#### **OVERVIEW SECTION**

**AGENCY:** ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: TECHNICAL ASSISTANCE TO BROWNFIELDS COMMUNITIES

**ACTION:** Request for Applications (RFA)

**RFA NO:** EPA-I-OLEM-OBLR-22-11

**ASSISTANCE LISTING NO.:** 66.814

**DATES:** The closing date and time for receipt of applications is **FEBRUARY** 14, 2023, 11:59 p.m. Eastern Time (ET). Applications must be submitted through <u>grants.gov</u>. Applications received after 11:59 p.m. ET on <u>FEBRUARY</u> 14, 2023, will not be considered. Please refer to the <u>Due Date and Submission Instructions</u> in <u>Section IV</u>. B. and <u>Appendix 1</u> for further instructions.

EPA continues to provide flexibility to applicants experiencing challenges related to COVID-19. Please see the **Flexibilities Available to Organizations Impacted by COVID-19** clause in Section IV. of EPA's Solicitation Clauses available at <a href="https://www.epa.gov/grants/epa-solicitation-clauses">https://www.epa.gov/grants/epa-solicitation-clauses</a> and the instructions outlined in Section IV.B. of this announcement.

**Note** - Prior to naming a contractor (including consultants) or subrecipient in your application as a "partner", please carefully review Section IV.D, "Contracts and Subawards", of EPA's Announcement Clauses that are incorporated by reference in this announcement (See Section I.G). EPA expects recipients of funding to comply with competitive procurement contracting requirements as well as EPA's rule on Participation by Disadvantaged Business Enterprises in EPA Programs in 40 CFR Part 33. The Agency does not accept justifications for sole source contracts for services or products available in the commercial marketplace based on a contractor's role in preparing an application or prior relationships with the contractor that were not established in compliance with competitive procurement requirements. Note that these competitive procurement requirements apply with equal force to contractual relationships established prior to or after the award of EPA funds.

#### **FUNDING/AWARDS:**

The total estimated funding for this award is \$53,000,000. EPA anticipates awarding up to 11 cooperative agreement(s). The maximum value of each cooperative agreement under this competitive opportunity for geographical areas 1-10 will be \$5,000,000. In addition, EPA may award one cooperative agreement of up to \$3,000,000 for geographical area 11. If a single entity is selected for multiple geographical areas, those applications may be combined into a single award so that the total award may exceed \$5,000,000. Cooperative agreements awarded will be funded incrementally. A portion of the total funding may be allocated in each year of an agreement's period

of performance. All funding allocations will be subject to satisfactory performance, agency priorities, and the availability of funds. (*Refer to* Section II.A.)

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#### SECTION I. - FUNDING OPPORTUNITY DESCRIPTION

The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) was amended by the Small Business Liability Relief and Brownfields Revitalization Act in 2002 to include Section 104(k), which provides federal financial assistance authorities for brownfields revitalization, including grants for assessment, cleanup, and revolving loan funds, as well as the authority to fund research, training, and technical assistance projects. The Brownfields Utilization, Investment, and Local Development (BUILD) Act (Public Law 115-141) enacted in 2018 reauthorized EPA's Brownfields Program and made additional amendments to CERCLA that affect EPA's brownfield grant authorities, and ownership and liability provisions. (Note: References to CERCLA in this solicitation refer to CERCLA as amended by the 2002 Small Business Liability Relief and Brownfields Revitalization Act and the 2018 BUILD Act.) EPA's Brownfields Program provides funds to empower states, tribal nations, communities, and nonprofit organizations to prevent, inventory, assess, clean up, and reuse brownfield sites. This guidance provides information on applying for Technical Assistance to Brownfields (TAB) Communities under the authority of CERCLA 104(k)(7)(B). Technical Assistance to Brownfields Communities will be financed with appropriations made available to EPA under the Investment in Infrastructure and Jobs Law (IIJL) Public Law 117-58.

A **brownfield site is defined** in CERCLA § 101(39) as real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of hazardous substances, pollutants, contaminants, controlled substances, petroleum products, or is mine-scarred land.

A critical part of EPA's Brownfields Program is to ensure that residents living in communities historically affected by economic disinvestment, health disparities, and environmental contamination have an opportunity to benefit from brownfields redevelopment. EPA's Brownfields Program has a rich history rooted in environmental justice and is committed to helping communities revitalize brownfield properties, mitigate potential health risks, and restore economic vitality.

EPA expects that funding awarded will advance the Biden Administration's <u>Justice40</u> priorities which promise to deliver at least 40 percent of the overall benefits from key federal investments to disadvantaged communities.<sup>1</sup>

The EPA's Brownfields Program is built upon four basic goals and principles – protecting the environment, partnering for success, stimulating the marketplace, and promoting sustainable reuse. EPA is committed to supporting technical assistance that will further the goals of the program and provide enhanced knowledge, tools, and processes to a broad range of stakeholders (e.g., tribal, state, local and other non-governmental entities), especially to small, rural, and underserved communities.<sup>2</sup>

#### I.A. Project Description

EPA's Office of Brownfields and Land Revitalization (OBLR) is soliciting applications from eligible entities to provide technical assistance to assist communities facing brownfields challenges within the geographic areas delineated in <a href="Section I.B.6.">Section I.B.6.</a>. Cooperative agreements awarded under this announcement will help communities tackle the challenge of assessing, cleaning up and preparing brownfield sites for redevelopment, especially underserved/rural/small and distressed communities. The technical assistance provided through these agreements will cover technical support on various brownfields subject areas. Regardless of the mechanism by which the technical assistance is made available, it should be geared toward results and in helping communities move its brownfield sites forward in the process toward cleanup and reuse. In addition, understanding the underlying technical issues associated with a brownfield site will enable communities to participate substantively in brownfield site decisions. For the purposes of this solicitation, technical assistance is defined as providing advice and support, in the form of specialized knowledge, to a person or

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<sup>&</sup>lt;sup>1</sup> Disadvantaged – Consideration of appropriate data, indices, and screening tools to determine whether a specific community is disadvantaged should be based on a combination of variables that may include, but are not limited to, the following: low income, high and/or persistent poverty; high unemployment and underemployment; racial and ethnic residential segregation, particularly where the segregation stems from discrimination by government entities; linguistic isolation; high housing cost burden and substandard housing; distressed neighborhoods; high transportation cost burden and/or low transportation access; disproportionate environmental stressor burden and high cumulative impacts; limited water and sanitation access and affordability; disproportionate impacts from climate change; high energy cost burden and low energy access; jobs lost through the energy transition; and access to healthcare. Office of Management and Budget's Interim Implementation Guidance for the Justice40 Initiative Memo (July 20, 2021).

www.whitehouse.gov/wp-content/uploads/2021/07/M-21-28.pdf.

<sup>&</sup>lt;sup>2</sup> When EPA uses the term "underserved communities" it has the meaning defined in Executive Order 13985: Advancing Racial Equity And Support For Underserved Communities Through The Federal Government, which defines "underserved communities" as "populations sharing a particular characteristic, as well as geographic communities, that have been systematically denied a full opportunity to participate in aspects of economic, social, and civic life....". As described in the Executive Order, "underserved communities" include communities such as Black, Latino, and Indigenous and Native American persons, Asian Americans and Pacific Islanders and other persons of color; members of religious minorities; lesbian, gay, bisexual, transgender, and queer (LGBTQ+) persons; persons with disabilities; persons who live in rural areas; and persons otherwise adversely affected by persistent poverty or inequality. It also includes "communities environmentally overburdened," that is, a community adversely and disproportionately affected by environmental and human health harms or risks, and "disadvantaged, communities" as referenced in Executive Order 14008, Tackling the Climate Crisis at Home and Abroad, and defined in Office of Management and Budget's Memo M-21-28: Interim Implementation Guidance for the Justice40 Initiative.

organization with the goal of guiding them through the brownfield assessment, cleanup and revitalization process or helping them understand complex brownfields-related subject matters. The recipient will provide technical assistance, consistent with EPA policy and procedures, and serve as an independent source of information to assist communities. TAB cooperative agreement recipients may provide the following types of activities:

- Review and explain technical reports (such as those related to site sampling and analysis, site assessment, and government regulations);
- Provide information about environmental science, environmental policy, and other technical matters;
- Help communities understand and communicate health risks associated with a brownfield site;
- Provide information to assist the community to better understand environmental issues and how these issues affect brownfield cleanup and redevelopment;
- Facilitate meetings between the local community, developers, lenders, and others involved in the cleanup and reuse of brownfield properties;
- Facilitate brownfield redevelopment efforts by supporting community involvement and other stakeholder participation activities;
- Facilitate community visioning and reuse sessions and generate written documentation of the results of the technical assistance:
- Provide assistance to communities dealing with brownfields-issues related to plant closures, or other significant economic disruptions, including the adverse impacts of natural disasters, or other sector-based economic issues;
- Provide assistance in understanding and complying with state Brownfields/Voluntary Cleanup Program requirements, including land use restrictions and other land use controls;
- Provide application review services and host cooperative agreement application writing workshops (but TAB recipients are not to provide cooperative agreement application writing services to individual applicants or potential applicants for EPA funding); and
- Host workshops and community engagement events to help establish and build strong community relationships.
- Provide technical assistance to applicants and recipients of Brownfields grants on compliance with competitive procurement requirements, administrative cost limitations, and similar activities that will help communities use EPA Brownfields funding in compliance with applicable requirements.

The TAB cooperative agreement recipients will be encouraged to collaborate with EPA staff in the respective EPA regional office(s) on activities that benefit communities located in the respective regional office.

Applicants may propose other types of technical assistance activities that meet the needs of communities affected by brownfields in the geographic areas they propose to serve as long as the activities are eligible for funding under CERCLA 104(k)(7) and meet the cost allowability requirements in 2 CFR Part 200, Subpart E, 2 CFR Part 1500 and EPA interpretive guidance.

#### I.B. Description of Subject Areas for Technical Assistance

Under CERCLA § 104(k)(7), EPA is authorized to provide financial assistance that facilitates "the inventory of brownfield sites, site assessments, remediation of brownfield sites, community involvement, or site preparation." Summarized below are general subject areas for which EPA will fund brownfields technical assistance to support communities.

Applications must include technical assistance in each of the six subject areas described below or else they will be rejected and not considered for award. While applications must address all six areas, applicants may propose approaches to addressing each area that differ from or supplement the activities EPA describes.

#### 1. Community Involvement

Activities supporting this subject area must include technical assistance to support meaningful and consistent participation of community members in projects and decisions that affect their social and economic well-being. Projects should aim to provide community stakeholders (e.g., residents, community groups, businesses, schools, etc.) with information, tools and technology to better understand or participate in an informed way in decision making related to brownfields area-wide planning, assessment, cleanup, environmental workforce development and job training, and the redevelopment process, or to foster a better understanding of the impacts of living near a potentially contaminated brownfields property. The assistance may include arranging and organizing meetings of community groups or others that contribute to the meaningful involvement of community members in brownfields efforts. The technical assistance provided must ensure that communities are aware of the assistance available to them and must educate target audiences in how to build stronger connections to communities, including tribal and environmental justice communities. As provided in EPA's Guidance on Participant Support Cost (link) reasonable costs for stipends to enable community members to attend in-person meetings may be allowable with prior EPA approval.

#### 2. Health Impacts of Brownfield Sites

Technical assistance supporting this subject area should address the connection between health issues and brownfields. The assistance should focus on the impacts to health and quality of life related to unaddressed environmental contamination at brownfields. Technical assistance also should provide communities with an understanding of how the cleanup and redevelopment of brownfields can improve the health and quality of life of residents located near brownfields. The assistance should strive to promote the improvement of the health of individuals living near brownfields (particularly, those belonging to sensitive and environmental justice populations) and to increase the level of understanding of brownfields-related health issues. Assistance also should foster stakeholder interaction and partnerships with local public health departments to educate communities on additional health benefits resulting from brownfields revitalization in surrounding neighborhoods.

### 3. Science and Technology Relating to Brownfields Assessment, Remediation, and Site Preparation

Technical assistance in this subject area should focus on various aspects of brownfields assessment, cleanup and subsequent redevelopment, including: assessment and inventory methods, site prioritization, development of a site prioritization scheme/methodology, sampling and cleanup methods, institutional controls and stewardship to ensure long-term protection of human health and the environment, and risk assessment methods and policies.

#### 4. Integrated Approaches to Brownfields Assessment, Cleanup and Redevelopment

Technical assistance in this subject area should address linkages between environmental, economic, community and public health needs at brownfield sites. Topics include, but are not limited to: assessment, planning and cleanup for resilient reuses, green revitalization, port and waterfront revitalization, air and water quality issues, leveraging partner agency resources, integration of energy efficiency, renewable energy generation, sustainable development and community preparedness and resilience to reduce climate impacts. Issues to consider include: urban heat islands, floodplain mitigation, green building design approaches, and ways to improve the quality of life of residents impacted by brownfields. Technical assistance will summarize and highlight steps communities can take in addressing health and social-economic issues in targeting brownfields sites for assessment, remediation, planning and preparation for reuse such as equitable development, affordable housing, minimizing displacement, environmental justice, access to services and resilient climate hardened infrastructure. Technical assistance outputs should consider how diversity in age, abilities, and perceived needs affect stakeholder participation. Technical assistance providers should have a knowledge of the linkages among various types of assessment, cleanup, redevelopment and planning efforts, and be able to assist communities in establishing practices to increase stakeholder capacity and coordination to address issues associated with current brownfields and prevent the creation of future brownfields. Outreach should be extended to communities with environmental justice challenges, communities with economically disadvantage areas or remote areas, and communities with a health risk related to exposure to hazardous waste and other health concerns, as applicable.

#### 5. Brownfields Finance

Technical assistance in this area should address the financial needs of communities addressing issues related to brownfields. Technical assistance should assist communities in understanding and addressing economic issues associated with brownfields cleanup, including assisting communities in working with federal, state, tribal and local governmental departments/agencies, EPA's Environmental Finance Centers, lenders, investors, developers, and insurers to establish and leverage partnerships for funding assessment and cleanup that is consistent with plans for subsequent redevelopment. Technical assistance activities should increase state, local, and tribal stakeholders' knowledge of finance instruments such as tax incentives, loan funds, insurance, government funding programs, and other available financing tools for brownfields cleanup and redevelopment. Technical assistance may be in the form of helping to organize interagency workgroups to assist communities in developing plans, implementing financial instruments, leveraging resources or developing documents necessary to obtain additional funding for

brownfields activities. Applicants should note, however, that EPA does not intend to provide approval for fundraising costs under 2 CFR 200.422 for the TAB program.

#### 6. State, Territorial Tribal, and Local Government Brownfields Programs

Technical assistance in this area should focus on providing brownfield-related information to state, tribal (including Alaskan native tribes), local government representatives and other stakeholders about brownfields-related government programs, and brownfields funding opportunities (e.g., financial, technical assistance) for brownfields cleanup and redevelopment efforts. Technical assistance should also provide support for identifying and understanding state and tribal response programs and assistance to communities that have brownfield cooperative agreement funding and need technical assistance to move their projects forward.

Due to the high number of communities requiring support nationwide, EPA divided the support to be provided through these cooperative agreements into 11 different geographical areas. Ten of the cooperative agreements awarded under this solicitation will provide technical assistance to brownfields stakeholders in the geographic areas associated with the ten EPA regions (EPA intends to award one cooperative agreement for each of the ten regions). An additional cooperative agreement will be awarded to provide technical assistance nationwide. This additional cooperative agreement is intended to cover technical assistance activities that cross all regions and that are not regional or state specific in nature. Such technical assistance could include basic brownfields 101 workshops and webinars, electronic tools that serve communities nationwide, or those activities that must be provided nationwide such as cooperative agreement application assistance. The geographic areas are:

- Geographic Area #1 includes *EPA Region 1* (CT, ME, MA, NH, RI, VT)
- Geographic Area #2 includes EPA Region 2 (NJ, NY, PR, VI)
- Geographic Area #3 includes EPA Region 3 (DE, DC, MD, PA, VA, WV)
- Geographic Area #4 includes EPA Region 4 (AL, FL, GA, KY, MS, NC, SC, TN)
- Geographic Area #5 includes EPA Region 5 (IL, IN, MI, MN, OH, WI)
- Geographic Area #6 includes EPA Region 6 (AR, LA, NM, OK, TX)
- Geographic Area #7 includes EPA Region 7 (IA, KS, NE, MO)
- Geographic Area #8 includes EPA Region 8 (CO, MT, ND, SD, UT, WY)
- Geographic Area #9 includes EPA Region 9 (AZ, CA, HI, NV, AS, GU)
- Geographic Area #10 includes *EPA Region 10* (AK, ID, OR, WA)
- Geographic Area #11 includes nationwide coverage to all regions, states, and tribes

Applicants are expected to significantly invest in reaching an extensive number of communities located throughout the geographical region covered by the cooperative agreement, as well as invest in building strong local partnerships, allocating resources to employee and contractor travel for outreach activities and creating awareness through social media campaigns.

Applicants must provide technical assistance to communities throughout their entire geographic area. Please note that the amount of funding provided to selected recipients will be commensurate with the level and amount of technical support being proposed. Those recipients proposing to

provide less technical assistance may receive lower amounts of funding than recipients proposing to provide a greater amount of technical assistance or serving a larger geographic area.

Recipients selected for award in geographic areas 1-10, may also provide, with EPA's approval and on a limited basis, technical assistance to communities in other geographical areas. For example, a recipient may provide technical assistance to a community outside its geographic area if it is uniquely qualified to provide a specific type of technical assistance as determined by the EPA Project Officer for the TAB cooperative agreement. Such unique technical assistance may be provided at national conferences, through webinars, and similar events, or when EPA's Project Officer decides that the type or nature of the technical assistance must be made available nationwide. The final scope of work that EPA's Project Officer negotiates with successful applicants will specify the terms under which recipients may provide technical assistance outside of their geographic areas on a limited basis.

**Note to Applicants:** Applicants may submit applications to provide technical assistance in multiple geographic areas and receive awards for multiple areas, including the national award. Applicants wishing to provide technical assistance in multiple geographical areas must submit a **separate** application for each geographic area they plan to serve.

#### I.C. Uses of Grant Funds

Technical Assistance for Brownfields eligible uses of cooperative agreement funds include direct costs necessary to provide brownfields technical assistance identified in the approved workplan. This includes eligible programmatic costs necessary to perform your project, such as: costs for personnel, technical experts, materials, supplies, room rentals, employee travel, and transportation expenses.

#### Funds cannot be used for the payment of:

- 1. Conducting site assessments or actual cleanups;
- 2. construction and land acquisition;
- 3. foreign travel;
- 4. direct costs for application preparation;
- 5. a penalty or fine;
- 6. a federal cost share requirement (for example, a cost share required by other federal funds);
- 7. administrative costs, including all indirect costs and direct costs for cooperative agreement administration, in excess of five (5) percent of the total amount of EPA cooperative agreement funding, with the exception of financial and performance reporting costs (which are considered allowable programmatic costs and not subject to the 5% limitation);

- 8. a cost of compliance with any federal law, excluding the cost of compliance with laws applicable to environmental cleanup; or
- 9. unallowable costs (e.g., lobbying) under 2 CFR Part 200, Subpart E.

Administrative Costs. Under CERCLA § 104(k)(5)(B), cooperative agreement recipients (CARs) and subrecipients may use up to 5% of the amount of federal funding for this cooperative agreement for administrative costs, including indirect costs under 2 CFR § 200.414. As required by 2 CFR § 200.403(d), the CAR and subrecipients must classify administrative costs as direct or indirect consistently and may not classify the same types of cost in both categories. Eligible cooperative agreement and subaward administrative costs subject to the 5% limitation include direct costs for:

- a. Costs incurred to comply with the following provisions of the *Uniform Administrative* Requirements for Cost Principles and Audit Requirements for Federal Awards at 2 CFR Parts 200 and 1500 other than those identified as programmatic.
  - i.Preparing revisions and changes in the budgets, scopes of work, program plans, and other activities required under 2 CFR § 200.308;
  - ii. Maintaining and operating financial management systems required under 2 CFR § 200.302;
  - iii. Preparing payment requests and handling payments under 2 CFR § 200.305;
  - iv. Financial reporting under 2 CFR § 200.327;
  - v.Non-federal audits required under 2 CFR Part 200, Subpart F; and
- b.Closeout under 2 CFR § 200.343 with the exception of preparing the recipient's final performance report. Costs for preparing this report are programmatic and are not subject to the 5% limitation on direct administrative costs.

#### I.D. EPA Strategic Plan Linkage

The activities to be funded under this announcement support <u>EPA's FY 2022-2026 Strategic Plan</u><sup>3</sup>. Awards made under this announcement will support Goal 6 – Safeguard and Revitalize Communities, Objective 6.1: Clean Up and Restore Land for Productive Uses and Healthy Communities of <u>EPA's Strategic Plan</u>. All applications must be for projects that support the goal and objective.

<sup>&</sup>lt;sup>3</sup> EPA's Strategic Plan is available at EPA Strategic Plan | US EPA.

#### I.E. Measuring Environmental Results: Anticipated Outcomes/Outputs

EPA requires that applicants adequately describe environmental outputs and outcomes to be achieved under assistance agreements.<sup>4</sup> Applicants must include specific statements describing the environmental results of the proposed project in terms of well-defined outputs and, to the maximum extent practicable, well-defined outcomes that will demonstrate how the project will contribute to the goals and objectives described above in <u>Section I.D.</u>

Applicants are required to describe how funding will help EPA achieve environmental outputs and outcomes in their responses to the ranking criteria in <u>Section V</u>. Outputs and outcomes specific to each project will be identified as deliverables in the negotiated workplan if the application is selected for award. Recipients will be expected to report progress toward the attainment of expected project outputs and outcomes during the project performance period. Outputs and outcomes are defined as follows:

- 1. *Outcomes.* The term "outcomes" refers to the result, effect, or consequence that will occur from carrying out an environmental program or activity that is related to an environmental or programmatic goal or objective. Outcomes may be environmental, behavioral, health-related or programmatic in nature, must be quantitative, and may not necessarily be achievable during the project period. EPA anticipates outcomes from projects awarded under this announcement will include increasing the capacity of nonfederal governmental entities, nonprofit organizations, and brownfields stakeholders to:
  - a. assess, cleanup and bring about sustainable reuse of brownfield properties;
  - b. ensure communities are better informed and equipped with the capacity to effectively address and be involved in brownfield activities;
  - c. focus attention on the environmental and human health conditions in low income communities and socio-economically disadvantaged communities unable to draw on alternative sources of funding for assessment or cleanup of brownfields and their subsequent redevelopment;
  - d. improve community involvement, communication, and the development of partnerships among differing stakeholders and increase partnerships for leveraging necessary funding; and
  - e. enable communities to stimulate economic and other beneficial reuses of brownfield sites to improve environmental conditions and human health.
- **2.** *Outputs.* The term "output" refers to an environmental activity, effort, and/or associated work product related to an environmental goal or objective, that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during an assistance agreement funding period.

<sup>&</sup>lt;sup>4</sup>Environmental Results under EPA Assistance Agreements is available at <a href="https://www.epa.gov/grants/epa-order-57007a1-epas-policy-environmental-results-under-epa-assistance-agreements">https://www.epa.gov/grants/epa-order-57007a1-epas-policy-environmental-results-under-epa-assistance-agreements</a>.

EPA anticipates the outputs from the projects awarded under this announcement will include, but not be limited to:

- a. number of brownfields one-on-one technical assistance provided;
- b. number of technical assistance workshops and webinars; and
- c. number of resource centers, factsheets, and case studies and model documents produced.

#### I.F. Supplementary Information

Technical Assistance for Brownfields The statutory authority for this action is Section (104)(k)(7) of the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) of 1980, as amended by the Small Business Liability Relief and Brownfields Revitalization Act, and the Brownfields Utilization, Investment, and Local Development Act. 42 U.S.C. 9604(k)(7). Appropriations for TAB were made available to EPA in the Investment in Infrastructure and Jobs Law (IIJL)-- Public Law 117-58.

#### I.G. Additional Provisions for Applicants Incorporated Into the Solicitation

Additional provisions that apply to Sections III., IV., V., and VI. of this solicitation and/or awards made under this solicitation, can be found in EPA's Solicitation Clauses. These provisions, particularly those describing competitive procurement requirements, are important for applying to this solicitation and applicants must review them when preparing applications. If you are unable to access these provisions electronically at the website above, please contact the Regional Brownfields Contact listed in Section VII. to obtain the provisions.

#### **SECTION II. - AWARD INFORMATION**

#### II.A. What is the Amount of Available Funding?

The total estimated funding available under this competitive opportunity is \$53,000,000 subject to the availability of funds, quality of applications received and other applicable considerations. The maximum value of each cooperative agreement will be based on the needs of the geographic area and the number of applications selected for funding. The maximum funding for applications supporting geographic areas 1-10 shall not exceed \$5,000,000 and the maximum funding for geographic area 11 is \$3,000,000. Although an award may exceed \$5,000,000 if it contains combined proposals as described in II.B below.

EPA reserves the right to make additional awards under this competition, consistent with EPA policy and guidance, if additional funding becomes available. Any additional selections for awards will be made no later than six months from the date of original selection decisions. EPA reserves the right to not fund any applications under this competition or fund applications in some areas and not others. There is no guarantee that each area will be funded or that the nationwide award will be made.

#### II.B. How many Agreements will EPA Award in this Competition?

EPA anticipates selecting up to 11 recipients, one for each of the geographic areas delineated under <u>Section I.B.6.</u> of this announcement. If an entity applies for more than one geographical area, and is selected for award in multiple geographical areas, EPA may combine those selections into one cooperative agreement award.

EPA reserves the right to reject all applications and make no awards under this announcement, make less awards than expected, not to make awards for each area, or make multiple awards in an area.

#### **II.C. Will Applications be Partially Funded?**

In appropriate circumstances, EPA reserves the right to partially fund applications by funding discrete activities, portions, or phases of proposed projects. To maintain the integrity of the competition and selection process, should EPA decide to partially fund an application, it will do so in a manner that does not prejudice any applicants or affect the basis upon which the application, or portion thereof, was evaluated and selected for award.

#### II.D. What is the Project Period for Award(s) Resulting from this Solicitation?

The project period for the TAB cooperative agreements is five years. It is anticipated that the cooperative agreement recipient will complete all deliverables under its award during the final year of its project and allow time for reporting accomplishments data and disseminating final reports as applicable.

#### **II.E. Substantial Involvement**

The TAB funding will be awarded in the form of a cooperative agreement. A cooperative agreement permits the EPA Project Officer to be substantially involved in overseeing the work performed by the selected recipient. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for this project includes:

- The EPA project officer and other EPA staff members designated by project officer
  overseeing and monitoring this cooperative agreement. Substantial involvement by EPA
  will include administrative activities such as reviewing key project phases, and major
  activities identified in the workplan. Examples of substantial involvement may also
  include:
- close monitoring of the recipient's performance to verify results.
- collaborating during performance of the scope of work; including consulting with the recipient when identifying potential community support activities; EPA will not make the final decision on which communities will receive technical assistance from the recipient provided technical assistance activities are within the scope of the cooperative agreement;
- review of proposed changes to the workplan and/or budget; (amendment approving revision to the workplan or budget must be approved by the grants management officer or

award officials in accordance with 2 CFR 200.308 and/or General Term and Condition 23, "Transfer of Funds");

- review and approval of project workplan phases for consistency with the EPA-approved grant workplan and cooperative agreement terms and conditions.
- regular (e.g. monthly) conference calls with the recipient;
- EPA participation in CAR conference calls with other EPA grantees, regional or national meetings;
- review of proposed procurements in accordance with 2 CFR 200.325 and approval of the substantive terms of contracts and subawards to ensure consistency with the scope of work (EPA will not select contractors or subrecipients);
- approving qualifications of key personnel (EPA will not select employees or contractors employed by the award recipient);
- review and comment on quarterly reports prepared under the cooperative agreement, per the terms and conditions;
- review and comment on financial and technical reports, monitoring all reporting, record-keeping, and other program requirements; and
- EPA may enter into a co-sponsorship agreement with recipient for jointly planned and executed meeting workshops and similar events;

Although EPA may review and comment on draft and final reports, the CAR will make the final decision on the content of the reports.

Substantial involvement may also include facilitating the coordination and interaction between the CAR and other EPA programs or other federal agencies in the project.

• As appropriate, the EPA may facilitate initial coordination between Brownfields, Training, Research and Technical Assistance grant recipients, other federal agencies, and other EPA funded grantees (brownfields assessment, cleanup, revolving loan fund, or job training grantees). Such coordination will serve to provide additional information to the grantee and support their efforts to develop technical assistance programs.

# SECTION III. - ELIGIBILITY INFORMATION AND THRESHOLD CRITERIA Note: Additional provisions that apply to this section can be found at: EPA Solicitation Clauses.

#### **III.A.** Eligible Entities

The following information indicates which entities are eligible to apply for this cooperative agreement:

- General Purpose Unit of Local Government. [For purposes of the EPA Brownfields cooperative agreement Program, a "local government" is defined as stated under 2 CFR § 200.64.: Local government means a county, municipality, city, town, township, local public authority (including any public and Indian housing agency under the United States Housing Act of 1937), school district, special district, intrastate district, council of governments (whether or not incorporated as a nonprofit corporation under state law), any other regional or interstate government entity, or any agency or instrumentality of a local government.]
- Land Clearance Authority or another quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general-purpose unit of local government.

- Government Entity Created by State Legislature.
- Regional Council or group of General-Purpose Units of Local Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a state.
- State.
- Indian tribe other than in Alaska. (The exclusion of Alaskan Tribes from Brownfields cooperative agreement eligibility is statutory at CERCLA § 104(k)(1)). Intertribal Consortia, comprised of eligible Indian tribes, are eligible for funding in accordance with EPA's policy for funding intertribal consortia published in the Federal Register on November 4, 2002, at 67 Fed. Reg. 67181.)
- Alaska Native Regional Corporation, Alaska Native Village Corporation, and Metlakatla Indian Community. (Alaska Native Regional Corporations and Alaska Native Village Corporations are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following.)
- Nonprofit organization exempt from taxation under Section 501(c)(3) of the Internal Revenue Code.
- Limited liability corporation in which all managing members are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations.
- Limited liability partnership in which all general partners are 501(c)(3) nonprofit organizations or limited liability corporations whose sole members are 501(c)(3) nonprofit organizations.
- Qualified community development entity as defined in Section 45D(c)(1) of the Internal Revenue Code of 1986.
- Other Nonprofit organizations. (For purposes of this cooperative agreement program, the term "nonprofit organization" means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. The term includes nonprofit colleges, universities, and other educational institutions.)

Note, individual entities, for-profit organizations, and nonprofit organizations exempt from taxation under Section 501(c)(4) of the Internal Revenue Code that lobby are not eligible to receive Brownfields cooperative agreements.

#### III.B. Voluntary Cost Share/Leveraging

Matching funds are <u>not</u> required under this competition. Although cost-sharing or matching is not required as a condition of eligibility under this competition announcement, EPA will evaluate responses to the *Leveraging* criterion (<u>Section IV.E.</u>). Leveraging is when an applicant proposes to provide its own additional funds/resources or those from third party sources to support or complement the project awarded under the competition that are above and beyond the EPA cooperative agreement funds awarded. Any leveraged funds/resources, and their source, must be identified in the application. Leveraged funds and resources may take various forms as noted below.

**Voluntary cost share** is a form of leveraging. Voluntary cost sharing is when an applicant voluntarily proposes to legally commit to provide costs or contributions to support the project when a cost share is not required. Applicants who propose to use a voluntary cost share **must** include the costs or contributions for the voluntary cost share in the project budget on the SF-424. If an applicant proposes a voluntary cost share, the following apply:

- A voluntary cost share is subject to the match provisions in the cooperative agreement regulations (2 CFR § 200.306, as applicable).
- A voluntary cost share may only be met with eligible and allowable costs.
- The recipient may not use other sources of federal funds to meet a voluntary cost share unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost share requirement on a federal cooperative agreement.
- The recipient is legally obligated to meet any proposed voluntary cost share that is included in the approved project budget. If the proposed voluntary cost share does not materialize during cooperative agreement performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR § 200.338.

Other leveraged funding/resources that are not identified as a voluntary cost share -this form of leveraging may be met by funding from another federal cooperative agreement, from an applicant's own resources, or resources from other third-party sources. This form of leveraging should not be included in the budget and the costs need not be eligible and allowable project costs under the EPA assistance agreement. While this form of leveraging should not be included in the budget, the cooperative agreement workplan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's application. If applicants propose to provide this form of leveraging, EPA expects them to make the effort to secure the leveraged resources described in their applications. If the proposed leveraging does not materialize during cooperative agreement performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 and 1500 as applicable.

#### III.C. Threshold Eligibility Criteria

This section contains the threshold eligibility criteria that ensure applicants are eligible to receive a cooperative agreement under this competition. Threshold criteria are evaluated on a pass or fail basis. Only those applications that **specifically address and pass each of the threshold criteria** listed below will be evaluated against the ranking criteria in Section V.A. of this announcement. Applicants deemed ineligible for funding consideration as a result of failing the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

For purposes of the threshold eligibility review, EPA, if necessary, may seek clarification of applicant information that is included in the application and/or consider information from other sources, including EPA files. Such communications shall not be used to correct application deficiencies or material omissions, materially alter the application or project proposed, or discuss changes to the applicant's responses to any evaluation or selection criteria. The applicant's responses to the threshold criteria **must be included in the cover letter** submitted to EPA or the application will be rejected.

If an application is submitted that includes any ineligible tasks or activities, that portion of the application will be ineligible for funding and may, depending on the extent to which it affects the application, render the entire application ineligible for funding.

The threshold criteria for TAB cooperative agreement applications are as follows:

- 1. Applicants must be eligible entities. Applicants should describe how they are an eligible applicant as described in <u>Section III.A.</u> Eligible Entities. Eligible non-profit entities must attach documentation/evidence of nonprofit status under Federal, state or tribal law, as applicable.
- 2. Applications must address all six of the project subject areas as described in <u>Section I.B.</u>, *Description of Subject Areas for Technical Assistance*, of this announcement.
- 3. Applications must include technical assistance to communities within the entire geographical area that the project addresses.
- 4. Applications must substantially conform to the outline and content detailed in <u>Section IV.C.</u>, *Content and Form of Application*, of this announcement or they will be rejected. Pages in excess of the page limitations expressed in Section IV.C., *Content and Form of Application*, will not be reviewed. If you want to apply for more than one geographical area, you must submit a separate application for each geographical area you are interested in serving.
- 5. In addition, initial applications must be submitted through Grants.gov as stated in <u>Section IV</u> of this solicitation (except in the limited circumstances where another mode of submission is specifically allowed for as explained in <u>Section IV</u>) on or before the application submission deadline published in Section IV of this solicitation. Applicants are responsible for following the submission instructions in Section IV of this solicitation to ensure that their application is timely submitted.
- 6. Applications submitted after the submission deadline will be considered late and deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with Grants.gov or relevant SAM.gov system issues. An applicant's failure to timely submit their application through Grants.gov because they did not timely or properly register in SAM.gov or Grants.gov will not be considered an acceptable reason to consider a late submission. Applicants should confirm receipt of their application with Sahar Rana (rana.sahar@epa.gov) as soon as possible after the submission deadline—failure to do so may result in your application not being reviewed.

#### SECTION IV. - APPLICATION AND SUBMISSION INFORMATION

#### IV.A. How to Obtain an Application Package

Note: Additional provisions that apply to this section can be found at **EPA Solicitation** Clauses.

An application package may be obtained by visiting this opportunity (EPA-I-OLEM-OBLR-22-11) on www.grants.gov. Applicants will be prompted to initiate the application process by generating a Workspace for this opportunity.

#### IV.B. Due Date and Submission Instructions

Your organization's Authorized Organization Representative (AOR) must submit your complete application package<sup>5</sup> electronically to EPA through <a href="www.grants.gov">www.grants.gov</a>. Applications must be received no later than 11:59 p.m. ET on **FEBRUARY 14, 2023**. Please allow enough time to successfully submit your application package and allow for unexpected errors that may require you to resubmit. Occasionally, technical and other issues arise when using <a href="www.grants.gov">www.grants.gov</a>.

Applications received after 11:59 p.m. ET on **FEBRUARY 14, 2023**, will not be considered for funding.

Follow the Submission Instructions in Section B.1. in <u>Appendix 1</u> for the requirements to apply in <u>www.grants.gov</u>. In order to submit an application through <u>www.grants.gov</u>, your organization must:

- Have an active System for Award Management (SAM) account in <a href="www.sam.gov">www.sam.gov</a> and a Unique Entity Identifier (UEI) assigned by <a href="www.sam.gov">www.sam.gov</a>;
- Be registered in www.grants.gov; and
- Have the E-Business Point of Contact designate an AOR in www.grants.gov.

The registration process for all the above items <u>may take a month or more to complete</u>. Please refer to the <u>FAOs</u> for additional information on the registration requirements.

The electronic submission of your application must be made by the AOR of your institution who is registered with <a href="www.grants.gov">www.grants.gov</a> and is authorized to sign applications for federal assistance. Refer to <a href="Appendix 1">Appendix 1</a> for specific instructions on how to apply through <a href="www.grants.gov">www.grants.gov</a>.

If you do not have the technical capability to apply electronically through <a href="www.grants.gov">www.grants.gov</a> because of limited or no Internet access which prevents you from being able to upload the required application materials to <a href="www.grants.gov">www.grants.gov</a>, please refer to the procedures in <a href="Appendix 1">Appendix 1</a>.

You should make every effort to complete the registration process in order to apply through <a href="https://www.grants.gov">www.grants.gov</a>. However, if you are experiencing technical difficulties in applying through

<sup>&</sup>lt;sup>5</sup> Note, for the purposes of this competition, the "application package" includes the required federal forms available at <a href="https://www.grants.gov">www.grants.gov</a>, as well as the Narrative Information Sheet, the Narrative and associated attachments.

www.grants.gov because of operational or other issues related to COVID-19, you may request to submit the application by email. Email your request (which must include an explanation of the COVID-19 related issue you are experiencing and the specific reason you are unable to submit the application through www.grants.gov) and the complete application to Sahar Rana (rana.sahar@epa.gov) by FEBRUARY 14, 2023, at 11:59 PM ET. Requests will be reviewed on a case-by-case basis. There is no guarantee EPA will accept the submission outside of www.grants.gov. Requests received after FEBRUARY 14, 2023, at 11:59 PM ET will not be reviewed or considered.

If you submit more than one application for the same, identical project (either in error or to replace a previously submitted application), EPA will only review the most recently received application for that project unless you notify Sahar Rana (<a href="mailto:rana.sahar@epa.gov">rana.sahar@epa.gov</a>) and specify which application you want EPA to review.

#### IV.C. Content and Form of Application Submission

The application must stand on its own merits based on the responses to the relevant ranking criteria in Section IV.E.

All application materials must be in English. The Narrative Information Sheet and the Narrative must be typed, single-spaced, on letter-sized (8.5 x 11-inch) paper, and should **use standard Times New Roman, Arial, or Calibri fonts with a 12-point font size**. The Application Submission Checklist below outlines the documents to include in the application. Extraneous materials, including photos, graphics, and attachments not listed, will not be considered.

#### **Application Content**

All applications must substantially conform to the following outline and content (pages in excess of the stated page limitations will not be reviewed):

- The cover letter, including responses to threshold eligibility criteria in <u>Section III.C.</u> (3-page limit, single-spaced)
- The Narrative, which includes the responses to all **ranking** criteria (15-page limit, single-spaced)
- Attachments
  - -Milestones schedule
  - -Documentation of applicant eligibility, if applicable

Note: Documentation of nonprofit applicant eligibility must be included with the required attachments but does **NOT** count towards the attachments page limitation.

#### IV.D. Narrative Information Sheet

The Narrative Information Sheet must <u>address the information below</u> and <u>shall not exceed three, single-spaced pages</u>. Do <u>not</u> include a summary or overview of your narrative/project. Any pages submitted over the page limit will not be considered. EPA does not consider information in the Narrative Information Sheet to be responses to the ranking criteria. Each Narrative Information Sheet must be on the applicant's official letterhead.

- i. Applicant information provide the name and full address of the applicant applying for funds. This is the agency or organization that will be receiving the cooperative agreement and will be accountable to EPA. This is also the person EPA will notify regarding the outcome of your application (selection/non-selection).
- ii.Location city, country, and state or reservation, tribally owned lands, tribal fee land, etc., of your organization.
- iii.Contacts provide phone/fax numbers, e-mail address, and mailing address of the Project Director and head of organization/Executive Director responsible for the project application. These individuals may be contacted if other information is needed.
- iv. Cooperative partners provide names and phone numbers of individuals and organizations that have agreed to participate in the implementation of the project, if any.
- v.Funding requested and Geographic Area specify the amount of funding you are requesting from EPA and the geographic area described in Section I.B. that you propose to support. Please note that if you are proposing to support more than one geographical area, then a separate application MUST be submitted for each geographic area.

#### IV.E. Narrative/Ranking Criteria

The Narrative (including citations) shall not exceed 15 single-spaced pages. Any pages submitted over the page limit will not be evaluated.

The Narrative must include clear, concise, and factual responses to all ranking criteria and sub-criteria below. The Narrative must provide sufficient detail to allow for an evaluation of the merits of the application. A response to a criterion/sub-criterion that is included in a different section of the Narrative may not be scored as favorably. If a criterion does not apply, clearly state this. Any criterion left unanswered may result in zero points given for that criterion. Responses to the criteria should include the criteria number and title but need not restate the entire text of the criteria.

If the application is selected for funding, the information in your Narrative will be incorporated into the workplan and will become the scope of your grant, subject to any adjustments to clarify issues with carrying out the project's scope of work made during the post-selection negotiation process. The workplan is a legally binding document. Therefore, applicants should carefully consider and accurately respond to the criteria below, including the identification of the geographic boundary

(which will become the boundary in which work can be performed), the discussion on the target area, the criteria for which sites will be selected, the use of grant funds, and leveraged resources committed to the project that will materialize during the period of performance. EPA may not permit material changes to the workplan.

Section IV.E. below includes sample format tables that applicants may use to present all or a portion of their response. Applicants who do not use the sample format tables will not be penalized when EPA evaluates their applications.

- i. **Project Description -** The project description must provide the information below on how the applicant will implement and conduct its proposed project.
  - Describe the current priority areas in the geographic area, and then your proposed plan to meet the priority needs including the benefits of the technical assistance you plan to provide. Include a summary of your overall project goals, activities and milestones for providing technical assistance, the type of technical assistance you plan to provide, and your strategy to accomplish the overall project goals.
  - Include the types of technical assistance that will be provided to communities in the geographic area described in <a href="Section I.C">Section I.C</a>. and the number (and geographic variability) of the communities you estimate will be supported. Include a description of how your project will ensure the fair distribution of assistance between urban and non-urban areas, and the extent the technical assistance will promote community engagement and active involvement in brownfields prioritization, assessment, cleanup and redevelopment decisions of an area in which one or more brownfield sites are located, including brownfields adjacent to a body of water or a federally designated flood plain (including decisions affecting environmental justice, rural communities, and/or tribal groups).
  - Discuss how the technical assistance will help facilitate the use or reuse of existing infrastructure, energy efficiency or siting a facility that generates renewable energy on a brownfield or create or preserve greenspace or assist in other nonprofit reuses of brownfields in communities within the geographic area you are serving.
  - Provide information on how the technical assistance you plan to provide will help communities identify available funds for assessment, cleanup and reuse or help to stimulate economic development associated with community brownfield sites. Provide information on how the technical assistance will help facilitate the identification and reduction of threats to human health and the environment that may be associated with exposure to hazardous substances, pollutants, or contaminants, or how the technical assistance would facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations.
  - Include in your discussion how your plan and approach, including the extent to which you propose to use sub awardees or contractors (perhaps having different areas of technical/legal/fiscal/other expertise, if any) will contribute to the effective and efficient performance of the project, and the methods you plan to use to provide the technical assistance (one-on-one, workshops, webinars, other). Describe how

your proposed methods of delivering technical assistance are the most effective and efficient methods of providing the assistance. Also, discuss your outreach plan/strategy to ensure communities are aware of the availability of the technical support being made available through your program.

#### ii. Organizational Knowledge/Experience/Expertise - Summarize your

organization's knowledge, experience, expertise in the six technical assistance subject areas identified in Section I. In addition, provide information regarding your organizational knowledge, experience, and expertise in working with the communities in the geographic area with particular emphasis on how the technical assistance will assist communities in moving their projects forward to completion. Provide information on your organizational experience and your plan for successfully achieving the objectives of the proposed project. Include information regarding your staff knowledge, expertise, and qualifications and your organization's available resources (or the ability to obtain necessary resources, including the organization's contingency plan to replace key personnel) to successfully achieve the goals of the proposed project. Include a discussion of the network you have in place to identify and mobilize resources within your geographical area. Include such information as key contacts, or other resources having additional knowledge or expertise that will assist you in successfully providing technical assistance to communities in the geographic area. Identify all proposed partnerships, stakeholder groups, or other networks that will be involved and their role in the successful accomplishment of the proposed technical assistance program. Also, explain how you will ensure coverage in case of changes in key personnel. If you are selected to receive a cooperative agreement and there is another provider from a previous competition, how will you coordinate to benefit the communities that can choose between two providers while two assistance agreements from EPA overlap in their period of performance?

Note: EPA anticipates that in order for the applicant to provide the full range of necessary technical assistance to the largest number of communities in the geographic area it may be necessary to form partnerships with other organizations either through subawards or procurements. Please refer to <a href="Section IV.G">Section IV.G</a>. Also note that procurement of commercial services (e.g., consultants, audio visual equipment, meeting space) is subject to the Procurement Standards of 2 CFR Part 200 and 2 CFR Part 1500, as applicable. <a href="Naming a commercial vendor or individual consultant as a "partner" does not relieve the applicant of responsibility for complying with competitive procurement requirements, including cost or price analysis. Refer to EPA's <a href="Best Practice Guide for Procuring Services">Best Practice Guide for Procuring Services</a>, <a href="Supplies, and Equipment Under EPA Assistance Agreements">Supplies, and Equipment Under EPA Assistance Agreements</a> for additional information on competition requirements.

Also note, there will be a term and condition in all cooperative agreements awarded prohibiting contractors from marketing their firm or services when they are being reimbursed for their services under the cooperative agreement. In addition, if the applicant or their subrecipients have both non-profit and for-profit components of their organizations, then the non-profit portion implementing the cooperative agreement/subcontract is prohibited from recommending that communities use the services

of its for-profit component when being reimbursed for their services under the cooperative agreement.

iii. Past Performance - Submit a list of federally and/or non-federally funded assistance agreements (assistance agreements include Federal grants and cooperative agreements, but not Federal contracts) similar in size, scope and relevance to the proposed project that your organization performed within the last three years (no more than five agreements, and preferably EPA agreements, if any) and describe (i) whether, and how, you were able to successfully complete and manage those agreements and achieve the objectives of the project and (ii) your history of meeting the reporting requirements under those agreements including whether you adequately and timely reported on your progress towards achieving the expected outputs and outcomes of those agreements (and if not, explain why not) and whether you submitted acceptable final technical reports under the agreements. In evaluating applicants under the factors in Section V, EPA will consider the information provided by the applicant and may also consider relevant information from other sources, including information from the EPA files and from current/prior grantors (e.g., to verify and/or supplement the information provided by the applicant).

If you do not have any relevant or available past performance or past reporting information, please indicate this in the application and you will receive a neutral score for these factors (a neutral score is half of the total points available in a subset of possible points). Applications that do not provide any response for this criterion, may receive a score of 0.

- iv. **Community Need -** Describe the technical assistance program you plan to deliver (relevant to the six subject areas identified in Section I) and how that program will meet the needs of the communities within the geographic area who have an inability to draw on other sources of funding for environmental remediation and subsequent redevelopment of brownfield sites located in low income or sparsely populated communities. Include a discussion of the community need factors you will consider and how the technical assistance you plan to provide will address these issues. While EPA anticipates that some of the community needs may be broad in nature, other needs may be more well-defined. Include examples or provide the basis for how you determined the community needs that your program will address.
- v. **Budget/Resources** Provide a detailed itemized budget application that is reasonable and appropriate to achieve the project's objectives. Clearly explain how funds will be used. EPA provides detailed guidance on preparing budgets in the <u>Interim General Budget Development</u> Guidance for Applicants and Recipients of EPA Financial Assistance.

The budget should include information on each major task using the cost elements outlined below. Note that the use of the Sample Format is optional and that applicants will not be penalized for providing budget information in a different format. For each major task indicate what portion of the cost associated with the task will be covered with EPA cooperative agreement funds. Indicate what portion of the costs the applicant or other partners will fund, if any. Also describe how you will ensure uninterrupted support throughout the duration of the awards to prevent gaps in services.

Sample Format for Budget

Budg	get Categories	(Task 1)	(Task 2)	(Task 3)	(Task 4)	Total
	Personnel					
	Fringe Benefits					
S	Travel <sup>1</sup>					
Direct Cots	Equipment <sup>2</sup>					
ect	Supplies					
Dir	Contractual					
	Other (include subawards) (specify)					
Total	Direct Costs <sup>3</sup>					
Indire	ect Costs <sup>3</sup>					
	l Federal Funding (not ceed \$5,000,000)					
Total Budget						
(Total Direct Costs + Indirect Costs + Cost Share)						

<sup>&</sup>lt;sup>1</sup> Travel to brownfields-related training conferences is an acceptable use of these cooperative agreement funds.

- vi. **Performance Measurement: Anticipated Outcomes and Outputs -** Specify the anticipated environmental outcomes and outputs as described in <u>Section 1.E., Measuring Environmental Results: Anticipated Outcomes/Outputs</u> of this announcement. Discuss how you propose to track, measure, and evaluate your progress in achieving the project outcomes, outputs, and project results, including both quantitative and qualitative performance measurements. (Refer to <u>Section V.A.)</u>, Evaluation Criteria, Performance Measurement.)
- vii. **Leveraging** This section of your application provides how you will leverage additional resources for your proposed project.

Describe your eligibility for funding from other sources.

Demonstrate how you will leverage additional funds/resources beyond the EPA cooperative agreement funds awarded to support the proposed project activities and how these leveraged funds/resources will be used to contribute to the performance and success of the proposed project. Describe the extent to which in-kind and/or partner commitments to providing

<sup>&</sup>lt;sup>2</sup> EPA defines equipment as items that cost \$5,000 or more with a useful life of more than one year. Items costing less than \$5,000 are considered supplies unless the recipient has a lower threshold for accounting for supply costs. Generally, equipment is not required for Brownfield cooperative agreements.

<sup>&</sup>lt;sup>3</sup> Administrative costs (direct and/or indirect) cannot exceed 5% of the total EPA-requested funds.

services/resources to the proposed project are available, and whether these commitments have already been made; if leveraged resources are not yet committed to the project, describe the likelihood that these commitments will materialize during the project.

Describe the amount(s) and type(s) of leveraged resources and for which tasks they may be used. Consider using the optional table format below to illustrate the tasks for which leveraged resources will be used and the level of leveraged resource you plan to use for each task. Please modify task categories and add rows as necessary. For each source, list whether it is an anticipated resource or a confirmed resource. Note that applicants who do not use this optional table will not be penalized.

Selected applicants are expected to abide by their proposed leveraging commitments during cooperative agreement performance and the failure to do so may affect the legitimacy of the award.

#### **Leveraged Resources Table (Optional)**

Project	Status of Resource:					
Funding	Anticipated/ Confirmed	Outreach	Instruction/ Training	Program Management	Research	Total
[Resource 1]						
[Resource 2]						
[Resource 3]						
Total Non-EPA Resources Leveraged:						

#### IV.F. Attachments

The following documents should be included as attachments to the application. These documents will not count as part of the 15-page narrative limit and do not have an individual page limitation.

- **a. Milestones** include a schedule indicating the start and completion dates of significant tasks under your program.
- **b. Documentation/evidence of current non-profit status** under Federal, state, or tribal law, as applicable.

#### IV.G. Confidential Business Information

As discussed in <u>Section IV.D.</u>, *Narrative Information Sheet*, EPA recommends that you do not include confidential business information (CBI) in your application. However, if CBI is included, it will be treated in accordance with <u>40 CFR 2.203</u>. Applicants must clearly indicate which portion(s) of their application they are claiming as CBI. EPA will evaluate such claims in accordance with <u>40</u>

<u>CFR Part 2</u>. If no claim of confidentiality is made, EPA is not required to make the inquiry to the applicant otherwise required by <u>40 CFR 2.204(c)(2)</u> prior to disclosure. The agency protects competitive applications from disclosure under applicable provisions of the Freedom of Information Act until the completion of the competitive selection process.

## SECTION V. - APPLICATION REVIEW INFORMATION Note: Additional provisions that apply to this section can be found at: EPA Solicitation Clauses.

#### V.A. Evaluation Criteria

Each eligible application will be evaluated according to the criteria set forth below. Applicants must directly and explicitly address the ranking criteria in their application. Your application may be assigned up to 100 points.

Criterion	Maximum Points per Criterion
<ul> <li>Project Description. The extent to which the application effectively addresses the requirements described in Section I.A of this solicitation will be evaluated. as follows:</li> <li>The extent to which the "Narrative" clearly, concisely, and realistically understands the priority needs in the geographic area, and description of proposed project goals, activities, benefits, and project milestones. (10 points)</li> <li>The types of technical assistance that will be provided to communities in the geographic area described in Section I.B. and the number (and geographic variability) of communities to be supported, the method by which the applicant will ensure the fair distribution of assistance between urban and non-urban areas and the outreach plan/strategy to ensure communities are aware of the availability of the technical support available will also be evaluated. (5 points)</li> <li>The extent the technical assistance will promote community engagement and active involvement in brownfields prioritization, assessment, cleanup and redevelopment decisions (including environmental justice, rural communities, and/or tribal groups). (5 points)</li> <li>The extent to which the technical assistance to be provided will help identify and stimulate the availability of funds for assessment, cleanup and reuse or help to stimulate economic development in areas impacted by brownfield sites. (5 points)</li> <li>The extent to which the technical assistance will help facilitate the identification and reduction of threats to human health and the</li> </ul>	40
identification and reduction of threats to human health and the environment that may be associated with exposure to hazardous	

- substances, pollutants, or contaminants, or how the technical assistance will facilitate the identification and reduction of threats to the health or welfare of children, pregnant women, minority or low-income communities, or other sensitive populations. (5 points)
- The extent that the technical assistance will help facilitate the use or reuse of existing infrastructure, create, or preserve greenspace, or assist in other non-profit reuses of brownfields. (5 points)
- The extent to which the proposed plan and approach will effectively and efficiently perform the project, including the mechanisms that will be used to provide the technical assistance and the use of subawards or contractors, if any. Applicants will be evaluated more favorable if they include a reasonable and appropriate amount of subgrant and contract support and can clearly demonstrate how their approach will be an effective and efficient method to provide the technical assistance in the project. For example, the use of local staff to provide technical assistance. Applicants will be evaluated less favorably if the use of subawards or contracts does not contribute to the effective or efficient performance of the project or if the project consists of only holding general brownfields meetings or conferences. (5 points)

### **Organizational Knowledge/Experience/Expertise.** This criterion will evaluate:

- The applicant's organizational knowledge and experience in working with communities in the geographic area where technical assistance will be provided, engaging them in brownfield prioritization, assessment, cleanup and redevelopment issues, and delivering sound and effective technical assistance to such communities. (7 points)
- The applicant's experience and plan for timely and successfully achieving the objectives of the proposed project, including having the necessary partnerships, contacts, or established network in the targeted geographic area, and the contingency plan to key personnel, as necessary. (5 points)
- The depth of staff expertise, qualifications, and knowledge in the 6 focus areas described in Section I.B., and the applicant's resources or the ability to obtain them, to successfully achieve the goals of the proposed project. (8 points)

Note: Applicants who demonstrate they are experienced and familiar with local community issues and demonstrate credibility with the communities, either through their local presence in the communities or through other means, may improve their score for this criterion. Applicants whose applications include knowledge, experience, and expertise in moving projects forward to completion also may receive more favorable consideration under this criterion.

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<b>Past Performance.</b> Under this criterion, applicants will be evaluated based on their ability to successfully complete and manage the proposed project, taking	
<ul> <li>Past performance in successfully completing and managing the assistance agreements identified in response to Section IV.E. of the announcement. (5 points)</li> <li>History of meeting the reporting requirements under the assistance agreements identified in response to Section IV.E of the announcement including whether the applicant submitted acceptable final technical reports under those agreements, and the extent to which the applicant adequately and timely reported on its progress towards achieving the expected outputs and outcomes under those agreements. If such progress was not being made, whether the applicant adequately reported why not will be considered. (5 points)</li> </ul>	10
Note: In evaluating the application under the two items above, EPA will consider the information provided by the applicant and may also consider relevant information from other sources including agency files and prior/current grantors (e.g., to verify and/or supplement the information supplied by the applicant). If you do not have any relevant or available past performance or past reporting information, please indicate this in the application and you will receive a neutral score for these subfactors. Applications that do not provide any response for this criterion may receive a score of 0.	
<b>Community Need.</b> Under this criterion, applicants will be evaluated on the following:	
• How the technical assistance program the applicant describes and plans to deliver will meet the needs of communities within the geographic area that are unable to draw on other sources of funding. (10 points)	10
<b>Budget/Resources.</b> Under this criterion, the application will be evaluated on the extent to which:	
<ul> <li>The budget is clearly stated, detailed, reasonable, and appropriate to achieve the project's objectives and includes cost estimates for each of the proposed project activities to be performed with the EPA funds. The applicant's budget will also be evaluated based on whether it describes an effective use of the budget resources for the work to be performed. (8 points)</li> <li>The applicant's approach, procedures, and controls for ensuring that</li> </ul>	10
awarded grant funds will be expended in a timely and efficient manner. (2 points).	

• Performance Measurement: Anticipated Outcomes and Outputs.  Under this criterion, EPA will evaluate the extent to which the application realistically describes how the project will lead to measurable long-term outcomes and short-term outputs, including qualitative or quantitative, environmental, social, or economic measures, what the measures are, and how measures will be evaluated throughout the life of the project. The degree to which the applicant can easily identify when the project is or is not on schedule and the degree to which the approaches, procedures, and/or controls demonstrate the applicant's readiness to achieve the project goals and deliverables in an efficient manner. (5 points)	5
<ul> <li>Leveraging. Under this criterion, applicants will be evaluated based on the extent to which the application demonstrates:</li> <li>How the applicant will coordinate the use of the EPA funds with other Federal or non-Federal sources of funds from project partners, including other Federal agencies, foundations, non-profits, surrounding communities, or local businesses to leverage additional resources beyond the funds awarded to carry out the technical assistance project. The applicant will be evaluated on the type and amount of leveraged resources, the likelihood of the resources materializing, the strength of the leveraging commitment, and the role that the leveraged funds/resources will play in the overall project. Applications with firm leveraged commitments or with leveraged commitments that will significantly enhance the technical assistance program will be evaluated more favorably. (5 points)</li> </ul>	5

#### V.B. Review and Selection Process

All applications received by the closing date and time for submissions will first be reviewed to determine eligibility for funding consideration based upon compliance with <u>Section III. Eligibility Information and Threshold Criteria</u>. Only applications determined eligible and passing the threshold review will be evaluated for technical merit.

Each eligible application will be evaluated by a review panel of EPA staff for technical merit, based on the evaluation factors detailed in <u>Section V.A. Evaluation Criteria</u> of this solicitation. There will be separate ranking lists for applications per geographic area. Upon completion of the technical merit evaluation, each application will be given an evaluated numerical score, with a total of 100 points possible. The evaluated numerical scores will be placed in rank order for each geographic area and this will be provided to the EPA Headquarters Selection Official.

While EPA intends to make awards to the highest scored applications per area, EPA's Headquarters Selection Official will make the final funding decisions, which will be based upon the ranking scores, and other consideration, as appropriate to this announcement.

#### SECTION VI. - AWARD ADMINISTRATION INFORMATION

Note: Additional provisions that apply to this section can be found at **EPA Solicitation Clauses**.

#### VI.A. Award Notices

Applicants who fail the threshold eligibility requirements will be notified within 15 calendar days of EPA's determination of ineligibility. EPA will notify applicants who are not selected for award based on the evaluation criteria and other considerations within 15 calendar days of EPA's final decision on selections for this competition.

EPA anticipates notification to successful applicants will be made via email by late Winter/Spring 2023. The notification will be sent to the Project Director and Chief Executive/Highest Ranking Elected Official listed in the Narrative Information Sheet in Section IV.D. This notification, which informs the applicant that its application is selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by the Regional Award Official (EPA Grants Officer) for regional awards. Applicants are cautioned that only a grants officer is authorized to bind the Government to the obligation of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding, or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant. The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through email or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., a workplan), which must be approved by EPA before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

#### VI.B. Administrative and National Policy Requirements

- 1. Funding will be awarded as a cooperative agreement. The applicants who are selected for award will work with an EPA Project Officer to finalize the required federal application package and to negotiate the cooperative agreement workplan.
- 2. Approved cooperative agreements will include terms and conditions that will be binding on the recipient. Terms and conditions specify what recipients must do to ensure that grant-related and Brownfields Program-related requirements are met. Applicants also will be required to submit progress reports in accordance with Uniform Grant Guidance found in 2 CFR § 200.329.
- 3. An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the workplan in a timely manner. The assistance agreement will include terms and conditions implementing this requirement.

#### VI.C. Reporting Requirement

Quarterly progress reports and a detailed final report will be required. The quarterly progress reports will be submitted to the EPA Project Officer within thirty days after each reporting period. These reports shall cover work status, work progress, milestones, outputs/outcomes reached, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The report shall also include any changes of key personnel concerned with the project.

EPA expects timely drawdown of funds and a yearly financial report. In addition to quarterly reports, the financial reports will provide EPA with information regarding the progress being made.

The final report will address goals and objectives, performance measurements, lessons learned, any other resources leveraged during the project and how they were used, and any plans to continue the project after the expiration of the grant/cooperative agreement and associated sources of funding. The final report will be submitted to the EPA Project Officer at the close of the cooperative agreement. The TAB Provider must submit updates on all grant funded activities using the relevant portions of the TAB Module in the Assessment, Cleanup, and Redevelopment Exchange System (ACRES). This includes all community specific and multi-community activities. The TAB Provider must enter the data in ACRES as soon as the interim action or final accomplishment has occurred, or at a minimum, quarterly. Note that ACRES reporting requirements can change over time, and the TAB Provider is responsible for complying with the latest ACRES reporting requirements for TAB.

#### VI.D. Disputes

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found on the <u>Grant Competition Dispute Resolution Procedures</u> website. Copies of these procedures may also be requested by contacting the Regional Brownfields Contact listed in <u>Section VII.</u> of this announcement. Note, the FR notice references regulations at 40 CFR Parts 30 and 31 that have been superseded by regulations in 2 CFR Parts 200 and 1500. Notwithstanding the regulatory changes, the procedures for competition-related disputes remain unchanged from the procedures described at 70 FR 3629, 3630, as indicated in 2 CFR Part 1500, Subpart E.

#### **VI.E. Combining Applications into One Award**

If an applicant submits applications for multiple geographical areas under this competition, and is selected for multiple awards, EPA may award a single cooperative agreement that combines separate applications for the different geographic areas.

#### **VI.F.** Conflicts of Interest

The TAB awards will include terms and conditions requiring that the recipient ensure that technical assistance services provided under the award are performed in a manner that prevents conflicts of interest from tainting procurements financed with Federal funding. For example, if a consultant hired by a TAB recipient provides technical assistance on site assessment or remediation issues to a recipient of Brownfields funding, the consultant may not participate in the development of procurement specifications or other activities that violate the provisions in 2 CFR 200.319 on full and open competition for federally funded contracts. Consultants may not receive sole source contracts based on their role in providing technical assistance funded through TAB cooperative agreements. Award terms and conditions will also include restrictions that prevent consultants from marketing their services or those of affiliated firms to applicants or recipients of federal grants while providing EPA funded technical assistance

#### **SECTION VII. - AGENCY CONTACT**

Sahar Rana, U.S. EPA, Office of Brownfields and Land Revitalization (MC 5105-T), 1200 Pennsylvania Avenue, NW, Washington, DC 20460; Phone (202)566-2916; or email rana.sahar@epa.gov.

## Appendix 1 Grants.gov Application Submission Instructions

#### A. Requirements to Submit Through Grants.gov and Limited Exception Procedures

Applicants must apply electronically through <a href="www.grants.gov">www.grants.gov</a> under this funding opportunity based on the <a href="www.grants.gov">www.grants.gov</a> instructions in this announcement. If your organization has no access to the Internet or access is very limited, you may request an exception for the remainder of this calendar year by following the procedures outlined at <a href="www.epa.gov/grants/exceptions-grantsgov-submission-requirement">www.epa.gov/grants/exceptions-grantsgov-submission-requirement</a>. Please note that your request must be received <a href="mailto:atleast 15 calendar days">atleast 15 calendar days</a> before the application due date to allow enough time to negotiate alternative submission methods. Issues with Submissions with respect to this opportunity only are addressed in Section C. Technical Issues with Submission below.

#### **B.** Submission Instructions

#### B.1. SAM.gov (System for Award Management) Registration Instructions

Organizations applying to this funding opportunity must have an active SAM.gov registration. If you have never done business with the Federal Government, you will need to register your organization at <a href="www.sam.gov">www.sam.gov</a>. If you do not have a SAM.gov account, then you will create an account using <a href="Login.gov">Login.gov</a> to complete your SAM.gov registration. SAM.gov registration is FREE. The process for entity registrations includes obtaining a Unique Entity ID (UEI), a 12-character alphanumeric ID assigned to an entity by SAM.gov, and requires assertions, representations and certifications, and other information about your organization. Please review the <a href="Entity Registration Checklist">Entity Registration Checklist</a> for details on this process.

If you have done business with the Federal Government previously, you can check your entity status using your government issued UEI to determine if your registration is active. SAM.gov requires you to renew your registration every 365 days to keep it active.

Please note that SAM.gov registration is different than obtaining a UEI only. Obtaining a UEI only validates your organization's legal business name and address. Please review the registration Frequently Asked Question for additional details on the difference.

Organizations should ensure that their SAM.gov registration includes a current e-Business (EBiz) point of contact name and email address. The EBiz point of contact is critical for Grants.gov Registration and system functionality.

<sup>&</sup>lt;sup>6</sup> Login.gov a secure sign in service used by the public to sign into Federal Agency systems including SAM.gov and Grants.gov. For help with login.gov accounts you should visit <a href="http://login.gov/help">http://login.gov/help</a>.

Contact the <u>Federal Service Desk</u> (866-606-8220) for help with your SAM.gov account, to resolve technical issues or chat with a help desk agent. The Federal Service desk hours of operation are Monday – Friday, 8 a.m. – 8 p.m. ET.

#### **B.2.** Grants.gov Registration Instructions

Once your SAM.gov account is active, you must register in Grants.gov. Grants.gov will electronically receive your organization information, such as e-Business (EBiz) point of contact email address and UEI. Organizations applying to this funding opportunity must have an active Grants.gov registration. Grants.gov registration is FREE. If you have never applied for a federal grant before, please review the <u>Grants.gov Applicant Registration</u> instructions. As part of the Grants.gov registration process, the **EBiz point of contact is the only person that can affiliate and assign applicant roles to members of an organization**. In addition, at least one person must be assigned as an Authorized Organization Representative (AOR). **Only person(s) with the AOR role can submit applications in Grants.gov**. Please review the <u>Intro to Grants.gov-Understanding User Roles</u> and <u>Learning Workspace – User Roles and Workspace Actions</u> for details on this important process.

Please note that this process can take **a month or more** for new registrants. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through Grants.gov and should ensure that all such requirements have been met well in advance of the application submission deadline.

Contact <u>Grants.gov</u> for assistance at 1-800-518-4726 or <u>support@grants.gov</u> to resolve technical issues with Grants.gov. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a Grants.gov representative by calling 606-545-5035. The Grants.gov Support Center is available 24 hours a day 7 days a week, excluding federal holidays.

#### **B.3.** Application Submission Process

To begin the application process under this grant announcement, go to <a href="www.grants.gov">www.grants.gov</a> and enter the Funding Opportunity Number, **EPA-I-OLEM-OBLR-22-11**, into the search box in the top right corner of the page. Click on the "GO" button to view the "View Grant Opportunity" page and click the red "Apply" button at the top of the page.

The electronic submission of your application for this funding opportunity must be made by an official representative of your organization who is registered with <a href="www.grants.gov">www.grants.gov</a> and is authorized to sign applications for Federal financial assistance. If the submit button is grayed out, it may be because you do not have the appropriate role to submit in your organization. Contact your organization's EBiz point of contact or contact Grants.gov for assistance at 1-800-518-4726 or <a href="support@grants.gov">support@grants.gov</a>.

Applicants need to ensure that the Authorized Organization Representative (AOR) who submits the application through <a href="www.grants.gov">www.grants.gov</a> and whose UEI is listed on the application is an AOR for the applicant listed on the application. Additionally, the UEI listed on the application must be registered to the applicant organization's SAM.gov account. If not, the application may be deemed ineligible.

#### **B.4.** Application Submission Deadline

Your organization's AOR must successfully submit your complete application package electronically to EPA through <a href="www.grants.gov">www.grants.gov</a> no later than FEBRUARY 14, 2023, 11:59 p.m. ET. Please allow for enough time to successfully submit your application and allow for unexpected errors that may require you to resubmit.

After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive notification emails from www.grants.gov with the following subject lines:

- 1. GRANT##### Grants.gov Submission Receipt
- 2. GRANT##### Grants.gov Submission Validation Receipt for Application

If the AOR did not receive either notification emails listed above, contact the <u>www.grants.gov</u> Support Center at 1-800-518-4726. The Support Center is open 24/7 (except federal holidays).

After the application package is retrieved out of the <u>www.grants.gov</u> system by EPA, the AOR should receive the following notification emails from <u>www.grants.gov</u>:

- 3. GRANT##### Grants.gov Grantor Agency Retrieval Receipt for Application
- 4. GRANT##### Grants.gov Agency Tracking Number Assignment for Application

Applications submitted through <a href="www.grants.gov">www.grants.gov</a> will be time and date stamped electronically. If you do not receive a confirmation of receipt from EPA (not from <a href="www.grants.gov">www.grants.gov</a>) within 30 days of the application deadline, please contact Sahar Rana at <a href="mana.sahar@epa.gov">rana.sahar@epa.gov</a>. Failure to do so may result in your application not being reviewed.

Please note that successful submission of your application through <u>www.grants.gov</u> does not necessarily mean your application is eligible for award.

#### C. Technical Issues with Submission

If applicants experience technical issues during the submission of an application that they are unable to resolve, follow these procedures **before** the application deadline date:

- 1. Contact the <u>www.grants.gov</u> Support Center **before** the application deadline date at 1-800-518-4726 or <a href="https://gditshared.servicenowservices.com/hhs">https://gditshared.servicenowservices.com/hhs</a> grants.
- 2. Document the www.grants.gov ticket/case number.
- 3. Send an email with the Funding Opportunity Number, EPA-I-OLEM-OBLR-22-11, in the subject line to Sahar Rana (<a href="mailto:rana.sahar@epa.gov">rana.sahar@epa.gov</a>) <a href="mailto:before">before</a> the application deadline time and date. The email <a href="mailto:must">must</a> include the following:
  - a. The www.grants.gov ticket/case number(s).
  - b. A description of the issue.
  - c. The entire application package in PDF format.

Without this information, EPA may not be able to consider applications submitted outside of <a href="www.grants.gov">www.grants.gov</a>. Any application submitted after the application deadline time and date deadline will be deemed ineligible and <a href="mailto:not">not</a> be considered.

EPA will make decisions concerning acceptance of each application submitted outside of <a href="www.grants.gov">www.grants.gov</a> on a case-by-case basis. EPA will only consider accepting applications that were unable to submit through <a href="www.grants.gov">www.grants.gov</a> due to <a href="www.grants.gov">www.grants.gov</a> or relevant <a href="www.sam.gov">www.sam.gov</a> system issues or for unforeseen exigent circumstances, such as extreme weather interfering with Internet access. Failure of an applicant to submit prior to the application submission deadline time and date because they did not properly or timely register in <a href="www.sam.gov">www.grants.gov</a> is <a href="www.grants.gov">not</a> an acceptable reason to justify acceptance of an application outside of <a href="www.grants.gov">www.grants.gov</a>.

#### **D.** Application Materials

The following forms and documents are **required** under this announcement.

- 1. Application for Federal Assistance (SF-424)
- 2. Budget Information for Non-Construction Programs (SF-424A)
- 3. EPA Key Contacts Form 5700-54
- 4. Preaward Compliance Review Report (EPA Form 4700-4) [Guidance on how to complete this form is available at www.epa.gov/grants/tips-completing-epa-form-4700-4.]
- 5. Project Narrative Attachment Form attach the Narrative Information Sheet, the Narrative, and required attachments as one file, if possible. See <u>Section IV.C.</u> for details on the required content and the associated page limits.

The following forms and documents are **optional** under this announcement.

- 1. Grants.gov Lobbying Form To be submitted by applicants requesting **more than** \$100,000 of EPA grant funding.
- 2. Negotiated/Proposed Indirect Cost Rate Agreement To be submitted using the Project Narrative Attachment Form by applicants proposing to charge indirect costs to the EPA grant. Please note that applicants may budget for indirect costs pending approval of their Indirect Cost Rate Agreement by the cognizant Federal agency or an exception granted by EPA under section 6.3 or 6.4 of EPA's Indirect Cost Policy for Recipients of EPA Assistance Agreements. However, recipients may not draw down indirect costs until their rate is approved or EPA grants an exception.

**Note**: A workplan is <u>not required</u> under this announcement. Applicants that are selected for funding will negotiate the workplan with EPA before the cooperative agreement is awarded. Additionally, selected applicants must provide EPA with other required forms and documents, as appropriate, to award the cooperative agreement.