

Common Errors to avoid when completing the following forms:

Key Contacts

SF-424

SF-424A

Pre-award Compliance (Form-4700)

This form must be filled out completely.

[View Burden Statement](#)

OMB Number: 2030-0020
Expiration Date: 04/30/2021

EPA KEY CONTACTS FORM

Authorized Representative: *Original awards and amendments will be sent to this individual for review and acceptance, unless otherwise indicated.*

Authorized Representative must match signatory on SF424, Pre-Award (4700), Lobbying

Name:	Prefix:	<input type="text"/>	First Name:	<input type="text"/>	Middle Name:	<input type="text"/>
	Last Name:	<input type="text"/>			Suffix:	<input type="text"/>
Title:	<input type="text"/>					
Complete Address:						
Street1:	<input type="text"/>					
Street2:	<input type="text"/>					
City:	<input type="text"/>	State:	<input type="text"/>			
Zip / Postal Code:	<input type="text"/>	Country:	<input type="text"/>			
Phone Number:	<input type="text"/>			Fax Number:	<input type="text"/>	
E-mail Address:	<input type="text"/>					

Payee: *Individual authorized to accept payments.*

Name: Prefix: First Name: Middle Name:
Last Name: Suffix:
Title:

Complete Address:

Street1:
Street2:
City: State:
Zip / Postal Code: Country:
Phone Number: **Fax Number:**
E-mail Address:

Administrative Contact: *Individual from Sponsored Programs Office to contact concerning administrative matters (i.e., indirect cost rate computation, rebudgeting requests etc).*

Name: Prefix: First Name: Middle Name:
Last Name: Suffix:
Title:

Complete Address:

Street1:
Street2:
City: State:
Zip / Postal Code: Country:
Phone Number: **Fax Number:**
E-mail Address:

Authorized Representative, Payee, Administrative Contact, and Project Management **CANNOT** be the same person

Authorized Representative needs to be different from other three

EPA KEY CONTACTS FORM

Project Manager: *Individual responsible for the technical completion of the proposed work.*

Name: Prefix: Last Name: First Name: Middle Name:
Suffix: Title:

Complete Address:

Street1:
Street2:
City: State:
Zip / Postal Code: Country:

Phone Number: **Fax Number:**

E-mail Address:

Make sure all lines are filled out correctly.




View Burden Statement		OMB Number: 4040-0004 Expiration Date: 12/31/2019
Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/>	* c. Organizational DUNS: <input type="text"/>	

Make sure all lines are filled out correctly.

d. Address:	
* Street1:	
Street2:	
* City:	
County/Parish:	
* State:	
Province:	
* Country:	USA: UNITED STATES
* Zip / Postal Code:	
e. Organizational Unit:	
Department Name:	Division Name:

f. Name and contact information of person to be contacted on matters involving this application:	
Prefix:	* First Name:
Middle Name:	
* Last Name:	
Suffix:	
Title:	
Organizational Affiliation:	
* Telephone Number:	Fax Number:
* Email:	

Make sure all lines are filled out correctly.

Application for Federal Assistance SF-424	
	<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <div><div></div><div></div></div> <p>Type of Applicant 2: Select Applicant Type:</p> <div><div></div><div></div></div> <p>Type of Applicant 3: Select Applicant Type:</p> <div><div></div><div></div></div> <p>* Other (specify):</p> <div><div></div></div>
	<p>* 10. Name of Federal Agency:</p> <div><div>Environmental Protection Agency</div></div>
	<p>11. Catalog of Federal Domestic Assistance Number:</p> <div><div>66.475</div></div>
	<p>CFDA Title:</p> <div><div>Gulf of America Program</div></div>

Make sure all lines are filled out correctly.

<div><div>* 12. Funding Opportunity Number:</div><div></div><div>* Title:</div><div></div></div>
<div><div>13. Competition Identification Number:</div><div></div><div>Title:</div><div></div></div>
<div><div>14. Areas Affected by Project (Cities, Counties, States, etc.):</div><div><div></div><div>Add Attachment</div><div>Delete Attachment</div><div>View Attachment</div></div></div>
<div><div>* 15. Descriptive Title of Applicant's Project:</div><div></div></div>
<div><div>Attach supporting documents as specified in agency instructions.</div><div><div>Add Attachments</div><div>Delete Attachments</div><div>View Attachments</div></div></div>

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant	<input type="text"/>
* b. Program/Project	<input type="text"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date:	<input type="text"/>
* b. End Date:	<input type="text"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text"/>
* b. Applicant	<input type="text"/> X
* c. State	<input type="text"/> X
* d. Local	<input type="text"/> X
* e. Other	<input type="text"/> X
* f. Program Income	<input type="text"/> X
* g. TOTAL	<input type="text"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on	<input type="text"/>
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	

Only list federal money

If 'a' is selected, must provide a date submitted for review

Pertains to the following states: AR, FL, IA, KY, LA, MO

* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: <input type="text"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text"/>	
Suffix: <input type="text"/>	
* Title: <input type="text"/>	
* Telephone Number: <input type="text"/>	Fax Number: <input type="text"/>
* Email: <input type="text"/>	
* Signature of Authorized Representative: <input type="text"/>	* Date Signed: <input type="text"/>

Same person
listed as
Authorized
Representative
on key contacts
form



Authorized Representative must sign and date

DO NOT USE

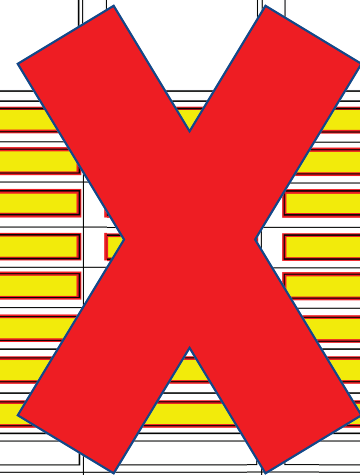
View Burden Statement		BUDGET INFORMATION - Non-Construction Programs				OMB Number: 4040-0006 Expiration Date: 02/28/2022	
SECTION A - BUDGET SUMMARY							
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		Total (g)	
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)		
1.	66.475	\$	\$	\$	\$	\$	
2.							
3.							
4.							
5. Totals		\$	\$	\$	\$	\$	

Leave these columns blank



SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$				\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					\$
j. Indirect Charges					\$
k. TOTALS (sum of 6i and 6j)	\$	\$	\$	\$	\$
7. Program Income	\$	\$	\$	\$	\$



DO NOT USE →

DO NOT break
out by year

Use total budget
only

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.		\$	\$	\$	\$
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$	\$	\$	\$

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$	\$	\$	\$	\$
14. Non-Federal	\$				
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17.					
18.					
19.					
20. TOTAL (sum of lines 16 - 19)	\$	\$	\$	\$	

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	
23. Remarks:	

Leave Section C BLANK

Leave Section C BLANK

DO NOT USE

Leave blank

Enter type of rate,
amount of base to
which base is
applied, total indirect
expense
Ex. Provisional; base:
\$32,517; Total:
\$8,022

(b) Second year funding (c) Third year funding

[View Burden Statement](#)

OMB Number: 2030-0020
Expiration Date: 04/30/2021

Preaward Compliance Review Report for All Applicants and Recipients Requesting EPA Financial Assistance

Note: Read Instructions before completing form.

I. A. Applicant/Recipient (Name, Address, City, State, Zip Code)

Name:

Address:

City:

State: Zip Code:

B. DUNS No.

II. Is the applicant currently receiving EPA Assistance? ☐ Yes ☐ No **Any currently active projects prior to this award**

III. List all civil rights lawsuits and administrative complaints pending against the applicant/recipient that allege discrimination based on race, color, national origin, sex, age, or disability. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7.)

Must provide an answer even if an answer is na/none

- IV. List all civil rights lawsuits and administrative complaints decided against the applicant/recipient within the last year that allege discrimination based on race, color, national origin, sex, age, or disability and enclose a copy of all decisions. Please describe all corrective actions taken. (Do not include employment complaints not covered by 40 C.F.R. Parts 5 and 7.)

Must provide an answer even if answer is na/none

- V. List all civil rights compliance reviews of the applicant/recipient conducted by any agency within the last two years and enclose a copy of the review and any decisions, orders, or agreements based on the review. Please describe any corrective action taken. (40 C.F.R. § 7.80(c)(3))

Must provide an answer even if answer is na/none

- VI. Is the applicant requesting EPA assistance for new construction? If no, proceed to VII; if yes, answer (a) and/or (b) below.

☐ Yes

☒ No Always 'No'

- a. If the grant is for new construction, will all new facilities or alterations to existing facilities be designed and constructed to be readily accessible to and usable by persons with disabilities? If yes, proceed to VII; if no, proceed to VI(b).

☐ Yes

☐ No

- b. If the grant is for new construction and the new facilities or alterations to existing facilities will not be readily accessible to and usable by persons with disabilities, explain how a regulatory exception (40 C.F.R. 7.70) applies.

Make sure to
answer each one

- VII. Does the applicant/recipient provide initial and continuing notice that it does not discriminate on the basis of race, color, national origin, sex, age, or disability in its program or activities? (40 C.F.R 5.140 and 7.95)**
- a. Do the methods of notice accommodate those with impaired vision or hearing?**
 - b. Is the notice posted in a prominent place in the applicant's offices or facilities or, for education programs and activities, in appropriate periodicals and other written communications?**
 - c. Does the notice identify a designated civil rights coordinator?**
- VIII. Does the applicant/recipient maintain demographic data on the race, color, national origin, sex, age, or handicap of the population it serves? (40 C.F.R. 7.85(a))**
- IX. Does the applicant/recipient have a policy/procedure for providing access to services for persons with limited English proficiency? (40 C.F.R. Part 7, E.O. 13166)**

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

- X. If the applicant is an education program or activity, or has 15 or more employees, has it designated an employee to coordinate its compliance with 40 C.F.R. Parts 5 and 7? Provide the name, title, position, mailing address, e-mail address, fax number, and telephone number of the designated coordinator.

MUST provide name, title, position, mailing address, e-mail, fax number, telephone number

- XI. If the applicant is an education program or activity, or has 15 or more employees, has it adopted grievance procedures that assure the prompt and fair resolution of complaints that allege a violation of 40 C.F.R. Parts 5 and 7? Provide a legal citation or Internet Address for, or a copy of, the procedures.

Must state citation or internet address if not providing a copy of procedures; make sure attachment is part of application package

For the Applicant/Recipient

I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law. I assure that I will fully comply with all applicable civil rights statutes and EPA regulations.

A. Signature of Authorized Official



B. Title of Authorized Official



C. Date



**Authorized Representative should be same as Authorized Representative on key contacts form.
Authorized Representative signs and dates.**