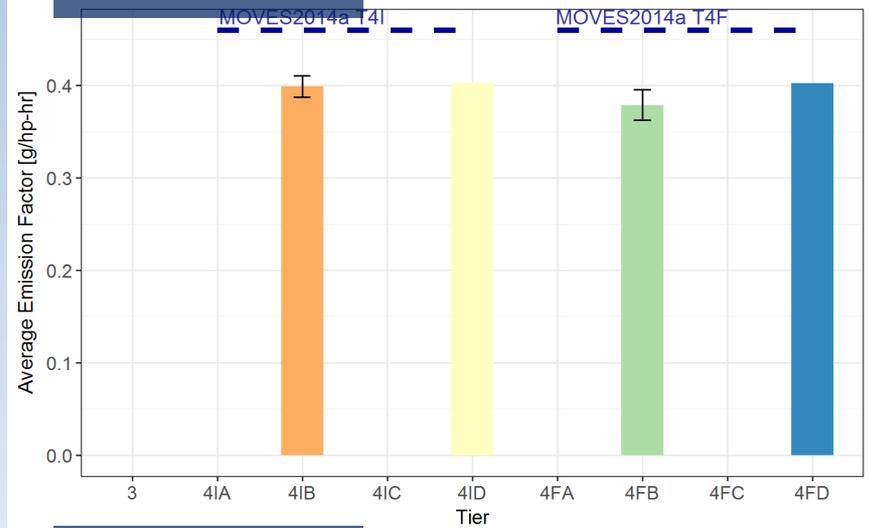


A	No DPF	No SCR
B	No DPF	With SCR
C	With DPF	NO SCR
D	With DPF	With SCR

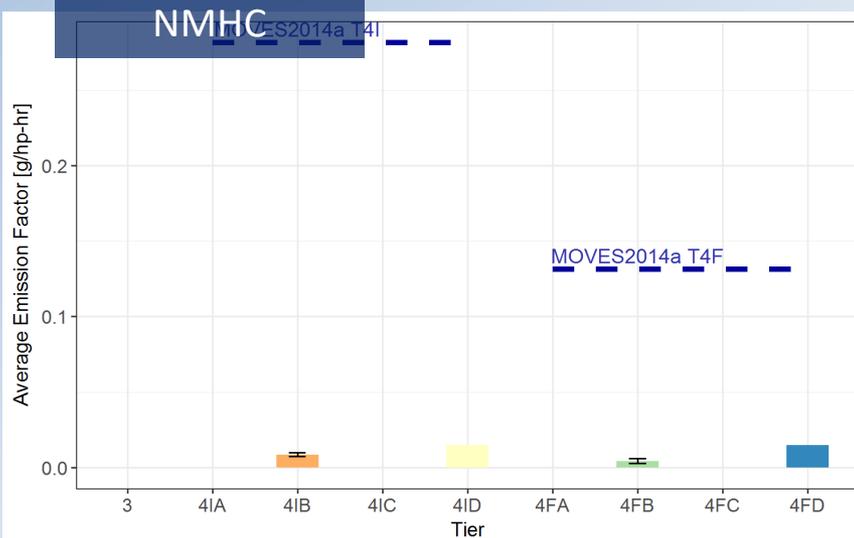
### Record Count



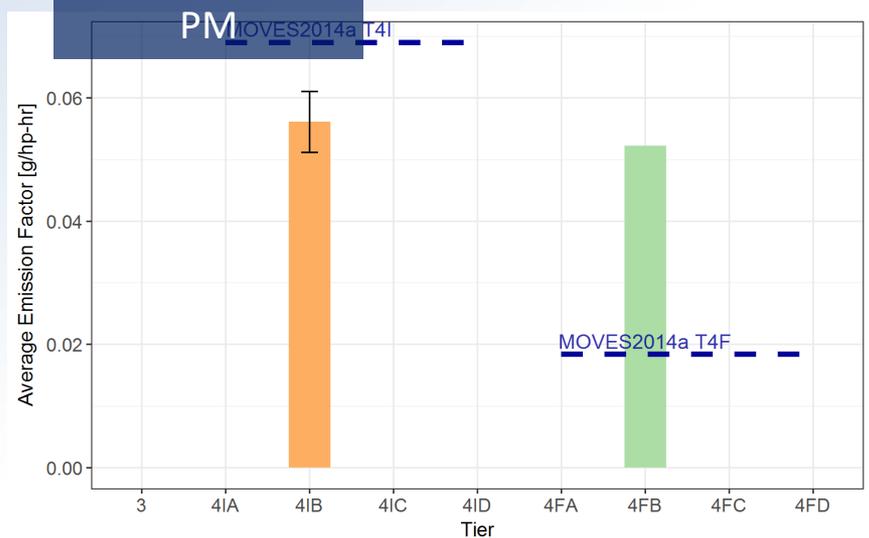
### NOx



### NMHC



### PM



# Recommended Speciation/Toxic Update

- Distinguish Tier-4 engines equipped with different after-treatment technology

Engine Tech Category			Profile for TOG speciation	Toxic Fraction of VOC	PAH emissions factors (as fraction of PM2.5)	Metal emission factors*
<b>A</b>	No DPF	No SCR	Tier 2 nonroad diesel engine	←	Same way as Tiers 2 & 3 using nonroad CI test program data	Same way as pre-Tier 4 using onroad data
<b>B</b>	No DPF	With SCR				
<b>C</b>	With DPF	NO SCR	ACES Phase 1 onroad data	←	←	←
<b>D</b>	With DPF	With SCR	ACES Phase 2 onroad data	←	←	←

\* For Manganese and Nickel; Pollutant specific approaches for other metals



# Summary

- We are proposing updating the Tier 4 nonroad diesel engine classification, population split, emission rates based primarily on EPA certification data sets.
- The effect of different after-treatment configurations will be accounted for in order to enhance modeling detail.
- It will also allow different speciation by after-treatment configurations.



**QUESTIONS?**

