

January 16, 2018

Data Quality Record for Strategic Measures

Strategic Measure Text: By September 30, 2022, increase the use of alternative shared governance approaches to address state, tribal, and local community reviews

Goal Number/Objective: Goal 2: Cooperative Federalism: Objective 2.1: Enhance Shared Accountability

NPM Lead: Office of the Administrator (AO)/Office of Congressional and Intergovernmental Relations (OCIR)

1a. Purpose of Strategic Measure:

Seeking new and innovative means to work with states and tribes on shared governance processes. Currently, there is no comprehensive system designed to evaluate state and local implementation of federal environmental programs. This results in the inability to determine the effectiveness and value of oversight activities.

1b. Performance Measure Term Definitions:

- Shared governance: The concept where management or implementation of federal environmental programs is shared with state, tribal, or local governments. This shared intergovernmental program implementation responsibility includes the majority of delegable authorities under federal law, where state and tribal co-regulators have delegation, authorization, or primacy of EPA programs. At its core, shared governance is defined by early and meaningful engagement with states and tribes. This concept is demonstrated through existing partnerships, such as the National Environmental Performance Partnership System (NEPPS) and activities undertaken as part of E- Enterprise for the Environment.
- Alternative shared governance approach: An "alternative shared governance approach" is any change to a current EPA oversight or review process identified through lean process improvement, made to reflect the shared governance concept.
- State, tribal, local community reviews: Any activity where EPA reviews or oversees state, tribal, or local government programs or actions (e.g., grant oversight, permit review, water quality standards, etc).

1c. Unit of Measure: Percentage: EPA will establish a baseline number of shared governance approaches through the lean management system. EPA will then measure the number of changed approaches, and report this number as a percentage of the total.

2a. Data Source:

- Relevant information system: N/A
- Entity that reports data to the system: Regions
- Frequency of reporting primary data: TBD
- Reference to Quality Assurance Project Plan: N/A

2b. Data needed for interpretation of (calculated) Performance Results:

- Baseline data: Baseline data will come from the Lean/Oversight project headed by OCIR; expected mid-January
- Frequency of reporting result: TBD
- Universe for full scope of measure: TBD

3. Methodology:

EPA is undertaking an analysis of the areas where the Agency has an oversight role - both discretionary and non-discretionary - of environmental programs delegated to states and tribes. Once completed, this analysis

will serve as the "baseline" for the cmTent shared governance approaches. EPA will use Lean management system tools to identify areas where EPA and states and tribes can streamline current oversight activities. OCIR will work with Regions, states, and tribes to analyze the data received through the Lean/Oversight project to identify a subset of oversight areas to target for review.

4. Data Limitations/Qualifications:

- Finding a mutually-agreed upon "alternative shared governance approach" may require several iterations of trial-and-error between the Regions and states.
- Geographic and Regional differences may make it challenging to come up with a standard, national approach for the various programs.
- A methodology for tracking discussions about changes to shared governance processes, and resulting changes, needs to be established.

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6. Certification Statement/Signature

I certify the information in this DQR is complete and accurate.

DAA Signature Robin R. Jha 12/11/2007