August 17, 2012

VIA ELECTRONIC SUBMISSION AND MAIL

Jeanine Townsend
Clerk to the Board
State Water Resources Control Board
P.O. Box 100
Sacramento, California 95812

RE: Bay-Delta Workshop 1 – Ecosystem Changes and the Low Salinity Zone

Dear Ms. Townsend:

EPA is providing comments in response to the State Water Resources Control Board’s (“Board’s”) notice dated June 22, 2012, in which the Board presented the schedule for a series of workshops on particular topics associated with its review and potential revision of the 2006 Water Quality Control Plan for the San Francisco Bay/Sacramento-San Joaquin Delta Estuary (Bay-Delta Plan). This letter addresses the topics to be discussed in the first workshop, Ecosystem Changes and the Low Salinity Zone (LSZ), and responds to the questions the Board posed in the June 22, 2012 notice. We also include some preliminary recommendations for consideration as the Board undertakes modification of the Bay-Delta Plan. Our comments build on previous EPA input on the Board’s review of the Bay-Delta Plan (see letters of April 24, 2012; February 9, 2012; and March 19, 2009).¹

What additional scientific and technical information should the State Water Board consider to inform potential changes to the Bay-Delta Plan relating to ecosystem changes and the low salinity zone that was not addressed in the 2009 Staff Report and the 2010 Delta Flow Criteria Report?

A considerable amount of relevant technical work has been completed since the Board released the 2009 Periodic Review and the 2010 Flows Report. This work is focused on explaining the Pelagic Organism Decline (POD), describing the historical ecology of the Delta, improving physical models of the Bay Delta, and/or improving equations that estimate the impact of aquatic habitat variables on abundance of aquatic life. There have also been three peer reviews by a National Research Council committee evaluating the scientific foundation for actions intended to protect the ecological integrity of the Delta and provide for a sustainable water supply.² We

¹ Available at www.epa.gov/sfbaydelta/activities
assembled an annotated bibliography of relevant technical studies that have been published in peer reviewed scientific literature or reports produced for natural resource agencies since 2010. This information is contained in Attachment A of this letter.

Below, we briefly describe several conclusions that emerge from recent work and build upon the existing technical work and monitoring from the last several decades in the Bay-Delta.

1. X2 is a valid foundation for water quality standards that protect aquatic life.

Recent technical studies and reports support using the salinity gradient and its indicator measurement (X2) for water quality standards that protect aquatic life in the estuary. \(^3\) Despite changes to the composition of the aquatic community, the X2 relationships remain significant. We recognize that biological, physical, and/or chemical mechanisms that produce the correlations between X2 and abundance of pelagic organisms are not fully understood and that recent life-cycle modeling\(^4\) suggests food limitation may be a stronger determinant of abundance than flow, as estimated by X2, for at least one of the pelagic species in the Delta. At the same time, it is worth noting that food availability is determined, in part, by flow. The diversity of organisms responding to annual changes in X2 suggests that multiple functions of freshwater flow are responsible for producing the X2-abundance statistical relationships. Freshwater flow variables that have the tightest relationship to abundance may be different for each species, life stage and season. The National Research Council recognized this and concluded that although the mechanisms producing the X2-abundance relationships are hypothetical, the statistical relationships reported in many studies and papers show that the abundance of a number of species at different trophic levels is higher when X2 is lower (further downstream).

2. Historically and recently, the LSZ was commonly located west of Chips Island (X2 less than 75 km).

An improved understanding of the historical ecology and salinity gradients in the Delta supports the primary assumptions behind X2-based water quality standards. The X2 approach is based on the assumption that protecting aquatic life is optimized by attempting to mimic the natural hydrograph. Recent work describing the historical ecology of the Delta


suggests that the Delta may have been a freshwater system protected from salt water intrusion by a large area of wetlands, a complex drainage network with high drainage density, and freshwater lakes. Historical ecology of the Sacramento-San Joaquin River Delta (Delta) shows that salinity distributions in relation to flow were very different before the deepening of channels in the western Delta, as shown by Robin Grossinger in his presentation at a 2012 EPA workshop. Large shallow sandbars blocked the upstream movement of salt water, maintaining the freshwater character of the Delta east of Chipps Island almost regardless of changes in flow. This suggests that X2 was rarely greater than 75 km prior to modifications that simplified channels and eliminated water-retaining habitats like wetlands and floodplains. As recently as the 1920s, salinity records indicate that Suisun Bay and Marsh were fresh for most months in most years.

3. \textbf{X2 values between 60 and 74 km are protective of LSZ habitat and X2 values greater than 80 km are not protective of LSZ habitat.}

Modeling advances provide three-dimensional estimates of the location and size of the low salinity zone. When the concept of X2 as a regulatory parameter was developed in 1992, modeling the salinity distribution of the estuary (the LSZ) was one-dimensional. The LSZ was represented by a line (a one-dimensional graphic) on a map showing where the isohaline of 2 practical salinity units was located at a specific distance (a one-dimensional measure) from the Golden Gate Bridge. Today, three-dimensional models of the low salinity zone are available that allow us to estimate LSZ area (two-dimensional measure), volume (three-dimensional measure) and connectivity to adjacent habitats. A simple illustration of information gained through a new three-dimensional model (UnTRIM) of the LSZ is reflected in the attached ‘flip-book.’ The flip-book shows how the area of the low salinity zone expands at different X2 locations and how the area of the LSZ connects with other habitats and physical features of the estuary.

The maps in the attached flip-book and values in Table 1 illustrate that areal quantity and quality of the LSZ are maximized between X2 values of 60 and 74 km and minimized at values of X2 that are greater than 80 km. Table 1 shows that the size of the LSZ is maximized when X2 is 60-74 km. Pages 13-15 of the attached flip-book show that the locations of X2 between 60 and 74 km range from the shallow areas of Suisun Bay and Suisun Marsh to Grizzly and Honker Bays. These areas, especially Suisun Bay shallows and Suisun Marsh, are characterized by important habitat elements such as turbidity and food. Recent documents from BDCP, using results of models from Maunder and Deriso for delta smelt suggests that marsh productivity is a vital food resource and that turbidity is a vital aspect of pelagic habitat.

Similarly, we can see that quantity and quality of LSZ habitat are minimized at X2 values greater than 80 km. Pages 18-22 of the flip-book and Table 1 show diminishing LSZ size and access to turbidity and food resources as X2 increases from 80 to 85 km. In this location the LSZ is located in the narrow, armored, channels of the Delta characterized by

5 Workshop materials, as well as summaries prepared by EPA staff and by the workshop facilitator, have been submitted to the Board, and are available online at www.epa.gov/sfbaydelta/activities.

low quality habitat elements (e.g., food and turbidity). The LSZ is completely disconnected from areas characterized by high turbidity and food when X2 is greater than 85 km. Analysis of the historical record shows that X2 values greater than 80 km were rare except during droughts. This information suggests that X2 values greater than 80 km provide little protection of the quantity and quality of the LSZ for aquatic life.

Table 1. Hectares of low salinity habitat for different values of X2. Shaded section is range of X2 data used in the Water Quality Control Plan.

<table>
<thead>
<tr>
<th>X2 (km)</th>
<th>Salinity (PSU)</th>
<th>Total (hectares)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1-2</td>
<td>2-3</td>
</tr>
<tr>
<td>59</td>
<td>778</td>
<td>466</td>
</tr>
<tr>
<td>60</td>
<td>4736</td>
<td>1353</td>
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<tr>
<td>65</td>
<td>4107</td>
<td>1926</td>
</tr>
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<td>74</td>
<td>2227</td>
<td>2924</td>
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<tr>
<td>81</td>
<td>1337</td>
<td>828</td>
</tr>
<tr>
<td>85</td>
<td>1587</td>
<td>820</td>
</tr>
<tr>
<td>90</td>
<td>1150</td>
<td>1008</td>
</tr>
</tbody>
</table>

*X2 are daily averages generated from an 18-month simulation from April 1994 to September 1995. Days were selected to provide representative positions for a given X2.

Identifying the habitat elements that have the greatest effect on LSZ species abundance at values of X2 between 60 and 74 km may be informative for improving water management in the estuary. The difference in water cost associated with these X2 values is considerable. Therefore, it would be useful to determine which habitat variable has the greatest impact on abundance when LSZ habitat conditions are favorable. For example, the freshest part of the LSZ (salinity of 1-2 PSU) is maximized when X2 is 60-65 km, however total area of the LSZ is maximized when X2 is equal to 74 km. Results from ongoing analyses focused on identifying winter through spring flow levels that produce population growth are likely to emerge during the Phase II update to the Bay-Delta Plan. This kind of information will improve our understanding of population responses to different LSZ habitat elements such as gravitational circulation, turbidity, and food availability and subsequently help regulators and planners optimize aquatic life protection.

4. **Invasive species can be managed by flow and salinity**

The Bay-Delta has been identified as the most invaded ecosystem in North America. Invasive species work completed in 1995 showed some invasions occur with extreme salinity events (e.g., Suisun Bay annual mean salinity of 5 ppt) and identified substantial negative ecosystem and economic impacts from these invasions. New analysis shows that since the late 1970s increasing water exports, particularly during drought conditions, produced waves of invertebrate invasions that have profoundly changed the ecological communities of Suisun Bay.

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Drought conditions and increasing exports are associated with higher average $X^2$ values and reduced $X^2$ variability between years. Winder et al (2011)\textsuperscript{7} conclude that increased water diversion at times of decreased water availability exacerbated the effects of post-1960 droughts by further reducing freshwater inflow. Amplifying outflow reductions during a drought increased drought severity and allowed unusually extreme salinity intrusions and invertebrate invasions. These conditions intensified benthic grazing on native invertebrates allowing invasive invertebrates to colonize. The authors suggest that “estuarine ecosystem management consider synergistic effects of extreme events with habitat perturbation when assessing invasion risks to coastal ecosystems.”

One invasive species that is very likely to invade and become a problem in the near future are Dreissenid mussels, commonly referred to as quagga and zebra mussels. A 2011 report by DWR used water chemistry to predict the future distribution of these mussels in the state.\textsuperscript{9} That report suggests that impacts will be greatest south of the Sacramento River because the calcium levels and pH of Sacramento River water are inadequate to support shell-building by these animals. In San Joaquin River waters, impacts are more likely and should be anticipated now, before the problem becomes critical. Based on experience gained in the invasion of these mussels into other North American waters, dreissenid mussels will affect phytoplankton growth in freshwater in much the same way that the Potamocorbula invasion affected phytoplankton growth in low salinity waters.

5. **LSZ standards should be protective in all months and years**

Results from the first Fall Low Salinity Habitat (FLaSH) studies presented at the 2012 Interagency Ecological Program\textsuperscript{10} described information about the size and location of the LSZ in the fall months and delta smelt responses. The FLaSH studies represent the first synthesis of data collected and analyzed as part of the adaptive management program required by the United States Fish and Wildlife Service (USFWS) Biological Opinion on CVP/SWP operations.

The FLaSH studies compare the size and location of the LSZ in the fall of 2006 and 2011 to delta smelt responses in these years. The comparisons suggest that objectives intended to protect the LSZ and resident Delta fishes should be year-round, not just in certain seasons. The LSZ is protected in the spring by flow objectives in the WQCP. These objectives are intended to protect the spring spawning period for delta smelt and other fishes. However, if habitat conditions are poor in the other seasons, juveniles will not survive to become adults that reproduce.

Results from the FLaSH studies show that delta smelt responses such as growth, survival, fecundity, recruitment and other biological metrics were higher in 2011 when average monthly $X^2$ from September to December was 75 km. Delta smelt responses were lower in 2006 when average $X^2$ from September to December was greater than 80 km. This


\textsuperscript{10} http://www.water.ca.gov/iep/docs/041812agenda_abstracts.pdf
suggests that favorable 2006 spring conditions were not sufficient to protect the delta smelt population throughout the year because delta smelt adults could not survive with the poor LSZ quantity and quality (X2 greater than 85 km) conditions present in the fall of 2006. Similarly, 2011 shows that having higher quality and quantity of LSZ habitat in the fall supported the adult delta smelt population.

What changes to the Bay-Delta Plan should the State Water Board consider to address existing circumstances and changing circumstances such as climate change, invasive species, and BDCP?

1. **Use existing processes to address BDCP.**

The Clean Water Act triennial review process, when combined with a robust, performance driven monitoring program, allows flexibility to address changes in the Delta from climate change, and/or infrastructure changes such as the BDCP. In addition, the Board will revisit SWP and CVP operations when BDCP representatives submit an application for a change in the point of diversion as required by the Porter Cologne Act and the 2009 Delta Reform Act. At this time, new information or changed circumstances can be evaluated for their impact, if any, on beneficial uses and the regulatory framework used to protect them. EPA and the Board have been collaborating on development of the Delta Regional Monitoring Program. Water quality and aquatic life data collected by this program, along with the IEP and other data sources, should be used to inform future decisions that respond to future changes.

2. **Use a range of climate change predictions for hydrology variables in long term analyses of Board actions.**

We recommend that the Board work with DWR and other partners to develop long-term forecasts of water supply, water demand, sea level rise, and flood risk based on the range of anticipated climate change impacts to these hydrology variables. The State of California, especially the Department of Water Resources, has done groundbreaking work evaluating potential likely changes to water resources caused by climate change. Many of the analyses underway for BDCP and updating the WQCP make assumptions about long term precipitation patterns, hydrology, and water demand. These assumptions should reflect the range of current scientific predictions regarding precipitation patterns, hydrology, sea level

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11 85086(c)(2) Delta Reform Act (2) Any order approving a change in the point of diversion of the State Water Project or the federal Central Valley Project from the southern Delta to a point on the Sacramento River shall include appropriate Delta flow criteria and shall be informed by the analysis conducted pursuant to this section. The flow criteria shall be subject to modification over time based on a science-based adaptive management program that integrates scientific and monitoring results, including the contribution of habitat and other conservation measures, into ongoing Delta water management.

rise, and water demand in California rather than merely project historical or current conditions.  

These long-term forecasts should be used to inform Board decisions about updating water quality standards. This is consistent with Delta Reform Act requirements for BDCP which include estimating “[t]he potential effects of climate change, possible sea level rise up to 55 inches, and possible changes in total precipitation and runoff patterns on the conveyance alternatives and habitat restoration activities considered in the [EIR].”

What changes to the Bay-Delta Plan should the State Water Board consider based on the above information?

As the Board develops alternatives for updating Delta water quality standards, EPA offers the following suggestions:

1. Develop and evaluate alternatives for water quality standards based on recommendations in the 2010 Delta Flow Criteria Report and DFG’s “Quantifiable Biological Objectives and Flow Criteria for Aquatic and Terrestrial Species of Concern Dependent on the Delta.” These documents identify unimpaired flows necessary to meet the narrative objectives of halting fish population decline and increasing populations of native species as well as species of commercial and recreational importance. We suggest that the Board use these narrative objectives as selection criteria for new or modified water quality objectives designed to protect aquatic life.

2. Compare percent unimpaired flows (UIF) recommended in the 2010 Delta Flow Criteria Report to percent UIF and fish abundance in the early seventies. This comparison will inform how well the recommended percent UIF would comply with the Board’s anti-degradation policy. Another informative exercise would be to compare percent unimpaired flows in the late-1990’s (a time when abundance of many fish species was improving) to the unimpaired flow recommendations in the Board’s 2010 Flows Report. This comparison should indicate how effective the recommended unimpaired flows may be at achieving the goals of halting population decline and beginning population recovery for many species. These comparisons should be done for all months.

3. It appears the Board is considering using a percentage of UIF approach for modifying estuarine standards. The Board believes the UIF approach more closely reflects variation in the natural hydrograph. UIF avoids wide ranges of unimpaired flows determined by the specific hydrology of a month or season and the coarse division of the hydrograph into five water year types that can lead to step-wise changes in flow requirements. We support the UIF approach but note that a UIF approach is valid only to the extent that the resulting flows protect essential habitat elements such as salinity, temperature, nutrient loads, turbidity, and estuarine hydrodynamics, as well as the composition, abundance and distribution of food.

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13 Climate Change Characterization and Analysis in California Water Resources Planning Studies (DWR, 2010)
14 Cal. Water Code Section Section 85320[b][2][C].
The Board should connect percent unimpaired flows (UIF) to the physical or chemical variables that directly affect beneficial uses and are measurable in the field. For example, salinity or temperature may directly affect the aquatic resource (e.g., fish, invertebrate, algae) and are readily measurable. In some cases, a regulatory parameter, such as the Net Delta Outflow Index, may serve as a surrogate for more detailed analytical tools, but the linkage to measurable field parameters that relate to the protected beneficial use should be explicit and scientifically sound. This is approach equivalent to the ‘functional flows’ approach to identifying flows needed to support aquatic life described by the UC Davis Delta Solutions Group.\(^\text{16}\)

4. EPA recommends the Board anticipate likely invertebrate invasions during drought years by adopting a larger percentage UIF in drought years and developing alternative methods for meeting water quality standards in the event of invasions. EPA recommends the Board protect aquatic resources by mandating immediate adoption of suitable fish screens in the south delta and/or developing alternative methods for meeting water quality standards in the event of invasions, probably by further restricting the number of fish entrained once the mussels impair the present screens. The impacts of dreissenid mussels may require changes in flows that protect estuarine and freshwater habitats, but suitable responses will have to rest upon site specific studies of impacts once they have invaded. Implementation of such studies should be identified as a priority now so that baseline data can be gathered and increased monitoring efforts can be ready for immediate response. Dreissenid invasion is likely to be fast and severe, and the scientific and management response will need to be similarly rapid and intense.

5. Consider refining several aspects of the X2 standard. First, work recently done by Dr. Mueller-Solger illustrated that the calculation used by the export project operators to interpolate X2 for purposes of compliance contained a bias that tended to underestimate the effect of flow on X2.\(^\text{17}\) The amount of water involved in the bias appears to be small, but the ability to compare field data with operational and modeling outcomes was identified. This analysis should be reviewed to see if changes in the implementation of the X2 standard are needed.

Second, reevaluate the Roe Island trigger for the X2 standard. The Roe Island trigger was adopted so that the duration of the low salinity zone in Suisun Bay (X2 < 65) was comparable to what it would have been in the early 1970s, a period of relatively beneficial conditions for aquatic resources. The Roe Island X2 standard is ‘triggered’ if X2 moves west of Roe Island (X2 < 65) in the spring months. Evidence submitted by the Bay Institute to the Board in their earlier evidentiary hearings suggested that the Roe Island trigger has been manipulated through project operations, minimizing the frequency of triggering the standard and months with X2 < 65. This undermines the frequency of the low salinity zone in Suisun Bay (X2 < 65) as well as the duration. The Board should evaluate the continued need or usefulness for the trigger and consider modifications to the Roe Island standard that place


\(^{17}\) Notes on Estimating X2 with DAYFLOW. Produced for EPA’s Technical Workshop on Estuarine Habitat in the Bay Delta Estuary (March 27, 2012) available at www.epa.gov/sfbaydelta/activities. Scroll down and click on Sacramento-San Joaquin Delta Water Quality Standards to reveal the collapsed list identical to the one above.
the LSZ in Suisun Bay (X2 < 65) at a frequency and duration that are comparable to periods of time that were (relatively) beneficial for aquatic life.

Third, reconsider the current fixed February 1 start date for the spring X2 measures consistent with recommendations in the Board’s 2010 Delta Flow Criteria Report and CDFG’s “Quantifiable Biological Objectives and Flow Criteria for Aquatic and Terrestrial Species of Concern Dependent on the Delta.” Intensive studies of both salmon migration downstream and smelt migration upstream highlight the influence of first flush conditions that change salinity, turbidity and flow conditions in the estuary. The Board should evaluate either: (a) stating the start of the protective period in terms of some real-time measure of ‘first flush,’ or (b) stating the start of the protective period as a fixed date, but making that fixed date earlier than February 1 so that it is more likely to reflect the arrival of the first flush.

6. Monitoring requirements that support evaluating effectiveness of water quality standards need to be included in modifications to the WQCP and orders that implement WQCP. Identifying performance measures for protected uses and numeric goals are important metrics needed to assess the effectiveness of standards. One example of an analytical framework linking each requirement (e.g., water quality objective) to a measurable ecosystem response and how the ecosystem response indicates progress toward a Basin Plan goal is in the draft Framework for a Unified Monitoring, Assessment and Reporting Program (UMARP) for the Bay-Delta 2010 Report.18 This framework provides an example of how to link requirements (e.g., water quality objectives) to measurable ecosystem responses and then evaluate the ecosystem response for progress toward Basin Plan goals. The Delta Regional Monitoring program should be built and used to synthesize and publicize monitoring data collected pursuant to the Bay-Delta and Sacramento-San Joaquin Basin WQCPs and their implementing orders.

Thank you for this opportunity to provide written comments. EPA looks forward to the upcoming workshops. If you have any questions about our comments or about the material attached, please contact me at (415) 972-3472.

Very truly yours,

Original signed by

Karen Schwinn
Associate Director
Water Division

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