# EPA 2020 COVID-19 WATER SECTOR SURVEY

**Appendix D: Data Tables for Wastewater Treatment Facilities** 

June 2021



810-R-21-001

#### Notes on Appendix D

Appendices C-G present tables with detailed findings from the EPA 2020 COVID-19 Water Sector Survey. This appendix, Appendix D, presents findings specifically for wastewater treatment facilities. Each table includes findings for subgroups based on size (population served).

The order of presentation in this appendix follows the order of questions in the survey (see Appendix B). The title of each table generally includes the applicable survey question number and the text of the question itself (or a close paraphrase). EPA used financial data from Part D of the survey to generate some derived measures. The tables presenting these measures are labeled as "calculated" rather than labelled with a question number.

Some questions asked survey participants specifically about experiences from January 2020 to the present day, or from present day to December 2020. For these questions, "January to the Present" or "Present to December" is listed above each table to show the time period covered by the table.

In most cases, findings are presented as point estimates and 95 percent confidence intervals. For an explanation of confidence intervals, see the discussion of methodology in Appendix A.

Several questions asked respondents to provide responses on a scale. For example, questions B1.1.1 and B2.1.1 asked respondents to rank the severity of their treatment chemical shortages as "no impact," "slight impact," "moderate impact," or "severe impact." EPA calculated a mean score for each such question by assigning numeric values to the responses (for example, 0 for no impact, 1 for slight impact, 2 for moderate impact, and 3 for severe impact) and averaging the results.

Each table lists the number of observations, which is the number of responses received from survey participants to a given question or response option. The quantitative findings presented in the tables are weighted to provide national estimates, using weightings calculated and assigned to each utility type and size subgroup. For more information on the weightings, see the discussion of methodology in Appendix A.

Blank cells indicate that no applicable responses were received. "N/A" indicates that a confidence interval cannot be calculated because there was no variance in responses.

Table D.1. Question B1.1: What kinds of shortages or supply chain disruptions did your organization experience from January 2020 through present day?

#### January to the Present

Supply	Per	Percentage Reporting by Population Served				
Chain Issue	< 10,000	10,000 - 99,999	100,000 or more	All		
Treatment Chemicals	2.3%	5.9%	10.2%	3.2%		
	1.1% - 5.0%	3.7% - 9.4%	5.6% - 18.0%	1.9% - 5.1%		
PPE	43.3%	65.8%	75.5%	47.9%		
	37.3% - 49.5%	59.6% - 71.5%	65.4% - 83.4%	42.9% - 53.1%		
Durable Goods and Other	11.8%	18.7%	20.8%	13.2%		
Equipment and Supplies	8.4% - 16.4%	14.5% - 23.8%	14.1% - 29.5%	10.2% - 16.9%		
None	53.5%	29.6%	23.7%	48.8%		
	47.3% - 59.6%	24.3% - 35.6%	15.9% - 33.7%	43.7% - 53.9%		
Observations	276	242	95	613		

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		F	Percentage Reporting	by Population Serve	d
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Carbon	Mean score (0 to 3)	0.008	0.008	0.000	0.008
dioxide		-0.003 - 0.020	-0.007 - 0.022	0.000 - 0.000	-0.002 - 0.018
	No impact (0)	99.2%	99.6%	100.0%	99.3%
		96.8% - 99.8%	97.6% - 99.9%	N/A - N/A	97.4% - 99.8%
	Slight (1)	0.8%	0.0%	0.0%	0.7%
		0.2% - 3.2%	N/A - N/A	N/A - N/A	0.2% - 2.6%
	Moderate (2)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.4%	N/A - N/A	0.0% - 0.4%
	Severe (3)				
	Observations	276	242	95	613
Ferric	Mean score (0 to 3)	0.010	0.008	0.049	0.011
chloride		-0.002 - 0.022	-0.002 - 0.018	0.005 - 0.093	0.001 - 0.021
	No impact (0)	99.1%	99.2%	96.7%	99.0%
		96.8% - 99.7%	97.2% - 99.8%	92.4% - 98.6%	97.4% - 99.6%
	Slight (1)	0.8%	0.8%	1.6%	0.9%
		0.2% - 3.2%	0.2% - 2.8%	0.5% - 5.3%	0.3% - 2.6%
	Moderate (2)	0.1%	0.0%	1.6%	0.1%
		0.0% - 0.5%	N/A - N/A	0.5% - 5.3%	0.0% - 0.4%
	Severe (3)				
	Observations	276	242	95	613

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		F	Percentage Reporting	by Population Serve	d
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Fluoride	Mean score (0 to 3)	0.004	0.008	0.000	0.005
		-0.004 - 0.012	-0.007 - 0.022	0.000 - 0.000	-0.002 - 0.012
	No impact (0)	99.6%	99.6%	100.0%	99.6%
		97.1% - 99.9%	97.6% - 99.9%	N/A - N/A	97.9% - 99.9%
	Slight (1)	0.4%	0.0%	0.0%	0.3%
		0.1% - 2.9%	N/A - N/A	N/A - N/A	0.0% - 2.3%
	Moderate (2)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.4%	N/A - N/A	0.0% - 0.4%
	Severe (3)				
	Observations	276	242	95	613
Gaseous	Mean score (0 to 3)	0.005	0.008	0.000	0.005
chlorine		-0.003 - 0.013	-0.002 - 0.018	0.000 - 0.000	-0.002 - 0.012
	No impact (0)	99.5%	99.2%	100.0%	99.5%
		97.4% - 99.9%	97.2% - 99.8%	N/A - N/A	98.1% - 99.9%
	Slight (1)	0.5%	0.8%	0.0%	0.5%
		0.1% - 2.6%	0.2% - 2.8%	N/A - N/A	0.1% - 1.9%
	Moderate (2)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Severe (3)				
	Observations	276	242	95	613

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		F	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Liquid	Mean score (0 to 3)	0.006	0.000	0.000	0.005		
oxygen		-0.003 - 0.016	0.000 - 0.000	0.000 - 0.000	-0.002 - 0.013		
	No impact (0)	99.5%	100.0%	100.0%	99.6%		
		97.4% - 99.9%	N/A - N/A	N/A - N/A	97.9% - 99.9%		
	Slight (1)	0.4%	0.0%	0.0%	0.3%		
		0.1% - 2.9%	N/A - N/A	N/A - N/A	0.0% - 2.3%		
	Moderate (2)	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Severe (3)	0.1%	0.0%	0.0%	0.1%		
		0.0% - 0.5%	N/A - N/A	N/A - N/A	0.0% - 0.4%		
	Observations	276	242	95	613		
Lime	Mean score (0 to 3)	0.006	0.000	0.000	0.005		
		-0.002 - 0.015	0.000 - 0.000	0.000 - 0.000	-0.002 - 0.012		
	No impact (0)	99.4%	100.0%	100.0%	99.5%		
		97.6% - 99.9%	N/A - N/A	N/A - N/A	98.0% - 99.9%		
	Slight (1)	0.5%	0.0%	0.0%	0.4%		
		0.1% - 2.6%	N/A - N/A	N/A - N/A	0.1% - 2.1%		
	Moderate (2)	0.1%	0.0%	0.0%	0.1%		
		0.0% - 0.5%	N/A - N/A	N/A - N/A	0.0% - 0.4%		
	Severe (3)						
	Observations	276	242	95	613		

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		F	Percentage Reporting	by Population Serve	d
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Orthophosphate	Mean score (0 to 3)	0.004	0.008	0.000	0.005
		-0.004 - 0.012	-0.002 - 0.018	0.000 - 0.000	-0.002 - 0.011
	No impact (0)	99.6%	99.2%	100.0%	99.5%
		97.1% - 99.9%	97.2% - 99.8%	N/A - N/A	98.0% - 99.9%
	Slight (1)	0.4%	0.8%	0.0%	0.5%
		0.1% - 2.9%	0.2% - 2.8%	N/A - N/A	0.1% - 2.0%
	Moderate (2)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Severe (3)				
	Observations	276	242	95	613
Polymers	Mean score (0 to 3)	0.005	0.056	0.059	0.015
		-0.003 - 0.013	0.022 - 0.089	0.010 - 0.108	0.006 - 0.023
	No impact (0)	99.5%	96.0%	94.9%	98.8%
		97.4% - 99.9%	93.0% - 97.8%	88.5% - 97.8%	97.7% - 99.4%
	Slight (1)	0.5%	2.4%	4.3%	0.9%
		0.1% - 2.6%	1.1% - 4.9%	1.6% - 10.7%	0.4% - 2.0%
	Moderate (2)	0.0%	1.6%	0.8%	0.3%
		N/A - N/A	0.6% - 3.9%	0.2% - 4.3%	0.1% - 0.6%
	Severe (3)				
	Observations	276	242	95	613

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		F	Percentage Reporting	by Population Serve	d
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Potassium	Mean score (0 to 3)	0.004	0.020	0.000	0.006
permanganate		-0.004 - 0.012	-0.002 - 0.041	0.000 - 0.000	-0.001 - 0.014
	No impact (0)	99.6%	98.8%	100.0%	99.5%
		97.1% - 99.9%	96.6% - 99.6%	N/A - N/A	98.1% - 99.9%
	Slight (1)	0.4%	0.4%	0.0%	0.4%
		0.1% - 2.9%	0.1% - 2.4%	N/A - N/A	0.1% - 2.1%
	Moderate (2)	0.0%	0.8%	0.0%	0.1%
		N/A - N/A	0.2% - 2.8%	N/A - N/A	0.0% - 0.4%
	Severe (3)				
	Observations	276	242	95	613
Sodium	Mean score (0 to 3)	0.006	0.016	0.008	0.008
hydroxide		-0.003 - 0.016	-0.002 - 0.034	-0.006 - 0.022	0.000 - 0.016
	No impact (0)	99.5%	98.8%	99.2%	99.4%
		97.4% - 99.9%	96.6% - 99.6%	95.7% - 99.8%	98.1% - 99.8%
	Slight (1)	0.4%	0.8%	0.8%	0.5%
		0.1% - 2.9%	0.2% - 2.8%	0.2% - 4.3%	0.1% - 1.9%
	Moderate (2)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.4%	N/A - N/A	0.0% - 0.4%
	Severe (3)	0.1%	0.0%	0.0%	0.1%
		0.0% - 0.5%	N/A - N/A	N/A - N/A	0.0% - 0.4%
	Observations	276	242	95	613

Table D.2. Question B1.1.1: How severe were the treatment chemical shortages or supply chain disruptions that you experienced?

#### January to the Present

#### **Estimates and 95 Percent Confidence Intervals**

Supply		F	Percentage Reporting	by Population Serve	d
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Sodium	Mean score (0 to 3)	0.013	0.032	0.051	0.018
hypochlorite		-0.005 - 0.031	0.007 - 0.057	0.008 - 0.094	0.002 - 0.033
	No impact (0)	99.1%	97.6%	94.9%	98.7%
		96.8% - 99.7%	95.1% - 98.9%	88.5% - 97.8%	97.3% - 99.4%
	Slight (1)	0.5%	1.6%	5.1%	0.8%
		0.1% - 2.6%	0.6% - 3.9%	2.2% - 11.5%	0.3% - 2.0%
	Moderate (2)	0.4%	0.8%	0.0%	0.5%
		0.1% - 2.9%	0.2% - 2.8%	N/A - N/A	0.1% - 2.0%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	276	242	95	613

N/A: confidence interval could not be calculated.

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Carbon	Unavailable from	0.0%	100.0%		8.4%	
dioxide	supplier(s)	N/A - N/A	N/A - N/A		8.4% - 8.4%	
	Delayed / backordered	50.0%	0.0%		45.8%	
	from supplier(s)	1.4% - 98.6%	N/A - N/A		1.7% - 97.7%	
	Transportation /	0.0%	0.0%		0.0%	
	distribution difficulties	N/A - N/A	N/A - N/A		N/A - N/A	
	Price	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	2	1		3	
Ferric	Unavailable from	0.0%	0.0%	0.0%	0.0%	
chloride	supplier(s)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Delayed / backordered	45.8%	50.0%	75.0%	49.7%	
	from supplier(s)	1.0% - 98.5%	1.6% - 98.4%	11.5% - 98.6%	3.4% - 96.6%	
	Transportation /	8.4%	50.0%	25.0%	15.6%	
	distribution difficulties	0.9% - 49.3%	1.6% - 98.4%	1.4% - 88.5%	5.0% - 39.3%	
	Price	8.4%	0.0%	0.0%	6.3%	
		0.9% - 49.3%	N/A - N/A	N/A - N/A	0.5% - 45.8%	
	Other	0.0%	0.0%	25.0%	2.9%	
		N/A - N/A	N/A - N/A	1.4% - 88.5%	0.3% - 25.2%	
	Observations	3	2	4	9	

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Fluoride	Unavailable from	0.0%	0.0%		0.0%	
	supplier(s)	N/A - N/A	N/A - N/A		N/A - N/A	
	Delayed / backordered	100.0%	100.0%		100.0%	
	from supplier(s)	N/A - N/A	N/A - N/A		N/A - N/A	
	Transportation /	0.0%	0.0%		0.0%	
	distribution difficulties	N/A - N/A	N/A - N/A		N/A - N/A	
	Price	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	1	1		2	
Gaseous	Unavailable from	0.0%	0.0%		0.0%	
chlorine	supplier(s)	N/A - N/A	N/A - N/A		N/A - N/A	
	Delayed / backordered	100.0%	100.0%		100.0%	
	from supplier(s)	N/A - N/A	N/A - N/A		N/A - N/A	
	Transportation /	15.5%	50.0%		23.6%	
	distribution difficulties	2.0% - 61.8%	2.3% - 97.7%		6.9% - 56.3%	
	Price	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	2	2		4	

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Liquid	Unavailable from	0.0%			0.0%	
oxygen	supplier(s)	N/A - N/A			N/A - N/A	
	Delayed / backordered	84.5%			84.5%	
	from supplier(s)	84.5% - 84.5%			84.5% - 84.5%	
	Transportation /	0.0%			0.0%	
	distribution difficulties	N/A - N/A			N/A - N/A	
	Price	0.0%			0.0%	
		N/A - N/A			N/A - N/A	
	Other	0.0%			0.0%	
		N/A - N/A			N/A - N/A	
	Observations	2			2	
Lime	Unavailable from	13.4%			13.4%	
	supplier(s)	0.8% - 75.1%			0.8% - 75.1%	
	Delayed / backordered	100.0%			100.0%	
	from supplier(s)	N/A - N/A			N/A - N/A	
	Transportation /	13.4%			13.4%	
	distribution difficulties	0.8% - 75.1%			0.8% - 75.1%	
	Price	0.0%			0.0%	
		N/A - N/A			N/A - N/A	
	Other	0.0%			0.0%	
		N/A - N/A			N/A - N/A	
	Observations	3			3	

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Orthophosphate	Unavailable from	0.0%	0.0%		0.0%	
	supplier(s)	N/A - N/A	N/A - N/A		N/A - N/A	
	Delayed / backordered	100.0%	50.0%		86.6%	
	from supplier(s)	N/A - N/A	0.0% - 100.0%		4.3% - 99.9%	
	Transportation /	0.0%	50.0%		13.4%	
	distribution difficulties	N/A - N/A	0.0% - 100.0%		0.1% - 95.7%	
	Price	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	1	2		3	
Polymers	Unavailable from	0.0%	20.0%	0.0%	10.4%	
	supplier(s)	N/A - N/A	4.2% - 58.7%	N/A - N/A	2.3% - 36.0%	
	Delayed / backordered	100.0%	80.0%	48.5%	82.1%	
	from supplier(s)	N/A - N/A	41.3% - 95.8%	11.5% - 87.2%	57.7% - 93.9%	
	Transportation /	0.0%	40.0%	35.3%	25.9%	
	distribution difficulties	N/A - N/A	13.9% - 73.4%	6.2% - 81.7%	11.3% - 49.1%	
	Price	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%	16.2%	2.4%	
		N/A - N/A	N/A - N/A	1.3% - 73.3%	0.3% - 17.2%	
	Observations	2	10	5	17	

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Potassium	Unavailable from	0.0%	66.7%		23.6%	
permanganate	supplier(s)	N/A - N/A	4.9% - 98.7%		5.9% - 60.6%	
	Delayed / backordered	100.0%	33.3%		76.4%	
	from supplier(s)	N/A - N/A	1.3% - 95.1%		39.4% - 94.1%	
	Transportation /	0.0%	33.3%		11.8%	
	distribution difficulties	N/A - N/A	1.3% - 95.1%		0.8% - 68.2%	
	Price	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	1	3		4	
Sodium	Unavailable from	0.0%	0.0%	0.0%	0.0%	
hydroxide	supplier(s)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Delayed / backordered	84.5%	33.3%	0.0%	65.1%	
	from supplier(s)	25.4% - 98.9%	1.0% - 96.2%	N/A - N/A	35.3% - 86.5%	
	Transportation /	0.0%	66.7%	100.0%	24.8%	
	distribution difficulties	N/A - N/A	3.8% - 99.0%	N/A - N/A	5.5% - 65.1%	
	Price	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	2	3	1	6	

Table D.3. Question B1.1.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Sodium	Unavailable from	45.8%	16.7%	32.3%	35.5%	
hypochlorite	supplier(s)	1.7% - 97.6%	1.7% - 69.6%	5.7% - 79.2%	4.6% - 86.4%	
	Delayed / backordered	100.0%	50.0%	32.3%	76.3%	
	from supplier(s)	N/A - N/A	14.0% - 86.0%	5.7% - 79.2%	57.0% - 88.7%	
	Transportation /	8.4%	50.0%	67.7%	28.5%	
	distribution difficulties	1.1% - 42.3%	14.0% - 86.0%	20.8% - 94.3%	15.6% - 46.2%	
	Price	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%	16.2%	2.2%	
		N/A - N/A	N/A - N/A	1.4% - 72.7%	0.3% - 15.8%	
	Observations	3	6	5	14	

N/A: confidence interval could not be calculated.

Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply)

Wastewater Treatment Facilities

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Carbon	Found alternative supplier	0.0%	100.0%		8.4%		
dioxide		N/A - N/A	N/A - N/A		3.2% - 20.1%		
	Drew down existing inventory	50.0%	100.0%		54.2%		
		2.9% - 97.1%	N/A - N/A		4.5% - 96.8%		
	Switched chemicals, procedures, or	0.0%	0.0%		0.0%		
	technologies	N/A - N/A	N/A - N/A		N/A - N/A		
	Sought help from other utilities	0.0%	0.0%		0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A		N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%		0.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Observations	2	1	3	6		
Ferric	Found alternative supplier	0.0%	0.0%	0.0%	0.0%		
chloride		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Drew down existing inventory	54.2%	100.0%	75.0%	62.4%		
		5.7% - 95.8%	N/A - N/A	21.8% - 97.0%	12.7% - 95.0%		
	Switched chemicals, procedures, or	0.0%	0.0%	50.0%	5.8%		
	technologies	N/A - N/A	N/A - N/A	11.4% - 88.6%	1.2% - 24.3%		
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	5	6	12	23		

Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		rcentage Reporting	age Reporting by Population Served			
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Fluoride	Found alternative supplier	0.0%	100.0%		15.5%	
		N/A - N/A	N/A - N/A		2.4% - 57.7%	
	Drew down existing inventory	100.0%	100.0%		100.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Switched chemicals, procedures, or	0.0%	0.0%		0.0%	
	technologies	N/A - N/A	N/A - N/A		N/A - N/A	
	Sought help from other utilities	0.0%	0.0%		0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A		N/A - N/A	
	Coordinated with local or state	0.0%		0.0%	0.0%	
	emergency management agency	N/A - N/A		N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	3	1	3	6	
Gaseous	Found alternative supplier	0.0%	0.0%		0.0%	
chlorine		N/A - N/A	N/A - N/A		N/A - N/A	
	Drew down existing inventory	100.0%	100.0%		100.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Switched chemicals, procedures, or	0.0%	0.0%		0.0%	
	technologies	N/A - N/A	N/A - N/A		N/A - N/A	
	Sought help from other utilities	0.0%	0.0%		0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A		N/A - N/A	
	Coordinated with local or state	3.5%	0.0%	0.0%	2.4%	
	emergency management agency	0.5% - 20.7%	N/A - N/A	N/A - N/A	0.3% - 15.5%	
	Other	0.0%	0.0%		0.0%	
		N/A - N/A	N/A - N/A		N/A - N/A	
	Observations	7	12	3	22	

# Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply) <u>Wastewater Treatment Facilities</u>

# January to the Present

Supply		Percentage Reporting by Population					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Liquid	Found alternative supplier	0.0%			0.0%		
oxygen		N/A - N/A			N/A - N/A		
	Drew down existing inventory	84.5%			84.5%		
		37.7% - 98.0%			37.7% - 98.0%		
	Switched chemicals, procedures, or	0.0%			0.0%		
	technologies	N/A - N/A			N/A - N/A		
	Sought help from other utilities	0.0%			0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A			N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%			0.0%		
		N/A - N/A			N/A - N/A		
	Observations	2	1	4	7		
Lime	Found alternative supplier	0.0%			0.0%		
		N/A - N/A			N/A - N/A		
	Drew down existing inventory	100.0%			100.0%		
		N/A - N/A			N/A - N/A		
	Switched chemicals, procedures, or	0.0%			0.0%		
	technologies	N/A - N/A			N/A - N/A		
	Sought help from other utilities	0.0%			0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A			N/A - N/A		
	Coordinated with local or state	5.8%		0.0%	5.3%		
	emergency management agency	0.8% - 31.6%		N/A - N/A	0.7% - 29.9%		
	Other	0.0%			0.0%		
		N/A - N/A			N/A - N/A		
	Observations	4		3	7		

# Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply) Wastewater Treatment Facilities

# January to the Present

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Orthophos-	Found alternative supplier	0.0%	0.0%		0.0%		
phate		N/A - N/A	N/A - N/A		N/A - N/A		
	Drew down existing inventory	100.0%	100.0%		100.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Switched chemicals, procedures, or	0.0%	0.0%		0.0%		
	technologies	N/A - N/A	N/A - N/A		N/A - N/A		
	Sought help from other utilities	0.0%	0.0%		0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A		N/A - N/A		
	Coordinated with local or state	0.0%		0.0%	0.0%		
	emergency management agency	N/A - N/A		N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%		0.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Observations	3	2	3	6		
Polymers	Found alternative supplier	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Drew down existing inventory	100.0%	90.0%	83.8%	92.4%		
		N/A - N/A	52.5% - 98.7%	35.5% - 98.0%	69.9% - 98.5%		
	Switched chemicals, procedures, or	0.0%	0.0%	48.5%	7.1%		
	technologies	N/A - N/A	N/A - N/A	12.9% - 85.7%	2.1% - 21.2%		
	Sought help from other utilities	0.0%	10.0%	0.0%	5.2%		
	or mutual aid network (e.g., WARN)	N/A - N/A	1.3% - 47.5%	N/A - N/A	0.7% - 31.0%		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	14	21	19	54		

Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply)

Wastewater Treatment Facilities

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Potassium	Found alternative supplier	0.0%	33.3%		11.8%		
perman-		N/A - N/A	3.0% - 88.9%		0.9% - 65.3%		
ganate	Drew down existing inventory	100.0%	100.0%		100.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Switched chemicals, procedures, or	0.0%	0.0%		0.0%		
	technologies	N/A - N/A	N/A - N/A		N/A - N/A		
	Sought help from other utilities	0.0%	0.0%		0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A		N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%		0.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Observations	5	3	3	10		
Sodium	Found alternative supplier	0.0%	33.3%	0.0%	10.1%		
hydroxide		N/A - N/A	3.6% - 86.9%	N/A - N/A	1.0% - 56.3%		
	Drew down existing inventory	84.5%	100.0%	100.0%	89.9%		
		24.1% - 98.9%	N/A - N/A	N/A - N/A	43.7% - 99.0%		
	Switched chemicals, procedures, or	0.0%	33.3%	100.0%	14.7%		
	technologies	N/A - N/A	3.6% - 86.9%	N/A - N/A	2.1% - 57.9%		
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	4	7	6	17		

Table D.4. Question B1.1.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Pe	Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All			
Sodium	Found alternative supplier	0.0%	16.7%	16.2%	7.0%			
hypochlorite		N/A - N/A	2.2% - 64.2%	2.0% - 64.7%	1.4% - 29.3%			
	Drew down existing inventory	100.0%	100.0%	83.8%	97.8%			
		N/A - N/A	N/A - N/A	35.3% - 98.0%	84.7% - 99.7%			
	Switched chemicals, procedures, or	0.0%	16.7%	0.0%	4.8%			
	technologies	N/A - N/A	2.2% - 64.2%	N/A - N/A	0.6% - 30.0%			
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%			
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A			
	Coordinated with local or state	1.3%	0.0%	0.0%	1.0%			
	emergency management agency	0.2% - 8.8%	N/A - N/A	N/A - N/A	0.1% - 6.8%			
	Other	45.8%	0.0%	0.0%	26.3%			
		5.3% - 92.7%	N/A - N/A	N/A - N/A	3.9% - 75.9%			
	Observations	17	19	13	49			

N/A: confidence interval could not be calculated.

Table D.5. Question B1.2.1: How severe were the personal protective equipment shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		Per	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
N95 masks	Mean score (0 to 3)	0.467	0.787	1.035	0.536
and/or		0.368 - 0.567	0.676 - 0.898	0.867 - 1.204	0.454 - 0.619
elastomeric	No impact (0)	68.9%	48.6%	30.8%	64.5%
respirators		63.0% - 74.4%	41.8% - 55.5%	21.7% - 41.7%	59.5% - 69.2%
	Slight (1)	19.9%	30.5%	43.5%	22.4%
		15.5% - 25.4%	23.9% - 38.1%	30.6% - 57.4%	18.4% - 26.9%
	Moderate (2)	6.5%	14.4%	16.9%	8.1%
		4.1% - 10.3%	10.7% - 19.2%	11.0% - 25.2%	5.9% - 11.1%
	Severe (3)	4.6%	6.4%	8.7%	5.0%
		2.6% - 7.9%	4.1% - 10.0%	4.4% - 16.5%	3.3% - 7.6%
	Observations	276	239	94	609
Alternative	Mean score (0 to 3)	0.368	0.657	0.702	0.424
mask		0.282 - 0.453	0.558 - 0.755	0.505 - 0.900	0.353 - 0.495
options	No impact (0)	74.7%	52.8%	48.7%	70.4%
(surgical,		69.0% - 79.7%	45.8% - 59.7%	36.0% - 61.6%	65.7% - 74.8%
cloth, etc.)	Slight (1)	14.7%	31.2%	34.2%	17.9%
		10.9% - 19.6%	24.5% - 38.7%	24.5% - 45.4%	14.5% - 22.0%
	Moderate (2)	9.7%	13.6%	15.3%	10.5%
		6.6% - 14.0%	10.0% - 18.3%	9.7% - 23.2%	7.8% - 13.9%
	Severe (3)	0.9%	2.4%	1.8%	1.2%
		0.3% - 3.2%	1.1% - 5.0%	0.3% - 10.5%	0.5% - 2.7%
	Observations	276	239	94	609

Table D.5. Question B1.2.1: How severe were the personal protective equipment shortages or supply chain disruptions that you experienced?

#### January to the Present

Supply		Per	centage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Face shield	Mean score (0 to 3)	0.245	0.371	0.454	0.272
and/or		0.179 - 0.312	0.283 - 0.458	0.306 - 0.602	0.216 - 0.328
protective	No impact (0)	80.4%	73.4%	63.3%	78.8%
eye wear		75.1% - 84.9%	66.8% - 79.0%	51.8% - 73.4%	74.4% - 82.6%
	Slight (1)	15.1%	18.2%	28.0%	16.0%
		11.1% - 20.1%	13.3% - 24.5%	19.7% - 38.2%	12.6% - 20.1%
	Moderate (2)	4.0%	6.4%	8.7%	4.5%
		2.2% - 7.2%	4.1% - 10.0%	4.4% - 16.5%	2.9% - 7.0%
	Severe (3)	0.5%	2.0%	0.0%	0.7%
		0.1% - 2.6%	0.9% - 4.5%	N/A - N/A	0.3% - 1.9%
	Observations	276	239	94	609
Nitrile	Mean score (0 to 3)	0.525	0.775	0.818	0.574
and/or latex		0.426 - 0.625	0.637 - 0.912	0.678 - 0.957	0.490 - 0.658
gloves	No impact (0)	64.0%	47.2%	39.4%	60.6%
		57.9% - 69.7%	40.4% - 54.0%	28.7% - 51.2%	55.5% - 65.4%
	Slight (1)	22.9%	33.6%	42.8%	25.2%
		18.1% - 28.5%	27.2% - 40.7%	29.8% - 56.9%	21.1% - 29.9%
	Moderate (2)	9.6%	13.8%	14.4%	10.4%
		6.5% - 13.9%	10.2% - 18.5%	9.0% - 22.3%	7.7% - 13.8%
	Severe (3)	3.5%	5.4%	3.4%	3.8%
		1.8% - 6.6%	2.3% - 12.2%	1.4% - 7.7%	2.2% - 6.3%
	Observations	276	239	94	609

Table D.5. Question B1.2.1: How severe were the personal protective equipment shortages or supply chain disruptions that you experienced?

#### January to the Present

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Per	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Tyvek suite	Mean score (0 to 3)	0.229	0.182	0.359	0.226
and/or		0.153 - 0.305	0.126 - 0.238	0.231 - 0.487	0.164 - 0.289
disposable	No impact (0)	85.2%	85.0%	71.0%	84.7%
coveralls		80.3% - 89.1%	80.3% - 88.8%	60.5% - 79.6%	80.7% - 88.0%
	Slight (1)	9.0%	12.2%	22.1%	10.0%
		6.0% - 13.3%	8.8% - 16.6%	14.9% - 31.5%	7.4% - 13.3%
	Moderate (2)	3.5%	2.4%	6.9%	3.4%
		1.8% - 6.6%	1.1% - 5.0%	3.4% - 13.5%	2.0% - 5.9%
	Severe (3)	2.3%	0.4%	0.0%	1.9%
		1.1% - 5.0%	0.1% - 2.5%	N/A - N/A	0.9% - 4.1%
	Observations	276	239	94	609
Sanitizing	Mean score (0 to 3)	0.631	1.020	1.164	0.710
wipes,		0.515 - 0.747	0.868 - 1.172	0.985 - 1.344	0.612 - 0.807
sprays for	No impact (0)	63.0%	42.4%	28.3%	58.7%
cleaning		56.9% - 68.8%	35.8% - 49.2%	19.7% - 38.9%	53.6% - 63.5%
work	Slight (1)	17.0%	23.4%	37.3%	18.7%
offices, or		12.9% - 22.1%	18.0% - 29.8%	24.3% - 52.5%	15.1% - 22.9%
gels for	Moderate (2)	13.8%	24.0%	24.0%	15.7%
hand		10.1% - 18.7%	18.6% - 30.4%	16.2% - 33.9%	12.5% - 19.7%
sanitizing	Severe (3)	6.2%	10.2%	10.4%	6.9%
		3.8% - 9.9%	6.2% - 16.4%	5.7% - 18.3%	4.8% - 9.9%
	Observations	276	239	94	609

N/A: confidence interval could not be calculated.

Table D.6. Question B1.2.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
<b>Chain Issue</b>	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
N95 masks	Unavailable from	44.4%	50.2%	55.6%	46.4%	
and/or	supplier(s)	33.7% - 55.6%	39.7% - 60.7%	39.2% - 70.9%	38.3% - 54.8%	
elastomeric	Delayed / backordered	54.5%	63.8%	67.1%	57.4%	
respirators	from supplier(s)	43.3% - 65.3%	53.1% - 73.3%	44.1% - 84.0%	49.0% - 65.5%	
	Transportation /	1.3%	3.9%	7.5%	2.3%	
	distribution difficulties	0.2% - 9.0%	1.7% - 8.9%	3.0% - 17.6%	1.0% - 5.6%	
	Price	4.8%	3.9%	7.5%	4.8%	
		1.8% - 12.0%	1.7% - 8.9%	3.0% - 17.6%	2.3% - 9.5%	
	Other	1.3%	0.8%	1.2%	1.2%	
		0.2% - 9.0%	0.1% - 5.1%	0.2% - 7.1%	0.2% - 5.7%	
	Observations	90	118	62	270	
Alternative	Unavailable from	18.4%	25.8%	38.5%	21.4%	
mask	supplier(s)	10.7% - 29.7%	16.9% - 37.3%	26.5% - 52.1%	15.2% - 29.4%	
options	Delayed / backordered	68.1%	79.7%	76.5%	71.4%	
(surgical,	from supplier(s)	55.6% - 78.4%	67.9% - 87.9%	63.0% - 86.1%	62.4% - 79.0%	
cloth, etc.)	Transportation /	1.7%	5.9%	6.8%	3.0%	
	distribution difficulties	0.2% - 11.0%	2.9% - 11.9%	2.2% - 19.6%	1.3% - 6.8%	
	Price	8.9%	5.9%	6.8%	8.0%	
		3.9% - 18.9%	2.9% - 11.9%	2.2% - 19.6%	4.3% - 14.7%	
	Other	0.0%	0.8%	3.3%	0.4%	
		N/A - N/A	0.1% - 5.5%	0.9% - 11.2%	0.1% - 1.3%	
	Observations	77	107	54	238	

Table D.6. Question B1.2.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pe	rcentage Reporting	by Population Serv	ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Face shield	Unavailable from	14.0%	30.8%	25.6%	18.0%
and/or	supplier(s)	6.8% - 26.8%	18.1% - 47.3%	14.2% - 41.6%	11.3% - 27.4%
protective	Delayed / backordered	79.2%	67.7%	79.4%	76.9%
eye wear	from supplier(s)	65.5% - 88.4%	51.5% - 80.5%	65.3% - 88.8%	66.6% - 84.8%
	Transportation /	2.5%	4.5%	9.6%	3.3%
	distribution difficulties	0.5% - 12.7%	1.5% - 13.1%	3.0% - 26.7%	1.2% - 9.0%
	Price	4.7%	3.0%	5.0%	4.4%
		1.3% - 15.6%	0.8% - 11.2%	0.7% - 26.9%	1.5% - 11.9%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	56	63	39	158
Nitrile	Unavailable from	26.0%	22.7%	22.4%	25.2%
and/or latex	supplier(s)	18.0% - 36.1%	16.1% - 31.0%	12.6% - 36.6%	18.9% - 32.7%
gloves	Delayed / backordered	75.6%	87.8%	90.3%	78.9%
	from supplier(s)	65.6% - 83.4%	81.0% - 92.4%	80.6% - 95.4%	71.3% - 84.9%
	Transportation /	4.7%	3.8%	5.8%	4.5%
	distribution difficulties	1.8% - 11.8%	1.6% - 8.7%	1.8% - 17.5%	2.1% - 9.4%
	Price	6.5%	9.1%	12.7%	7.3%
		2.9% - 13.6%	5.3% - 15.3%	6.0% - 24.9%	4.3% - 12.3%
	Other	2.3%	1.5%	0.0%	2.0%
		0.6% - 8.9%	0.4% - 5.7%	N/A - N/A	0.6% - 6.5%
	Observations	105	121	53	279

Table D.6. Question B1.2.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

# **Estimates and 95 Percent Confidence Intervals**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Tyvek suite	Unavailable from	31.6%	13.4%	26.6%	28.5%		
and/or	supplier(s)	18.7% - 48.2%	5.7% - 28.4%	13.6% - 45.4%	18.1% - 41.9%		
disposable	Delayed / backordered	55.0%	70.6%	79.7%	59.0%		
coveralls	from supplier(s)	38.7% - 70.3%	54.3% - 82.9%	63.3% - 90.0%	45.6% - 71.2%		
	Transportation /	2.8%	5.4%	12.1%	3.8%		
	distribution difficulties	0.4% - 18.0%	1.3% - 19.1%	3.8% - 32.6%	1.1% - 12.2%		
	Price	3.9%	5.4%	2.9%	4.0%		
		0.9% - 15.8%	1.3% - 19.1%	0.4% - 17.1%	1.3% - 12.2%		
	Other	2.8%	2.7%	0.0%	2.6%		
		0.4% - 18.0%	0.4% - 16.8%	N/A - N/A	0.5% - 13.2%		
	Observations	43	39	31	113		
Sanitizing	Unavailable from	39.6%	49.3%	53.5%	42.5%		
wipes,	supplier(s)	30.1% - 49.9%	39.8% - 58.9%	37.3% - 69.0%	35.1% - 50.2%		
sprays for	Delayed / backordered	66.3%	67.6%	69.2%	66.8%		
cleaning	from supplier(s)	56.1% - 75.2%	58.9% - 75.3%	46.0% - 85.6%	59.1% - 73.7%		
work	Transportation /	2.3%	3.5%	7.2%	2.8%		
offices, or	distribution difficulties	0.6% - 8.6%	1.5% - 7.9%	2.9% - 16.9%	1.2% - 6.4%		
gels for	Price	6.8%	6.3%	12.1%	7.0%		
hand		3.1% - 14.3%	3.3% - 11.5%	5.9% - 23.5%	3.9% - 12.1%		
sanitizing	Other	1.1%	0.7%	0.0%	1.0%		
		0.2% - 7.6%	0.1% - 4.5%	N/A - N/A	0.2% - 5.1%		
	Observations	109	134	65	308		

N/A: confidence interval could not be calculated.

Table D.7. Question B1.2.3: How did you address each shortage or supply chain disruption? (select all that apply)

Wastewater Treatment Facilities

Supply		Perc	entage Reporting	by Population Se	erved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
N95 masks	Found alternative supplier	32.1%	45.5%	52.8%	36.5%
and/or		22.6% - 43.4%	35.1% - 56.3%	36.0% - 69.0%	28.9% - 44.8%
elastomeric	Drew down existing inventory	44.4%	59.9%	70.7%	49.6%
respirators		33.7% - 55.6%	49.3% - 69.7%	56.7% - 81.5%	41.3% - 57.9%
	Switched chemicals, procedures, or	0.2%	4.7%	4.9%	1.5%
	technologies	0.0% - 1.6%	2.1% - 9.9%	1.9% - 11.8%	0.9% - 2.8%
	Sought help from other utilities	9.5%	10.5%	16.4%	10.2%
	or mutual aid network (e.g., WARN)	4.6% - 18.6%	6.3% - 17.1%	8.5% - 29.5%	6.2% - 16.3%
	Coordinated with local or state	19.6%	15.9%	38.0%	20.0%
	emergency management agency	12.2% - 30.1%	10.5% - 23.3%	20.7% - 58.9%	14.1% - 27.5%
	Other	11.3%	4.7%	9.9%	9.7%
		5.9% - 20.5%	2.1% - 9.9%	4.6% - 20.3%	5.6% - 16.2%
	Observations	90	118	62	270
Alternative	Found alternative supplier	29.7%	60.2%	52.7%	38.6%
mask			48.9% - 70.5%		30.6% - 47.2%
options	Drew down existing inventory	29.5%	30.5%	48.7%	30.9%
(surgical,		19.5% - 41.9%	21.1% - 42.0%	35.8% - 61.7%	23.2% - 39.8%
cloth, etc.)	Switched chemicals, procedures, or	3.6%	1.7%	3.3%	3.1%
	technologies	1.0% - 12.2%	0.4% - 6.4%	0.9% - 11.2%	1.1% - 8.6%
	Sought help from other utilities	19.0%	21.6%	25.8%	20.0%
	or mutual aid network (e.g., WARN)	11.0% - 30.7%	13.2% - 33.3%	15.2% - 40.2%	13.7% - 28.3%
	Coordinated with local or state	24.1%	27.5%	31.4%	25.4%
	emergency management agency	15.1% - 36.3%	18.4% - 39.0%	20.8% - 44.3%	18.3% - 34.0%
	Other	1.7%	3.4%	4.9%	2.3%
		0.2% - 11.0%	1.3% - 8.6%	1.7% - 13.2%	0.8% - 6.4%
	Observations	77	107	54	238

Table D.7. Question B1.2.3: How did you address each shortage or supply chain disruption? (select all that apply)

Wastewater Treatment Facilities

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Face shield	Found alternative supplier	32.9%	51.1%	44.3%	37.1%		
and/or		21.0% - 47.4%	37.4% - 64.7%	29.4% - 60.2%	27.4% - 47.9%		
protective	Drew down existing inventory	44.2%	41.4%	58.0%	44.4%		
eye wear		30.8% - 58.4%	28.0% - 56.2%	41.9% - 72.6%	33.9% - 55.5%		
	Switched chemicals, procedures, or	2.7%	3.0%	4.6%	2.9%		
	technologies	0.5% - 12.3%	0.8% - 11.2%	1.2% - 15.8%	0.9% - 8.8%		
	Sought help from other utilities	8.7%	1.5%	16.8%	7.8%		
	or mutual aid network (e.g., WARN)	3.3% - 20.9%	0.2% - 9.8%	7.1% - 34.8%	3.5% - 16.6%		
	Coordinated with local or state	11.1%	10.5%	14.1%	11.2%		
	emergency management agency	4.8% - 23.7%	5.1% - 20.7%	5.8% - 30.4%	5.9% - 20.1%		
	Other	0.4%	3.0%	4.6%	1.1%		
		0.1% - 2.6%	0.8% - 11.2%	1.2% - 15.8%	0.5% - 2.8%		
	Observations	56	63	39	158		
Nitrile	Found alternative supplier	34.9%	54.6%	45.1%	39.5%		
and/or latex		25.8% - 45.3%	44.0% - 64.7%	28.0% - 63.3%	32.2% - 47.4%		
gloves	Drew down existing inventory	70.3%	63.3%	77.8%	69.2%		
		60.0% - 78.8%	52.8% - 72.6%	64.5% - 87.2%	61.4% - 76.0%		
	Switched chemicals, procedures, or	0.2%	4.9%	2.8%	1.3%		
	technologies	0.0% - 1.4%	2.3% - 10.0%	0.7% - 9.9%	0.7% - 2.4%		
	Sought help from other utilities	8.2%	7.2%	8.6%	8.0%		
	or mutual aid network (e.g., WARN)	4.0% - 16.2%	2.2% - 20.7%	3.4% - 20.1%	4.5% - 14.0%		
	Coordinated with local or state	2.3%	6.8%	12.5%	3.8%		
	emergency management agency	0.6% - 8.9%	3.6% - 12.5%	6.3% - 23.1%	1.9% - 7.3%		
	Other	1.2%	5.3%	2.8%	2.1%		
		0.2% - 7.8%	2.6% - 10.6%	0.7% - 9.9%	0.9% - 5.0%		
	Observations	105	121	53	279		

Table D.7. Question B1.2.3: How did you address each shortage or supply chain disruption? (select all that apply)

Wastewater Treatment Facilities

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Tyvek suite	Found alternative supplier	17.5%	39.9%	41.1%	22.4%		
and/or		8.1% - 33.6%	25.8% - 56.0%	25.1% - 59.1%	13.8% - 34.2%		
disposable	Drew down existing inventory	50.4%	52.1%	71.1%	52.0%		
coveralls		34.4% - 66.3%	36.5% - 67.3%	54.0% - 83.7%	38.9% - 64.8%		
	Switched chemicals, procedures, or	6.4%	5.4%	5.8%	6.2%		
	technologies	1.8% - 20.1%	1.3% - 19.1%	1.5% - 19.8%	2.2% - 16.2%		
	Sought help from other utilities	8.5%	2.7%	12.1%	7.8%		
	or mutual aid network (e.g., WARN)	2.7% - 23.6%	0.4% - 16.8%	3.8% - 32.6%	3.0% - 19.2%		
	Coordinated with local or state	8.5%	8.0%	5.8%	8.2%		
	emergency management agency	2.7% - 23.6%	2.6% - 22.2%	1.5% - 19.8%	3.2% - 19.4%		
	Other	6.2%	2.7%	5.8%	5.6%		
		1.7% - 20.2%	0.4% - 16.8%	1.5% - 19.8%	1.8% - 16.0%		
	Observations	43	39	31	113		
Sanitizing	Found alternative supplier	47.6%	58.3%	67.5%	51.1%		
wipes,		37.6% - 57.8%	48.2% - 67.8%	52.8% - 79.5%	43.3% - 58.8%		
sprays for	Drew down existing inventory	41.9%	46.9%	66.6%	44.4%		
cleaning		32.3% - 52.2%	37.2% - 56.7%	51.9% - 78.6%	36.9% - 52.2%		
work	Switched chemicals, procedures, or	15.3%	17.0%	23.6%	16.2%		
offices, or	technologies	9.3% - 24.3%	11.7% - 24.2%	14.5% - 36.1%	11.4% - 22.6%		
gels for	Sought help from other utilities	10.7%	7.3%	6.1%	9.7%		
hand	or mutual aid network (e.g., WARN)	5.8% - 18.9%	2.5% - 19.3%	2.2% - 15.7%	5.8% - 15.8%		
sanitizing	Coordinated with local or state	10.4%	9.4%	25.2%	11.1%		
	emergency management agency	5.6% - 18.6%	5.6% - 15.2%	15.2% - 38.8%	7.1% - 16.8%		
	Other	6.8%	5.5%	5.9%	6.5%		
		3.1% - 14.3%	2.9% - 10.3%		3.5% - 11.6%		
	Observations	109	134	65	308		

Table D.8. Question B1.3.1: How severe were the shortages or supply chain disruptions involving durable goods and other critical equipment that you experienced?

# January to the Present

Supply		Pe	rcentage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Filter media	Mean score (0 to 3)	0.010	0.020	0.042	0.013
		-0.006 - 0.026	-0.002 - 0.041	0.004 - 0.079	-0.001 - 0.026
	No impact (0)	99.4%	98.8%	96.7%	99.2%
		97.6% - 99.9%	96.6% - 99.6%	92.4% - 98.6%	98.1% - 99.7%
	Slight (1)	0.2%	0.4%	2.5%	0.3%
		0.0% - 0.6%	0.1% - 2.4%	0.9% - 6.5%	0.1% - 0.6%
	Moderate (2)	0.4%	0.8%	0.8%	0.5%
		0.1% - 2.9%	0.2% - 2.8%	0.2% - 4.4%	0.1% - 1.9%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	275	242	94	611
Granular/	Mean score (0 to 3)	0.022	0.008	0.060	0.021
Powdered		-0.007 - 0.051	-0.007 - 0.022	0.010 - 0.109	-0.003 - 0.045
Activated	No impact (0)	99.0%	99.6%	94.9%	99.0%
Carbon (GAC/		96.8% - 99.7%	97.6% - 99.9%	88.4% - 97.8%	97.4% - 99.6%
PAC)	Slight (1)	0.1%	0.0%	4.3%	0.2%
		0.0% - 0.4%	N/A - N/A	1.6% - 10.8%	0.1% - 0.5%
	Moderate (2)	0.4%	0.4%	0.8%	0.4%
		0.1% - 2.9%	0.1% - 2.4%	0.2% - 4.4%	0.1% - 2.0%
	Severe (3)	0.4%	0.0%	0.0%	0.3%
		0.1% - 2.9%	N/A - N/A	N/A - N/A	0.0% - 2.3%
	Observations	275	242	94	611

Table D.8. Question B1.3.1: How severe were the shortages or supply chain disruptions involving durable goods and other critical equipment that you experienced?

#### January to the Present

Supply		Pe	rcentage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Membrane	Mean score (0 to 3)	0.009	0.024	0.042	0.013
modules		-0.007 - 0.026	-0.003 - 0.051	0.004 - 0.079	-0.001 - 0.027
	No impact (0)	99.5%	98.8%	96.7%	99.3%
		97.4% - 99.9%	96.6% - 99.6%	92.4% - 98.6%	98.1% - 99.7%
	Slight (1)	0.1%	0.4%	2.5%	0.2%
		0.0% - 0.5%	0.1% - 2.4%	0.9% - 6.5%	0.1% - 0.5%
	Moderate (2)	0.4%	0.4%	0.8%	0.4%
		0.1% - 2.9%	0.1% - 2.4%	0.2% - 4.4%	0.1% - 2.0%
	Severe (3)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.4%	N/A - N/A	0.0% - 0.4%
	Observations	275	242	94	611
Pumps	Mean score (0 to 3)	0.093	0.196	0.175	0.112
		0.045 - 0.141	0.134 - 0.258	0.089 - 0.260	0.072 - 0.152
	No impact (0)	94.0%	85.5%	87.5%	92.5%
		90.4% - 96.3%	80.9% - 89.2%	80.7% - 92.2%	89.7% - 94.6%
	Slight (1)	3.1%	10.1%	8.3%	4.4%
		1.5% - 6.1%	7.1% - 14.2%	4.8% - 14.1%	2.9% - 6.6%
	Moderate (2)	2.4%	3.6%	3.3%	2.6%
		1.1% - 5.1%	1.9% - 6.5%	1.4% - 7.6%	1.4% - 4.6%
	Severe (3)	0.5%	0.8%	0.8%	0.6%
		0.1% - 2.6%	0.2% - 2.8%	0.2% - 4.4%	0.2% - 1.9%
	Observations	275	242	94	611

Table D.8. Question B1.3.1: How severe were the shortages or supply chain disruptions involving durable goods and other critical equipment that you experienced?

#### January to the Present

Supply		Pe	rcentage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Motors	Mean score (0 to 3)	0.053	0.127	0.175	0.069
		0.020 - 0.087	0.078 - 0.175	0.085 - 0.264	0.041 - 0.097
	No impact (0)	96.2%	90.1%	88.4%	95.0%
		93.1% - 97.9%	86.1% - 93.1%	81.8% - 92.8%	92.7% - 96.6%
	Slight (1)	2.3%	7.5%	7.5%	3.3%
		1.0% - 5.0%	5.0% - 11.2%	4.2% - 13.1%	2.0% - 5.2%
	Moderate (2)	1.5%	2.0%	2.5%	1.6%
		0.6% - 3.8%	0.9% - 4.4%	0.9% - 6.5%	0.8% - 3.4%
	Severe (3)	0.0%	0.4%	1.7%	0.1%
		N/A - N/A	0.1% - 2.4%	0.5% - 5.4%	0.0% - 0.4%
	Observations	275	242	94	611
Pipes	Mean score (0 to 3)	0.051	0.103	0.125	0.061
		0.019 - 0.082	0.059 - 0.146	0.056 - 0.193	0.035 - 0.088
	No impact (0)	95.9%	91.7%	90.9%	95.1%
		92.7% - 97.8%	87.9% - 94.4%	84.9% - 94.6%	92.6% - 96.8%
	Slight (1)	3.1%	6.7%	5.8%	3.8%
		1.5% - 6.1%	4.3% - 10.2%	3.0% - 10.9%	2.3% - 6.0%
	Moderate (2)	0.9%	1.2%	3.3%	1.0%
		0.2% - 3.2%	0.4% - 3.4%	1.4% - 7.6%	0.4% - 2.6%
	Severe (3)	0.1%	0.4%	0.0%	0.1%
		0.0% - 0.5%	0.1% - 2.4%	N/A - N/A	0.0% - 0.4%
	Observations	275	242	94	611

Table D.8. Question B1.3.1: How severe were the shortages or supply chain disruptions involving durable goods and other critical equipment that you experienced?

#### January to the Present

Supply		Pe	rcentage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Valves	Mean score (0 to 3)	0.063	0.146	0.125	0.078
		0.027 - 0.099	0.093 - 0.200	0.053 - 0.196	0.048 - 0.108
	No impact (0)	95.2%	88.9%	90.9%	94.1%
		91.7% - 97.2%	84.7% - 92.1%	84.9% - 94.6%	91.4% - 95.9%
	Slight (1)	3.4%	8.3%	6.6%	4.3%
		1.8% - 6.6%	5.6% - 12.1%	3.6% - 12.0%	2.8% - 6.7%
	Moderate (2)	1.3%	2.0%	1.7%	1.4%
		0.4% - 3.8%	0.9% - 4.4%	0.5% - 5.4%	0.6% - 3.2%
	Severe (3)	0.1%	0.8%	0.8%	0.2%
		0.0% - 0.5%	0.2% - 2.8%	0.2% - 4.4%	0.1% - 0.5%
	Observations	275	242	94	611
Fuel	Mean score (0 to 3)	0.010	0.028	0.033	0.014
		-0.002 - 0.022	0.009 - 0.047	-0.001 - 0.068	0.004 - 0.023
	No impact (0)	99.0%	97.2%	97.5%	98.7%
		96.8% - 99.7%	94.5% - 98.6%	93.5% - 99.1%	97.2% - 99.4%
	Slight (1)	1.0%	2.8%	1.7%	1.3%
		0.3% - 3.2%	1.4% - 5.5%	0.5% - 5.4%	0.6% - 2.8%
	Moderate (2)	0.0%	0.0%	0.8%	0.0%
		N/A - N/A	N/A - N/A	0.2% - 4.4%	0.0% - 0.2%
	Severe (3)				
	Observations	275	242	94	611

Table D.8. Question B1.3.1: How severe were the shortages or supply chain disruptions involving durable goods and other critical equipment that you experienced?

#### January to the Present

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Pe	rcentage Reporting	by Population Ser	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Vehicles	Mean score (0 to 3)	0.016	0.036	0.050	0.020
		-0.003 - 0.034	0.010 - 0.062	0.005 - 0.094	0.004 - 0.036
	No impact (0)	99.0%	97.2%	96.7%	98.6%
		96.8% - 99.7%	94.5% - 98.6%	92.4% - 98.6%	97.2% - 99.4%
	Slight (1)	0.5%	2.0%	1.7%	0.8%
		0.1% - 2.6%	0.9% - 4.4%	0.5% - 5.4%	0.3% - 1.9%
	Moderate (2)	0.4%	0.8%	1.7%	0.5%
		0.1% - 2.9%	0.2% - 2.8%	0.5% - 5.4%	0.1% - 1.9%
	Severe (3)	0.1%	0.0%	0.0%	0.1%
		0.0% - 0.5%	N/A - N/A	N/A - N/A	0.0% - 0.4%
	Observations	275	242	94	611

N/A: confidence interval could not be calculated.

Table D.9. Question B1.3.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pero	entage Reporting	g by Population Se	rved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Filter media	Unavailable from	13.4%	33.3%	50.0%	23.7%
	supplier(s)	1.7% - 57.5%	2.9% - 89.4%	8.5% - 91.5%	8.3% - 51.8%
	Delayed / backordered	100.0%	33.3%	50.0%	76.3%
	from supplier(s)	N/A - N/A	2.9% - 89.4%	8.5% - 91.5%	48.2% - 91.7%
	Transportation /	86.6%	0.0%	75.0%	63.7%
	distribution difficulties	42.5% - 98.3%	N/A - N/A	16.2% - 97.9%	44.1% - 79.6%
	Price	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	3	3	4	10
Granular/	Unavailable from	44.1%	100.0%	32.3%	45.5%
Powdered	supplier(s)	1.7% - 97.3%	N/A - N/A	5.6% - 79.4%	4.2% - 94.1%
Activated	Delayed / backordered	3.7%	0.0%	32.3%	8.5%
Carbon (GAC/	from supplier(s)	0.5% - 24.3%	N/A - N/A	5.6% - 79.4%	3.5% - 19.2%
PAC)	Transportation /	0.0%	0.0%	83.8%	14.6%
	distribution difficulties	N/A - N/A	N/A - N/A	27.5% - 98.6%	4.9% - 36.4%
	Price	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	4	1	5	10

Table D.9. Question B1.3.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Per	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Membrane	Unavailable from	0.0%	0.0%	25.0%	4.1%		
modules	supplier(s)	N/A - N/A	N/A - N/A	1.9% - 85.4%	0.4% - 28.4%		
	Delayed / backordered	0.0%	33.3%	25.0%	12.9%		
	from supplier(s)	N/A - N/A	2.3% - 91.4%	1.9% - 85.4%	2.2% - 49.0%		
	Transportation /	84.5%	66.7%	25.0%	70.1%		
	distribution difficulties	38.7% - 97.9%	8.6% - 97.7%	1.9% - 85.4%	43.1% - 87.9%		
	Price	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%	25.0%	4.1%		
		N/A - N/A	N/A - N/A	1.9% - 85.4%	0.4% - 28.4%		
	Observations	2	3	4	9		
Pumps	Unavailable from	9.6%	8.2%	0.0%	8.7%		
	supplier(s)	2.0% - 35.5%	2.7% - 22.8%	N/A - N/A	2.8% - 24.1%		
	Delayed / backordered	96.1%	94.5%	86.7%	95.1%		
	from supplier(s)	89.2% - 98.7%	80.4% - 98.6%	59.0% - 96.7%	90.0% - 97.7%		
	Transportation /	9.6%	15.0%	46.7%	13.3%		
	distribution difficulties	2.0% - 35.5%	6.7% - 30.2%	23.8% - 71.0%	6.2% - 26.6%		
	Price	15.4%	2.7%	6.7%	11.1%		
		4.1% - 43.9%	0.4% - 17.3%	0.9% - 35.8%	3.4% - 30.3%		
	Other	1.3%	0.0%	0.0%	0.8%		
		0.2% - 8.5%	N/A - N/A	N/A - N/A	0.1% - 5.7%		
	Observations	20	37	15	72		

Table D.9. Question B1.3.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Motors	Unavailable from	15.2%	12.0%	7.1%	13.6%	
	supplier(s)	3.0% - 50.7%	3.8% - 31.9%	1.0% - 37.8%	4.4% - 34.9%	
	Delayed / backordered	73.7%	88.0%	92.9%	79.6%	
	from supplier(s)	38.4% - 92.7%	68.1% - 96.2%	62.2% - 99.0%	55.8% - 92.4%	
	Transportation /	26.3%	25.9%	42.9%	27.5%	
	distribution difficulties	7.3% - 61.6%	12.5% - 46.2%	20.4% - 68.7%	12.9% - 49.2%	
	Price	2.0%	0.0%	7.1%	1.8%	
		0.3% - 13.0%	N/A - N/A	1.0% - 37.8%	0.4% - 7.7%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	14	26	14	54	
Pipes	Unavailable from	12.3%	9.6%	0.0%	10.8%	
	supplier(s)	2.0% - 49.1%	2.3% - 32.0%	N/A - N/A	2.6% - 34.9%	
	Delayed / backordered	98.1%	85.7%	90.9%	94.3%	
	from supplier(s)	87.8% - 99.7%	63.1% - 95.4%	54.9% - 98.8%	87.2% - 97.6%	
	Transportation /	1.9%	16.5%	36.4%	8.0%	
	distribution difficulties	0.3% - 12.2%	5.9% - 38.3%	14.0% - 66.8%	4.2% - 14.8%	
	Price	10.4%	4.8%	9.1%	8.8%	
		1.3% - 51.2%	0.6% - 28.2%	1.2% - 45.1%	1.7% - 35.6%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	13	22	11	46	

Table D.9. Question B1.3.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Valves	Unavailable from	10.3%	7.2%	9.1%	9.3%	
	supplier(s)	1.7% - 43.2%	1.8% - 25.0%	1.2% - 45.1%	2.5% - 29.4%	
	Delayed / backordered	89.7%	92.8%	100.0%	91.1%	
	from supplier(s)	56.8% - 98.3%	75.0% - 98.2%	N/A - N/A	70.5% - 97.8%	
	Transportation /	10.3%	23.1%	36.4%	15.4%	
	distribution difficulties	1.7% - 43.2%	11.1% - 42.1%	14.0% - 66.8%	6.6% - 32.1%	
	Price	8.7%	0.0%	9.1%	6.2%	
		1.1% - 45.4%	N/A - N/A	1.2% - 45.1%	0.9% - 31.7%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	14	29	11	54	
Fuel	Unavailable from	0.0%	0.0%	0.0%	0.0%	
	supplier(s)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Delayed / backordered	42.3%	42.9%	0.0%	39.7%	
	from supplier(s)	1.9% - 96.5%	12.1% - 80.3%	N/A - N/A	6.1% - 87.1%	
	Transportation /	42.3%	42.9%	66.7%	44.0%	
	distribution difficulties	1.9% - 96.5%	12.1% - 80.3%	8.1% - 97.9%	7.5% - 88.3%	
	Price	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	7.7%	0.0%	0.0%	4.7%	
		1.0% - 41.1%	N/A - N/A	N/A - N/A	0.5% - 30.6%	
	Observations	4	7	3	14	

Table D.9. Question B1.3.2: What contributed to each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

## **Estimates and 95 Percent Confidence Intervals**

Supply		Pero	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Vehicles	Unavailable from	0.0%	14.3%	0.0%	4.6%		
	supplier(s)	N/A - N/A	1.6% - 62.4%	N/A - N/A	0.6% - 28.3%		
	Delayed / backordered	92.3%	71.4%	50.0%	82.0%		
	from supplier(s)	60.9% - 98.9%	29.6% - 93.7%	9.0% - 91.0%	62.8% - 92.5%		
	Transportation /	0.0%	28.6%	0.0%	9.2%		
	distribution difficulties	N/A - N/A	6.3% - 70.4%	N/A - N/A	2.3% - 30.4%		
	Price	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	0.0%	0.0%	25.0%	2.1%		
		N/A - N/A	N/A - N/A	2.3% - 82.8%	0.3% - 14.2%		
	Observations	4	7	4	15		

N/A: confidence interval could not be calculated.

Table D.10. Question B1.3.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Filter media	Found alternative supplier	13.4%	66.7%	25.0%	28.1%	
		1.7% - 57.5%	10.6% - 97.1%	2.1% - 83.8%	11.7% - 53.7%	
	Drew down existing inventory	86.6%	33.3%	75.0%	71.9%	
		42.5% - 98.3%	2.9% - 89.4%	16.2% - 97.9%	46.3% - 88.3%	
	Switched chemicals,	0.0%	0.0%	0.0%	0.0%	
	procedures, or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Sought help from other utilities	13.4%	0.0%	0.0%	8.1%	
	or mutual aid network (e.g., WARN)	1.7% - 57.5%	N/A - N/A	N/A - N/A	0.9% - 45.6%	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%	25.0%	3.7%	
		N/A - N/A	N/A - N/A	2.1% - 83.8%	0.5% - 24.7%	
	Observations	3	3	4	10	
Granular/	Found alternative supplier	0.0%	0.0%	51.5%	9.0%	
Powdered		N/A - N/A	N/A - N/A	12.5% - 88.8%	1.7% - 36.6%	
Activated	Drew down existing inventory	88.2%	100.0%	67.7%	85.4%	
Carbon (GAC/		60.1% - 97.4%	N/A - N/A	20.6% - 94.4%	63.6% - 95.1%	
PAC)	Switched chemicals,	3.7%	0.0%	0.0%	2.8%	
	procedures, or technologies	0.5% - 24.3%	N/A - N/A	N/A - N/A	0.3% - 20.4%	
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	0.0%	32.3%	5.6%	
		N/A - N/A	N/A - N/A	5.6% - 79.4%	1.5% - 19.1%	
	Observations	4	1	5	10	

Table D.10. Question B1.3.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Membrane	Found alternative supplier	84.5%	0.0%	50.0%	56.5%	
modules		38.7% - 97.9%	N/A - N/A	7.7% - 92.3%	46.3% - 66.1%	
	Drew down existing inventory	0.0%	33.3%	50.0%	17.0%	
		N/A - N/A	2.3% - 91.4%	7.7% - 92.3%	4.2% - 48.6%	
	Switched chemicals,	0.0%	0.0%	0.0%	0.0%	
	procedures, or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Sought help from other utilities	0.0%	33.3%	0.0%	8.9%	
	or mutual aid network (e.g., WARN)	N/A - N/A	2.3% - 91.4%	N/A - N/A	0.9% - 50.9%	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	0.0%	66.7%	25.0%	21.8%	
		N/A - N/A	8.6% - 97.7%	1.9% - 85.4%	6.5% - 52.8%	
	Observations	2	3	4	9	
Pumps	Found alternative supplier	35.3%	11.0%	33.3%	27.8%	
		15.1% - 62.5%	4.1% - 26.0%	14.4% - 59.7%	14.4% - 46.9%	
	Drew down existing inventory	29.5%	35.7%	40.0%	32.0%	
		11.5% - 57.3%	21.9% - 52.3%	19.0% - 65.5%	18.2% - 49.9%	
	Switched chemicals,	8.3%	5.5%	0.0%	7.0%	
	procedures, or technologies	1.4% - 36.6%	1.4% - 19.6%	N/A - N/A	1.8% - 24.0%	
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	18.6%	45.1%	46.7%	28.2%	
		6.0% - 44.8%	29.9% - 61.3%	23.8% - 71.0%	16.9% - 43.1%	
	Observations	20	37	15	72	

Table D.10. Question B1.3.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Motors	Found alternative supplier	11.1%	24.1%	28.6%	16.5%	
		1.3% - 53.7%	11.1% - 44.7%	11.0% - 56.5%	6.4% - 36.4%	
	Drew down existing inventory	48.5%	38.0%	50.0%	45.4%	
		19.7% - 78.3%	21.3% - 58.1%	25.6% - 74.4%	25.9% - 66.4%	
	Switched chemicals,	2.0%	4.0%	0.0%	2.5%	
	procedures, or technologies	0.3% - 13.0%	0.5% - 24.3%	N/A - N/A	0.6% - 9.4%	
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	25.2%	43.8%	50.0%	32.9%	
		6.7% - 61.1%	26.0% - 63.3%	25.6% - 74.4%	17.3% - 53.6%	
	Observations	14	26	14	54	
Pipes	Found alternative supplier	33.0%	19.1%	27.3%	29.0%	
		10.9% - 66.6%	7.2% - 41.9%	8.8% - 59.4%	12.7% - 53.4%	
	Drew down existing inventory	53.8%	40.4%	36.4%	49.1%	
		23.9% - 81.2%	21.9% - 62.2%	14.0% - 66.8%	28.1% - 70.5%	
	Switched chemicals,	0.0%	0.0%	9.1%	0.6%	
	procedures, or technologies	N/A - N/A	N/A - N/A	1.2% - 45.1%	0.1% - 3.9%	
	Sought help from other utilities	1.9%	4.8%	0.0%	2.5%	
	or mutual aid network (e.g., WARN)	0.3% - 12.2%	0.6% - 28.2%	N/A - N/A	0.6% - 9.5%	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	23.5%	45.2%	45.5%	30.7%	
		6.3% - 58.4%	25.7% - 66.4%	19.8% - 73.8%	15.2% - 52.3%	
	Observations	13	22	11	46	

Table D.10. Question B1.3.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Valves	Found alternative supplier	45.3%	25.1%	27.3%	38.4%	
		19.8% - 73.5%	12.3% - 44.4%	8.8% - 59.4%	21.0% - 59.5%	
	Drew down existing inventory	43.7%	37.5%	54.5%	42.4%	
		18.7% - 72.4%	21.7% - 56.5%	26.2% - 80.2%	24.3% - 62.9%	
	Switched chemicals,	0.0%	3.6%	0.0%	1.0%	
	procedures, or technologies	N/A - N/A	0.5% - 22.1%	N/A - N/A	0.1% - 7.1%	
	Sought help from other utilities	1.6%	0.0%	0.0%	1.0%	
	or mutual aid network (e.g., WARN)	0.2% - 10.4%	N/A - N/A	N/A - N/A	0.1% - 7.1%	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	28.5%	42.7%	45.5%	33.6%	
		9.7% - 59.8%	26.0% - 61.3%	19.8% - 73.8%	18.1% - 53.6%	
	Observations	14	29	11	54	
Fuel	Found alternative supplier	42.3%	28.6%	0.0%	35.0%	
		1.9% - 96.5%	5.9% - 71.8%	N/A - N/A	4.6% - 85.9%	
	Drew down existing inventory	0.0%	57.1%	33.3%	20.9%	
		N/A - N/A	19.7% - 87.9%	2.1% - 91.9%	9.1% - 41.2%	
	Switched chemicals,	0.0%	0.0%	0.0%	0.0%	
	procedures, or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%	
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%	
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Other	7.7%	14.3%	66.7%	13.7%	
		1.0% - 41.1%	1.5% - 64.6%	8.1% - 97.9%	4.7% - 33.6%	
	Observations	4	7	3	14	

Table D.10. Question B1.3.3: How did you address each shortage or supply chain disruption? (select all that apply)

<u>Wastewater Treatment Facilities</u>

## **Estimates and 95 Percent Confidence Intervals**

Supply		Perc	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Vehicles	Found alternative supplier	42.3%	0.0%	25.0%	27.2%		
		2.2% - 96.1%	N/A - N/A	2.3% - 82.8%	2.8% - 82.9%		
	Drew down existing inventory	7.7%	42.9%	0.0%	18.4%		
		1.1% - 39.1%	12.8% - 79.2%	N/A - N/A	7.8% - 37.3%		
	Switched chemicals,	0.0%	28.6%	0.0%	9.2%		
	procedures, or technologies	N/A - N/A	6.3% - 70.4%	N/A - N/A	2.3% - 30.4%		
	Sought help from other utilities	0.0%	0.0%	0.0%	0.0%		
	or mutual aid network (e.g., WARN)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Coordinated with local or state	0.0%	0.0%	0.0%	0.0%		
	emergency management agency	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Other	42.3%	28.6%	50.0%	38.5%		
		2.2% - 96.1%	6.3% - 70.4%	9.0% - 91.0%	6.5% - 85.0%		
	Observations	4	7	4	15		

N/A: confidence interval could not be calculated.

Table D.11. Question B2.1: What kinds of shortages or supply chain disruptions are you most concerned about from present day through December 2020?

## January to the Present

Supply	Per	Percentage Reporting by Population Served					
Chain Issue	< 10,000	10,000 - 99,999	100,000 or more	All			
Treatment Chemicals	11.1%	15.8%	20.1%	12.1%			
	7.7% - 15.6%	11.9% - 20.6%	12.9% - 30.0%	9.2% - 15.8%			
PPE	23.5%	40.5%	43.6%	26.9%			
	18.7% - 29.2%	33.7% - 47.8%	32.0% - 55.9%	22.7% - 31.5%			
Durable Goods and Other	16.3%	22.0%	21.8%	17.4%			
Equipment and Supplies	12.2% - 21.4%	16.7% - 28.4%	14.3% - 31.8%	13.9% - 21.6%			
None	67.1%	45.2%	44.3%	62.9%			
	61.0% - 72.6%	38.6% - 52.1%	31.3% - 58.1%	57.9% - 67.6%			
Observations	271	239	93	603			

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

#### **Present to December**

Supply		Per	Percentage Reporting by Population Served			
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Carbon	Mean score (0 to 3)	0.009	0.004	0.034	0.009	
dioxide		-0.003 - 0.020	-0.003 - 0.011	-0.001 - 0.069	-0.001 - 0.018	
	None (0)	99.1%	99.6%	97.5%	99.2%	
		96.7% - 99.8%	97.5% - 99.9%	93.4% - 99.1%	97.4% - 99.7%	
	Slight (1)	0.9%	0.4%	1.7%	0.8%	
		0.2% - 3.3%	0.1% - 2.5%	0.5% - 5.5%	0.3% - 2.6%	
	Moderate (2)	0.0%	0.0%	0.8%	0.0%	
		N/A - N/A	N/A - N/A	0.2% - 4.4%	0.0% - 0.2%	
	Severe (3)	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	270	239	93	602	
Ferric	Mean score (0 to 3)	0.019	0.054	0.181	0.030	
chloride		0.002 - 0.036	0.015 - 0.093	0.074 - 0.287	0.015 - 0.046	
	None (0)	98.2%	97.0%	88.8%	97.7%	
		95.5% - 99.3%	94.3% - 98.4%	81.5% - 93.5%	95.8% - 98.7%	
	Slight (1)	1.7%	1.4%	5.9%	1.8%	
		0.6% - 4.4%	0.5% - 3.5%	3.1% - 11.1%	0.8% - 3.8%	
	Moderate (2)	0.0%	0.8%	3.5%	0.2%	
		N/A - N/A	0.2% - 2.9%	1.2% - 10.3%	0.1% - 0.6%	
	Severe (3)	0.1%	0.8%	1.7%	0.2%	
		0.0% - 0.5%	0.2% - 2.9%	0.5% - 5.5%	0.1% - 0.6%	
	Observations	270	239	93	602	

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

#### **Present to December**

Supply		Percentage Reporting by Population Served			
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Fluoride	Mean score (0 to 3)	0.017	0.004	0.034	0.016
		-0.003 - 0.037	-0.003 - 0.011	-0.001 - 0.069	-0.001 - 0.032
	None (0)	98.7%	99.6%	97.5%	98.8%
		96.1% - 99.6%	97.5% - 99.9%	93.4% - 99.1%	96.9% - 99.6%
	Slight (1)	0.9%	0.4%	1.7%	0.8%
		0.2% - 3.3%	0.1% - 2.5%	0.5% - 5.5%	0.3% - 2.6%
	Moderate (2)	0.4%	0.0%	0.8%	0.4%
		0.1% - 2.9%	N/A - N/A	0.2% - 4.4%	0.1% - 2.2%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	270	239	93	602
Gaseous	Mean score (0 to 3)	0.030	0.086	0.072	0.041
chlorine		0.003 - 0.058	0.034 - 0.138	-0.030 - 0.175	0.017 - 0.064
	None (0)	97.8%	95.4%	96.5%	97.4%
		95.0% - 99.1%	92.3% - 97.3%	89.7% - 98.8%	95.3% - 98.6%
	Slight (1)	1.3%	2.6%	1.7%	1.5%
		0.4% - 3.9%	1.3% - 5.2%	0.5% - 5.5%	0.7% - 3.3%
	Moderate (2)	0.9%	0.0%	0.0%	0.7%
		0.2% - 3.3%	N/A - N/A	N/A - N/A	0.2% - 2.7%
	Severe (3)	0.0%	2.0%	1.8%	0.4%
		N/A - N/A	0.9% - 4.5%	0.3% - 10.6%	0.2% - 0.8%
	Observations	270	239	93	602

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

### **Present to December**

Supply		Percentage Reporting by Population Served			
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Liquid	Mean score (0 to 3)	0.009	0.004	0.051	0.009
oxygen		-0.003 - 0.020	-0.002 - 0.010	0.005 - 0.096	0.000 - 0.019
	None (0)	99.1%	99.8%	96.6%	99.2%
		96.7% - 99.8%	99.0% - 100.0%	92.2% - 98.6%	97.4% - 99.7%
	Slight (1)	0.9%	0.0%	1.7%	0.7%
		0.2% - 3.3%	N/A - N/A	0.5% - 5.5%	0.2% - 2.6%
	Moderate (2)	0.0%	0.2%	1.7%	0.1%
		N/A - N/A	0.0% - 1.0%	0.5% - 5.5%	0.0% - 0.2%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	270	239	93	602
Lime	Mean score (0 to 3)	0.013	0.004	0.034	0.012
		-0.001 - 0.027	-0.003 - 0.011	-0.001 - 0.069	0.000 - 0.024
	None (0)	98.7%	99.6%	97.5%	98.8%
		96.1% - 99.6%	97.5% - 99.9%	93.4% - 99.1%	96.9% - 99.6%
	Slight (1)	1.3%	0.4%	1.7%	1.2%
		0.4% - 3.9%	0.1% - 2.5%	0.5% - 5.5%	0.4% - 3.1%
	Moderate (2)	0.0%	0.0%	0.8%	0.0%
		N/A - N/A	N/A - N/A	0.2% - 4.4%	0.0% - 0.2%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	270	239	93	602

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

#### **Present to December**

Supply		Pei	rcentage Reporting	by Population Serv	/ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Orthophosphate	Mean score (0 to 3)	0.010	0.000	0.034	0.009
		-0.002 - 0.022	0.000 - 0.000	-0.001 - 0.069	0.000 - 0.019
	None (0)	99.1%	100.0%	97.5%	99.2%
		96.7% - 99.7%	N/A - N/A	93.4% - 99.1%	97.4% - 99.7%
	Slight (1)	0.9%	0.0%	1.7%	0.7%
		0.2% - 3.3%	N/A - N/A	0.5% - 5.5%	0.2% - 2.6%
	Moderate (2)	0.1%	0.0%	0.8%	0.1%
		0.0% - 0.5%	N/A - N/A	0.2% - 4.4%	0.0% - 0.4%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	270	239	93	602
Polymers	Mean score (0 to 3)	0.059	0.154	0.366	0.084
		0.024 - 0.094	0.089 - 0.219	0.196 - 0.537	0.054 - 0.115
	None (0)	95.8%	91.2%	79.9%	94.5%
		92.5% - 97.7%	87.3% - 94.0%	70.0% - 87.1%	92.1% - 96.3%
	Slight (1)	2.6%	4.6%	9.6%	3.2%
		1.2% - 5.6%	2.7% - 7.7%	5.1% - 17.5%	1.8% - 5.3%
	Moderate (2)	1.5%	1.8%	4.4%	1.7%
		0.6% - 3.9%	0.8% - 4.1%	1.7% - 11.0%	0.8% - 3.4%
	Severe (3)	0.1%	2.4%	6.1%	0.7%
		0.0% - 0.5%	1.1% - 5.0%	2.8% - 12.7%	0.4% - 1.1%
	Observations	270	239	93	602

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

### **Present to December**

Supply		Per	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Potassium	Mean score (0 to 3)	0.023	0.016	0.034	0.022
permanganate		0.001 - 0.045	-0.007 - 0.039	-0.001 - 0.069	0.004 - 0.040
	None (0)	98.2%	99.2%	97.5%	98.3%
		95.5% - 99.3%	97.1% - 99.8%	93.4% - 99.1%	96.3% - 99.3%
	Slight (1)	1.3%	0.4%	1.7%	1.2%
		0.4% - 3.9%	0.1% - 2.5%	0.5% - 5.5%	0.4% - 3.1%
	Moderate (2)	0.5%	0.0%	0.8%	0.4%
		0.1% - 2.6%	N/A - N/A	0.2% - 4.4%	0.1% - 2.1%
	Severe (3)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.5%	N/A - N/A	0.0% - 0.4%
	Observations	270	239	93	602
Sodium	Mean score (0 to 3)	0.014	0.050	0.098	0.023
hydroxide		0.000 - 0.029	0.016 - 0.084	0.015 - 0.181	0.010 - 0.036
	None (0)	98.6%	97.2%	92.9%	98.2%
		96.1% - 99.5%	94.7% - 98.6%	85.1% - 96.8%	96.5% - 99.1%
	Slight (1)	1.3%	0.6%	4.4%	1.3%
		0.4% - 3.9%	0.1% - 2.3%	1.7% - 11.0%	0.5% - 3.2%
	Moderate (2)	0.1%	2.2%	2.7%	0.5%
		0.0% - 0.5%	1.0% - 4.6%	0.7% - 9.9%	0.3% - 0.9%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	270	239	93	602

Table D.12. Question B2.1.1: How severe are your concerns about treatment chemical shortages or supply chain disruptions?

#### **Present to December**

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Pei	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000   10,000 - 99,999   100,000 or mo		100,000 or more	All		
Sodium	Mean score (0 to 3)	0.074	0.116	0.233	0.086		
hypochlorite		0.034 - 0.114	0.064 - 0.167   0.110 - 0.357   0.		0.052 - 0.120		
	None (0)	94.2%	92.4%	87.0%	93.7%		
		90.5% - 96.5%	88.7% - 95.0%	78.7% - 92.4%	90.8% - 95.7%		
	Slight (1)	4.7%	4.4%	5.2%	4.7%		
		2.6% - 8.3%	2.5% - 7.5%	2.2% - 11.8%	2.9% - 7.5%		
	Moderate (2)	0.6%	2.4%	5.2%	1.0%		
		0.1% - 2.5%	1.2% - 4.8%	2.2% - 11.8%	0.5% - 2.1%		
	Severe (3)	0.5%	0.8%	2.5%	0.6%		
		0.1% - 2.6%	0.2% - 2.9%	0.9% - 6.6%	0.2% - 1.9%		
	Observations	270	239	93	602		

N/A: confidence interval could not be calculated.

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pe	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Carbon	Unavailable from	0.0%	0.0%	33.3%	3.4%
dioxide	supplier(s)	N/A - N/A	N/A - N/A	2.3% - 91.4%	0.4% - 22.7%
	Delayed / backordered	50.0%	100.0%	66.7%	55.5%
	from supplier(s)	1.6% - 98.4%	N/A - N/A	8.6% - 97.7%	3.9% - 97.4%
	Transportation /	0.0%	0.0%	33.3%	3.4%
	distribution difficulties	N/A - N/A	N/A - N/A	2.3% - 91.4%	0.4% - 22.7%
	Price	50.0%	0.0%	33.3%	44.5%
		1.6% - 98.4%	N/A - N/A	2.3% - 91.4%	2.6% - 96.1%
	Other				
	Observations	2	1	3	6
Ferric	Unavailable from	0.0%	59.8%	15.2%	14.8%
chloride	supplier(s)	N/A - N/A	23.4% - 87.9%	3.4% - 47.5%	7.5% - 27.2%
	Delayed / backordered	71.7%	86.6%	53.1%	71.7%
	from supplier(s)	18.5% - 96.6%	39.3% - 98.5%	24.6% - 79.7%	34.4% - 92.4%
	Transportation /	0.0%	40.2%	30.3%	13.3%
	distribution difficulties	N/A - N/A	12.1% - 76.6%	10.7% - 61.2%	6.4% - 25.7%
	Price	23.9%	0.0%	7.6%	16.3%
		2.1% - 81.9%	N/A - N/A	0.9% - 42.1%	2.0% - 64.7%
	Other			100.0%	100.0%
				N/A - N/A	N/A - N/A
	Observations	5	8	12	25

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

Supply		Pe	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Fluoride	Unavailable from	33.3%	100.0%	33.3%	36.9%
	supplier(s)	2.4% - 91.1%	N/A - N/A	2.4% - 91.0%	4.5% - 87.9%
	Delayed / backordered	33.3%	100.0%	33.3%	36.9%
	from supplier(s)	2.4% - 91.1%	N/A - N/A	2.4% - 91.0%	4.5% - 87.9%
	Transportation /	0.0%	100.0%	33.3%	7.8%
	distribution difficulties	N/A - N/A	N/A - N/A	2.4% - 91.0%	4.1% - 14.3%
	Price	33.3%	0.0%	33.3%	31.6%
		2.4% - 91.1%	N/A - N/A	2.4% - 91.0%	2.9% - 87.5%
	Other				
	Observations	3	1	3	7
Gaseous	Unavailable from	19.7%	34.9%	76.1%	26.5%
chlorine	supplier(s)	2.0% - 74.5%	13.0% - 65.8%	18.5% - 97.8%	8.0% - 60.2%
	Delayed / backordered	60.7%	78.5%	76.1%	66.4%
	from supplier(s)	17.1% - 92.0%	46.9% - 93.8%	18.5% - 97.8%	30.9% - 89.7%
	Transportation /	19.7%	47.6%	76.1%	30.1%
	distribution difficulties	2.0% - 74.5%	21.3% - 75.3%	18.5% - 97.8%	10.2% - 61.9%
	Price	19.7%	0.0%	23.9%	14.4%
		2.0% - 74.5%	N/A - N/A	2.2% - 81.5%	1.9% - 59.1%
	Other				
	Observations	6	12	3	21

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

Supply		Pe	rcentage Reporting	by Population Serv	/ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Liquid	Unavailable from	0.0%	100.0%	25.0%	6.9%
oxygen	supplier(s)	N/A - N/A	N/A - N/A	1.8% - 85.8%	1.7% - 24.6%
	Delayed / backordered	50.0%	100.0%	50.0%	51.7%
	from supplier(s)	1.1% - 98.9%	N/A - N/A	7.5% - 92.5%	2.4% - 97.9%
	Transportation /	0.0%	0.0%	50.0%	6.9%
	distribution difficulties	N/A - N/A	N/A - N/A	7.5% - 92.5%	1.7% - 24.6%
	Price	50.0%	0.0%	25.0%	44.8%
		1.1% - 98.9%	N/A - N/A	1.8% - 85.8%	1.8% - 97.3%
	Other				
	Observations	2	1	4	7
Lime	Unavailable from	33.3%	100.0%	33.3%	36.9%
	supplier(s)	2.3% - 91.3%	N/A - N/A	2.3% - 91.2%	4.4% - 88.2%
	Delayed / backordered	33.3%	100.0%	66.7%	39.3%
	from supplier(s)	2.3% - 91.3%	N/A - N/A	8.8% - 97.7%	5.1% - 88.6%
	Transportation /	0.0%	100.0%	33.3%	7.8%
	distribution difficulties	N/A - N/A	N/A - N/A	2.3% - 91.2%	4.1% - 14.4%
	Price	33.3%	0.0%	33.3%	31.6%
		2.3% - 91.3%	N/A - N/A	2.3% - 91.2%	2.9% - 87.8%
	Other				
	Observations	3	1	3	7

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

Supply		Pe	rcentage Reporting	by Population Serv	/ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Orthophosphate	Unavailable from	0.0%		33.3%	3.4%
	supplier(s)	N/A - N/A		2.4% - 91.1%	0.4% - 22.2%
	Delayed / backordered	45.8%		66.7%	48.0%
	from supplier(s)	2.0% - 97.2%		8.9% - 97.6%	3.2% - 96.3%
	Transportation /	0.0%		33.3%	3.4%
	distribution difficulties	N/A - N/A		2.4% - 91.1%	0.4% - 22.2%
	Price	45.8%		33.3%	44.5%
		2.0% - 97.2%		2.4% - 91.1%	2.7% - 95.9%
	Other				
	Observations	3		3	6
Polymers	Unavailable from	42.6%	34.0%	31.0%	39.0%
	supplier(s)	16.6% - 73.4%	17.5% - 55.7%	13.1% - 57.4%	21.1% - 60.5%
	Delayed / backordered	47.2%	77.2%	73.9%	58.2%
	from supplier(s)	19.7% - 76.5%	55.1% - 90.3%	48.2% - 89.6%	37.4% - 76.4%
	Transportation /	12.1%	52.3%	44.5%	26.3%
	distribution difficulties	1.9% - 48.6%	32.0% - 71.9%	23.3% - 67.9%	14.7% - 42.6%
	Price	20.4%	4.6%	17.6%	16.0%
		4.7% - 57.1%	0.6% - 27.1%	5.3% - 45.2%	5.1% - 40.2%
	Other	0.0%		100.0%	31.4%
		N/A - N/A		N/A - N/A	2.8% - 87.8%
	Observations	14	23	19	56

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pei	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Potassium	Unavailable from	0.0%	0.0%	33.3%	1.7%
permanganate	supplier(s)	N/A - N/A	N/A - N/A	2.3% - 91.4%	0.2% - 12.4%
	Delayed / backordered	71.7%	100.0%	66.7%	73.6%
	from supplier(s)	18.2% - 96.6%	N/A - N/A	8.6% - 97.7%	23.0% - 96.3%
	Transportation /	0.0%	50.0%	33.3%	5.6%
	distribution difficulties	N/A - N/A	2.8% - 97.2%	2.3% - 91.4%	1.1% - 23.1%
	Price	23.9%	0.0%	33.3%	22.6%
		2.1% - 82.2%	N/A - N/A	2.3% - 91.4%	2.5% - 77.1%
	Other	100.0%			100.0%
		N/A - N/A			N/A - N/A
	Observations	5	2	3	10
Sodium	Unavailable from	31.4%	50.0%	64.2%	40.4%
hydroxide	supplier(s)	2.3% - 89.7%	17.2% - 82.8%	27.4% - 89.4%	11.0% - 78.8%
	Delayed / backordered	62.8%	64.5%	88.1%	66.7%
	from supplier(s)	9.9% - 96.3%	26.3% - 90.2%	41.8% - 98.7%	24.1% - 92.6%
	Transportation /	31.4%	57.8%	38.1%	38.8%
	distribution difficulties	2.3% - 89.7%	23.0% - 86.3%	7.9% - 81.5%	10.2% - 78.0%
	Price	31.4%	14.5%	38.1%	28.2%
		2.3% - 89.7%	1.7% - 62.6%	7.9% - 81.5%	5.0% - 74.3%
	Other	100.0%			100.0%
		N/A - N/A			N/A - N/A
	Observations	4	8	6	18

Table D.13. Question B2.1.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

## **Estimates and 95 Percent Confidence Intervals**

Supply		Pe	Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All			
Sodium	Unavailable from	38.3%	39.4%	27.2%	37.7%			
hypochlorite	supplier(s)	16.7% - 65.8%	20.4% - 62.3%	8.4% - 60.3%	20.3% - 59.0%			
	Delayed / backordered	67.8%	78.9%	67.5%	69.9%			
	from supplier(s)	39.7% - 87.1%	54.7% - 92.0%	39.7% - 86.7%	48.2% - 85.2%			
	Transportation /	14.8%	39.4%	46.7%	21.7%			
	distribution difficulties	3.5% - 45.4%	20.4% - 62.3%	21.0% - 74.3%	10.0% - 40.7%			
	Price	14.8%	0.0%	27.2%	12.8%			
		3.5% - 45.4%	N/A - N/A	8.4% - 60.3%	3.8% - 35.6%			
	Other	0.0%		100.0%	31.4%			
		N/A - N/A		N/A - N/A	2.8% - 87.9%			
	Observations	16	20	13	49			

N/A: confidence interval could not be calculated.

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Perc	entage Reporting	by Population Se	erved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Carbon	Seek alternative supplier	50.0%	0.0%	66.7%	48.0%
dioxide		1.6% - 98.4%	N/A - N/A	8.6% - 97.7%	3.1% - 96.4%
	Increase purchasing / maintain a	0.0%	100.0%	66.7%	14.4%
	larger than typical inventory	N/A - N/A	N/A - N/A	8.6% - 97.7%	8.7% - 22.9%
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Make arrangements w/ neighboring	50.0%	0.0%	0.0%	41.1%
	utilities or mutual aid network	1.6% - 98.4%	N/A - N/A	N/A - N/A	2.1% - 95.7%
	Other				
	Observations	2	1	3	6
Ferric	Seek alternative supplier	47.8%	40.2%	37.9%	44.6%
chloride		8.8% - 89.7%	12.1% - 76.6%	15.1% - 67.7%	15.5% - 77.9%
	Increase purchasing / maintain a	23.9%	46.4%	54.5%	33.6%
	larger than typical inventory	2.1% - 81.9%	15.6% - 80.1%	26.1% - 80.2%	10.7% - 68.1%
	Switch chemicals, procedures, or	0.0%	0.0%	15.2%	2.5%
	technologies	N/A - N/A	N/A - N/A	3.4% - 47.5%	0.6% - 9.3%
	Make arrangements with neighboring	23.9%	0.0%	7.6%	16.3%
	utilities or mutual aid network	2.1% - 81.9%	N/A - N/A	0.9% - 42.1%	2.0% - 64.7%
	Other			100.0%	100.0%
				N/A - N/A	N/A - N/A
	Observations	5	8	12	25

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Perc	entage Reporting	by Population Se	erved
<b>Chain Issue</b>	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Fluoride	Seek alternative supplier	33.3%	0.0%	66.7%	34.0%
		2.4% - 91.1%	N/A - N/A	9.0% - 97.6%	3.6% - 87.6%
	Increase purchasing / maintain a	33.3%	0.0%	66.7%	34.0%
	larger than typical inventory	2.4% - 91.1%	N/A - N/A	9.0% - 97.6%	3.6% - 87.6%
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Make arrangements w/ neighboring	33.3%	0.0%	0.0%	29.1%
	utilities or mutual aid network	2.4% - 91.1%	N/A - N/A	N/A - N/A	2.4% - 87.5%
	Other				
	Observations	3	1	3	7
Gaseous	Seek alternative supplier	60.7%	52.4%	23.9%	56.6%
chlorine		17.1% - 92.0%	24.7% - 78.7%	2.2% - 81.5%	24.9% - 83.7%
	Increase purchasing / maintain a	19.7%	56.4%	76.1%	32.5%
	larger than typical inventory	2.0% - 74.5%	27.5% - 81.4%	18.5% - 97.8%	11.9% - 63.2%
	Switch chemicals, procedures, or	0.0%	8.7%	0.0%	2.4%
	technologies	N/A - N/A	1.1% - 45.5%	N/A - N/A	0.3% - 16.0%
	Make arrangements w/ neighboring	39.3%	0.0%	0.0%	26.6%
	utilities or mutual aid network	8.0% - 82.9%	N/A - N/A	N/A - N/A	6.4% - 65.5%
	Other		100.0%		100.0%
			N/A - N/A		N/A - N/A
	Observations	6	12	3	21

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Perc	entage Reporting	by Population Se	erved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Liquid	Seek alternative supplier	50.0%	0.0%	50.0%	48.3%
oxygen		1.1% - 98.9%	N/A - N/A	7.5% - 92.5%	2.1% - 97.6%
	Increase purchasing / maintain a	0.0%	100.0%	50.0%	10.4%
	larger than typical inventory	N/A - N/A	N/A - N/A	7.5% - 92.5%	4.0% - 24.4%
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Make arrangements w/ neighboring	50.0%	0.0%	0.0%	41.3%
	utilities or mutual aid network	1.1% - 98.9%	N/A - N/A	N/A - N/A	1.5% - 97.1%
	Other			100.0%	100.0%
				N/A - N/A	N/A - N/A
	Observations	2	1	4	7
Lime	Seek alternative supplier	33.3%	0.0%	33.3%	31.6%
		2.3% - 91.3%	N/A - N/A	2.3% - 91.2%	2.9% - 87.8%
	Increase purchasing / maintain a	66.7%	0.0%	66.7%	63.1%
	larger than typical inventory	8.7% - 97.7%	N/A - N/A	8.8% - 97.7%	11.8% - 95.6%
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Make arrangements w/ neighboring	33.3%	0.0%	0.0%	29.1%
	utilities or mutual aid network	2.3% - 91.3%	N/A - N/A	N/A - N/A	2.3% - 87.8%
	Other				
	Observations	3	1	3	7

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Perc	entage Reporting	by Population Se	erved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Orthophos-	Seek alternative supplier	45.8%		66.7%	48.0%
phate		2.0% - 97.2%		8.9% - 97.6%	3.2% - 96.3%
	Increase purchasing / maintain a	0.0%		66.7%	6.9%
	larger than typical inventory	N/A - N/A		8.9% - 97.6%	2.5% - 17.9%
	Switch chemicals, procedures, or	0.0%		0.0%	0.0%
	technologies	N/A - N/A		N/A - N/A	N/A - N/A
	Make arrangements w/ neighboring	45.8%		0.0%	41.1%
	utilities or mutual aid network	2.0% - 97.2%		N/A - N/A	2.2% - 95.5%
	Other				
	Observations	3		3	6
Polymers	Seek alternative supplier	43.5%	31.9%	29.5%	38.8%
Orymers	Seek alternative supplier	17.2% - 74.0%			20.9% - 60.2%
	Increase purchasing / maintain a	54.7%	68.1%	87.4%	62.2%
	larger than typical inventory	24.8% - 81.5%	46.1% - 84.1%	66.6% - 96.0%	40.5% - 79.9%
	Switch chemicals, procedures, or	0.0%	0.0%	4.2%	0.5%
	technologies	N/A - N/A	N/A - N/A	0.6% - 25.2%	0.1% - 3.6%
	Make arrangements w/ neighboring	10.2%	4.6%	9.2%	8.6%
	utilities or mutual aid network	1.2% - 50.8%	0.6% - 27.1%	1.3% - 43.4%	1.8% - 32.7%
	Other	0.0%	100.0%	0.0%	57.8%
		N/A - N/A	N/A - N/A	N/A - N/A	14.9% - 91.5%
	Observations	14	23	19	56

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Potassium	Seek alternative supplier	47.8%	50.0%	66.7%	49.0%		
perman-		8.7% - 89.9%	2.8% - 97.2%	8.6% - 97.7%	11.5% - 87.6%		
ganate	Increase purchasing / maintain a	47.8%	50.0%	66.7%	49.0%		
	larger than typical inventory	8.7% - 89.9%	2.8% - 97.2%	8.6% - 97.7%	11.5% - 87.6%		
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%		
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Make arrangements w/ neighboring	23.9%	50.0%	0.0%	24.6%		
	utilities or mutual aid network	2.1% - 82.2%	2.8% - 97.2%	N/A - N/A	3.1% - 77.1%		
	Other	100.0%			100.0%		
		N/A - N/A			N/A - N/A		
	Observations	5	2	3	10		
Sodium	Seek alternative supplier	62.8%	43.4%	35.8%	54.4%		
hydroxide		9.9% - 96.3%	13.7% - 78.7%	10.6% - 72.6%	18.7% - 86.1%		
	Increase purchasing / maintain a	62.8%	50.0%	88.1%	63.1%		
	larger than typical inventory	9.9% - 96.3%	17.2% - 82.8%	41.8% - 98.7%	22.7% - 90.9%		
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%		
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Make arrangements w/ neighboring	31.4%	0.0%	26.1%	23.0%		
	utilities or mutual aid network	2.3% - 89.7%	N/A - N/A	3.6% - 77.1%	3.0% - 73.9%		
	Other	100.0%	100.0%		100.0%		
		N/A - N/A	N/A - N/A		N/A - N/A		
	Observations	4	8	6	18		

Table D.14. Question B2.1.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

#### **Present to December**

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Sodium	Seek alternative supplier	29.5%	37.0%	46.7%	32.2%		
hypochlo-		11.0% - 58.6%	18.5% - 60.3%	21.0% - 74.3%	16.3% - 53.5%		
rite	Increase purchasing / maintain a	76.5%	63.0%	53.3%	72.3%		
	larger than typical inventory	47.3% - 92.2%	39.7% - 81.5%	25.7% - 79.0%	51.8% - 86.4%		
	Switch chemicals, procedures, or	0.0%	0.0%	0.0%	0.0%		
	technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Make arrangements w/ neighboring	22.1%	0.0%	20.7%	17.9%		
	utilities or mutual aid network	6.9% - 52.0%	N/A - N/A	5.0% - 56.6%	6.3% - 41.3%		
	Other	0.0%	100.0%	0.0%	57.8%		
		N/A - N/A	N/A - N/A	N/A - N/A	14.8% - 91.6%		
	Observations	16	20	13	49		

N/A: confidence interval could not be calculated.

Table D.15. Question B2.2.1: How severe are your concerns about personal protective equipment shortages or supply chain disruptions?

<u>Wastewater Treatment Facilities</u>

Supply		Per	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
N95 masks	Mean score (0 to 3)	0.188	0.413	0.427	0.232
and/or		0.123 - 0.254	0.324 - 0.503	0.264 - 0.591	0.177 - 0.287
elastomeric	None (0)	86.3%	68.3%	73.0%	83.0%
respirators		81.5% - 90.1%	60.7% - 74.9%	62.2% - 81.6%	79.0% - 86.4%
	Slight (1)	9.8%	24.2%	12.2%	12.2%
		6.7% - 14.2%	17.8% - 32.0%	7.0% - 20.3%	9.3% - 15.8%
	Moderate (2)	2.5%	5.6%	14.0%	3.3%
		1.1% - 5.2%	3.5% - 8.9%	8.2% - 22.9%	2.1% - 5.3%
	Severe (3)	1.4%	2.0%	0.8%	1.4%
		0.5% - 3.9%	0.9% - 4.5%	0.2% - 4.4%	0.6% - 3.3%
	Observations	270	239	93	602
Alternative	Mean score (0 to 3)	0.160	0.341	0.356	0.195
mask		0.104 - 0.216	0.261 - 0.422	0.225 - 0.488	0.148 - 0.242
options	None (0)	87.8%	70.7%	72.1%	84.6%
(surgical,		83.2% - 91.3%	63.1% - 77.3%	61.3% - 80.9%	80.8% - 87.8%
cloth, etc.)	Slight (1)	9.0%	25.4%	20.1%	12.0%
		6.0% - 13.2%	18.9% - 33.1%	12.9% - 30.0%	9.1% - 15.5%
	Moderate (2)	2.6%	3.2%	7.8%	2.9%
		1.3% - 5.3%	1.7% - 5.9%	4.0% - 14.6%	1.7% - 4.9%
	Severe (3)	0.6%	0.8%	0.0%	0.6%
		0.1% - 2.5%	0.2% - 2.9%	N/A - N/A	0.2% - 1.9%
	Observations	270	239	93	602

Table D.15. Question B2.2.1: How severe are your concerns about personal protective equipment shortages or supply chain disruptions?

<u>Wastewater Treatment Facilities</u>

Supply		Per	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Face shield	Mean score (0 to 3)	0.147	0.244	0.296	0.167
and/or		0.093 - 0.201	0.172 - 0.316	0.175 - 0.416	0.122 - 0.212
protective	None (0)	88.6%	78.6%	76.5%	86.6%
eye wear		84.1% - 92.0%	71.4% - 84.4%	66.3% - 84.4%	82.9% - 89.7%
	Slight (1)	8.1%	18.8%	17.4%	10.1%
		5.2% - 12.2%	13.2% - 26.0%	10.9% - 26.6%	7.5% - 13.4%
	Moderate (2)	3.3%	2.2%	6.1%	3.2%
		1.7% - 6.3%	1.0% - 4.6%	2.8% - 12.7%	1.8% - 5.6%
	Severe (3)	0.0%	0.4%	0.0%	0.1%
		N/A - N/A	0.1% - 2.5%	N/A - N/A	0.0% - 0.4%
	Observations	270	239	93	602
Nitrile	Mean score (0 to 3)	0.291	0.487	0.525	0.330
and/or latex		0.211 - 0.371	0.379 - 0.596	0.343 - 0.707	0.262 - 0.397
gloves	None (0)	80.4%	64.5%	66.7%	77.4%
		75.0% - 84.9%	57.1% - 71.2%	55.2% - 76.6%	73.0% - 81.3%
	Slight (1)	11.8%	23.5%	15.7%	13.7%
		8.3% - 16.4%	17.6% - 30.7%	9.5% - 24.8%	10.7% - 17.5%
	Moderate (2)	6.3%	10.8%	15.9%	7.3%
		3.8% - 10.1%	6.7% - 17.0%	9.5% - 25.4%	5.1% - 10.4%
	Severe (3)	1.6%	1.2%	1.7%	1.5%
		0.6% - 3.9%	0.4% - 3.4%	0.5% - 5.5%	0.7% - 3.3%
	Observations	270	239	93	602

Table D.15. Question B2.2.1: How severe are your concerns about personal protective equipment shortages or supply chain disruptions?

Wastewater Treatment Facilities

## **Estimates and 95 Percent Confidence Intervals**

Supply		Per	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Tyvek suite	Mean score (0 to 3)	0.119	0.184	0.233	0.134
and/or		0.067 - 0.172	0.121 - 0.247	0.123 - 0.343	0.090 - 0.177
disposable	None (0)	91.0%	85.0%	82.8%	89.8%
coveralls		86.8% - 94.0%	78.8% - 89.6%	73.9% - 89.0%	86.4% - 92.4%
	Slight (1)	7.0%	11.6%	11.2%	7.9%
		4.4% - 11.0%	7.4% - 17.8%	6.5% - 18.5%	5.6% - 11.1%
	Moderate (2)	0.9%	3.4%	6.1%	1.5%
		0.3% - 3.3%	1.8% - 6.2%	2.8% - 12.7%	0.8% - 2.9%
	Severe (3)	1.0%	0.0%	0.0%	0.8%
		0.3% - 3.2%	N/A - N/A	N/A - N/A	0.3% - 2.6%
	Observations	270	239	93	602
Sanitizing	Mean score (0 to 3)	0.256	0.481	0.503	0.300
wipes,		0.183 - 0.329	0.388 - 0.575	0.335 - 0.672	0.239 - 0.361
sprays for	None (0)	81.3%	64.5%	66.1%	78.1%
cleaning		76.0% - 85.7%	57.1% - 71.2%	54.5% - 75.9%	73.7% - 82.0%
work	Slight (1)	12.8%	25.4%	19.2%	15.0%
offices, or		9.2% - 17.5%	18.9% - 33.1%	12.2% - 29.0%	11.7% - 18.9%
gels for	Moderate (2)	4.9%	7.8%	13.0%	5.6%
hand		2.8% - 8.4%	5.2% - 11.5%	7.6% - 21.3%	3.8% - 8.3%
sanitizing	Severe (3)	1.0%	2.4%	1.7%	1.3%
		0.3% - 3.2%	1.1% - 5.0%	0.5% - 5.5%	0.6% - 2.8%
	Observations	270	239	93	602

N/A: confidence interval could not be calculated.

Table D.16. Question B2.2.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

Supply		Pei	rcentage Reporting	by Population Serv	/ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
N95 masks	Unavailable from	55.6%	40.9%	42.5%	50.5%
and/or	supplier(s)	38.5% - 71.4%	28.5% - 54.6%	24.4% - 62.8%	38.6% - 62.4%
elastomeric	Delayed / backordered	57.3%	67.8%	76.9%	61.5%
respirators	from supplier(s)	40.1% - 72.9%	54.9% - 78.5%	54.5% - 90.3%	49.2% - 72.4%
	Transportation /	3.7%	5.1%	16.2%	4.8%
	distribution difficulties	0.7% - 18.1%	1.9% - 12.8%	5.9% - 37.5%	1.9% - 11.6%
	Price	17.4%	7.6%	26.2%	15.0%
		7.9% - 34.0%	3.4% - 16.1%	12.0% - 48.2%	8.2% - 25.8%
	Other	3.1%	1.3%	0.0%	2.4%
		0.4% - 19.7%	0.2% - 8.4%	N/A - N/A	0.4% - 12.2%
	Observations	41	69	26	136
Alternative	Unavailable from	33.5%	21.8%	21.8%	29.3%
mask	supplier(s)	19.0% - 52.1%	13.1% - 33.8%	9.7% - 42.0%	19.1% - 42.0%
options	Delayed / backordered	71.9%	81.6%	77.6%	75.2%
(surgical,	from supplier(s)	53.6% - 85.0%	70.1% - 89.3%	55.6% - 90.6%	62.9% - 84.4%
cloth, etc.)	Transportation /	4.2%	5.5%	16.3%	5.3%
	distribution difficulties	0.7% - 20.1%	2.0% - 13.9%	5.4% - 39.8%	2.1% - 12.8%
	Price	18.9%	9.6%	18.8%	16.1%
		8.4% - 37.0%	4.5% - 19.2%	7.7% - 39.2%	8.7% - 27.8%
	Other	0.0%	0.0%	3.0%	0.2%
		N/A - N/A	N/A - N/A	0.4% - 18.1%	0.0% - 1.2%
	Observations	40	63	27	130

Table D.16. Question B2.2.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

Supply		Pei	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Face shield	Unavailable from	24.0%	38.4%	18.7%	27.3%
and/or	supplier(s)	11.5% - 43.4%	22.0% - 57.9%	6.8% - 42.1%	16.8% - 41.1%
protective	Delayed / backordered	78.1%	57.9%	58.3%	71.8%
eye wear	from supplier(s)	59.4% - 89.7%	38.9% - 74.8%	36.6% - 77.2%	58.8% - 82.0%
	Transportation /	4.5%	9.4%	11.5%	6.1%
	distribution difficulties	0.8% - 21.4%	3.8% - 21.3%	2.7% - 37.5%	2.4% - 14.7%
	Price	5.1%	11.3%	18.7%	7.5%
		1.1% - 20.5%	4.9% - 23.6%	6.8% - 42.1%	3.4% - 15.6%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	34	46	23	103
Nitrile	Unavailable from	51.4%	42.7%	42.1%	48.8%
and/or latex	supplier(s)	37.4% - 65.2%	29.9% - 56.6%	25.6% - 60.7%	38.3% - 59.3%
gloves	Delayed / backordered	76.4%	71.4%	84.3%	75.5%
	from supplier(s)	62.4% - 86.3%	56.9% - 82.4%	66.2% - 93.6%	65.4% - 83.5%
	Transportation /	2.6%	7.9%	21.8%	4.9%
	distribution difficulties	0.5% - 13.0%	3.8% - 15.8%	9.5% - 42.5%	2.4% - 9.7%
	Price	9.5%	17.4%	34.5%	12.8%
		3.9% - 21.6%	10.6% - 27.4%	19.3% - 53.7%	7.7% - 20.3%
	Other	2.2%	2.3%	0.0%	2.1%
		0.3% - 14.2%	0.6% - 8.5%	N/A - N/A	0.5% - 8.8%
	Observations	59	79	31	169

Table D.16. Question B2.2.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

### **Estimates and 95 Percent Confidence Intervals**

Supply		Pei	rcentage Reporting	by Population Serv	/ed
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Tyvek suite	Unavailable from	40.7%	44.0%	30.4%	40.9%
and/or	supplier(s)	22.2% - 62.3%	24.8% - 65.3%	12.7% - 56.7%	26.3% - 57.2%
disposable	Delayed / backordered	74.0%	56.0%	64.7%	69.3%
coveralls	from supplier(s)	51.9% - 88.3%	34.7% - 75.2%	39.3% - 83.9%	53.2% - 81.8%
	Transportation /	0.0%	5.4%	20.5%	2.4%
	distribution difficulties	N/A - N/A	1.3% - 19.6%	6.5% - 49.2%	1.0% - 5.9%
	Price	7.4%	13.4%	20.5%	9.5%
		1.9% - 24.9%	5.4% - 29.4%	6.5% - 49.2%	4.3% - 20.0%
	Other	0.0%	0.0%	4.9%	0.3%
		N/A - N/A	N/A - N/A	0.7% - 28.2%	0.0% - 1.9%
	Observations	26	34	18	78
Sanitizing	Unavailable from	47.7%	50.6%	43.3%	48.2%
wipes,	supplier(s)	33.7% - 62.1%	36.9% - 64.2%	27.3% - 60.9%	37.7% - 58.9%
sprays for	Delayed / backordered	63.8%	65.1%	87.1%	65.3%
cleaning	from supplier(s)	48.9% - 76.4%	51.0% - 77.0%	69.2% - 95.3%	54.4% - 74.8%
work	Transportation /	2.7%	4.5%	15.9%	3.9%
offices, or	distribution difficulties	0.5% - 13.6%	1.7% - 11.5%	6.0% - 35.8%	1.6% - 9.2%
gels for	Price	19.2%	10.2%	31.3%	17.5%
hand		10.1% - 33.4%	5.3% - 18.7%	17.0% - 50.3%	10.7% - 27.3%
sanitizing	Other	2.3%	0.0%	0.0%	1.6%
		0.3% - 14.8%	N/A - N/A	N/A - N/A	0.2% - 10.5%
	Observations	56	79	33	168

N/A: confidence interval could not be calculated.

Table D.17. Question B2.2.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
N95 masks	Seek alternative supplier	56.1%	53.4%	48.1%	54.9%		
and/or		39.0% - 71.9%	38.2% - 68.1%	29.3% - 67.6%	42.8% - 66.5%		
elastomeric	Increase purchasing / maintain a	53.6%	71.0%	64.4%	59.3%		
respirators	larger than typical inventory	36.7% - 69.7%	58.5% - 81.0%	43.5% - 80.9%	47.1% - 70.5%		
	Switch chemicals, procedures, or	0.6%	5.1%	6.3%	2.2%		
	technologies	0.1% - 3.8%	1.9% - 12.8%	1.6% - 21.6%	1.1% - 4.6%		
	Make arrangements w/ neighboring	19.4%	5.6%	13.1%	15.0%		
	utilities or mutual aid network	9.1% - 36.7%	2.3% - 13.3%	4.0% - 35.1%	7.9% - 26.6%		
	Other	3.1%	5.6%	0.0%	3.7%		
		0.4% - 19.7%	2.3% - 13.3%	N/A - N/A	1.2% - 11.2%		
	Observations	41	69	26	136		
Alternative	Seek alternative supplier	43.1%	51.7%	69.1%	47.3%		
mask		26.8% - 61.1%	35.8% - 67.3%	49.3% - 83.7%	35.4% - 59.6%		
options	Increase purchasing / maintain a	61.4%	76.1%	84.3%	67.2%		
(surgical,	larger than typical inventory	43.1% - 76.9%	63.7% - 85.3%	63.5% - 94.3%	54.4% - 77.9%		
cloth, etc.)	Switch chemicals, procedures, or	0.0%	2.7%	3.0%	1.0%		
	technologies	N/A - N/A	0.7% - 10.3%	0.4% - 18.1%	0.3% - 3.2%		
	Make arrangements w/ neighboring	14.1%	6.1%	22.4%	12.2%		
	utilities or mutual aid network	5.3% - 32.3%	2.4% - 14.4%	9.4% - 44.4%	5.9% - 23.6%		
	Other	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	40	63	27	130		

Table D.17. Question B2.2.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Face shield	Seek alternative supplier	56.2%	39.2%	43.9%	51.2%		
and/or		37.4% - 73.4%	24.7% - 56.0%	25.0% - 64.8%	37.5% - 64.7%		
protective	Increase purchasing / maintain a	47.3%	69.1%	59.0%	53.5%		
eye wear	larger than typical inventory	29.5% - 65.7%	53.2% - 81.5%	37.1% - 77.8%	39.7% - 66.8%		
	Switch chemicals, procedures, or	0.0%	5.6%	3.6%	1.6%		
	technologies	N/A - N/A	1.8% - 16.4%	0.5% - 21.5%	0.6% - 4.3%		
	Make arrangements w/ neighboring	11.3%	5.6%	11.5%	9.9%		
	utilities or mutual aid network	3.6% - 30.2%	1.8% - 16.4%	2.7% - 37.5%	4.0% - 22.5%		
	Other	0.0%	3.8%	0.0%	0.9%		
		N/A - N/A	0.9% - 14.1%	N/A - N/A	0.2% - 3.6%		
	Observations	34	46	23	103		
Nitrile	Seek alternative supplier	54.9%	53.4%	63.0%	55.0%		
and/or latex		40.8% - 68.4%	39.4% - 66.8%	43.9% - 78.7%	44.3% - 65.2%		
gloves	Increase purchasing / maintain a	68.1%	78.0%	89.8%	71.6%		
	larger than typical inventory	53.6% - 79.8%	63.0% - 88.1%	76.1% - 96.1%	60.8% - 80.4%		
	Switch chemicals, procedures, or	0.0%	10.7%	10.7%	3.2%		
	technologies	N/A - N/A	3.4% - 29.2%	3.3% - 29.5%	1.1% - 8.6%		
	Make arrangements w/ neighboring	15.3%	6.2%	13.2%	12.9%		
	utilities or mutual aid network	7.4% - 28.8%	2.7% - 13.4%	4.8% - 31.5%	7.1% - 22.4%		
	Other	0.0%	2.3%	0.0%	0.6%		
		N/A - N/A	0.6% - 8.5%	N/A - N/A	0.1% - 2.1%		
	Observations	59	79	31	169		

Table D.17. Question B2.2.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

#### **Present to December**

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Percentage Reporting by Population Served						
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All			
Tyvek suite	Seek alternative supplier	58.9%	64.0%	54.9%	59.8%			
and/or		37.4% - 77.4%	44.9% - 79.5%	31.2% - 76.5%	43.7% - 74.1%			
disposable	Increase purchasing / maintain a	41.6%	47.9%	74.5%	45.0%			
coveralls	larger than typical inventory	23.0% - 63.0%	29.0% - 67.4%	47.2% - 90.5%	30.2% - 60.6%			
	Switch chemicals, procedures, or	0.0%	2.7%	4.9%	0.9%			
	technologies	N/A - N/A	0.4% - 17.2%	0.7% - 28.2%	0.2% - 3.9%			
	Make arrangements w/ neighboring	19.0%	5.4%	15.6%	15.7%			
	utilities or mutual aid network	7.2% - 41.8%	1.3% - 19.6%	3.8% - 46.5%	6.8% - 32.3%			
	Other	4.8%	2.7%	4.9%	4.3%			
		0.6% - 28.3%	0.4% - 17.2%	0.7% - 28.2%	0.8% - 19.0%			
	Observations	26	34	18	78			
Sanitizing	Seek alternative supplier	57.3%	50.5%	66.7%	56.0%			
wipes,		42.6% - 70.7%	36.9% - 64.1%	48.5% - 81.0%	45.3% - 66.3%			
sprays for	Increase purchasing / maintain a	70.2%	80.3%	92.5%	74.0%			
cleaning	larger than typical inventory	55.5% - 81.7%	70.0% - 87.7%	79.7% - 97.5%	63.4% - 82.4%			
work	Switch chemicals, procedures, or	3.1%	6.2%	23.8%	5.0%			
offices, or	technologies	0.7% - 12.9%	2.7% - 13.4%	11.2% - 43.6%	2.4% - 10.0%			
gels for	Make arrangements w/ neighboring	18.3%	5.0%	17.9%	14.9%			
hand	utilities or mutual aid network	9.4% - 32.7%	2.0% - 11.9%	8.0% - 35.4%	8.4% - 25.0%			
	Other	2.3%	2.3%	2.5%	2.3%			
		0.3% - 14.8%	0.6% - 8.5%	0.4% - 14.9%	0.6% - 8.9%			
	Observations	56	79	33	168			

Table D.18. Question B2.3.1: How severe are your concerns about shortages or supply chain disruptions involving durable goods and other critical equipment and supplies, etc.?

### **Present to December**

Supply		Pei	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Filter media	Mean score (0 to 3)	0.025	0.040	0.082	0.029
		0.002 - 0.047	-0.004 - 0.083	0.000 - 0.163	0.010 - 0.048
	None (0)	98.1%	96.0%	94.6%	97.6%
		95.5% - 99.2%	88.5% - 98.7%	86.5% - 97.9%	95.5% - 98.7%
	Slight (1)	1.4%	4.0%	2.7%	1.9%
		0.5% - 3.9%	1.3% - 11.5%	0.7% - 10.0%	0.9% - 3.9%
	Moderate (2)	0.5%	0.0%	2.7%	0.5%
		0.1% - 2.6%	N/A - N/A	0.7% - 10.0%	0.1% - 2.0%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	269	239	92	600
Granular/	Mean score (0 to 3)	0.035	0.030	0.109	0.037
Powdered		0.002 - 0.068	-0.013 - 0.072	-0.003 - 0.220	0.009 - 0.065
Activated	None (0)	97.8%	97.0%	93.7%	97.5%
Carbon (GAC/		95.0% - 99.0%	88.2% - 99.3%	85.8% - 97.3%	95.2% - 98.7%
PAC)	Slight (1)	1.4%	3.0%	3.6%	1.7%
		0.5% - 3.9%	0.7% - 11.8%	1.2% - 10.4%	0.8% - 3.7%
	Moderate (2)	0.4%	0.0%	0.9%	0.4%
		0.1% - 2.9%	N/A - N/A	0.2% - 4.5%	0.1% - 2.2%
	Severe (3)	0.4%	0.0%	1.9%	0.4%
		0.1% - 2.9%	N/A - N/A	0.3% - 10.7%	0.1% - 2.1%
	Observations	269	239	92	600

Table D.18. Question B2.3.1: How severe are your concerns about shortages or supply chain disruptions involving durable goods and other critical equipment and supplies, etc.?

### **Present to December**

Supply		Pei	centage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Membrane	Mean score (0 to 3)	0.022	0.034	0.017	0.024
modules		0.000 - 0.044	-0.009 - 0.077	-0.003 - 0.037	0.005 - 0.043
	None (0)	98.2%	96.6%	98.3%	98.0%
		95.5% - 99.3%	88.5% - 99.1%	94.5% - 99.5%	95.8% - 99.0%
	Slight (1)	1.4%	3.4%	1.7%	1.7%
		0.5% - 3.9%	0.9% - 11.5%	0.5% - 5.5%	0.8% - 3.7%
	Moderate (2)	0.4%	0.0%	0.0%	0.3%
		0.1% - 2.9%	N/A - N/A	N/A - N/A	0.0% - 2.4%
	Severe (3)	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	269	239	92	600
Pumps	Mean score (0 to 3)	0.171	0.210	0.283	0.181
		0.104 - 0.239	0.141 - 0.279	0.139 - 0.426	0.125 - 0.237
	None (0)	89.1%	84.0%	83.3%	88.1%
		84.6% - 92.4%	77.8% - 88.7%	74.1% - 89.7%	84.5% - 91.0%
	Slight (1)	5.9%	11.4%	6.0%	6.8%
		3.6% - 9.6%	7.2% - 17.5%	3.1% - 11.2%	4.6% - 9.7%
	Moderate (2)	3.7%	4.2%	9.9%	4.0%
		2.0% - 6.9%	2.4% - 7.2%	5.0% - 18.6%	2.4% - 6.4%
	Severe (3)	1.3%	0.4%	0.9%	1.1%
		0.4% - 3.9%	0.1% - 2.5%	0.2% - 4.5%	0.4% - 3.1%
	Observations	269	239	92	600

Table D.18. Question B2.3.1: How severe are your concerns about shortages or supply chain disruptions involving durable goods and other critical equipment and supplies, etc.?

### **Present to December**

Supply Percentage Reporting by Population Se					ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Motors	Mean score (0 to 3)	0.139	0.194	0.300	0.153
		0.079 - 0.200	0.127 - 0.261	0.150 - 0.449	0.103 - 0.204
	None (0)	90.9%	85.0%	83.3%	89.7%
		86.7% - 93.9%	78.8% - 89.6%	74.1% - 89.7%	86.3% - 92.4%
	Slight (1)	5.1%	11.0%	5.1%	6.0%
		2.9% - 8.6%	6.9% - 17.1%	2.5% - 10.1%	4.0% - 8.8%
	Moderate (2)	3.2%	3.6%	9.9%	3.5%
		1.6% - 6.2%	2.0% - 6.5%	5.0% - 18.6%	2.0% - 5.8%
	Severe (3)	0.9%	0.4%	1.7%	0.8%
		0.2% - 3.3%	0.1% - 2.5%	0.5% - 5.5%	0.3% - 2.6%
	Observations	269	239	92	600
Pipes	Mean score (0 to 3)	0.112	0.138	0.179	0.118
		0.062 - 0.162	0.080 - 0.195	0.064 - 0.293	0.077 - 0.160
	None (0)	91.4%	88.2%	88.4%	90.8%
		87.2% - 94.3%	82.1% - 92.4%	79.6% - 93.7%	87.4% - 93.4%
	Slight (1)	6.3%	9.8%	5.3%	6.9%
		3.9% - 10.1%	5.8% - 15.9%	2.2% - 11.9%	4.7% - 9.9%
	Moderate (2)	1.8%	2.0%	6.3%	2.0%
		0.7% - 4.5%	0.9% - 4.5%	2.7% - 14.2%	1.0% - 3.9%
	Severe (3)	0.4%	0.0%	0.0%	0.3%
		0.1% - 2.9%	N/A - N/A	N/A - N/A	0.0% - 2.4%
	Observations	269	239	92	600

Table D.18. Question B2.3.1: How severe are your concerns about shortages or supply chain disruptions involving durable goods and other critical equipment and supplies, etc.?

### **Present to December**

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Valves	Mean score (0 to 3)	0.121	0.186	0.238	0.135	
		0.069 - 0.172	0.121 - 0.251	0.114 - 0.363	0.092 - 0.178	
	None (0)	91.0%	85.0%	84.2%	89.8%	
		86.7% - 94.0%	78.8% - 89.6%	75.0% - 90.4%	86.4% - 92.5%	
	Slight (1)	5.9%	11.8%	7.8%	6.9%	
		3.6% - 9.6%	7.6% - 17.9%	4.0% - 14.7%	4.8% - 9.9%	
	Moderate (2)	3.1%	2.8%	8.0%	3.2%	
		1.5% - 6.2%	1.4% - 5.5%	3.9% - 15.9%	1.8% - 5.6%	
	Severe (3)	0.0%	0.4%	0.0%	0.1%	
		N/A - N/A	0.1% - 2.5%	N/A - N/A	0.0% - 0.4%	
	Observations	269	239	92	600	
Fuel	Mean score (0 to 3)	0.044	0.102	0.064	0.054	
		0.014 - 0.075	0.047 - 0.157	-0.011 - 0.140	0.028 - 0.081	
	None (0)	96.4%	92.0%	95.4%	95.7%	
		93.2% - 98.1%	85.7% - 95.7%	87.1% - 98.5%	93.1% - 97.3%	
	Slight (1)	2.7%	5.8%	2.7%	3.2%	
		1.3% - 5.7%	2.6% - 12.4%	0.7% - 10.0%	1.8% - 5.6%	
	Moderate (2)	0.9%	2.2%	1.9%	1.1%	
		0.2% - 3.3%	1.0% - 4.6%	0.3% - 10.7%	0.4% - 2.7%	
	Severe (3)	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	269	239	92	600	

Table D.18. Question B2.3.1: How severe are your concerns about shortages or supply chain disruptions involving durable goods and other critical equipment and supplies, etc.?

#### **Present to December**

#### **Estimates and 95 Percent Confidence Intervals**

Supply		Per	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Vehicles	Mean score (0 to 3)	0.053	0.042	0.054	0.051		
		0.016 - 0.090	-0.002 - 0.086	-0.016 - 0.125	0.021 - 0.082		
	None (0)	96.4%	95.8%	96.4%	96.3%		
		93.2% - 98.1%	88.4% - 98.6%	89.6% - 98.8%	93.7% - 97.9%		
	Slight (1)	1.9%	4.2%	1.7%	2.2%		
		0.8% - 4.5%	1.4% - 11.6%	0.5% - 5.5%	1.1% - 4.4%		
	Moderate (2)	1.7%	0.0%	1.9%	1.4%		
		0.7% - 4.4%	N/A - N/A	0.3% - 10.7%	0.6% - 3.6%		
	Severe (3)	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	269	239	92	600		

Table D.19. Question B2.3.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pe	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Filter media	Unavailable from	22.0%	59.7%	50.0%	34.1%
	supplier(s)	2.3% - 77.2%	16.6% - 91.7%	9.0% - 91.0%	9.5% - 71.9%
	Delayed / backordered	78.0%	34.9%	65.7%	65.7%
	from supplier(s)	22.8% - 97.7%	6.9% - 79.4%	14.7% - 95.5%	27.8% - 90.5%
	Transportation /	0.0%	0.0%	50.0%	3.9%
	distribution difficulties	N/A - N/A	N/A - N/A	9.0% - 91.0%	0.8% - 16.1%
	Price	26.0%	0.0%	15.7%	18.4%
		3.6% - 76.7%	N/A - N/A	1.6% - 68.2%	3.0% - 61.7%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	7	6	4	17
Granular/	Unavailable from	38.6%	73.2%	13.6%	43.0%
Powdered	supplier(s)	8.0% - 81.9%	20.4% - 96.7%	1.3% - 65.1%	13.9% - 78.0%
Activated	Delayed / backordered	57.9%	26.8%	100.0%	55.6%
Carbon (GAC/	from supplier(s)	16.7% - 90.4%	3.3% - 79.6%	N/A - N/A	21.1% - 85.4%
PAC)	Transportation /	0.0%	0.0%	13.6%	1.2%
	distribution difficulties	N/A - N/A	N/A - N/A	1.3% - 65.1%	0.2% - 8.3%
	Price	19.3%	0.0%	13.6%	15.1%
		2.0% - 73.5%	N/A - N/A	1.3% - 65.1%	1.9% - 61.7%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	6	3	5	14

Table D.19. Question B2.3.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Per	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Membrane	Unavailable from	23.9%	64.5%	50.0%	35.3%
modules	supplier(s)	2.2% - 81.2%	17.2% - 94.1%	1.6% - 98.4%	7.7% - 78.1%
	Delayed / backordered	76.1%	23.6%	100.0%	63.0%
	from supplier(s)	18.8% - 97.8%	3.2% - 74.2%	N/A - N/A	21.1% - 91.6%
	Transportation /	0.0%	0.0%	50.0%	1.4%
	distribution difficulties	N/A - N/A	N/A - N/A	1.6% - 98.4%	0.2% - 10.4%
	Price	23.9%	0.0%	50.0%	18.4%
		2.2% - 81.2%	N/A - N/A	1.6% - 98.4%	2.1% - 69.9%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	5	4	2	11
Pumps	Unavailable from	24.7%	36.1%	37.7%	27.7%
	supplier(s)	11.7% - 44.8%	18.3% - 58.7%	16.6% - 64.8%	16.4% - 43.0%
	Delayed / backordered	88.2%	81.3%	78.6%	86.2%
	from supplier(s)	68.5% - 96.2%	48.9% - 95.2%	48.7% - 93.4%	71.5% - 94.0%
	Transportation /	17.2%	13.7%	21.4%	16.7%
	distribution difficulties	7.0% - 36.6%	5.9% - 28.7%	6.6% - 51.3%	8.3% - 30.8%
	Price	25.4%	10.0%	10.2%	21.4%
		12.3% - 45.4%	3.7% - 24.6%	2.5% - 33.7%	11.3% - 36.8%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	32	37	16	85

Table D.19. Question B2.3.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pe	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Motors	Unavailable from	29.6%	38.5%	37.7%	32.1%
	supplier(s)	14.1% - 51.8%	19.8% - 61.3%	16.6% - 64.8%	19.1% - 48.6%
	Delayed / backordered	85.8%	80.0%	78.6%	84.1%
	from supplier(s)	63.4% - 95.5%	46.8% - 94.8%	48.6% - 93.4%	67.6% - 93.1%
	Transportation /	20.6%	13.4%	21.4%	19.0%
	distribution difficulties	8.3% - 42.6%	5.4% - 29.4%	6.6% - 51.4%	9.3% - 34.8%
	Price	29.6%	10.7%	10.2%	24.2%
		14.1% - 51.8%	3.9% - 26.1%	2.5% - 33.8%	12.7% - 41.1%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	27	34	16	77
Pipes	Unavailable from	30.4%	38.7%	47.0%	32.8%
	supplier(s)	14.2% - 53.5%	17.2% - 65.8%	18.7% - 77.4%	18.7% - 50.9%
	Delayed / backordered	80.0%	78.0%	83.9%	79.8%
	from supplier(s)	56.6% - 92.5%	38.9% - 95.2%	39.8% - 97.6%	61.1% - 90.8%
	Transportation /	15.9%	17.0%	23.5%	16.5%
	distribution difficulties	5.4% - 38.7%	6.7% - 36.9%	5.4% - 62.2%	7.3% - 33.0%
	Price	31.3%	10.2%	14.8%	26.3%
		14.9% - 54.2%	3.1% - 28.7%	3.4% - 45.7%	13.6% - 44.7%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	25	26	10	61

Table D.19. Question B2.3.2: For each item, what are you most concerned about? (select all that apply)

<u>Wastewater Treatment Facilities</u>

Supply		Pe	rcentage Reporting	by Population Serv	ved
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Valves	Unavailable from	19.4%	35.8%	39.7%	24.3%
	supplier(s)	7.4% - 42.0%	17.4% - 59.6%	17.5% - 67.2%	13.2% - 40.5%
	Delayed / backordered	84.8%	80.0%	82.8%	83.6%
	from supplier(s)	62.8% - 94.9%	46.9% - 94.8%	49.7% - 95.9%	67.1% - 92.7%
	Transportation /	15.2%	13.4%	17.2%	14.8%
	distribution difficulties	5.1% - 37.2%	5.4% - 29.4%	4.1% - 50.3%	6.6% - 30.1%
	Price	25.1%	8.0%	10.8%	20.4%
		11.0% - 47.5%	2.5% - 22.8%	2.6% - 35.4%	9.9% - 37.2%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	26	34	15	75
Fuel	Unavailable from	23.9%	49.8%	59.3%	32.8%
	supplier(s)	5.4% - 63.2%	21.2% - 78.5%	10.3% - 94.9%	13.8% - 59.8%
	Delayed / backordered	52.2%	42.5%	59.3%	49.6%
	from supplier(s)	20.7% - 82.0%	17.7% - 71.7%	10.3% - 94.9%	25.8% - 73.5%
	Transportation /	0.0%	35.1%	59.3%	12.4%
	distribution difficulties	N/A - N/A	14.1% - 64.2%	10.3% - 94.9%	7.3% - 20.4%
	Price	35.9%	5.0%	59.3%	27.7%
		11.1% - 71.5%	0.6% - 31.1%	10.3% - 94.9%	10.2% - 56.3%
	Other	0.0%	0.0%	0.0%	0.0%
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Observations	10	16	3	29

Table D.19. Question B2.3.2: For each item, what are you most concerned about? (select all that apply)

Wastewater Treatment Facilities

## **Estimates and 95 Percent Confidence Intervals**

Supply		Pe	Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Vehicles	Unavailable from	23.9%	61.8%	23.9%	30.7%		
	supplier(s)	5.4% - 63.3%	19.2% - 91.7%	1.6% - 85.7%	10.5% - 62.7%		
	Delayed / backordered	64.1%	38.2%	100.0%	60.7%		
	from supplier(s)	28.4% - 89.0%	8.3% - 80.8%	N/A - N/A	30.6% - 84.3%		
	Transportation /	12.0%	0.0%	23.9%	10.2%		
	distribution difficulties	1.4% - 56.3%	N/A - N/A	1.6% - 85.7%	1.4% - 47.0%		
	Price	47.8%	0.0%	23.9%	38.4%		
		17.9% - 79.4%	N/A - N/A	1.6% - 85.7%	15.1% - 68.6%		
	Other	0.0%	0.0%	0.0%	0.0%		
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Observations	10	6	3	19		

Table D.20. Question B2.3.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

### **Present to December**

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Filter media	Seek alternative supplier	52.0%	30.2%	31.4%	44.7%	
		12.9% - 88.8%	5.9% - 74.9%	8.1% - 70.5%	15.6% - 78.0%	
	Increase purchasing / maintain	26.0%	79.8%	65.7%	43.2%	
	a larger than typical inventory	3.6% - 76.7%	34.8% - 96.7%	14.7% - 95.5%	14.7% - 77.1%	
	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%	
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Make arrangements with neighbor-	26.0%	0.0%	0.0%	17.1%	
	ing utilities or mutual aid network	3.6% - 76.7%	N/A - N/A	N/A - N/A	2.5% - 62.1%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	7	6	4	17	
Granular/	Seek alternative supplier	38.6%	13.4%	100.0%	39.1%	
Powdered		8.0% - 81.9%	1.0% - 70.1%	N/A - N/A	12.3% - 74.6%	
Activated	Increase purchasing / maintain	42.1%	100.0%	86.4%	57.0%	
Carbon (GAC/	a larger than typical inventory	9.6% - 83.3%	N/A - N/A	34.9% - 98.7%	22.0% - 86.1%	
PAC)	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%	
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Make arrangements with neighbor-	19.3%	0.0%	0.0%	14.0%	
	ing utilities or mutual aid network	2.0% - 73.5%	N/A - N/A	N/A - N/A	1.5% - 62.9%	
	Other	19.3%	0.0%	0.0%	14.0%	
		2.0% - 73.5%	N/A - N/A	N/A - N/A	1.5% - 62.9%	
	Observations	6	3	5	14	

Table D.20. Question B2.3.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

## **Present to December**

Supply	Percentage Reporting by Population Ser					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Membrane	Seek alternative supplier	52.2%	23.6%	100.0%	46.1%	
modules		10.7% - 90.9%	3.2% - 74.2%	N/A - N/A	13.0% - 82.9%	
	Increase purchasing / maintain	28.3%	88.2%	100.0%	46.1%	
	a larger than typical inventory	3.5% - 80.9%	33.1% - 99.1%	N/A - N/A	13.0% - 82.9%	
	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%	
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Make arrangements with neighbor-	23.9%	0.0%	0.0%	16.9%	
	ing utilities or mutual aid network	2.2% - 81.2%	N/A - N/A	N/A - N/A	1.7% - 70.9%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	5	4	2	11	
Pumps	Seek alternative supplier	63.8%	67.6%	73.5%	65.1%	
		43.8% - 79.9%	49.7% - 81.5%	44.9% - 90.4%	49.7% - 77.9%	
	Increase purchasing / maintain	40.9%	30.1%	41.9%	38.6%	
	a larger than typical inventory	23.8% - 60.5%	16.8% - 47.9%	20.0% - 67.5%	25.3% - 54.0%	
	Switch chemicals, procedures,	3.9%	0.0%	0.0%	2.9%	
	or technologies	0.5% - 24.1%	N/A - N/A	N/A - N/A	0.4% - 18.6%	
	Make arrangements with neighbor-	16.5%	10.0%	0.0%	14.3%	
	ing utilities or mutual aid network	6.4% - 36.2%	3.7% - 24.6%	N/A - N/A	6.4% - 29.0%	
	Other	4.7%	6.2%	5.1%	5.0%	
		0.8% - 22.3%	1.8% - 18.8%	0.7% - 29.4%	1.5% - 15.8%	
	Observations	32	37	16	85	

Table D.20. Question B2.3.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

## **Present to December**

Supply		Percentage Reporting by Population Served				
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Motors	Seek alternative supplier	66.1%	60.1%	73.5%	65.1%	
		44.0% - 82.9%	37.6% - 79.0%	44.9% - 90.4%	48.5% - 78.7%	
	Increase purchasing / maintain	39.5%	44.0%	52.1%	41.2%	
	a larger than typical inventory	21.4% - 61.1%	24.8% - 65.3%	27.5% - 75.7%	26.7% - 57.5%	
	Switch chemicals, procedures,	4.7%	0.0%	0.0%	3.4%	
	or technologies	0.6% - 28.1%	N/A - N/A	N/A - N/A	0.5% - 21.1%	
	Make arrangements with neighbor-	18.9%	8.0%	0.0%	15.3%	
	ing utilities or mutual aid network	7.1% - 41.5%	2.5% - 22.8%	N/A - N/A	6.5% - 32.0%	
	Other	5.6%	2.7%	5.1%	4.9%	
		1.0% - 26.1%	0.4% - 17.2%	0.7% - 29.6%	1.2% - 18.4%	
	Observations	27	34	16	77	
Pipes	Seek alternative supplier	69.1%	56.0%	76.5%	66.8%	
		46.1% - 85.4%	31.1% - 78.2%	37.8% - 94.6%	48.7% - 80.9%	
	Increase purchasing / maintain	31.8%	23.8%	30.9%	30.2%	
	a larger than typical inventory	15.3% - 54.6%	10.7% - 44.9%	9.1% - 66.5%	16.8% - 48.0%	
	Switch chemicals, procedures,	5.0%	18.6%	14.8%	8.2%	
	or technologies	0.7% - 29.4%	3.1% - 62.3%	3.4% - 45.7%	2.2% - 25.9%	
	Make arrangements with neighbor-	15.9%	10.2%	0.0%	14.1%	
	ing utilities or mutual aid network	5.4% - 38.7%	3.1% - 28.7%	N/A - N/A	5.5% - 31.4%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	25	26	10	61	

Table D.20. Question B2.3.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

## **Present to December**

Supply	ly Percentage Reporting by Population Ser					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Valves	Seek alternative supplier	76.2%	62.8%	72.1%	72.8%	
		53.4% - 89.9%	39.4% - 81.4%	42.7% - 89.9%	56.2% - 84.9%	
	Increase purchasing / maintain	34.2%	38.7%	54.9%	36.3%	
	a larger than typical inventory	17.3% - 56.4%	19.8% - 61.7%	28.8% - 78.5%	22.5% - 52.9%	
	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%	
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Make arrangements with neighbor-	15.2%	10.7%	0.0%	13.3%	
	ing utilities or mutual aid network	5.1% - 37.2%	3.9% - 26.1%	N/A - N/A	5.5% - 29.0%	
	Other	0.0%	0.0%	0.0%	0.0%	
		N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Observations	26	34	15	75	
Fuel	Seek alternative supplier	76.1%	25.1%	40.7%	59.9%	
		36.8% - 94.6%	9.0% - 53.2%	5.1% - 89.7%	34.8% - 80.7%	
	Increase purchasing / maintain	12.0%	52.5%	40.7%	24.9%	
	a larger than typical inventory	1.4% - 56.0%	23.5% - 79.9%	5.1% - 89.7%	9.3% - 51.7%	
	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%	
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	
	Make arrangements with neighbor-	12.0%	0.0%	0.0%	8.0%	
	ing utilities or mutual aid network	1.4% - 56.0%	N/A - N/A	N/A - N/A	1.0% - 43.1%	
	Other	0.0%	5.0%	0.0%	1.5%	
		N/A - N/A	0.6% - 31.1%	N/A - N/A	0.2% - 10.0%	
	Observations	10	16	3	29	

Table D.20. Question B2.3.3: What steps have you taken or are you taking to prepare for potential shortages and supply chain disruptions? (select all that apply)

#### **Present to December**

### **Estimates and 95 Percent Confidence Intervals**

Supply		Percentage Reporting by Population Served					
Chain Issue	Response	< 10,000	10,000 - 99,999	100,000 or more	All		
Vehicles	Seek alternative supplier	64.1%	19.1%	23.9%	54.7%		
		28.4% - 89.0%	3.2% - 62.9%	1.6% - 85.7%	26.3% - 80.3%		
	Increase purchasing / maintain	23.9%	61.8%	23.9%	30.7%		
	a larger than typical inventory	5.4% - 63.3%	19.2% - 91.7%	1.6% - 85.7%	10.5% - 62.7%		
	Switch chemicals, procedures,	0.0%	0.0%	0.0%	0.0%		
	or technologies	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A		
	Make arrangements with neighbor-	26.1%	0.0%	52.2%	22.3%		
	ing utilities or mutual aid network	6.5% - 64.0%	N/A - N/A	13.0% - 88.9%	6.2% - 55.2%		
	Other	12.0%	9.6%	0.0%	11.1%		
		1.4% - 56.3%	0.9% - 54.4%	N/A - N/A	1.8% - 46.3%		
	Observations	10	6	3	19		

Table D.21. Question B3: Has your organization made any requests for personnel, supplies, or equipment to your tribal, local, or state emergency operations center (EOC), primacy agency, or similar organization?

Wastewater Treatment Facilities

	Percentage Reporting by Population Served				
Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Yes, entirely fulfilled	11.9%	21.2%	28.4%	13.9%	
	8.4% - 16.5%	16.0% - 27.6%	15.5% - 46.3%	10.8% - 17.7%	
Yes, partly fulfilled	5.8%	7.3%	11.3%	6.2%	
	3.5% - 9.5%	4.8% - 11.0%	6.5% - 18.7%	4.2% - 9.1%	
Yes, not fulfilled	0.4%	0.0%	1.9%	0.4%	
	0.1% - 2.9%	N/A - N/A	0.3% - 10.7%	0.1% - 2.1%	
No, support was not needed	70.6%	58.1%	52.3%	68.0%	
	64.6% - 75.9%	51.0% - 64.9%	39.0% - 65.3%	63.1% - 72.6%	
No, but we would have used it if it had	4.1%	5.1%	2.6%	4.2%	
been available or if we had known of it	2.2% - 7.4%	2.0% - 12.0%	1.0% - 6.7%	2.5% - 6.9%	
Do not know	7.3%	8.3%	3.6%	7.3%	
	4.6% - 11.3%	5.6% - 12.2%	1.2% - 10.4%	5.0% - 10.5%	
Observations	271	236	92	599	

Table D.22. Question C.1: To what extent did your organization experience shortages of key personnel, including contractors performing critical functions to maintain operations, from January 2020 through present day?

## January to the Present

Personnel		Per	rcentage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Licensed or	Mean Score (0-	0.28	0.53	0.49	0.33
certified	3)	0.20 - 0.36	0.44 - 0.63	0.34 - 0.65	0.26 - 0.39
operators	No Shortage	80.1%	60.5%	63.6%	76.5%
	(0)	74.7% - 84.6%	53.7% - 66.9%	52.2% - 73.7%	72.1% - 80.4%
	Slight Shortage	13.3%	26.0%	23.4%	15.7%
	(1)	9.6% - 18.2%	20.4% - 32.6%	15.9% - 33.1%	12.4% - 19.6%
	Moderate	5.2%	13.1%	13.0%	6.7%
	Shortage (2)	3.1% - 8.7%	9.6% - 17.6%	7.9% - 20.7%	4.8% - 9.4%
	Severe	1.4%	0.4%	0.0%	1.2%
	Shorage (3)	0.5% - 3.9%	0.1% - 2.5%	N/A - N/A	0.4% - 3.1%
Laboratory	Mean Score (0-	0.14	0.33	0.42	0.18
technicians/	3)	0.08 - 0.19	0.25 - 0.41	0.27 - 0.56	0.13 - 0.22
sample analysts	No Shortage	90.0%	74.4%	70.5%	86.9%
	(0)	85.7% - 93.1%	67.9% - 80.0%	59.8% - 79.3%	83.4% - 89.7%
	Slight Shortage	6.6%	19.1%	18.3%	9.0%
	(1)	4.1% - 10.4%	14.1% - 25.4%	11.8% - 27.3%	6.7% - 12.0%
	Moderate	3.0%	5.6%	10.4%	3.6%
	Shortage (2)	1.5% - 5.9%	3.5% - 9.0%	5.9% - 17.7%	2.3% - 5.8%
	Severe	0.4%	0.8%	0.9%	0.5%
	Shorage (3)	0.1% - 2.9%	0.2% - 2.9%	0.2% - 4.5%	0.1% - 2.0%

Table D.22. Question C.1: To what extent did your organization experience shortages of key personnel, including contractors performing critical functions to maintain operations, from January 2020 through present day?

## January to the Present

Personnel		Pei	centage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Field Workers	Mean Score (0-	0.26	0.42	0.48	0.29
(meter reading,	3)	0.19 - 0.33	0.34 - 0.51	0.32 - 0.65	0.23 - 0.35
sampling,	No Shortage	80.8%	67.3%	66.8%	78.2%
maintenance,	(0)	75.4% - 85.2%	60.5% - 73.3%	55.5% - 76.3%	73.9% - 82.0%
etc.)	Slight Shortage	13.2%	23.7%	19.1%	15.1%
	(1)	9.6% - 18.1%	18.2% - 30.2%	12.6% - 27.9%	11.8% - 19.0%
	Moderate	5.0%	8.5%	13.3%	5.8%
	Shortage (2)	3.0% - 8.4%	5.7% - 12.3%	7.8% - 21.7%	4.0% - 8.4%
	Severe	0.9%	0.6%	0.9%	0.9%
	Shorage (3)	0.3% - 3.2%	0.2% - 2.3%	0.2% - 4.6%	0.3% - 2.6%
Engineering/	Mean Score (0-	0.11	0.24	0.30	0.14
design/	3)	0.07 - 0.15	0.17 - 0.30	0.20 - 0.41	0.10 - 0.17
construction	No Shortage	90.3%	80.7%	73.0%	88.2%
personnel	(0)	86.0% - 93.4%	74.4% - 85.7%	62.6% - 81.4%	84.7% - 90.9%
	Slight Shortage	8.4%	15.1%	23.6%	9.9%
	(1)	5.5% - 12.5%	10.5% - 21.3%	15.8% - 33.6%	7.4% - 13.2%
	Moderate	1.4%	4.2%	3.4%	1.9%
	Shortage (2)	0.5% - 3.9%	2.4% - 7.1%	1.5% - 7.8%	1.0% - 3.6%
	Severe	0.0%	0.0%	0.0%	0.0%
	Shorage (3)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A

Table D.22. Question C.1: To what extent did your organization experience shortages of key personnel, including contractors performing critical functions to maintain operations, from January 2020 through present day?

## January to the Present

Personnel		Pei	centage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Critial equipment	Mean Score (0-	0.15	0.30	0.37	0.18
repair	3)	0.09 - 0.21	0.23 - 0.38	0.25 - 0.50	0.14 - 0.23
	No Shortage	88.7%	74.6%	69.6%	85.8%
	(0)	84.2% - 92.0%	68.1% - 80.2%	58.8% - 78.6%	82.2% - 88.8%
	Slight Shortage	8.3%	20.4%	24.4%	10.8%
	(1)	5.5% - 12.4%	15.2% - 26.8%	16.5% - 34.5%	8.2% - 14.1%
	Moderate	2.0%	5.0%	5.1%	2.6%
	Shortage (2)	0.9% - 4.6%	3.0% - 8.2%	2.5% - 10.1%	1.5% - 4.5%
	Severe	0.9%	0.0%	0.9%	0.8%
	Shorage (3)	0.3% - 3.3%	N/A - N/A	0.2% - 4.5%	0.2% - 2.6%
Administrative	Mean Score (0-	0.14	0.30	0.24	0.17
	3)	0.08 - 0.19	0.23 - 0.38	0.13 - 0.35	0.12 - 0.21
	No Shortage	89.8%	76.4%	79.7%	87.3%
	(0)	85.4% - 92.9%	70.0% - 81.9%	69.8% - 87.0%	83.8% - 90.2%
	Slight Shortage	7.8%	17.1%	16.7%	9.6%
	(1)	5.0% - 11.8%	12.3% - 23.4%	10.3% - 25.9%	7.1% - 12.8%
	Moderate	1.6%	6.0%	3.6%	2.4%
	Shortage (2)	0.6% - 3.9%	3.8% - 9.5%	1.2% - 10.4%	1.4% - 4.0%
	Severe	0.9%	0.4%	0.0%	0.8%
	Shorage (3)	0.2% - 3.3%	0.1% - 2.5%	N/A - N/A	0.2% - 2.6%

Table D.22. Question C.1: To what extent did your organization experience shortages of key personnel, including contractors performing critical functions to maintain operations, from January 2020 through present day?

## January to the Present

### **Estimates and 95 Percent Confidence Intervals**

Personnel		Pei	rcentage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
<b>Customer Service</b>	Mean Score (0-	0.14	0.24	0.20	0.16
	3)	0.09 - 0.20	0.17 - 0.31	0.09 - 0.30	0.11 - 0.21
	No Shortage	89.5%	82.6%	83.9%	88.2%
	(0)	85.0% - 92.7%	77.6% - 86.7%	74.5% - 90.2%	84.7% - 91.0%
	Slight Shortage	7.1%	11.3%	12.5%	7.9%
	(1)	4.5% - 11.0%	8.1% - 15.7%	7.2% - 20.9%	5.6% - 11.0%
	Moderate	3.0%	5.7%	3.6%	3.5%
	Shortage (2)	1.5% - 5.9%	3.5% - 9.0%	1.2% - 10.6%	2.1% - 5.6%
	Severe	0.4%	0.4%	0.0%	0.4%
	Shorage (3)	0.1% - 2.9%	0.1% - 2.5%	N/A - N/A	0.1% - 2.1%
Other	Mean Score (0-	0.58	0.19	1.50	0.52
	3)	-0.11 - 1.27	-0.12 - 0.50	-1.51 - 4.51	-0.04 - 1.07
	No Shortage	71.1%	80.9%	50.0%	72.7%
	(0)	31.6% - 92.9%	36.7% - 96.9%	1.8% - 98.2%	39.4% - 91.6%
	Slight Shortage	0.0%	19.1%	0.0%	3.8%
	(1)	N/A - N/A	3.1% - 63.3%	N/A - N/A	1.0% - 13.4%
	Moderate	28.9%	0.0%	0.0%	22.7%
	Shortage (2)	7.1% - 68.4%	N/A - N/A	N/A - N/A	5.7% - 58.6%
	Severe	0.0%	0.0%	50.0%	0.9%
	Shorage (3)	N/A - N/A	N/A - N/A	1.8% - 98.2%	0.1% - 6.2%
Observations		271	237	93	601

Table D.23. Question C.2: To what extent do you expect to experience shortages of key personnel, including contractors performing critical functions to maintain operations, from present day through December 2020?

## January to the Present

Personnel		Pei	centage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Licensed or	Mean Score (0-	0.22	0.52	0.44	0.27
certified	3)	0.16 - 0.28	0.43 - 0.61	0.30 - 0.58	0.22 - 0.33
operators	No Shortage	83.2%	59.5%	64.0%	78.9%
	(0)	78.0% - 87.3%	52.3% - 66.3%	52.4% - 74.2%	74.7% - 82.6%
	Slight Shortage	11.9%	28.7%	29.1%	15.1%
	(1)	8.4% - 16.5%	22.4% - 36.0%	20.1% - 40.0%	11.9% - 18.9%
	Moderate	4.8%	11.8%	6.0%	5.9%
	Shortage (2)	2.8% - 8.2%	8.4% - 16.2%	3.1% - 11.3%	4.1% - 8.5%
	Severe	0.2%	0.0%	0.9%	0.2%
	Shorage (3)	0.0% - 0.6%	N/A - N/A	0.2% - 4.5%	0.1% - 0.5%
Laboratory	Mean Score (0-	0.13	0.33	0.29	0.17
technicians/	3)	0.08 - 0.19	0.26 - 0.41	0.18 - 0.40	0.13 - 0.22
sample analysts	No Shortage	89.9%	72.5%	74.5%	86.7%
	(0)	85.6% - 93.1%	65.8% - 78.3%	64.2% - 82.7%	83.2% - 89.6%
	Slight Shortage	6.7%	21.9%	22.0%	9.6%
	(1)	4.2% - 10.5%	16.5% - 28.4%	14.5% - 31.9%	7.2% - 12.6%
	Moderate	3.4%	5.2%	3.4%	3.6%
	Shortage (2)	1.7% - 6.4%	3.1% - 8.5%	1.5% - 7.9%	2.2% - 6.0%
	Severe	0.0%	0.4%	0.0%	0.1%
	Shorage (3)	N/A - N/A	0.1% - 2.5%	N/A - N/A	0.0% - 0.4%

Table D.23. Question C.2: To what extent do you expect to experience shortages of key personnel, including contractors performing critical functions to maintain operations, from present day through December 2020?

## January to the Present

Personnel		Pei	Percentage Reporting by Population Served			
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Field Workers	Mean Score (0-	0.19	0.35	0.32	0.22	
(meter reading,	3)	0.13 - 0.25	0.28 - 0.43	0.19 - 0.44	0.17 - 0.27	
sampling,	No Shortage	85.1%	70.4%	74.5%	82.5%	
maintenance,	(0)	80.1% - 89.0%	63.7% - 76.4%	64.2% - 82.7%	78.4% - 85.9%	
etc.)	Slight Shortage	11.2%	23.9%	19.3%	13.4%	
	(1)	7.8% - 15.8%	18.3% - 30.4%	12.5% - 28.5%	10.4% - 17.2%	
	Moderate	3.3%	5.7%	6.2%	3.7%	
	Shortage (2)	1.7% - 6.1%	3.6% - 9.0%	2.8% - 13.0%	2.3% - 5.9%	
	Severe	0.4%	0.0%	0.0%	0.4%	
	Shorage (3)	0.1% - 2.9%	N/A - N/A	N/A - N/A	0.1% - 2.4%	
Engineering/	Mean Score (0-	0.11	0.23	0.20	0.14	
design/	3)	0.07 - 0.16	0.17 - 0.30	0.12 - 0.29	0.10 - 0.18	
construction	No Shortage	91.1%	81.1%	80.6%	89.2%	
personnel	(0)	86.9% - 94.0%	75.9% - 85.5%	70.9% - 87.5%	85.9% - 91.8%	
	Slight Shortage	6.4%	14.4%	18.6%	8.0%	
	(1)	3.9% - 10.2%	10.6% - 19.2%	11.8% - 28.1%	5.8% - 11.0%	
	Moderate	2.5%	4.5%	0.9%	2.8%	
	Shortage (2)	1.2% - 5.3%	2.6% - 7.6%	0.2% - 4.5%	1.6% - 4.9%	
	Severe	0.0%	0.0%	0.0%	0.0%	
	Shorage (3)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A	

Table D.23. Question C.2: To what extent do you expect to experience shortages of key personnel, including contractors performing critical functions to maintain operations, from present day through December 2020?

## January to the Present

Personnel		Pei	rcentage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Critial equipment	Mean Score (0-	0.13	0.28	0.33	0.16
repair	3)	0.08 - 0.18	0.22 - 0.35	0.21 - 0.45	0.12 - 0.20
	No Shortage	90.0%	76.9%	72.8%	87.4%
	(0)	85.7% - 93.2%	71.2% - 81.7%	62.3% - 81.3%	83.9% - 90.2%
	Slight Shortage	7.8%	18.2%	22.0%	9.9%
	(1)	5.0% - 11.9%	13.9% - 23.4%	14.5% - 31.9%	7.4% - 13.1%
	Moderate	1.8%	4.5%	4.3%	2.3%
	Shortage (2)	0.8% - 4.1%	2.6% - 7.7%	2.0% - 9.1%	1.3% - 4.0%
	Severe	0.4%	0.4%	0.9%	0.4%
	Shorage (3)	0.1% - 3.0%	0.1% - 2.5%	0.2% - 4.5%	0.1% - 2.1%
Administrative	Mean Score (0-	0.11	0.25	0.15	0.13
	3)	0.06 - 0.15	0.19 - 0.32	0.07 - 0.23	0.09 - 0.17
	No Shortage	90.8%	78.1%	86.6%	88.6%
	(0)	86.5% - 93.8%	71.6% - 83.4%	78.1% - 92.2%	85.2% - 91.4%
	Slight Shortage	8.1%	18.4%	11.6%	9.8%
	(1)	5.2% - 12.2%	13.4% - 24.8%	6.5% - 19.9%	7.2% - 13.1%
	Moderate	0.7%	3.5%	1.7%	1.2%
	Shortage (2)	0.2% - 2.4%	1.9% - 6.4%	0.5% - 5.6%	0.6% - 2.3%
	Severe	0.4%	0.0%	0.0%	0.4%
	Shorage (3)	0.1% - 3.0%	N/A - N/A	N/A - N/A	0.1% - 2.4%

Table D.23. Question C.2: To what extent do you expect to experience shortages of key personnel, including contractors performing critical functions to maintain operations, from present day through December 2020?

## January to the Present

### **Estimates and 95 Percent Confidence Intervals**

Personnel		Pei	rcentage Reporting	by Population Serv	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
<b>Customer Service</b>	Mean Score (0-	0.12	0.21	0.13	0.13
	3)	0.07 - 0.16	0.15 - 0.27	0.06 - 0.21	0.09 - 0.17
	No Shortage	90.4%	83.8%	87.5%	89.3%
	(0)	86.0% - 93.5%	78.8% - 87.8%	79.1% - 92.8%	85.8% - 92.0%
	Slight Shortage	8.0%	11.9%	11.6%	8.7%
	(1)	5.2% - 12.1%	8.6% - 16.3%	6.5% - 19.9%	6.3% - 12.0%
	Moderate	1.2%	4.3%	0.9%	1.7%
	Shortage (2)	0.4% - 3.3%	2.5% - 7.4%	0.2% - 4.6%	0.9% - 3.1%
	Severe	0.4%	0.0%	0.0%	0.3%
	Shorage (3)	0.1% - 2.9%	N/A - N/A	N/A - N/A	0.1% - 2.4%
Other	Mean Score (0-	0.00	0.33	3.00	0.18
	3)	0.00 - 0.00	-0.31 - 0.98	3.00 - 3.00	0.08 - 0.27
	No Shortage	100.0%	66.7%	0.0%	91.0%
	(0)	N/A - N/A	9.8% - 97.4%	N/A - N/A	75.6% - 97.1%
	Slight Shortage	0.0%	33.3%	0.0%	4.7%
	(1)	N/A - N/A	2.6% - 90.2%	N/A - N/A	0.6% - 30.0%
	Moderate	0.0%	0.0%	0.0%	0.0%
	Shortage (2)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Severe	0.0%	0.0%	100.0%	4.3%
	Shorage (3)	N/A - N/A	N/A - N/A	N/A - N/A	4.3% - 4.3%
Observations		268	233	92	593

Table D.24. Question C.3: What factors contribute to personnel shortages you identified in questions C.1 and C.2? (check all that apply)

Wastewater Treatment Facilities

		Percentage Reporting by Population Served			
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Absenteeism	Mean Score	1.18	1.24	1.21	1.19
(illness, care of	(0-2)	1.02 - 1.33	1.11 - 1.37	1.07 - 1.35	1.08 - 1.31
family members,	Does Not	19.9%	14.4%	9.7%	18.1%
daycare closure,	Contribute (0)	12.7% - 29.6%	8.2% - 23.9%	4.7% - 18.9%	12.6% - 25.2%
virtual schooling,	Contributes	42.6%	47.4%	59.5%	44.5%
etc.)	Somewhat (1)	32.5% - 53.3%	38.7% - 56.2%	47.4% - 70.6%	36.9% - 52.4%
	Contributes	37.6%	38.2%	30.8%	37.4%
	Significantly (2)	28.0% - 48.3%	30.5% - 46.6%	21.0% - 42.6%	30.2% - 45.3%
Layoffs or	Mean Score	0.19	0.22	0.17	0.20
furloughs	(0-2)	0.07 - 0.31	0.12 - 0.33	0.01 - 0.33	0.11 - 0.28
	Does Not	85.9%	83.2%	86.9%	85.3%
	Contribute (0)	75.5% - 92.4%	72.7% - 90.2%	73.8% - 94.0%	78.0% - 90.5%
	Contributes	9.3%	11.2%	9.1%	9.8%
	Somewhat (1)	4.3% - 18.9%	5.2% - 22.5%	4.1% - 19.2%	5.6% - 16.5%
	Contributes	4.8%	5.6%	4.0%	4.9%
	Significantly (2)	1.6% - 13.2%	2.8% - 10.8%	0.6% - 21.8%	2.3% - 10.2%
Reduced work	Mean Score	0.50	0.60	0.61	0.53
hours of	(0-2)	0.34 - 0.67	0.48 - 0.72	0.40 - 0.82	0.41 - 0.65
current staff	Does Not	62.7%	54.3%	56.4%	60.3%
	Contribute (0)	51.2% - 72.9%	44.7% - 63.6%	42.9% - 69.0%	51.9% - 68.2%
	Contributes	24.2%	31.8%	26.5%	26.2%
	Somewhat (1)	15.8% - 35.4%	22.7% - 42.4%	16.3% - 40.0%	19.5% - 34.3%
	Contributes	13.1%	13.9%	17.2%	13.5%
	Significantly (2)	7.1% - 22.9%	9.2% - 20.7%	8.9% - 30.4%	8.8% - 20.2%

Table D.24. Question C.3: What factors contribute to personnel shortages you identified in questions C.1 and C.2? (check all that apply)

Wastewater Treatment Facilities

		Percentage Reporting by Population Served			
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Delayed or	Mean Score	0.32	0.55	0.80	0.40
cancelled plans	(0-2)	0.18 - 0.45	0.38 - 0.71	0.61 - 0.98	0.29 - 0.50
to hire staff	Does Not	75.8%	61.5%	37.8%	70.4%
	Contribute (0)	64.9% - 84.2%	52.0% - 70.3%	26.4% - 50.7%	62.7% - 77.1%
	Contributes	16.7%	22.4%	44.9%	19.5%
	Somewhat (1)	9.8% - 26.8%	16.3% - 30.1%	32.6% - 57.8%	14.0% - 26.4%
	Contributes	7.5%	16.0%	17.3%	10.1%
	Significantly (2)	3.3% - 16.1%	9.3% - 26.2%	9.4% - 29.8%	6.3% - 16.0%
Lack of backup	Mean Score	0.80	0.63	0.71	0.75
certified	(0-2)	0.63 - 0.96	0.51 - 0.74	0.49 - 0.94	0.63 - 0.88
personnel	Does Not	39.9%	49.0%	48.9%	42.4%
	Contribute (0)	29.6% - 51.2%	39.9% - 58.2%	35.7% - 62.4%	34.4% - 50.8%
	Contributes	40.6%	39.4%	30.7%	39.9%
	Somewhat (1)	30.2% - 52.0%	30.5% - 49.2%	19.9% - 44.2%	31.9% - 48.4%
	Contributes	19.5%	11.6%	20.3%	17.7%
	Significantly (2)	12.1% - 29.7%	7.1% - 18.2%	11.0% - 34.6%	12.1% - 25.2%
Operators unable	Mean Score	0.84	0.58	0.30	0.76
to obtain or	(0-2)	0.66 - 1.03	0.45 - 0.72	0.15 - 0.46	0.62 - 0.90
maintain needed	Does Not	43.4%	56.6%	78.5%	48.0%
certification due to	Contribute (0)	33.0% - 54.5%	47.3% - 65.4%	66.6% - 87.0%	39.9% - 56.2%
lack of in-person	Contributes	28.6%	28.5%	12.6%	27.9%
training	Somewhat (1)	19.8% - 39.5%	21.4% - 37.0%	6.4% - 23.1%	21.1% - 35.9%
	Contributes	27.9%	14.9%	9.0%	24.1%
	Significantly (2)	19.1% - 38.8%	9.8% - 21.9%	4.0% - 18.8%	17.5% - 32.2%

Table D.24. Question C.3: What factors contribute to personnel shortages you identified in questions C.1 and C.2? (check all that apply)

Wastewater Treatment Facilities

		Pe	rcentage Reporting	by Population Serv	ved
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Restrictions on	Mean Score	0.59	0.54	0.63	0.58
travel	(0-2)	0.43 - 0.74	0.42 - 0.66	0.44 - 0.82	0.46 - 0.69
	Does Not	52.8%	58.4%	50.7%	54.1%
	Contribute (0)	41.6% - 63.8%	49.7% - 66.7%	37.9% - 63.4%	45.7% - 62.2%
	Contributes	35.7%	29.2%	35.7%	34.1%
	Somewhat (1)	25.6% - 47.1%	22.1% - 37.4%	24.3% - 49.0%	26.6% - 42.5%
	Contributes	11.5%	12.4%	13.7%	11.8%
	Significantly (2)	6.0% - 20.9%	7.9% - 18.9%	6.7% - 25.8%	7.4% - 18.3%
Lack of I.T.	Mean Score	0.43	0.27	0.25	0.38
infrastructure	(0-2)	0.26 - 0.59	0.18 - 0.36	0.12 - 0.39	0.26 - 0.50
(e.g., equipment,	Does Not	69.0%	76.9%	78.1%	71.5%
network capacity)	Contribute (0)	57.1% - 78.9%	68.8% - 83.4%	65.0% - 87.3%	63.0% - 78.7%
	Contributes	19.2%	18.9%	18.3%	19.1%
	Somewhat (1)	11.4% - 30.5%	13.1% - 26.6%	9.9% - 31.3%	13.2% - 26.8%
	Contributes	11.8%	4.2%	3.6%	9.4%
	Significantly (2)	6.0% - 21.8%	1.9% - 8.9%	1.0% - 12.1%	5.2% - 16.3%
Other	Mean Score	0.49	0.37	1.00	0.48
	(0-2)	-0.03 - 1.01	0.02 - 0.73	0.12 - 1.88	0.10 - 0.86
	Does Not	70.5%	76.7%	50.0%	71.5%
	Contribute (0)	36.4% - 90.9%	50.0% - 91.6%	14.6% - 85.4%	46.3% - 87.9%
	Contributes	9.8%	9.3%	0.0%	9.3%
	Somewhat (1)	1.2% - 49.6%	2.0% - 33.6%	N/A - N/A	1.9% - 35.1%
	Contributes	19.6%	14.0%	50.0%	19.2%
	Significantly (2)	4.5% - 55.9%	4.0% - 39.1%	14.6% - 85.4%	6.6% - 44.4%
Observations		101	149	57	307

Table D.25. Question C.4: What strategies or resources has your organization used to address potential shortages of certified operators, laboratory staff, or other trained personnel during the COVID-19 pandemic? (select all that apply)

## **Estimates and 95 Percent Confidence Intervals**

	Percentage Reporting by Population Served				
Strategy or Resource	< 10,000	10,000 - 99,999	100,000 or more	All	
Used technology to allow some operational	24.8%	38.8%	43.1%	28.0%	
tasks to be performed remotely	19.0% - 31.6%	32.0% - 46.1%	30.5% - 56.5%	23.2% - 33.4%	
Used telework for job functions that could	9.8%	42.7%	73.9%	18.3%	
be performed remotely	6.3% - 14.9%	35.7% - 50.0%	62.8% - 82.6%	14.9% - 22.3%	
Decreased staffing/changed shift work while	30.4%	65.2%	74.1%	38.5%	
maintaining core operations	24.1% - 37.5%	58.0% - 71.8%	63.3% - 82.5%	33.1% - 44.1%	
Delayed non-critical work	45.6%	64.8%	83.6%	50.6%	
	38.5% - 52.9%	57.2% - 71.8%	74.5% - 89.9%	44.8% - 56.4%	
Increased use of contractors	7.2%	9.4%	9.7%	7.7%	
	4.3% - 11.9%	5.2% - 16.5%	5.1% - 17.7%	5.2% - 11.4%	
Accessed operators from nearby utilities,	9.1%	3.4%	0.0%	7.7%	
via WARN or other mutual aid networks	5.7% - 14.3%	0.8% - 13.4%	N/A - N/A	4.9% - 11.9%	
Utilized backup laboratory services	8.7%	11.9%	14.7%	9.5%	
	5.4% - 13.8%	8.4% - 16.6%	8.5% - 24.1%	6.7% - 13.4%	
Other	23.1%	12.4%	5.7%	20.5%	
	17.5% - 29.8%	8.8% - 17.3%	2.8% - 11.5%	16.0% - 25.8%	
Observations	204	211	82	497	

Table D.26. Question C.4.1: Did the strategies you implemented help mitigate staffing shortages?

<u>Wastewater Treatment Facilities</u>

Strategy or		Percentage Reporting by Population Served				
Resource	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Used technology (e.g.,	Strategy Did	10.3%	4.8%	2.2%	8.4%	
live video feeds) to allow	Not Help	4.2% - 22.9%	1.9% - 11.5%	0.4% - 11.4%	4.0% - 17.0%	
some operational tasks	Strategy	72.3%	82.8%	95.6%	76.4%	
to be performed	Helped	57.6% - 83.4%	73.6% - 89.3%	86.1% - 98.7%	65.9% - 84.4%	
remotely	Not Sure	17.4%	12.4%	2.2%	15.2%	
		8.8% - 31.3%	7.1% - 20.8%	0.4% - 11.4%	8.9% - 24.8%	
Used telework for job	Strategy Did	6.0%	3.3%	2.6%	4.3%	
functions that could be	Not Help	0.8% - 32.1%	1.1% - 9.1%	0.7% - 8.6%	1.3% - 13.1%	
performed remotely	Strategy	75.0%	83.6%	74.0%	78.5%	
	Helped	50.7% - 89.8%	74.9% - 89.7%	45.8% - 90.6%	67.0% - 86.7%	
	Not Sure	19.0%	13.1%	23.4%	17.3%	
		6.7% - 43.3%	7.8% - 21.3%	7.4% - 53.8%	9.9% - 28.3%	
Decreased staffing/	Strategy Did	6.1%	2.7%	1.3%	4.7%	
changed shift work	Not Help	2.2% - 15.6%	1.1% - 6.7%	0.2% - 6.9%	2.1% - 10.4%	
while maintaining core	Strategy	83.0%	83.5%	75.9%	82.7%	
operations	Helped	71.1% - 90.7%	76.8% - 88.6%	46.8% - 91.9%	75.1% - 88.3%	
	Not Sure	10.9%	13.7%	22.8%	12.6%	
		5.0% - 22.1%	9.1% - 20.0%	7.2% - 53.0%	7.9% - 19.5%	
Delayed non-critical	Strategy Did	16.3%	4.3%	2.3%	12.5%	
work	Not Help	9.7% - 26.1%	2.0% - 8.9%	0.7% - 7.6%	7.8% - 19.5%	
	Strategy	63.5%	83.5%	71.3%	68.7%	
	Helped	52.4% - 73.3%	76.8% - 88.6%	47.9% - 87.1%	60.6% - 75.9%	
	Not Sure	20.2%	12.2%	26.4%	18.7%	
		12.7% - 30.5%	7.9% - 18.4%	10.9% - 51.1%	13.1% - 26.1%	

Table D.26. Question C.4.1: Did the strategies you implemented help mitigate staffing shortages?

<u>Wastewater Treatment Facilities</u>

Strategy or		Percentage Reporting by Population Served				
Resource	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Increased use of	Strategy Did	4.8%	0.0%	0.0%	3.4%	
contractors	Not Help	1.5% - 14.4%	N/A - N/A	N/A - N/A	1.1% - 10.0%	
	Strategy	84.8%	95.1%	80.4%	86.9%	
	Helped	59.3% - 95.5%	73.3% - 99.3%	50.2% - 94.3%	68.8% - 95.3%	
	Not Sure	10.4%	4.9%	19.6%	9.6%	
		1.9% - 40.6%	0.7% - 26.7%	5.7% - 49.8%	2.6% - 29.9%	
Accessed operators	Strategy Did	12.9%	73.2%		17.7%	
from nearby utilities,	Not Help	3.3% - 39.2%	20.8% - 96.6%		5.9% - 42.6%	
including via WARN or	Strategy	66.7%	0.0%		61.3%	
other mutual aid	Helped	41.6% - 84.9%	N/A - N/A		38.0% - 80.3%	
networks	Not Sure	20.5%	26.8%		21.0%	
		7.2% - 46.1%	3.4% - 79.2%		8.1% - 44.4%	
Utilized backup	Strategy Did	2.5%	3.9%	6.5%	3.0%	
laboratory services	Not Help	0.6% - 9.1%	0.6% - 20.9%	1.1% - 29.5%	1.1% - 7.9%	
	Strategy	90.8%	84.5%	79.3%	88.7%	
	Helped	67.6% - 97.9%	66.8% - 93.7%	46.6% - 94.4%	74.5% - 95.5%	
	Not Sure	6.7%	11.6%	14.2%	8.3%	
		0.9% - 35.1%	4.1% - 28.9%	2.4% - 52.8%	2.5% - 24.0%	
Other	Strategy Did	29.9%	5.1%	0.0%	26.9%	
	Not Help	16.5% - 48.0%	0.8% - 26.5%	N/A - N/A	15.1% - 43.4%	
	Strategy	46.2%	79.4%	80.0%	50.1%	
	Helped	29.9% - 63.4%	57.9% - 91.6%	37.1% - 96.4%	35.0% - 65.2%	
	Not Sure	23.9%	15.4%	20.0%	22.9%	
		12.1% - 41.7%	5.4% - 36.7%	3.6% - 62.9%	12.3% - 38.8%	
Observations		91	144	66	297	

Table D.27. Question C.5: What is the greatest concern your organization has associated with maintaining staffing during the pandemic? (select one)

Wastewater Treatment Facilities

Concern	Pe	centage Reporting by Population Served			
	< 10,000	10,000 - 99,999	100,000 or more	All	
Availability of testing for illness	5.2%	10.2%	9.4%	6.2%	
	3.0% - 9.1%	6.0% - 16.6%	4.8% - 17.9%	4.1% - 9.3%	
Availability of PPE	12.7%	13.2%	14.9%	12.9%	
	8.9% - 17.9%	9.6% - 17.9%	8.9% - 24.0%	9.7% - 17.0%	
Ability to house personnel on site	1.7%	3.4%	3.6%	2.1%	
	0.7% - 4.5%	1.8% - 6.2%	1.5% - 8.4%	1.1% - 4.0%	
Cross-training personnel	10.0%	6.4%	3.6%	9.2%	
	6.6% - 14.8%	4.0% - 10.1%	1.5% - 8.4%	6.4% - 13.0%	
Protecting high-risk employees	19.9%	28.0%	20.4%	21.3%	
	15.1% - 25.8%	22.2% - 34.8%	13.2% - 30.2%	17.2% - 26.0%	
Adhering to changing local and state	32.0%	26.4%	28.9%	31.0%	
	26.1% - 38.6%	20.6% - 33.1%	19.7% - 40.3%	26.1% - 36.3%	
Other	18.4%	12.5%	19.1%	17.4%	
	13.7% - 24.2%	9.0% - 17.1%	6.9% - 42.7%	13.6% - 22.1%	
Observations	239	229	87	555	

Table D.28. Question D1.1.2: Please indicate the state of your organization's operating budget from January 2020 to the present

<u>Wastewater Treatment Facilities</u>

January to the Present

		Average Operating Budget by Population Served (Dollars)						
Parameter	< 10,000	10,000 - 99,999	100,000 or more	All				
Budgeted	\$429,298	\$6,598,849	\$37,195,840	\$2,779,409				
Revenue	\$271,304 - \$587,293	\$4,599,047 - \$8,598,651	\$19,933,940 - \$54,457,740	\$2,048,660 - \$3,510,159				
Actual	\$384,127	\$6,022,095	\$36,490,040	\$2,624,270				
Revenue	\$240,503 - \$527,750	\$4,248,025 - \$7,796,165	\$19,675,001 - \$53,305,079	\$1,922,033 - \$3,326,507				
Budgeted	\$329,312	\$5,311,308	\$26,367,852	\$2,092,331				
Expenses	\$216,229 - \$442,394	\$3,430,910 - \$7,191,706	\$17,344,372 - \$35,391,332	\$1,621,127 - \$2,563,534				
Actual	\$292,847	\$4,595,734	\$24,650,398	\$1,884,492				
Expenses	\$184,074 - \$401,619	\$3,005,737 - \$6,185,731	\$16,042,032 - \$33,258,764	\$1,451,679 - \$2,317,304				
Observations	58	80	34	172				

## Table D.29. Average Budgeted and Actual Net Revenue (Calculated)

## **Wastewater Treatment Facilities**

## January to the Present

	Average Net Revenue by Population Served (Dollars)					
Parameter	< 10,000	10,000 - 99,999	100,000 or more	All		
Budgeted Net	\$99,987	\$1,287,541	\$10,827,987	\$687,078		
Revenue	\$32,776 - \$167,197	\$572,923 - \$2,002,160	\$1,790,724 - \$19,865,250	\$334,492 - \$1,039,665		
Actual Net	\$91,280	\$1,426,361	\$11,839,642	\$739,778		
Revenue	\$26,662 - \$155,898	\$680,355 - \$2,172,367	\$2,932,919 - \$20,746,365	\$389,975 - \$1,089,582		
Observations	58	80	34	172		

Table D.30. Percentage of Utilities with a Deficit/Loss, and Total Deficit/Loss at Those Utilities (Calculated)

<u>Wastewater Treatment Facilities</u>

## January to the Present

	Utility Deficits by Population Served (Dollars in Millions)					
Parameter	< 10,000	10,000 - 99,999	100,000 or more	All		
Percentage of Utilities	18%	21%	17%	19%		
with Deficit/Loss	10% - 31%	13% - 32%	8% - 34%	12% - 29%		
Total Deficit/Loss	\$92.4	\$442.3	\$128.3	\$663.0		
(Utilities with Deficit/Loss)	\$18.3 - \$166.5	-\$106.0 - \$990.6	\$24.5 - \$232.2	\$106.6 - \$1,219.4		
Observations	58	80	34	172		

Table D.31. Average Difference between Actual and Budgeted Net Revenue, Percentage of Utilities with a Shortfall, and Total Shortfall at Those Utilities (Calculated)

<u>Wastewater Treatment Facilities</u>

#### January to the Present

	Difference between Actual and Budgeted Net Revenue by Population Served					
Parameter	< 10,000	10,000 - 99,999	100,000 or more	All		
Average Difference in Net Revenue (Actual Minus Budgeted Net Revenue)	-\$8,707 -\$67,336 - \$49,922	\$138,820 -\$164,368 - \$442,008	\$1,011,655 -\$134,255 - \$2,157,565	\$52,700 -\$27,440 - \$132,840		
Percentage of Utilities with Shortfall	40% 28% - 54%	39% 26% - 55%	23% 11% - 41%	40% 29% - 51%		
Total Shortfall in Utilities with Shortfall (Millions of Dollars)	\$458.8 \$81.0 - \$836.6	\$583.9 \$169.9 - \$997.9	\$263.9 \$30.6 - \$497.2	\$1,306.6 \$718.2 - \$1,895.0		
Observations	58	80	34	172		

Table D.32. Question D.1.2: Your answers indicate that actual operating revenue was lower than budgeted during the January to present period. What COVID-19-related factors contributed to this?

### January to the Present

		Per	centage Reporting	by Population Sei	rved
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Nonpayment	Mean Score	0.85	0.69	0.99	0.83
of bills	(0 to 2)	0.58 - 1.12	0.42 - 0.96	0.65 - 1.33	0.61 - 1.06
	Not a Contributor	40.1%	43.3%	22.8%	39.9%
	(0)	25.6% - 56.7%	28.5% - 59.4%	8.2% - 49.6%	27.6% - 53.5%
	Contributor (1)	31.1%	26.9%	45.6%	31.0%
		18.1% - 47.9%	15.2% - 42.9%	23.5% - 69.6%	20.1% - 44.7%
	Significant	25.5%	16.3%	22.0%	24.0%
	Contributor (2)	13.9% - 42.2%	7.5% - 31.8%	9.2% - 44.0%	14.2% - 37.5%
	Do Not Know	3.3%	13.5%	9.6%	5.1%
		0.6% - 16.3%	5.7% - 28.7%	1.4% - 43.9%	2.0% - 12.7%
Decreased use	Mean Score	0.35	0.42	0.59	0.37
	(0 to 2)	0.13 - 0.57	0.21 - 0.63	0.25 - 0.94	0.19 - 0.56
	Not a Contributor	75.5%	56.9%	50.0%	71.6%
	(0)	59.3% - 86.7%	40.8% - 71.6%	26.9% - 73.1%	59.0% - 81.6%
	Contributor (1)	12.9%	22.9%	27.2%	15.0%
		5.5% - 27.4%	12.2% - 38.9%	10.9% - 53.2%	8.2% - 26.0%
	Significant	11.1%	6.7%	13.2%	10.5%
	Contributor (2)	4.2% - 26.4%	2.0% - 19.7%	4.2% - 34.5%	4.6% - 22.5%
	Do Not Know	0.5%	13.5%	9.6%	2.9%
		0.1% - 3.5%	5.7% - 28.7%	1.4% - 43.9%	1.4% - 5.6%

Table D.32. Question D.1.2: Your answers indicate that actual operating revenue was lower than budgeted during the January to present period. What COVID-19-related factors contributed to this?

### January to the Present

## **Estimates and 95 Percent Confidence Intervals**

		Per	Percentage Reporting by Population Served			
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Reduction in	Mean Score	0.03	0.14	0.15	0.05	
rates/fees	(0 to 2)	-0.03 - 0.08	-0.02 - 0.31	-0.06 - 0.35	0.00 - 0.10	
	Not a Contributor	96.7%	86.5%	81.6%	94.5%	
	(0)	83.7% - 99.4%	71.3% - 94.3%	53.8% - 94.4%	87.1% - 97.8%	
	Contributor (1)	2.8%	2.7%	4.4%	2.8%	
		0.4% - 17.7%	0.4% - 17.0%	0.6% - 26.0%	0.6% - 12.9%	
	Significant	0.0%	5.4%	4.4%	1.0%	
	Contributor (2)	N/A - N/A	1.4% - 19.3%	0.6% - 26.0%	0.3% - 3.2%	
	Do Not Know	0.5%	5.4%	9.6%	1.6%	
		0.1% - 3.5%	1.4% - 19.3%	1.4% - 43.9%	0.6% - 4.2%	
Observations		41	38	18	97	

Table D.33. Question D.1.3: Your answers indicate that actual operating expenses were higher than budgeted during the January to present period. What COVID-19-related factors contributed?

## January to the Present

		Percentage Reporting by Population Served			
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Personnel Costs	Mean Score	0.39	0.56	0.97	0.44
	(0 to 2)	0.09 - 0.70	0.10 - 1.03	0.22 - 1.73	0.19 - 0.70
	Not a Contributor	60.7%	56.2%	34.3%	58.9%
	(0)	30.0% - 84.7%	29.0% - 80.1%	4.8% - 84.5%	34.0% - 79.9%
	Contributor (1)	39.3%	19.7%	34.3%	35.8%
		15.3% - 70.0%	5.8% - 49.5%	4.8% - 84.5%	16.1% - 61.9%
	Significant	0.0%	16.1%	31.4%	4.0%
	Contributor (2)	N/A - N/A	3.8% - 47.8%	7.3% - 72.8%	1.4% - 10.4%
	Do Not Know	0.0%	8.0%	0.0%	1.4%
		N/A - N/A	1.0% - 42.1%	N/A - N/A	0.2% - 9.3%
Consumables	Mean Score	0.70	1.00	0.97	0.76
	(0 to 2)	0.30 - 1.10	0.75 - 1.25	0.22 - 1.73	0.44 - 1.08
	Not a Contributor	39.3%	8.0%	34.3%	33.8%
	(0)	15.3% - 70.0%	1.0% - 42.1%	4.8% - 84.5%	14.6% - 60.4%
	Contributor (1)	51.3%	75.9%	34.3%	54.8%
		23.2% - 78.6%	45.1% - 92.4%	4.8% - 84.5%	30.5% - 77.0%
	Significant	9.4%	8.0%	31.4%	10.0%
	Contributor (2)	1.2% - 48.0%	1.0% - 42.1%	7.3% - 72.8%	2.1% - 37.1%
	Do Not Know	0.0%	8.0%	0.0%	1.4%
		N/A - N/A	1.0% - 42.1%	N/A - N/A	0.2% - 9.3%

Table D.33. Question D.1.3: Your answers indicate that actual operating expenses were higher than budgeted during the January to present period. What COVID-19-related factors contributed?

## January to the Present

## **Estimates and 95 Percent Confidence Intervals**

		Percentage Reporting by Population Served			
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Utilities Costs	Mean Score	0.31	0.32	0.34	0.31
	(0 to 2)	0.00 - 0.62	0.05 - 0.59	-0.19 - 0.87	0.07 - 0.56
	Not a Contributor	62.4%	67.9%	65.7%	63.4%
	(0)	31.1% - 85.9%	38.3% - 87.8%	15.5% - 95.2%	37.5% - 83.4%
	Contributor (1)	28.2%	32.1%	34.3%	29.1%
		8.8% - 61.6%	12.2% - 61.7%	4.8% - 84.5%	11.8% - 55.7%
	Significant	0.0%	0.0%	0.0%	0.0%
	Contributor (2)	N/A - N/A	N/A - N/A	N/A - N/A	N/A - N/A
	Do Not Know	9.4%	0.0%	0.0%	7.4%
		1.2% - 48.0%	N/A - N/A	N/A - N/A	0.9% - 40.6%
PPE	Mean Score	0.32	0.83	0.63	0.41
	(0 to 2)	0.03 - 0.60	0.49 - 1.17	-0.13 - 1.39	0.17 - 0.65
	Not a Contributor	68.3%	24.1%	68.6%	60.8%
	(0)	36.5% - 89.0%	7.6% - 54.9%	27.2% - 92.7%	37.0% - 80.4%
	Contributor (1)	31.7%	59.9%	0.0%	35.2%
		11.0% - 63.5%	31.7% - 82.7%	N/A - N/A	16.4% - 60.1%
	Significant	0.0%	8.0%	31.4%	2.6%
	Contributor (2)	N/A - N/A	1.0% - 42.1%	7.3% - 72.8%	0.8% - 8.1%
	Do Not Know	0.0%	8.0%	0.0%	1.4%
		N/A - N/A	1.0% - 42.1%	N/A - N/A	0.2% - 9.3%
Observations		14	13	4	31

Table D.34. Question D.2.1: Do you expect your financial situation for the remainder of the year to improve, to worsen, or to stay about the same, compared with the January to present timeframe?

#### **Present to December**

Value		Per	centage Reporting	by Population Sei	ved
Туре	Response	< 10,000	10,000 - 99,999	100,000 or more	All
Operating	Mean Score (0 to 2)	1.03	1.02	1.05	1.03
Revenue		0.97 - 1.10	0.96 - 1.08	0.95 - 1.14	0.98 - 1.08
	Improve (0)	7.6%	8.5%	7.9%	7.8%
		4.8% - 12.0%	5.6% - 12.7%	3.5% - 16.5%	5.3% - 11.3%
	Stay About the Same	68.8%	70.3%	74.1%	69.2%
	(1)	62.3% - 74.6%	64.1% - 75.9%	63.1% - 82.8%	63.9% - 74.1%
	Worsen (2)	10.4%	10.2%	12.4%	10.4%
		7.0% - 15.2%	7.0% - 14.6%	7.1% - 20.6%	7.5% - 14.3%
	Do Not Know	13.2%	11.0%	5.6%	12.6%
		9.2% - 18.4%	7.6% - 15.6%	2.7% - 11.2%	9.3% - 16.8%
Operating	Mean Score (0 to 2)	1.09	1.01	1.02	1.08
Expenses		1.04 - 1.15	0.96 - 1.05	0.94 - 1.09	1.03 - 1.12
	Improve (0)	2.0%	5.5%	6.0%	2.7%
		0.8% - 5.1%	3.2% - 9.2%	2.3% - 14.8%	1.5% - 4.9%
	Stay About the Same	72.4%	81.3%	85.6%	74.3%
	(1)	66.0% - 78.0%	75.8% - 85.7%	76.4% - 91.6%	69.0% - 78.9%
	Worsen (2)	10.0%	6.2%	7.5%	9.3%
		6.7% - 14.9%	3.8% - 9.9%	4.0% - 13.6%	6.5% - 13.2%
	Do Not Know	15.5%	7.1%	0.9%	13.7%
		11.2% - 21.0%	4.5% - 11.0%	0.2% - 5.0%	10.2% - 18.1%
Observations		236	217	85	538

Table D.35. Question D.2.2: To what COVID-19-related factors do you attribute the worsening outlook for operating revenue in the remainder of 2020?

<u>Wastewater Treatment Facilities</u>

		Percentage Reporting by Population Served				
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Nonpayment	Mean Score (0 to 2)	1.18	1.36	0.91	1.20	
of bills		0.81 - 1.56	1.09 - 1.62	0.42 - 1.41	0.90 - 1.50	
	Not a Contributor (0)	26.5%	9.0%	33.7%	24.0%	
		11.8% - 49.3%	2.2% - 30.1%	12.5% - 64.6%	11.9% - 42.5%	
	Contributor (1)	24.7%	46.5%	27.2%	28.3%	
		10.4% - 47.9%	27.7% - 66.4%	8.5% - 60.1%	15.4% - 46.0%	
	Significant Contributor	44.0%	44.5%	26.0%	43.1%	
	(2)	24.6% - 65.4%	26.0% - 64.7%	9.6% - 53.9%	27.1% - 60.7%	
	Do Not Know	4.9%	0.0%	13.0%	4.6%	
		0.6% - 29.3%	N/A - N/A	3.1% - 41.0%	0.8% - 22.1%	
Decreased use	Mean Score (0 to 2)	0.43	0.75	0.71	0.50	
		0.11 - 0.75	0.43 - 1.07	0.36 - 1.06	0.25 - 0.76	
	Not a Contributor (0)	61.0%	46.9%	33.7%	57.4%	
		39.1% - 79.2%	28.0% - 66.8%	12.5% - 64.6%	40.1% - 73.0%	
	Contributor (1)	19.3%	31.0%	53.3%	22.9%	
		7.8% - 40.3%	15.7% - 52.1%	25.9% - 78.8%	12.3% - 38.5%	
	Significant Contributor	9.9%	22.1%	6.5%	11.6%	
	(2)	2.4% - 33.0%	9.7% - 42.8%	0.9% - 35.7%	4.3% - 27.6%	
	Do Not Know	9.9%	0.0%	6.5%	8.1%	
		2.4% - 33.0%	N/A - N/A	0.9% - 35.7%	2.1% - 26.8%	

Table D.35. Question D.2.2: To what COVID-19-related factors do you attribute the worsening outlook for operating revenue in the remainder of 2020?

<u>Wastewater Treatment Facilities</u>

#### **Estimates and 95 Percent Confidence Intervals**

		Percentage Reporting by Population Served				
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Reduction in	Mean Score (0 to 2)	0.12	0.40	0.26	0.18	
rates/fees		-0.11 - 0.34	0.16 - 0.64	-0.04 - 0.56	-0.01 - 0.36	
	Not a Contributor (0)	80.3%	64.5%	80.5%	77.8%	
		57.0% - 92.6%	43.5% - 81.1%	52.5% - 93.9%	60.2% - 89.0%	
	Contributor (1)	0.0%	31.0%	13.0%	5.6%	
		N/A - N/A	15.7% - 52.1%	3.1% - 41.0%	3.1% - 10.0%	
	Significant Contributor	4.9%	4.5%	6.5%	4.9%	
	(2)	0.6% - 29.3%	0.6% - 26.5%	0.9% - 35.7%	1.0% - 21.6%	
	Do Not Know	14.8%	0.0%	0.0%	11.7%	
		4.7% - 38.0%	N/A - N/A	N/A - N/A	3.8% - 30.9%	
Observations		26	25	13	64	

Table D.36. Question D.2.3: To what COVID-19-related factors do you attribute the worsening outlook for operating expenses in the remainder of 2020?

Wastewater Treatment Facilities

		Percentage Reporting by Population Served				
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Personnel	Mean Score (0 to 2)	0.54	1.11	1.13	0.62	
Costs		0.20 - 0.88	0.75 - 1.48	0.55 - 1.70	0.32 - 0.92	
	Not a Contributor	58.4%	18.3%	25.0%	53.3%	
	(0)	36.5% - 77.4%	5.4% - 46.8%	5.8% - 64.5%	34.8% - 70.9%	
	Contributor (1)	22.1%	52.0%	37.5%	25.7%	
		9.2% - 44.4%	26.5% - 76.5%	11.6% - 73.2%	13.2% - 44.0%	
	Significant	14.6%	29.7%	37.5%	16.8%	
	Contributor (2)	4.6% - 37.8%	11.2% - 58.7%	11.6% - 73.2%	6.9% - 35.5%	
	Do Not Know	4.9%	0.0%	0.0%	4.2%	
		0.6% - 29.1%	N/A - N/A	N/A - N/A	0.6% - 25.9%	
Consumables	Mean Score (0 to 2)	1.11	1.41	0.88	1.13	
		0.73 - 1.49	1.06 - 1.75	0.30 - 1.45	0.81 - 1.46	
	Not a Contributor	25.2%	7.4%	37.5%	23.7%	
	(0)	10.9% - 48.3%	1.0% - 40.0%	11.6% - 73.2%	11.0% - 44.0%	
	Contributor (1)	30.1%	44.6%	37.5%	31.8%	
		14.1% - 53.1%	21.0% - 70.9%	11.6% - 73.2%	17.0% - 51.6%	
	Significant	35.0%	48.0%	25.0%	36.0%	
	Contributor (2)	17.5% - 57.7%	23.5% - 73.5%	5.8% - 64.5%	20.1% - 55.8%	
	Do Not Know	9.7%	0.0%	0.0%	8.4%	
		2.3% - 32.8%	N/A - N/A	N/A - N/A	2.0% - 29.0%	

Table D.36. Question D.2.3: To what COVID-19-related factors do you attribute the worsening outlook for operating expenses in the remainder of 2020?

Wastewater Treatment Facilities

## **Estimates and 95 Percent Confidence Intervals**

		Percentage Reporting by Population Served				
Factor	Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Utilities	Mean Score (0 to 2)	0.63	0.97	0.13	0.65	
Costs		0.33 - 0.94	0.48 - 1.45	-0.12 - 0.37	0.39 - 0.92	
	Not a Contributor	44.7%	40.6%	87.5%	45.5%	
	(0)	24.9% - 66.4%	18.3% - 67.5%	43.2% - 98.5%	27.6% - 64.5%	
	Contributor (1)	40.7%	22.3%	12.5%	38.0%	
		21.8% - 62.8%	7.0% - 52.1%	1.5% - 56.8%	21.5% - 57.9%	
	Significant	9.7%	37.2%	0.0%	12.3%	
	Contributor (2)	2.3% - 32.8%	15.8% - 65.0%	N/A - N/A	4.5% - 29.7%	
	Do Not Know	4.9%	0.0%	0.0%	4.2%	
		0.6% - 29.1%	N/A - N/A	N/A - N/A	0.6% - 25.9%	
PPE	Mean Score (0 to 2)	0.49	1.18	0.75	0.57	
		0.18 - 0.80	0.82 - 1.55	0.26 - 1.24	0.30 - 0.84	
	Not a Contributor	59.3%	14.9%	37.5%	54.0%	
	(0)	37.2% - 78.2%	3.5% - 45.4%	11.6% - 73.2%	35.4% - 71.6%	
	Contributor (1)	25.2%	52.0%	50.0%	28.7%	
		10.9% - 48.3%	26.5% - 76.5%	18.7% - 81.3%	15.0% - 48.0%	
	Significant	10.6%	33.1%	12.5%	13.0%	
	Contributor (2)	2.8% - 32.7%	13.5% - 61.2%	1.5% - 56.8%	5.0% - 30.0%	
	Do Not Know	4.9%	0.0%	0.0%	4.2%	
		0.6% - 29.1%	N/A - N/A	N/A - N/A	0.6% - 25.9%	
Observations		23	14	8	45	

Table D.37. Question D.3.1: If your organization has experienced or anticipates a decrease in cash flow due to COVID-19, what mitigating actions are you taking or planning? (select all that apply)

Response	Percentage Reporting by Population Served					
	< 10,000	10,000 - 99,999	100,000 or more	All		
Drawing down days cash	12.3%	9.2%	16.9%	12.0%		
on hand	8.4% - 17.9%	6.1% - 13.7%	10.4% - 26.4%	8.7% - 16.4%		
Drawing on reserve	26.9%	33.7%	32.0%	28.2%		
funds	21.1% - 33.6%	27.1% - 41.1%	21.8% - 44.4%	23.3% - 33.7%		
Delaying maintenance	22.5%	25.8%	16.9%	22.8%		
	17.1% - 29.0%	19.7% - 33.1%	10.4% - 26.4%	18.3% - 28.1%		
Reducing staff hours	8.3%	4.6%	3.0%	7.5%		
	5.1% - 13.2%	2.6% - 8.2%	1.1% - 7.9%	4.9% - 11.4%		
Reducing staff pay and/or	1.6%	4.9%	4.1%	2.3%		
benefits	0.5% - 5.0%	2.7% - 8.6%	1.3% - 12.1%	1.1% - 4.5%		
Laying off staff	2.7%	3.2%	1.0%	2.8%		
	1.2% - 6.4%	1.6% - 6.3%	0.2% - 5.3%	1.4% - 5.5%		
Incurring additional debt	4.5%	5.6%	3.9%	4.7%		
	2.3% - 8.6%	3.3% - 9.3%	1.6% - 9.2%	2.8% - 7.8%		
Refinancing debt and/or	4.5%	3.2%	6.9%	4.4%		
deferring debt payments	2.3% - 8.6%	1.6% - 6.3%	3.5% - 13.1%	2.5% - 7.5%		
Delaying/canceling capital	25.5%	39.3%	34.0%	28.1%		
improvement projects	19.8% - 32.2%	32.4% - 46.6%	23.3% - 46.6%	23.2% - 33.5%		
Adjusting rates higher than	8.1%	10.0%	5.1%	8.3%		
originally planned	5.0% - 12.9%	6.7% - 14.7%	1.9% - 12.9%	5.6% - 12.1%		
Not applicable (no	47.3%	36.7%	37.1%	45.2%		
decrease in cash flow)	40.3% - 54.4%	30.0% - 44.1%	22.3% - 54.7%	39.5% - 51.1%		
Observations	210	202	80	492		

Table D.38. Question D.3.2: What type of impact do you think these actions are making or will make in mitigating the decrease in your organization's cash flow?

Action	Response	Per	centage Reporting	by Population Sei	rved
		< 10,000	10,000 - 99,999	100,000 or more	All
Drawing down	Mean Score (1 to	1.17	1.28	1.07	1.18
days cash	2)	1.00 - 1.35	1.05 - 1.52	0.94 - 1.19	1.03 - 1.33
on hand	Small Impact (1)	68.0%	52.7%	82.5%	66.8%
		46.2% - 84.0%	31.0% - 73.3%	57.0% - 94.4%	48.9% - 80.9%
	Large Impact (2)	14.2%	20.8%	5.8%	14.6%
		4.8% - 35.4%	8.2% - 43.5%	0.8% - 32.2%	6.0% - 31.2%
	Do Not Know	17.8%	26.6%	11.6%	18.6%
		6.7% - 39.8%	11.3% - 50.6%	2.9% - 37.0%	8.5% - 36.0%
	Observations	25	21	16	62
Drawing on	Mean Score (1 to	1.28	1.33	1.41	1.29
reserve funds	2)	1.14 - 1.42	1.19 - 1.46	1.20 - 1.62	1.18 - 1.41
	Small Impact (1)	55.4%	55.0%	49.7%	55.1%
		41.5% - 68.5%	41.8% - 67.6%	32.0% - 67.5%	44.1% - 65.6%
	Large Impact (2)	21.3%	26.8%	34.9%	23.0%
		12.1% - 34.9%	17.4% - 39.1%	19.3% - 54.6%	15.3% - 33.1%
	Do Not Know	23.2%	18.1%	15.4%	21.9%
		13.5% - 37.0%	10.6% - 29.3%	6.6% - 31.7%	14.1% - 32.4%
	Observations	59	67	29	155
Delaying	Mean Score (1 to	1.26	1.34	1.26	1.28
maintenance	2)	1.12 - 1.41	1.15 - 1.53	1.04 - 1.49	1.16 - 1.40
	Small Impact (1)	61.1%	57.7%	65.1%	60.6%
		45.7% - 74.6%	40.7% - 73.0%	40.3% - 83.7%	48.0% - 71.8%
	Large Impact (2)	21.8%	30.1%	23.3%	23.4%
		11.9% - 36.5%	15.7% - 49.8%	8.9% - 48.4%	14.6% - 35.2%
	Do Not Know	17.1%	12.3%	11.6%	16.1%
		8.3% - 32.0%	5.7% - 24.3%	2.9% - 36.4%	8.7% - 27.7%
	Observations	51	52	16	119

Table D.38. Question D.3.2: What type of impact do you think these actions are making or will make in mitigating the decrease in your organization's cash flow?

Action	Response	Per	centage Reporting	by Population Sei	rved
		< 10,000	10,000 - 99,999	100,000 or more	All
Reducing staff	Mean Score (1 to	1.44	1.25	1.00	1.42
hours	2)	1.09 - 1.79	0.75 - 1.75	N/A - N/A	1.10 - 1.73
	Small Impact (1)	33.7%	31.7%	100.0%	34.5%
		14.3% - 60.8%	9.7% - 66.7%	N/A - N/A	16.4% - 58.5%
	Large Impact (2)	26.5%	10.6%	0.0%	24.5%
		9.8% - 54.5%	1.3% - 52.2%	N/A - N/A	9.6% - 49.9%
	Do Not Know	39.8%	57.7%	0.0%	41.0%
		18.3% - 66.1%	25.8% - 84.3%	N/A - N/A	21.1% - 64.4%
	Observations	16	10	3	29
Reducing staff	Mean Score (1 to	1.67	1.00	1.00	1.46
pay and/or	2)	0.97 - 2.36	N/A - N/A	N/A - N/A	0.98 - 1.94
benefits	Small Impact (1)	33.3%	60.0%	76.1%	45.7%
		2.3% - 91.5%	27.3% - 85.7%	13.4% - 98.5%	13.6% - 81.7%
	Large Impact (2)	66.7%	0.0%	0.0%	38.6%
		8.5% - 97.7%	N/A - N/A	N/A - N/A	10.6% - 76.9%
	Do Not Know	0.0%	40.0%	23.9%	15.8%
		N/A - N/A	14.3% - 72.7%	1.5% - 86.6%	6.8% - 32.5%
	Observations	3	10	3	16
Laying off staff	Mean Score (1 to	1.67	1.81	1.00	1.67
	2)	0.96 - 2.37	1.49 - 2.13	N/A - N/A	1.07 - 2.27
	Small Impact (1)	20.0%	7.1%	100.0%	18.6%
		1.9% - 76.2%	1.0% - 36.9%	N/A - N/A	2.6% - 66.3%
	Large Impact (2)	40.0%	31.0%	0.0%	37.8%
		7.7% - 84.3%	6.4% - 74.6%	N/A - N/A	9.7% - 77.4%
	Do Not Know	40.0%	61.9%	0.0%	43.6%
		7.7% - 84.3%	22.3% - 90.2%	N/A - N/A	12.9% - 80.1%
	Observations	5	7	1	13

Table D.38. Question D.3.2: What type of impact do you think these actions are making or will make in mitigating the decrease in your organization's cash flow?

Action	Response	Percentage Reporting by Population Served					
		< 10,000	10,000 - 99,999	100,000 or more	All		
Incurring	Mean Score (1 to	1.77	1.27	2.00	1.66		
additional debt	2)	1.29 - 2.24	0.93 - 1.61	N/A - N/A	1.30 - 2.02		
	Small Impact (1)	12.1%	47.2%	0.0%	18.6%		
		1.4% - 57.1%	21.8% - 74.2%	N/A - N/A	5.9% - 45.5%		
	Large Impact (2)	39.5%	17.6%	50.0%	35.6%		
		12.9% - 74.2%	4.1% - 51.6%	10.8% - 89.2%	14.0% - 65.1%		
	Do Not Know	48.4%	35.2%	50.0%	45.9%		
		17.8% - 80.2%	13.3% - 65.7%	10.8% - 89.2%	20.9% - 73.0%		
	Observations	10	13	4	27		
Refinancing debt	Mean Score (1 to	1.70	1.33	1.14	1.59		
and/or	2)	1.22 - 2.18	0.86 - 1.79	0.86 - 1.42	1.23 - 1.96		
deferring debt	Small Impact (1)	15.3%	46.5%	85.7%	23.1%		
payments		2.6% - 54.7%	14.0% - 82.2%	38.2% - 98.3%	8.5% - 49.2%		
	Large Impact (2)	36.3%	22.6%	14.3%	33.4%		
		10.9% - 72.7%	4.3% - 65.3%	1.7% - 61.8%	11.7% - 65.5%		
	Do Not Know	48.4%	31.0%	0.0%	43.5%		
		17.7% - 80.4%	6.8% - 73.5%	N/A - N/A	18.1% - 72.8%		
	Observations	10	7	7	24		
Delaying/	Mean Score (1 to	1.46	1.31	1.39	1.42		
canceling capital	2)	1.30 - 1.61	1.18 - 1.43	1.20 - 1.59	1.30 - 1.54		
improvement	Small Impact (1)	46.3%	54.0%	49.7%	48.3%		
projects		32.6% - 60.5%	41.5% - 66.1%	32.7% - 66.9%	37.8% - 59.0%		
	Large Impact (2)	38.6%	23.7%	32.4%	34.8%		
		25.9% - 53.1%	15.6% - 34.4%	18.2% - 50.7%	25.3% - 45.7%		
	Do Not Know	15.1%	22.2%	17.9%	16.9%		
		7.3% - 28.6%	14.3% - 32.9%	7.5% - 37.0%	10.5% - 26.1%		
	Observations	53	82	31	166		

Table D.38. Question D.3.2: What type of impact do you think these actions are making or will make in mitigating the decrease in your organization's cash flow?

### **Estimates and 95 Percent Confidence Intervals**

Action	Response	Per	Percentage Reporting by Population Served				
		< 10,000	10,000 - 99,999	100,000 or more	All		
Adjusting rates	Mean Score (1 to	1.64	1.54	1.81	1.62		
higher than	2)	1.30 - 1.97	1.30 - 1.78	1.41 - 2.21	1.37 - 1.86		
originally	Small Impact (1)	21.6%	39.1%	19.3%	25.0%		
planned		7.2% - 49.6%	20.6% - 61.4%	1.8% - 75.4%	11.7% - 45.7%		
	Large Impact (2)	37.7%	46.2%	80.7%	40.4%		
		17.1% - 63.9%	26.2% - 67.6%	24.6% - 98.2%	22.7% - 61.0%		
	Do Not Know	40.7%	14.7%	0.0%	34.6%		
		19.0% - 66.8%	4.7% - 37.7%	N/A - N/A	17.7% - 56.6%		
	Observations	18	21	4	43		

Table D.39. Question D.4: Which of the following is your organization considering regarding capital infrastructure projects due to COVID-19? (select all that apply)

Changes	Per	centage Reporting	by Population Ser	ved
	< 10,000	10,000 - 99,999	100,000 or more	All
Not applicable (no projects planned)	37.4%	8.1%	4.0%	31.6%
	31.1% - 44.2%	5.3% - 12.3%	1.3% - 11.8%	26.5% - 37.2%
No changes to current or planned projects	34.8%	55.0%	44.3%	38.3%
	28.6% - 41.5%	47.9% - 61.8%	31.5% - 57.9%	33.1% - 43.8%
Pausing/slowing a project for which	3.5%	9.5%	8.7%	4.7%
construction has begun	1.7% - 7.2%	5.3% - 16.6%	4.8% - 15.3%	2.9% - 7.5%
Delaying starting a project	9.9%	20.2%	25.3%	12.1%
	6.5% - 14.8%	15.5% - 25.8%	16.9% - 36.0%	9.1% - 15.9%
Accelerating starting a project	3.1%	1.1%	17.5%	3.3%
	1.4% - 6.6%	0.4% - 3.4%	5.3% - 44.3%	1.8% - 6.1%
Reducing the scope or funding for a project	1.1%	3.5%	4.8%	1.6%
which construction has begun	0.3% - 3.8%	1.8% - 6.6%	2.2% - 10.3%	0.8% - 3.3%
Increasing the scope or funding for a project	1.5%	3.0%	3.9%	1.8%
(current or planned)	0.5% - 4.5%	1.5% - 6.0%	1.6% - 9.0%	0.8% - 3.9%
Delaying applying for a subsidized loan/grant	1.2%	2.3%	3.1%	1.4%
by at least six months	0.4% - 3.8%	1.0% - 4.9%	0.8% - 11.4%	0.6% - 3.2%
Accelerating interest in applying for a	4.40/	4.40/	4.00/	4.00/
subsidized loan/grant for a shovel-ready	1.1%	4.4%	4.8%	1.8%
project	0.3% - 3.8%	2.5% - 7.8%	2.2% - 10.3%	0.9% - 3.5%
Other	4.2%	2.1%	1.9%	3.8%
	2.2% - 8.0%	0.9% - 4.8%	0.6% - 6.4%	2.1% - 6.8%
Do not know	5.7%	4.2%	1.9%	5.4%
	3.3% - 9.9%	2.3% - 7.6%	0.6% - 6.4%	3.3% - 8.6%
Observations	229	213	82	524

Table D.40. Question D.5: In response to COVID-19, what actions has your organization taken to help alleviate economic impacts on customers? (select all that apply)

### **Estimates and 95 Percent Confidence Intervals**

Actions	Per	centage Reporting	by Population Ser	rved
	< 10,000	10,000 - 99,999	100,000 or more	All
Suspended service	43.5%	52.5%	47.8%	45.0%
shutoffs	37.0% - 50.2%	45.5% - 59.4%	33.9% - 62.1%	39.6% - 50.6%
Waived late payment fees	34.6%	35.6%	31.8%	34.7%
	28.6% - 41.2%	29.2% - 42.6%	21.9% - 43.7%	29.6% - 40.1%
Provided extensions on	39.1%	42.8%	35.6%	39.6%
bill payment	32.8% - 45.8%	36.1% - 49.8%	24.9% - 47.9%	34.3% - 45.1%
Expanded customer	5.6%	12.6%	19.1%	7.1%
assistance programs	3.2% - 9.6%	9.0% - 17.4%	12.2% - 28.6%	4.9% - 10.2%
Expanded water	0.0%	2.3%	2.8%	0.5%
conservation programs	N/A - N/A	1.0% - 5.2%	1.0% - 7.5%	0.2% - 0.9%
Delayed or eliminated	4.6%	11.5%	14.2%	6.0%
planned rate adjustment	2.5% - 8.3%	8.1% - 16.2%	8.8% - 22.1%	4.0% - 8.8%
Lowered rates	0.0%	0.5%	11.3%	0.5%
	N/A - N/A	0.1% - 2.9%	1.8% - 47.1%	0.1% - 2.4%
Other	1.0%	2.1%	5.9%	1.3%
	0.2% - 3.8%	0.9% - 4.7%	2.5% - 13.3%	0.6% - 3.1%
None (no actions taken)	31.7%	18.7%	20.2%	29.3%
	25.8% - 38.3%	14.2% - 24.2%	12.9% - 30.4%	24.4% - 34.7%
Do not know	7.6%	16.6%	9.6%	9.1%
	4.7% - 12.0%	11.4% - 23.5%	5.1% - 17.5%	6.5% - 12.6%
Observations	236	215	84	535

Table D.41. Question E1: What COVID-19-related conditions have interfered with your organization's ability to complete the required sampling needed to operate your system and to determine compliance with regulations?

### **Estimates and 95 Percent Confidence Intervals**

	Percentage Reporting by Population Served				
Response	< 10,000	10,000 - 99,999	100,000 or more	All	
Lack of available personnel	1.7%	5.7%	10.6%	2.7%	
for sample collection	0.6% - 4.5%	3.4% - 9.4%	6.1% - 17.8%	1.5% - 4.5%	
Lack of available supplies	1.2%	3.2%	0.0%	1.5%	
for sampling and/or shipping	0.4% - 3.8%	1.6% - 6.3%	N/A - N/A	0.7% - 3.2%	
Lack of available personal	1.2%	3.2%	5.0%	1.6%	
protective equipment	0.4% - 3.8%	1.6% - 6.3%	1.9% - 12.6%	0.8% - 3.3%	
Lack of access to approved	1.6%	6.2%	8.7%	2.6%	
monitoring locations	0.5% - 4.5%	3.8% - 10.0%	4.8% - 15.3%	1.5% - 4.5%	
Other	0.7%	2.3%	1.0%	0.9%	
	0.2% - 2.9%	1.0% - 5.2%	0.2% - 5.2%	0.4% - 2.3%	
None	93.0%	83.9%	79.6%	91.1%	
	88.7% - 95.7%	78.7% - 88.1%	69.7% - 86.8%	87.8% - 93.5%	
Do not know	1.0%	0.9%	1.0%	1.0%	
	0.3% - 3.8%	0.2% - 3.3%	0.2% - 5.2%	0.3% - 3.0%	
Observations	233	216	82	531	

Table D.42. Question E2: What COVID-19-related conditions have interfered with your organization's ability to complete required laboratory analyses needed to operate your system and to determine compliance with regulations?

### **Estimates and 95 Percent Confidence Intervals**

	Pe	rcentage Reporting	by Population Serv	ved
Response	< 10,000	10,000 - 99,999	100,000 or more	All
Lack of available laboratory	1.8%	6.2%	15.3%	2.9%
personnel	0.7% - 4.5%	3.8% - 10.0%	9.6% - 23.5%	1.8% - 4.8%
Lack of available supplies for	0.6%	1.8%	0.0%	0.8%
sampling and/or shipping	0.1% - 3.1%	0.7% - 4.6%	N/A - N/A	0.3% - 2.3%
Lack of available personal	0.7%	3.0%	4.0%	1.2%
protective equipment	0.2% - 2.9%	1.5% - 6.0%	1.3% - 11.7%	0.5% - 2.5%
Internal laboratory capacity	0.5%	0.5%	5.7%	0.7%
shortages that delay timely analysis	0.1% - 3.4%	0.1% - 2.9%	2.8% - 11.4%	0.2% - 2.2%
Decreased access to external	1.5%	2.5%	4.8%	1.8%
laboratory services	0.5% - 4.5%	1.2% - 5.4%	2.2% - 10.2%	0.8% - 3.8%
Delays in external laboratory	4.6%	4.2%	6.7%	4.6%
services	2.5% - 8.5%	2.3% - 7.5%	3.4% - 12.7%	2.8% - 7.6%
Sample transport delays	2.2%	3.7%	2.9%	2.4%
	0.9% - 5.2%	1.9% - 7.0%	1.1% - 7.6%	1.3% - 4.7%
Travel restrictions	1.1%	0.9%	3.8%	1.2%
	0.3% - 3.8%	0.3% - 3.3%	1.6% - 8.9%	0.4% - 3.0%
Revenue interruption	1.1%	0.2%	0.0%	0.9%
	0.3% - 3.8%	0.0% - 1.1%	N/A - N/A	0.3% - 3.0%
Other	0.0%	3.2%	5.7%	0.7%
	N/A - N/A	1.6% - 6.4%	2.8% - 11.4%	0.4% - 1.2%
None	88.5%	81.8%	73.1%	86.9%
	83.5% - 92.1%	76.4% - 86.2%	62.2% - 81.7%	82.9% - 90.1%
Do not know	2.1%	1.4%	1.0%	1.9%
	0.8% - 5.2%	0.5% - 4.0%	0.2% - 5.1%	0.9% - 4.3%
Observations	233	215	83	531

Table D.43. Question F1: Has your organization experienced any issues or concerns related to cybersecurity during the pandemic? (Yes/No)

Wastewater Treatment Facilities

	Pe	Percentage Reporting by Population Served				
Response	< 10,000	All				
Percentage of Systems	2.1%	4.4%	12.7%	2.8%		
with Cybersecurity Concerns	0.8% - 5.1%	2.4% - 7.7%	7.3% - 21.3%	1.6% - 4.9%		
Observations	235	216	82	533		

Table D.44. G1. Looking ahead to the next several months, please indicate your level of concern about topics covered in this survey.

Wastewater Treatment Facilities

Topics of		Per	centage Reporting	by Population Ser	ved
Concern	Response	< 10,000	> 10,000	100,000 or more	All
Supply Chain	Mean Score	0.73	0.97	0.91	0.77
		0.64 - 0.82	0.86 - 1.07	0.81 - 1.01	0.70 - 0.85
	No Concern	36.6%	19.4%	14.9%	33.1%
		30.3% - 43.5%	14.8% - 25.0%	8.9% - 24.0%	28.0% - 38.8%
	Mild Concern	50.3%	63.2%	76.8%	53.3%
		43.5% - 57.1%	55.7% - 70.2%	65.6% - 85.2%	47.6% - 58.9%
	Serious	10.3%	16.0%	6.3%	11.1%
	Concern	6.9% - 15.2%	10.1% - 24.4%	2.4% - 15.6%	8.0% - 15.1%
	Do Not Know	2.7%	1.4%	2.0%	2.5%
		1.2% - 6.0%	0.5% - 4.1%	0.6% - 6.5%	1.2% - 5.1%
	Observations	228	209	80	517
Workforce	Mean Score	0.70	1.05	1.00	0.77
		0.61 - 0.80	0.97 - 1.14	0.75 - 1.25	0.69 - 0.85
	No Concern	41.7%	20.5%	24.2%	37.7%
		35.1% - 48.6%	15.8% - 26.2%	10.7% - 46.2%	32.3% - 43.4%
	Mild Concern	42.7%	53.3%	51.3%	44.7%
		36.0% - 49.6%	46.4% - 60.1%	37.0% - 65.4%	39.2% - 50.3%
	Serious	12.9%	25.8%	24.5%	15.4%
	Concern	9.0% - 18.3%	20.5% - 31.8%	16.1% - 35.4%	12.0% - 19.6%
	Do Not Know	2.7%	0.5%	0.0%	2.2%
		1.2% - 6.0%	0.1% - 2.9%	N/A - N/A	1.0% - 4.9%
	Observations	226	213	82	521

Table D.44. G1. Looking ahead to the next several months, please indicate your level of concern about topics covered in this survey.

Wastewater Treatment Facilities

Topics of		Per	centage Reporting	by Population Ser	ved
Concern	Response	< 10,000	> 10,000	100,000 or more	All
Financial	Mean Score	0.71	0.72	0.76	0.72
		0.62 - 0.81	0.63 - 0.82	0.54 - 0.98	0.64 - 0.80
	No Concern	42.5%	38.6%	36.7%	41.7%
		35.8% - 49.4%	31.9% - 45.8%	22.1% - 54.3%	36.1% - 47.4%
	Mild Concern	41.4%	46.7%	48.2%	42.5%
		34.7% - 48.3%	39.7% - 53.8%	34.3% - 62.3%	37.0% - 48.2%
	Serious	14.4%	11.9%	13.2%	14.0%
	Concern	10.2% - 20.0%	8.3% - 16.6%	7.3% - 22.7%	10.5% - 18.4%
	Do Not Know	1.7%	2.9%	2.0%	1.9%
		0.6% - 4.7%	1.4% - 6.0%	0.6% - 6.5%	0.9% - 4.1%
	Observations	223	208	80	511
<b>Analytical Support</b>	Mean Score	0.33	0.47	0.45	0.36
		0.26 - 0.40	0.39 - 0.55	0.29 - 0.60	0.30 - 0.42
	No Concern	66.2%	55.5%	59.5%	64.2%
		59.3% - 72.4%	48.4% - 62.5%	46.0% - 71.8%	58.6% - 69.4%
	Mild Concern	28.8%	38.8%	36.3%	30.7%
		22.9% - 35.5%	32.0% - 46.0%	25.0% - 49.5%	25.7% - 36.1%
	Serious	1.7%	3.3%	4.1%	2.0%
	Concern	0.6% - 4.7%	1.7% - 6.6%	1.3% - 12.1%	1.0% - 4.2%
	Do Not Know	3.3%	2.4%	0.0%	3.1%
		1.6% - 6.9%	1.0% - 5.4%	N/A - N/A	1.6% - 5.9%
	Observations	222	208	80	510

Table D.44. G1. Looking ahead to the next several months, please indicate your level of concern about topics covered in this survey.

Wastewater Treatment Facilities

Topics of		Percentage Reporting by Population Served				
Concern	Response	< 10,000	> 10,000	100,000 or more	All	
Cybersecurity	Mean Score	0.27	0.45	0.68	0.31	
		0.20 - 0.34	0.37 - 0.52	0.54 - 0.81	0.26 - 0.37	
	No Concern	72.7%	56.0%	39.9%	68.9%	
		66.2% - 78.4%	48.8% - 63.0%	28.0% - 53.2%	63.5% - 73.7%	
	Mild Concern	21.6%	39.7%	51.2%	25.6%	
		16.5% - 27.8%	32.8% - 46.9%	37.1% - 65.1%	21.1% - 30.6%	
	Serious	2.3%	1.9%	7.9%	2.4%	
	Concern	0.9% - 5.5%	0.8% - 4.8%	4.2% - 14.4%	1.2% - 4.8%	
	Do Not Know	3.4%	2.4%	1.0%	3.1%	
		1.6% - 7.0%	1.1% - 5.4%	0.2% - 5.3%	1.6% - 5.9%	
	Observations	222	206	80	508	