

2018 SmartWay Excellence Award – EPA Criteria

What are the SmartWay Excellence Awards?

The SmartWay Excellence Awards are EPA's means to recognize Partners that have optimized the environmental performance and efficiency of their freight management operations.

The SmartWay Excellence Award program recognizes approximately the top two percent of Partners with superior environmental performance.

- To be eligible for consideration, qualified companies must have timely and accurate submittal of required data in the 2017 reporting cycle for all partner categories (i.e. be partners in good standing). For carriers, EPA must also have received a timely submission of the 2018 Tool.
- EPA makes a full review each year of every Partner's SmartWay Tool submission to assess qualifications for award consideration.
- Semi-finalists in selected partner categories will be contacted to submit an application.
- All Excellence Award finalists will be screened for any adverse environmental actions which may prohibit public recognition for their SmartWay achievements.
- EPA will notify those Partners that are selected as Awardees.

Timeline and Notification

- **January:** The SmartWay Award process and data review commences. EPA identifies candidates using data submitted via the 2017 SmartWay Tool, from all Partner categories.
- **February:** Shipper, logistics and multimodal carrier semi-finalists are identified and invited to submit supplemental information. Other carriers do not submit supplemental information.
- **April 16, 2018:** Submission deadline for shipper, logistics and multimodal carrier semi-finalists supplemental information.
- **June:** Final reviews and adverse environmental actions screening.
- **August:** Awardees notification (no public announcement until fall).
- **October:** Announcement of Award recipients.

Carrier Criteria

For each truck fleet category, EPA identifies finalists who demonstrate top environmental performance. Performance is based on an equal weighting of all environmental metrics results from the SmartWay Carrier Tool (i.e. $\frac{1}{6}$ CO₂ g/ton-mi + $\frac{1}{6}$ NO_x g/ton-mi + $\frac{1}{6}$ PM g/ton-mi + $\frac{1}{6}$ CO₂ g/mi + $\frac{1}{6}$ NO_x g/mi + $\frac{1}{6}$ PM g/mi). Exceptions for multimodal, barge and rail carriers are noted below.

- **Barge:** Barge carriers are assessed based on a 50% CO₂ + 25% NO_x + 25% PM g/ton-mile weighted result.
- **Rail:** Rail carriers are assessed based on a 50% CO₂ + 25% NO_x + 25% PM g/gross ton-mile weighted result.
- **Multimodal:** Multimodal composite fleets are assessed based on their performance. Two sub-categories for multimodal carriers include:
 - 1) truck/rail and 2) truck/rail/air.
 - Package and Less-than-Truckload multimodal carriers: Environmental performance is based on a 50% CO₂ + 25% NO_x + 25% PM g/mile weighted result.

Eligible multimodal carrier Partners will be invited to submit an Award semi-finalist application.

Awards will be given in carrier categories for truck, multimodal, barge and rail. The truck fleet carrier sub-categories are: TL/Dry Van, Tanker, LTL/Dry Van, Specialized, Refrigerated, Package, Moving, Mixed, Heavy/Bulk, Flatbed, Dray, Expedited, and Auto Carrier.

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- **Large Truck Carriers:** Annual miles traveled are greater than or equal to 1% of the total miles traveled for that category (Multimodal, greater than or equal to five percent and Package Delivery, at 0.1 percent).
- **Small Truck Carriers:** Annual miles traveled are less than 1% of the total miles traveled for that category (Multimodal, less than five percent and Package Delivery at less than 0.1 percent).
- **Large Barge Carriers:** Greater than or equal to 25 million annual ton-miles.
- **Small Barge Carriers:** Fewer than 25 million annual ton-miles.
- **Large Rail Carriers:** Class I railroads.
- **Small Rail Carriers:** Class II and Class III railroads.

Shipper Criteria

About five percent of SmartWay Shipper Partners that demonstrate top environmental performance will be selected as semi-finalists, as described below, and invited to submit supplemental, qualitative documentation which demonstrates leadership efforts important to the Partnership and are in support of strategic SmartWay goals.

- **Semi-Finalist Criteria #1:** Demonstrate that effectively 98 percent of miles or ton-miles shipped are with SmartWay partners, using inputs to the Shipper Tool, based on actual activity data.
- **Semi-Finalist Criteria #2:** Demonstrate top environmental performance based on a weighted average of three environmental metrics results from the SmartWay Shipper Tool (i.e. a 50% CO₂ + 25% NO_x + 25% PM g/ton-mile weighted result).

Awards given in three shipper categories based on relative size of freight operations.

- **Large Shipper:** 500 million or greater annual miles shipped.
- **Medium Shipper:** Between 25 million and 500 million annual miles shipped.
- **Small Shipper:** 25 million or fewer annual miles shipped.

Logistics Criteria

About five percent of SmartWay Logistics Partners that demonstrate top environmental performance will be selected as semi-finalists, as described below, and invited to submit supplemental, qualitative documentation which demonstrates leadership efforts important to the Partnership and are in support of strategic SmartWay goals.

- **Semi-Finalist Criteria #1:** Demonstrate that effectively 80 percent of miles or ton-miles shipped are with SmartWay carrier partners.
- **Semi-Finalist Criteria #2:** Demonstrate top environmental performance based on an equal weighting of all environmental metrics results from the SmartWay Logistics Tool (i.e. 1/6 CO₂ g/ton-mi + 1/6 NO_x g/ton-mi + 1/6 PM g/ton-mi + 1/6 CO₂ g/mi + 1/6 NO_x g/mi + 1/6 PM g/mi).

Awards given in three logistics categories based on size of freight operations.

- **Large Logistics:** 200 million or greater annual miles shipped.
- **Medium Logistics:** Between 10 million and 200 million annual miles shipped.
- **Small Logistics:** 10 million or fewer annual miles shipped.

Semi-Finalist Evaluation Criteria

Shipper, logistics and multimodal carrier semi-finalists may address leadership considerations important to SmartWay strategic goals, such as effective collaboration, advanced technology and operational practices, a robust system for validating and reporting their SmartWay data, communications and public outreach. This is a competitive process and submittal of the semi-finalist application does not assure award selection.

Applications will be judged on a point system that evaluates the:

- Clarity and completeness of application responses.
- Quality and substance of summaries and descriptions.
- Evidence, proof or documentation which substantiates submissions.
- Actual achievements and accomplishments, as well as activities, tasks and efforts.