

GAP CAPACITY INDICATORS

INTRODUCTION

GAP capacity indicators are milestones along a Tribe's environmental program development path. Capacity indicators do not represent all GAP eligible activities and are not meant to capture *every* program development milestone. EPA will use capacity indicators as a measurement to demonstrate environmental capacity achieved with GAP funding. For more information on indicators, please see the GAP Guidance (Section 2.2): <https://www.epa.gov/tribal/gap-guidance-financial-assistance-agreements>.

This is a living document and will be updated annually with revised weblinks, if applicable, and any new Tribally-developed indicators and/or EPA-developed indicators. EPA will include new indicators in the Office of International and Tribal Affairs National Program Guidance. EPA engages in consultation with Tribes every two years as part of the National Program Guidance development process. To learn more about how to request approval of a Tribally-developed indicator, see <https://www.epa.gov/general-assistance-program-gap/tribally-developed-capacity-indicators>.

HOW TO USE THIS DOCUMENT

The following tables show the revised list of indicators that will be utilized in EPA-Tribal Environmental Plans (ETEPs) starting in FY23. EPA will use the indicator information for performance management purposes; indicator status information will be reported in GAP Hub, EPA's GAP performance management application. This indicator list replaces the list of indicators in the 2013 GAP Guidance (Appendix I: Guidebook for Building Tribal Environmental Program Capacity). This document will be maintained as a companion document to the 2022 GAP Guidance.

The indicators are listed by statute. Some indicators have been labeled as cross-cutting indicators (XCUT), meaning the indicator is a core capacity area, or may be relevant to multiple EPA statutes. For example, indicator XCUT 01.06 is related to establishing community outreach processes, procedures, or plans. This that may apply to a Tribe's ambient air quality program (CAA), the Tribe's water quality program (CWA), or both.

The indicator statutes are as follows:

- [Cross-Cutting Capacity Indicators \(XCUT\)](#)
- [Clean Air Act \(CAA\)](#)
- [Clean Water Act \(CWA\)](#)
- [Comprehensive Environmental Response, Compensation, and Liability Act \(CERCLA\)](#)
- [Emergency Planning and Community Right-to-Know Act \(EPCRA\)](#)
- [Federal Insecticide, Fungicide, and Rodenticide Act \(FIFRA\)](#)

- [Oil Pollution Act \(OPA\)](#)
- [Pollution Prevention Act \(PPA\)](#)
- [Resource Conservation and Recovery Act \(RCRA\)](#)
- [Safe Drinking Water Act \(SDWA\)](#)
- [Toxic Substances Control Act \(TSCA\)](#)

CROSS-CUTTING CAPACITY INDICATORS (XCUT)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
XCUT 01.01	Recipient is establishing policies and procedures to coordinate intra-governmental Tribal environmental protection programs.	Recipient provides copy of written policies and procedures related to coordinating intra-governmental Tribal environmental protection programs.	No EPA website reference at this time.
XCUT 01.02	Recipient is compiling a written inventory of administrative procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	Recipient provides copy of a written inventory of administrative procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	No EPA website reference at this time.
XCUT 01.03	Recipient is compiling a written inventory of financial procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	Recipient provides copy of a written inventory of financial procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	No EPA website reference at this time.
XCUT 01.04	Recipient is compiling a written inventory of technical procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	Recipient provides copy of a written inventory of technical procedures, policies, regulations, and/or other guidelines developed to implement the environmental program.	No EPA website reference at this time.
XCUT 01.05	Recipient is establishing written policies and procedures for sharing traditional ecological knowledge/indigenous knowledge-related information with EPA.	Recipient provides copy of a written policies and procedures for sharing sensitive Tribal environmental and human health data (e.g., traditional ecological knowledge/indigenous knowledge and cultural resources).	No EPA website reference at this time.
XCUT 01.06	Recipient is establishing a community outreach process, procedures, and/or plan.	Recipient provides copy of a documented community outreach process, procedure, and/or plan.	Community-Based Social Marketing for Tribal Environmental Professionals:

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			https://bit.ly/3xGN5db Develop Outreach Plans: https://bit.ly/3DI3qC8 Superfund Community Involvement Tools and Resources: https://bit.ly/3BYC3IW Resources for Collaboration and Outreach: https://bit.ly/3DNkruN
XCUT 01.07	Recipient is establishing written public participation procedures to ensure meaningful involvement and fair treatment in the Tribe's decision making.	Recipient provides copy of a written public participation procedures, which includes specific methods to identify public concerns and respond to issues raised.	Public Participation Guide: https://bit.ly/31QYy7V Summary of the Administrative Procedure Act: https://bit.ly/3qTuRkP
XCUT 02.01	Recipient is establishing a climate change preparedness/adaptation plan.	Recipient provides copy of climate change adaptation plan.	Climate Change Adaptation Resource Center (ARC-X): https://bit.ly/3R09rx2
XCUT 02.02	Recipient is developing strategies and procedures to achieve greenhouse reduction targets and increase climate resilience.	Recipient provides copy of strategies and procedures.	Greenhouse Gas (GHG) Emissions and Removals: https://www.epa.gov/ghge/missions
XCUT 03.01	Recipient is a cooperating agency in a NEPA process.	Recipient provides a copy of their procedures for reviewing NEPA documents from other government agencies.	National Environmental Policy Act: https://www.epa.gov/nepa National Environmental Policy Act Policies and Guidance: https://bit.ly/3qXym9W
XCUT 04.01	Recipient is preparing a comprehensive or media-specific emergency response plan for the provision of resources and/or hazard removal under emergency circumstances.	Recipient provides copy of a written emergency response plan.	General EPA Information on Emergency Response: https://www.epa.gov/emergency-response Develop or Update an Emergency Response Plan (Water): https://bit.ly/3BY6JUe Community Water System Emergency Response Plan Template: https://bit.ly/3BvGUtv

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			<p>Sample Emergency Operations Plans: https://bit.ly/3xH05iF</p> <p>National Tribal Emergency Planning Committee (TERC) Handbook: https://bit.ly/3dtyLOj</p> <p>EPCRA Section 303: Comprehensive Emergency Response Plans: https://bit.ly/3xGgpjW</p>

CLEAN AIR ACT (CAA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
CAA 01.01	Recipient is completing an emissions inventory.	Recipient submits emissions inventory in the NEIS (National Emissions Inventory System).	<p>Tribal Air Policy Document: https://bit.ly/3Brfhlc</p> <p>Tribal Air Emission Inventories: https://bit.ly/3BplBti</p> <p>Air Emissions Inventory Guidance Documents: https://bit.ly/3DFIGMI</p>
CAA 01.02	Recipient is establishing an air quality monitoring program.	Recipient is providing data to AQS (Air Quality System).	<p>Tribal Air Quality Monitoring: https://bit.ly/3LvrlXh</p> <p>Regulations, Guidance and Monitoring Plans: https://bit.ly/3BSQuYM</p>
CAA 01.03	Recipient is establishing an air monitoring strategy.	Recipient provides a copy of a written air monitoring strategy in place.	Ambient Air Monitoring Strategy for State, Local, and Tribal Air Agencies: https://bit.ly/3qZZpBb
CAA 02.01	Recipient is establishing ambient air quality laws, codes, and/or regulations.	Recipient provides copy of established ambient air quality laws, codes, and/or regulations.	<p>Compliance Information: https://www.epa.gov/compliance</p> <p>Monitoring Regulations: https://bit.ly/3f8aduE</p>
CAA 02.02	Recipient is establishing ambient air quality compliance assurance and enforcement authorities in their laws, codes and/or regulations.	Recipient provides copy of ambient air quality laws, codes & regulations that includes compliance assurance and enforcement provisions.	Compliance Assurance Monitoring: https://bit.ly/3f74Z20

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
CAA 02.03	Recipient staff are obtaining program-specific federal inspector credentials: Air Pollution Control inspector	Recipient has obtained Air Pollution Control inspector credential.	National Enforcement Training Institute (NETI): https://bit.ly/3f9siYY Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA: https://bit.ly/3UsFAj5
CAA 02.04	Recipient is applying for program delegation/authorization/approval related to a Tribal Implementation Plan (TIP).	Recipient has received EPA approval of their TIP.	Basic Information about Air Quality TIPs: https://bit.ly/3qR0CLc
CAA 02.05	Recipient is applying for program delegation/authorization/approval related to New Source Performance Standards (NSPS)	Recipient has received EPA approval of their NSPS.	Delegations of Authority for NSPS and NESHAP Standards to States and Tribes in Region 8: https://bit.ly/3BAIESI Demonstrating Compliance with New Source Performance Standards and State Implementation Plans: https://bit.ly/3DAKDbQ
CAA 02.06	Recipient is applying for program delegation/authorization/approval related to National Emissions Standards for Hazardous Air Pollutants (NESHAP).	Recipient has received EPA approval of their NESHAP program.	Delegations of Authority for NSPS and NESHAP Standards to States and Tribes in Region 8: https://bit.ly/3BAIESI
CAA 02.07	Recipient is applying for program delegation/authorization/approval related to Title V Operating Permit Program.	Recipient has received EPA approval of their Title V Operating Permit program.	Operating Permits Issued under Title V of the Clean Air Act: https://bit.ly/3dAP7EB
CAA 02.08	Recipient is applying for program delegation/authorization/approval related to Permit Action Notification and Petition.	Recipient has received EPA approval of their Permit Action Notification and Petition.	Operating Permits Issued under Title V of the Clean Air Act: https://bit.ly/3dAP7EB
CAA 03.01	Recipient is establishing a radon program.	Recipient provides procedures related to how they conduct radon testing/analysis.	General Radon Information: https://www.epa.gov/radon State and Tribal Indoor

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			Radon Grants (SIRG) Program: https://bit.ly/3ds1wL4
CAA 03.02	Recipient is establishing indoor air quality laws, codes and/or regulations.	Recipient provides copy of established indoor air quality laws, codes, and/or regulations.	Indoor Air: https://bit.ly/3QYguGy
CAA 03.03	Recipient is establishing indoor air quality compliance assurance and enforcement authorities in their laws, codes and/or regulations.	Recipient provides copy of indoor air quality laws, codes, and regulations that includes compliance assurance and enforcement provisions.	Tribal Air and Climate Resources: https://www.epa.gov/tribal-air
CAA 04.01	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.
CAA 04.02	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution).	Recipient has documentation of the partnership agreement.	No EPA website reference at this time.
CAA 04.03	Recipient is establishing an EPA approved quality assurance project plan (QAPP).	Recipient provides an EPA-approved QAPP.	Quality Program Information: https://www.epa.gov/quality/quality-program-directives

COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
CERCLA 01.01	Recipient is completing a site inventory of properties of environmental concern.	Recipients provide a copy of the site inventory with identified potential contaminants.	General Brownfields Information: https://www.epa.gov/brownfields Petroleum Brownfields: https://bit.ly/3BVxFnQ
CERCLA 02.01	Recipient is establishing a plan to conduct a specific CERCLA cleanup activity.	Recipient provides copy of planning related items which could include: sampling plans, remedial action workplans, or remedy operation and maintenance plan.	Superfund Cleanup Process: https://bit.ly/3BXZ60b
CERCLA 03.01	Recipient is pursuing a cooperative agreement and financial assistance under CERCLA.	Recipient has a cooperative agreement and financial assistance under CERCLA.	Superfund State, Political Subdivision, and Indian Tribe Site-Specific Cooperative Agreements: https://bit.ly/3QUQADu
CERCLA 4.01	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
CERCLA 4.02	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution).	Recipient has documentation of the partnership agreement.	No EPA website reference at this time.

CLEAN WATER ACT (CWA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
CWA 01.01	Recipient is completing an inventory of its water resources with associated environmental and human health issues.	Recipient provides copy of completed inventory.	Key Program Areas for Developing Tribal Clean Water Programs: https://bit.ly/3UteAAI
CWA 01.02	Recipient is establishing a water quality monitoring program.	Recipient has received TAS for a 106 grant.	Tribal Grants under Section 106 of the Clean Water Act: https://bit.ly/3f9CqkH
CWA 01.03	Recipient is setting up a certified laboratory for water quality testing	Recipient provides copy of certificate.	Certification of Laboratories that Analyze Drinking Water Samples to Ensure Compliance with Regulations: https://www.epa.gov/dwlabcert
CWA 02.01	Recipient is applying for program delegation/authorization/approval related to a water quality standards (WQS) program.	When recipient has received EPA approval of their WQS program.	Tribes and Water Quality Standards: https://bit.ly/3qW5zCp
CWA 03.01	Recipient is establishing a nonpoint source management program.	Recipient has received TAS for a 319 grant (for nonpoint source management).	Tribal Nonpoint Source Program: https://bit.ly/3xFEZBp
CWA 04.01	Recipient is establishing a wetlands program.	Recipient has received TAS for a 319 grant (for wetlands).	Tribal Nonpoint Source Program: https://bit.ly/3xFEZBp
CWA 05.01	Recipient is applying for program delegation/authorization/approval related to a CWA Section 404 dredge and fill permit program.	Recipient has received EPA approval of their CWA Section 404 program.	Section 404 of the Clean Water Act: Permitting Discharges of Dredge or Fill Material: https://www.epa.gov/cwa-404
CWA 06.01	Recipient is applying for program delegation/authorization/approval related to a CWA Section 401 certification program.	Recipient has received EPA approval of their CWA Section 401 program.	Section 401 of the Clean Water Act: https://www.epa.gov/cwa-401
CWA 07.01	Recipient staff are obtaining program-specific federal inspector credentials: National Pollutant Discharge Elimination System (NPDES) inspector.	Recipient has obtained NPDES inspector credential.	Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA:

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			https://bit.ly/3UsFAjs Clean Water Act (CWA) Compliance Monitoring: https://bit.ly/3DE1oTz
CWA 07.02	Recipient is applying for program delegation/authorization/approval related to a National Pollutant Discharge Elimination System (NPDES) program.	When recipient has received EPA approval of their NPDES program.	National Pollutant Discharge Elimination System (NPDES): https://www.epa.gov/npdes
CWA 7.09	Recipient staff are obtaining program-specific certification: Waste-water operator certification.	Recipient provides current program-specific certification	No EPA website reference at this time.
CWA 08.01	Recipient is applying for program delegation/authorization/approval related to a 303(d) program.	When recipient has received EPA approval of their 303(d) program.	Clean Water Act Section 303(d): Impaired Waters and Total Maximum Daily Loads (TMDLs): https://www.epa.gov/tmdl
CWA 9.01	Recipient is establishing clean water laws, codes and/or regulations.	Recipient provides copy of relevant clean water laws, codes, and/or regulations.	General EPA Water Topics: https://bit.ly/2AoGV65
CWA 9.02	Recipient is establishing clean water compliance assurance and enforcement authorities in their laws, codes and/or regulations.	Recipient provides copy of relevant clean water laws, codes, and regulations that includes compliance assurance and enforcement provisions.	General EPA Water Topics: https://bit.ly/2AoGV65
CWA 9.03	Recipient is establishing an EPA approved quality assurance project plan (QAPP).	Recipient provides an EPA-approved QAPP.	Quality Program Information: https://www.epa.gov/quality/quality-program-directives
CWA 9.04	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.
CWA 9.05	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution).	Recipient provides documentation of the partnership agreement.	No EPA website reference at this time.

EMERGENCY PLANNING AND COMMUNITY RIGHT-TO-KNOW ACT (EPCRA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
EPCRA 01.01	Recipient is establishing an EPCRA compliant Tribal emergency planning organization.	Recipient provides evidence of a TERC or LEPC membership and/or SERC coordination.	EPCRA implementation on Tribal Lands: https://bit.ly/3Sk2gk7 Chemical Emergency Preparedness and

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			<p>Prevention on Tribal Lands: https://bit.ly/3R9FoTK</p> <p>How to Better Prepare Your Community for a Chemical Emergency: A Guide for State, Tribal and Local Agencies: https://bit.ly/3SqidFL</p> <p>National LEPC-TEPC Handbook: https://bit.ly/3By9dHI</p>
EPCRA 01.02	Recipient staff are obtaining Incident Command System certification.	Recipient provides current program-specific certification.	<p>General EPA Emergency Response: https://www.epa.gov/emergency-response</p> <p>Tribal Emergency Preparedness and Response Coordination: https://bit.ly/3dtNusk</p> <p>The Federal Emergency Management Agency's National Incident Management System: https://bit.ly/2PxECUL</p>

FEDERAL INSECTICIDE, FUNGICIDE, AND RODENTICIDE ACT (FIFRA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
FIFRA 01.01	Recipient is establishing pesticide laws, codes, and/or regulations with effective compliance assurance and enforcement provisions.	Recipient provides a copy of the established laws, codes, and/or regulations with effective compliance assurance and enforcement provisions, that are at least as stringent as the federal statutes.	<p>National Pesticide Tribal Program: Achieving Public Health and Environmental Protection in Indian Country and Alaska Native Villages: https://bit.ly/3S3NMol</p> <p>Tribal Pesticide Programs: https://bit.ly/3Uujihy</p> <p>Guidance on Basic Elements of an EPA-Funded Tribal Pesticide Program: https://bit.ly/3Lw1JJE</p> <p>Pesticide and Chemical Safety in Indian Country: https://bit.ly/3R2oGWj</p>

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
FIFRA 01.02	Recipient is establishing a FIFRA cooperative agreement to support pesticide activities for pesticide program development and implementation	Recipient provides a copy of the established FIFRA cooperative agreement (including a circuit rider program) to support pesticide activities for pesticide program development and implementation, including education, outreach, training, technical assistance and evaluation activities.	Guidance on Basic Elements of an EPA-Funded Tribal Pesticide Program: https://bit.ly/3Lw1JJE
FIFRA 01.03	Recipient is establishing a FIFRA cooperative agreement to support pesticide education and outreach.	Recipient provides a copy of the established FIFRA cooperative agreement (including a circuit rider program) to support pesticide education and outreach as well as compliance and enforcement activities.	Guidance on Basic Elements of an EPA-Funded Tribal Pesticide Program: https://bit.ly/3Lw1JJE
FIFRA 01.04	Recipient is establishing procedures for Worker Protection Standards.	Recipient provides copy of documented procedures for Worker Protection Standards.	Occupational Pesticide Safety and Health: https://www.epa.gov/pesticide-worker-safety
FIFRA 01.05	Recipient is establishing an Integrated Pest Management Program.	Recipient provides copy of documented program procedures for Integrated Pest Management.	Introduction to Integrated Pest Management: https://bit.ly/3QXPY9H
FIFRA 01.06	Recipient staff are obtaining program-specific certification: Federal Certification of Applicators of Restricted Use Pesticides within Indian Country, Under EPA's Plan.	Recipient provides current program-specific certification.	Federal Certification to Apply Restricted Use Pesticides in Indian Country: https://bit.ly/3SE4Ku7
FIFRA 01.07	Recipient is conducting an inventory of pesticides or conducts an assessment of current use of pesticides within Indian country, including K-12 schools.	Recipient provides copy of inventory of pesticides or an assessment of current use of pesticides within Indian country, including K-12 schools.	General EPA Information on Pesticides: https://www.epa.gov/pesticides
FIFRA 01.08	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.
FIFRA 01.09	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution) under the FIFRA.	Recipient has documentation of the partnership agreement.	No EPA website reference at this time.

OIL POLLUTION ACT (OPA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
OPA 01.01	Recipient staff are obtaining program-specific federal inspector credentials: SPCC inspector.	Recipient has obtained SPCC inspector credential.	<p>Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA: https://bit.ly/3UsFAjs</p> <p>Oil Spills Prevention and Preparedness Regulations: https://bit.ly/2sgUNbF</p> <p>SPCC Guidance for Regional Inspectors: https://bit.ly/3S3R1fv</p>

POLLUTION PREVENTION ACT (PPA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
PPA 01.01	Recipient is conducting an assessment to evaluate pollution prevention opportunities, through the establishment of baselines, for individual businesses.	Recipient provides copy of the assessment (e.g., an Economy, Energy and Environment Assessment or other similar assessment) for individual businesses (e.g., casinos, hotels, manufacturing facilities).	<p>General EPA Information on Pollution Prevention Information: https://www.epa.gov/p2</p>
PPA 01.02	Recipient is establishing a Pollution Prevention Program to adopt reduction practices.	Recipient provides copy of documented program procedures for Pollution Prevention Program.	<p>General EPA Information on Pollution Prevention Information: https://www.epa.gov/p2</p> <p>Pollution Prevention Grant Information: https://bit.ly/3DCtJtp</p>

RESOURCE CONSERVATION AND RECOVERY ACT (RCRA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
RCRA 01.01	Recipient is conducting a waste stream assessment and infrastructure feasibility analysis.	Recipient provides the written a waste assessment (e.g., a waste stream characterization study of the solid and hazardous waste generated by the residential and/or commercial generators in the community).	<p>Planning Tools for Tribal Waste Management: https://bit.ly/3LAg1JC</p> <p>Waste Wise Tip Sheet: Facility Waste Assessments: https://bit.ly/3drnSfX</p>

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
RCRA 01.02	Recipient is preparing a Tribally approved Integrated Waste Management Plan (IWMP).	Recipient provides a copy of the Tribally approved IWMP.	Developing Tribal Integrated Waste Management Plans: https://bit.ly/3xDHd4o
RCRA 01.03	Recipient is establishing a waste minimization program.	Recipient is conducting waste minimization activities.	Tribal Waste Management Program Sustainability Evaluation Tool: https://bit.ly/3BXl7vX Managing and Transforming Waste Streams: A Tool for Communities: https://www.epa.gov/transforming-waste-tool
RCRA 01.04	Recipient is establishing waste management laws, codes, and/or regulations.	Recipient provides copy of established waste management laws, codes, and/or regulations.	Developing Tribal Waste Management Codes and Ordinances: https://bit.ly/3UsExn Planning Tools for Tribal Waste Management: https://bit.ly/3LAg1JC
RCRA 01.05	Recipient is establishing waste management laws, codes and/or regulations with effective compliance assurance and enforcement mechanisms.	Recipient provides copy of waste management laws, codes, and regulations that includes compliance assurance and enforcement provisions.	Developing Tribal Waste Management Codes and Ordinances: https://bit.ly/3UsExn Planning Tools for Tribal Waste Management: https://bit.ly/3LAg1JC
RCRA 01.06	Recipient staff are obtaining program-specific certification: HAZWOPER certification	Recipient provides current program-specific certification.	No EPA website reference at this time.
RCRA 01.07	Recipient is establishing a hazardous waste management plan.	Recipient provides copy of hazardous waste management plan.	Developing Tribal Integrated Waste Management Plans: https://bit.ly/3xDHd4o
RCRA 01.08	Recipient is completing an inventory of open dumps.	Recipient provides copy of written inventory of open dumps.	No EPA website reference at this time.
RCRA 02.01	Recipient is establishing UST program laws, codes and/or regulations.	Recipient has written UST Program Tribal laws, codes, or regulations.	Underground Storage Tanks (USTs) Laws and Regulations: https://bit.ly/3Dlc837
RCRA 02.02	Recipient is establishing UST program laws, codes and/or regulations with effective compliance assurance and enforcement mechanisms.	Recipient provides copy of UST program laws, codes, and regulations that includes enforcement provisions.	Underground Storage Tanks (USTs) Laws and Regulations: https://bit.ly/3Dlc837
RCRA 02.03	Recipient is seeking EPA authorization to use credentialed inspectors to inspect UST facilities on the Agency's behalf.	Recipient is using credentialed inspectors to inspect UST facilities.	Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			Behalf of EPA: https://bit.ly/3UsFAjs Underground Storage Tank (UST) Inspector Training: https://bit.ly/3xKD7av
RCRA 3.01	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.
RCRA 3.02	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution).	Recipient provides documentation of the partnership agreement.	No EPA website reference at this time.

SAFE DRINKING WATER ACT (SDWA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
SDWA 01.01	Recipient is conducting a source water assessment.	Recipient provides recent documented source water assessment consistent with SDWA Section 1453.	Source Water Assessments: https://bit.ly/3dv7MBI Source Water Protection: https://www.epa.gov/sourcewaterprotection
SDWA 01.02	Recipient is completing an inventory of public water systems.	Recipient provides copy of inventory of public water systems.	Public Water System Supervision (PWSS) Grant Program: https://bit.ly/3Ra6ASk
SDWA 01.03	Recipient is establishing primary drinking water laws, codes and/or regulations.	Recipient provides copy of drinking water laws, codes, and/or regulations.	Primacy Enforcement Responsibility for Public Water Systems: https://bit.ly/3DLU34e
SDWA 01.04	Recipient is applying for program delegation/authorization/approval related to a Public Water Supervision System (PWSS) program.	Recipient has received EPA approval of their PWSS program.	Public Water System Supervision (PWSS) Grant Program: https://bit.ly/3Ra6ASk
SDWA 01.05	Recipient staff are obtaining program-specific certification: Drinking water operator certification.	Recipient provides current program-specific certification.	Drinking Water Operator Certification: https://bit.ly/3DEu2Uw Tribal Drinking Water Operator Certification Program: https://bit.ly/3S4y2IF
SDWA 01.06	Recipient is establishing procedures for conducting sanitation surveys of public water systems.	Recipient provides copy of procedures for conducting sanitation surveys of public water systems.	Sanitary Surveys: https://bit.ly/3UvMW67 How to Conduct a Sanitary

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
			Survey of Drinking Water Systems a Learner's Guide Designed to Assist in the Delivery of a Sanitary Survey Training Course: https://bit.ly/3qYeumQ
SDWA 02.01	Recipient is establishing primary drinking water compliance assurance and enforcement authorities in their laws, codes and/or regulations.	Recipient provides copy of drinking water laws, codes, and regulations that includes enforcement provisions.	Primacy Enforcement Responsibility for Public Water Systems: https://bit.ly/3DLU34e
SDWA 02.02	Recipient staff are obtaining program-specific federal inspector credentials: Drinking water inspector (Public Water System)	Recipient has obtained Drinking Water inspector credential.	Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA: https://bit.ly/3UsFAjs
SDWA 03.01	Recipient staff are obtaining program-specific federal inspector credentials: Underground Injection Control (UIC) inspector	Recipient has obtained UIC inspector credential.	Guidance for Issuing Federal EPA Inspector Credentials to Authorize Employees of State/Tribal Governments to Conduct Inspections on Behalf of EPA: https://bit.ly/3UsFAjs UIC Online Interactive Training: https://bit.ly/3xJj3Fv
SDWA 03.02	Recipient is applying for program delegation/authorization/approval related to an Underground Injection Control (UIC) program.	When recipient has received EPA approval of their UIC program.	Primary Enforcement Authority for the Underground Injection Control Program: https://bit.ly/3DJjGmj Protecting Underground Sources of Drinking Water from Underground Injection (UIC): https://www.epa.gov/uic
SDWA 4.01	Recipient is establishing an EPA approved quality assurance project plan (QAPP).	Recipient provides an EPA-approved QAPP.	Quality Program Information: https://www.epa.gov/quality/quality-program-directives
SDWA 4.02	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution).	Recipient provides documentation of the partnership agreement.	Learn About Water System Partnerships: https://bit.ly/3DLXTKG
SDWA 4.03	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved	Learn About Water System Partnerships: https://bit.ly/3DLXTKG

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
		intergovernmental agreement with a written MOU or MOA.	

TOXIC SUBSTANCES CONTROL ACT (TSCA)

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
TSCA 01.01	Recipient is completing an inventory of all older structures for Lead Based Paint program.	Recipient provides copy of written inventory of all older structures (e.g., pre-1978 housing and child-occupied buildings) for Lead Based Paint program.	General EPA Information on Lead: https://www.epa.gov/lead
TSCA 01.02	Recipient is entering into an agreement with EPA to establish an authorized Lead-Based Paint Programs.	Recipient provides a written agreement with EPA to establish an authorized Lead-Based Paint Programs [402(a) and 406(b)].	Lead-Based Paint Information: https://www.epa.gov/lead/lead-abatement-inspection-and-risk-assessment General EPA Information on Lead: https://www.epa.gov/lead
TSCA 01.03	Recipient is establishing an agreement with EPA to establish an authorized Renovation, Repair and Painting Program	Recipient provides a written agreement with EPA to establish an authorized Renovation, Repair, and Painting Program.	General EPA Information on Lead: https://www.epa.gov/lead Lead Renovation, Repair and Painting Program: https://bit.ly/2WmyxKM
TSCA 01.04	Recipient staff are obtaining program-specific certification: Lead-based paint hazard evaluations/Lead Abatement Program.	Recipient provides current program-specific certification.	General EPA Information on Lead: https://www.epa.gov/lead Lead-Based Paint Abatement and Evaluation Program: Individual Certification: https://bit.ly/3DEUcq3
TSCA 02.01	Recipient is completing an inventory of asbestos and toxics in K-12 schools.	Recipient provides copy of a written inventory of asbestos (in accordance with the AHERA) and toxics in K-12 schools.	General EPA Information on Asbestos: https://www.epa.gov/asbestos
TSCA 02.02	Recipient is establishing asbestos risk laws, codes, and/or regulations with effective compliance assurance and enforcement provisions that are at least as stringent as the federal statutes.	Recipient provides a copy of the established laws, codes, and/or regulations with effective compliance assurance and enforcement provisions.	General EPA Information on Asbestos: https://www.epa.gov/asbestos

Code	What is the indicator?	How does EPA define when the indicator is complete?	Where to go for more information?
TSCA 03.01	Recipient is establishing toxics risk laws, codes, and/or regulations with effective compliance assurance and enforcement provisions that are at least as stringent as the federal statutes.	Recipient provides a copy of the established laws, codes, and/or regulations with effective compliance assurance and enforcement provisions.	Toxics Release Inventory (TRI) for Tribal Communities: https://bit.ly/3DLYvjs
TSCA 4.01	Recipient is establishing an intergovernmental agreement (MOU/MOA).	Recipient provides documentation of the approved intergovernmental agreement with a written MOU or MOA.	No EPA website reference at this time.
TSCA 4.02	Recipient is establishing partnerships with non-government entities (e.g., NGOs/academic institution) under the TSCA.	Recipient has documentation of the partnership agreement.	No EPA website reference at this time.
TSCA 4.03	Recipient is establishing a cooperative agreement to conduct monitoring/inspections under the TSCA.	Recipient provides a copy of the written cooperative agreement to conduct monitoring/inspections.	Compliance & Enforcement in Indian Country: https://bit.ly/3xGI0kQ