

## 6. Land Use, Land-Use Change, and Forestry

This chapter provides an assessment of the greenhouse gas fluxes resulting from land use and land-use change in the United States.<sup>1</sup> The Intergovernmental Panel on Climate Change's *2006 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC 2006) recommends reporting fluxes according to changes within and conversions between all land use types including: Forest Land, Cropland, Grassland, Wetlands, and Settlements (as well as Other Land).

The greenhouse gas flux from Forest Land Remaining Forest Land is reported for all forest ecosystem carbon (C) pools (i.e., aboveground biomass, belowground biomass, dead wood, litter, and mineral and organic soils), harvested wood pools, and non-carbon dioxide (non-CO<sub>2</sub>) emissions from forest fires, the application of synthetic nitrogen fertilizers to forest soils, and the draining of organic soils. Fluxes from Land Converted to Forest Land are included for aboveground biomass, belowground biomass, dead wood, litter, and C stock changes from mineral soils, while C stock changes from drained organic soils and all non-CO<sub>2</sub> emissions from Land Converted to Forest Land are included in the fluxes from Forest Land Remaining Forest Land as it is not currently possible to separate these fluxes by conversion category.

Fluxes are reported for four agricultural land use/land-use change categories: Cropland Remaining Cropland, Land Converted to Cropland, Grassland Remaining Grassland, and Land Converted to Grassland. The reported greenhouse gas fluxes from these agricultural lands include changes in soil organic C stocks in mineral and organic soils due to land use and management, and for the subcategories of Forest Land Converted to Cropland and Forest Land Converted to Grassland, the changes in aboveground biomass, belowground biomass, dead wood, and litter C stocks are also reported. The greenhouse gas flux from Grassland Remaining Grassland also includes estimates of non-CO<sub>2</sub> emissions from grassland fires occurring on both Grassland Remaining Grassland and Land Converted to Grassland.

Fluxes from Wetlands Remaining Wetlands include changes in C stocks and methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) emissions from managed peatlands, aboveground and belowground biomass, dead organic matter, soil C stock changes and CH<sub>4</sub> emissions from coastal wetlands, as well as N<sub>2</sub>O emissions from aquaculture. In addition, CH<sub>4</sub> emissions from reservoirs and other constructed waterbodies are included for the subcategory Flooded Land Remaining Flooded Land. Estimates for Land Converted to Wetlands include aboveground and belowground biomass, dead organic matter and soil C stock changes, and CH<sub>4</sub> emissions from land converted to vegetated coastal wetlands. Carbon dioxide (CO<sub>2</sub>) and CH<sub>4</sub> emissions are included for reservoirs and other constructed waterbodies under the subcategory Land Converted to Flooded Land.

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<sup>1</sup> The term "flux" is used to describe the exchange of CO<sub>2</sub> to and from the atmosphere, with net flux of CO<sub>2</sub> being either positive or negative depending on the overall balance. Removal and long-term storage of CO<sub>2</sub> from the atmosphere is also referred to as "carbon sequestration."

1 Fluxes from Settlements Remaining Settlements include changes in C stocks from organic soils, N<sub>2</sub>O emissions from  
2 nitrogen fertilizer additions to soils, and CO<sub>2</sub> fluxes from settlement trees and landfilled yard trimmings and food  
3 scraps. The reported greenhouse gas flux from Land Converted to Settlements includes changes in C stocks in  
4 mineral and organic soils due to land use and management for all land use conversions to settlements, and the C  
5 stock changes in aboveground biomass, belowground biomass, dead wood, and litter are also included for the  
6 subcategory Forest Land Converted to Settlements.

7 In 2021, the land use, land-use change, and forestry (LULUCF) sector resulted in a net increase in C stocks (i.e., net  
8 CO<sub>2</sub> removals) of 832.0 MMT CO<sub>2</sub> Eq. This represents an offset of approximately 13.1 percent of total (i.e., gross)  
9 greenhouse gas emissions in 2021. Emissions of CH<sub>4</sub> and N<sub>2</sub>O from LULUCF activities in 2021 were 66.0 and 11.8  
10 MMT CO<sub>2</sub> Eq., respectively, and combined represent 1.2 percent of total greenhouse gas emissions.<sup>3</sup> In 2021, the  
11 overall net flux from LULUCF resulted in a removal of 754.2 MMT CO<sub>2</sub> Eq. Emissions, removals and net greenhouse  
12 gas flux from LULUCF are summarized in Figure 6-1 and Table 6-1 by land use and category, and Table 6-2 and  
13 Table 6-3 by gas in MMT CO<sub>2</sub> Eq. and kt, respectively. Trends in LULUCF sources and sinks over the 1990 to 2021  
14 time series are shown in Figure 6-2.

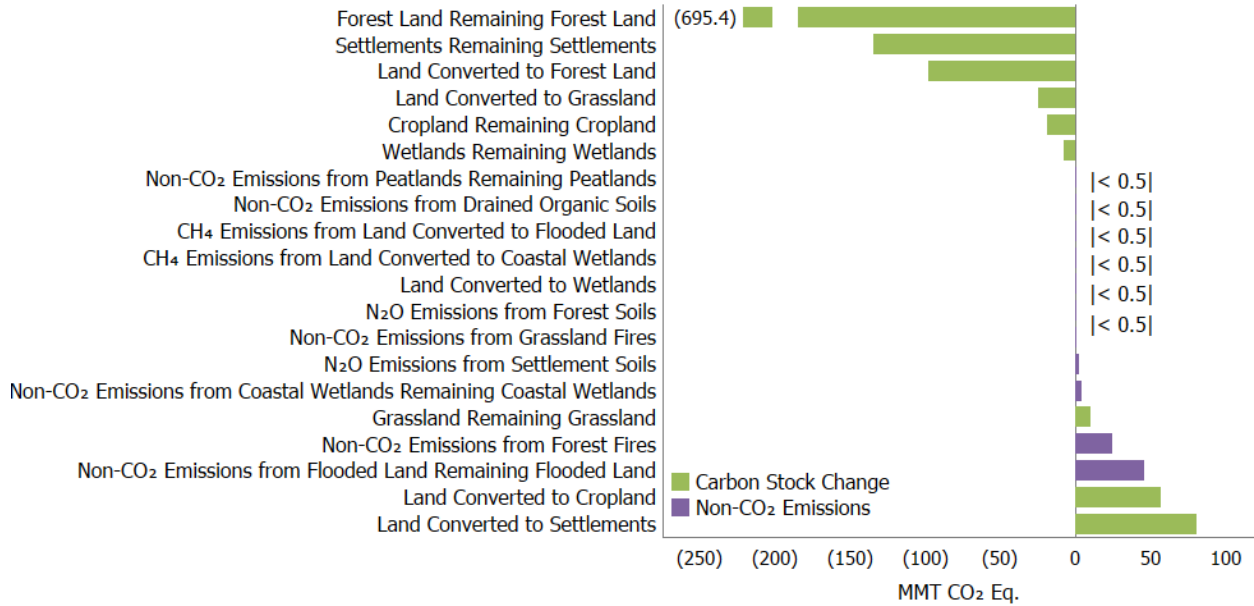
15 Flooded Land Remaining Flooded Land was the largest source of non-CO<sub>2</sub> emissions from LULUCF in 2021,  
16 accounting for 58.4 percent of the LULUCF sector emissions. Non-CO<sub>2</sub> emissions from forest fires are the second  
17 largest source of LULUCF sector emissions; these emissions have increased 341.4 percent since 1990 and account  
18 for 31.4 percent of LULUCF emissions in 2021. Coastal Wetlands Remaining Coastal Wetlands and Settlements  
19 Remaining Settlements soils accounted for 5.7 and 2.6 percent of non-CO<sub>2</sub> emissions from LULUCF in 2021,  
20 respectively, and the remaining sources account for less than one percent each.

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<sup>2</sup> LULUCF Carbon Stock Change is the net C stock change from the following categories: Forest Land Remaining Forest Land, Land Converted to Forest Land, Cropland Remaining Cropland, Land Converted to Cropland, Grassland Remaining Grassland, Land Converted to Grassland, Wetlands Remaining Wetlands, Land Converted to Wetlands, Settlements Remaining Settlements, and Land Converted to Settlements.

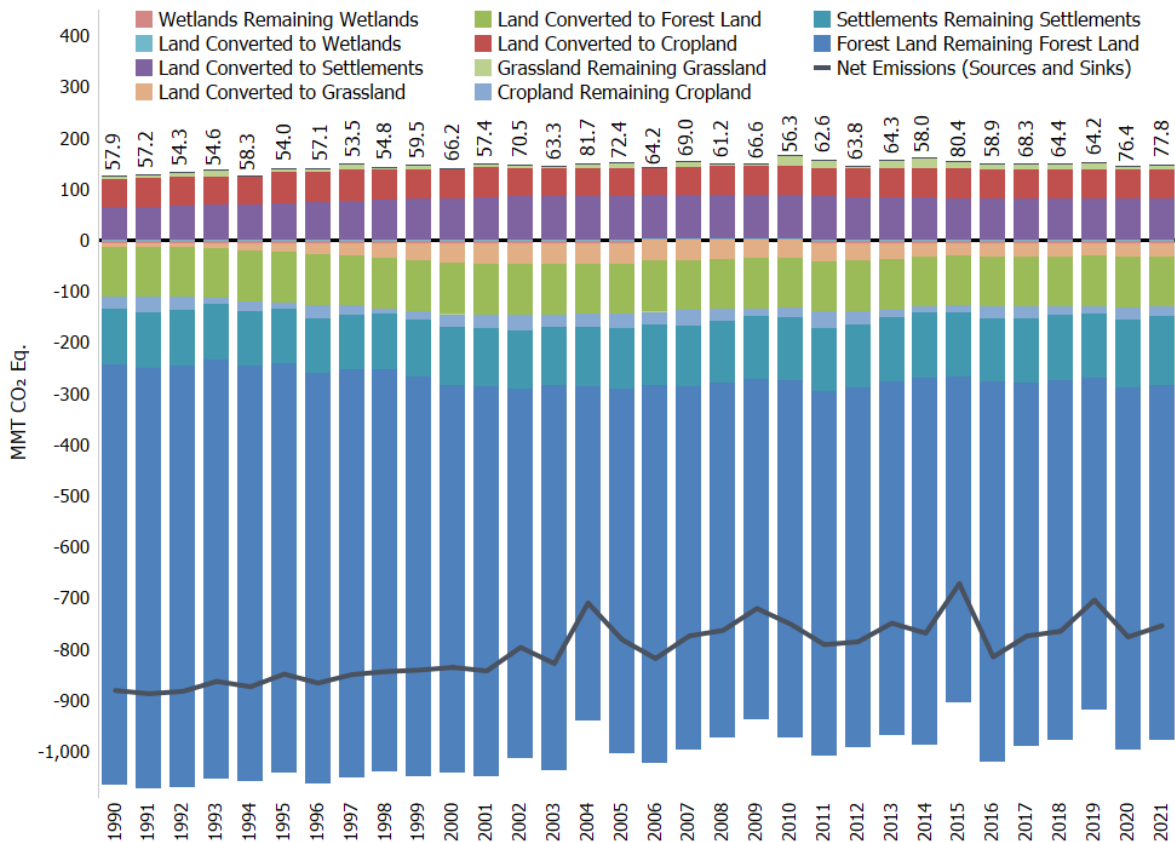
<sup>3</sup> LULUCF emissions include the CH<sub>4</sub> and N<sub>2</sub>O emissions reported for Peatlands Remaining Peatlands, forest fires, drained organic soils, grassland fires, and Coastal Wetlands Remaining Coastal Wetlands; CH<sub>4</sub> emissions from Land Converted to Coastal Wetlands, Flooded Land Remaining Flooded Land, and Land Converted to Flooded Land; and N<sub>2</sub>O emissions from forest soils and settlement soils.

1 **Figure 6-1: 2021 LULUCF Chapter Greenhouse Gas Sources and Sinks**



2  
3 Note: Parentheses in horizontal axis indicate net sequestration.

4 **Figure 6-2: Trends in Emissions and Removals (Net CO<sub>2</sub> Flux) from Land Use, Land-Use**  
5 **Change, and Forestry**



1 **Table 6-1: Emissions and Removals (Net Flux) from Land Use, Land-Use Change, and**  
 2 **Forestry (MMT CO<sub>2</sub> Eq.)**

Land-Use Category	1990	2005	2017	2018	2019	2020	2021
<b>Forest Land Remaining Forest Land</b>	<b>(815.8)</b>	<b>(695.4)</b>	<b>(695.2)</b>	<b>(692.9)</b>	<b>(638.1)</b>	<b>(684.0)</b>	<b>(670.5)</b>
Changes in Forest Carbon Stocks <sup>a</sup>	(821.4)	(714.2)	(710.7)	(704.4)	(649.3)	(707.4)	(695.4)
Non-CO <sub>2</sub> Emissions from Forest Fires <sup>b</sup>	5.5	18.3	15.0	11.0	10.8	23.0	24.4
N <sub>2</sub> O Emissions from Forest Soils <sup>c</sup>	0.1	0.4	0.4	0.4	0.4	0.4	0.4
Non-CO <sub>2</sub> Emissions from Drained Organic Soils <sup>d</sup>	0.1	0.1	0.1	0.1	0.1	0.1	0.1
<b>Land Converted to Forest Land</b>	<b>(98.5)</b>	<b>(98.4)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>
Changes in Forest Carbon Stocks <sup>e</sup>	(98.5)	(98.4)	(98.3)	(98.3)	(98.3)	(98.3)	(98.3)
<b>Cropland Remaining Cropland</b>	<b>(23.2)</b>	<b>(29.0)</b>	<b>(22.3)</b>	<b>(16.6)</b>	<b>(14.5)</b>	<b>(23.3)</b>	<b>(18.9)</b>
Changes in Mineral and Organic Soil Carbon Stocks	(23.2)	(29.0)	(22.3)	(16.6)	(14.5)	(23.3)	(18.9)
<b>Land Converted to Cropland</b>	<b>54.8</b>	<b>54.7</b>	<b>56.6</b>	<b>56.3</b>	<b>56.3</b>	<b>56.7</b>	<b>56.5</b>
Changes in all Ecosystem Carbon Stocks <sup>f</sup>	54.8	54.7	56.6	56.3	56.3	56.7	56.5
<b>Grassland Remaining Grassland</b>	<b>8.8</b>	<b>11.7</b>	<b>11.6</b>	<b>11.9</b>	<b>14.6</b>	<b>6.7</b>	<b>10.6</b>
Changes in Mineral and Organic Soil Carbon Stocks	8.7	11.0	10.9	11.3	14.0	6.0	10.0
Non-CO <sub>2</sub> Emissions from Grassland Fires <sup>g</sup>	0.2	0.7	0.6	0.6	0.6	0.6	0.6
<b>Land Converted to Grassland</b>	<b>(6.7)</b>	<b>(40.1)</b>	<b>(24.5)</b>	<b>(24.2)</b>	<b>(23.3)</b>	<b>(25.9)</b>	<b>(24.7)</b>
Changes in all Ecosystem Carbon Stocks <sup>f</sup>	(6.7)	(40.1)	(24.5)	(24.2)	(23.3)	(25.9)	(24.7)
<b>Wetlands Remaining Wetlands</b>	<b>41.5</b>	<b>43.1</b>	<b>41.8</b>	<b>41.8</b>	<b>41.8</b>	<b>41.8</b>	<b>41.8</b>
Changes in Organic Soil Carbon Stocks in Peatlands	1.1	1.1	0.8	0.8	0.8	0.7	0.7
Non-CO <sub>2</sub> Emissions from Peatlands Remaining Peatlands	+	+	+	+	+	+	+
Changes in Biomass, DOM, and Soil Carbon Stocks in Coastal Wetlands	(8.4)	(7.7)	(8.8)	(8.8)	(8.8)	(8.8)	(8.8)
CH <sub>4</sub> Emissions from Coastal Wetlands Remaining Coastal Wetlands	4.2	4.2	4.3	4.3	4.3	4.3	4.3
N <sub>2</sub> O Emissions from Coastal Wetlands Remaining Coastal Wetlands	0.1	0.2	0.1	0.1	0.1	0.1	0.1
CH <sub>4</sub> Emissions from Flooded Land Remaining Flooded Land	44.6	45.3	45.4	45.4	45.4	45.4	45.4
<b>Land Converted to Wetlands</b>	<b>3.3</b>	<b>1.4</b>	<b>0.8</b>	<b>0.8</b>	<b>0.8</b>	<b>0.6</b>	<b>0.6</b>
Changes in Biomass, DOM, and Soil Carbon Stocks in Land Converted to Coastal Wetlands	0.5	0.5	(+)	(+)	(+)	(+)	(+)
CH <sub>4</sub> Emissions from Land Converted to Coastal Wetlands	0.3	0.3	0.2	0.2	0.2	0.2	0.2
Changes in Land Converted to Flooded Land	1.4	0.4	0.4	0.4	0.4	0.3	0.3
CH <sub>4</sub> Emissions from Land Converted to Flooded Land	1.1	0.3	0.3	0.3	0.3	0.2	0.2
<b>Settlements Remaining Settlements</b>	<b>(107.8)</b>	<b>(113.9)</b>	<b>(125.6)</b>	<b>(125.0)</b>	<b>(124.5)</b>	<b>(131.6)</b>	<b>(132.5)</b>
Changes in Organic Soil Carbon Stocks	11.3	12.2	16.0	15.9	15.9	15.9	15.9
Changes in Settlement Tree Carbon Stocks	(96.4)	(117.4)	(129.6)	(129.5)	(129.3)	(136.7)	(137.8)
N <sub>2</sub> O Emissions from Settlement Soils <sup>h</sup>	1.8	2.8	1.9	2.0	2.0	2.0	2.1
Changes in Yard Trimming and Food Scrap Carbon Stocks in Landfills	(24.5)	(11.4)	(13.8)	(13.4)	(13.1)	(12.8)	(12.6)
<b>Land Converted to Settlements</b>	<b>62.5</b>	<b>85.0</b>	<b>80.9</b>	<b>81.0</b>	<b>81.1</b>	<b>81.0</b>	<b>81.0</b>
Changes in all Ecosystem Carbon Stocks <sup>f</sup>	62.5	85.0	80.9	81.0	81.1	81.0	81.0
<b>LULUCF Emissions<sup>i</sup></b>	<b>57.9</b>	<b>72.4</b>	<b>68.3</b>	<b>64.4</b>	<b>64.2</b>	<b>76.4</b>	<b>77.8</b>
CH <sub>4</sub>	53.5	61.3	60.1	57.3	56.9	65.4	66.0

N <sub>2</sub> O	4.4	11.1	8.3	7.0	7.3	11.0	11.8
<b>LULUCF Carbon Stock Change<sup>j</sup></b>	<b>(938.9)</b>	<b>(853.5)</b>	<b>(842.5)</b>	<b>(829.5)</b>	<b>(768.2)</b>	<b>(852.5)</b>	<b>(832.0)</b>
<b>LULUCF Sector Net Total<sup>k</sup></b>	<b>(881.0)</b>	<b>(781.1)</b>	<b>(774.2)</b>	<b>(765.1)</b>	<b>(704.0)</b>	<b>(776.2)</b>	<b>(754.2)</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Includes the net changes to carbon stocks stored in all forest ecosystem pools (estimates include C stock changes from drained organic soils from both Forest Land Remaining Forest Land and Land Converted to Forest Land) and harvested wood products.

<sup>b</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>c</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>d</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from drained organic soils on both Forest Land Remaining Forest Land and Land Converted to Forest Land. Carbon stock changes from drained organic soils are included with the Forest Land Remaining Forest Land forest ecosystem pools.

<sup>e</sup> Includes the net changes to carbon stocks stored in all forest ecosystem pools.

<sup>f</sup> Includes changes in mineral and organic soil carbon stocks for all land-use conversions to cropland, grassland, and settlements. Also includes aboveground/belowground biomass, dead wood, and litter carbon stock changes for conversion of forest land to cropland, grassland, and settlements.

<sup>g</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Grassland Remaining Grassland and Land Converted to Grassland.

<sup>h</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Settlements Remaining Settlements and Land Converted to Settlements because it is not possible to separate the activity data at this time.

<sup>i</sup> LULUCF emissions include the CH<sub>4</sub> and N<sub>2</sub>O emissions reported for Peatlands Remaining Peatlands, forest fires, drained organic soils, grassland fires, and Coastal Wetlands Remaining Coastal Wetlands; CH<sub>4</sub> emissions from Land Converted to Coastal Wetlands, Flooded Land Remaining Flooded Land, and Land Converted to Flooded Land; and N<sub>2</sub>O emissions from forest soils and settlement soils.

<sup>j</sup> LULUCF Carbon Stock Change includes any C stock gains and losses from all land use and land-use conversion categories.

<sup>k</sup> The LULUCF Sector Net Total is the net sum of all LULUCF CH<sub>4</sub> and N<sub>2</sub>O emissions to the atmosphere plus LULUCF net carbon stock changes in units of MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

- 1 The C stock changes and emissions of CH<sub>4</sub> and N<sub>2</sub>O from LULUCF are summarized in Table 6-2 (MMT CO<sub>2</sub> Eq.) and  
2 Table 6-3 (kt). Total net C sequestration in the LULUCF sector decreased by approximately 11.4 percent between  
3 1990 and 2021. This decrease was primarily due to a decline in the rate of net C accumulation in Forest Land, as  
4 well as an increase in emissions from Land Converted to Settlements.<sup>4</sup> Specifically, there was a net C accumulation  
5 in Settlements Remaining Settlements, which increased from 1990 to 2021, while the net C accumulation in Forest  
6 Land Remaining Forest Land and Land Converted to Wetlands slowed over this period. Net C accumulation  
7 remained steady from 1990 to 2021 in Land Converted to Forest Land, Cropland Remaining Cropland, Land  
8 Converted to Cropland, and Wetlands Remaining Wetlands, while net C accumulation fluctuated in Grassland  
9 Remaining Grassland.
- 10 Flooded Land Remaining Flooded Land was the largest source of CH<sub>4</sub> emissions from LULUCF in 2021, totaling 45.4  
11 MMT CO<sub>2</sub> Eq. (1,623 kt of CH<sub>4</sub>). Forest fires resulted in CH<sub>4</sub> emissions of 15.5 MMT CO<sub>2</sub> Eq. (554 kt of CH<sub>4</sub>). Coastal  
12 Wetlands Remaining Coastal Wetlands resulted in CH<sub>4</sub> emissions of 4.3 MMT CO<sub>2</sub> Eq. (154 kt of CH<sub>4</sub>). Grassland  
13 fires resulted in CH<sub>4</sub> emissions of 0.3 MMT CO<sub>2</sub> Eq. (12 kt of CH<sub>4</sub>). Land Converted to Flooded Land and Land  
14 Converted to Wetlands each resulted in CH<sub>4</sub> emissions of 0.2 MMT CO<sub>2</sub> Eq. (6 kt of CH<sub>4</sub>). Drained organic soils on  
15 forest lands and Peatlands Remaining Peatlands resulted in CH<sub>4</sub> emissions of less than 0.05 MMT CO<sub>2</sub> Eq. each.
- 16 For N<sub>2</sub>O emissions, forest fires were the largest source from LULUCF in 2021, totaling 8.9 MMT CO<sub>2</sub> Eq. (34 kt of  
17 N<sub>2</sub>O). Nitrous oxide emissions from fertilizer application to settlement soils in 2021 totaled to 2.1 MMT CO<sub>2</sub> Eq. (8  
18 kt of N<sub>2</sub>O). This represents an increase of 14.9percent since 1990. Additionally, the application of synthetic

<sup>4</sup> Carbon sequestration estimates are net figures. The C stock in a given pool fluctuates due to both gains and losses. When losses exceed gains, the C stock decreases, and the pool acts as a source. When gains exceed losses, the C stock increases, and the pool acts as a sink; also referred to as net C sequestration or removal.

1 fertilizers to forest soils in 2021 resulted in N<sub>2</sub>O emissions of 0.4 MMT CO<sub>2</sub> Eq. (2 kt of N<sub>2</sub>O). Nitrous oxide  
2 emissions from fertilizer application to forest soils have increased by 455.1 percent since 1990, but still account for  
3 a relatively small portion of overall emissions. Grassland fires resulted in N<sub>2</sub>O emissions of 0.3 MMT CO<sub>2</sub> Eq. (1 kt of  
4 N<sub>2</sub>O). Coastal Wetlands Remaining Coastal Wetlands resulted in N<sub>2</sub>O emissions of 0.1 MMT CO<sub>2</sub> Eq. (1 kt of N<sub>2</sub>O).  
5 Drained organic soils on forest lands resulted in N<sub>2</sub>O emissions of 0.1 MMT CO<sub>2</sub> Eq. (less than 0.05 kt of N<sub>2</sub>O), and  
6 Peatlands Remaining Peatlands resulted in N<sub>2</sub>O emissions of less than 0.05 MMT CO<sub>2</sub> Eq.

7 **Table 6-2: Emissions and Removals from Land Use, Land-Use Change, and Forestry by Gas**  
8 **(MMT CO<sub>2</sub> Eq.)**

Gas/Land-Use Category	1990	2005	2017	2018	2019	2020	2021
<b>Carbon Stock Change (CO<sub>2</sub>)<sup>a</sup></b>	<b>(938.9)</b>	<b>(853.5)</b>	<b>(842.5)</b>	<b>(829.5)</b>	<b>(768.2)</b>	<b>(852.5)</b>	<b>(832.0)</b>
Forest Land Remaining Forest Land	(821.4)	(714.2)	(710.7)	(704.4)	(649.3)	(707.4)	(695.4)
Land Converted to Forest Land	(98.5)	(98.4)	(98.3)	(98.3)	(98.3)	(98.3)	(98.3)
Cropland Remaining Cropland	(23.2)	(29.0)	(22.3)	(16.6)	(14.5)	(23.3)	(18.9)
Land Converted to Cropland	54.8	54.7	56.6	56.3	56.3	56.7	56.5
Grassland Remaining Grassland	8.7	11.0	10.9	11.3	14.0	6.0	10.0
Land Converted to Grassland	(6.7)	(40.1)	(24.5)	(24.2)	(23.3)	(25.9)	(24.7)
Wetlands Remaining Wetlands	(7.4)	(6.60)	(7.95)	(7.99)	(8.03)	(8.06)	(8.09)
Land Converted to Wetlands	1.9	0.8	0.3	0.3	0.3	0.3	0.3
Settlements Remaining Settlements	(109.6)	(116.6)	(127.5)	(127.0)	(126.5)	(133.6)	(134.5)
Land Converted to Settlements	62.5	85.0	80.9	81.0	81.1	81.0	81.0
<b>CH<sub>4</sub></b>	<b>53.5</b>	<b>61.3</b>	<b>60.1</b>	<b>57.3</b>	<b>56.9</b>	<b>65.4</b>	<b>66.0</b>
Forest Land Remaining Forest Land:							
Forest Fires <sup>b</sup>	3.2	10.9	9.6	6.9	6.4	15.0	15.5
Forest Land Remaining Forest Land:							
Drained Organic Soils <sup>d</sup>	+	+	+	+	+	+	+
Grassland Remaining Grassland:							
Grassland Fires <sup>c</sup>	0.1	0.4	0.3	0.3	0.3	0.3	0.3
Wetlands Remaining Wetlands: Flooded							
Land Remaining Flooded Land	44.6	45.3	45.4	45.4	45.4	45.4	45.4
Wetlands Remaining Wetlands: Coastal							
Wetlands Remaining Coastal Wetlands	4.2	4.2	4.3	4.3	4.3	4.3	4.3
Wetlands Remaining Wetlands:							
Peatlands Remaining Peatlands	+	+	+	+	+	+	+
Land Converted to Wetlands: Land							
Converted to Flooded Land	1.1	0.3	0.3	0.3	0.3	0.2	0.2
Land Converted to Wetlands: Land							
Converted to Coastal Wetlands	0.3	0.3	0.2	0.2	0.2	0.2	0.2
<b>N<sub>2</sub>O</b>	<b>4.4</b>	<b>11.1</b>	<b>8.3</b>	<b>7.0</b>	<b>7.3</b>	<b>11.0</b>	<b>11.8</b>
Forest Land Remaining Forest Land:							
Forest Fires <sup>b</sup>	2.3	7.4	5.4	4.2	4.4	8.0	8.9
Forest Land Remaining Forest Land:							
Forest Soils <sup>f</sup>	0.1	0.4	0.4	0.4	0.4	0.4	0.4
Forest Land Remaining Forest Land:							
Drained Organic Soils <sup>d</sup>	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Grassland Remaining Grassland:							
Grassland Fires <sup>c</sup>	0.1	0.3	0.3	0.3	0.3	0.3	0.3
Wetlands Remaining Wetlands: Coastal							
Wetlands Remaining Coastal Wetlands	0.1	0.2	0.1	0.1	0.1	0.1	0.1
Wetlands Remaining Wetlands:							
Peatlands Remaining Peatlands	+	+	+	+	+	+	+
Settlements Remaining Settlements:							
Settlement Soils <sup>e</sup>	1.8	2.8	1.9	2.0	2.0	2.0	2.1
<b>LULUCF Carbon Stock Change<sup>a</sup></b>	<b>(938.8)</b>	<b>(853.5)</b>	<b>(842.5)</b>	<b>(829.5)</b>	<b>(768.2)</b>	<b>(852.5)</b>	<b>(832.0)</b>
<b>LULUCF Emissions<sup>g</sup></b>	<b>57.9</b>	<b>72.4</b>	<b>68.3</b>	<b>64.4</b>	<b>64.2</b>	<b>76.4</b>	<b>77.8</b>
<b>LULUCF Sector Net Total<sup>h</sup></b>	<b>(881.0)</b>	<b>(781.1)</b>	<b>(774.2)</b>	<b>(765.1)</b>	<b>(704.0)</b>	<b>(776.2)</b>	<b>(754.2)</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> LULUCF Carbon Stock Change is the net C stock change from the following categories: Forest Land Remaining Forest Land, Land Converted to Forest Land, Cropland Remaining Cropland, Land Converted to Cropland, Grassland Remaining Grassland, Land Converted to Grassland, Wetlands Remaining Wetlands, Land Converted to Wetlands, Settlements Remaining Settlements, and Land Converted to Settlements.

<sup>b</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>c</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from drained organic soils on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>d</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Grassland Remaining Grassland and Land Converted to Grassland.

<sup>e</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>f</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Settlements Remaining Settlements and Land Converted to Settlements.

<sup>g</sup> LULUCF emissions include the CH<sub>4</sub> and N<sub>2</sub>O emissions reported for Peatlands Remaining Peatlands, forest fires, drained organic soils, grassland fires, and Coastal Wetlands Remaining Coastal Wetlands; CH<sub>4</sub> emissions from Flooded Land Remaining Flooded Land, Land Converted to Flooded Land, and Land Converted to Coastal Wetlands; and N<sub>2</sub>O emissions from forest soils and settlement soils.

<sup>h</sup> The LULUCF Sector Net Total is the net sum of all LULUCF CH<sub>4</sub> and N<sub>2</sub>O emissions to the atmosphere plus LULUCF net carbon stock changes in units of MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

1 **Table 6-3: Emissions and Removals from Land Use, Land-Use Change, and Forestry by Gas**  
2 **(kt)**

Gas/Land-Use Category	1990	2005	2017	2018	2019	2020	2021
<b>Carbon Stock Change (CO<sub>2</sub>)<sup>a</sup></b>	<b>(938,856)</b>	<b>(853,529)</b>	<b>(842,516)</b>	<b>(829,501)</b>	<b>(768,224)</b>	<b>(852,534)</b>	<b>(832,039)</b>
Forest Land Remaining Forest Land	(821,444)	(714,232)	(710,697)	(704,446)	(649,336)	(707,426)	(695,354)
Land Converted to Forest Land	(98,452)	(98,429)	(98,322)	(98,263)	(98,253)	(98,254)	(98,254)
Cropland Remaining Cropland	(23,176)	(29,001)	(22,293)	(16,597)	(14,544)	(23,335)	(18,940)
Land Converted to Cropland	54,792	54,651	56,597	56,327	56,280	56,725	56,511
Grassland Remaining Grassland	8,694	11,040	10,928	11,266	13,997	6,046	10,005
Land Converted to Grassland	(6,684)	(40,098)	(24,467)	(24,205)	(23,304)	(25,921)	(24,669)
Wetlands Remaining Wetlands	(7,372)	(6,601)	(7,953)	(7,990)	(8,031)	(8,059)	(8,095)
Land Converted to Wetlands	1884	820	339	341	349	250	256
Settlements Remaining Settlements	(109,567)	(116,642)	(127,510)	(126,961)	(126,469)	(133,610)	(134,514)
Land Converted to Settlements	62,469	84,965	80,860	81,026	81,087	81,050	81,014
<b>CH<sub>4</sub></b>	<b>1,911</b>	<b>2,190</b>	<b>2,145</b>	<b>2,048</b>	<b>2,032</b>	<b>2,336</b>	<b>2,356</b>
Forest Land Remaining Forest Land:							
Forest Fires <sup>b</sup>	116	390	342	245	228	534	554
Forest Land Remaining Forest Land:							
Drained Organic Soils <sup>d</sup>	1	1	1	1	1	1	1
Grassland Remaining Grassland:							
Grassland Fires <sup>c</sup>	3	13	12	12	12	12	12
Wetlands Remaining Wetlands:							
Flooded Land Remaining Flooded Land	1,592.8	1,617.0	1,620.7	1,620.8	1,620.9	1,622.7	1,622.8
Wetlands Remaining Wetlands:							
Coastal Wetlands Remaining Coastal Wetlands	149	151	153	153	153	154	154
Wetlands Remaining Wetlands:							
Peatlands Remaining Peatlands	+	+	+	+	+	+	+
Land Converted to Wetlands: Land Converted to Flooded Land	39	9	9	9	9	6	6
Land Converted to Wetlands: Land Converted to Coastal Wetlands	10	10	8	7	7	7	6

<b>N<sub>2</sub>O</b>	<b>17</b>	<b>42</b>	<b>31</b>	<b>27</b>	<b>27</b>	<b>41</b>	<b>45</b>
Forest Land Remaining Forest Land:							
Forest Fires <sup>b</sup>	9	28	21	16	17	30	34
Forest Land Remaining Forest Land:							
Forest Soils <sup>f</sup>	+	2	2	2	2	2	2
Forest Land Remaining Forest Land:							
Drained Organic Soils <sup>d</sup>	+	+	+	+	+	+	+
Grassland Remaining Grassland:							
Grassland Fires <sup>c</sup>	+	1	1	1	1	1	1
Wetlands Remaining Wetlands:							
Coastal Wetlands Remaining Coastal Wetlands	+	1	+	1	1	1	1
Wetlands Remaining Wetlands:							
Peatlands Remaining Peatlands	+	+	+	+	+	+	+
Settlements Remaining Settlements:							
Settlement Soils <sup>e</sup>	7	10	7	7	8	8	8

+ Absolute value does not exceed 0.5 kt.

<sup>a</sup> LULUCF Carbon Stock Change is the net C stock change from the following categories: Forest Land Remaining Forest Land, Land Converted to Forest Land, Cropland Remaining Cropland, Land Converted to Cropland, Grassland Remaining Grassland, Land Converted to Grassland, Wetlands Remaining Wetlands, Land Converted to Wetlands, Settlements Remaining Settlements, and Land Converted to Settlements.

<sup>b</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>c</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from drained organic soils on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>d</sup> Estimates include CH<sub>4</sub> and N<sub>2</sub>O emissions from fires on both Grassland Remaining Grassland and Land Converted to Grassland.

<sup>e</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>f</sup> Estimates include N<sub>2</sub>O emissions from N fertilizer additions on both Settlements Remaining Settlements and Land Converted to Settlements.

Notes: Totals by gas may not sum due to independent rounding. Parentheses indicate net sequestration.

1 Each year, some emission and sink estimates in the LULUCF sector of the Inventory are recalculated and revised  
2 with improved methods and/or data. In general, recalculations are made to the U.S. greenhouse gas emissions and  
3 sinks estimates either to incorporate new methodologies or, most commonly, to update recent historical data.  
4 These improvements are implemented consistently across the previous Inventory's time series (i.e., 1990 to 2020)  
5 to ensure that the trend is accurate. Of the updates implemented for this Inventory, the most significant include  
6 (1) Flooded Land Remaining Flooded Land and Land Converted to Flooded Land: the National Wetland Inventory  
7 (NWI) is now used as the primary data source for flooded land surface area rather than the National Hydrography  
8 Data (NHD as the primary geospatial data source, (2) Forest Lands: use of new data from the National Forest  
9 Inventory (NFI) as well as updated fire data and harvested wood products' (HWP) data, and using plot-level soil  
10 orders based on the more refined gridded National Soil Survey Geographic Database (gNATSGO) dataset rather  
11 than the Digital General Soil Map of the United States (STATSGO2) dataset which had been used in previous  
12 Inventories; and (3) Coastal Wetlands: an update was made to the activity data to remove any estuarine forested  
13 wetland areas that were located outside of states classified as subtropical since those wetlands fall under Forest  
14 Land Remaining Forest Land and to remove any estuarine forested wetland areas that were located outside of  
15 states classified as subtropical since, states classified as wet temperate, cold temperate and Mediterranean  
16 climate zones fall under the category of Land Converted to Forest Land. Together, these updates for 2020  
17 decreased total C sequestration by 40.4 MMT CO<sub>2</sub> Eq. (5.0 percent) and increased total non-CO<sub>2</sub> emissions by 23.4  
18 MMT CO<sub>2</sub> Eq. (52.6 percent), compared to the previous Inventory (i.e., 1990 to 2020). In addition, for the current  
19 Inventory, CO<sub>2</sub>-equivalent emissions totals of CH<sub>4</sub> and N<sub>2</sub>O have been revised to reflect the 100-year global  
20 warming potentials (GWPs) provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). Further discussion on  
21 this update and the overall impacts of updating the Inventory GWP values to reflect the IPCC *Fifth Assessment*  
22 *Report* can be found in Chapter 9, Recalculations and Improvements.



1 For more information on specific methodological updates, please see the Recalculations discussion within the  
2 respective source category section of this chapter.

3 Emissions and removals reported in the LULUCF chapter include those from all states; however, for Hawaii and  
4 Alaska some emissions and removals from land use and land-use change are not included (see chapter sections on  
5 Uncertainty and Planned Improvements for more details). In addition, U.S. Territories are not included for most  
6 categories. EPA continues to review available data on an ongoing basis to include emissions and removals from  
7 U.S. Territories in future inventories to the extent they are occurring (e.g., see Box 6-2). See Annex 5 for more  
8 information on EPA's assessment of the emissions and removals not included in this Inventory.

### 9 **Box 6-1: Methodological Approach for Estimating and Reporting U.S. Emissions and Removals**

In following the United Nations Framework Convention on Climate Change (UNFCCC) requirement under Article 4.1 to develop and submit national greenhouse gas emission inventories, the gross emissions total presented in this report for the United States excludes emissions and removals from LULUCF. The LULUCF Sector Net Total presented in this report for the United States includes emissions and removals from LULUCF. All emissions and removals estimates are calculated using internationally accepted methods provided by the IPCC in the *2006 IPCC Guidelines for National Greenhouse Gas Inventories (2006 IPCC Guidelines)*, *2013 Supplement to the 2006 IPCC Guidelines for National GHG Inventories: Wetlands*, and the *2019 Refinement to the 2006 IPCC Guidelines for National GHG Inventories*. Additionally, the calculated emissions and removals in a given year for the United States are presented in a common manner in line with the UNFCCC reporting guidelines for the reporting of inventories under this international agreement.<sup>5</sup> The use of consistent methods to calculate emissions and removals by all nations providing their inventories to the UNFCCC ensures that these reports are comparable. The presentation of emissions and removals provided in the Land Use Land-Use Change and Forestry chapter does not preclude alternative examinations, but rather, this chapter presents emissions and removals in a common format consistent with how countries are to report Inventories under the UNFCCC. The report itself, and this chapter, follow this standardized format, and provides an explanation of the application of methods used to calculate emissions and removals.

10

## 11 **6.1 Representation of the U.S. Land Base**

12 A national land use representation system that is consistent and complete, both temporally and spatially, is  
13 needed in order to assess land use and land-use change status and the associated greenhouse gas fluxes over the  
14 Inventory time series. This system should be consistent with IPCC (2006), such that all countries reporting on  
15 national greenhouse gas fluxes to the UNFCCC should: (1) describe the methods and definitions used to determine  
16 areas of managed and unmanaged lands in the country (Table 6-4), (2) describe and apply a consistent set of  
17 definitions for land-use categories over the entire national land base and time series (i.e., such that increases in  
18 the land areas within particular land-use categories are balanced by decreases in the land areas of other categories  
19 unless the national land base is changing) (Table 6-5), and (3) account for greenhouse gas fluxes on all managed  
20 lands. The IPCC (2006, Vol. IV, Chapter 1) considers all anthropogenic greenhouse gas emissions and removals  
21 associated with land use and management to occur on managed land, and all emissions and removals on managed  
22 land should be reported based on this guidance (See IPCC (2010), Ogle et al. (2018) for further discussion).  
23 Consequently, managed land serves as a proxy for anthropogenic emissions and removals. This proxy is intended  
24 to provide a practical framework for conducting an inventory, even though some of the greenhouse gas emissions  
25 and removals on managed land are influenced by natural processes that may or may not be interacting with the

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<sup>5</sup> See <http://unfccc.int/resource/docs/2013/cop19/eng/10a03.pdf>.

1 anthropogenic drivers. This section of the Inventory has been developed in order to comply with this guidance.  
2 While the *2019 Refinement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories* provide guidance  
3 for factoring out natural emissions and removals, the United States does not apply this guidance and estimates all  
4 emissions/removals on managed land regardless of whether the driver was natural.

5 Three databases are used to track land management in the United States and are used as the basis to classify  
6 United States land area into the thirty-six IPCC land use and land-use change categories (Table 6-5) (IPCC 2006).  
7 The three primary databases are the U.S. Department of Agriculture (USDA) National Resources Inventory (NRI),<sup>6</sup>  
8 the USDA Forest Service (USFS) Forest Inventory and Analysis (FIA)<sup>7</sup> Database, and the Multi-Resolution Land  
9 Characteristics Consortium (MRLC) National Land Cover Dataset (NLCD).<sup>8</sup>

10 The total land area included in the United States Inventory is 936 million hectares across the 50 states.<sup>9</sup>  
11 Approximately 886 million hectares of this land base is considered *managed* and 50 million hectares is  
12 *unmanaged*, a distribution that has remained stable over the time series of the Inventory (Table 6-5). In 2021, the  
13 United States had a total of 280 million hectares of managed forest land (0.71 percent decrease compared to  
14 1990). There are 160 million hectares of cropland (8.3 percent decrease compared to 1990), 339 million hectares  
15 of managed Grassland (0.4 percent increase compared to 1990), 39 million hectares of managed Wetlands (4.6  
16 percent increase compared to 1990), 47 million hectares of Settlements (41 percent increase compared to 1990),  
17 and 21 million hectares of managed Other Land (1.0 percent decrease compared to 1990) (Table 6-5).

18 Wetlands are not differentiated between managed and unmanaged with the exception of remote areas in Alaska,  
19 and so are reported mostly as managed.<sup>10</sup> In addition, C stock changes are not currently estimated for the entire  
20 managed land base, which leads to discrepancies between the managed land area data presented here and in the  
21 subsequent sections of the Inventory (e.g., Grassland Remaining Grassland within interior Alaska).<sup>11,12</sup> Planned  
22 improvements are under development to estimate C stock changes and greenhouse gas emissions on all managed  
23 land and to ensure consistency between the total area of managed land in the land-representation description and  
24 the remainder of the Inventory.

25 Dominant land uses vary by region, largely due to climate patterns, soil types, geology, proximity to coastal  
26 regions, and historical settlement patterns (Figure 6-3). Forest land tends to be more common in the eastern  
27 United States, mountainous regions of the western United States, and Alaska. Cropland is concentrated in the mid-  
28 continent region of the United States, and Grassland is more common in the western United States and Alaska.  
29 Wetlands are fairly ubiquitous throughout the United States, though they are more common in the upper Midwest

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<sup>6</sup> NRI data are available at <https://www.nrcs.usda.gov/wps/portal/nrcs/main/national/technical/nra/nri/>.

<sup>7</sup> FIA data are available at <https://www.fia.fs.usda.gov/tools-data/index.php>.

<sup>8</sup> NLCD data are available at <http://www.mrlc.gov/> and MRLC is a consortium of several U.S. government agencies.

<sup>9</sup> The current land representation does not include areas from U.S. Territories, but there are planned improvements to include these regions in future Inventories. U.S. Territories represent approximately 0.1 percent of the total land base for the United States. See Box 6-2.

<sup>10</sup> According to the IPCC (2006), wetlands are considered managed if they are created through human activity, such as dam construction, or the water level is artificially altered by human activity. Distinguishing between managed and unmanaged wetlands in the conterminous United States and Alaska is difficult due to limited data availability. Wetlands are not characterized within the NRI with information regarding water table management. As a result, all Wetlands in the conterminous United States and Hawaii are reported as managed in the Land Representation, but emission/removal estimates only developed for those wetlands that are included under the Flooded Lands, Coastal Wetlands or Peat Extraction categories. See the Planned Improvements section of the Inventory for future refinements to the Wetland area estimates.

<sup>11</sup> Other discrepancies occur because the coastal wetlands analysis is based on another land use product (NOAA C-CAP) that is not currently incorporated into the land representation analysis for this section, which relies on the NRI and NLCD for wetland areas. EPA anticipates addressing these discrepancies in future Inventories.

<sup>12</sup> These “managed area” discrepancies also occur in the Common Reporting Format (CRF) tables submitted to the UNFCCC.

1 and eastern portions of the country, as well as coastal regions. Settlements are more concentrated along the  
 2 coastal margins and in the eastern states.

3 **Table 6-4: Managed and Unmanaged Land Area by Land-Use Categories for All 50 States**  
 4 **(Thousands of Hectares)**

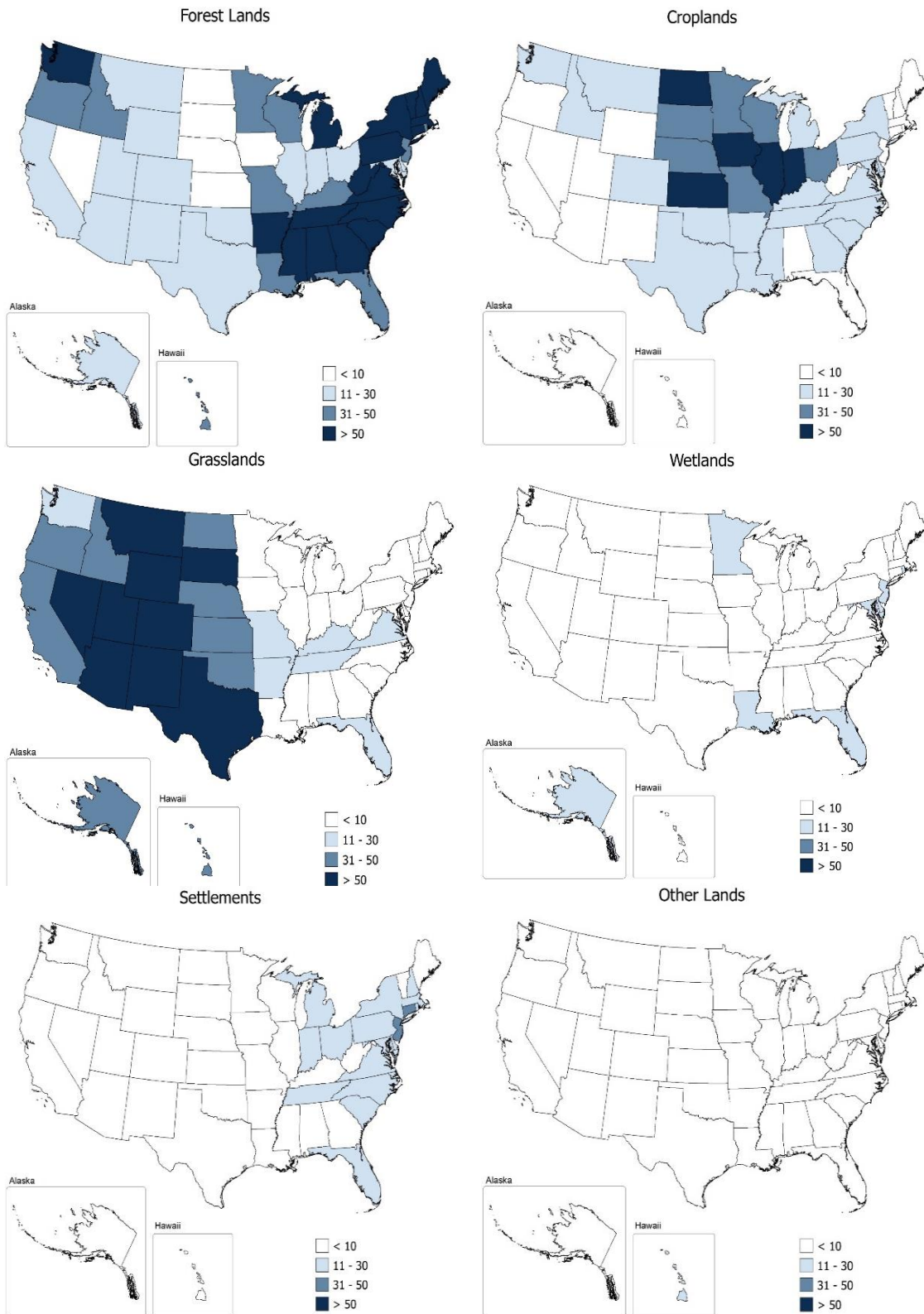
Land Use Categories	1990	2005	2017	2018	2019	2020	2021
<b>Managed Lands</b>	<b>886,533</b>	<b>886,530</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>
Forest	282,357	281,755	281,057	280,870	280,686	280,519	280,363
Croplands	174,496	165,622	161,922	161,394	160,693	160,111	160,077
Grasslands	337,639	339,694	338,053	338,264	338,722	339,138	338,989
Settlements	33,427	40,210	45,595	45,972	46,306	46,654	46,970
Wetlands	37,704	38,661	39,108	39,251	39,380	39,382	39,438
Other	20,910	20,588	20,796	20,779	20,743	20,727	20,693
<b>Unmanaged Lands</b>	<b>49,708</b>	<b>49,711</b>	<b>49,710</b>	<b>49,710</b>	<b>49,710</b>	<b>49,710</b>	<b>49,710</b>
Forest	10,260	10,260	10,264	10,264	10,264	10,264	10,269
Croplands	0	0	0	0	0	0	0
Grasslands	24,666	24,686	24,696	24,696	24,696	24,696	24,691
Settlements	0	0	0	0	0	0	0
Wetlands	4,048	4,047	4,058	4,058	4,058	4,058	4,058
Other	10,734	10,718	10,692	10,692	10,692	10,692	10,692
<b>Total Land Areas</b>	<b>936,241</b>	<b>936,241</b>	<b>936,241</b>	<b>936,241</b>	<b>936,241</b>	<b>936,241</b>	<b>936,241</b>
Forest	292,617	292,016	291,321	291,134	290,951	290,782	290,632
Croplands	174,496	165,622	161,922	161,394	160,693	160,111	160,077
Grasslands	362,305	364,380	362,749	362,960	363,417	363,834	363,680
Settlements	33,427	40,210	45,595	45,972	46,307	46,654	46,971
Wetlands	41,752	42,708	43,167	43,310	43,439	43,441	43,496
Other	31,644	31,306	31,488	31,471	31,435	31,419	31,385

5  
 6 **Table 6-5: Land Use and Land-Use Change for the U.S. Managed Land Base for All 50 States**  
 7 **(Thousands of Hectares)**

Land Use & Land-Use Change Categories <sup>a</sup>	1990	2005	2017	2018	2019	2020	2021
<b>Total Forest Land</b>	<b>282,357</b>	<b>281,755</b>	<b>281,057</b>	<b>280,870</b>	<b>280,686</b>	<b>280,519</b>	<b>280,363</b>
FF	281,232	280,457	279,841	279,778	279,616	279,446	279,298
CF	216	154	110	101	87	83	82
GF	805	1,028	959	855	862	867	869
WF	13	23	19	19	16	15	14
SF	11	18	19	19	19	19	19
OF	79	77	108	99	86	89	81
<b>Total Cropland</b>	<b>174,496</b>	<b>165,622</b>	<b>161,922</b>	<b>161,394</b>	<b>160,693</b>	<b>160,111</b>	<b>160,077</b>
CC	162,265	150,400	148,327	149,721	149,504	149,817	150,586
FC	178	83	64	63	63	63	66
GC	11,673	14,623	13,121	11,231	10,758	9,914	9,132
WC	119	178	102	99	98	86	81
SC	75	102	122	107	105	101	97
OC	186	235	186	173	166	129	115
<b>Total Grassland</b>	<b>337,639</b>	<b>339,694</b>	<b>338,053</b>	<b>338,264</b>	<b>338,722</b>	<b>339,138</b>	<b>338,989</b>
GG	328,320	316,625	318,704	321,748	322,632	323,883	325,096
FG	591	642	722	733	746	726	704
CG	8,177	17,746	16,075	13,594	13,491	13,205	12,200
WG	168	466	199	181	172	159	143
SG	43	525	283	230	190	139	100
OG	341	3,692	2,070	1,778	1,491	1,026	746
<b>Total Wetlands</b>	<b>37,704</b>	<b>38,661</b>	<b>39,108</b>	<b>39,251</b>	<b>39,380</b>	<b>39,382</b>	<b>39,438</b>

WW	37,148	36,636	37,727	38,020	38,283	38,426	38,613
FW	38	73	71	69	57	57	51
CW	145	637	403	362	310	261	221
GW	326	1,169	662	564	501	415	342
SW	0	38	21	17	14	10	2
OW	47	107	225	220	216	212	210
<b>Total Settlements</b>	<b>33,427</b>	<b>40,210</b>	<b>45,595</b>	<b>45,972</b>	<b>46,306</b>	<b>46,654</b>	<b>46,970</b>
SS	30,561	31,445	39,875	40,771	41,617	42,467	43,189
FS	301	503	483	467	449	460	456
CS	1,231	3,604	2,110	1,917	1,726	1,528	1,366
GS	1,276	4,371	2,919	2,630	2,349	2,062	1,830
WS	4	59	39	30	25	18	14
OS	54	229	169	157	141	120	115
<b>Total Other Land</b>	<b>20,910</b>	<b>20,588</b>	<b>20,796</b>	<b>20,779</b>	<b>20,743</b>	<b>20,727</b>	<b>20,693</b>
OO	20,175	17,019	17,874	18,059	18,305	18,563	18,817
FO	53	81	97	96	98	100	106
CO	287	603	670	629	582	540	489
GO	371	2,764	1,929	1,772	1,541	1,309	1,068
WO	22	100	208	206	206	205	204
SO	2	21	18	17	11	10	10
<b>Grand Total</b>	<b>886,533</b>	<b>886,530</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>	<b>886,531</b>

1 **Figure 6-3: Percent of Total Land Area for Each State in the General Land Use Categories for**  
 2 **2021**



3

## 1 Methodology and Time-Series Consistency

2 IPCC (2006) describes three approaches for representing land areas. Approach 1 provides data on the total area for  
3 each individual land use category, but does not provide detailed information on transfer of land area between  
4 categories following land-use change and is not spatially explicit other than at the national or regional level. With  
5 Approach 1, total net conversions between categories can be detected, but not the individual changes (i.e.,  
6 additions and/or losses) between the land-use categories that led to those net changes. Approach 2 introduces  
7 tracking of individual land-use changes between the categories (e.g., Forest Land to Cropland, Cropland to Forest  
8 Land, and Grassland to Cropland), using survey samples or other forms of data, but does not provide spatially-  
9 explicit location data. Approach 3 extends Approach 2 by providing spatially-explicit location data, such as surveys  
10 with spatially identified sample locations and maps obtained from remote sensing products. The three approaches  
11 are not presented as hierarchical tiers and are not mutually exclusive.

12 According to IPCC (2006), the approach or mix of approaches selected by an inventory agency should reflect  
13 calculation needs and national circumstances. For this analysis, the NRI, FIA, and the NLCD have been combined  
14 to provide a complete representation of land use for managed lands. These data sources are described in more detail  
15 later in this section. NRI, FIA and NLCD are Approach 3 data sources that provide spatially-explicit representations  
16 of land use and land-use conversions. Lands are treated as remaining in the same category (e.g., Cropland  
17 Remaining Cropland) if a land-use change has not occurred in the last 20 years. Otherwise, the land is classified in a  
18 land-use change category based on the current use and most recent use before conversion to the current use (e.g.,  
19 Cropland Converted to Forest Land).

## 20 Definitions of Land Use in the United States

### 21 *Managed and Unmanaged Land*

22 The United States definition of managed land is similar to the general definition of managed land provided by the  
23 IPCC (2006), but with some additional elaboration to reflect national circumstances. Based on the following  
24 definitions, most lands in the United States are classified as managed:

- 25 • *Managed Land*: Land is considered managed if direct human intervention has influenced its condition.  
26 Direct intervention occurs mostly in areas accessible to human activity and includes altering or  
27 maintaining the condition of the land to produce commercial or non-commercial products or services; to  
28 serve as transportation corridors or locations for buildings, landfills, or other developed areas for  
29 commercial or non-commercial purposes; to extract resources or facilitate acquisition of resources; or to  
30 provide social functions for personal, community, or societal objectives where these areas are readily  
31 accessible to society.<sup>13</sup>
- 32 • *Unmanaged Land*: All other land is considered unmanaged. Unmanaged land is largely comprised of areas  
33 inaccessible to society due to the remoteness of the locations. Though these lands may be influenced

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<sup>13</sup> Wetlands are an exception to this general definition, because these lands, as specified by IPCC (2006), are only considered managed if they are created through human activity, such as dam construction, or the water level is artificially altered by human activity. Distinguishing between managed and unmanaged wetlands in the United States is difficult due to limited data availability. Wetlands are not characterized within the NRI with information regarding water table management or origin (i.e., constructed rather than natural origin). Therefore, unless wetlands are converted into cropland or grassland, it is not possible to know if they are artificially created or if the water table is managed based on the use of NRI data. As a result, most wetlands are reported as managed with the exception of wetlands in remote areas of Alaska, but emissions from managed wetlands are only reported for coastal regions, flooded lands (e.g., reservoirs) and peatlands where peat extraction occurs due to insufficient activity data to estimate emissions and limited resources to improve the Inventory. See the Planned Improvements section of the Inventory for future refinements to the wetland area estimates.

1 indirectly by human actions such as atmospheric deposition of chemical species produced in industry or  
2 CO<sub>2</sub> fertilization, they are not influenced by a direct human intervention.<sup>14</sup>

3 In addition, land that is previously managed remains in the managed land base for 20 years before re-classifying  
4 the land as unmanaged in order to account for legacy effects of management on C stocks.<sup>15</sup> Unmanaged land is  
5 also re-classified as managed over time if anthropogenic activity is introduced into the area based on the definition  
6 of managed land.

## 7 *Land-Use Categories*

8 As with the definition of managed lands, IPCC (2006) provides general non-prescriptive definitions for the six main  
9 land-use categories: Forest Land, Cropland, Grassland, Wetlands, Settlements and Other Land. In order to reflect  
10 national circumstances, country-specific definitions have been developed, based predominantly on criteria used in  
11 the land use surveys for the United States. Specifically, the definition of Forest Land is based on the FIA definition  
12 of forest,<sup>16</sup> while definitions of Cropland, Grassland, and Settlements are based on the NRI.<sup>17</sup> The definitions for  
13 Other Land and Wetlands are based on the IPCC (2006) definitions for these categories.

- 14 • *Forest Land*: A land-use category that includes areas at least 120 feet (36.6 meters) wide and at least one  
15 acre (0.4 hectare) in size with at least 10 percent cover (or equivalent stocking) by live trees including land  
16 that formerly had such tree cover and that will be naturally or artificially regenerated. Trees are woody  
17 plants having a more or less erect perennial stem(s) capable of achieving at least 3 inches (7.6 cm) in  
18 diameter at breast height, or 5 inches (12.7 cm) diameter at root collar, and a height of 16.4 feet (5 m) at  
19 maturity in situ. Forest Land includes all areas recently having such conditions and currently regenerating  
20 or capable of attaining such condition in the near future. Forest Land also includes transition zones, such  
21 as areas between forest and non-forest lands that have at least 10 percent cover (or equivalent stocking)  
22 with live trees and forest areas adjacent to urban and built-up lands. Unimproved roads and trails,  
23 streams, and clearings in forest areas are classified as forest if they are less than 120 feet (36.6 m) wide or  
24 an acre (0.4 ha) in size. However, land is not classified as Forest Land if completely surrounded by urban  
25 or developed lands, even if the criteria are consistent with the tree area and cover requirements for  
26 Forest Land. These areas are classified as Settlements. In addition, Forest Land does not include land that  
27 is predominantly under an agricultural land use (Nelson et al. 2020).
- 28 • *Cropland*: A land-use category that includes areas used for the production of adapted crops for harvest;  
29 this category includes both cultivated and non-cultivated lands. Cultivated crops include row crops or  
30 close-grown crops and also pasture in rotation with cultivated crops. Non-cultivated cropland includes  
31 continuous hay, perennial crops (e.g., orchards) and horticultural cropland. Cropland also includes land  
32 with agroforestry, such as alley cropping and windbreaks,<sup>18</sup> if the dominant use is crop production,  
33 assuming the stand or woodlot does not meet the criteria for Forest Land. Lands in temporary fallow or

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<sup>14</sup> There are some areas, such as Forest Land and Grassland in Alaska that are classified as unmanaged land due to the remoteness of their location.

<sup>15</sup> There are examples of managed land transitioning to unmanaged land in the U.S. For example, in 2018, 100 hectares of managed grassland converted to unmanaged because data indicated that no further grazing occurred. Livestock data are collected annually by the Department of Agriculture, and no livestock had occurred in the area since the mid-1970s, and therefore there was no longer active management through livestock grazing. The area is also remote, at least 10 miles from roads and settlements, and therefore the land was no longer managed based on the implementation criteria.

<sup>16</sup> See [https://www.fia.fs.usda.gov/library/field-guides-methods-proc/docs/2022/core\\_ver9-2\\_9\\_2022\\_SW\\_HW%20table.pdf](https://www.fia.fs.usda.gov/library/field-guides-methods-proc/docs/2022/core_ver9-2_9_2022_SW_HW%20table.pdf), page 23.

<sup>17</sup> See <https://www.nrcs.usda.gov/wps/portal/nrcs/main/national/technical/nra/nri/>.

<sup>18</sup> Currently, there is no data source to account for biomass C stock change associated with woody plant growth and losses in alley cropping systems and windbreaks in cropping systems, although these areas are included in the Cropland land base.

1 enrolled in conservation reserve programs (i.e., set-asides<sup>19</sup>) are also classified as Cropland, as long as  
2 these areas do not meet the Forest Land criteria. Roads through Cropland, including interstate highways,  
3 state highways, other paved roads, gravel roads, dirt roads, and railroads are excluded from Cropland  
4 area estimates and are, instead, classified as Settlements.

- 5 • *Grassland*: A land-use category on which the plant cover is composed principally of grasses, grass-like  
6 plants (i.e., sedges and rushes), forbs, or shrubs suitable for grazing and browsing, and includes both  
7 pastures and native rangelands. This includes areas where practices such as clearing, burning, chaining,  
8 and/or chemicals are applied to maintain the grass vegetation. Land is also categorized as Grassland if  
9 there have been three or fewer years of continuous hay production.<sup>20</sup> Savannas, deserts, and tundra are  
10 considered Grassland.<sup>21</sup> Drained wetlands are considered Grassland if the dominant vegetation meets the  
11 plant cover criteria for Grassland. Woody plant communities of low forbs, shrubs and woodlands, such as  
12 sagebrush, mesquite, chaparral, mountain shrubland, and pinyon-juniper, are also classified as Grassland  
13 if they do not meet the criteria for Forest Land. Grassland includes land managed with agroforestry  
14 practices, such as silvopasture and windbreaks, if the land is principally grass, grass-like plants, forbs, and  
15 shrubs suitable for grazing and browsing, and assuming the stand or woodlot does not meet the criteria  
16 for Forest Land. Roads through Grassland, including interstate highways, state highways, other paved  
17 roads, gravel roads, dirt roads, and railroads are excluded from Grassland and are, instead, classified as  
18 Settlements.
- 19 • *Wetlands*: A land-use category that includes land covered or saturated by water for all or part of the year,  
20 in addition to lakes, reservoirs, and rivers. In addition, all coastal wetlands are considered managed  
21 regardless of whether the water level is changed or if they were created by human activity. Certain areas  
22 that fall under the managed Wetlands definition are included in other land uses based on the IPCC  
23 guidance and national circumstances, including lands that are flooded for most or just part of the year in  
24 Croplands (e.g., rice cultivation and cranberry production), Grasslands (e.g., wet meadows dominated by  
25 grass cover) and Forest Lands (e.g., Riparian Forests near waterways). See Section 6.8 Wetlands  
26 Remaining Wetlands for more information.
- 27 • *Settlements*: A land-use category representing developed areas consisting of units equal to or greater  
28 than 0.25 acres (0.1 ha) that includes residential, industrial, commercial, and institutional land;  
29 construction sites; public administrative sites; railroad yards; cemeteries; airports; golf courses; sanitary  
30 landfills; sewage treatment plants; water control structures and spillways; parks within urban and built-up  
31 areas; and highways, railroads, and other transportation facilities. Also included are all tracts that may  
32 meet the definition of Forest Land, and tracts of less than 10 acres (4.05 ha) that may meet the definitions  
33 for Cropland, Grassland, or Other Land but are completely surrounded by urban or built-up land, and so  
34 are included in the Settlements category. Rural transportation corridors located within other land uses  
35 (e.g., Forest Land, Cropland, and Grassland) are also included in Settlements.
- 36 • *Other Land*: A land-use category that includes bare soil, rock, ice, and all land areas that do not fall into  
37 any of the other five land-use categories. Following the guidance provided by the IPCC (2006), C stock  
38 changes and non-CO<sub>2</sub> emissions are not estimated for Other Lands because these areas are largely devoid  
39 of biomass, litter and soil C pools. However, C stock changes and non-CO<sub>2</sub> emissions should be estimated  
40 for *Land Converted to Other Land* during the first 20 years following conversion to account for legacy  
41 effects.

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<sup>19</sup> A set-aside is cropland that has been taken out of active cropping and converted to some type of vegetative cover, including, for example, native grasses or trees, but is still classified as cropland based on national circumstances.

<sup>20</sup> Areas with four or more years of continuous hay production are Cropland because the land is typically more intensively managed with cultivation, greater amounts of inputs, and other practices. Occasional harvest of hay from grasslands typically does not involve cultivation or other intensive management practices.

<sup>21</sup> 2006 IPCC Guidelines do not include provisions to separate desert and tundra as land-use categories.



# Land Use Data Sources: Description and Application to U.S. Land Area Classification

## U.S. Land Use Data Sources

The three main sources for land use data in the United States are the NRI, FIA, and the NLCD (Table 6-6). These data sources are combined to account for land use in all 50 states. FIA and NRI data are used when available for an area because these surveys contain additional information on management, site conditions, crop types, biometric measurements, and other data that are needed to estimate C stock changes, N<sub>2</sub>O, and CH<sub>4</sub> emissions on those lands. If NRI and FIA data are not available for an area, however, then the NLCD product is used to represent the land use.

**Table 6-6: Data Sources Used to Determine Land Use and Land Area for the Conterminous United States, Hawaii, and Alaska**

	NRI	FIA	NLCD
<b>Forest Land</b>			
Conterminous United States			
<i>Non-Federal</i>		•	
<i>Federal</i>		•	
Hawaii			
<i>Non-Federal</i>	•		
<i>Federal</i>			•
Alaska			
<i>Non-Federal</i>		•	
<i>Federal</i>		•	
<b>Croplands, Grasslands, Other Lands, Settlements, and Wetlands</b>			
Conterminous United States			
<i>Non-Federal</i>	•		
<i>Federal</i>			•
Hawaii			
<i>Non-Federal</i>	•		
<i>Federal</i>			•
Alaska			
<i>Non-Federal</i>			•
<i>Federal</i>			•

## National Resources Inventory

For the Inventory, the NRI is the official source of data for land use and land-use change on non-federal lands in the conterminous United States and Hawaii, and is also used to determine the total land base for the conterminous United States and Hawaii. The NRI is a statistically-based survey conducted by the USDA Natural Resources Conservation Service and is designed to assess soil, water, and related environmental resources on non-federal lands. The NRI has a stratified multi-stage sampling design, where primary sample units are stratified on the basis of county and township boundaries defined by the United States Public Land Survey (Nusser and Goebel 1997). Within a primary sample unit (typically a 160 acre [64.75 ha] square quarter-section), three sample points are selected according to a restricted randomization procedure. Each point in the survey is assigned an area weight (expansion factor) based on other known areas and land use information (Nusser and Goebel 1997). The NRI survey utilizes data obtained from remote sensing imagery and site visits in order to provide detailed information on land use and management, particularly for Croplands and Grasslands (i.e., agricultural lands), and is used as the basis to account for C stock changes in agricultural lands (except federal Grasslands). The NRI survey was conducted every 5 years between 1982 and 1997, but shifted to annualized data collection in 1998. The land use between five-year periods from 1982 and 1997 are assumed to be the same for a five-year time period if the land

1 use is the same at the beginning and end of the five-year period (Note: most of the data has the same land use at  
2 the beginning and end of the five-year periods). If the land use had changed during a five-year period, then the  
3 change is assigned at random to one of the five years. For crop histories, years with missing data are estimated  
4 based on the sequence of crops grown during years preceding and succeeding a missing year in the NRI history.  
5 This gap-filling approach allows for development of a full time series of land use data for non-federal lands in the  
6 conterminous United States and Hawaii. This Inventory incorporates data through 2017 from the NRI. The land use  
7 patterns are assumed to remain the same from 2018 through 2021 for this Inventory, but the time series will be  
8 updated when new data are integrated into the land representation analysis.

### 9 *Forest Inventory and Analysis*

10 The FIA program, conducted by the USFS, is the official source of data on forest land area and management data  
11 for the Inventory and is another statistically-based survey for the United States. FIA engages in a hierarchical  
12 system of sampling, with sampling categorized as Phases 1 through 3, in which sample points for phases are  
13 subsets of the previous phase. Phase 1 refers to collection of remotely-sensed data (either aerial photographs or  
14 satellite imagery) primarily to classify land into forest or non-forest and to identify landscape patterns like  
15 fragmentation and urbanization. Phase 2 is the collection of field data on a network of ground plots that enable  
16 classification and summarization of area, tree, and other attributes associated with forest land uses. Phase 3 plots  
17 are a subset of Phase 2 plots where data on indicators of forest health are measured. Data from all three phases  
18 are also used to estimate C stock changes for forest land. Historically, FIA inventory surveys have been conducted  
19 periodically, with all plots in a state being measured at a frequency of every five to 10 years. A new national plot  
20 design and annual sampling design was introduced by the FIA program in 1998 and is now used in all states.  
21 Annualized sampling means that a portion of plots throughout each state is sampled each year, with the goal of  
22 measuring all plots once every five to seven years in the eastern United States and once every ten years in the  
23 western United States. See Annex 3.13 to see the specific survey data available by state. The most recent year of  
24 available data varies state by state (range of most recent data is from 2018 through 2021; see Table A-202 in  
25 Annex 3.13).

### 26 *National Land Cover Dataset*

27 As noted above, while the NRI survey sample covers the conterminous United States and Hawaii, land use data are  
28 only collected on non-federal lands. Gaps exist in the land representation when the NRI and FIA datasets are  
29 combined, such as federal grasslands operated by Bureau of Land Management (BLM), USDA, and National Park  
30 Service, as well as Alaska.<sup>22</sup> The NLCD is used to account for land use on federal lands in the conterminous United  
31 States and Hawaii, in addition to federal and non-federal lands in Alaska with the exception of forest lands in  
32 Alaska.

33 NLCD products provide land-cover for 1992, 2001, 2004, 2006, 2008, 2011, 2013, 2016, and 2019 in the  
34 conterminous United States (Yang et al. 2018; Fry et al. 2011; Homer et al. 2007, 2015), and also for Alaska in 2001,  
35 2011, and 2016 and Hawaii in 2001. A NLCD change product is not available for Hawaii because data are only  
36 available for one year, i.e., 2001. The NLCD products are based primarily on Landsat Thematic Mapper imagery at a  
37 30-meter resolution, and the land cover categories have been aggregated into the 36 IPCC land-use categories for  
38 the conterminous United States and Alaska, and into the six IPCC land-use categories for Hawaii. The land use  
39 patterns are assumed to remain the same after the last year of data in the time series, which is 2001 for Hawaii,  
40 2019 for the conterminous United States and 2016 for Alaska, but the time series will be updated when new data  
41 are released.

42 For the conterminous United States, the aggregated maps of IPCC land-use categories obtained from the NLCD  
43 products were used in combination with the NRI database to represent land use and land-use change for federal

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<sup>22</sup> The NRI survey program does not include U.S. Territories with the exception of non-federal lands in Puerto Rico. The FIA program recently began implementing surveys of forest land in U.S. Territories and those data will be used in the years ahead. Furthermore, NLCD does not include coverage for all U.S. Territories.

1 lands, with the exception of forest lands, which are based on FIA. Specifically, NRI survey locations designated as  
2 federal lands were assigned a land use/land-use change category based on the NLCD maps that had been  
3 aggregated into the IPCC categories. This analysis addressed shifts in land ownership across years between federal  
4 or non-federal classes as represented in the NRI survey (i.e., the ownership is classified for each survey location in  
5 the NRI). The sources of these additional data are discussed in subsequent sections of the report.

## 6 **Managed Land Designation**

7 Lands are designated as managed in the United States based on the definition provided earlier in this section. The  
8 following criteria are used in order to apply the definition in an analysis of managed land:

- 9 • All croplands and settlements are designated as managed so only grassland, forest land, wetlands or other  
10 lands may be designated as unmanaged land;<sup>23</sup>
- 11 • All forest lands with active fire protection are considered managed;
- 12 • All forest lands designated for timber harvests are considered managed;
- 13 • All grasslands are considered managed at a county scale if there are grazing livestock in the county;
- 14 • Other areas are considered managed if accessible based on the proximity to roads and other  
15 transportation corridors, and/or infrastructure;
- 16 • Protected lands maintained for recreational and conservation purposes are considered managed (i.e.,  
17 managed by public and/or private organizations);
- 18 • Lands with active and/or past resource extraction are considered managed; and
- 19 • Lands that were previously managed but subsequently classified as unmanaged, remain in the managed  
20 land base for 20 years following the conversion to account for legacy effects of management on C stocks.

21 The analysis of managed lands, based on the criteria listed above, is conducted using a geographic information  
22 system (Ogle et al. 2018). Lands that are used for crop production or settlements are determined from the NLCD  
23 (Fry et al. 2011; Homer et al. 2007; Homer et al. 2015). Forest lands with active fire management are determined  
24 from maps of federal and state management plans from the National Atlas (U.S. Department of Interior 2005) and  
25 Alaska Interagency Fire Management Council (1998). It is noteworthy that all forest lands in the conterminous  
26 United States have active fire protection, and are therefore designated as managed regardless of accessibility or  
27 other criteria. In addition, forest lands with timber harvests are designated as managed based on county-level  
28 estimates of timber products in the U.S. Forest Service Timber Products Output Reports (U.S. Department of  
29 Agriculture 2012). Timber harvest data lead to additional designation of managed forest land in Alaska. The  
30 designation of grasslands as managed is based on grazing livestock population data at the county scale from the  
31 USDA National Agricultural Statistics Service (U.S. Department of Agriculture 2015). Accessibility is evaluated based  
32 on a 10-km buffer surrounding road and train transportation networks using the ESRI Data and Maps product (ESRI  
33 2008), and a 10-km buffer surrounding settlements using NLCD.

34 Lands maintained for recreational purposes are determined from analysis of the Protected Areas Database (U.S.  
35 Geological Survey 2012). The Protected Areas Database includes lands protected from conversion of natural  
36 habitats to anthropogenic uses and describes the protection status of these lands. Lands are considered managed  
37 that are protected from development if the regulations allow for extractive or recreational uses or suppression of  
38 natural disturbance (e.g., forest lands with active fire protection). Lands that are protected from development and  
39 not accessible to human intervention, including no suppression of disturbances or extraction of resources, are not  
40 included in the managed land base.

41 Multiple data sources are used to determine lands with active resource extraction: Alaska Oil and Gas Information  
42 System (Alaska Oil and Gas Conservation Commission 2009), Alaska Resource Data File (U.S. Geological Survey

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<sup>23</sup> All wetlands are considered managed in this Inventory with the exception of remote areas in Alaska. Distinguishing between managed and unmanaged wetlands in the conterminous United States and Hawaii is difficult due to limited data availability. Wetlands are not characterized within the NRI with information regarding water table management. Regardless, a planned improvement is underway to subdivide managed and unmanaged wetlands.

1 2012), Active Mines and Mineral Processing Plants (U.S. Geological Survey 2005), and *Coal Production and*  
2 *Preparation Report* (U.S. Energy Information Administration 2011). A buffer of 3,300 and 4,000 meters is  
3 established around petroleum extraction and mine locations, respectively, to account for the footprint of  
4 operation and impacts of activities on the surrounding landscape. The buffer size is based on visual analysis of  
5 disturbance to the landscape for approximately 130 petroleum extraction sites and 223 mines. After applying the  
6 criteria identified above, the resulting managed land area is overlaid on the NLCD to estimate the area of managed  
7 land by land use for both federal and non-federal lands in Alaska. The remaining land represents the unmanaged  
8 land base. The resulting spatial product is also used to identify NRI survey locations that are considered managed  
9 and unmanaged for the conterminous United States and Hawaii.<sup>24</sup>

## 10 **Approach for Combining Data Sources**

11 The managed land base in the United States has been classified into the 36 IPCC land use/land-use conversion  
12 categories (Table 6-5) using definitions developed to meet national circumstances, while adhering to IPCC  
13 guidelines (2006).<sup>25</sup> In practice, the land was initially classified into land use subcategories within the NRI, FIA, and  
14 NLCD datasets, and then aggregated into the 36 broad land use and land-use change categories identified in IPCC  
15 (2006).

16 All three datasets provide information on forest land areas in the conterminous United States, but the area data  
17 from FIA serve as the official dataset for forest land. Therefore, another step in the analysis is to address the  
18 inconsistencies in the representation of the forest land among the three databases. NRI and FIA have different  
19 criteria for classifying forest land in addition to different sampling designs, leading to discrepancies in the resulting  
20 estimates of forest land area on non-federal land in the conterminous United States. Similarly, there are  
21 discrepancies between the NLCD and FIA data for defining and classifying forest land on federal lands. Any change  
22 in forest land area in the NRI and NLCD also requires a corresponding change in other land use areas because of  
23 the dependence between the forest land area and the amount of land designated as other land uses, such as the  
24 amount of grassland, cropland, and wetlands (i.e., areas for the individual land uses must sum to the total  
25 managed land area of the country).

26 FIA is the main database for forest statistics, and consequently, the NRI and NLCD are adjusted to achieve  
27 consistency with FIA estimates of forest land in the conterminous United States. Adjustments are made in the  
28 Forest Land Remaining Forest Land, Land Converted to Forest Land, and Forest Land converted to other uses (i.e.,  
29 Grassland, Cropland, Settlements, Other Lands, and Wetlands). All adjustments are made at the state scale to  
30 address the discrepancies in areas associated with forest land and conversions to and from Forest Land. There are  
31 three steps in this process. The first step involves adjustments to Land Converted to Forest Land (Grassland,  
32 Cropland, Settlements, Other Lands, and Wetlands), followed by a second step in which there are adjustments in  
33 Forest Land converted to another land use (i.e., Grassland, Cropland, Settlements, Other Lands, and Wetlands),  
34 and the last step is to adjust Forest Land Remaining Forest Land.

35 In the first step, Land Converted to Forest Land in the NRI and NLCD are adjusted to match the state-level  
36 estimates in the FIA data for non-federal and federal Land Converted to Forest Land, respectively. FIA data have  
37 not provided specific land-use categories that are converted to forest land in the past, but rather a sum of all land  
38 converted to forest land.<sup>26</sup> The NRI and NLCD provide information on specific land-use conversions, such as  
39 Grassland Converted to Forest Land. Therefore, adjustments at the state level to NRI and NLCD are made  
40 proportional to the amount of specific land-use conversions into forest land for the state, prior to any further  
41 adjustments. For example, if 50 percent of the land-use change to forest land is associated with Grassland

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<sup>24</sup> The exception is cropland and settlement areas in the NRI, which are classified as managed, regardless of the managed land base obtained from the spatial analysis described in this section.

<sup>25</sup> Definitions are provided in the previous section.

<sup>26</sup> The FIA program has started to collect data on the specific land uses that are converted to Forest Land, which will be further investigated and incorporated into a future Inventory.

1 Converted to Forest Land in a state according to NRI or NLCD, then half of the discrepancy with FIA data in the area  
2 of Land Converted to Forest Land is addressed by increasing or decreasing the area in Grassland Converted to  
3 Forest Land. Moreover, any increase or decrease in Grassland Converted to Forest Land in NRI or NLCD is  
4 addressed by a corresponding change in the area of Grassland Remaining Grassland, so that the total amount of  
5 managed area is not changed within an individual state.

6 In the second step, state-level areas are adjusted in the NRI and NLCD to address discrepancies with FIA data for  
7 forest land converted to other uses. Similar to Land Converted to Forest Land, FIA have not provided information  
8 on the specific land-use changes in the past,<sup>27</sup> so areas associated with forest land conversion to other land uses in  
9 NRI and NLCD are adjusted proportional to the amount of area in each conversion class in these datasets.

10 In the final step, the area of Forest Land Remaining Forest Land in each state according to the NRI and NLCD is  
11 adjusted to match the FIA estimates for non-federal and federal land, respectively. It is assumed that the majority  
12 of the discrepancy in Forest Land Remaining Forest Land is associated with less-precise estimates of Grassland  
13 Remaining Grassland and Wetlands Remaining Wetlands in the NRI and NLCD. This step also assumes that there  
14 are no changes in the land-use conversion categories. Therefore, corresponding adjustments are made in the area  
15 estimates of Grassland Remaining Grassland and Wetlands Remaining Wetlands from the NRI and NLCD. This  
16 adjustment balances the change in Forest Land Remaining Forest Land area, which ensures no change in the  
17 overall amount of managed land within an individual state. The adjustments are based on the proportion of land  
18 within each of these land-use categories at the state level according to NRI and NLCD (i.e., a higher proportion of  
19 Grassland led to a larger adjustment in Grassland area).

20 The modified NRI data are then aggregated to provide the land use and land-use change data for non-federal lands  
21 in the conterminous United States, and the modified NLCD data are aggregated to provide the land use and land-  
22 use change data for federal lands. Data for all land uses in Hawaii are based on NRI for non-federal lands and on  
23 NLCD for federal lands. Land use data in Alaska are based on the NLCD data after adjusting this dataset to be  
24 consistent with forest land areas in the FIA (Table 6-6). The result is land use and land-use change data for the  
25 conterminous United States, Hawaii, and Alaska.

26 A summary of the details on the approach used to combine data sources for each land use are described below.

- 27 • *Forest Land*: Land representation for both non-federal and federal forest lands in the conterminous  
28 United States and Alaska are based on the FIA. FIA is used as the basis for both forest land area data as  
29 well as to estimate C stocks and fluxes on forest land in the conterminous United States and Alaska. FIA  
30 does have survey plots in Alaska that are used to determine the C stock changes, and the associated area  
31 data for this region are harmonized with NLCD using the methods described above. NRI is used in the  
32 current report to provide forest land areas on non-federal lands in Hawaii, and NLCD is used for federal  
33 lands. FIA data is being collected in Hawaii and U.S. Territories, however there is insufficient data to make  
34 population estimates for this Inventory.
- 35 • *Cropland*: Cropland is classified using the NRI, which covers all non-federal lands within 49 states  
36 (excluding Alaska), including state and local government-owned land as well as tribal lands. NRI is used as  
37 the basis for both cropland area data as well as to estimate soil C stocks and fluxes on cropland. NLCD is  
38 used to determine cropland area and soil C stock changes on federal lands in the conterminous United  
39 States and Hawaii. NLCD is also used to determine croplands in Alaska, but C stock changes are not  
40 estimated for this region in the current Inventory.
- 41 • *Grassland*: Grassland on non-federal lands is classified using the NRI within 49 states (excluding Alaska),  
42 including state and local government-owned land as well as tribal lands. NRI is used as the basis for both  
43 grassland area data as well as to estimate soil C stocks and non-CO<sub>2</sub> greenhouse emissions on grassland.  
44 Grassland area and soil C stock changes are determined using the classification provided in the NLCD for

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<sup>27</sup> The FIA program has started to collect data on specific land uses following conversion from Forest Land, which will be further investigated and incorporated into a future Inventory.

1 federal land within the conterminous United States. NLCD is also used to estimate the areas of federal and  
2 non-federal grasslands in Alaska, and the federal grasslands in Hawaii, but the current Inventory does not  
3 include C stock changes in these areas.

- 4 • *Wetlands*: NRI captures wetlands on non-federal lands within 49 states (excluding Alaska), while the land  
5 representation data for federal wetlands and wetlands in Alaska are based on the NLCD.<sup>28</sup>
- 6 • *Settlements*: NRI captures non-federal settlement area in 49 states (excluding Alaska). If areas of forest  
7 land or grassland under 10 acres (4.05 ha) are contained within settlements or urban areas, they are  
8 classified as settlements (urban) in the NRI database. If these parcels exceed the 10-acre (4.05 ha)  
9 threshold and are grassland, they are classified as grassland by NRI. Regardless of size, a forested area is  
10 classified as non-forest by FIA if it is located within an urban area. Land representation for settlements on  
11 federal lands and Alaska is based on the NLCD.
- 12 • *Other Land*: Any land that is not classified into one of the previous five land-use categories is categorized  
13 as other land using the NRI for non-federal areas in the conterminous United States and Hawaii and using  
14 the NLCD for the federal lands in all regions of the United States and for non-federal lands in Alaska.

15 Some lands can be classified into one or more categories due to multiple uses that meet the criteria of more than  
16 one definition. However, a ranking has been developed for assignment priority in these cases. The ranking process  
17 is from highest to lowest priority based on the following order:

18 *Settlements > Cropland > Forest Land > Grassland > Wetlands > Other Land*

19 Settlements are given the highest assignment priority because they are extremely heterogeneous with a mosaic of  
20 patches that include buildings, infrastructure, and travel corridors, but also open grass areas, forest patches,  
21 riparian areas, and gardens. The latter examples could be classified as grassland, forest land, wetlands, and  
22 cropland, respectively, but when located in close proximity to settlement areas, they tend to be managed in a  
23 unique manner compared to non-settlement areas. Consequently, these areas are assigned to the Settlements  
24 land-use category. Cropland is given the second assignment priority, because cropping practices tend to dominate  
25 management activities on areas used to produce food, forage, or fiber. The consequence of this ranking is that  
26 crops in rotation with pasture are classified as cropland, and land with woody plant cover that is used to produce  
27 crops (e.g., orchards) is classified as cropland, even though these areas may also meet the definitions of grassland  
28 or forest land, respectively. Similarly, wetlands are considered croplands if they are used for crop production, such  
29 as rice or cranberries. Forest land occurs next in the priority assignment because traditional forestry practices tend  
30 to be the focus of the management activity in areas with woody plant cover that are not croplands (e.g., orchards)  
31 or settlements (e.g., housing subdivisions with significant tree cover). Grassland occurs next in the ranking, while  
32 wetlands and then other land complete the list.

33 The assignment priority does not reflect the level of importance for reporting greenhouse gas emissions and  
34 removals on managed land, but is intended to classify all areas into a discrete land-use category. Currently, the  
35 IPCC does not make provisions in the guidelines for assigning land to multiple uses. For example, a wetland is  
36 classified as forest land if the area has sufficient tree cover to meet the stocking and stand size requirements.  
37 Similarly, wetlands are classified as cropland if they are used for crop production, such as rice, or as grassland if  
38 they are composed principally of grasses, grass-like plants (i.e., sedges and rushes), forbs, or shrubs suitable for  
39 grazing and browsing. Regardless of the classification, emissions and removals from these areas should be included  
40 in the Inventory if the land is considered managed, and therefore impacted by anthropogenic activity in  
41 accordance with the guidance provided by the IPCC (2006).

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<sup>28</sup> This analysis does not distinguish between managed and unmanaged wetlands except for remote areas in Alaska, but there is a planned improvement to subdivide managed and unmanaged wetlands for the entire land base.

## 1 QA/QC and Verification

2 The land base obtained from the NRI, FIA, and NLCD was compared to the Topologically Integrated Geographic  
3 Encoding and Referencing (TIGER) survey (U.S. Census Bureau 2010). The United States Census Bureau gathers  
4 data on the population and economy and has a database of land areas for the country. The area estimates of land-  
5 use categories, based on NRI, FIA, and NLCD, are obtained from remote sensing data instead of the land survey  
6 approach used by the United States Census Survey. The Census does not provide a time series of land-use change  
7 data or land management information, which is needed for estimating greenhouse gas emissions from land use  
8 and land-use change. Regardless, the Census does provide sufficient information to provide a quality assurance  
9 check on the Inventory data. There are 46 million more hectares of land in the United States according to the  
10 Census, compared to the total area estimate of 936 million hectares obtained from the combined NRI, FIA, and  
11 NLCD data. Much of this difference is associated with open waters in coastal regions and the Great Lakes, which is  
12 included in the TIGER Survey of the Census, but not included in the land representation using the NRI, FIA and  
13 NLCD. There is only a 0.4 percent difference when open water in coastal regions is removed from the TIGER data.  
14 General QC procedures for data gathering and data documentation also were applied consistent with the QA/QC  
15 and Verification Procedures described in Annex 8.

## 16 Recalculations Discussion

17 Major updates were made in this Inventory associated with the release of new land use and land cover data. The  
18 land representation data were recalculated from the previous Inventory with the following datasets: a) updated  
19 FIA data from 1990 to 2021 for the conterminous United States and Alaska, b) updated NRI data from 1990 to 2017  
20 for the conterminous United States and Hawaii, and c) updated NLCD data for the conterminous United States  
21 from 2001 through 2019 and Alaska from 2001 through 2016. With these recalculations, managed forest land  
22 essentially remained the same as the previous Inventory across the time series from 1990 to 2021 according to the  
23 new FIA data. According to the new NRI and NLCD data, as well as harmonization of these data with the new FIA  
24 data (See section “Approach for Combining Data Sources”), grassland and settlements remained essentially  
25 unchanged from the previous Inventory and cropland, wetlands, and other land decreased by an average of 0.1  
26 percent, 0.9 percent, and 5.8 percent, respectively.

## 27 Planned Improvements

28 Research is underway to harmonize NRI and FIA sampling frames to improve consistency and facilitate estimation  
29 using multi-frame sampling. This includes development of a common land use classification schema between the  
30 two land inventories that can be used in the harmonization process. These steps will allow for population  
31 estimation exclusive of auxiliary information (e.g., NLCD). The multi-frame sample will also serve as reference data  
32 for the development of spatially explicit and spatially continuous map products for each year in the Inventory time  
33 series. Another key planned improvement for the Inventory is to fully incorporate area data by land use type for  
34 U.S. Territories. Fortunately, most of the managed land in the United States is included in the current land use  
35 data, but a complete reporting of all lands in the United States is a key goal for the near future. Preliminary land  
36 use area data for U.S. Territories by land-use category are provided in Box 6-2.

### 37 Box 6-2: Preliminary Estimates of Land Use in U.S. Territories

Several programs have developed land cover maps for U.S. Territories using remote sensing imagery, including the Gap Analysis Program, Caribbean Land Cover project, National Land Cover Dataset (NLCD), USFS Pacific Islands Imagery Project, and the National Oceanic and Atmospheric Administration (NOAA) Coastal Change Analysis Program (C-CAP). Land-cover data can be used to inform a land use classification if there is a time series to evaluate the dominate practices. For example, land that is principally used for timber production with tree cover over most of the time series is classified as forest land even if there are a few years of grass dominance following timber harvest. These products were reviewed and evaluated for use in the national Inventory as a step towards implementing a planned improvement to include U.S. Territories in the land representation for the

Inventory. Recommendations are to use the NOAA C-CAP Regional Land Cover Database for the smaller island Territories (U.S. Virgin Islands, Guam, Northern Marianas Islands, and American Samoa) because this program is ongoing and therefore will be continually updated. The C-CAP product does not cover the entire territory of Puerto Rico, so the NLCD was used for this area. The final selection of land-cover products for these territories is still under discussion. Results are presented below (in hectares). The total land area of all U.S. Territories is 1.05 million hectares, representing 0.1 percent of the total land base for the United States (see Table 6-7).

**Table 6-7: Total Land Area (Hectares) by Land Use Category for U.S. Territories**

	Puerto Rico	U.S. Virgin Islands	Guam	Northern Marianas Islands	American Samoa	Total
Cropland	19,712	138	236	289	389	20,764
Forest Land	404,004	13,107	24,650	25,761	15,440	482,962
Grasslands	299,714	12,148	15,449	13,636	1,830	342,777
Other Land	5,502	1,006	1,141	5,186	298	13,133
Settlements	130,330	7,650	11,146	3,637	1,734	154,496
Wetlands	24,525	4,748	1,633	260	87	31,252
<b>Total</b>	<b>883,788</b>	<b>38,796</b>	<b>54,255</b>	<b>48,769</b>	<b>19,777</b>	<b>1,045,385</b>

Note: Totals may not sum due to independent rounding.

1  
2 Methods in the *2013 Supplement to the 2006 Guidelines for National Greenhouse Gas Inventories: Wetlands* (IPCC  
3 2014) have been applied to estimate emissions and removals from coastal wetlands. Specifically, greenhouse gas  
4 emissions from coastal wetlands have been developed for the Inventory using the NOAA C-CAP land cover product.  
5 The NOAA C-CAP product is not used directly in the land representation analysis, however, so a planned  
6 improvement for future Inventories is to reconcile the coastal wetlands data from the C-CAP product with the  
7 wetlands area data provided in the NRI, FIA and NLCD. Estimates from flooded lands are also included in this  
8 Inventory, but data are not directly used in the land representation analysis at this time; this is a planned  
9 improvement to includes for future inventories. In addition, the current Inventory does not include a classification  
10 of managed and unmanaged wetlands, except for remote areas in Alaska. Consequently, there is a planned  
11 improvement to classify managed and unmanaged wetlands for the conterminous United States and Hawaii, and  
12 more detailed wetlands datasets will be evaluated and integrated into the analysis to meet this objective.

## 13 6.2 Forest Land Remaining Forest Land 14 (CRF Category 4A1)

### 15 Changes in Forest Carbon Stocks (CRF Category 4A1)

#### 16 Delineation of Carbon Pools

17 For estimating carbon (C) stocks or stock change (flux), C in forest ecosystems can be divided into the following five  
18 storage pools (IPCC 2006):

- 19 • Aboveground biomass, which includes all living biomass above the soil including stem, stump, branches,  
20 bark, seeds, and foliage. This category includes live understory.
- 21 • Belowground biomass, which includes all living biomass of coarse living roots greater than 2 millimeters  
22 (mm) diameter.



- 1 • Dead wood, which includes all non-living woody biomass either standing, lying on the ground (but not  
2 including litter), or in the soil.
  - 3 • Litter, which includes all duff, humus, and fine woody debris above the mineral soil as well as woody  
4 fragments with diameters of up to 7.5 cm.
  - 5 • Soil organic C (SOC), including all organic material in soil to a depth of 1 meter but excluding the coarse  
6 roots of the belowground pools.
- 7 In addition, there are two harvested wood pools included when estimating C flux:
- 8 • Harvested wood products (HWP) in use.
  - 9 • HWP in solid waste disposal sites (SWDS).

## 10 **Forest Carbon Cycle**

11 Carbon is continuously cycled among the previously defined C storage pools and the atmosphere as a result of  
12 biogeochemical processes in forests (e.g., photosynthesis, respiration, decomposition, and disturbances such as  
13 fires or pest outbreaks) and anthropogenic activities (e.g., harvesting, thinning, and replanting). As trees  
14 photosynthesize and grow, C is removed from the atmosphere and stored in living tree biomass. As trees die and  
15 otherwise deposit litter and debris on the forest floor, C is released to the atmosphere and is also transferred to  
16 the litter, dead wood, and soil pools by organisms that facilitate decomposition.

17 The net change in forest C is not equivalent to the net flux between forests and the atmosphere because timber  
18 harvests do not cause an immediate flux of all harvested biomass C to the atmosphere. Instead, harvesting  
19 transfers a portion of the C stored in wood to a "product pool." Once in a product pool, the C is emitted over time  
20 as CO<sub>2</sub> in the case of decomposition and as CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, CO, and NO<sub>x</sub> when the wood product combusts. The rate  
21 of emission varies considerably among different product pools. For example, if timber is harvested to produce  
22 energy, combustion releases C immediately, and these emissions are reported for information purposes in the  
23 Energy sector while the harvest (i.e., the associated reduction in forest C stocks) and subsequent combustion are  
24 implicitly estimated in the Land Use, Land-Use Change, and Forestry (LULUCF) sector (i.e., the portion of harvested  
25 timber combusted to produce energy does not enter the HWP pools). Conversely, if timber is harvested and used  
26 as lumber in a house, it may be many decades or even centuries before the lumber decays and C is released to the  
27 atmosphere. If wood products are disposed of in SWDS, the C contained in the wood may be released many years  
28 or decades later or may be stored almost permanently in the SWDS. These latter fluxes, with the exception of CH<sub>4</sub>  
29 from wood in SWDS, which is included in the Waste sector, are also estimated in the LULUCF sector.

## 30 **Net Change in Carbon Stocks within Forest Land of the United States**

31 This section describes the general method for quantifying the net changes in C stocks in the five C storage pools  
32 and two harvested wood pools (a more detailed description of the methods and data is provided in Annex 3.13).  
33 The underlying methodology for determining C stock and stock change relies on data from the national forest  
34 inventory (NFI) conducted by the Forest Inventory and Analysis (FIA) program within the USDA Forest Service. The  
35 annual NFI is implemented across all U.S. forest lands within the conterminous 48 states and Alaska and  
36 inventories have been initiated in Hawaii and some of the U.S. Territories. The methods for estimation and  
37 monitoring are continuously improved and these improvements are reflected in the C estimates (Domke et al.  
38 2022). First, the total C stocks are estimated for each C storage pool at the individual NFI plot, next the annual net  
39 changes in C stocks for each pool at the population are estimated, and then the changes in stocks are summed for  
40 all pools to estimate total net flux at the population level (e.g., U.S. state). Changes in C stocks from disturbances,  
41 such natural disturbances (e.g., wildfires, insects/disease, wind) or harvesting, are included in the net changes (See  
42 Box 6-3 for more information). For instance, an inventory conducted after a fire implicitly includes only the C  
43 stocks remaining on the NFI plot. The IPCC (2006) recommends estimating changes in C stocks from forest lands  
44 according to several land-use types and conversions, specifically Forest Land Remaining Forest Land and Land

1 Converted to Forest Land, with the former being lands that have been forest lands for 20 years or longer and the  
2 latter being lands (i.e., croplands, grassland, wetlands, settlements and other lands) that have been converted to  
3 forest lands for less than 20 years. The methods and data used to delineate forest C stock changes by these two  
4 categories continue to improve and in order to facilitate this delineation, a combination of modeling approaches  
5 for C estimation were used in this Inventory.

## 6 **Forest Area in the United States**

7 Approximately 32 percent of the U.S. land area is estimated to be forested based on the U.S. definition of forest  
8 land as provided in Section 6.1 Representation of the U.S. Land Base. All annual NFI plots included in the public FIA  
9 database as of August 2022 (which includes data collected through 2021 – note that the ongoing COVID 19  
10 pandemic has resulted in delays in data collection in many states) were used in this Inventory. The NFIs from the  
11 conterminous United States (USDA Forest Service 2022a, 2022b) and Alaska comprise an estimated 280 million  
12 hectares of forest land that are considered managed and are included in the current Inventory. Some differences  
13 also exist in forest land area estimates from the latest update to the Resources Planning Act (RPA) Assessment  
14 (Oswalt et al. 2019) and the forest land area estimates included in this report, which are based on the annual NFI  
15 data through 2021 for all states (USDA Forest Service 2022b; Nelson et al. 2020). Sufficient annual NFI data are not  
16 yet available for Hawaii and the U.S. Territories to include them in this section of the Inventory but estimates of  
17 these areas are included in Oswalt et al. (2019). While Hawaii and U.S. Territories have relatively small areas of  
18 forest land and thus may not substantially influence the overall C budget for forest land, these regions will be  
19 added to the forest C estimates as sufficient data become available. Since Hawaii was not included in this section  
20 of the current Inventory, this results in small differences in the area estimates reported in this section and those  
21 reported in Section 6.1 Representation of the U.S. Land Base. Also, it is not possible to separate Forest Land  
22 Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method  
23 used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method  
24 used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1).<sup>29</sup> Agroforestry systems that meet the  
25 definition of forest land are also not currently included in the current Inventory since they are not explicitly  
26 inventoried (i.e., classified as an agroforestry system) by either the FIA program or the Natural Resources Inventory  
27 (NRI)<sup>30</sup> of the USDA Natural Resources Conservation Service (Perry et al. 2005).

28 An estimated 67 percent (208 million hectares) of U.S. forests in Alaska, Hawaii and the conterminous United  
29 States are classified as timberland, meaning they meet minimum levels of productivity and have not been removed  
30 from production. Approximately ten percent of Alaska forest land and 73 percent of forest land in the  
31 conterminous United States are classified as timberland. Of the remaining non-timberland, nearly 33 million  
32 hectares are reserved forest lands (withdrawn by law from management for production of wood products) and 102  
33 million hectares are lower productivity forest lands (Oswalt et al. 2019). Historically, the timberlands in the  
34 conterminous 48 states have been more frequently or intensively surveyed than the forest lands removed from  
35 production because they do not meet the minimum level of productivity.

36 Since the late 1980s, gross forest land area in Alaska, Hawaii, and the conterminous United States has increased by  
37 about 13 million hectares (Oswalt et al. 2019). The southern region of the United States contains the most forest  
38 land (Figure 6-4). A substantial portion of this accrued forest land is from the conversion of abandoned croplands  
39 to forest (e.g., Woodall et al. 2015b). Estimated forest land area in the conterminous United States and Alaska  
40 represented in this Inventory is stable, but there are substantial conversions as described in Section 6.1  
41 Representation of the U.S. Land Base and each of the land conversion sections for each land-use category (e.g.,  
42 Land Converted to Cropland, Land Converted to Grassland). The major influences on the net C flux from forest land

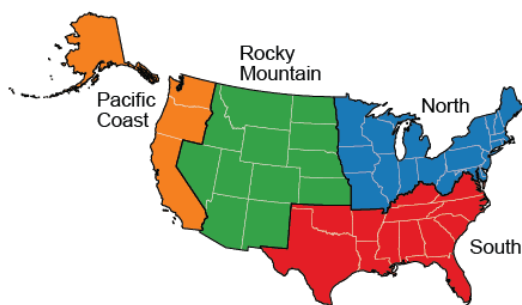
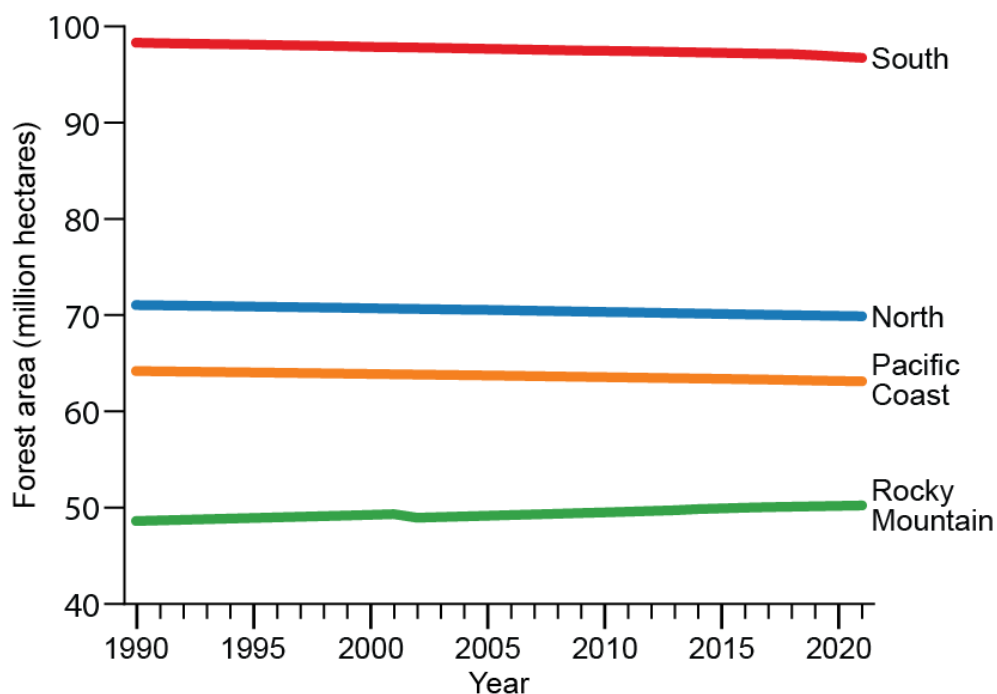
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<sup>29</sup> See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.2 Forest Land Remaining Forest Land.

<sup>30</sup> The Natural Resources Inventory of the USDA Natural Resources Conservation Service is described in Section 6.1 Representation of the U.S. Land Base.

1 across the 1990 to 2021 time series are management activities, natural disturbance, particularly wildfire, and the  
 2 ongoing impacts of current and previous land-use conversions. These activities affect the net flux of C by altering  
 3 the amount of C stored in forest ecosystems and also the area converted to forest land. For example, intensified  
 4 management of forests that leads to an increased rate of growth of aboveground biomass (and possible changes to  
 5 the other C storage pools) may increase the eventual biomass density of the forest, thereby increasing the uptake  
 6 and storage of C in the aboveground biomass pool.<sup>31</sup> Though harvesting forests removes much of the C in  
 7 aboveground biomass (and possibly changes C density in other pools), on average, the estimated volume of annual  
 8 net growth in aboveground tree biomass in the conterminous United States is essentially twice the volume of  
 9 annual removals on timberlands (Oswalt et al. 2019). The net effects of forest management and changes in Forest  
 10 Land Remaining Forest Land are captured in the estimates of C stocks and fluxes presented in this section.

11 **Figure 6-4: Changes in Forest Area by Region for Forest Land Remaining Forest Land in the**  
 12 **conterminous United States and Alaska (1990-2021)**



13

<sup>31</sup> The term “biomass density” refers to the mass of live vegetation per unit area. It is usually measured on a dry-weight basis. A carbon fraction of 0.5 is used to convert dry biomass to C (USDA Forest Service 2022d).

1 *Forest Carbon Stocks and Stock Change*

2 In the Forest Land Remaining Forest Land category, forest management practices, the regeneration of forest areas  
 3 cleared more than 20 years prior to the reporting year, and timber harvesting have resulted in net removal (i.e.,  
 4 net sequestration or accumulation) of C each year from 1990 through 2021. The rate of forest clearing in the 17<sup>th</sup>  
 5 century following European settlement had slowed by the late 19<sup>th</sup> century. Through the later part of the 20<sup>th</sup>  
 6 century, many areas of previously forested land in the United States were allowed to revert to forests or were  
 7 actively reforested. The impacts of these land-use changes still influence C fluxes from these forest lands. More  
 8 recently, the 1970s and 1980s saw a resurgence of federally sponsored forest management programs (e.g., the  
 9 Forestry Incentive Program) and soil conservation programs (e.g., the Conservation Reserve Program), which have  
 10 focused on tree planting, improving timber management activities, combating soil erosion, and converting  
 11 marginal cropland to forests. In addition to forest regeneration and management, forest harvests and natural  
 12 disturbance have also affected net C fluxes. Because most of the timber harvested from U.S. forest land is used in  
 13 wood products, and many discarded wood products are disposed of in SWDS rather than by incineration,  
 14 significant quantities of C in harvested wood are transferred to these long-term storage pools rather than being  
 15 released rapidly to the atmosphere (Skog 2008). By maintaining current harvesting practices and regeneration  
 16 activities on forested lands, along with continued input of harvested products into the HWP pool, C stocks in the  
 17 Forest Land Remaining Forest Land category are likely to continue to increase in the near term, though possibly at  
 18 a lower rate. Changes in C stocks in the forest ecosystem and harvested wood pools associated with Forest Land  
 19 Remaining Forest Land were estimated to result in net removal of 695.4 MMT CO<sub>2</sub> Eq. (189.6 MMT C) in 2021  
 20 (Table 6-8, Table 6-9, Table A-210, Table A-211 and state-level estimates in Table A-214). The estimated net uptake  
 21 of C in the Forest Ecosystem was 592.5 MMT CO<sub>2</sub> Eq. (161.6 MMT C) in 2021 (Table 6-8 and Table 6-9). The  
 22 majority of this uptake in 2021, 409.1 MMT CO<sub>2</sub> Eq. (111.6 MMT C), was from aboveground biomass. Overall,  
 23 estimates of average C density in forest ecosystems (including all pools) increased consistently over the time series  
 24 with an average of approximately 192 MT C ha<sup>-1</sup> from 1990 to 2021. This was calculated by dividing the Forest Land  
 25 area estimates by Forest Ecosystem C Stock estimates for every year (see Table 6-10 and Table A-212) and then  
 26 calculating the mean across the entire time series, i.e., 1990 through 2021. The increasing forest ecosystem C  
 27 density, when combined with relatively stable forest area, results in net C accumulation over time. Aboveground  
 28 live biomass is responsible for the majority of net C uptake among all forest ecosystem pools (Figure 6-5). These  
 29 increases may be influenced in some regions by reductions in C density or forest land area due to natural  
 30 disturbances (e.g., wildfire, weather, insects/disease), particularly in Alaska. The inclusion of all managed forest  
 31 land in Alaska has increased the interannual variability in carbon stock change estimates over the time series, and  
 32 much of this variability can be attributed to severe fire years (e.g., 2019). The distribution of carbon in forest  
 33 ecosystems in Alaska is substantially different from forests in the conterminous United States. In Alaska, more than  
 34 11 percent of forest ecosystem C is stored in the litter carbon pool whereas in the conterminous United States,  
 35 only 7 percent of the total ecosystem C stocks are in the litter pool. Much of the litter material in forest  
 36 ecosystems is combusted during fire (IPCC 2006) leading to substantial C losses in this pool during severe fire years  
 37 (Figure 6-5, Table A-217).

38 The estimated net uptake of C in HWP was 102.8 MMT CO<sub>2</sub> Eq. (28.0 MMT C) in 2021 (Table 6-8, Table 6-9, Table  
 39 A-210, and Table A-211). The majority of this uptake, 65.1 MMT CO<sub>2</sub> Eq. (17.7 MMT C), was from wood and paper  
 40 in SWDS. Products in use accounted for an estimated 37.8 MMT CO<sub>2</sub> Eq. (10.3 MMT C) in 2021.

41 **Table 6-8: Net CO<sub>2</sub> Flux from Forest Ecosystem Pools in Forest Land Remaining Forest Land**  
 42 **and Harvested Wood Pools (MMT CO<sub>2</sub> Eq.)**

Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Forest Ecosystem</b>	<b>(697.7)</b>	<b>(608.2)</b>	<b>(610.4)</b>	<b>(610.5)</b>	<b>(559.8)</b>	<b>(610.8)</b>	<b>(592.5)</b>
Aboveground Biomass	(499.1)	(443.8)	(425.9)	(428.0)	(410.8)	(419.0)	(409.1)
Belowground Biomass	(101.8)	(89.8)	(84.5)	(85.1)	(81.6)	(83.1)	(81.1)
Dead Wood	(100.8)	(97.9)	(100.0)	(102.7)	(98.2)	(102.3)	(101.1)
Litter	0.9	22.5	(2.0)	1.6	30.4	(1.9)	1.9
Soil (Mineral)	3.2	0.5	(0.1)	0.6	0.7	(5.4)	(4.0)

Soil (Organic)	(0.8)	(0.4)	1.4	2.3	(1.1)	0.1	0.1
Drained Organic Soil <sup>a</sup>	0.8	0.8	0.8	0.8	0.8	0.8	0.8
<b>Harvested Wood</b>	<b>(123.8)</b>	<b>(106.0)</b>	<b>(100.3)</b>	<b>(94.0)</b>	<b>(89.6)</b>	<b>(96.6)</b>	<b>(102.8)</b>
Products in Use	(54.8)	(42.6)	(34.9)	(28.9)	(25.1)	(32.0)	(37.8)
SWDS	(69.0)	(63.4)	(65.3)	(65.1)	(64.5)	(64.6)	(65.1)
<b>Total Net Flux</b>	<b>(821.4)</b>	<b>(714.2)</b>	<b>(710.7)</b>	<b>(704.4)</b>	<b>(649.3)</b>	<b>(707.4)</b>	<b>(695.4)</b>

<sup>a</sup> These estimates include C stock changes from drained organic soils from both Forest Land Remaining Forest Land and Land Converted to Forest Land. See the section below on CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O Emissions from Drained Organic Soils for the methodology used to estimate the CO<sub>2</sub> emissions from drained organic soils. Also, Table 6-20 and 6-21 for non-CO<sub>2</sub> emissions from drainage of organic soils from both Forest Land Remaining Forest Land and Land Converted to Forest Land.

Notes: Forest ecosystem C stock changes do not include forest stocks in U.S. Territories because managed forest land for U.S. Territories is not currently included in Section 6.1 Representation of the U.S. Land Base. The forest ecosystem C stock changes do not include Hawaii because there is not sufficient NFI data to support inclusion at this time. However, managed forest land area for Hawaii is included in Section 6.1 Representation of the U.S. Land Base, so there are small differences in the forest land area estimates in this Section and Section 6.1. Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.2 Forest Land Remaining Forest Land. The forest ecosystem C stock changes do not include trees on non-forest land (e.g., agroforestry systems and settlement areas—see Section 6.10 Settlements Remaining Settlements for estimates of C stock change from settlement trees). Forest ecosystem C stocks on managed forest land in Alaska were compiled using the gain-loss method as described in Annex 3.13. Parentheses indicate net C uptake (i.e., a net removal of C from the atmosphere). Total net flux is an estimate of the actual net flux between the total forest C pool and the atmosphere. Harvested wood estimates are based on results from annual surveys and models. Totals may not sum due to independent rounding.

1 **Table 6-9: Net C Flux from Forest Ecosystem Pools in Forest Land Remaining Forest Land**  
2 **and Harvested Wood Pools (MMT C)**

Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Forest Ecosystem</b>	<b>(190.3)</b>	<b>(165.9)</b>	<b>(166.5)</b>	<b>(166.5)</b>	<b>(152.7)</b>	<b>(166.6)</b>	<b>(161.6)</b>
Aboveground Biomass	(136.1)	(121.0)	(116.1)	(116.7)	(112.0)	(114.3)	(111.6)
Belowground Biomass	(27.8)	(24.5)	(23.0)	(23.2)	(22.3)	(22.7)	(22.1)
Dead Wood	(27.5)	(26.7)	(27.3)	(28.0)	(26.8)	(27.9)	(27.6)
Litter	0.2	6.1	(0.6)	0.4	8.3	(0.5)	0.5
Soil (Mineral)	0.9	0.1	(0.0)	0.2	0.2	(1.5)	(1.1)
Soil (Organic)	(0.2)	(0.1)	0.4	0.6	(0.3)	0.0	0.0
Drained Organic Soil <sup>a</sup>	0.21	0.2	0.2	0.2	0.2	0.2	0.2
<b>Harvested Wood</b>	<b>(33.8)</b>	<b>(28.9)</b>	<b>(27.3)</b>	<b>(25.6)</b>	<b>(24.4)</b>	<b>(26.3)</b>	<b>(28.0)</b>
Products in Use	(14.9)	(11.6)	(9.5)	(7.9)	(6.8)	(8.7)	(10.3)
SWDS	(18.8)	(17.3)	(17.8)	(17.8)	(17.6)	(17.6)	(17.7)
<b>Total Net Flux</b>	<b>(224.0)</b>	<b>(194.8)</b>	<b>(193.8)</b>	<b>(192.1)</b>	<b>(177.1)</b>	<b>(192.9)</b>	<b>(189.6)</b>

<sup>a</sup> These estimates include carbon stock changes from drained organic soils from both Forest Land Remaining Forest Land and Land Converted to Forest Land. See the section below on CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O Emissions from Drained Organic Soils for the methodology used to estimate the C flux from drained organic soils. Also, see Table 6-20 and 6-21 for greenhouse gas emissions from non-CO<sub>2</sub> gases changes from drainage of organic soils from Forest Land Remaining Forest Land and Land Converted to Forest Land.

Notes: Forest ecosystem C stock changes do not include forest stocks in U.S. Territories because managed forest land for U.S. Territories is not currently included in Section 6.1 Representation of the U.S. Land Base. The forest ecosystem C stock changes do not include Hawaii because there is not sufficient NFI data to support inclusion at this time. However, managed forest land area for Hawaii is included in 6.1 Representation of the U.S. Land Base so there are small differences in the forest land area estimates in this Section and Section 6.1.

Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.2 Forest Land Remaining Forest Land. The forest ecosystem C stock changes do not include trees on non-forest land (e.g., agroforestry systems and settlement areas—see Section 6.10 Settlements Remaining Settlements for estimates of C stock change from settlement trees). Forest ecosystem C stocks on managed forest land in Alaska were compiled using the gain-loss method as described in Annex 3.13. Parentheses indicate net C uptake (i.e., a net removal of C from the atmosphere). Total net flux is an estimate of the actual net flux between the total forest C pool and the atmosphere. Harvested wood estimates are based on results from annual surveys and models. Totals may not sum due to independent rounding.

1 Stock estimates for forest ecosystem and harvested wood C storage pools are presented in Table 6-10. Together,  
 2 the estimated aboveground biomass and soil C pools account for a large proportion of total forest ecosystem C  
 3 stocks. Forest land area estimates are also provided in Table 6-10, but these do not precisely match those in  
 4 Section 6.1 Representation of the U.S. Land Base for Forest Land Remaining Forest Land. This is because the forest  
 5 land area estimates in Table 6-10 only include managed forest land in the conterminous U.S. and Alaska while the  
 6 area estimates in Section 6.1 also include all managed forest land in Hawaii. Differences also exist because forest  
 7 land area estimates are based on the latest NFI data through 2021, and woodland areas previously included as  
 8 forest land have been separated and included in the Grassland categories in this Inventory.<sup>32</sup>

9 **Table 6-10: Forest Area (1,000 ha) and C Stocks in Forest Land Remaining Forest Land and**  
 10 **Harvested Wood Pools (MMT C)**

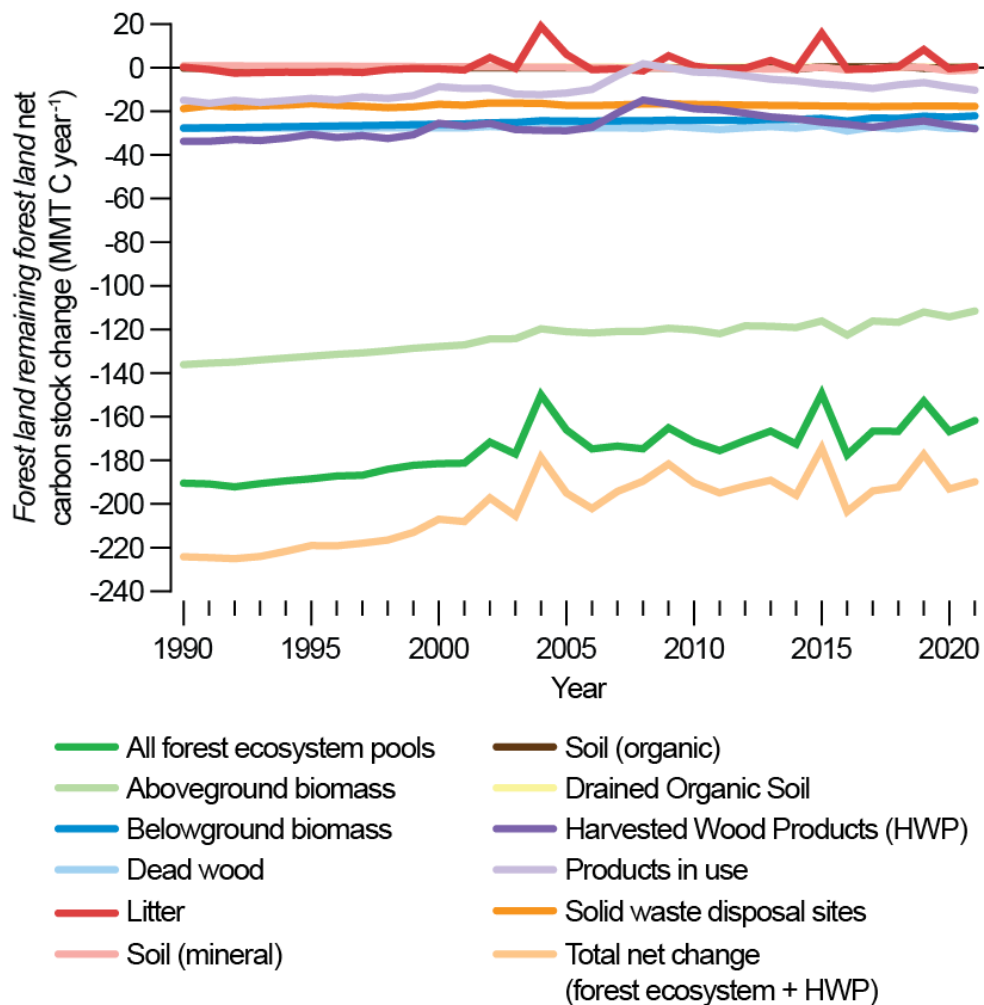
	1990	2005	2018	2019	2020	2021	2022
<b>Forest Area (1,000 ha)</b>	<b>282,150</b>	<b>281,096</b>	<b>280,467</b>	<b>280,299</b>	<b>280,120</b>	<b>279,962</b>	<b>279,800</b>
<b>Carbon Pools (MMT C)</b>							
<b>Forest Ecosystem</b>	<b>51,354</b>	<b>54,098</b>	<b>56,303</b>	<b>56,470</b>	<b>56,623</b>	<b>56,790</b>	<b>56,951</b>
Aboveground Biomass	11,899	13,849	15,406	15,523	15,635	15,749	15,861
Belowground Biomass	2,344	2,740	3,052	3,076	3,098	3,121	3,143
Dead Wood	1,948	2,359	2,717	2,745	2,771	2,799	2,827
Litter	3,929	3,922	3,896	3,896	3,888	3,888	3,888
Soil (Mineral)	25,920	25,911	25,914	25,914	25,914	25,915	25,916
Soil (Organic)	5,315	5,318	5,318	5,317	5,317	5,317	5,317
<b>Harvested Wood</b>	<b>1,895</b>	<b>2,353</b>	<b>2,645</b>	<b>2,671</b>	<b>2,695</b>	<b>2,721</b>	<b>2,749</b>
Products in Use	1,249	1,447	1,516	1,523	1,530	1,539	1,549
SWDS	646	906	1,129	1,147	1,165	1,182	1,200
<b>Total C Stock</b>	<b>53,249</b>	<b>56,451</b>	<b>58,948</b>	<b>59,141</b>	<b>59,318</b>	<b>59,511</b>	<b>59,701</b>

Notes: Forest area and C stock estimates include all Forest Land Remaining Forest Land in the conterminous 48 states and Alaska. Forest ecosystem C stocks do not include forest stocks in U.S. Territories because managed forest land for U.S. Territories is not currently included in Section 6.1 Representation of the U.S. Land Base. The forest ecosystem C stocks do not include Hawaii because there is not sufficient NFI data to support inclusion at this time. However, managed forest land area for Hawaii is included in Section 6.1 Representation of the U.S. Land Base so there are small differences in the forest land area estimates in this Section and Section 6.1. Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.2 Forest Land Remaining Forest Land. The forest ecosystem C stocks do not include trees on non-forest land (e.g., agroforestry systems and settlement areas—see Section 6.10 Settlements Remaining Settlements for estimates of C stock change from settlement trees). Forest ecosystem C stocks on managed forest land in Alaska were compiled using the gain-loss method as described in Annex 3.13.

<sup>32</sup> See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.2 Forest Land Remaining Forest Land.

Harvested wood product stocks include exports, even if the logs are processed in other countries, and exclude imports. Harvested wood estimates are based on results from annual surveys and models. Totals may not sum due to independent rounding. Population estimates compiled using FIA data are assumed to represent stocks as of January 1 of the inventory year. Flux is the net annual change in stock. Thus, an estimate of flux for 2021 requires estimates of C stocks for 2021 and 2022.

1 **Figure 6-5: Estimated Net Annual Changes in C Stocks for All C Pools in Forest Land**  
 2 **Remaining Forest Land in the Conterminous United States and Alaska (1990-2021)**



3  
4  
5

**Box 6-3: CO<sub>2</sub> Emissions from Forest Fires**

As stated previously, the forest inventory approach implicitly includes all C losses due to disturbances such as forest fires, because only C remaining in the forest is estimated. Net C stock change is estimated by subtracting consecutive C stock estimates. A forest fire disturbance removes C from the forest. The inventory data from the NFI on which net C stock estimates are based already reflect this C loss. Therefore, estimates of net annual changes in C stocks for U.S. forest land already includes CO<sub>2</sub> emissions from forest fires occurring in the conterminous states as well as the portion of managed forest lands in Alaska. Because it is of interest to quantify the magnitude of CO<sub>2</sub> emissions from fire disturbance, these separate estimates are highlighted here. Note that these CO<sub>2</sub> estimates are based on the same methodology as applied for the non-CO<sub>2</sub> greenhouse gas

emissions from forest fires that are also quantified in a separate section below as required by IPCC Guidance and UNFCCC reporting requirements.

Emissions estimates are developed using IPCC (2006) methodology and based on U.S.-specific data and models to quantify the primary fire-specific components: area burned; availability and combustibility of fuel; fire severity (or consumption); and CO<sub>2</sub> and non-CO<sub>2</sub> emissions. Estimated CO<sub>2</sub> emissions for fires on forest lands in the conterminous U.S. and in Alaska for 2021 are 203 MMT CO<sub>2</sub> per year (Table 6-11). This estimate is an embedded component of the net annual forest C stock change estimates provided previously (i.e., Table 6-9), but this separate approach to estimating CO<sub>2</sub> emissions is necessary in order to associate these emissions with fire. See the discussion in Annex 3.13 for more details on this methodology. Note that in Alaska, a portion of the forest lands are considered unmanaged, therefore the estimates for Alaska provided in Table 6-11 include only managed forest land within the state, which is consistent with C stock change estimates provided above.

**Table 6-11: Estimates of CO<sub>2</sub> (MMT per Year) Emissions<sup>a</sup> from Forest Fires in the Conterminous 48 States and Alaska**

Year	CO <sub>2</sub> emitted from fires on forest land in the Conterminous 48 States (MMT yr <sup>-1</sup> )	CO <sub>2</sub> emitted from fires on forest land in Alaska (MM Tyr <sup>-1</sup> )	Total CO <sub>2</sub> emitted (MMTyr <sup>-1</sup> )
1990	13.6	38.6	52.2
2005	31.1	137.4	168.4
2017	119.0	4.5	123.5
2018	87.4	7.6	95.0
2019	22.3	77.9	100.2
2020	181.2	1.6	182.8
2021	196.6	5.9	202.6

<sup>a</sup> These emissions have already been included in the estimates of net annual changes in C stocks, which include the amount sequestered minus any emissions, including the assumption that combusted wood may continue to decay through time.

Note: Totals may not sum due to independent rounding.

1

## 2 Methodology and Time-Series Consistency

3 The methodology described herein is consistent with the 2006 IPCC Guidelines. Forest ecosystem C stocks and net  
 4 annual C stock change were determined according to the stock-difference method for the conterminous United  
 5 States, which involved applying C estimation factors to annual forest inventories across time to obtain C stocks and  
 6 then subtracting between the years to obtain the stock change. The gain-loss method was used to estimate C  
 7 stocks and net annual C stock changes in Alaska. The approaches for estimating carbon stocks and stock changes  
 8 on Forest Land Remaining Forest Land are described in Annex 3.13. All annual NFI plots available in the public FIA  
 9 database (USDA Forest Service 2022b) were used in the current Inventory. Additionally, NFI plots established and  
 10 measured in 2014 as part of a pilot inventory in interior Alaska were also included in this Inventory as were plots  
 11 established and measured since 2015 as part of the operational NFI in interior Alaska. Some of the data from the  
 12 pilot and operational NFI in interior Alaska are not yet available in the public FIA database. Only plots which meet  
 13 the definition of forest land (see Section 6.1 Representation of the U.S. Land Base) are measured in the NFI; as part  
 14 of the pre-field process in the FIA program, all plots or portions of plots (i.e., conditions) are classified into a land-  
 15 use category. This land use information on each forest and non-forest plot was used to estimate forest land area  
 16 and land converted to and from forest land over the time series. The estimates in this section of the report are  
 17 based on land use information from the NFI and they may differ from the other land-use categories where area  
 18 estimates reported in the Land Representation were not updated (see Section 6.1 Representation of the U.S. Land  
 19 Base). Further, Hawaii was not included in this section of the current Inventory, which also contributes to small



1 differences in the area estimates reported in this section and those reported in Section 6.1 Representation of the  
2 U.S. Land Base (See Annex 3.13 for details on differences).

3 To implement the stock-difference approach, forest land conditions in the conterminous United States were  
4 observed on NFI plots at time  $t_0$  and at a subsequent time  $t_1=t_0+s$ , where  $s$  is the time step (time measured in  
5 years) and is indexed by discrete (e.g., 5 year) forest age classes. The inventory from  $t_0$  to  $t_1$  was then projected to  
6 2021. This projection approach requires simulating changes in the age-class distribution resulting from forest aging  
7 and disturbance events and then applying C density estimates for each age class to obtain population estimates for  
8 the nation. In cases where there are  $t_1$  estimates in the last year (e.g., 2021) of the NFI no projections are  
9 necessary for those plots. To implement the gain-loss approach in Alaska, forest land conditions in Alaska were  
10 observed on NFI plots from 2004 to 2021. Plot-level data from the NFI were harmonized with auxiliary data  
11 describing climate, forest structure, disturbance, and other site-specific conditions to develop non-parametric  
12 models to predict carbon stocks by forest ecosystem carbon pool as well as fluxes over the entire inventory period,  
13 1990 to 2021. First, carbon stocks for each forest ecosystem carbon pool were predicted for the year 2016 for all  
14 base intensity NFI plot locations (each plot representing approximately 2,403 ha) in coastal southeast and  
15 southcentral Alaska and for 1/5 intensity plots in interior Alaska (each plot representing 12,015 ha). Next, the  
16 chronosequence of sampled NFI plots and auxiliary information (e.g., climate, forest structure, disturbance, and  
17 other site-specific data) were used to predict annual gains and losses for each forest ecosystem carbon pool. The  
18 annual gains and losses were then combined with the stock estimates and disturbance information to compile  
19 plot- and population-level carbon stocks and fluxes for each year from 1990 to 2021. To estimate C stock changes  
20 in harvested wood, estimates were based on factors such as the allocation of wood to various primary and end-use  
21 products as well as half-life (the time at which half of the amount placed in use will have been discarded from use)  
22 and expected disposition (e.g., product pool, SWDS, combustion). An overview of the different methodologies and  
23 data sources used to estimate the C in forest ecosystems within the conterminous states and Alaska and harvested  
24 wood products for all of the United States is provided below. See Annex 3.13 for details and additional information  
25 related to the methods and data.

26 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
27 through 2021. Details on the emission/removal trends and methodologies through time are described in more  
28 detail in the Introduction and Methodology sections.

### 29 *Forest Ecosystem Carbon from Forest Inventory*

30 The United States applied the compilation approach described in Woodall et al. (2015a) for the current Inventory  
31 which removes the older periodic inventory data, which may be inconsistent with annual inventory data, from the  
32 estimation procedures. This approach enables the delineation of forest C accumulation by forest growth, land-use  
33 change, and natural disturbances such as fire. Development will continue on a system that attributes changes in  
34 forest C to disturbances and delineates Land Converted to Forest Land from Forest Land Remaining Forest Land. As  
35 part of this development, C pool science will continue and will be expanded to improve the estimates of C stock  
36 transfers from forest land to other land uses and include techniques to better identify land-use change (see the  
37 Planned Improvements section below).

38 Unfortunately, the annual FIA inventory system does not extend into the 1970s, necessitating the adoption of a  
39 system to estimate carbon stocks prior to the establishment of the annual forest inventory. The estimation of  
40 carbon stocks prior to the annual national forest inventory consisted of a modeling framework comprised of a  
41 forest dynamics module (age transition matrices) and a land use dynamics module (land area transition matrices).  
42 The forest dynamics module assesses forest uptake, forest aging, and disturbance effects (e.g., disturbances such  
43 as wind, fire, and floods identified by foresters on inventory plots). The land use dynamics module assesses C stock  
44 transfers associated with afforestation and deforestation (Woodall et al. 2015b). Both modules are developed  
45 from land use area statistics and C stock change or C stock transfer by age class. The required inputs are estimated  
46 from more than 625,000 forest and non-forest observations recorded in the FIA national database (U.S. Forest  
47 Service 2022a, b, c). Model predictions prior to the annual inventory period are constructed from the estimation  
48 system using the annual estimates. The estimation system is driven by the annual forest inventory system

1 conducted by the FIA program (Frayer and Furnival 1999; Bechtold and Patterson 2005; USDA Forest Service  
2 2022d, 2022a). The FIA program relies on a rotating panel statistical design with a sampling intensity of one 674.5  
3 m<sup>2</sup> ground plot per 2,403 ha of land and water area. A five or seven-panel design, with 20 percent or 14.3 percent  
4 of the field plots typically measured each year within a state, is used in the eastern United States and a ten-panel  
5 design, with typically 10 percent of the field plots measured each year within a state, is used in the western United  
6 States. The interpenetrating hexagonal design across the U.S. landscape enables the sampling of plots at various  
7 intensities in a spatially and temporally unbiased manner. Typically, tree and site attributes are measured with  
8 higher sample intensity while other ecosystem attributes such as downed dead wood are sampled during summer  
9 months at lower intensities. The first step in incorporating FIA data into the estimation system is to identify annual  
10 inventory datasets by state. Inventories include data collected on permanent inventory plots on forest lands and  
11 were organized as separate datasets, each representing a complete inventory, or survey, of an individual state at a  
12 specified time. Many of the annual inventories reported for states are represented as “moving window” averages,  
13 which mean that a portion—but not all—of the previous year’s inventory is updated each year (USDA Forest  
14 Service 2022d). Forest C estimates are organized according to these state surveys, and the frequency of surveys  
15 varies by state.

16 Using this FIA data, separate estimates were prepared for the five C storage pools identified by IPCC (2006) and  
17 described above. All estimates were based on data collected from the extensive array of permanent, annual forest  
18 inventory plots and associated models (e.g., live tree belowground biomass) in the United States (USDA Forest  
19 Service 2022b, 2022c). Carbon conversion factors were applied at the disaggregated level of each inventory plot  
20 and then appropriately expanded to population estimates.

### 21 *Carbon in Biomass*

22 Live tree C pools include aboveground and belowground (coarse root) biomass of live trees with diameter at breast  
23 height (dbh) of at least 2.54 cm at 1.37 m above the litter. Separate estimates were made for above- and  
24 belowground biomass components. If inventory plots included data on individual trees, aboveground and  
25 belowground (coarse roots) tree C was based on Woodall et al. (2011a), which is also known as the component  
26 ratio method (CRM), and is a function of tree volume, species, and diameter. An additional component of foliage,  
27 which was not explicitly included in Woodall et al. (2011a), was added to each tree following the same CRM  
28 method.

29 Understory vegetation is a minor component of biomass, which is defined in the FIA program as all biomass of  
30 undergrowth plants in a forest, including woody shrubs and trees less than 2.54 cm dbh. For this Inventory, it was  
31 assumed that 10 percent of total understory C mass is belowground (Smith et al. 2006). Estimates of C density  
32 were based on information in Birdsey (1996) and biomass estimates from Jenkins et al. (2003). Understory biomass  
33 represented over 1 percent of C in biomass, but its contribution rarely exceeded 2 percent of the total carbon  
34 stocks or stock changes across all forest ecosystem C pools each year.

### 35 *Carbon in Dead Organic Matter*

36 Dead organic matter is calculated as three separate pools—standing dead trees, downed dead wood, and litter—  
37 with C stocks estimated from sample data or from models as described below. The standing dead tree C pool  
38 includes aboveground and belowground (coarse root) biomass for trees of at least 12.7 cm dbh. Calculations  
39 followed the basic method applied to live trees (Woodall et al. 2011a) with additional modifications to account for  
40 decay and structural loss (Domke et al. 2011; Harmon et al. 2011). Downed dead wood estimates are based on  
41 measurement of a subset of FIA plots for downed dead wood (Domke et al. 2013; Woodall and Monleon 2008;  
42 Woodall et al. 2013). Downed dead wood is defined as pieces of dead wood greater than 7.5 cm diameter, at  
43 transect intersection, that are not attached to live or standing dead trees. This includes stumps and roots of  
44 harvested trees. To facilitate the downscaling of downed dead wood C estimates from the state-wide population  
45 estimates to individual plots, downed dead wood models specific to regions and forest types within each region  
46 are used. Litter C is the pool of organic C (also known as duff, humus, and fine woody debris) above the mineral  
47 soil and includes woody fragments with diameters of up to 7.5 cm. A subset of FIA plots are measured for litter C.

1 A modeling approach, using litter C measurements from FIA plots (Domke et al. 2016), was used to estimate litter  
2 C for every FIA plot used in the estimation framework.

### 3 *Carbon in Forest Soil*

4 Soil carbon is the largest terrestrial C sink with much of that C in forest ecosystems. The FIA program has been  
5 consistently measuring soil attributes as part of the annual inventory since 2001 and has amassed an extensive  
6 inventory of soil measurement data on forest land in the conterminous U.S. and coastal Alaska (O'Neill et al. 2005).  
7 Observations of mineral and organic soil C on forest land from the FIA program and the International Soil Carbon  
8 Monitoring Network were used to develop and implement a modeling approach that enabled the prediction of  
9 mineral and organic (i.e., undrained organic soils) soil C to a depth of 100 cm from empirical measurements to a  
10 depth of 20 cm and included site-, stand-, and climate-specific variables that yield predictions of soil C stocks  
11 specific to forest land in the United States (Domke et al. 2017). This new approach allowed for separation of  
12 mineral and organic soils, the latter also referred to as Histosols, in the Forest Land Remaining Forest Land  
13 category. Note that mineral and organic (i.e., undrained organic soils) soil C stock changes are reported to a depth  
14 of 100 cm for Forest Land Remaining Forest Land to remain consistent with past reporting in this category,  
15 however for consistency across land-use categories, mineral (e.g., cropland, grassland, settlements) soil C is  
16 reported to a depth of 30 cm in Section 6.3 Land Converted to Forest Land. Estimates of C stock changes from  
17 organic soils shown in Table 6-8 and Table 6-9 include the emissions from drained organic forest soils, and the  
18 methods used to develop these estimates can be found in the Drained Organic Soils section below.

### 19 *Harvested Wood Carbon*

20 Estimates of the HWP contribution to forest C sinks and emissions (hereafter called "HWP contribution") were  
21 based on methods described in Skog (2008) using the WOODCARB II model. These methods are based on IPCC  
22 (2006) guidance for estimating the HWP contribution. IPCC (2006) provides methods that allow for reporting of the  
23 HWP contribution using one of several different methodological approaches: Production, stock change and  
24 atmospheric flow, as well as a default method that assumes there is no change in HWP C stocks (see Annex 3.13  
25 for more details about each approach). The United States uses the production approach to report HWP  
26 contribution. Under the production approach, C in exported wood was estimated as if it remains in the United  
27 States, and C in imported wood was not included in the estimates. Though reported U.S. HWP estimates are based  
28 on the production approach, estimates resulting from use of the two alternative approaches, the stock change and  
29 atmospheric flow approaches, are also presented for comparison (see Annex 3.13). Annual estimates of change  
30 were calculated by tracking the annual estimated additions to and removals from the pool of products held in end  
31 uses (i.e., products in use such as housing or publications) and the pool of products held in SWDS. The C loss from  
32 harvest is reported in the Forest Ecosystem component of the Forest Land Remaining Forest Land and Land  
33 Converted to Forest Land sections and for informational purposes in the Energy sector, but the non-CO<sub>2</sub> emissions  
34 associated with biomass energy are included in the Energy sector emissions (see Chapter 3). EPA includes HWP  
35 within the forest chapter because forests are the source of wood that goes into the HWP estimates.

36 Solidwood products include lumber and panels. End-use categories for solidwood include single and multifamily  
37 housing, alteration and repair of housing, and other end uses. There is one product category and one end-use  
38 category for paper. Additions to and removals from pools were tracked beginning in 1900, with the exception of  
39 additions of softwood lumber to housing, which began in 1800. Solidwood and paper product production and  
40 trade data were taken from USDA Forest Service and other sources (Hair and Ulrich 1963; Hair 1958; USDC Bureau  
41 of Census 1976; Ulrich 1985, 1989; Steer 1948; AF&PA 2006a, 2006b; Howard 2003, 2007; Howard and Jones 2016;  
42 Howard and Liang 2019). Estimates for disposal of products reflects the change over time in the fraction of  
43 products discarded to SWDS (as opposed to burning or recycling) and the fraction of SWDS that were in sanitary  
44 landfills versus dumps.

45 There are five annual HWP variables that were used in varying combinations to estimate HWP contribution using  
46 any one of the three main approaches listed above. These are:

47 (1A) annual change of C in wood and paper products in use in the United States,

- 1 (1B) annual change of C in wood and paper products in SWDS in the United States,  
 2 (2A) annual change of C in wood and paper products in use in the United States and other countries where the  
 3 wood came from trees harvested in the United States,  
 4 (2B) annual change of C in wood and paper products in SWDS in the United States and other countries where  
 5 the wood came from trees harvested in the United States,  
 6 (3) C in imports of wood, pulp, and paper to the United States,  
 7 (4) C in exports of wood, pulp and paper from the United States, and  
 8 (5) C in annual harvest of wood from forests in the United States.

9 The sum of variables 2A and 2B yielded the estimate for HWP contribution under the production estimation  
 10 approach. A key assumption for estimating these variables that adds uncertainty in the estimates was that  
 11 products exported from the United States and held in pools in other countries have the same half-lives for  
 12 products in use, the same percentage of discarded products going to SWDS, and the same decay rates in SWDS as  
 13 they would in the United States.

## 14 Uncertainty

15 A quantitative uncertainty analysis placed bounds on the flux estimates for forest ecosystems through a  
 16 combination of sample-based and model-based approaches to uncertainty estimation for forest ecosystem CO<sub>2</sub>  
 17 flux using IPCC Approach 1 (Table 6-12 and Table A-214 for state-level uncertainties). A Monte Carlo Stochastic  
 18 Simulation of the methods described above, and probabilistic sampling of C conversion factors, were used to  
 19 determine the HWP uncertainty using IPCC Approach 2. See Annex 3.13 for additional information. The 2021 net  
 20 annual change for forest C stocks was estimated to be between -773.6 and -618.1 MMT CO<sub>2</sub> Eq. around a central  
 21 estimate of -695.4 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level. This includes a range of -665.6 to -519.5 MMT  
 22 CO<sub>2</sub> Eq. around a central estimate of -592.5 MMT CO<sub>2</sub> Eq. for forest ecosystems and -130.9 to -77.8 MMT CO<sub>2</sub> Eq.  
 23 around a central estimate of -102.8 MMT CO<sub>2</sub> Eq. for HWP.

24 **Table 6-12: Quantitative Uncertainty Estimates for Net CO<sub>2</sub> Flux from Forest Land**  
 25 **Remaining Forest Land: Changes in Forest C Stocks (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate (MMT CO <sub>2</sub> Eq.)			
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Forest Ecosystem C Pools <sup>a</sup>	CO <sub>2</sub>	(592.5)	(665.6)	(519.5)	-12.3%	12.3%
Harvested Wood Products <sup>b</sup>	CO <sub>2</sub>	(102.8)	(130.9)	(77.8)	-27.3%	24.3%
<b>Total Forest</b>	<b>CO<sub>2</sub></b>	<b>(695.4)</b>	<b>(773.6)</b>	<b>(618.1)</b>	<b>-11.3%</b>	<b>11.1%</b>

<sup>a</sup> Range of flux estimates predicted through a combination of sample-based and model-based uncertainty for a 95 percent confidence interval, IPCC Approach 1.

<sup>b</sup> Range of flux estimates predicted by Monte Carlo stochastic simulation for a 95 percent confidence interval, IPCC Approach 2.

Notes: Parentheses indicate negative values or net uptake. Totals may not sum due to independent rounding.

## 26 QA/QC and Verification

27 The FIA program has conducted consistent forest surveys based on extensive statistically-based sampling of most  
 28 of the forest land in the conterminous U.S., dating back to 1952. The FIA program includes numerous quality  
 29 assurance and quality control (QA/QC) procedures, including calibration among field crews, duplicate surveys of  
 30 some plots, and systematic checking of recorded data. Because of the statistically-based sampling, the large  
 31 number of survey plots, and the quality of the data, the survey databases developed by the FIA program form a  
 32 strong foundation for C stock estimates. Field sampling protocols, summary data, and detailed inventory databases

1 are archived and are publicly available (USDA Forest Service 2022d).

2 General quality control procedures were used in performing calculations to estimate C stocks based on survey  
3 data. For example, the C datasets, which include inventory variables such as areas and volumes, were compared to  
4 standard inventory summaries such as the forest resource statistics of Oswald et al. (2019) or selected population  
5 estimates generated from the FIA database, which are available at an FIA internet site (USDA Forest Service  
6 2022b). Agreement between the C datasets and the original inventories is important to verify accuracy of the data  
7 used.

8 Estimates of the HWP variables and the HWP contribution under the production estimation approach use data  
9 from U.S. Census and USDA Forest Service surveys of production and trade and other sources (Hair and Ulrich  
10 1963; Hair 1958; USDC Bureau of Census 1976; Ulrich 1985, 1989; Steer 1948; AF&PA 2006a, 2006b; Howard 2003,  
11 2007; Howard and Jones 2016; Howard and Liang 2019; AF&PA 2021; FAO 2021). Factors to convert wood and  
12 paper to units of C are based on estimates by industry and U.S. Forest Service published sources (see Annex 3.13).  
13 The WOODCARB II model uses estimation methods suggested by IPCC (2006). Estimates of annual C change in  
14 solidwood and paper products in use were calibrated to meet two independent criteria. The first criterion is that  
15 the WOODCARB II model estimate of C in houses standing in 2001 needs to match an independent estimate of C in  
16 housing based on U.S. Census and USDA Forest Service survey data. Meeting the first criterion resulted in an  
17 estimated half-life of about 80 years for single family housing built in the 1920s, which is confirmed by other U.S.  
18 Census data on housing. The second criterion is that the WOODCARB II model estimate of wood and paper being  
19 discarded to SWDS needs to match EPA estimates of discards used in the Waste sector each year over the period  
20 1990 to 2000 (EPA 2006). These criteria help reduce uncertainty in estimates of annual change in C in products in  
21 use in the United States and, to a lesser degree, reduce uncertainty in estimates of annual change in C in products  
22 made from wood harvested in the United States. In addition, WOODCARB II landfill decay rates have been  
23 validated by ensuring that estimates of CH<sub>4</sub> emissions from landfills based on EPA (2006) data are reasonable in  
24 comparison to CH<sub>4</sub> estimates based on WOODCARB II landfill decay rates.

## 25 **Recalculations Discussion**

26 The methods used in the current Inventory to compile estimates for forest ecosystem carbon stocks and stock  
27 changes and HWPs from 1990 through 2021 are consistent with those used in the previous (1990 through 2020)  
28 Inventory. Population estimates of carbon stocks and stock changes were compiled using NFI data from each U.S.  
29 state and national estimates were compiled by summing over all states. New NFI data in most states were  
30 incorporated in the latest Inventory which contributed to decreases in forest land area estimates and carbon  
31 stocks, particularly in Alaska where new data from 2018 to 2021, particularly litter and soil data, were included  
32 (Table 6-13). Fire data sources were also updated for Alaska through 2021 and this combined with the new NFI  
33 data for the years 2018 through 2021 resulted in substantial changes in carbon stocks and stock changes. Soil  
34 (organic) carbon stocks decreased in the latest Inventory relative to the previous Inventory and mineral soil carbon  
35 stocks increased slightly in this Inventory relative to the previous Inventory. These changes can be attributed to  
36 obtaining plot-level soil orders using the more refined gridded National Soil Survey Geographic Database  
37 (gNATSGO) dataset (Soil Survey Staff 2020a, 2020b), rather than the Digital General Soil Map of the United States  
38 (STATSGO2) dataset which had been used in previous Inventories (Table 6-13). This resulted in a structural change  
39 in the soil carbon estimates for mineral and organic soils across the entire time series, particularly in Alaska where  
40 new data on forest area was included for the years 2018 through 2021 (Table 6-8). Finally, recent land-use change  
41 in Alaska (since 2015) also contributed to variability in soil carbon stocks and stock changes in recent years in the  
42 time series, which led to differences in estimates in the previous Inventory and the current Inventory. New data  
43 included in the HWP time-series result in a minor decrease (< 1 percent) in carbon stocks in the HWP pools but a  
44 substantial increase (60 percent) in the carbon stock change estimates for Products in Use and to a lesser extent (2  
45 percent) in SWDS between the previous Inventory and the current Inventory. With the easing of the global  
46 pandemic and the return of consumers to the marketplace, there was a rebound in the purchase and accumulation  
47 of both paper and solid wood products. This rebound is expected to continue in 2022.

1 **Table 6-13: Recalculations of Forest Area (1,000 ha) and C Stocks in Forest Land Remaining**  
 2 **Forest Land and Harvested Wood Pools (MMT C)**

	2021 Estimate, Previous Inventory	2021 Estimate, Current Inventory	2022 Estimate, Current Inventory
<b>Forest Area (1000 ha)</b>	<b>281,951</b>	<b>279,962</b>	<b>279,800</b>
<b>Carbon Pools (MMT C)</b>			
<b>Forest</b>	<b>58,316</b>	<b>56,790</b>	<b>56,951</b>
Aboveground Biomass	15,688	15,749	15,861
Belowground Biomass	3,106	3,121	3,143
Dead Wood	2,896	2,799	2,827
Litter	3,810	3,888	3,888
Soil (Mineral)	25,459	25,915	25,916
Soil (Organic)	7,357	5,317	5,317
<b>Harvested Wood</b>	<b>2,718</b>	<b>2,721</b>	<b>2,749</b>
Products in Use	1,536	1,539	1,549
SWDS	1,182	1,182	1,200
<b>Total Stock</b>	<b>61,034</b>	<b>59,511</b>	<b>59,701</b>

Note: Totals may not sum due to independent rounding.

3 **Table 6-14: Recalculations of Net C Flux from Forest Ecosystem Pools in Forest Land**  
 4 **Remaining Forest Land and Harvested Wood Pools (MMT C)**

Carbon Pool (MMT C)	2020 Estimate, Previous Inventory	2020 Estimate, Current Inventory	2021 Estimate, Current Inventory
<b>Forest</b>	<b>(159.4)</b>	<b>(166.6)</b>	<b>(161.6)</b>
Aboveground Biomass	(108.7)	(114.3)	(111.6)
Belowground Biomass	(21.6)	(22.7)	(22.1)
Dead Wood	(27.7)	(27.9)	(27.6)
Litter	(0.5)	(0.5)	0.5
Soil (Mineral)	(1.1)	(1.5)	(1.1)
Soil (Organic)	0.1	0.0	0.0
Drained organic soil	0.2	0.2	0.2
<b>Harvested Wood</b>	<b>(22.8)</b>	<b>(26.3)</b>	<b>(28.0)</b>
Products in Use	(5.5)	(8.7)	(10.3)
SWDS	(17.3)	(17.6)	(17.7)
<b>Total Net Flux</b>	<b>(182.2)</b>	<b>(192.9)</b>	<b>(189.6)</b>

Note: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

## 5 **Planned Improvements**

6 Reliable estimates of forest C stocks and changes across the diverse ecosystems of the United States require a high  
 7 level of investment in both annual monitoring and associated analytical techniques. Development of improved  
 8 monitoring/reporting techniques is a continuous process that occurs simultaneously with annual inventory  
 9 submissions. Planned improvements can be broadly assigned to the following categories: development of a robust  
 10 estimation and reporting system, individual C pool estimation, coordination with other land-use categories, and  
 11 annual inventory data incorporation.

12 While this Inventory submission includes C change by Forest Land Remaining Forest Land and Land Converted to  
 13 Forest Land and C stock changes for all IPCC pools in these two categories, there are many improvements that are  
 14 still necessary. The estimation approach used for the conterminous United States in the current Inventory for the  
 15 forest land category operates at the state scale, whereas previously the western United States and southeast and  
 16 southcentral coastal Alaska operated at a regional scale. While this is an improvement over previous Inventories  
 17 and led to improved estimation and separation of land-use categories in the current Inventory, research is

1 underway to leverage all FIA data and auxiliary information (i.e., remotely sensed information) to operate at finer  
2 spatial and temporal scales. As in past submissions, emissions and removals associated with natural (e.g., wildfire,  
3 insects, and disease) and human (e.g., harvesting) disturbances are implicitly included in the report given the  
4 design of the annual NFI, but not explicitly estimated. In addition to integrating auxiliary information into the  
5 estimation framework and leveraging all NFI plot measurements, alternative estimators are also being evaluated  
6 which will eliminate latency in population estimates from the NFI, improve annual estimation and characterization  
7 of interannual variability, facilitate attribution of fluxes to particular activities, and allow for streamlined  
8 harmonization of NFI data with auxiliary data products. This will also facilitate separation of prescribed and wildfire  
9 emissions in future reports. The transparency and repeatability of estimation and reporting systems will be  
10 improved through the dissemination of open-source code (e.g., R programming language) in concert with the  
11 public availability of the annual NFI (USDA Forest Service 2022b). Also, several FIA database processes are being  
12 institutionalized to increase efficiency and QA/QC in reporting and further improve transparency, completeness,  
13 consistency, accuracy, and availability of data used in reporting. Finally, a combination of approaches was used to  
14 estimate uncertainty associated with C stock changes in the Forest Land Remaining Forest Land category in this  
15 report. There is research underway investigating more robust approaches to estimate total uncertainty (Clough et  
16 al. 2016), which will be considered in future Inventory reports.

17 The modeling framework used to estimate downed dead wood within the dead wood C pool (Smith et al. 2022)  
18 will be updated similar to the litter (Domke et al. 2016) and soil C pools (Domke et al. 2017). Finally, components of  
19 other pools, such as C in belowground biomass (Russell et al. 2015) and understory vegetation (Russell et al. 2014;  
20 Johnson et al. 2017), are being explored but may require additional investment in field inventories before  
21 improvements can be realized in the Inventory report.

22 The foundation of forest C estimation and reporting is the annual NFI. The ongoing annual surveys by the FIA  
23 program are expected to improve the accuracy and precision of forest C estimates as new state surveys become  
24 available (USDA Forest Service 2022b). With the exception of Wyoming (which will have sufficient remeasurements  
25 in the years ahead), all other states in the conterminous United States now have sufficient annual NFI data to  
26 consistently estimate C stocks and stock changes for the future using the state-level compilation system. The FIA  
27 program continues to install permanent plots in Alaska as part of the operational NFI, and as more plots are added  
28 to the NFI, they will be used to improve estimates for all managed forest land in Alaska. The methods used to  
29 include all managed forest land in the conterminous United States will be used in future Inventories for Hawaii and  
30 U.S. Territories as forest C data become available (only a small number of plots from Hawaii are currently available  
31 from the annualized sampling design). To that end, research is underway to incorporate all NFI information (both  
32 annual and periodic data) and the dense time series of remotely sensed data in multiple inferential frameworks for  
33 estimating greenhouse gas emissions and removals as well as change (i.e., disturbance or land-use changes)  
34 detection and attribution across the entire reporting period and all managed forest land in the United States.  
35 Leveraging this auxiliary information will aid the efforts to improve estimates for interior Alaska as well as the  
36 entire inventory system. In addition to fully inventorying all managed forest land in the United States, the more  
37 intensive sampling (i.e., more samples) of fine woody debris, litter, and SOC on a subset of FIA plots continues and  
38 will substantially improve spatial and temporal resolution of C pools (Westfall et al. 2013) as this information  
39 becomes available (Woodall et al. 2011b). Increased sample intensity of some C pools and using annualized  
40 sampling data as it becomes available for those states currently not reporting are planned for future submissions.  
41 There will also be improved methods and models to characterize standing live and dead tree carbon in the next  
42 Inventory. The NFI sampling frame extends beyond the forest land-use category (e.g., woodlands, which fall into  
43 the grasslands land-use category, and urban areas, which fall into the settlements land-use category) with  
44 inventory-relevant information for trees outside of forest land. These data will be utilized as they become available  
45 in the NFI.

## 46 **Non-CO<sub>2</sub> Emissions from Forest Fires**

47 Emissions of non-CO<sub>2</sub> gases from forest fires were estimated using U.S.-specific data and models for annual area of  
48 forest burned, fuel, consumption, and emission consistent with IPCC (2006). In 2021, emissions from this source

1 were estimated to be 15.5 MMT CO<sub>2</sub> Eq. of CH<sub>4</sub> and 8.9 MMT CO<sub>2</sub> Eq. of N<sub>2</sub>O (Table 6-15; kt units provided in Table  
 2 6-16). The estimates of non-CO<sub>2</sub> emissions from forest fires include the conterminous 48 states plus managed  
 3 forest land in Alaska (Ogle et al. 2018).

4 **Table 6-15: Non-CO<sub>2</sub> Emissions from Forest Fires (MMT CO<sub>2</sub> Eq.)<sup>a</sup>**

Gas	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	3.2	10.9	9.6	6.9	6.4	15.0	15.5
N <sub>2</sub> O	2.3	7.4	5.4	4.2	4.4	8.0	8.9
<b>Total</b>	<b>5.5</b>	<b>18.3</b>	<b>15.0</b>	<b>11.0</b>	<b>10.8</b>	<b>23.0</b>	<b>24.4</b>

<sup>a</sup> These estimates include Non-CO<sub>2</sub> emissions from forest fires on Forest Land Remaining  
 Forest Land and Land Converted to Forest Land.

Note: Totals may not sum due to independent rounding

5 **Table 6-16: Non-CO<sub>2</sub> Emissions from Forest Fires (kt)<sup>a</sup>**

Gas	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	116	39.	342	245	228	534	554
N <sub>2</sub> O	9	28	21	16	17	30	34
CO	2985	10,039	7,298	5,347	5,885	11,080	11,798
NO <sub>x</sub>	48	145	122	100	89	171	201

<sup>a</sup> These estimates include Non-CO<sub>2</sub> emissions from forest fires on Forest Land Remaining  
 Forest Land and Land Converted to Forest Land.

## 6 Methodology and Time-Series Consistency

7 Non-CO<sub>2</sub> emissions from forest fires—primarily CH<sub>4</sub> and N<sub>2</sub>O emissions—were calculated consistent with IPCC  
 8 (2006) methodology, which included U.S.-specific data and models on area burned, fuel, consumption, and  
 9 emission. The annual estimates were calculated by the Wildland Fire Emissions Inventory System (WFEIS, French et  
 10 al. 2011, 2014) with area burned based on Monitoring Trends in Burn Severity (MTBS, Eidenshink et al. 2007) or  
 11 MODIS burned area mapping (MODIS MCD64A1, Giglio et al. 2018) data. The MTBS data available for this report  
 12 (MTBS 2022) included fires through 2020, and the MODIS-based records include 2001 through 2021. Emissions  
 13 reported here are calculated from MTBS data for the 1990 to 2020 interval, and the 2001 through 2021 emissions  
 14 are also based on MODIS burned areas. Where both the MTBS and MODIS sources are available, the predictions  
 15 are averaged. Note that N<sub>2</sub>O emissions are not included in WFEIS calculations; the emissions provided here are  
 16 based on the average N<sub>2</sub>O to CO<sub>2</sub> ratio of 0.000166 following Larkin et al. (2014). See Emissions from Forest Fires in  
 17 Annex 3.13 for further details on all fire-related emissions calculations for forests. Consistent use of available data  
 18 sources, data processing, and calculation methods were applied to the entire time series to ensure time-series  
 19 consistency from 1990 through 2021.

## 20 Uncertainty

21 Uncertainty estimates for non-CO<sub>2</sub> emissions from forest fires are based on a Monte Carlo (IPCC Approach 2)  
 22 approach to propagate variability among the alternate WFEIS annual estimates per state. Uncertainty in parts of  
 23 the WFEIS system are not currently quantified. Among potential sources for future analysis are burned areas from  
 24 MTBS or MODIS, the fuels models or the Consume model (Prichard et al. 2014). See Annex 3.13 for the quantities  
 25 and assumptions employed to define and propagate uncertainty. The results of the Approach 2 quantitative  
 26 uncertainty analysis are summarized in Table 6-17.



1 **Table 6-17: Quantitative Uncertainty Estimates of Non-CO<sub>2</sub> Emissions from Forest Fires**  
 2 **(MMT CO<sub>2</sub> Eq. and Percent)<sup>a</sup>**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>b</sup>			
			Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
Non-CO <sub>2</sub> Emissions from Forest Fires	CH <sub>4</sub>	15.5	10.5	20.5	-32%	32%
Non-CO <sub>2</sub> Emissions from Forest Fires	N <sub>2</sub> O	8.9	2.6	15.3	-71%	72%

<sup>a</sup> These estimates include Non-CO<sub>2</sub> emissions from forest fires on Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>b</sup> Range of flux estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

### 3 QA/QC and Verification

4 Tier 1 and Tier 2 QA/QC activities were conducted consistent with the U.S. QA/QC plan. Source-specific quality  
 5 control measures for estimating non-CO<sub>2</sub> emissions from forest fires included checking input data, documentation,  
 6 and calculations to ensure data were properly handled through the inventory process and results were consistent  
 7 with values expected from those calculations. The QA/QC procedures did not reveal any inaccuracies or incorrect  
 8 input values.

### 9 Recalculations Discussion

10 The methods used in the current (1990 through 2021) Inventory to compile estimates of non-CO<sub>2</sub> emissions from  
 11 forest fires represent a slight change relative to the previous (1990 through 2020) Inventory. The basic  
 12 components of calculating forest fire emissions (IPCC 2006) remain unchanged, but the WFEIS-based estimates  
 13 now include both MTBS and MODIS based burns and two alternate fuel models where available. An additional  
 14 source of change leading to recalculations are recent and ongoing updates to the MTBS fire records (i.e., including  
 15 both most-recent as well as possible updates to past years' fires).

16 The EPA also updated global warming potentials (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub> (from 25 to  
 17 28) and N<sub>2</sub>O (from 298 to 265) to reflect the 100-year GWP values provided in the IPCC *Fifth Assessment Report*  
 18 (AR5) (IPCC 2013). The previous Inventory used 100-year GWPs provided in the IPCC *Fourth Assessment Report*  
 19 (AR4). This update was applied across the entire time series.

20 The net result of implementing AR5 GWP values and other improvements listed above was an average annual  
 21 increase of 0.2 MMT CO<sub>2</sub> Eq., or 1 percent, in total non-CO<sub>2</sub> emissions from forest fires across the entire time  
 22 series. Further discussion on this update and the overall impacts of updating the Inventory GWP values to reflect  
 23 the AR5 can be found in Chapter 9, Recalculations and Improvements.

### 24 Planned Improvements

25 Continuing improvements are planned for developing better fire and site-specific estimates for forest fires. The  
 26 focus will be on addressing three aspects of reporting: best use of WFEIS, better resolution of uncertainty as  
 27 discussed above, and identification of burned areas that are not captured by MTBS records.

## 28 N<sub>2</sub>O Emissions from N Additions to Forest Soils

29 Of the synthetic nitrogen (N) fertilizers applied to soils in the United States, no more than one percent is applied to  
 30 forest soils. Application rates are similar to those occurring on cropland soils, but in any given year, only a small  
 31 proportion of total forested land receives N fertilizer. This is because forests are typically fertilized only twice

1 during their approximately 40-year growth cycle (once at planting and once midway through their life cycle). While  
 2 the rate of N fertilizer application for the area of forests that receives N fertilizer in any given year is relatively high,  
 3 the annual application rate is quite low over the entire area of forest land.

4 N additions to soils result in direct and indirect N<sub>2</sub>O emissions. Direct emissions occur on-site due to the N  
 5 additions. Indirect emissions result from fertilizer N that is transformed and transported to another location  
 6 through volatilization in the form of ammonia [NH<sub>3</sub>] and nitrogen oxide [NO<sub>x</sub>], in addition to leaching and runoff of  
 7 nitrates [NO<sub>3</sub>], and later converted into N<sub>2</sub>O at off-site locations from the original N application. The indirect  
 8 emissions are assigned to forest land because the management activity leading to the emissions occurred in forest  
 9 land.

10 Direct soil N<sub>2</sub>O emissions from Forest Land Remaining Forest Land and Land Converted to Forest Land<sup>33</sup> in 2021  
 11 were 0.3 MMT CO<sub>2</sub> Eq. (1.2 kt), and the indirect emissions were 0.1 MMT CO<sub>2</sub> Eq. (0.4 kt). Total emissions for 2021  
 12 were 0.4 MMT CO<sub>2</sub> Eq. (1.5 kt) and have increased by 455 percent from 1990 to 2021. Total forest soil N<sub>2</sub>O  
 13 emissions are summarized in Table 6-18.

14 **Table 6-18: N<sub>2</sub>O Fluxes from Soils in Forest Land Remaining Forest Land and Land Converted**  
 15 **to Forest Land (MMT CO<sub>2</sub> Eq. and kt N<sub>2</sub>O)**

	1990	2005	2017	2018	2019	2020	2021
<b>Direct N<sub>2</sub>O Fluxes from Soils</b>							
MMT CO <sub>2</sub> Eq.	0.1	0.3	0.3	0.3	0.3	0.3	0.3
kt N <sub>2</sub> O	0.2	1.2	1.2	1.2	1.2	1.2	1.2
<b>Indirect N<sub>2</sub>O Fluxes from Soils</b>							
MMT CO <sub>2</sub> Eq.	+	0.1	0.1	0.1	0.1	0.1	0.1
kt N <sub>2</sub> O	0.1	0.4	0.4	0.4	0.4	0.4	0.4
<b>Total</b>							
MMT CO <sub>2</sub> Eq.	<b>0.1</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>
kt N <sub>2</sub> O	<b>0.3</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq. or 0.05 kt.

Notes: Totals may not sum due to independent rounding. The N<sub>2</sub>O emissions from Land Converted to Forest Land are included with Forest Land Remaining Forest Land because it is not currently possible to separate the activity data by land-use conversion category.

## 16 Methodology and Time-Series Consistency

17 The IPCC Tier 1 approach is used to estimate N<sub>2</sub>O from soils within Forest Land Remaining Forest Land and Land  
 18 Converted to Forest Land. According to U.S. Forest Service statistics for 1996 (USDA Forest Service 2001),  
 19 approximately 75 percent of trees planted are for timber, and about 60 percent of national total harvested forest  
 20 area is in the southeastern United States. Although southeastern pine plantations represent the majority of  
 21 fertilized forests in the United States, this Inventory also incorporated N fertilizer application to commercial  
 22 Douglas-fir stands in western Oregon and Washington. For the Southeast, estimates of direct N<sub>2</sub>O emissions from  
 23 fertilizer applications to forests are based on the area of pine plantations receiving fertilizer in the southeastern  
 24 United States and estimated application rates (Albaugh et al. 2007; Fox et al. 2007). Fertilizer application is rare for  
 25 hardwoods and therefore not included in the inventory (Binkley et al. 1995). For each year, the area of pine  
 26 receiving N fertilizer is multiplied by the weighted average of the reported range of N fertilization rates (121 lbs. N  
 27 per acre). Area data for pine plantations receiving fertilizer in the Southeast are not available for 2005 through  
 28 2021, so data from 2004 are used for these years. For commercial forests in Oregon and Washington, only fertilizer  
 29 applied to Douglas-fir is addressed in the inventory because the vast majority (approximately 95 percent) of the  
 30 total fertilizer applied to forests in this region is applied to Douglas-fir (Briggs 2007). Estimates of total Douglas-fir

<sup>33</sup> The N<sub>2</sub>O emissions from Land Converted to Forest Land are included with Forest Land Remaining Forest Land because it is not currently possible to separate the activity data by land-use conversion category.

1 area and the portion of fertilized area are multiplied to obtain annual area estimates of fertilized Douglas-fir  
 2 stands. Similar to the Southeast, data are not available for 2005 through 2021, so data from 2004 are used for  
 3 these years. The annual area estimates are multiplied by the typical rate used in this region (200 lbs. N per acre) to  
 4 estimate total N applied (Briggs 2007), and the total N applied to forests is multiplied by the IPCC (2006) default  
 5 emission factor of one percent to estimate direct N<sub>2</sub>O emissions.

6 For indirect emissions, the volatilization and leaching/runoff N fractions for forest land are calculated using the  
 7 IPCC default factors of 10 percent and 30 percent, respectively. The amount of N volatilized is multiplied by the  
 8 IPCC default factor of one percent for the portion of volatilized N that is converted to N<sub>2</sub>O off-site. The amount of  
 9 N leached/runoff is multiplied by the IPCC default factor of 0.075 percent for the portion of leached/runoff N that  
 10 is converted to N<sub>2</sub>O off-site. The resulting estimates are summed to obtain total indirect emissions.

11 The same method is applied in all years of this Inventory to ensure time-series consistency from 1990 through  
 12 2021.

13 **Uncertainty**

14 The amount of N<sub>2</sub>O emitted from forests depends not only on N inputs and fertilized area, but also on a large  
 15 number of variables, including organic C availability, oxygen gas partial pressure, soil moisture content, pH,  
 16 temperature, and tree planting/harvesting cycles. The effect of the combined interaction of these variables on N<sub>2</sub>O  
 17 flux is complex and highly uncertain. IPCC (2006) does not incorporate any of these variables into the default  
 18 methodology, except variation in estimated fertilizer application rates and estimated areas of forested land  
 19 receiving N fertilizer. All forest soils are treated equivalently under this methodology. Furthermore, only  
 20 applications of synthetic N fertilizers to forest are captured in this Inventory, so applications of organic N fertilizers  
 21 are not estimated. However, the total quantity of organic N inputs to soils in the United States is included in the  
 22 inventory for Agricultural Soil Management (Section 5.4) and Settlements Remaining Settlements (Section 6.10).

23 Uncertainties exist in the fertilization rates, annual area of forest lands receiving fertilizer, and the emission  
 24 factors. Fertilization rates are assigned a default level<sup>34</sup> of uncertainty at ±50 percent, and area receiving fertilizer  
 25 is assigned a ±20 percent according to expert knowledge (Binkley 2004). The uncertainty ranges around the 2004  
 26 activity data and emission factor input variables are directly applied to the 2021 emission estimates. IPCC (2006)  
 27 provided estimates for the uncertainty associated with direct and indirect N<sub>2</sub>O emission factor for synthetic N  
 28 fertilizer application to soils.

29 Uncertainty is quantified using simple error propagation methods (IPCC 2006). The results of the quantitative  
 30 uncertainty analysis are summarized in Table 6-19. Direct N<sub>2</sub>O fluxes from soils in 2021 are estimated to be  
 31 between 0.1 and 1.0 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level. This indicates a range of 59 percent below and  
 32 211 percent above the emission estimate of 0.3 MMT CO<sub>2</sub> Eq. for 2021. Indirect N<sub>2</sub>O emissions in 2021 are 0.1  
 33 MMT CO<sub>2</sub> Eq. and have a range are between 0.01 and 0.3 MMT CO<sub>2</sub> Eq., which is 86 percent below to 238 percent  
 34 above the emission estimate for 2021.

35 **Table 6-19: Quantitative Uncertainty Estimates of N<sub>2</sub>O Fluxes from Soils in Forest Land**  
 36 **Remaining Forest Land and Land Converted to Forest Land (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate			
			(MMT CO <sub>2</sub> Eq.)		(%)	
Forest Land Remaining Forest Land			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Direct N <sub>2</sub> O Fluxes from Soils	N <sub>2</sub> O	0.3	0.1	1.0	-59%	+211%
Indirect N <sub>2</sub> O Fluxes from Soils	N <sub>2</sub> O	0.1	+	0.3	-86%	+238%

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>34</sup> Uncertainty is unknown for the fertilization rates so a conservative value of ±50 percent is used in the analysis.

## 1 QA/QC and Verification

2 The spreadsheet containing fertilizer applied to forests and calculations for N<sub>2</sub>O and uncertainty ranges are  
3 checked and verified based on the sources of these data.

## 4 Recalculations Discussion

5 EPA updated global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of N<sub>2</sub>O (from 298 to 265) to  
6 reflect the 100-year GWP values provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC 2013). The previous  
7 Inventory used 100-year GWP values provided in the IPCC *Fourth Assessment Report (AR4)*. This update was  
8 applied across the entire time series.

9 As a result of this change, calculated CO<sub>2</sub>-equivalent emissions decreased by an annual average of 0.04 MMT CO<sub>2</sub>  
10 Eq., or 11 percent, over the time series from 1990 to 2020 compared to the previous Inventory.

11 Further discussion on this update and the overall impacts of updating the Inventory GWP values to reflect the AR5  
12 can be found in Chapter 9, Recalculations and Improvements.

## 13 CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O Emissions from Drained Organic Soils<sup>35</sup>

14 Drained organic soils on forest land are identified separately from other forest soils largely because mineralization  
15 of the exposed or partially dried organic material results in continuous CO<sub>2</sub> and N<sub>2</sub>O emissions (IPCC 2006). In  
16 addition, the *2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands*  
17 (IPCC 2014) calls for estimating CH<sub>4</sub> emissions from these drained organic soils and the ditch networks used to  
18 drain them.

19 Organic soils are identified on the basis of thickness of organic horizon and percent organic matter content. All  
20 organic soils are assumed to have originally been wet, and drained organic soils are further characterized by  
21 drainage or the process of artificially lowering the soil water table, which exposes the organic material to drying  
22 and the associated emissions described in this section. The land base considered here is drained inland organic  
23 soils that are coincident with forest area as identified by the NFI of the USDA Forest Service (USDA Forest Service  
24 2022b).

25 The estimated area of drained organic soils on forest land is 70,849 ha and did not change over the time series  
26 based on the data used to compile the estimates in the current Inventory. These estimates are based on  
27 permanent plot locations of the NFI (USDA Forest Service 2022b) coincident with mapped organic soil locations  
28 (STATSGO2 2016), which identifies forest land on organic soils. Forest sites that are drained are not explicitly  
29 identified in the data, but for this estimate, planted forest stands on sites identified as mesic or xeric (which are  
30 identified in USDA Forest Service 2022c, d) are labeled “drained organic soil” sites.

31 Land use, region, and climate are broad determinants of emissions as are more site-specific factors such as  
32 nutrient status, drainage level, exposure, or disturbance. Current data are limited in spatial precision and thus lack  
33 site specific details. At the same time, corresponding emissions factor data specific to U.S. forests are similarly  
34 lacking. Tier 1 estimates are provided here following IPCC (2014). Total annual non-CO<sub>2</sub> emissions on forest land  
35 with drained organic soils in 2021 are estimated as 0.8 MMT CO<sub>2</sub> Eq. per year (Table 6-20; kt units provided in  
36 6-21).

37 The Tier 1 methodology provides methods to estimate emissions of CO<sub>2</sub> from three pathways: direct emissions  
38 primarily from mineralization; indirect, or off-site, emissions associated with dissolved organic carbon releasing  
39 CO<sub>2</sub> from drainage waters; and emissions from (peat) fires on organic soils. Data about forest fires specifically

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<sup>35</sup> Estimates of CO<sub>2</sub> emissions from drained organic soils are described in this section but reported in Table 6-8 and Table 6-9 for both Forest Land Remaining Forest Land and Land Converted to Forest Land in order to allow for reporting of all C stock changes on forest lands in a complete and comprehensive manner.

1 located on drained organic soils are not currently available; as a result, no corresponding estimate is provided  
 2 here. Non-CO<sub>2</sub> emissions provided here include CH<sub>4</sub> and N<sub>2</sub>O. Methane emissions generally associated with anoxic  
 3 conditions do occur from the drained land surface, but the majority of these emissions originate from ditches  
 4 constructed to facilitate drainage at these sites. Emission of N<sub>2</sub>O can be significant from these drained organic soils  
 5 in contrast to the very low emissions from wet organic soils.

6 **Table 6-20: Non-CO<sub>2</sub> Emissions from Drained Organic Forest Soils<sup>a,b</sup> (MMT CO<sub>2</sub> Eq.)**

Source	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	+	+	+	+	+	+	+
N <sub>2</sub> O	0.1	0.1	0.1	0.1	0.1	0.1	0.1
<b>Total</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> This table includes estimates from Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>b</sup> Estimates of CO<sub>2</sub> emissions from drained organic soils are described in this section but reported in Table 6-8 and Table 6-9 for both Forest Land Remaining Forest Land and Land Converted to Forest Land in order to allow for reporting of all C stock changes on forest lands in a complete and comprehensive manner.

Note: Totals may not sum due to independent rounding.

7 **Table 6-21: Non-CO<sub>2</sub> Emissions from Drained Organic Forest Soils<sup>a,b</sup> (kt)**

Source	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	1	1	1	1	1	1	1
N <sub>2</sub> O	+	+	+	+	+	+	+

+ Does not exceed 0.5 kt.

<sup>a</sup> This table includes estimates from Forest Land Remaining Forest Land and Land Converted to Forest Land.

<sup>b</sup> Estimates of CO<sub>2</sub> emissions from drained organic soils are described in this section but reported in Table 6-8 and Table 6-9 for both Forest Land Remaining Forest Land and Land Converted to Forest Land in order to allow for reporting of all C stock changes on forest lands in a complete and comprehensive manner.

## 8 Methodology and Time-Series Consistency

9 The Tier 1 methods for estimating CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O emissions from drained inland organic soils on forest lands  
 10 follow IPCC (2006), with extensive updates and additional material presented in the *2013 Supplement to the 2006*  
 11 *IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands* (IPCC 2014). With the exception of quantifying  
 12 area of forest on drained organic soils, which is user-supplied, all quantities necessary for Tier 1 estimates are  
 13 provided in Chapter 2, Drained Inland Organic Soils of IPCC (2014).

14 Estimated area of drained organic soils on forest land is 70,849 ha based on analysis of the permanent NFI of the  
 15 USDA Forest Service and did not change over the time series. The most recent plot data per state within the  
 16 inventories were used in a spatial overlay with the STATSGO2 (2016) soils data, and forest plots coincident with the  
 17 soil order histosol were selected as having organic soils. Information specific to identifying “drained organic” are  
 18 not in the inventory data so an indirect approach was employed here. Specifically, artificially regenerated forest  
 19 stands (inventory field STDORGCD=1) on mesic or xeric sites (inventory field 11≤PHYSCLCD≤29) are labeled  
 20 “drained organic soil” sites. From this selection, forest area and sampling error for forest on drained organic sites  
 21 are based on the population estimates developed within the inventory data for each state (USDA Forest Service  
 22 2022d). Eight states, all temperate forests (including pine forest in northern Florida, which largely display  
 23 characteristics of temperate forests), were identified as having drained organic soils (Table 6-22).

1 **Table 6-22: States identified as having Drained Organic Soils, Area of Forest on Drained**  
 2 **Organic Soils, and Sampling Error**

State	Forest on Drained Organic Soil (1,000 ha)	Sampling Error (68.3% as ± Percentage of Estimate)
Florida	2.4	79
Georgia	3.7	71
Michigan	18.7	34
Minnesota	30.2	19
North Carolina	1.3	99
Virginia	2.3	102
Washington	2.1	101
Wisconsin	10.1	30
<b>Total</b>	<b>70.8</b>	<b>14</b>

Note: Totals may not sum due to independent rounding.

3 The Tier 1 methodology provides methods to estimate emissions for three pathways of C emission as CO<sub>2</sub>. Note  
 4 that subsequent mention of equations and tables in the remainder of this section refer to Chapter 2 of IPCC (2014).  
 5 The first pathway—direct CO<sub>2</sub> emissions—is calculated according to Equation 2.3 and Table 2.1 as the product of  
 6 forest area and emission factor for temperate drained forest land. The second pathway—indirect, or off-site,  
 7 emissions—is associated with dissolved organic carbon (DOC) releasing CO<sub>2</sub> from drainage waters according to  
 8 Equation 2.4 and Table 2.2, which represent a default composite of the three pathways for this flux: (1) the flux of  
 9 DOC from natural (undrained) organic soil; (2) the proportional increase in DOC flux from drained organic soils  
 10 relative to undrained sites; and (3) the conversion factor for the part of DOC converted to CO<sub>2</sub> after export from a  
 11 site. The third pathway—emissions from (peat) fires on organic soils—assumes that the drained organic soils burn  
 12 in a fire, but not any wet organic soils. However, this Inventory currently does not include emissions for this  
 13 pathway because data on the combined fire and drained organic soils information are not available at this time;  
 14 this may become available in the future with additional analysis.

15 Non-CO<sub>2</sub> emissions, according to the Tier 1 method, include methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), and carbon  
 16 monoxide (CO). Emissions associated with peat fires include factors for CH<sub>4</sub> and CO in addition to CO<sub>2</sub>, but fire  
 17 estimates are assumed to be zero for the current Inventory, as discussed above. Methane emissions generally  
 18 associated with anoxic conditions do occur from the drained land surface, but the majority of these emissions  
 19 originate from ditches constructed to facilitate drainage at these sites. From this, two separate emission factors  
 20 are used, one for emissions from the area of drained soils and a second for emissions from drainage ditch  
 21 waterways. Calculations are conducted according to Equation 2.6 and Tables 2.3 and 2.4, which includes the  
 22 default fraction of the total area of drained organic soil which is occupied by ditches. Emissions of N<sub>2</sub>O can be  
 23 significant from these drained soils in contrast to the very low emissions from wet organic soils. Calculations are  
 24 conducted according to Equation 2.7 and Table 2.5, which provide the estimate as kg N per year.

25 Methodological calculations were applied to the entire set of estimates for 1990 through 2021. Year-specific data  
 26 are not available. Estimates are based on a single year and applied as the annual estimates over the interval.

## 27 **Uncertainty**

28 Uncertainties are based on the sampling error associated with forest area of drained organic soils and the  
 29 uncertainties provided in the Chapter 2 (IPCC 2014) emissions factors (Table 6-23). The estimates and resulting  
 30 quantities representing uncertainty are based on the IPCC Approach 1—error propagation. However, probabilistic  
 31 sampling of the distributions defined for each emission factor produced a histogram result that contained a mean  
 32 and 95 percent confidence interval. The primary reason for this approach was to develop a numerical  
 33 representation of uncertainty with the potential for combining with other forest components. The methods and  
 34 parameters applied here are identical to previous inventories, but input values were resampled for this Inventory,  
 35 which results in minor changes in the number of significant digits in the resulting estimates, relative to past values.  
 36 The total non-CO<sub>2</sub> emissions in 2021 from drained organic soils on Forest Land Remaining Forest Land and Land

1 Converted to Forest Land were estimated to be between 0 and 0.150 MMT CO<sub>2</sub> Eq. around a central estimate of  
 2 0.068 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level.

3 **Table 6-23: Quantitative Uncertainty Estimates for Non-CO<sub>2</sub> Emissions on Drained Organic**  
 4 **Forest Soils (MMT CO<sub>2</sub> Eq. and Percent)<sup>a</sup>**

Source	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate			
		(MMT CO <sub>2</sub> Eq.)		(%)	
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
CH <sub>4</sub>	+	+	+	-69%	+82%
N <sub>2</sub> O	0.1	+	0.1	-118%	+132%
<b>Total</b>	<b>0.1</b>	<b>+</b>	<b>0.2</b>	<b>-107%</b>	<b>+120%</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of flux estimates predicted through a combination of sample-based and IPCC defaults for a 95 percent confidence interval, IPCC Approach 1.

Note: Totals may not sum due to independent rounding.

## 5 QA/QC and Verification

6 IPCC (2014) guidance cautions of a possibility of double counting some of these emissions. Specifically, the off-site  
 7 emissions of dissolved organic C from drainage waters may be double counted if soil C stock and change is based  
 8 on sampling and this C is captured in that sampling. Double counting in this case is unlikely since plots identified as  
 9 drained were treated separately in this chapter. Additionally, some of the non-CO<sub>2</sub> emissions may be included in  
 10 either the Wetlands or sections on N<sub>2</sub>O emissions from managed soils. These paths to double counting emissions  
 11 are unlikely here because these issues are taken into consideration when developing the estimates and this  
 12 chapter is the only section directly including such emissions on forest land.

## 13 Recalculations Discussion

14 The EPA updated global warming potentials (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub> (from 25 to 28)  
 15 and N<sub>2</sub>O (from 298 to 265) to reflect the 100-year GWPs provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC  
 16 2013). The previous Inventory used 100-year GWPs provided in the IPCC *Fourth Assessment Report (AR4)*. This  
 17 update was applied across the entire time series. As a result of this change, there was a minimal decrease in  
 18 average annual calculated CO<sub>2</sub>-equivalent total emissions from drained organic forest soils from 1990 through  
 19 2020 compared to the previous Inventory. Further discussion on this update and the overall impacts of updating  
 20 the Inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

## 21 Planned Improvements

22 Additional data will be compiled to update estimates of forest areas on drained organic soils as new reports and  
 23 geospatial products become available.

24

## 6.3 Land Converted to Forest Land (CRF Source Category 4A2)

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The C stock change estimates for Land Converted to Forest Land that are provided in this Inventory include all forest land in an inventory year that had been in another land use(s) during the previous 20 years.<sup>36</sup> For example, cropland or grassland converted to forest land during the past 20 years would be reported in this category. Converted lands are in this category for 20 years as recommended in the *2006 IPCC Guidelines* (IPCC 2006), after which they are classified as Forest Land Remaining Forest Land. Estimates of C stock changes from all pools (i.e., aboveground and belowground biomass, dead wood, litter and soils), as recommended by IPCC (2006), are included in the Land Converted to Forest Land category of this Inventory.

### *Area of Land Converted to Forest in the United States<sup>37</sup>*

Land conversion to and from forests has occurred regularly throughout U.S. history. The 1970s and 1980s saw a resurgence of federally sponsored forest management programs (e.g., the Forestry Incentive Program) and soil conservation programs (e.g., the Conservation Reserve Program), which have focused on tree planting, improving timber management activities, combating soil erosion, and converting marginal cropland to forests. Recent analyses suggest that net accumulation of forest area continues in areas of the United States, in particular the northeastern United States (Woodall et al. 2015b). Specifically, the annual conversion of land from other land-use categories (i.e., Cropland, Grassland, Wetlands, Settlements, and Other Lands) to Forest Land resulted in a fairly continuous net annual accretion of Forest Land area from over the time series at an average rate of 1.0 million ha year<sup>-1</sup>.

Over the 20-year conversion period used in the Land Converted to Forest Land category, the conversion of cropland to forest land resulted in the largest source of C transfer and uptake, accounting for approximately 39 percent of the uptake annually. Estimated C uptake has remained relatively stable over the time series across all conversion categories (see Table 6-24). The net flux of C from all forest pool stock changes in 2021 was -98.3 MMT CO<sub>2</sub> Eq. (-26.8 MMT C) (Table 6-24 and Table 6-25).

Mineral soil C stocks increased slightly over the time series for Land Converted to Forest Land. The small gains are associated with Cropland Converted to Forest Land, Settlements Converted to Forest Land, and Other Land Converted to Forest Land. Much of this conversion is from soils that are more intensively used under annual crop production or settlement management, or are conversions from other land, which has little to no soil C. In contrast, Grassland Converted to Forest Land leads to a loss of soil C across the time series, which negates some of the gain in soil C with the other land-use conversions. Managed Pasture to Forest Land is the most common conversion. This conversion leads to a loss of soil C because pastures are mostly improved in the United States with fertilization and/or irrigation, which enhances C input to soils relative to typical forest management activities.

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<sup>36</sup> The annual NFI data used to compile estimates of carbon transfer and uptake in this section are based on 5- to 10-yr remeasurements so the exact conversion period was limited to the remeasured data over the time series.

<sup>37</sup> The estimates reported in this section only include the 48 conterminous states in the United States. Land use conversions to forest land in Alaska are currently included in the Forest Land Remaining Forest Land section because currently there is insufficient data to separate the changes and estimates for Hawaii were not included because there is insufficient NFI data to support inclusion at this time. Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-213 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.3 Land Converted to Forest Land.



1 **Table 6-24: Net CO<sub>2</sub> Flux from Forest C Pools in Land Converted to Forest Land by Land Use**  
 2 **Change Category (MMT CO<sub>2</sub> Eq.)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Forest Land</b>	<b>(38.5)</b>	<b>(38.1)</b>	<b>(37.9)</b>	<b>(37.8)</b>	<b>(37.8)</b>	<b>(37.8)</b>	<b>(37.8)</b>
Aboveground Biomass	(22.2)	(22.0)	(21.9)	(21.9)	(21.9)	(21.9)	(21.9)
Belowground Biomass	(4.3)	(4.3)	(4.2)	(4.2)	(4.2)	(4.2)	(4.2)
Dead Wood	(4.8)	(4.8)	(4.8)	(4.8)	(4.8)	(4.8)	(4.8)
Litter	(6.9)	(6.8)	(6.8)	(6.8)	(6.8)	(6.8)	(6.8)
Mineral Soil	(0.3)	(0.3)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
<b>Grassland Converted to Forest Land</b>	<b>(12.2)</b>	<b>(12.2)</b>	<b>(12.3)</b>	<b>(12.3)</b>	<b>(12.3)</b>	<b>(12.3)</b>	<b>(12.3)</b>
Aboveground Biomass	(6.1)	(6.2)	(6.2)	(6.2)	(6.2)	(6.2)	(6.2)
Belowground Biomass	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)
Dead Wood	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)
Litter	(4.1)	(4.1)	(4.1)	(4.1)	(4.1)	(4.1)	(4.1)
Mineral Soil	0.2	0.3	0.3	0.3	0.3	0.3	0.3
<b>Other Land Converted to Forest Land</b>	<b>(9.9)</b>	<b>(10.5)</b>	<b>(10.7)</b>	<b>(10.7)</b>	<b>(10.7)</b>	<b>(10.7)</b>	<b>(10.7)</b>
Aboveground Biomass	(4.7)	(4.7)	(4.8)	(4.8)	(4.8)	(4.8)	(4.8)
Belowground Biomass	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)	(0.8)
Dead Wood	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Litter	(2.5)	(2.5)	(2.5)	(2.5)	(2.5)	(2.5)	(2.5)
Mineral Soil	(0.6)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)
<b>Settlements Converted to Forest Land</b>	<b>(34.4)</b>	<b>(34.2)</b>	<b>(34.0)</b>	<b>(34.0)</b>	<b>(34.0)</b>	<b>(34.0)</b>	<b>(34.0)</b>
Aboveground Biomass	(21.0)	(20.9)	(20.7)	(20.7)	(20.7)	(20.7)	(20.7)
Belowground Biomass	(4.0)	(4.0)	(3.9)	(3.9)	(3.9)	(3.9)	(3.9)
Dead Wood	(4.0)	(4.0)	(3.9)	(3.9)	(3.9)	(3.9)	(3.9)
Litter	(5.4)	(5.4)	(5.3)	(5.3)	(5.3)	(5.3)	(5.3)
Mineral Soil	(0.1)	(0.04)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
<b>Wetlands Converted to Forest Land</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>
Aboveground Biomass	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)
Belowground Biomass	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
Dead Wood	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
Litter	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Mineral Soil	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>Total Aboveground Biomass Flux</b>	<b>(55.5)</b>	<b>(55.3)</b>	<b>(55.2)</b>	<b>(55.1)</b>	<b>(55.1)</b>	<b>(55.1)</b>	<b>(55.1)</b>
<b>Total Belowground Biomass Flux</b>	<b>(10.4)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>
<b>Total Dead Wood Flux</b>	<b>(11.6)</b>	<b>(11.6)</b>	<b>(11.6)</b>	<b>(11.6)</b>	<b>(11.6)</b>	<b>(11.6)</b>	<b>(11.6)</b>
<b>Total Litter Flux</b>	<b>(20.1)</b>	<b>(20.1)</b>	<b>(20.1)</b>	<b>(20.1)</b>	<b>(20.1)</b>	<b>(20.1)</b>	<b>(20.1)</b>
<b>Total Mineral Soil Flux</b>	<b>(0.8)</b>	<b>(1.1)</b>	<b>(1.1)</b>	<b>(1.1)</b>	<b>(1.1)</b>	<b>(1.1)</b>	<b>(1.1)</b>
<b>Total Flux</b>	<b>(98.5)</b>	<b>(98.4)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>	<b>(98.3)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net uptake. Forest ecosystem C stock changes from land conversion in Alaska are currently included in the Forest Land Remaining Forest Land section because there is insufficient data to separate the changes at this time. Forest ecosystem C stock changes from land conversion do not include U.S. Territories because managed forest land in U.S. Territories is not currently included in Section 6.1 Representation of the U.S. Land Base. The forest ecosystem C stock changes from land conversion do not include Hawaii because there is insufficient NFI data to support inclusion at this time. Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-217 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.3 Land Converted to Forest Land. The forest ecosystem C stock changes from land conversion do not include trees on non-forest land (e.g., agroforestry systems and settlement areas—see Section 6.10 Settlements Remaining Settlements for estimates of C stock change from settlement trees). It is not possible to separate emissions from drained organic soils between Forest Land Remaining Forest Land and Land Converted to Forest Land so estimates for all organic soils are included in Table 6-8 and Table 6-9 of the Forest Land Remaining Forest Land section of the Inventory.

1 **Table 6-25: Net C Flux from Forest C Pools in Land Converted to Forest Land by Land Use**  
 2 **Change Category (MMT C)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Forest</b>							
<b>Land</b>	<b>(10.8)</b>	<b>(10.8)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>	<b>(10.3)</b>
Aboveground Biomass	(6.3)	(6.3)	(6.0)	(6.0)	(6.0)	(6.0)	(6.0)
Belowground Biomass	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)	(1.2)
Dead Wood	(1.4)	(1.4)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Litter	(1.9)	(1.9)	(1.8)	(1.8)	(1.8)	(1.8)	(1.8)
Mineral Soil	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
<b>Grassland Converted to Forest</b>							
<b>Land</b>	<b>(3.1)</b>	<b>(3.2)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>	<b>(3.4)</b>
Aboveground Biomass	(1.6)	(1.6)	(1.7)	(1.7)	(1.7)	(1.7)	(1.7)
Belowground Biomass	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
Dead Wood	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
Litter	(1.0)	(1.0)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)
Mineral Soil	0.0	0.1	0.1	0.1	0.1	0.1	0.1
<b>Other Land Converted to Forest</b>							
<b>Land</b>	<b>(2.7)</b>	<b>(2.9)</b>	<b>(2.9)</b>	<b>(2.9)</b>	<b>(2.9)</b>	<b>(2.9)</b>	<b>(2.9)</b>
Aboveground Biomass	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Belowground Biomass	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Dead Wood	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
Litter	(0.7)	(0.7)	(0.7)	(0.7)	(0.7)	(0.7)	(0.7)
Mineral Soil	(0.2)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
<b>Settlements Converted to Forest</b>							
<b>Land</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>
Aboveground Biomass	(5.7)	(5.7)	(5.7)	(5.7)	(5.7)	(5.7)	(5.7)
Belowground Biomass	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)
Dead Wood	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)	(1.1)
Litter	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)	(1.5)
Mineral Soil	+	+	+	+	+	+	+
<b>Wetlands Converted to Forest</b>							
<b>Land</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>
Aboveground Biomass	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
Belowground Biomass	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Dead Wood	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Litter	(0.3)	(0.3)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
Mineral Soil	+	+	+	+	+	+	+
<b>Total Aboveground Biomass Flux</b>	<b>(15.2)</b>	<b>(15.3)</b>	<b>(15.0)</b>	<b>(15.0)</b>	<b>(15.0)</b>	<b>(15.0)</b>	<b>(15.0)</b>
<b>Total Belowground Biomass Flux</b>	<b>(2.9)</b>	<b>(2.9)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>

<b>Total Dead Wood Flux</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>
<b>Total Litter Flux</b>	<b>(5.4)</b>	<b>(5.4)</b>	<b>(5.5)</b>	<b>(5.5)</b>	<b>(5.5)</b>	<b>(5.5)</b>	<b>(5.5)</b>
<b>Total Mineral Soil Flux</b>	<b>(0.2)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>
<b>Total Flux</b>	<b>(26.9)</b>	<b>(27.0)</b>	<b>(26.8)</b>	<b>(26.8)</b>	<b>(26.8)</b>	<b>(26.8)</b>	<b>(26.8)</b>

+ Absolute value does not exceed 0.05 MMT C.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net uptake. Forest ecosystem C stock changes from land conversion in Alaska are currently included in the Forest Land Remaining Forest Land section because there is not sufficient data to separate the changes at this time. Forest ecosystem C stock changes from land conversion do not include U.S. Territories because managed forest land in U.S. Territories is not currently included in Section 6.1 Representation of the U.S. Land Base. The forest ecosystem C stock changes from land conversion do not include Hawaii because there is not sufficient NFI data to support inclusion at this time. Also, it is not possible to separate Forest Land Remaining Forest Land from Land Converted to Forest Land in Wyoming because of the split annual cycle method used for population estimation, this prevents harmonization of forest land in Wyoming with the NRI/NLCD method used in section 6.1 Representation of the U.S. Land Base (CRF Category 4.1). See Annex 3.13, Table A-217 for annual differences between the forest area reported in Section 6.1 Representation of the U.S. Land Base and Section 6.3 Land Converted to Forest Land. The forest ecosystem C stock changes from land conversion do not include trees on non-forest land (e.g., agroforestry systems and settlement areas—see Section 6.10 Settlements Remaining Settlements for estimates of C stock change from settlement trees). It is not possible to separate emissions from drained organic soils between Forest Land Remaining Forest Land and Land Converted to Forest Land so estimates for organic soils are included in Table 6-8 and Table 6-9 of the Forest Land Remaining Forest Land section of the Inventory.

## 1 Methodology and Time-Series Consistency

2 The following section includes a description of the methodology used to estimate stock changes in all forest C  
3 pools for Land Converted to Forest Land. National Forest Inventory data and IPCC (2006) defaults for reference C  
4 stocks were used to compile separate estimates for the five C storage pools. Estimates for Aboveground and  
5 Belowground Biomass, Dead Wood and Litter were based on data collected from the extensive array of  
6 permanent, annual NFI plots and associated models (e.g., live tree belowground biomass estimates) in the United  
7 States (USDA Forest Service 2022b, 2022c). Carbon conversion factors were applied at the individual plot and then  
8 appropriately expanded to state population estimates, which are summed to provide the national estimate. To  
9 ensure consistency in the Land Converted to Forest Land category where C stock transfers occur between land-use  
10 categories, all soil estimates are based on methods from Ogle et al. (2003, 2006) and IPCC (2006).

11 The methods used for estimating carbon stocks and stock changes in the Land Converted to Forest Land are  
12 consistent with those used for Forest Land Remaining Forest Land. For land-use conversion, IPCC (2006) default  
13 biomass C stock values were applied in the year of conversion on individual plots to estimate the carbon stocks  
14 removed due to land-use conversion from Croplands and Grasslands. There is no biomass loss data or IPCC (2006)  
15 defaults to include transfers, losses, or gains of carbon in the year of the conversion for other land use (i.e., Other  
16 Lands, Settlements, Wetlands) conversions to Forest Land so these were incorporated for these conversion  
17 categories. All annual NFI plots included in the public FIA database as of August 2022 were used in this Inventory.  
18 Forest Land conditions were observed on NFI plots at time  $t_0$  and at a subsequent time  $t_1=t_0+s$ , where  $s$  is the time  
19 step (time measured in years) and is indexed by discrete (e.g., 5 year) forest age classes. The inventory from  $t_0$   
20 was then projected from  $t_1$  to 2021. This projection approach requires simulating changes in the age-class distribution  
21 resulting from forest aging and disturbance events and then applying C density estimates for each age class to  
22 obtain population estimates for the nation.

### 23 *Carbon in Biomass*

24 Live tree C pools include aboveground and belowground (coarse root) biomass of live trees with diameter at breast  
25 height (dbh) of at least 2.54 cm at 1.37 m above the forest floor. Separate estimates were made for above and  
26 belowground biomass components. If inventory plots included data on individual trees, above- and belowground  
27 tree C was based on Woodall et al. (2011a), which is also known as the component ratio method (CRM), and is a

1 function of volume, species, and diameter. An additional component of foliage, which was not explicitly included in  
2 Woodall et al. (2011a), was added to each tree following the same CRM method.

3 Understory vegetation is a minor component of biomass and is defined as all biomass of undergrowth plants in a  
4 forest, including woody shrubs and trees less than 2.54 cm dbh. For the current Inventory, it was assumed that 10  
5 percent of total understory C mass is belowground (Smith et al. 2006). Estimates of C density were based on  
6 information in Birdsey (1996) and biomass estimates from Jenkins et al. (2003). Understory biomass represented  
7 over one percent of C in biomass, but its contribution rarely exceeded 2 percent of the total.

8 Biomass losses associated with conversion from Grassland and Cropland to Forest Land were assumed to occur in  
9 the year of conversion. To account for these losses, IPCC (2006) defaults for aboveground and belowground  
10 biomass on Grasslands and aboveground biomass on Croplands were subtracted from sequestration in the year of  
11 the conversion. As previously discussed, for all other land use (i.e., Other Lands, Settlements, Wetlands)  
12 conversions to Forest Land no biomass loss data were available, and no IPCC (2006) defaults currently exist to  
13 include transfers, losses, or gains of carbon in the year of the conversion, so none were incorporated for these  
14 conversion categories. As defaults or country-specific data become available for these conversion categories, they  
15 will be incorporated.

### 16 *Carbon in Dead Organic Matter*

17 Dead organic matter was initially calculated as three separate pools—standing dead trees, downed dead wood,  
18 and litter—with C stocks estimated from sample data or from models. The standing dead tree C pool includes  
19 aboveground and belowground (coarse root) biomass for trees of at least 12.7 cm dbh. Calculations followed the  
20 basic method applied to live trees (Woodall et al. 2011a) with additional modifications to account for decay and  
21 structural loss (Domke et al. 2011; Harmon et al. 2011). Downed dead wood estimates are based on measurement  
22 of a subset of FIA plots for downed dead wood (Domke et al. 2013; Woodall and Monleon 2008; Woodall et al.  
23 2013). Downed dead wood is defined as pieces of dead wood greater than 7.5 cm diameter, at transect  
24 intersection, that are not attached to live or standing dead trees. This includes stumps and roots of harvested  
25 trees. To facilitate the downscaling of downed dead wood C estimates from the state-wide population estimates to  
26 individual plots, downed dead wood models specific to regions and forest types within each region are used. Litter  
27 C is the pool of organic C (also known as duff, humus, and fine woody debris) above the mineral soil and includes  
28 woody fragments with diameters of up to 7.5 cm. A subset of FIA plots are measured for litter C. A modeling  
29 approach, using litter C measurements from FIA plots (Domke et al. 2016) was used to estimate litter C for every  
30 FIA plot used in the estimation framework. Dead organic matter C stock estimates are included for all land-use  
31 conversions to Forest Land.

### 32 *Mineral Soil Carbon Stock Changes*

33 A Tier 2 method is applied to estimate mineral soil C stock changes for Land Converted to Forest Land (Ogle et al.  
34 2003, 2006; IPCC 2006). For this method, land is stratified by climate, soil types, land use, and land management  
35 activity, and then assigned reference carbon levels and factors for the forest land and the previous land use. The  
36 difference between the stocks is reported as the stock change under the assumption that the change occurs over  
37 20 years. Reference C stocks have been estimated from data in the National Soil Survey Characterization Database  
38 (USDA-NRCS 1997), and U.S.-specific stock change factors have been derived from published literature (Ogle et al.  
39 2003, 2006). Land use and land-use change patterns are determined from a combination of the Forest Inventory  
40 and Analysis Dataset (FIA), the 2015 National Resources Inventory (NRI) (USDA-NRCS 2018), and National Land  
41 Cover Dataset (NLCD) (Yang et al. 2018). See Annex 3.12 (Methodology for Estimating N<sub>2</sub>O Emissions, CH<sub>4</sub>  
42 Emissions and Soil Organic C Stock Changes from Agricultural Soil Management) for more information about this  
43 method. Note that soil C in this Inventory is reported to a depth of 100 cm in the Forest Land Remaining Forest  
44 Land category (Domke et al. 2017) while other land-use categories report soil C to a depth of 30 cm. However, to  
45 ensure consistency in the Land Converted to Forest Land category where C stock transfers occur between land-use  
46 categories, soil C estimates were based on a 30 cm depth using methods from Ogle et al. (2003, 2006) and IPCC  
47 (2006), as described in Annex 3.12.

1 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015 so that changes  
 2 reflect anthropogenic activity and not methodological adjustments. Mineral soil organic C stock changes from 2016  
 3 to 2021 are estimated using a linear extrapolation method described in Box 6-4 of the Methodology section in  
 4 Cropland Remaining Cropland. The extrapolation is based on a linear regression model with moving-average  
 5 (ARMA) errors using the 1990 to 2015 emissions data and is a standard data splicing method for estimating  
 6 emissions at the end of a time series if activity data are not available (IPCC 2006). The Tier 2 method described  
 7 previously will be applied to recalculate the 2016 to 2021 emissions in a future Inventory.

## 8 Uncertainty

9 A quantitative uncertainty analysis placed bounds on the flux estimates for Land Converted to Forest Land through  
 10 a combination of sample-based and model-based approaches to uncertainty for forest ecosystem CO<sub>2</sub> Eq. flux  
 11 (IPCC Approach 1). Uncertainty estimates for forest pool C stock changes were developed using the same  
 12 methodologies as described in the Forest Land Remaining Forest Land section for aboveground and belowground  
 13 biomass, dead wood, and litter. The exception was when IPCC default estimates were used for reference C stocks  
 14 in certain conversion categories (i.e., Cropland Converted to Forest Land and Grassland Converted to Forest Land).  
 15 In those cases, the uncertainties associated with the IPCC (2006) defaults were included in the uncertainty  
 16 calculations. IPCC Approach 2 was used for mineral soils and is described in the Cropland Remaining Cropland  
 17 section.

18 Uncertainty estimates are presented in Table 6-26 for each land conversion category and C pool. Uncertainty  
 19 estimates were obtained using a combination of sample-based and model-based approaches for all non-soil C  
 20 pools (IPCC Approach 1) and a Monte Carlo approach (IPCC Approach 2) was used for mineral soil. Uncertainty  
 21 estimates were combined using the error propagation model (IPCC Approach 1). The combined uncertainty for all  
 22 C stocks in Land Converted to Forest Land ranged from 11 percent below to 11 percent above the 2021 C stock  
 23 change estimate of -98.3 MMT CO<sub>2</sub> Eq.

24 **Table 6-26: Quantitative Uncertainty Estimates for Forest C Pool Stock Changes (MMT CO<sub>2</sub>**  
 25 **Eq. per Year) in 2021 from Land Converted to Forest Land by Land Use Change**

Land Use/Carbon Pool	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Range <sup>a</sup>			
		(MMT CO <sub>2</sub> Eq.)		(%)	
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
<b>Cropland Converted to Forest Land</b>	<b>(37.8)</b>	<b>(46.5)</b>	<b>(29.2)</b>	<b>-23%</b>	<b>23%</b>
Aboveground Biomass	(21.9)	(30.3)	(13.5)	-38%	38%
Belowground Biomass	(4.2)	(5.3)	(3.2)	-25%	25%
Dead Wood	(4.8)	(6.0)	(3.5)	-26%	26%
Litter	(6.8)	(7.8)	(5.7)	-16%	16%
Mineral Soils	(0.2)	(0.5)	0.1	-135%	135%
<b>Grassland Converted to Forest Land</b>	<b>(12.3)</b>	<b>(14.8)</b>	<b>(9.9)</b>	<b>-20%</b>	<b>20%</b>
Aboveground Biomass	(6.2)	(7.6)	(4.9)	-22%	22%
Belowground Biomass	(1.0)	(1.3)	(0.7)	-28%	28%
Dead Wood	(1.2)	(1.4)	(1.1)	-12%	12%
Litter	(4.1)	(4.7)	(3.6)	-13%	13%
Mineral Soils	0.3	(0.1)	0.6	-137%	137%
<b>Other Lands Converted to Forest Land</b>	<b>(10.7)</b>	<b>(13.0)</b>	<b>(8.3)</b>	<b>-22%</b>	<b>22%</b>
Aboveground Biomass	(4.8)	(6.9)	(2.7)	-44%	44%
Belowground Biomass	(0.8)	(1.3)	(0.4)	-51%	51%
Dead Wood	(1.3)	(1.9)	(0.8)	-42%	42%
Litter	(2.5)	(3.2)	(1.9)	-25%	25%
Mineral Soils	(1.1)	(1.9)	(0.4)	-68%	68%
<b>Settlements Converted to Forest Land</b>	<b>(34.0)</b>	<b>(40.5)</b>	<b>(27.5)</b>	<b>-19%</b>	<b>19%</b>
Aboveground Biomass	(20.7)	(26.9)	(14.5)	-30%	30%

Belowground Biomass	(3.9)	(5.3)	(2.6)	-33%	33%
Dead Wood	(3.9)	(5.1)	(2.8)	-29%	29%
Litter	(5.3)	(6.2)	(4.4)	-17%	17%
Mineral Soil	(0.1)	(0.1)	(0.0)	-47%	47%
<b>Wetlands Converted to Forest Land</b>	<b>(3.4)</b>	<b>(3.6)</b>	<b>(3.3)</b>	<b>-5%</b>	<b>5%</b>
Aboveground Biomass	(1.5)	(1.7)	(1.4)	-9%	9%
Belowground Biomass	(0.3)	(0.3)	(0.3)	-11%	11%
Dead Wood	(0.4)	(0.4)	(0.3)	-12%	12%
Litter	(1.3)	(1.3)	(1.2)	-5%	5%
Mineral Soils	0.0	0.0	0.0	NA	NA
<b>Total: Aboveground Biomass</b>	<b>(55.1)</b>	<b>(65.9)</b>	<b>(44.4)</b>	<b>-19%</b>	<b>19%</b>
<b>Total: Belowground Biomass</b>	<b>(10.3)</b>	<b>(12.0)</b>	<b>(8.5)</b>	<b>-17%</b>	<b>17%</b>
<b>Total: Dead Wood</b>	<b>(11.6)</b>	<b>(13.4)</b>	<b>(9.8)</b>	<b>-15%</b>	<b>15%</b>
<b>Total: Litter</b>	<b>(20.1)</b>	<b>(21.7)</b>	<b>(18.5)</b>	<b>-8%</b>	<b>8%</b>
<b>Total: Mineral Soils</b>	<b>(1.1)</b>	<b>(1.7)</b>	<b>(0.6)</b>	<b>-51%</b>	<b>51%</b>
<b>Total: Lands Converted to Forest Lands</b>	<b>(98.3)</b>	<b>(109.4)</b>	<b>(87.1)</b>	<b>-11%</b>	<b>11%</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

NA (Not Applicable)

<sup>a</sup> Range of flux estimate for 95 percent confidence interval.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net uptake. It is not possible to separate emissions from drained organic soils between Forest Land Remaining Forest Land and Land Converted to Forest Land so estimates for organic soils are included in Table 6-8 and Table 6-9 of the Forest Land Remaining Forest Land section of the Inventory.

## 1 QA/QC and Verification

2 See QA/QC and Verification sections under Forest Land Remaining Forest Land and for mineral soil estimates  
3 Cropland Remaining Cropland.

## 4 Recalculations Discussion

5 The approach for estimating carbon stock changes in Land Converted to Forest Land is consistent with the  
6 methods used for Forest Land Remaining Forest Land and is described in Annex 3.13. The Land Converted to Forest  
7 Land estimates in this Inventory are based on the land-use change information in the annual NFI. All conversions  
8 are based on empirical estimates compiled using plot remeasurements from the NFI, IPCC (2006) default biomass C  
9 stocks removed from Croplands and Grasslands in the year of conversion on individual plots and the Tier 2 method  
10 for estimating mineral soil C stock changes (Ogle et al. 2003, 2006; IPCC 2006). All annual NFI plots included in the  
11 public FIA database as of August 2022 were used in this Inventory. This is the fourth year that remeasurement data  
12 from the annual NFI were available throughout the conterminous United States (with the exception of Wyoming)  
13 to estimate land-use conversion. The availability of remeasurement data from the annual NFI allowed for  
14 consistent plot-level estimation of C stocks and stock changes for Forest Land Remaining Forest Land and the Land  
15 Converted to Forest Land categories. Estimates in the previous Inventory were based on state-level carbon density  
16 estimates and a combination of NRI data and NFI data in the eastern United States. The refined analysis in this  
17 Inventory resulted in changes in the Land Converted to Forest Land categories. Overall, the Land Converted to  
18 Forest Land C stock changes decreased by approximately 1 percent in 2020 between the previous Inventory and  
19 the current Inventory (Table 6-27). This decrease is directly attributed to the incorporation of annual NFI data into  
20 the compilation system.  
21

1 **Table 6-27: Recalculations of the Net C Flux from Forest C Pools in Land Converted to Forest**  
 2 **Land by Land Use Change Category (MMT C)**

Conversion category and Carbon pool (MMT C)	2020 Estimate, Previous Inventory	2020 Estimate, Current Inventory	2021 Estimate, Current Inventory
<b>Cropland Converted to Forest Land</b>	<b>(10.8)</b>	<b>(10.3)</b>	<b>(10.3)</b>
Aboveground Biomass	(6.3)	(6.0)	(6.0)
Belowground Biomass	(1.2)	(1.2)	(1.2)
Dead Wood	(1.4)	(1.3)	(1.3)
Litter	(1.9)	(1.8)	(1.8)
Mineral soil	(0.1)	(0.1)	(0.1)
<b>Grassland Converted to Forest Land</b>	<b>(3.2)</b>	<b>(3.4)</b>	<b>(3.4)</b>
Aboveground Biomass	(1.7)	(1.7)	(1.7)
Belowground Biomass	(0.3)	(0.3)	(0.3)
Dead Wood	(0.3)	(0.3)	(0.3)
Litter	(1.1)	(1.1)	(1.1)
Mineral soil	0.1	0.1	0.1
<b>Other Land Converted to Forest Land</b>	<b>(3.0)</b>	<b>(2.9)</b>	<b>(2.9)</b>
Aboveground Biomass	(1.3)	(1.3)	(1.3)
Belowground Biomass	(0.2)	(0.2)	(0.2)
Dead Wood	(0.4)	(0.4)	(0.4)
Litter	(0.7)	(0.7)	(0.7)
Mineral soil	(0.3)	(0.3)	(0.3)
<b>Settlements Converted to Forest Land</b>	<b>(9.3)</b>	<b>(9.3)</b>	<b>(9.3)</b>
Aboveground Biomass	(5.7)	(5.7)	(5.7)
Belowground Biomass	(1.1)	(1.1)	(1.1)
Dead Wood	(1.1)	(1.1)	(1.1)
Litter	(1.5)	(1.5)	(1.5)
Mineral soil	(0.0)	(0.0)	(0.0)
<b>Wetlands Converted to Forest Land</b>	<b>(0.9)</b>	<b>(0.9)</b>	<b>(0.9)</b>
Aboveground Biomass	(0.4)	(0.4)	(0.4)
Belowground Biomass	(0.1)	(0.1)	(0.1)
Dead Wood	(0.1)	(0.1)	(0.1)
Litter	(0.3)	(0.4)	(0.4)
Mineral soil	0.0	0.0	0.0
<b>Total Aboveground Biomass Flux</b>	<b>(15.3)</b>	<b>(15.0)</b>	<b>(15.0)</b>
<b>Total Belowground Biomass Flux</b>	<b>(2.9)</b>	<b>(2.8)</b>	<b>(2.8)</b>
<b>Total Dead Wood Flux</b>	<b>(3.2)</b>	<b>(3.2)</b>	<b>(3.2)</b>
<b>Total Litter Flux</b>	<b>(5.4)</b>	<b>(5.5)</b>	<b>(5.5)</b>
<b>Total SOC (mineral) Flux</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>
<b>Total Flux</b>	<b>(27.1)</b>	<b>(26.8)</b>	<b>(26.8)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

### 3 **Planned Improvements**

4 There are many improvements necessary to improve the estimation of carbon stock changes associated with land-  
 5 use conversion to forest land over the entire time series. First, soil C has historically been reported to a depth of  
 6 100 cm in the Forest Land Remaining Forest Land category (Domke et al. 2017) while other land-use categories  
 7 (e.g., Grasslands and Croplands) report soil carbon to a depth of 30 cm. To ensure greater consistency in the Land  
 8 Converted to Forest Land category where C stock transfers occur between land-use categories, all mineral soil  
 9 estimates in the Land Converted to Forest Land category in this Inventory are based on methods from Ogle et al.  
 10 (2003, 2006) and IPCC (2006). Methods have recently been developed (Domke et al. 2017) to estimate soil C to  
 11 depths of 20, 30, and 100 cm in the Forest Land category using in situ measurements from the Forest Inventory  
 12 and Analysis program within the USDA Forest Service and the International Soil Carbon Network. In subsequent  
 13 Inventories, a common reporting depth will be defined for all land-use conversion categories and Domke et al.

1 (2017) will be used in the Forest Land Remaining Forest Land and Land Converted to Forest Land categories to  
2 ensure consistent reporting across all forest land. Second, there will be improved methods and models to  
3 characterize standing live and dead tree carbon in the next Inventory. Third, due to the 5 to 10-year  
4 remeasurement periods within the FIA program and limited land-use change information available over the entire  
5 time series, estimates presented in this section may not reflect the entire 20-year conversion history. Work is  
6 underway to integrate the dense time series of remotely sensed data into a new estimation system, which will  
7 facilitate land conversion estimation over the entire time series.

## 8 6.4 Cropland Remaining Cropland (CRF 9 Category 4B1)

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10 Carbon (C) in cropland ecosystems occurs in biomass, dead organic matter, and soils. However, C storage in  
11 cropland biomass and dead organic matter is relatively ephemeral and does not need to be reported according to  
12 the IPCC (2006), with the exception of C stored in perennial woody crop biomass, such as citrus groves and apple  
13 orchards, in addition to the biomass, downed wood and dead organic matter in agroforestry systems. Within soils,  
14 C is found in organic and inorganic forms of C, but soil organic C is the main source and sink for atmospheric CO<sub>2</sub> in  
15 most soils. IPCC (2006) recommends reporting changes in soil organic C stocks due to agricultural land use and  
16 management activities for mineral and organic soils.<sup>38</sup>

17 Well-drained mineral soils typically contain from 1 to 6 percent organic C by weight, whereas mineral soils with  
18 high water tables for substantial periods of a year may contain significantly more C (NRCS 1999). Conversion of  
19 mineral soils from their native state to agricultural land uses can cause up to half of the soil organic C to be lost to  
20 the atmosphere due to enhanced microbial decomposition. The rate and ultimate magnitude of C loss depends on  
21 subsequent management practices, climate and soil type (Ogle et al. 2005). Agricultural practices, such as clearing,  
22 drainage, tillage, planting, grazing, crop residue management, fertilization, application of biosolids (i.e., treated  
23 sewage sludge) and flooding, can modify both organic matter inputs and decomposition, and thereby result in a  
24 net C stock change (Paustian et al. 1997a; Lal 1998; Conant et al. 2001; Ogle et al. 2005; Griscom et al. 2017; Ogle  
25 et al. 2019). Eventually, the soil can reach a new equilibrium that reflects a balance between C inputs (e.g.,  
26 decayed plant matter, roots, and organic amendments such as manure and crop residues) and C loss through  
27 microbial decomposition of organic matter (Paustian et al. 1997b).

28 Organic soils, also referred to as histosols, include all soils with more than 12 to 20 percent organic C by weight,  
29 depending on clay content (NRCS 1999; Brady and Weil 1999). The organic layer of these soils can be very deep  
30 (i.e., several meters), and form under inundated conditions that results in minimal decomposition of plant  
31 residues. When organic soils are prepared for crop production, they are drained and tilled, leading to aeration of  
32 the soil that accelerates both the decomposition rate and CO<sub>2</sub> emissions.<sup>39</sup> Due to the depth and richness of the  
33 organic layers, C loss from drained organic soils can continue over long periods of time, which varies depending on  
34 climate and composition (i.e., decomposability) of the organic matter (Armentano and Menges 1986). Due to  
35 deeper drainage and more intensive management practices, the use of organic soils for annual crop production  
36 leads to higher C loss rates than drainage of organic soils in grassland or forests (IPCC 2006).

37 Cropland Remaining Cropland includes all cropland in an Inventory year that has been cropland for a continuous  
38 time period of at least 20 years. This determination is based on the United States Department of Agriculture

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<sup>38</sup> Carbon dioxide emissions associated with liming and urea application are also estimated but are included in the Liming and Urea Fertilization sections of the Agriculture chapter of the Inventory.

<sup>39</sup> N<sub>2</sub>O emissions from drained organic soils are included in the Agricultural Soil Management section of the Agriculture chapter of the Inventory.



1 (USDA) National Resources Inventory (NRI) for non-federal lands (USDA-NRCS 2018a) and the National Land Cover  
 2 Dataset for federal lands (Yang et al. 2018; Homer et al. 2007; Fry et al. 2011; Homer et al. 2015). Cropland  
 3 includes all land that is used to produce food and fiber, forage that is harvested and used as feed (e.g., hay and  
 4 silage), in addition to cropland that has been enrolled in the Conservation Reserve Program (CRP)<sup>40</sup> (i.e.,  
 5 considered set-aside cropland).

6 There are several discrepancies between the current land representation (See Section 6.1) and the area data that  
 7 have been used in the inventory for Cropland Remaining Cropland. First, the current land representation is based  
 8 on the latest NRI dataset, which includes data through 2017, but these data have not been incorporated into the  
 9 Cropland Remaining Cropland Inventory. Second, cropland in Alaska is not included in the Inventory, and third,  
 10 some miscellaneous croplands are also not included in the Inventory due to limited understanding of greenhouse  
 11 gas emissions from these management systems (e.g., aquaculture). These differences lead to discrepancies  
 12 between the managed area in Cropland Remaining Cropland and the cropland area included in the Inventory  
 13 analysis (Table 6-31). Improvements are underway to incorporate the latest NRI dataset, croplands in Alaska and  
 14 miscellaneous croplands as part of future C inventories (See Planned Improvements Section).

15 Land use and land management of mineral soils are the largest contributor to total net C stock change, especially  
 16 in the early part of the time series (see Table 6-28 and Table 6-29). In 2021, mineral soils are estimated to  
 17 sequester 51.8 MMT CO<sub>2</sub> Eq. from the atmosphere (14.1 MMT C). This rate of C storage in mineral soils represents  
 18 about a 11 percent decrease in the rate since the initial reporting year of 1990. Carbon dioxide emissions from  
 19 organic soils are 32.9 MMT CO<sub>2</sub> Eq. (9.0 MMT C) in 2021, which is a 6 percent decrease compared to 1990. In total,  
 20 United States agricultural soils in Cropland Remaining Cropland sequestered approximately 18.9 MMT CO<sub>2</sub> Eq. (5.2  
 21 MMT C) in 2021.

22 **Table 6-28: Net CO<sub>2</sub> Flux from Soil C Stock Changes in Cropland Remaining Cropland (MMT**  
 23 **CO<sub>2</sub> Eq.)**

Soil Type	1990	2005	2017	2018	2019	2020	2021
Mineral Soils	(58.2)	(62.4)	(55.1)	(49.4)	(47.4)	(56.2)	(51.8)
Organic Soils	35.0	33.4	32.8	32.8	32.9	32.9	32.9
<b>Total Net Flux</b>	<b>(23.2)</b>	<b>(29.0)</b>	<b>(22.3)</b>	<b>(16.6)</b>	<b>(14.5)</b>	<b>(23.3)</b>	<b>(18.9)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

24 **Table 6-29: Net CO<sub>2</sub> Flux from Soil C Stock Changes in Cropland Remaining Cropland (MMT**  
 25 **C)**

Soil Type	1990	2005	2017	2018	2019	2020	2021
Mineral Soils	(15.9)	(17.0)	(15.0)	(13.5)	(12.9)	(15.3)	(14.1)
Organic Soils	9.5	9.1	8.9	8.9	9.0	9.0	9.0
<b>Total Net Flux</b>	<b>(6.3)</b>	<b>(7.9)</b>	<b>(6.1)</b>	<b>(4.5)</b>	<b>(4.0)</b>	<b>(6.4)</b>	<b>(5.2)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

26 Soil organic C stocks increase in Cropland Remaining Cropland largely due to conservation tillage (i.e., reduced- and  
 27 no-till practices), land set-aside from production in the Conservation Reserve Program, annual crop production  
 28 with hay or pasture in rotations, and manure amendments. However, there is a decline in the net amount of C  
 29 sequestration (i.e., 2021 is 18 percent less than 1990 for mineral and organic soils), and this decline is due to lower  
 30 sequestration rates in set-aside lands, less impact of manure amendments and annual crop production with hay  
 31 and pasture in rotation. Soil organic C losses from drainage of organic soils are relatively stable across the time

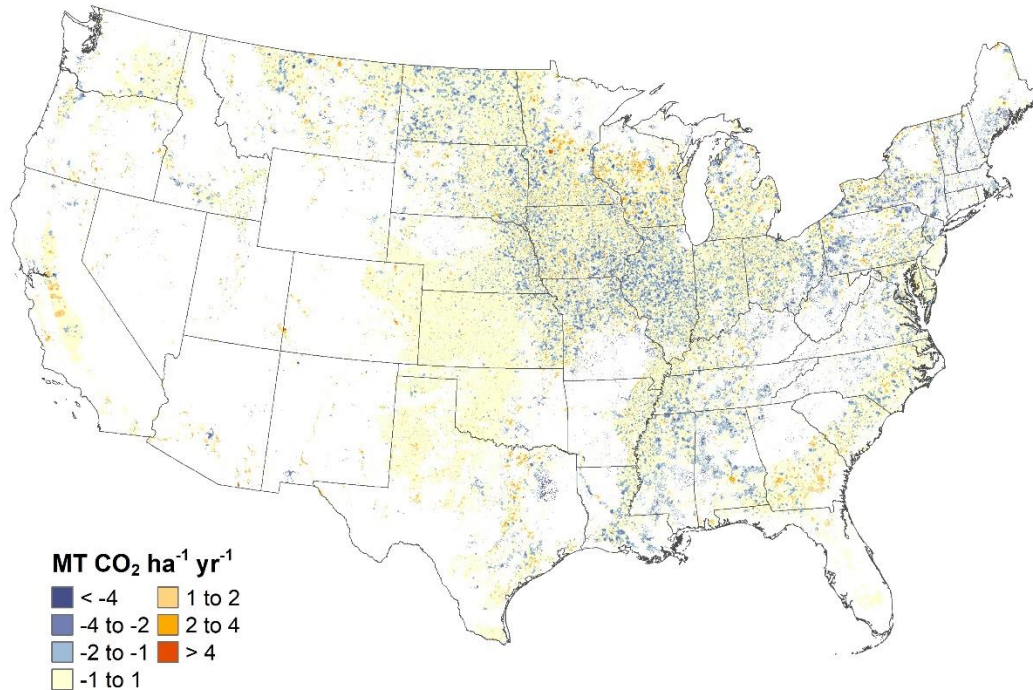
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<sup>40</sup> The Conservation Reserve Program (CRP) is a land conservation program administered by the Farm Service Agency (FSA). In exchange for a yearly rental payment, farmers enrolled in the program agree to remove environmentally sensitive land from agricultural production and plant species that will improve environmental health and quality. Contracts for land enrolled in CRP are 10 to 15 years in length. The long-term goal of the program is to re-establish valuable land cover to help improve water quality, prevent soil erosion, and reduce loss of wildlife habitat.

1 series with a small decline associated with the land base declining for Cropland Remaining Cropland on organic  
2 soils since 1990.

3 The spatial variability in the 2015 annual soil organic C stock changes<sup>41</sup> are displayed in Figure 6-6 and Figure 6-7  
4 for mineral and organic soils, respectively. Isolated areas with high rates of C accumulation occur throughout the  
5 agricultural land base in the United States, but there are more concentrated areas. In particular, higher rates of net  
6 C accumulation in mineral soils occur in the Corn Belt region, which is the region with the largest amounts of  
7 conservation tillage, along with moderate rates of CRP enrollment. The regions with the highest rates of emissions  
8 from drainage of organic soils occur in the Southeastern Coastal Region (particularly Florida), upper Midwest and  
9 Northeast surrounding the Great Lakes, and isolated areas along the Pacific Coast (particularly California), which  
10 coincides with the largest concentrations of organic soils in the United States that are used for agricultural  
11 production.

12 **Figure 6-6: Total Net Annual Soil C Stock Changes for Mineral Soils under Agricultural**  
13 **Management within States, 2015, Cropland Remaining Cropland**



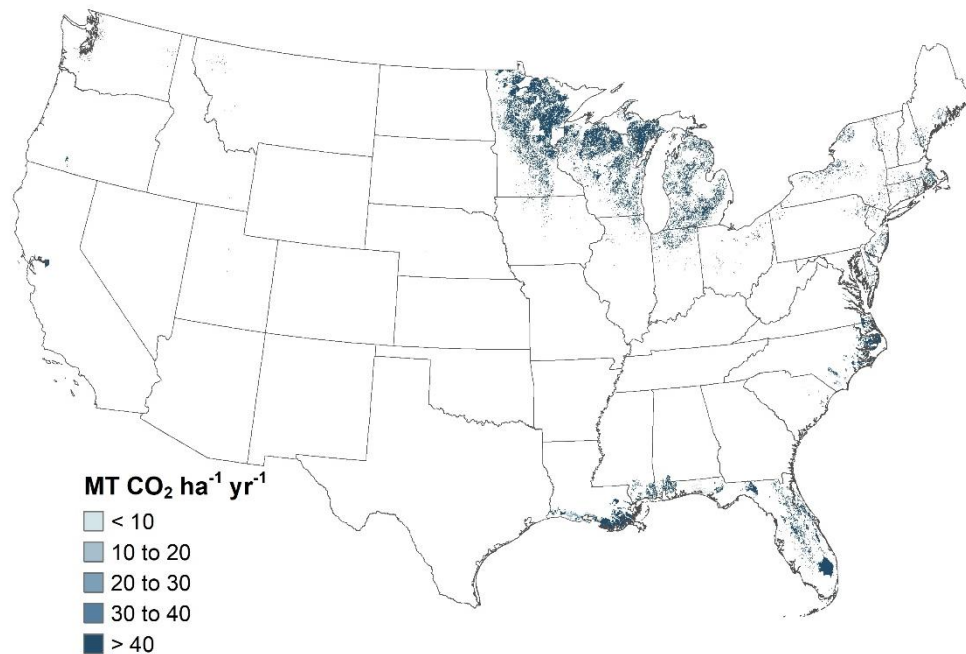
14

15 Note: Only national-scale soil organic C stock changes are estimated for 2016 to 2021 in the current Inventory  
16 using a surrogate data method, and therefore the fine-scale emission patterns in this map are based on  
17 inventory data from 2015. Negative values represent a net increase in soil organic C stocks, and positive values  
18 represent a net decrease in soil organic C stocks.

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<sup>41</sup> Only national-scale emissions are estimated for 2016 to 2021 in this Inventory using the surrogate data method, and therefore the fine-scale emission patterns in this map are based on inventory data from 2015.

1 **Figure 6-7: Total Net Annual Soil C Stock Changes for Organic Soils under Agricultural**  
2 **Management within States, 2015, Cropland Remaining Cropland**



3  
4 Note: Only national-scale soil organic C stock changes are estimated for 2016 to 2021 in the current Inventory  
5 using a surrogate data method, and therefore the fine-scale emission patterns in this map are based on  
6 inventory data from 2015.

## 7 Methodology and Time-Series Consistency

8 The following section includes a description of the methodology used to estimate changes in soil organic C stocks  
9 for Cropland Remaining Cropland, including (1) agricultural land use and management activities on mineral soils;  
10 and (2) agricultural land use and management activities on organic soils. Carbon dioxide emissions and removals<sup>42</sup>  
11 due to changes in mineral soil organic C stocks are estimated using a Tier 3 method for the majority of annual  
12 crops (Ogle et al. 2010). A Tier 2 IPCC method is used for the remaining crops not included in the Tier 3 method  
13 (see list of crops in the Mineral Soil Carbon Stock Changes section below) (Ogle et al. 2003, 2006). In addition, a  
14 Tier 2 method is used for very gravelly, cobbly, or shaley soils (i.e., classified as soils that have greater than 35  
15 percent of soil volume comprised of gravel, cobbles, or shale, regardless of crop). Emissions from organic soils are  
16 estimated using a Tier 2 IPCC method. While a combination of Tier 2 and 3 methods are used to estimate C stock  
17 changes across most of the time series, a surrogate data method has been applied to estimate stock changes in the  
18 last few years of the Inventory. Stock change estimates based on surrogate data will be recalculated in a future  
19 Inventory report using the Tier 2 and 3 methods when data become available.

20 Soil organic C stock changes on non-federal lands are estimated for Cropland Remaining Cropland (as well as  
21 agricultural land falling into the IPCC categories Land Converted to Cropland, Grassland Remaining Grassland, and  
22 Land Converted to Grassland) according to land use histories recorded in the USDA NRI survey (USDA-NRCS 2018a).  
23 The NRI is a statistically-based sample of all non-federal land, and includes approximately 489,178 survey locations  
24 in agricultural land for the conterminous United States and Hawaii. Each survey location is associated with an

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<sup>42</sup> Removals occur through uptake of CO<sub>2</sub> into crop and forage biomass that is later incorporated into soil C pools.

1 “expansion factor” that allows scaling of C stock changes from NRI survey locations to the entire country (i.e., each  
2 expansion factor represents the amount of area that is expected to have the same land use/management history  
3 as the sample point). Land use and some management information (e.g., crop type, soil attributes, and irrigation)  
4 are collected for each NRI point on a 5-year cycle beginning from 1982 through 1997. For cropland, data has been  
5 collected for 4 out of 5 years during each survey cycle (i.e., 1979 through 1982, 1984 through 1987, 1989 through  
6 1992, and 1994 through 1997). In 1998, the NRI program began collecting annual data, and the annual data are  
7 currently available through 2017, however this Inventory uses the previous NRI with annual data through 2015  
8 (USDA-NRCS 2018a). NRI survey locations are classified as Cropland Remaining Cropland in a given year between  
9 1990 and 2015 if the land use has been cropland for a continuous time period of at least 20 years. NRI survey  
10 locations are classified according to land use histories starting in 1979, and consequently the classifications are  
11 based on less than 20 years from 1990 to 1998. This may have led to an overestimation of Cropland Remaining  
12 Cropland in the early part of the time series to the extent that some areas are converted to cropland between  
13 1971 and 1978.

## 14 **Mineral Soil Carbon Stock Changes**

15 An IPCC Tier 3 model-based approach (Ogle et al. 2010) is applied to estimate organic C stock changes for mineral  
16 soils on the majority of land that is used to produce annual crops and forage crops that are harvested and used as  
17 feed (e.g., hay and silage) in the United States. These crops include alfalfa hay, barley, corn, cotton, grass hay,  
18 grass-clover hay, oats, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tobacco and wheat,  
19 but is not applied to estimate organic C stock changes from other crops or rotations with other crops. The model-  
20 based approach uses the DayCent biogeochemical model (Parton et al. 1998; Del Grosso et al. 2001, 2011) to  
21 estimate soil organic C stock changes, soil nitrous oxide (N<sub>2</sub>O) emissions from agricultural soil management, and  
22 methane (CH<sub>4</sub>) emissions from rice cultivation. Carbon and N dynamics are linked in plant-soil systems through the  
23 biogeochemical processes of microbial decomposition and plant production (McGill and Cole 1981). Coupling the  
24 two source categories (i.e., agricultural soil C and N<sub>2</sub>O) in a single inventory analysis ensures that there is a  
25 consistent treatment of the processes and interactions between C and N cycling in soils.

26 The remaining crops on mineral soils are estimated using an IPCC Tier 2 method (Ogle et al. 2003), including some  
27 vegetables, perennial/horticultural crops, and crops that are rotated with these crops. The Tier 2 method is also  
28 used for very gravelly, cobbly, or shaley soils (greater than 35 percent by volume), and soil organic C stock changes  
29 on federal croplands. Mineral soil organic C stocks are estimated using a Tier 2 method for these areas because the  
30 DayCent model, which is used for the Tier 3 method, has not been fully tested for estimating C stock changes  
31 associated with these crops and rotations, as well as cobbly, gravelly, or shaley soils. In addition, there is  
32 insufficient information to simulate croplands on federal lands using DayCent.

33 A surrogate data method is used to estimate soil organic C stock changes from 2016 to 2021 at the national scale  
34 for land areas included in the Tier 2 and Tier 3 methods. Specifically, linear regression models with autoregressive  
35 moving-average (ARMA) errors (Brockwell and Davis 2016) are used to estimate the relationship between  
36 surrogate data and the 1990 to 2015 stock change data that are derived using the Tier 2 and 3 methods. Surrogate  
37 data for these regression models include corn and soybean yields from USDA-NASS statistics,<sup>43</sup> and weather data  
38 from the PRISM Climate Group (PRISM 2018). See Box 6-4 for more information about the surrogate data method.  
39 Stock change estimates for 2016 to 2021 will be recalculated in future Inventories with an updated time series of  
40 activity data.

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<sup>43</sup> See <https://quickstats.nass.usda.gov/>.

1

#### Box 6-4: Surrogate Data Method

Time series extension is needed because there are typically gaps at the end of the time series. This is mainly because the NRI, which provides critical data for estimating greenhouse gas emissions and removals, does not release new activity data every year.

A surrogate data method has been used to impute missing emissions at the end of the time series for soil organic C stock changes in Cropland Remaining Cropland, Land Converted to Cropland, Grassland Remaining Grassland, and Land Converted to Grassland. A linear regression model with autoregressive moving-average (ARMA) errors (Brockwell and Davis 2016) is used to estimate the relationship between the surrogate data and the modeled 1990 to 2015 emissions data that has been compiled using the inventory methods described in this section. The model to extend the time series is given by

$$Y = X\beta + \epsilon,$$

where  $Y$  is the response variable (e.g., soil organic carbon),  $X\beta$  contains specific surrogate data depending on the response variable, and  $\epsilon$  is the remaining unexplained error. Models with a variety of surrogate data were tested, including commodity statistics, weather data, or other relevant information. Parameters are estimated from the emissions data for 1990 to 2015 using standard statistical techniques, and these estimates are used to predict the missing emissions data for 2016 to 2021.

A critical issue with application of splicing methods is to adequately account for the additional uncertainty introduced by predicting emissions rather than compiling the full inventory. Consequently, uncertainty will increase for years with imputed estimates based on the splicing methods, compared to those years in which the full inventory is compiled. This added uncertainty is quantified within the model framework using a Monte Carlo approach. The approach requires estimating parameters for results in each iteration of the Monte Carlo analysis for the full inventory (i.e., the surrogate data model is refit with the emissions estimated in each Monte Carlo iteration from the full inventory analysis with data from 1990 to 2015), estimating emissions from each model and deriving confidence intervals combining uncertainty across all iterations. This approach propagates uncertainties through the calculations from the original inventory and the surrogate data method. Furthermore, the 95 percent confidence intervals are estimated using the 3 sigma rules assuming a unimodal density (Pukelsheim 1994).

2

3 **Tier 3 Approach.** Mineral soil organic C stocks and stock changes are estimated to a 30 cm depth using the  
 4 DayCent biogeochemical<sup>44</sup> model (Parton et al. 1998; Del Grosso et al. 2001, 2011), which simulates cycling of C, N,  
 5 and other nutrients in cropland, grassland, forest, and savanna ecosystems. The DayCent model utilizes the soil C  
 6 modeling framework developed in the Century model (Parton et al. 1987, 1988, 1994; Metherell et al. 1993), but  
 7 has been refined to simulate dynamics at a daily time-step. Input data on land use and management are specified  
 8 at a daily resolution and include land-use type, crop/forage type, and management activities (e.g., planting,  
 9 harvesting, fertilization, manure amendments, tillage, irrigation, cover crops, and grazing; more information is  
 10 provided below). The model simulates net primary productivity (NPP) using the NASA-CASA production algorithm  
 11 MODIS Enhanced Vegetation Index (EVI) products, MOD13Q1 and MYD13Q1, for most croplands<sup>45</sup> (Potter et al.  
 12 1993, 2007). The model simulates soil temperature and water dynamics, using daily weather data from a 4-  
 13 kilometer gridded product developed by the PRISM Climate Group (2018), and soil attributes from the Soil Survey

<sup>44</sup> Biogeochemical cycles are the flow of chemical elements and compounds between living organisms and the physical environment.

<sup>45</sup> NPP is estimated with the NASA-CASA algorithm for most of the cropland that is used to produce major commodity crops in the central United States from 2000 to 2015. Other regions and years prior to 2000 are simulated with a method that incorporates water, temperature and moisture stress on crop production (see Metherell et al. 1993), but does not incorporate the additional information about crop condition provided with remote sensing data.

1 Geographic Database (SSURGO) (Soil Survey Staff 2019). This method is more accurate than the Tier 1 and 2  
2 approaches provided by the IPCC (2006) because the simulation model treats changes as continuous over time as  
3 opposed to the simplified discrete changes represented in the default method (see Box 6-5 for additional  
4 information).

#### 5 **Box 6-5: Tier 3 Approach for Soil C Stocks Compared to Tier 1 or 2 Approaches**

A Tier 3 model-based approach is used to estimate soil organic C stock changes for the majority of agricultural land with mineral soils. This approach results in a more complete and accurate estimation of soil organic C stock changes and entails several fundamental differences from the IPCC Tier 1 or 2 methods, as described below.

- 1) The IPCC Tier 1 and 2 methods are simplified approaches for estimating soil organic C stock changes and classify land areas into discrete categories based on highly aggregated information about climate (six regions), soil (seven types), and management (eleven management systems) in the United States. In contrast, the Tier 3 model incorporates the same variables (i.e., climate, soils, and management systems) with considerably more detail both temporally and spatially, and captures multi-dimensional interactions through the more complex model structure.
- 2) The IPCC Tier 1 and 2 methods have a coarser spatial resolution in which data are aggregated to soil types in climate regions, of which there are about 30 combinations in the United States. In contrast, the Tier 3 model simulates soil C dynamics at about 350,000 individual NRI survey locations in crop fields and grazing lands.

The IPCC Tier 1 and 2 methods use a simplified approach for estimating changes in C stocks that assumes a step-change from one equilibrium level of the C stock to another equilibrium level. In contrast, the Tier 3 approach simulates a continuum of C stock changes that may reach a new equilibrium over an extended period of time depending on the environmental conditions (i.e., a new equilibrium often requires hundreds to thousands of years to reach). More specifically, the DayCent model, which is used in the United States Inventory, simulates soil C dynamics (and CO<sub>2</sub> emissions and uptake) on a daily time step based on C emissions and removals from plant production and decomposition processes. These changes in soil organic C stocks are influenced by multiple factors that affect primary production and decomposition, including changes in land use and management, weather variability and secondary feedbacks between management activities, climate, and soils.

6  
7 Historical land-use patterns and irrigation histories are simulated with DayCent based on the 2015 USDA NRI  
8 survey (USDA-NRCS 2018a). Additional sources of activity data are used to supplement the activity data from the  
9 NRI. The USDA-NRCS Conservation Effects and Assessment Project (CEAP) provides data on a variety of cropland  
10 management activities, and is used to inform the inventory analysis about tillage practices, mineral fertilization,  
11 manure amendments, cover cropping management, as well as planting and harvest dates (USDA-NRCS 2018b;  
12 USDA-NRCS 2012). CEAP data are collected at a subset of NRI survey locations, and currently provide management  
13 information from approximately 2002 to 2006. These data are combined with other datasets in an imputation  
14 analysis that extends the time series from 1990 to 2015. This imputation analysis is comprised of three steps: a)  
15 determine the trends in management activity across the time series by combining information across several  
16 datasets (discussed below), b) use an artificial neural network to determine the likely management practice at a  
17 given NRI survey location (Cheng and Titterton 1994), and c) assign management practices from the CEAP  
18 survey to the specific NRI locations using predictive mean matching methods that is adapted to reflect the trending  
19 information (Little 1988, van Buuren 2012). The artificial neural network is a machine learning method that  
20 approximates nonlinear functions of inputs and searches through a very large class of models to impute an initial  
21 value for management practices at specific NRI survey locations. The predictive mean matching method identifies  
22 the most similar management activity recorded in the CEAP survey that matches the prediction from the artificial  
23 neural network. Predictive mean matching ensures that imputed management activities are realistic for each NRI  
24 survey location, and not odd or physically unrealizable results that could be generated by the artificial neural  
25 network. There are six complete imputations of the management activity data using these methods.

1 To determine trends in mineral fertilization and manure amendments from 1979 to 2015, CEAP data are combined  
2 with information on fertilizer use and rates by crop type for different regions of the United States from the USDA  
3 Economic Research Service. The data collection program was known as the Cropping Practices Surveys through  
4 1995 (USDA-ERS 1997), and is now part of a data collection program known as the Agricultural Resource  
5 Management Surveys (ARMS) (USDA-ERS 2018). Additional data on fertilization practices are compiled through  
6 other sources particularly the National Agricultural Statistics Service (USDA-NASS 1992, 1999, 2004). The donor  
7 survey data from CEAP contain both mineral fertilizer rates and manure amendment rates, so that the selection of  
8 a donor via predictive mean matching yields the joint imputation of both rates. This approach captures the  
9 relationship between mineral fertilization and manure amendment practices for U.S. croplands based directly on  
10 the observed patterns in the CEAP survey data.

11 To determine the trends in tillage management from 1979 to 2015, CEAP data are combined with Conservation  
12 Technology Information Center data between 1989 and 2004 (CTIC 2004) and USDA-ERS Agriculture Resource  
13 Management Surveys (ARMS) data from 2002 to 2015 (Claasen et al. 2018). CTIC data are adjusted for long-term  
14 adoption of no-till agriculture (Towery 2001). It is assumed that the majority of agricultural lands are managed  
15 with full tillage prior to 1985. For cover crops, CEAP data are combined with information from 2011 to 2016 in the  
16 USDA Census of Agriculture (USDA-NASS 2012, 2017). It is assumed that cover cropping was minimal prior to 1990  
17 and the rates increased linearly over the decade to the levels of cover crop management derived from the CEAP  
18 survey.

19 Uncertainty in the C stock estimates from DayCent associated with management activity includes input uncertainty  
20 due to missing management data in the NRI survey, which is imputed from other sources as discussed above;  
21 model uncertainty due to incomplete specification of C and N dynamics in the DayCent model algorithms and  
22 associated parameterization; and sampling uncertainty associated with the statistical design of the NRI survey. To  
23 assess input uncertainty, the C and N dynamics at each NRI survey location are simulated six times using the  
24 imputation product and other model driver data. Uncertainty in parameterization and model algorithms are  
25 determined using a structural uncertainty estimator as described in Ogle et al. (2007, 2010). Sampling uncertainty  
26 is assessed using the NRI replicate sampling weights.

27 Carbon stocks and 95 percent confidence intervals are estimated for each year between 1990 and 2015 using the  
28 DayCent model. However, note that the areas have been modified in the original NRI survey through the process in  
29 which the Forest Inventory and Analysis (FIA) survey data and the National Land Cover Dataset (Homer et al. 2007;  
30 Fry et al. 2011; Homer et al. 2015) are harmonized with the NRI data. This process ensures that the areas of Forest  
31 Land Remaining Forest Land and Land Converted to Forest Land are consistent with other land-use categories  
32 while maintaining a consistent time series for the total land area of the United States. For example, if the FIA  
33 estimate less Cropland Converted to Forest Land than the NRI, then the amount of area for this land-use  
34 conversion is reduced in the NRI dataset and re-classified as Cropland Remaining Cropland (See Section 6.1,  
35 Representation of the U.S. Land Base for more information). Further elaboration on the methodology and data  
36 used to estimate stock changes from mineral soils are described in Annex 3.12 of EPA (2022).

37 In order to ensure time-series consistency, the Tier 3 method is applied from 1990 to 2015 so that changes reflect  
38 anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes from 2016 to  
39 2021 are approximated with a linear extrapolation of emission patterns from 1990 to 2015. The extrapolation is  
40 based on a linear regression model with moving-average (ARMA) errors (See Box 6-4). Linear extrapolation is a  
41 standard data splicing method for approximating emissions at the end of a time series (IPCC 2006). Time series of  
42 activity data will be updated in a future inventory, and emissions from 2016 to 2021 will be recalculated.

43 **Tier 2 Approach.** In the IPCC Tier 2 method, data on climate, soil types, land use, and land management activity are  
44 used to classify land area and apply appropriate factors to estimate soil organic C stock changes to a 30 cm depth  
45 (Ogle et al. 2003, 2006). The primary source of activity data for land use, crop and irrigation histories is the 2015  
46 NRI survey (USDA-NRCS 2018a). Each NRI survey location is classified by soil type, climate region, and management  
47 condition using data from other sources. Survey locations on federal lands are included in the NRI, but land use  
48 and cropping history are not compiled for these locations in the survey program (i.e., NRI is restricted to data  
49 collection on non-federal lands). Therefore, land-use patterns for the NRI survey locations on federal lands are

1 based on the National Land Cover Database (NLCD) (Yang et al. 2018; Fry et al. 2011; Homer et al. 2007; Homer et  
2 al. 2015).

3 Additional management activities needed for the Tier 2 method are based on the imputation product described for  
4 the Tier 3 approach, including tillage practices, mineral fertilization, and manure amendments that are assigned to  
5 NRI survey locations. The one exception are activity data on wetland restoration of Conservation Reserve Program  
6 land that are obtained from Euliss and Gleason (2002). Climate zones in the United States are classified using mean  
7 precipitation and temperature (1950 to 2000) variables from the WorldClim data set (Hijmans et al. 2005) and  
8 potential evapotranspiration data from the Consortium for Spatial Information (CGIAR-CSI) (Zomer et al. 2008,  
9 2007) (Figure A-9). IPCC climate zones are then assigned to NRI survey locations.

10 Reference C stocks are estimated using the National Soil Survey Characterization Database (NRCS 1997) with  
11 cultivated cropland as the reference condition, rather than native vegetation as used in IPCC (2006). Soil  
12 measurements under agricultural management are much more common and easily identified in the National Soil  
13 Survey Characterization Database (NRCS 1997) than are soils under a native condition, and therefore cultivated  
14 cropland provides a more robust sample for estimating the reference condition. Country-specific C stock change  
15 factors are derived from published literature to determine the impact of management practices on soil organic C  
16 storage (Ogle et al. 2003, 2006). The factors represent changes in tillage, cropping rotations, intensification, and  
17 land-use change between cultivated and uncultivated conditions. However, country-specific factors associated  
18 with organic matter amendments are not estimated due to an insufficient number of studies in the United States  
19 to analyze the impacts. Instead, factors from IPCC (2006) are used to estimate the effect of those activities.

20 Changes in soil organic C stocks for mineral soils are estimated 1,000 times for 1990 through 2015, using a Monte  
21 Carlo stochastic simulation approach and probability distribution functions for the country-specific stock change  
22 factors, reference C stocks, and land use activity data (Ogle et al. 2003; Ogle et al. 2006). Further elaboration on  
23 the methodology and data used to estimate stock changes from mineral soils are described in Annex 3.12 of EPA  
24 (2022).

25 In order to ensure time-series consistency, the Tier 2 method is applied from 1990 to 2015 so that changes reflect  
26 anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes for the  
27 remainder of the time series are approximated with a linear extrapolation of emission patterns from 1990 to 2015.  
28 The extrapolation is based on a linear regression model with moving-average (ARMA) errors (See Box 6-4). Linear  
29 extrapolation is a standard data splicing method for approximating emissions at the end of a time series (IPCC  
30 2006). As with the Tier 3 method, time series of activity data will be updated in a future inventory, and emissions  
31 from 2016 to 2021 will be recalculated (see Planned Improvements section).

## 32 **Organic Soil Carbon Stock Changes**

33 Annual C emissions from drained organic soils in Cropland Remaining Cropland are estimated using the Tier 2  
34 method provided in IPCC (2006), with country-specific C loss rates (Ogle et al. 2003) rather than default IPCC rates.  
35 The final estimates include a measure of uncertainty as determined from a Monte Carlo Simulation with 1,000  
36 iterations. Emissions are based on the land area data for drained organic soils from 1990 to 2015 for Cropland  
37 Remaining Cropland in the 2015 NRI (USDA-NRCS 2018a). Further elaboration on the methodology and data used  
38 to estimate stock changes from organic soils are described in Annex 3.12 of EPA (2022).

39 In order to ensure time-series consistency, the same Tier 2 method is applied from 1990 to 2015 so that changes  
40 reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes for the  
41 remainder of the time series are approximated with a linear extrapolation of emission patterns from 1990 to 2015.  
42 The extrapolation is based on a linear regression model with moving-average (ARMA) errors (See Box 6-4). Linear  
43 extrapolation is a standard data splicing method for approximating emissions at the end of a time series (IPCC  
44 2006). Estimates for 2016 to 2021 will be recalculated in a future inventory when new activity data are  
45 incorporated into the analysis.



## 1 Uncertainty

2 Uncertainty is quantified for changes in soil organic C stocks associated with Cropland Remaining Cropland  
 3 (including both mineral and organic soils). Uncertainty estimates are presented in Table 6-30 for each subsource  
 4 (mineral and organic soil C stocks) and the methods that are used in the Inventory analyses (i.e., Tier 2 and Tier 3).  
 5 Uncertainty for the Tier 2 and 3 approaches is derived using a Monte Carlo approach (see Annex 3.12 of EPA 2022  
 6 for further discussion). For 2016 to 2021, additional uncertainty is propagated through the Monte Carlo Analysis  
 7 that is associated with the surrogate data method. Soil organic C stock changes from the Tier 2 and 3 approaches  
 8 are combined using the simple error propagation method provided by the IPCC (2006). The combined uncertainty  
 9 is calculated by taking the square root of the sum of the squares of the standard deviations of the uncertain  
 10 quantities.

11 The combined uncertainty for soil organic C stocks in Cropland Remaining Cropland ranges from 406 percent below  
 12 to 406 percent above the 2021 stock change estimate of -18.9 MMT CO<sub>2</sub> Eq. The large relative uncertainty around  
 13 the 2021 stock change estimate is mostly due to variation in soil organic C stock changes that is not explained by  
 14 the surrogate data method, leading to high prediction error.

15 **Table 6-30: Approach 2 Quantitative Uncertainty Estimates for Soil C Stock Changes**  
 16 **occurring within Cropland Remaining Cropland (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
Mineral Soil C Stocks: Cropland Remaining Cropland, Tier 3 Inventory Methodology	(46.6)	(120.8)	27.6	-159%	159%
Mineral Soil C Stocks: Cropland Remaining Cropland, Tier 2 Inventory Methodology	(5.2)	(12.3)	1.8	-134%	134%
Organic Soil C Stocks: Cropland Remaining Cropland, Tier 2 Inventory Methodology	32.9	13.9	51.9	-58%	58%
<b>Combined Uncertainty for Flux associated with Agricultural Soil Carbon Stock Change in Cropland Remaining Cropland</b>	<b>(18.9)</b>	<b>(95.9)</b>	<b>58.0</b>	<b>-406%</b>	<b>406%</b>

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation with a 95 percent confidence interval.  
 Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

17 Uncertainty is also associated with lack of reporting of agricultural woody biomass and dead organic matter C stock  
 18 changes. However, woody biomass C stock changes are likely minor in perennial crops, such as orchards and nut  
 19 plantations. There will be removal and replanting of tree crops each year, but the net effect on biomass C stock  
 20 changes is probably minor because the overall area and tree density is relatively constant across time series. In  
 21 contrast, agroforestry practices, such as shelterbelts, riparian forests and intercropping with trees, may have more  
 22 significant changes over the Inventory time series, compared to perennial woody crops, at least in some regions of  
 23 the United States, but there are currently no datasets to evaluate the trends. Changes in litter C stocks are also  
 24 assumed to be negligible in croplands over annual time frames, although there are certainly significant changes at  
 25 sub-annual time scales across seasons. This trend may change in the future, particularly if crop residue becomes a  
 26 viable feedstock for bioenergy production.

## 27 QA/QC and Verification

28 Quality control measures included checking input data, model scripts, and results to ensure data are properly  
 29 handled throughout the inventory process. Inventory reporting forms and text are reviewed and revised as needed  
 30 to correct transcription errors. Results from the DayCent model are compared to field measurements and soil  
 31 monitoring sites associated with the NRI (Spencer et al. 2011), and a statistical relationship has been developed to

1 assess uncertainties in the predictive capability of the model (Ogle et al. 2007). The comparisons include 72 long-  
 2 term experiment sites and 142 NRI soil monitoring network sites, with 948 observations across all of the sites (see  
 3 Annex 3.12 of EPA 2022 for more information).

## 4 Recalculations Discussion

5 There are no recalculations in the time series from the previous Inventory.

## 6 Planned Improvements

7 There are two key improvements planned for the inventory, including a) incorporating the latest land use data  
 8 from the USDA National Resources Inventory, and b) conducting an analysis of C stock changes in Alaska for  
 9 cropland. This latter improvement will be conducted using the Tier 2 method for mineral and organic soils that is  
 10 described earlier in this section. The analysis will initially focus on land-use change, which typically has a larger  
 11 impact on soil organic C stock changes than management practices, but will be further refined over time to  
 12 incorporate management data. These two improvements will resolve most of the differences between the  
 13 managed land base for Cropland Remaining Cropland and amount of area currently included in Cropland  
 14 Remaining Cropland Inventory (See Table 6-31).

15 **Table 6-31: Comparison of Managed Land Area in Cropland Remaining Cropland and Area in**  
 16 **the Current Cropland Remaining Cropland Inventory (Thousand Hectares)**

Area (Thousand Hectares)			
Year	Managed Land	Inventory	Difference
1990	162,265	162,134	131
1991	161,834	161,692	142
1992	161,336	161,223	113
1993	159,567	159,420	147
1994	157,880	157,703	178
1995	157,269	157,025	244
1996	156,630	156,380	250
1997	156,010	155,738	271
1998	152,330	151,987	343
1999	151,429	151,105	324
2000	151,246	150,952	294
2001	150,725	150,442	283
2002	150,417	150,146	271
2003	151,043	150,814	229
2004	150,769	150,616	153
2005	150,400	150,275	126
2006	149,893	149,762	131
2007	150,100	150,003	97
2008	149,706	149,694	11
2009	149,646	149,714	-68
2010	149,215	149,314	-100
2011	148,619	148,815	-195
2012	148,290	148,495	-205
2013	148,653	148,989	-336
2014	149,136	149,463	-327

2015	148,520	148,851	-331
2016	148,432	*	*
2017	148,327	*	*
2018	149,721	*	*
2019	149,504	*	*
2020	149,817	*	*
2021	150,586	*	*

NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

1 There are several other planned improvements underway related to the plant production module in DayCent. A  
2 key improvement for a future Inventory will be to incorporate additional management activity data from the  
3 USDA-NRCS Conservation Effects Assessment Project survey. The CEAP survey has compiled new data in recent  
4 years. Crop parameters associated with temperature effects on plant production will be further improved in  
5 DayCent with additional model calibration. Senescence events following grain filling in crops, such as wheat, are  
6 being modified based on recent model algorithm development, and will be incorporated. There will also be further  
7 testing and parameterization of the DayCent model to reduce the bias in model predictions for grasslands, which  
8 was discovered through model evaluation by comparing output to measurement data from 72 experimental sites  
9 and 142 NRI soil monitoring network sites (See QA/QC and Verification section).

10 Improvements are underway to simulate crop residue burning in the DayCent model based on the amount of crop  
11 residues burned according to the data that are used in the Field Burning of Agricultural Residues source category  
12 (see Section 5.7). This improvement will more accurately represent the C inputs to the soil that are associated with  
13 residue burning. In addition, a review of available data on biosolids (i.e., treated sewage sludge) application will be  
14 undertaken to improve the distribution of biosolids application on croplands, grasslands and settlements.

15 Many of these improvements are expected to be completed for the 1990 through 2022 Inventory (i.e., 2024  
16 submission to the UNFCCC). However, the timeline may be extended if there are insufficient resources to fund all  
17 or part of these planned improvements.

18

## 19 6.5 Land Converted to Cropland (CRF 20 Category 4B2)

21 Land Converted to Cropland includes all cropland in an inventory year that had been in another land use(s) during  
22 the previous 20 years (USDA-NRCS 2018), and used to produce food or fiber, or forage that is harvested and used  
23 as feed (e.g., hay and silage). For example, Grassland or Forest Land Converted to Cropland during the past 20  
24 years would be reported in this category. Recently converted lands are retained in this category for 20 years as  
25 recommended by IPCC (2006).

26 Land-use change can lead to large losses of C to the atmosphere, particularly conversions from forest land  
27 (Houghton et al. 1983; Houghton and Nassikas 2017). Moreover, conversion of forest to another land use (i.e.,  
28 deforestation) is one of the largest anthropogenic sources of emissions to the atmosphere globally, although this  
29 source may be declining according to a recent assessment (Tubiello et al. 2015).

30 The 2006 IPCC Guidelines recommend reporting changes in biomass, dead organic matter and soil organic C stocks  
31 with land-use change. All soil organic C stock changes are estimated and reported for Land Converted to Cropland,  
32 but reporting of C stock changes for aboveground and belowground biomass, dead wood, and litter pools is limited

1 to Forest Land Converted to Cropland and Grassland Converted Cropland for woodland conversions (i.e., woodland  
2 conversion to cropland).<sup>46</sup>

3 There are several discrepancies between the current land representation (See Section 6.1) and the area data that  
4 have been used in the inventory for Land Converted to Cropland. First, the current land representation is based on  
5 the latest NRI dataset, which includes data through 2017, but these data have not been incorporated into the Land  
6 Converted to Cropland Inventory. Second, cropland in Alaska is not included in the Inventory, but is a relatively  
7 small amount of U.S. cropland area (approximately 28,700 hectares). Third, some miscellaneous croplands are also  
8 not included in the Inventory due to limited understanding of greenhouse gas emissions from these management  
9 systems (e.g., aquaculture). These differences lead to small discrepancies between the managed area in Land  
10 Converted to Cropland and the cropland area included in the Land Converted to Cropland Inventory analysis (Table  
11 6-35). Improvements are underway to incorporate the latest NRI dataset, croplands in Alaska and miscellaneous  
12 croplands as part of future C inventories (See Planned Improvements section).

13 Forest Land Converted to Cropland is the largest source of emissions from 1990 to 2021, accounting for  
14 approximately 86 percent of the average total loss of C among all of the land-use conversions in Land Converted to  
15 Cropland. The pattern is due to the large losses of biomass and dead organic matter C for Forest Land Converted to  
16 Cropland. The next largest source of emissions is Grassland Converted to Cropland accounting for approximately  
17 17 percent of the total emissions (Table 6-32 and Table 6-33). The net change in total C stocks for 2021 led to CO<sub>2</sub>  
18 emissions to the atmosphere of 56.5 MMT CO<sub>2</sub> Eq. (15.4 MMT C), including 29.8 MMT CO<sub>2</sub> Eq. (8.1 MMT C) from  
19 aboveground biomass C losses, 5.8 MMT CO<sub>2</sub> Eq. (1.6 MMT C) from belowground biomass C losses, 5.8 MMT CO<sub>2</sub>  
20 Eq. (1.6 MMT C) from dead wood C losses, 8.2 MMT CO<sub>2</sub> Eq. (2.2 MMT C) from litter C losses, 3.2 MMT CO<sub>2</sub> Eq. (0.9  
21 MMT C) from mineral soils and 3.8 MMT CO<sub>2</sub> Eq. (1.0 MMT C) from drainage and cultivation of organic soils.  
22 Emissions in 2021 are 3 percent higher than emissions in the initial reporting year, i.e., 1990.

23 **Table 6-32: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes in**  
24 **Land Converted to Cropland by Land Use Change Category (MMT CO<sub>2</sub> Eq.)**

	1990	2005	2017	2018	2019	2020	2021
<b>Grassland Converted to Cropland</b>	<b>8.0</b>	<b>8.6</b>	<b>9.8</b>	<b>9.6</b>	<b>9.6</b>	<b>9.9</b>	<b>9.8</b>
Aboveground Live Biomass	0.6	0.6	0.6	0.6	0.6	0.6	0.6
Belowground Live Biomass	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Dead Wood	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Litter	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Mineral Soils	4.1	4.0	5.4	5.1	5.1	5.5	5.3
Organic Soils	2.7	3.5	3.3	3.3	3.3	3.3	3.3
<b>Forest Land Converted to Cropland</b>	<b>48.2</b>	<b>48.1</b>	<b>48.5</b>	<b>48.5</b>	<b>48.5</b>	<b>48.5</b>	<b>48.5</b>
Aboveground Live Biomass	28.8	28.9	29.2	29.2	29.2	29.2	29.2
Belowground Live Biomass	5.6	5.6	5.7	5.7	5.7	5.7	5.7
Dead Wood	5.5	5.5	5.5	5.5	5.5	5.5	5.5
Litter	7.8	7.8	8.0	8.0	8.0	8.0	8.0
Mineral Soils	0.4	0.2	0.1	0.1	0.1	0.2	0.1
Organic Soils	0.1	0.1	+	+	+	+	+
<b>Other Lands Converted to Cropland</b>	<b>(2.2)</b>	<b>(2.9)</b>	<b>(2.2)</b>	<b>(2.2)</b>	<b>(2.3)</b>	<b>(2.3)</b>	<b>(2.3)</b>
Mineral Soils	(2.3)	(2.9)	(2.2)	(2.2)	(2.3)	(2.3)	(2.3)
Organic Soils	0.2	0.1	+	+	+	+	+
<b>Settlements Converted to Cropland</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>
Mineral Soils	(0.1)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Organic Soils	+	+	+	+	+	+	+

<sup>46</sup> Changes in biomass C stocks are estimated for Forest Land Converted to Cropland and Grassland Converted to Cropland for woodland conversions. There is a planned improvement to include the effect of other land-use conversions, in addition to herbaceous grassland conversions to cropland in a future Inventory. Note: changes in dead organic matter are assumed negligible for other land-use conversions to cropland, except Forest Land and woodland conversions.

<b>Wetlands Converted to Cropland</b>	<b>0.8</b>	<b>0.9</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.7</b>
Mineral Soils	0.3	0.3	0.2	0.2	0.2	0.2	0.2
Organic Soils	0.6	0.6	0.3	0.4	0.4	0.4	0.4
<b>Aboveground Live Biomass</b>	<b>29.4</b>	<b>29.5</b>	<b>29.8</b>	<b>29.8</b>	<b>29.8</b>	<b>29.8</b>	<b>29.8</b>
<b>Belowground Live Biomass</b>	<b>5.7</b>	<b>5.7</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>
<b>Dead Wood</b>	<b>5.7</b>	<b>5.7</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>
<b>Litter</b>	<b>8.0</b>	<b>8.1</b>	<b>8.2</b>	<b>8.2</b>	<b>8.2</b>	<b>8.2</b>	<b>8.2</b>
<b>Total Mineral Soil Flux</b>	<b>2.3</b>	<b>1.3</b>	<b>3.4</b>	<b>3.1</b>	<b>3.0</b>	<b>3.5</b>	<b>3.2</b>
<b>Total Organic Soil Flux</b>	<b>3.7</b>	<b>4.3</b>	<b>3.7</b>	<b>3.7</b>	<b>3.7</b>	<b>3.8</b>	<b>3.8</b>
<b>Total Net Flux</b>	<b>54.8</b>	<b>54.7</b>	<b>56.6</b>	<b>56.3</b>	<b>56.3</b>	<b>56.7</b>	<b>56.5</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

1 **Table 6-33: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes in**  
2 **Land Converted to Cropland (MMT C)**

	1990	2005	2017	2018	2019	2020	2021
<b>Grassland Converted to Cropland</b>	<b>2.2</b>	<b>2.4</b>	<b>2.7</b>	<b>2.6</b>	<b>2.6</b>	<b>2.7</b>	<b>2.7</b>
Aboveground Live Biomass	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Belowground Live Biomass	+	+	+	+	+	+	+
Dead Wood	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Litter	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Mineral Soils	1.1	1.1	1.5	1.4	1.4	1.5	1.5
Organic Soils	0.7	1.0	0.9	0.9	0.9	0.9	0.9
<b>Forest Land Converted to Cropland</b>	<b>13.1</b>	<b>13.1</b>	<b>13.2</b>	<b>13.2</b>	<b>13.2</b>	<b>13.2</b>	<b>13.2</b>
Aboveground Live Biomass	7.9	7.9	8.0	8.0	8.0	8.0	8.0
Belowground Live Biomass	1.5	1.5	1.5	1.5	1.5	1.5	1.5
Dead Wood	1.5	1.5	1.5	1.5	1.5	1.5	1.5
Litter	2.1	2.1	2.2	2.2	2.2	2.2	2.2
Mineral Soils	0.1	+	+	+	+	+	+
Organic Soils	+	+	+	+	+	+	+
<b>Other Lands Converted to Cropland</b>	<b>(0.6)</b>	<b>(0.8)</b>	<b>(0.6)</b>	<b>(0.6)</b>	<b>(0.6)</b>	<b>(0.6)</b>	<b>(0.6)</b>
Mineral Soils	(0.6)	(0.8)	(0.6)	(0.6)	(0.6)	(0.6)	(0.6)
Organic Soils	+	+	+	+	+	+	+
<b>Settlements Converted to Cropland</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
Mineral Soils	+	+	+	+	+	+	+
Organic Soils	+	+	+	+	+	+	+
<b>Wetlands Converted to Cropland</b>	<b>0.2</b>	<b>0.3</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>
Mineral Soils	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Organic Soils	0.2	0.2	0.1	0.1	0.1	0.1	0.1
<b>Aboveground Live Biomass</b>	<b>8.0</b>	<b>8.1</b>	<b>8.1</b>	<b>8.1</b>	<b>8.1</b>	<b>8.1</b>	<b>8.1</b>
<b>Belowground Live Biomass</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>
<b>Dead Wood</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>
<b>Litter</b>	<b>2.2</b>	<b>2.2</b>	<b>2.2</b>	<b>2.2</b>	<b>2.2</b>	<b>2.2</b>	<b>2.2</b>
<b>Total Mineral Soil Flux</b>	<b>0.6</b>	<b>0.4</b>	<b>0.9</b>	<b>0.8</b>	<b>0.8</b>	<b>0.9</b>	<b>0.9</b>
<b>Total Organic Soil Flux</b>	<b>1.0</b>	<b>1.2</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>
<b>Total Net Flux</b>	<b>14.9</b>	<b>14.9</b>	<b>15.4</b>	<b>15.4</b>	<b>15.3</b>	<b>15.5</b>	<b>15.4</b>

+ Does not exceed 0.05 MMT C.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

### 3 **Methodology and Time-Series Consistency**

4 The following section includes a description of the methodology used to estimate C stock changes for Land  
5 Converted to Cropland, including (1) loss of aboveground and belowground biomass, dead wood and litter C with  
6 conversion of forest lands to croplands, as well as (2) the impact from all land-use conversions to cropland on  
7 mineral and soil organic C stocks.

## 1 **Biomass, Dead Wood and Litter Carbon Stock Changes**

2 A Tier 2 method is applied to estimate biomass, dead wood, and litter C stock changes for Forest Land Converted  
3 to Cropland and Grassland Converted to Cropland for woodland conversions. Estimates are calculated in the same  
4 way as those in the Forest Land Remaining Forest Land category using data from the USDA Forest Service, Forest  
5 Inventory and Analysis (FIA) program (USDA Forest Service 2022). However, there are no country-specific data for  
6 cropland biomass, so default biomass values (IPCC 2006) were used to estimate the carbon stocks for the new  
7 cropland (litter and dead wood carbon stocks were assumed to be zero since no reference C density estimates  
8 exist for croplands). The difference between the stocks is reported as the stock change under the assumption that  
9 the change occurred in the year of the conversion. If FIA plots include data on individual trees, aboveground and  
10 belowground C density estimates are based on Woodall et al. (2011). Aboveground and belowground biomass  
11 estimates also include live understory which is a minor component of biomass defined as all biomass of  
12 undergrowth plants in a forest, including woody shrubs and trees less than 2.54 cm dbh. For this Inventory, it was  
13 assumed that 10 percent of total understory C mass is belowground (Smith et al. 2006). Estimates of C density are  
14 based on information in Birdsey (1996) and biomass estimates from Jenkins et al. (2003).

15 For dead organic matter, if FIA plots include data on standing dead trees, standing dead tree C density is estimated  
16 following the basic method applied to live trees (Woodall et al. 2011) with additional modifications to account for  
17 decay and structural loss (Domke et al. 2011; Harmon et al. 2011). If FIA plots include data on downed dead wood,  
18 downed dead wood C density is estimated based on measurements of a subset of FIA plots for downed dead wood  
19 (Domke et al. 2013; Woodall and Monleon 2008). Downed dead wood is defined as pieces of dead wood greater  
20 than 7.5 cm diameter, at transect intersection, that are not attached to live or standing dead trees. This includes  
21 stumps and roots of harvested trees. To facilitate the downscaling of downed dead wood C estimates from the  
22 state-wide population estimates to individual plots, downed dead wood models specific to regions and forest types  
23 within each region are used. Litter C is the pool of organic C (also known as duff, humus, and fine woody debris)  
24 above the mineral soil and includes woody fragments with diameters of up to 7.5 cm. A subset of FIA plots are  
25 measured for litter C. If FIA plots include litter material, a modeling approach using litter C measurements from FIA  
26 plots is used to estimate litter C density (Domke et al. 2016). In order to ensure time-series consistency, the same  
27 methods are applied from 1990 to 2021 so that changes reflect anthropogenic activity and not methodological  
28 adjustments. See Annex 3.13 for more information about reference C density estimates for forest land and the  
29 compilation system used to estimate carbon stock changes from forest land. See the Grassland Remaining  
30 Grassland section for more information about estimation of biomass, deadwood and litter C stock changes for  
31 woodlands.

## 32 **Soil Carbon Stock Changes**

33 Soil organic stock changes are estimated for Land Converted to Cropland according to land use histories recorded  
34 in the 2015 USDA NRI survey for non-federal lands (USDA-NRCS 2018). Land use and some management  
35 information (e.g., crop type, soil attributes, and irrigation) had been collected for each NRI point on a 5-year cycle  
36 beginning in 1982. In 1998, the NRI program began collecting annual data, which are currently available through  
37 2017, however this Inventory uses the previous NRI with annual data available through 2015 (USDA-NRCS 2018).  
38 NRI survey locations are classified as Land Converted to Cropland in a given year between 1990 and 2015 if the  
39 land use is cropland but had been another use during the previous 20 years. NRI survey locations are classified  
40 according to land use histories starting in 1979, and consequently the classifications are based on less than 20  
41 years from 1990 to 1998, which may have led to an underestimation of Land Converted to Cropland in the early  
42 part of the time series to the extent that some areas are converted to cropland from 1971 to 1978. For federal  
43 lands, the land use history is derived from land cover changes in the National Land Cover Dataset (Yang et al. 2018;  
44 Homer et al. 2007; Fry et al. 2011; Homer et al. 2015).

## 1 *Mineral Soil Carbon Stock Changes*

2 An IPCC Tier 3 model-based approach (Ogle et al. 2010) is applied to estimate C stock changes from 1990 to 2015  
3 for mineral soils on the majority of land that is used to produce annual crops and forage crops that are harvested  
4 and used as feed (e.g., hay and silage) in the United States. These crops include alfalfa hay, barley, corn, cotton,  
5 grass hay, grass-clover hay, oats, peanuts, potatoes, rice, sorghum, soybeans, sugar beets, sunflowers, tobacco,  
6 and wheat. Soil organic C stock changes on the remaining mineral soils are estimated with the IPCC Tier 2 method  
7 (Ogle et al. 2003), including land used to produce some vegetables and perennial/horticultural crops and crops  
8 rotated with these crops; land on very gravelly, cobbly, or shaley soils (greater than 35 percent by volume); and  
9 land converted from another land use or federal ownership.<sup>47</sup>

10 For the years 2016 to 2021, a surrogate data method is used to estimate soil organic C stock changes at the  
11 national scale for land areas included in the Tier 2 and Tier 3 methods. Specifically, linear regression models with  
12 autoregressive moving-average (ARMA) errors (Brockwell and Davis 2016) are used to estimate the relationship  
13 between surrogate data and the 1990 to 2015 stock change data from the Tier 2 and 3 methods. Surrogate data  
14 for these regression models include corn and soybean yields from USDA-NASS statistics,<sup>48</sup> and weather data from  
15 the PRISM Climate Group (PRISM 2018). See Box 6-4 in the Methodology section of Cropland Remaining Cropland  
16 for more information about the surrogate data method. Stock change estimates for 2016 to 2021 will be  
17 recalculated in future Inventories when the time series of activity data are updated.

18 *Tier 3 Approach.* For the Tier 3 method, mineral soil organic C stocks and stock changes are estimated using the  
19 DayCent biogeochemical model (Parton et al. 1998; Del Grosso et al. 2001, 2011). The DayCent model utilizes the  
20 soil C modeling framework developed in the Century model (Parton et al. 1987, 1988, 1994; Metherell et al. 1993),  
21 but has been refined to simulate dynamics at a daily time-step. National estimates are obtained by using the  
22 model to simulate historical land-use change patterns as recorded in the USDA NRI survey (USDA-NRCS 2018).  
23 Carbon stocks and 95 percent confidence intervals are estimated for each year between 1990 and 2015. See the  
24 Cropland Remaining Cropland section and Annex 3.12 of EPA (2022) for additional discussion of the Tier 3  
25 methodology for mineral soils.

26 In order to ensure time-series consistency, the Tier 3 method is applied from 1990 to 2015 so that changes reflect  
27 anthropogenic activity and not methodological adjustments. Soil organic C stock changes from 2016 to 2021 are  
28 approximated using a linear extrapolation of emission patterns from 1990 to 2015. The extrapolation is based on a  
29 linear regression model with moving-average (ARMA) errors (described in Box 6-4 of the Methodology section in  
30 Cropland Remaining Cropland). Linear extrapolation is a standard data splicing method for estimating emissions at  
31 the end of a time series (IPCC 2006). Time series of activity data will be updated in a future Inventory, and  
32 emissions from 2016 to 2021 will be recalculated.

33 *Tier 2 Approach.* For the mineral soils not included in the Tier 3 analysis, soil organic C stock changes are estimated  
34 using a Tier 2 Approach, as described in the Tier 2 Approach for mineral soils in Cropland Remaining Cropland. In  
35 order to ensure time-series consistency, the Tier 2 method is applied from 1990 to 2015 so that changes reflect  
36 anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes are  
37 approximated for the remainder of the 2016 to 2021 time series with a linear extrapolation of emission patterns  
38 from 1990 to 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) (See Box  
39 6-4 of the Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data splicing  
40 method for estimating emissions at the end of a time series (IPCC 2006). As with the Tier 3 method, time series of  
41 activity data will be updated in a future Inventory, and emissions from 2016 to 2021 will be recalculated.

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<sup>47</sup> Federal land is not a land use, but rather an ownership designation that is treated as grassland for purposes of these calculations. The specific land use on federal lands is not identified in the NRI survey (USDA-NRCS 2018).

<sup>48</sup> See <https://quickstats.nass.usda.gov/>.

1 **Organic Soil Carbon Stock Changes**

2 Annual C emissions from drained organic soils in Land Converted to Cropland are estimated using the Tier 2  
 3 method provided in IPCC (2006), with country-specific C loss rates (Ogle et al. 2003) as described in the Cropland  
 4 Remaining Cropland section for organic soils. Further elaboration on the methodology is also provided in Annex  
 5 3.12 of EPA (2022).

6 In order to ensure time-series consistency, the Tier 2 methods are applied from 1990 to 2015 so that changes  
 7 reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes for the  
 8 remainder of the time series (i.e., 2016 to 2021) are approximated with a linear extrapolation of emission patterns  
 9 from 1990 to 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) errors  
 10 (See Box 6-4 of the Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data  
 11 splicing method for approximating emissions at the end of a time series (IPCC 2006). Estimates for 2016 to 2021  
 12 will be recalculated in a future inventory when new activity data are incorporated into the analysis.

13 **Uncertainty**

14 The uncertainty analyses for biomass, dead wood and litter C losses with Forest Land Converted to Cropland and  
 15 Grassland Converted to Cropland for woodland conversions are conducted in the same way as the uncertainty  
 16 assessment for forest ecosystem C flux associated with Forest Land Remaining Forest Land. Sample and model-  
 17 based error are combined using simple error propagation methods provided by the IPCC (2006) by taking the  
 18 square root of the sum of the squares of the standard deviations of the uncertain quantities. For additional details,  
 19 see the Uncertainty Analysis in Annex 3.13.

20 The uncertainty analyses for mineral soil organic C stock changes using the Tier 3 and Tier 2 methodologies are  
 21 based on a Monte Carlo approach that is described in Cropland Remaining Cropland. The uncertainty for annual C  
 22 emission estimates from drained organic soils in Land Converted to Cropland is estimated using a Monte Carlo  
 23 approach, which is also described in the Cropland Remaining Cropland section. For 2016 to 2021, there is  
 24 additional uncertainty propagated through the Monte Carlo Analysis associated with the surrogate data method,  
 25 which is also described in Cropland Remaining Cropland.

26 Uncertainty estimates are presented in Table 6-34 for each subsource (i.e., biomass C stocks, dead wood C stocks,  
 27 litter C stocks, soil organic C stocks for mineral and organic soils) and the method applied in the Inventory analysis  
 28 (i.e., Tier 2 and Tier 3). Uncertainty estimates for the total C stock changes for biomass, dead organic matter and  
 29 soils are combined using the simple error propagation methods provided by the IPCC (2006), as discussed in the  
 30 previous paragraph. The combined uncertainty for total C stocks in Land Converted to Cropland ranged from 94  
 31 percent below to 94 percent above the 2021 stock change estimate of 56.5 MMT CO<sub>2</sub> Eq. The large relative  
 32 uncertainty in the 2021 estimate is mostly due to variation in soil organic C stock changes that is not explained by  
 33 the surrogate data method, leading to high prediction error with this splicing method.

34 **Table 6-34: Approach 2 Quantitative Uncertainty Estimates for Soil, Dead Organic Matter**  
 35 **and Biomass C Stock Changes occurring within Land Converted to Cropland (MMT CO<sub>2</sub> Eq.**  
 36 **and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
		Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
<b>Grassland Converted to Cropland</b>	<b>9.8</b>	<b>(25.6)</b>	<b>45.1</b>	<b>-362%</b>	<b>362%</b>
Aboveground Live Biomass	0.6	(0.1)	1.3	-125%	125%
Belowground Live Biomass	0.1	+	0.2	-137%	120%
Dead Wood	0.2	(0.1)	0.5	-134%	123%
Litter	0.2	(0.1)	0.5	-134%	119%
Mineral Soil C Stocks: Tier 3	1.0	(34.1)	36.1	-3,546%	3,546%



Mineral Soil C Stocks: Tier 2	4.3	1.2	7.4	-71%	71%
Organic Soil C Stocks: Tier 2	3.3	0.8	5.8	-75%	75%
<b>Forest Land Converted to Cropland</b>	<b>48.5</b>	<b>8.7</b>	<b>88.3</b>	<b>-82%</b>	<b>82%</b>
Aboveground Live Biomass	29.2	(7.9)	66.4	-127%	127%
Belowground Live Biomass	5.7	(1.5)	12.9	-127%	127%
Dead Wood	5.5	(1.5)	12.6	-127%	127%
Litter	8.0	(2.2)	18.1	-127%	127%
Mineral Soil C Stocks: Tier 2	0.1	(0.1)	0.4	-145%	145%
Organic Soil C Stocks: Tier 2	+	(0.1)	0.2	-2,595%	2,595%
<b>Other Lands Converted to Cropland</b>	<b>(2.3)</b>	<b>(3.8)</b>	<b>(0.8)</b>	<b>-66%</b>	<b>66%</b>
Mineral Soil C Stocks: Tier 2	(2.3)	(3.8)	(0.8)	-66%	66%
Organic Soil C Stocks: Tier 2	+	+	+	0%	0%
<b>Settlements Converted to Cropland</b>	<b>(0.1)</b>	<b>(0.3)</b>	<b>+</b>	<b>-116%</b>	<b>116%</b>
Mineral Soil C Stocks: Tier 2	(0.2)	(0.3)	+	-90%	90%
Organic Soil C Stocks: Tier 2	+	+	0.1	-85%	85%
<b>Wetlands Converted to Croplands</b>	<b>0.7</b>	<b>+</b>	<b>1.3</b>	<b>-98%</b>	<b>98%</b>
Mineral Soil C Stocks: Tier 2	0.2	+	0.5	-110%	110%
Organic Soil C Stocks: Tier 2	0.4	(0.2)	1.0	-142%	142%
<b>Total: Land Converted to Cropland</b>	<b>56.5</b>	<b>3.2</b>	<b>109.8</b>	<b>-94%</b>	<b>94%</b>
<b>Aboveground Live Biomass</b>	<b>29.8</b>	<b>(7.3)</b>	<b>67.0</b>	<b>-125%</b>	<b>125%</b>
<b>Belowground Live Biomass</b>	<b>5.8</b>	<b>(1.4)</b>	<b>13.0</b>	<b>-125%</b>	<b>125%</b>
<b>Dead Wood</b>	<b>5.8</b>	<b>(1.3)</b>	<b>12.8</b>	<b>-123%</b>	<b>122%</b>
<b>Litter</b>	<b>8.2</b>	<b>(1.9)</b>	<b>18.3</b>	<b>-124%</b>	<b>124%</b>
<b>Mineral Soil C Stocks: Tier 3</b>	<b>1.0</b>	<b>(34.1)</b>	<b>36.1</b>	<b>-3,546%</b>	<b>3,546%</b>
<b>Mineral Soil C Stocks: Tier 2</b>	<b>2.2</b>	<b>(1.2)</b>	<b>5.7</b>	<b>-155%</b>	<b>155%</b>
<b>Organic Soil C Stocks: Tier 2</b>	<b>3.8</b>	<b>1.2</b>	<b>6.4</b>	<b>-68%</b>	<b>68%</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

1 Uncertainty is also associated with lack of reporting of agricultural biomass and dead organic matter C stock  
2 changes. Biomass C stock changes are likely minor in perennial crops, such as orchards and nut plantations, given  
3 the small amount of change in land that is used to produce these commodities in the United States. In contrast,  
4 agroforestry practices, such as shelterbelts, riparian forests and intercropping with trees, may have led to larger  
5 changes in biomass C stocks at least in some regions of the United States. However, there are currently no datasets  
6 to evaluate the trends. Changes in dead organic matter C stocks are assumed to be negligible with conversion of  
7 land to croplands with the exception of forest lands, which are included in this analysis. This assumption will be  
8 further explored in a future Inventory.

## 9 QA/QC and Verification

10 See the QA/QC and Verification section in Cropland Remaining Cropland for information on QA/QC steps.

## 11 Recalculations Discussion

12 Recalculations are associated with new FIA data from 1990 to 2021 on biomass, dead wood and litter C stocks in  
13 Grassland Converted to Cropland (i.e., woodland conversion to cropland), updated FIA data from 1990 to 2021 on  
14 biomass, dead wood and litter C stocks in Forest Land Converted to Cropland, and updated estimates for mineral  
15 soils from 2016 to 2021 using the linear extrapolation method. As a result, Land Converted to Cropland has an  
16 estimated larger C loss of 2.6 MMT CO<sub>2</sub> Eq. on average over the time series. This represents a 4.9 percent increase  
17 in C stock changes for Land Converted to Grassland compared to the previous Inventory.

## 1 Planned Improvements

2 There are two key improvements planned for the Inventory, including a) incorporating the latest land use data  
 3 from the USDA National Resources Inventory, and b) conducting an analysis of C stock changes in Alaska for  
 4 cropland. These two improvements will resolve most of the discrepancies between the managed land base for  
 5 Land Converted to Cropland and amount of area currently included in Land Converted to Cropland Inventory (See  
 6 Table 6-35). Another planned improvement is to estimate the biomass C stock changes for other land-use changes  
 7 besides Forest Land Converted to Cropland and Grassland Converted to Cropland for woodland conversion.  
 8 Additional planned improvements are discussed in the Planned Improvements section of Cropland Remaining  
 9 Cropland.

10 **Table 6-35: Comparison of Managed Land Area in Land Converted to Cropland and the Area**  
 11 **in the current Land Converted to Cropland Inventory (Thousand Hectares)**

Area (Thousand Hectares)			
Year	Managed Land	Inventory	Difference
1990	12,230	12,308	-77
1991	12,561	12,654	-94
1992	12,858	12,943	-85
1993	14,093	14,218	-125
1994	15,266	15,400	-134
1995	15,439	15,581	-143
1996	15,740	15,888	-148
1997	15,919	16,073	-154
1998	17,263	17,440	-177
1999	17,659	17,819	-160
2000	17,518	17,693	-175
2001	17,441	17,600	-158
2002	17,311	17,487	-177
2003	16,064	16,257	-194
2004	15,136	15,317	-182
2005	15,221	15,424	-202
2006	15,149	15,410	-262
2007	14,734	14,923	-189
2008	14,248	14,399	-150
2009	13,762	13,814	-52
2010	13,888	13,905	-17
2011	14,209	14,186	22
2012	14,450	14,429	21
2013	13,991	13,752	239
2014	13,464	13,050	414
2015	13,561	13,049	512
2016	13,519	*	*
2017	13,594	*	*
2018	11,673	*	*
2019	11,189	*	*
2020	10,293	*	*
2021	9,491	*	*

12 NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

## 6.6 Grassland Remaining Grassland (CRF Category 4C1)

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Carbon (C) in grassland ecosystems occurs in biomass, dead organic matter, and soils. Soils are the largest pool of C in grasslands, and have the greatest potential for longer-term storage or release of C. Biomass and dead organic matter C pools are relatively ephemeral compared to the soil C pool, with the exception of C stored in tree and shrub biomass that occurs in grasslands. The *2006 IPCC Guidelines* recommend reporting changes in biomass, dead organic matter and soil organic C stocks with land use and management. C stock changes for aboveground and belowground biomass, dead wood and litter pools are reported for woodlands (i.e., a subcategory of grasslands<sup>49</sup>), and may be extended to include agroforestry management associated with grasslands in the future. For soil organic C, the *2006 IPCC Guidelines* (IPCC 2006) recommend reporting changes due to (1) agricultural land use and management activities on mineral soils, and (2) agricultural land use and management activities on organic soils.<sup>50</sup>

Grassland Remaining Grassland includes all grassland in an Inventory year that had been grassland for a continuous time period of at least 20 years (USDA-NRCS 2018). Grassland includes pasture and rangeland that are primarily, but not exclusively used for livestock grazing. Rangelands are typically extensive areas of native grassland that are not intensively managed, while pastures are typically seeded grassland (possibly following tree removal) that may also have additional management, such as irrigation or interseeding of legumes. Woodlands are also considered grassland and are areas of continuous tree cover that do not meet the definition of forest land (See Land Representation section for more information about the criteria for forest land).

There are two discrepancies between the current land representation (See Section 6.1) and the area data that have been used in the inventory for Grassland Remaining Grassland. First, the current land representation is based on the latest NRI dataset, which includes data through 2017, but these data have not yet been incorporated into the Grassland Remaining Grassland Inventory. Second, grassland in Alaska is not included in the Inventory, and is approximately 50 million hectares. These differences lead to discrepancies between the managed area in Grassland Remaining Grassland and the grassland area included in the Grassland Remaining Grassland Inventory analysis (Table 6-39). Improvements are underway to incorporate the latest NRI dataset, and grasslands in Alaska as part of future C inventories (See Planned Improvements Section).

For Grassland Remaining Grassland, there has been considerable variation in C stocks between 1990 and 2021. These changes are driven by variability in weather patterns and associated interaction with land management activity. Moreover, changes are small on a per hectare rate basis across the time series even in the years with a larger total change in stocks. The net change in total C stocks for 2021 led to net CO<sub>2</sub> emissions to the atmosphere of 10.0 MMT CO<sub>2</sub> Eq. (2.7 MMT C), including 2.1 MMT CO<sub>2</sub> Eq. (0.6 MMT C) from net losses of aboveground biomass C, 0.3 MMT CO<sub>2</sub> Eq. (0.1 MMT C) from net losses in belowground biomass C, 3.0 MMT CO<sub>2</sub> Eq. (0.8 MMT C) from net losses in dead wood C, less than 0.05 MMT CO<sub>2</sub> Eq. (less than 0.05 MMT C) from net gains in litter C, 0.8 MMT CO<sub>2</sub> Eq. (0.2 MMT C) from net gains in mineral soil organic C, and 5.4 MMT CO<sub>2</sub> Eq. (1.5 MMT C) from losses of C due to drainage and cultivation of organic soils (Table 6-36 and Table 6-37). Losses of carbon are 15 percent higher in 2021 compared to 1990, but as noted previously, stock changes are highly variable from 1990 to 2021, with an average annual change of 9.4 MMT CO<sub>2</sub> Eq. (2.6 MMT C).

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<sup>49</sup> Woodlands are considered grasslands in the U.S. Land Representation because they do not meet the definition of Forest Land.

<sup>50</sup> CO<sub>2</sub> emissions associated with liming and urea fertilization are also estimated but included in the Agriculture chapter of the report.

1 **Table 6-36: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes in**  
 2 **Grassland Remaining Grassland (MMT CO<sub>2</sub> Eq.)**

	1990	2005	2017	2018	2019	2020	2021
Aboveground Live Biomass	1.4	1.7	2.1	2.1	2.1	2.1	2.1
Belowground Live Biomass	0.2	0.3	0.3	0.3	0.3	0.3	0.3
Dead Wood	3.2	3.2	3.0	3.0	3.0	3.0	3.0
Litter	(0.3)	(0.1)	+	+	+	+	+
Mineral Soils	(2.2)	0.8	0.1	0.4	3.2	(4.8)	(0.8)
Organic Soils	6.3	5.2	5.4	5.4	5.4	5.4	5.4
<b>Total Net Flux</b>	<b>8.7</b>	<b>11.0</b>	<b>10.9</b>	<b>11.3</b>	<b>14.0</b>	<b>6.0</b>	<b>10.0</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

3 **Table 6-37: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes in**  
 4 **Grassland Remaining Grassland (MMT C)**

	1990	2005	2017	2018	2019	2020	2021
Aboveground Live Biomass	0.4	0.5	0.6	0.6	0.6	0.6	0.6
Belowground Live Biomass	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Dead Wood	0.9	0.9	0.8	0.8	0.8	0.8	0.8
Litter	(0.1)	+	+	+	+	+	+
Mineral Soils	(0.6)	0.2	+	0.1	0.9	(1.3)	(0.2)
Organic Soils	1.7	1.4	1.5	1.5	1.5	1.5	1.5
<b>Total Net Flux</b>	<b>2.4</b>	<b>3.0</b>	<b>3.0</b>	<b>3.1</b>	<b>3.8</b>	<b>1.6</b>	<b>2.7</b>

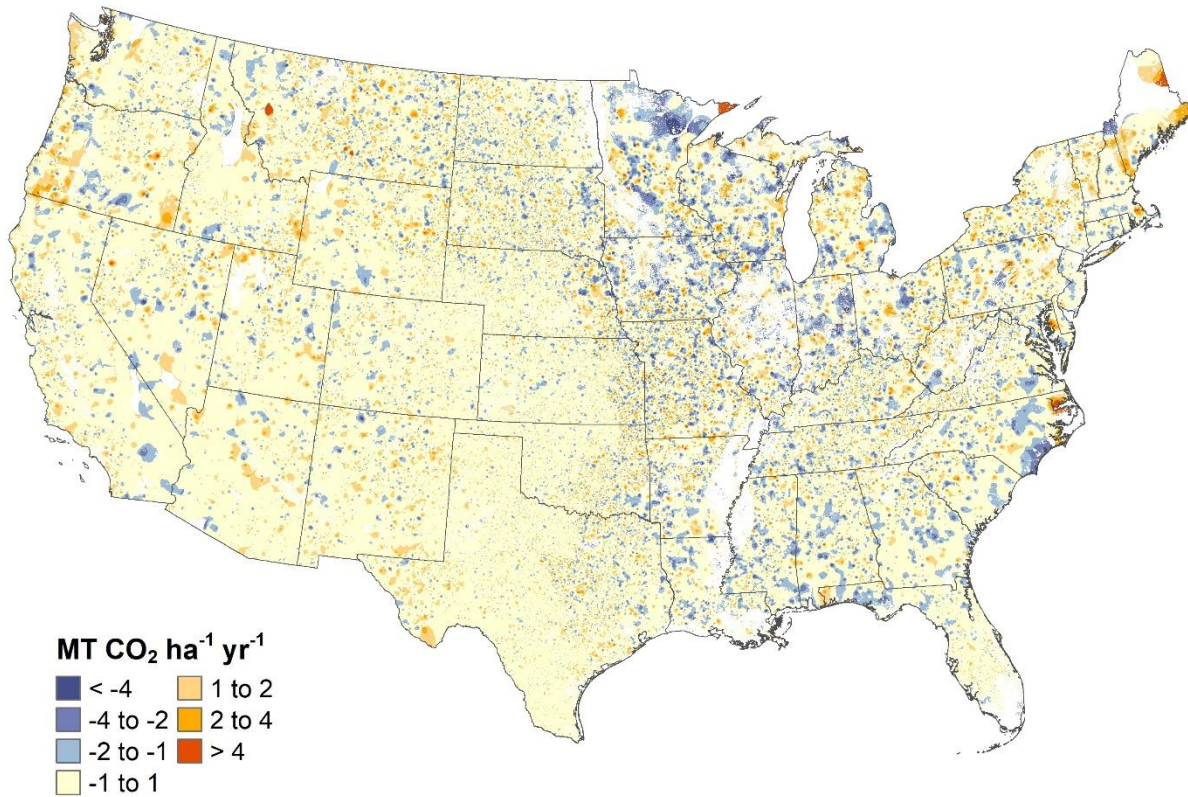
+ Does not exceed 0.05 MMT C

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

5 The spatial variability in soil organic C stock changes for 2015<sup>51</sup> is displayed in Figure 6-8 for mineral soils and in  
 6 Figure 6-9 for organic soils. Although relatively small on a per-hectare basis, grassland soils gained C in isolated  
 7 areas that mostly occurred in pastures of the eastern United States. For organic soils, the regions with the highest  
 8 rates of emissions coincide with the largest concentrations of organic soils used for managed grassland, including  
 9 the Southeastern Coastal Region (particularly Florida), upper Midwest and Northeast, and a few isolated areas  
 10 along the Pacific Coast.

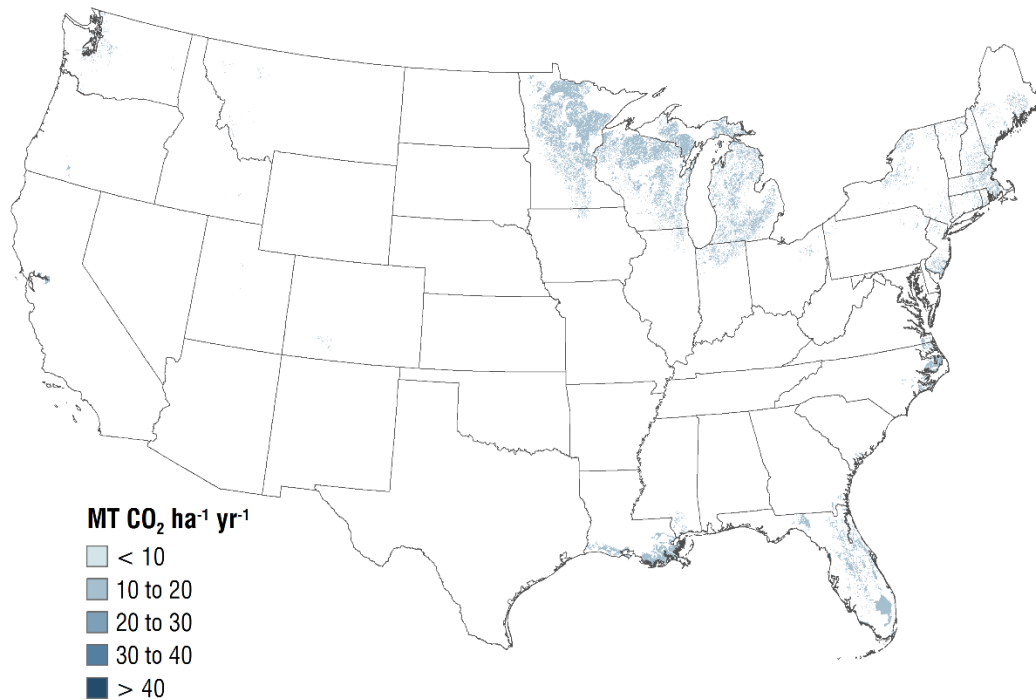
<sup>51</sup> Only national-scale emissions are estimated for 2016 to 2021 in the current Inventory using the surrogate data method, and therefore the fine-scale emission patterns in this map are based on inventory data from 2015.

1 **Figure 6-8: Total Net Annual Soil C Stock Changes for Mineral Soils under Agricultural**  
2 **Management within States, 2015, Grassland Remaining Grassland**



3  
4 Note: Only national-scale soil organic C stock changes are estimated for 2016 to 2021 in the current Inventory using a  
5 surrogate data method, and therefore the fine-scale emission patterns in this map are based on inventory data from  
6 2015. Negative values represent a net increase in soil organic C stocks, and positive values represent a net decrease in  
7 soil organic C stocks.

1 **Figure 6-9: Total Net Annual Soil C Stock Changes for Organic Soils under Agricultural**  
2 **Management within States, 2015, Grassland Remaining Grassland**



3  
4 Note: Only national-scale soil organic carbon stock changes are estimated for 2016 to 2021 in the current Inventory  
5 using a surrogate data method, and therefore the fine-scale emission patterns in this map are based on inventory  
6 data from 2015.

## 7 **Methodology and Time-Series Consistency**

8 The following section includes a description of the methodology used to estimate C stock changes for Grassland  
9 Remaining Grassland, including (1) aboveground and belowground biomass, dead wood and litter C for woodlands,  
10 as well as (2) soil organic C stocks for mineral and organic soils.

### 11 **Biomass, Dead Wood and Litter Carbon Stock Changes**

12 Woodlands are lands that do not meet the definition of forest land or agroforestry (see Section 6.1 Representation  
13 of the U.S. Land Base), but include woody vegetation with C storage in aboveground and belowground biomass,  
14 dead wood and litter C (IPCC 2006) as described in the Forest Land Remaining Forest Land section. Carbon stocks  
15 and net annual C stock change were determined according to the stock-difference method for the conterminous  
16 United States, which involved applying C estimation factors to annual forest inventories across time to obtain C  
17 stocks and then subtracting the values between years to estimate the stock changes. The methods for estimating  
18 carbon stocks and stock changes for woodlands in Grassland Remaining Grassland are consistent with those in the  
19 Forest Land Remaining Forest Land section and are described in Annex 3.13. All annual National Forest Inventory  
20 (NFI) plots available in the public FIA database (USDA Forest Service 2022) were used in the current Inventory.  
21 While the NFI is an all-lands inventory, only those plots that meet the definition of forest land are typically  
22 measured. However, in some cases, particularly in the Central Plains and Southwest United States, woodlands have  
23 been measured as part of the survey. This analysis is limited to those plots and is not considered a comprehensive  
24 assessment of trees outside of forest land that meet the definition of grassland. The same methods are applied  
25 from 1990 to 2021 in order to ensure time-series consistency. This methodology is consistent with IPCC (2006).

## 1 **Soil Carbon Stock Changes**

2 The following section includes a brief description of the methodology used to estimate changes in soil organic C  
3 stocks for Grassland Remaining Grassland, including: (1) agricultural land use and management activities on  
4 mineral soils; and (2) agricultural land use and management activities on organic soils. Further elaboration on the  
5 methodologies and data used to estimate stock changes from mineral and organic soils are provided in the  
6 Cropland Remaining Cropland section and Annex 3.12 of EPA (2022).

7 Soil organic C stock changes are estimated for Grassland Remaining Grassland on non-federal lands according to  
8 land use histories recorded in the 2015 USDA NRI survey (USDA-NRCS 2018). Land use and some management  
9 information (e.g., grass type, soil attributes, and irrigation) were originally collected for each NRI survey location  
10 on a 5-year cycle beginning in 1982. In 1998, the NRI program began collecting annual data, and the annual data  
11 are currently available through 2017, however this Inventory uses the previous NRI with annual data through 2015  
12 (USDA-NRCS 2015). NRI survey locations are classified as Grassland Remaining Grassland in a given year between  
13 1990 and 2015 if the land use had been grassland for 20 years. NRI survey locations are classified according to land  
14 use histories starting in 1979, and consequently the classifications are based on less than 20 years from 1990 to  
15 1998. This may have led to an overestimation of Grassland Remaining Grassland in the early part of the time series  
16 to the extent that some areas are converted to grassland between 1971 and 1978. For federal lands, the land use  
17 history is derived from land cover changes in the National Land Cover Dataset (Yang et al. 2018; Homer et al. 2007;  
18 Fry et al. 2011; Homer et al. 2015).

### 19 *Mineral Soil Carbon Stock Changes*

20 An IPCC Tier 3 model-based approach (Ogle et al. 2010) is applied to estimate C stock changes from 1990 to 2015  
21 for most mineral soils in Grassland Remaining Grassland. The C stock changes for the remaining soils are estimated  
22 with an IPCC Tier 2 method (Ogle et al. 2003), including gravelly, cobbly, or shaley soils (greater than 35 percent by  
23 volume), the additional stock changes associated with biosolids (i.e., treated sewage sludge) amendments, and  
24 federal land.<sup>52</sup>

25 A surrogate data method is used to estimate soil organic C stock changes from 2016 to 2021 at the national scale  
26 for land areas included in the Tier 2 and Tier 3 methods. Specifically, linear regression models with autoregressive  
27 moving-average (ARMA) errors (Brockwell and Davis 2016) are used to estimate the relationship between  
28 surrogate data and the 1990 to 2015 emissions data from the Tier 2 and 3 methods. Surrogate data for these  
29 regression models are based on weather data from the PRISM Climate Group (PRISM Climate Group 2018). See  
30 Box 6-4 in the Methodology section of Cropland Remaining Cropland for more information about the surrogate  
31 data method.

32 **Tier 3 Approach.** Mineral soil organic C stocks and stock changes for Grassland Remaining Grassland are estimated  
33 using the DayCent biogeochemical<sup>53</sup> model (Parton et al. 1998; Del Grosso et al. 2001, 2011), as described in  
34 Cropland Remaining Cropland. The DayCent model utilizes the soil C modeling framework developed in the  
35 Century model (Parton et al. 1987, 1988, 1994; Metherell et al. 1993), but has been refined to simulate dynamics  
36 at a daily time-step. Historical land-use patterns and irrigation histories are simulated with DayCent based on the  
37 2015 USDA NRI survey (USDA-NRCS 2018).

38 The amount of manure produced by each livestock type is calculated for managed and unmanaged waste  
39 management systems based on methods described in Section 5.2 Manure Management and Annex 3.11. Manure N  
40 deposition from grazing animals (i.e., pasture/range/paddock (PRP) manure) is an input to the DayCent model to  
41 estimate the influence of PRP manure on C stock changes for lands included in the Tier 3 method. Carbon stocks

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<sup>52</sup> Federal land is not a land use, but rather an ownership designation that is treated as grassland for purposes of these calculations. The specific land use on federal lands is not identified in the NRI survey (USDA-NRCS 2018).

<sup>53</sup> Biogeochemical cycles are the flow of chemical elements and compounds between living organisms and the physical environment.

1 and 95 percent confidence intervals are estimated for each year between 1990 and 2015 using the NRI survey  
2 data. Further elaboration on the Tier 3 methodology and data used to estimate C stock changes from mineral soils  
3 are described in Annex 3.12 of EPA (2022).

4 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015 so that changes  
5 reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes from  
6 2016 to 2021 are approximated using a linear extrapolation of emission patterns from 1990 to 2015. The  
7 extrapolation is based on a linear regression model with moving-average (ARMA) errors, described in Box 6-4 of  
8 the Methodology section in Cropland Remaining Cropland. Linear extrapolation is a standard data splicing method  
9 for estimating emissions at the end of a time series (IPCC 2006). Stock change estimates for 2016 to 2021 will be  
10 recalculated in future Inventories with an updated time series of activity data (see the Planned Improvements  
11 section in Cropland Remaining Cropland).

12 **Tier 2 Approach.** The Tier 2 approach is based on the same methods described in the Tier 2 portion of Cropland  
13 Remaining Cropland section for mineral soils, with the exception of the manure N deposition from grazing animals  
14 (i.e., PRP manure), and the land use and management data that are used in the Inventory for federal grasslands.  
15 First, the PRP N manure is included in the Tier 2 method that is not deposited on lands included in the Tier 3  
16 method. Second, the NRI (USDA-NRCS 2018) provides land use and management histories for all non-federal lands,  
17 and is the basis for the Tier 2 analysis for these areas. However, NRI does not provide land use information on  
18 federal lands. The land use data for federal lands is based on the National Land Cover Database (NLCD) (Yang et al.  
19 2018; Fry et al. 2011; Homer et al. 2007; Homer et al. 2015). In addition, the Bureau of Land Management (BLM)  
20 manages some of the federal grasslands, and compiles information on grassland condition through the BLM  
21 Rangeland Inventory (BLM 2014). To estimate soil organic C stock changes from federal grasslands, rangeland  
22 conditions in the BLM data are aligned with IPCC grassland management categories of nominal, moderately  
23 degraded, and severely degraded in order to apply the appropriate emission factors. Further elaboration on the  
24 Tier 2 methodology and data used to estimate C stock changes from mineral soils are described in Annex 3.12 of  
25 EPA (2022).

26 In order to ensure time-series consistency, the Tier 2 method is applied from 1990 to 2015 so that changes reflect  
27 anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes are  
28 approximated for the remainder of the time series with a linear extrapolation of emission patterns from 1990 to  
29 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) (See Box 6-4 of the  
30 Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data splicing method for  
31 estimating emissions at the end of a time series (IPCC 2006). As with the Tier 3 method, time series of activity data  
32 will be updated in a future Inventory, and emissions from 2016 to 2021 will be recalculated.

### 33 *Additional Mineral C Stock Change Calculations*

34 A Tier 2 method is used to adjust annual C stock change estimates for mineral soils between 1990 and 2021 to  
35 account for additional C stock changes associated with biosolids (i.e., treated sewage sludge) amendments.  
36 Estimates of the amounts of biosolids N applied to agricultural land are derived from national data on biosolids  
37 generation, disposition, and N content (see Section 7.2, Wastewater Treatment for a detailed discussion of the  
38 methodology for estimating treated sewage sludge available for land application application). Although biosolids  
39 can be added to land managed for other land uses, it is assumed that agricultural amendments only occur in  
40 Grassland Remaining Grassland. Total biosolids generation data for 1988, 1996, and 1998, in dry mass units, are  
41 obtained from EPA (1999) and estimates for 2004 are obtained from an independent national biosolids survey  
42 (NEBRA 2007). These values are linearly interpolated to estimate values for the intervening years, and linearly  
43 extrapolated to estimate values for years since 2004. Nitrogen application rates from Kellogg et al. (2000) are used  
44 to determine the amount of area receiving biosolids amendments. The soil organic C storage rate is estimated at  
45 0.38 metric tons C per hectare per year for biosolids amendments to grassland as described above. The stock  
46 change rate is based on country-specific factors and the IPCC default method (see Annex 3.12 of EPA (2022) for  
47 further discussion).



## 1 *Organic Soil Carbon Stock Changes*

2 Annual C emissions from drained organic soils in Grassland Remaining Grassland are estimated using the Tier 2  
3 method in IPCC (2006), which utilizes country-specific C loss rates (Ogle et al. 2003) rather than default IPCC rates.  
4 For more information, see the Cropland Remaining Cropland section for organic soils and Annex 3.12 of EPA  
5 (2022).

6 In order to ensure time-series consistency, the Tier 2 methods are applied from 1990 to 2015 so that changes  
7 reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes for the  
8 remainder of the time series (i.e., 2016 to 2021) are approximated with a linear extrapolation of emission patterns  
9 from 1990 to 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) errors  
10 (See Box 6-4 of the Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data  
11 splicing method for approximating emissions at the end of a time series (IPCC 2006). Estimates for 2016 to 2021  
12 will be recalculated in future Inventories with an updated time series of activity data.

## 13 **Uncertainty**

14 The uncertainty analysis for biomass, dead wood and litter C losses with woodlands is conducted in the same way  
15 as the uncertainty assessment for forest ecosystem C flux associated with Forest Land Remaining Forest Land.  
16 Sample and model-based error are combined using simple error propagation methods provided by the IPCC (2006)  
17 by taking the square root of the sum of the squares of the standard deviations of the uncertain quantities. For  
18 additional details, see the Uncertainty Analysis in Annex 3.13.

19 Uncertainty analysis for mineral soil organic C stock changes using the Tier 3 and Tier 2 methodologies are based  
20 on a Monte Carlo approach that is described in the Cropland Remaining Cropland section and Annex 3.12 of EPA  
21 (2022). The uncertainty for annual C emission estimates from drained organic soils in Grassland Remaining  
22 Grassland is estimated using a Monte Carlo approach, which is also described in the Cropland Remaining Cropland  
23 section. For 2016 to 2021, there is additional uncertainty propagated through the Monte Carlo Analysis associated  
24 with the surrogate data method.

25 Uncertainty estimates are presented in Table 6-38 for each subcategory (i.e., soil organic C stocks for mineral and  
26 organic soils) and the method applied in the Inventory analysis (i.e., Tier 2 and Tier 3). Uncertainty estimates from  
27 the Tier 2 and 3 approaches are combined using the simple error propagation methods provided by the IPCC  
28 (2006), i.e., by taking the square root of the sum of the squares of the standard deviations of the uncertain  
29 quantities.

30 The combined uncertainty for soil organic C stocks in Grassland Remaining Grassland ranges from more than 1417  
31 percent below and above the 2021 stock change estimate of 10.0 MMT CO<sub>2</sub> Eq. The large relative uncertainty is  
32 mostly due to high levels of uncertainty in the Tier 3 method and variation in soil organic C stock changes that is  
33 not explained by the surrogate data method.

34 **Table 6-38: Approach 2 Quantitative Uncertainty Estimates for C Stock Changes Occurring**  
35 **Within Grassland Remaining Grassland (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
		(MMT CO <sub>2</sub> Eq.)		(%)	
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
Woodland Biomass: Aboveground live biomass	2.1	1.8	2.3	-12%	11%

Belowground live biomass	0.3	0.3	0.3	-4%	4%
Dead wood	3.0	2.6	3.4	-13%	14%
Litter	+	+	0.1	-20%	20%
Mineral Soil C Stocks Grassland Remaining Grassland, Tier 3 Methodology	1.8	(139.6)	143.2	-7961%	7961%
Mineral Soil C Stocks: Grassland Remaining Grassland, Tier 2 Methodology	(0.9)	(10.0)	8.1	-960%	960%
Mineral Soil C Stocks: Grassland Remaining Grassland, Tier 2 Methodology (Change in Soil C due to Biosolids [i.e., Treated Sewage Sludge] Amendments)	(1.7)	(2.5)	(0.8)	-50%	50%
Organic Soil C Stocks: Grassland Remaining Grassland, Tier 2 Methodology	5.4	1.2	9.6	-77%	77%
<b>Combined Uncertainty for Flux Associated with Carbon Stock Changes Occurring in Grassland Remaining Grassland</b>	<b>10.0</b>	<b>(131.7)</b>	<b>151.7</b>	<b>-1417%</b>	<b>1417%</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

1 Uncertainty is also associated with a lack of reporting on biomass, dead wood and litter C stock changes for  
2 agroforestry systems. Changes in biomass and dead organic matter C stocks are assumed to be negligible in other  
3 grasslands, largely comprised of herbaceous biomass, although there are certainly significant changes at sub-  
4 annual time scales across seasons.

## 5 QA/QC and Verification

6 See the QA/QC and Verification section in Cropland Remaining Cropland.

## 7 Recalculations Discussion

8 Recalculations are associated with updated FIA data from 1990 to 2021 on biomass, dead wood and litter C stocks  
9 in woodlands for Grassland Remaining Grassland, and updated estimates for mineral soils from 2016 to 2021 using  
10 the linear extrapolation method. As a result of these new data, Grassland Remaining Grassland has a larger loss of  
11 at 2.2 MMT CO<sub>2</sub> Eq. compared to the previous Inventory, or 28 percent on average over the time series for  
12 Grassland Remaining Grassland compared to the previous Inventory.

## 13 Planned Improvements

14 There are two key improvements planned for the Inventory, including a) incorporating the latest land use data  
15 from the USDA National Resources Inventory, and b) conducting an analysis of C stock changes in Alaska for  
16 grassland. While both improvements are needed, the latter improvement is a significant development that will  
17 resolve the majority of the discrepancy between the managed land base for Grassland Remaining Grassland and  
18 amount of area currently included in Grassland Remaining Grassland Inventory (see Table 6-39).

1 **Table 6-39: Comparison of Managed Land Area in Grassland Remaining Grassland and the**  
 2 **Area in the current Grassland Remaining Grassland Inventory (Thousand Hectares)**

Area (Thousand Hectares)			
Year	Managed Land	Inventory	Difference
1990	328,320	277,406	50,914
1991	327,812	276,918	50,894
1992	327,355	276,422	50,933
1993	325,620	274,484	51,137
1994	324,006	272,813	51,194
1995	323,134	271,975	51,159
1996	322,284	271,123	51,160
1997	321,526	270,259	51,268
1998	319,596	268,174	51,422
1999	318,701	267,301	51,400
2000	317,690	266,202	51,488
2001	316,849	265,649	51,200
2002	316,455	265,192	51,263
2003	316,780	265,403	51,377
2004	316,810	265,421	51,389
2005	316,625	265,123	51,502
2006	316,344	264,804	51,540
2007	316,326	264,749	51,577
2008	316,496	264,878	51,618
2009	316,792	265,099	51,693
2010	316,652	264,942	51,711
2011	316,403	264,627	51,776
2012	316,294	264,413	51,881
2013	317,153	265,239	51,914
2014	318,024	266,180	51,844
2015	318,146	266,234	51,912
2016	318,513	*	*
2017	318,704	*	*
2018	321,748	*	*
2019	322,632	*	*
2020	323,883	*	*
2021	325,096	*	*

3 NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

4 Additionally, a review of available data on biosolids (i.e., treated sewage sludge) application will be undertaken to  
 5 improve the distribution of biosolids application on croplands, grasslands and settlements. For information about  
 6 other improvements, see the Planned Improvements section in Cropland Remaining Cropland.

## 7 **Non-CO<sub>2</sub> Emissions from Grassland Fires (CRF Source Category** 8 **4C1)**

9 Fires are common in grasslands, and are thought to have been a key feature shaping the evolution of the grassland  
 10 vegetation in North America (Daubenmire 1968; Anderson 2004). Fires can occur naturally through lightning  
 11 strikes, but are also an important management practice to remove standing dead vegetation and improve forage

1 for grazing livestock. Woody and herbaceous biomass will be oxidized in a fire, although in this section the current  
 2 focus is primarily on herbaceous biomass.<sup>54</sup> Biomass burning emits a variety of trace gases including non-CO<sub>2</sub>  
 3 greenhouse gases such as CH<sub>4</sub> and N<sub>2</sub>O, as well as CO and NO<sub>x</sub> that can become greenhouse gases when they react  
 4 with other gases in the atmosphere (Andreae and Merlet 2001). IPCC (2006) recommends reporting non-CO<sub>2</sub>  
 5 greenhouse gas emissions from all wildfires and prescribed burning occurring in managed grasslands.

6 Biomass burning in grassland of the United States (Including burning emissions in Grassland Remaining Grassland  
 7 and Land Converted to Grassland) is a relatively small source of emissions, but it has increased by over 300 percent  
 8 since 1990. In 2021, CH<sub>4</sub> and N<sub>2</sub>O emissions from biomass burning in grasslands were 0.3 MMT CO<sub>2</sub> Eq. (12 kt) and  
 9 0.3 MMT CO<sub>2</sub> Eq. (1 kt), respectively. Annual emissions from 1990 to 2021 have averaged approximately 0.3 MMT  
 10 CO<sub>2</sub> Eq. (12 kt) of CH<sub>4</sub> and 0.3 MMT CO<sub>2</sub> Eq. (1 kt) of N<sub>2</sub>O (see Table 6-40 and Table 6-41).

11 **Table 6-40: CH<sub>4</sub> and N<sub>2</sub>O Emissions from Biomass Burning in Grassland (MMT CO<sub>2</sub> Eq.)**

	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	0.1	0.4	0.3	0.3	0.3	0.3	0.3
N <sub>2</sub> O	0.1	0.3	0.3	0.3	0.3	0.3	0.3
<b>Total Net Flux</b>	<b>0.2</b>	<b>0.7</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>

13 **Table 6-41: CH<sub>4</sub>, N<sub>2</sub>O, CO, and NO<sub>x</sub> Emissions from Biomass Burning in Grassland (kt)**

	1990	2005	2017	2018	2019	2020	2021
CH <sub>4</sub>	3	13	12	12	12	12	12
N <sub>2</sub> O	+	1	1	1	1	1	1
CO	84	358	345	331	341	334	339
NO <sub>x</sub>	5	21	21	20	20	20	20

+ Does not exceed 0.5 kt.

## 16 Methodology and Time-Series Consistency

17 The following section includes a description of the methodology used to estimate non-CO<sub>2</sub> greenhouse gas  
 18 emissions from biomass burning in grassland, including (1) determination of the land base that is classified as  
 19 managed grassland; (2) assessment of managed grassland area that is burned each year, and (3) estimation of  
 20 emissions resulting from the fires. For this Inventory, the IPCC Tier 1 method is applied to estimate non-CO<sub>2</sub>  
 21 greenhouse gas emissions from biomass burning in grassland from 1990 to 2014 (IPCC 2006). A data splicing  
 22 method is used to estimate the emissions from 2015 to 2021, which is discussed later in this section.

23 The land area designated as managed grassland is based primarily on the National Resources Inventory (NRI)  
 24 (Nusser and Goebel 1997; USDA-NRCS 2015). NRI has survey locations across the entire United States, but does not  
 25 classify land use on federally-owned areas, and so survey locations on federal lands are designated as grassland  
 26 using land cover data from the National Land Cover Dataset (NLCD) (Fry et al. 2011; Homer et al. 2007; Homer et  
 27 al. 2015) (see Section 6.1 Representation of the U.S. Land Base).

28 The area of biomass burning in grasslands (Grassland Remaining Grassland and Land Converted to Grassland) is  
 29 determined using 30-m fire data from the Monitoring Trends in Burn Severity (MTBS) program for 1990 through  
 30 2014.<sup>55</sup> NRI survey locations on grasslands are designated as burned in a year if there is a fire within 500 m of the  
 31 survey point according to the MTBS fire data. The area of biomass burning is estimated from the NRI spatial  
 32 weights and aggregated to the country (Table 6-42).

<sup>54</sup> A planned improvement is underway to incorporate woodland tree biomass into the Inventory for non-CO<sub>2</sub> emissions from grassland fires.

<sup>55</sup> See <http://www.mtbs.gov>.

1 **Table 6-42: Thousands of Grassland Hectares Burned Annually**

Year	Thousand Hectares
1990	317
2005	1,343
2017	NE
2018	NE
2019	NE
2020	NE
2021	NE

Notes: Burned area was not estimated (NE) for 2015 to 2021 but will be updated in a future Inventory. Burned area for the year 2014 is estimated to be 1,659 thousand hectares.

2 For 1990 to 2014, the total area of grassland burned is multiplied by the IPCC default factor for grassland biomass  
 3 (4.1 tonnes dry matter per ha) (IPCC 2006) to estimate the amount of combusted biomass. A combustion factor of  
 4 1 is assumed in this Inventory, and the resulting biomass estimate is multiplied by the IPCC default grassland  
 5 emission factors for CH<sub>4</sub> (2.3 g CH<sub>4</sub> per kg dry matter), N<sub>2</sub>O (0.21 g N<sub>2</sub>O per kg dry matter), CO (65 g CO per kg dry  
 6 matter) and NO<sub>x</sub> (3.9 g NO<sub>x</sub> per kg dry matter) (IPCC 2006). The Tier 1 analysis is implemented in the Agriculture  
 7 and Land Use National Greenhouse Gas Inventory (ALU) software (Ogle et al. 2016).<sup>56</sup>

8 A linear extrapolation of the trend in the time series is applied to estimate emissions for 2015 to 2021. Specifically,  
 9 a linear regression model with autoregressive moving-average (ARMA) errors (Brockwell and Davis 2016) is used to  
 10 derive the trend in emissions over time from 1990 to 2014, and the trend is used to approximate the 2015 to 2021  
 11 emissions. The Tier 1 method described previously will be applied to recalculate the 2015 to 2021 emissions in a  
 12 future Inventory.

13 The same methods are applied from 1990 to 2014, and a data splicing method is used to extend the time series  
 14 from 2015 to 2021 ensuring a consistent time series of emissions data. The trend extrapolation is a standard data  
 15 splicing method for estimating emissions at the end of a time series if activity data are not available (IPCC 2006).

## 16 **Uncertainty**

17 Emissions are estimated using a linear regression model with ARMA errors for 2015 to 2021. The model produces  
 18 estimates for the upper and lower bounds of the emission estimate and the results are summarized in Table 6-43.  
 19 Methane emissions from Biomass Burning in Grassland for 2021 are estimated to be between approximately 0.0  
 20 and 0.8 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level. This indicates a range of 100 percent below and 138  
 21 percent above the 2021 emission estimate of 0.3 MMT CO<sub>2</sub> Eq. Nitrous oxide emissions are estimated to be  
 22 between approximately 0.0 and 0.7 MMT CO<sub>2</sub> Eq., or 100 percent below and 143 percent above the 2021 emission  
 23 estimate of 0.3 MMT CO<sub>2</sub> Eq.

<sup>56</sup> See <http://www.nrel.colostate.edu/projects/ALUsoftware/>.

**Table 6-43: Uncertainty Estimates for Non-CO<sub>2</sub> Greenhouse Gas Emissions from Biomass Burning in Grassland (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
Grassland Burning	CH <sub>4</sub>	0.3	+	0.8	-100%	+145%
Grassland Burning	N <sub>2</sub> O	0.3	+	0.7	-100%	+145%

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of emission estimates predicted by linear regression time-series model for a 95 percent confidence interval.

Uncertainty is also associated with lack of reporting of emissions from biomass burning in grassland of Alaska. Grassland burning emissions could be relatively large in this region of the United States, and therefore extending this analysis to include Alaska is a planned improvement for the Inventory. There is also uncertainty due to lack of reporting combustion of woody biomass, and this is another planned improvement.

## QA/QC and Verification

Quality control measures included checking input data, model scripts, and results to ensure data are properly handled throughout the inventory process. Inventory reporting forms and text are reviewed and revised as needed to correct transcription errors. Quality control identified problems with input data for common reporting format tables in the spreadsheets, which have been corrected.

## Recalculations Discussion

EPA updated global warming potentials (GWP) for calculating the CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub> (from 25 to 28) and N<sub>2</sub>O (from 298 to 265) to reflect the 100-year GWPs provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC 2013). The previous Inventory used 100-year GWPs provided in the IPCC *Fourth Assessment Report (AR4)*. This update was applied across the entire time series. As a result of this change, there was a net decrease in calculated CO<sub>2</sub>-equivalent emissions by an annual average of less than 0.05 MMT CO<sub>2</sub> Eq., or 0.03 percent, over the time series from 1990 to 2020 compared to the previous Inventory. Further discussion on this update and the overall impacts of updating the inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

## Planned Improvements

A data splicing method is applied to estimate emissions in the latter part of the time series, which introduces additional uncertainty in the emissions data. Therefore, a key improvement for the next Inventory will be to update the time series with new activity data from the Monitoring Trends in Burn Severity program and recalculate the emissions. Two other planned improvements have been identified for this source category, including a) incorporation of country-specific grassland biomass factors, and b) extending the analysis to include Alaska. In the current Inventory, biomass factors are based on a global default for grasslands that is provided by the IPCC (2006). There is considerable variation in grassland biomass, however, which would affect the amount of fuel available for combustion in a fire. Alaska has an extensive area of grassland and includes tundra vegetation, although some of the areas are not managed. There has been an increase in fire frequency in boreal forest of the region (Chapin et al. 2008), and this may have led to an increase in burning of neighboring grassland areas. There is also an effort under development to incorporate grassland fires into DayCent model simulations. Lastly, a future Inventory will incorporate non-CO<sub>2</sub> greenhouse emissions from burning woodland tree biomass in grasslands. These improvements are expected to reduce uncertainty and produce more accurate estimates of non-CO<sub>2</sub> greenhouse gas emissions from grassland burning.

## 6.7 Land Converted to Grassland (CRF Category 4C2)

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Land Converted to Grassland includes all grassland in an Inventory year that had been in another land use(s) during the previous 20 years (USDA-NRCS 2018).<sup>57</sup> For example, cropland or forest land converted to grassland during the past 20 years would be reported in this category. Recently converted lands are retained in this category for 20 years as recommended by IPCC (2006). Grassland includes pasture and rangeland that are used primarily but not exclusively for livestock grazing. Rangelands are typically extensive areas of native grassland that are not intensively managed, while pastures are typically seeded grassland (possibly following tree removal) that may also have additional management, such as irrigation or interseeding of legumes.

Land use change can lead to large losses of C to the atmosphere, particularly conversions from forest land (Houghton et al. 1983, Houghton and Nassikas 2017). Moreover, conversion of forest to another land use (i.e., deforestation) is one of the largest anthropogenic sources of emissions to the atmosphere globally, although this source may be declining according to a recent assessment (Tubiello et al. 2015).

IPCC (2006) recommends reporting changes in biomass, dead organic matter, and soil organic C stocks due to land-use change. All soil organic C stock changes are estimated and reported for Land Converted to Grassland, but there is limited reporting of other pools in this Inventory. Losses of aboveground and belowground biomass, dead wood and litter C from Forest Land Converted to Grassland are reported, as well as gains and losses associated with conversions to woodlands<sup>58</sup> from other land uses, including Croplands Converted to Grasslands, Settlements Converted to Grasslands and Other Lands Converted to Grasslands. However, the current Inventory does not include the gains and losses in aboveground and belowground biomass, dead wood and litter C for other land-use conversions to grassland that are not woodlands.<sup>59</sup>

There are two discrepancies between the current land representation (See Section 6.1) and the area data that have been used in the inventory for Land Converted to Grassland. First, the current land representation is based on the latest NRI dataset, which includes data through 2017, but these data have not yet been incorporated into the Land Converted to Grassland Inventory. Second, grassland in Alaska is not included in the Inventory. These differences lead to discrepancies between the managed area in Land Converted to Grassland and the grassland area included in the Land Converted to Grassland Inventory analysis (Table 6-47). Improvements are underway to incorporate the latest NRI dataset, and grasslands in Alaska as part of future C inventories (See Planned Improvements Section).

The largest C losses with Land Converted to Grassland are associated with aboveground biomass, belowground biomass, and litter C losses from Forest Land Converted to Grassland (see Table 6-44 and Table 6-45). These three pools led to net emissions in 2021 of 12.6, 2.2, and 4.8 MMT CO<sub>2</sub> Eq. (3.4, 0.6, and 1.3 MMT C), respectively. In contrast, land use and management of mineral soils in Land Converted to Grassland led to an increase in soil organic C stocks, estimated at 42.6 MMT CO<sub>2</sub> Eq. (11.6 MMT C) in 2021. The gains are primarily associated with Other Land Converted to Grassland, and also due to Cropland Converted to Grassland, which leads to less intensive

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<sup>57</sup> NRI survey locations are classified according to land use histories starting in 1979, and consequently the classifications are based on less than 20 years from 1990 to 2001. This may have led to an underestimation of Land Converted to Grassland in the early part of the time series to the extent that some areas are converted to grassland between 1971 and 1978.

<sup>58</sup> Woodlands are considered grasslands in the U.S. Land Representation because they do not meet the definition of Forest Land.

<sup>59</sup> Changes in biomass C stocks are not currently reported for other conversions to grassland (other than forest land conversion to grassland and other land -use conversions to woodlands), but this is a planned improvement for a future Inventory. Note: changes in dead organic matter are assumed negligible for other land-use conversions (i.e., other than forest land) to grassland based on the Tier 1 method in IPCC (2006).

1 management of the soil. Drainage of organic soils for grassland management led to CO<sub>2</sub> emissions to the  
 2 atmosphere of 1.8 MMT CO<sub>2</sub> Eq. (0.5 MMT C). The total net C stock change in 2021 for Land Converted to  
 3 Grassland is estimated as a gain of 24.7 MMT CO<sub>2</sub> Eq. (6.7 MMT C), which represents an increase in C stock change  
 4 of 269 percent compared to the initial reporting year of 1990.

5 **Table 6-44: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes for**  
 6 **Land Converted to Grassland (MMT CO<sub>2</sub> Eq.)**

	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Grassland</b>	<b>(19.1)</b>	<b>(24.2)</b>	<b>(18.6)</b>	<b>(18.5)</b>	<b>(18.0)</b>	<b>(20.3)</b>	<b>(19.3)</b>
Aboveground Live Biomass	(0.4)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
Belowground Live Biomass	(0.1)	(0.1)	+	+	+	+	+
Dead Wood	(0.2)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Litter	(0.2)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Mineral Soils	(18.9)	(25.0)	(19.4)	(19.3)	(18.7)	(21.0)	(20.1)
Organic Soils	0.6	1.5	1.4	1.3	1.3	1.3	1.3
<b>Forest Land Converted to Grassland</b>	<b>20.1</b>	<b>20.2</b>	<b>19.7</b>	<b>19.7</b>	<b>19.6</b>	<b>19.6</b>	<b>19.6</b>
Aboveground Live Biomass	13.3	13.1	12.6	12.6	12.6	12.6	12.6
Belowground Live Biomass	2.3	2.3	2.2	2.2	2.2	2.2	2.2
Dead Wood	(0.3)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Litter	4.8	4.9	4.8	4.8	4.8	4.8	4.8
Mineral Soils	(0.1)	(0.1)	+	+	(0.1)	+	+
Organic Soils	+	0.2	0.2	0.2	0.2	0.2	0.2
<b>Other Lands Converted to Grassland</b>	<b>(7.2)</b>	<b>(34.5)</b>	<b>(24.6)</b>	<b>(24.4)</b>	<b>(24.0)</b>	<b>(24.3)</b>	<b>(24.0)</b>
Aboveground Live Biomass	(1.6)	(1.5)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Belowground Live Biomass	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Dead Wood	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)	(0.4)
Litter	(0.8)	(0.8)	(0.7)	(0.7)	(0.7)	(0.7)	(0.7)
Mineral Soils	(4.2)	(31.7)	(22.2)	(21.9)	(21.6)	(21.9)	(21.6)
Organic Soils	+	+	0.1	0.1	0.1	0.1	0.1
<b>Settlements Converted to Grassland</b>	<b>(0.6)</b>	<b>(1.7)</b>	<b>(1.3)</b>	<b>(1.2)</b>	<b>(1.2)</b>	<b>(1.3)</b>	<b>(1.2)</b>
Aboveground Live Biomass	(0.2)	(0.2)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Belowground Live Biomass	+	+	+	+	+	+	+
Dead Wood	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Litter	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Mineral Soils	(0.2)	(1.4)	(1.0)	(0.9)	(0.9)	(1.0)	(0.9)
Organic Soils	+	+	+	+	+	+	+
<b>Wetlands Converted to Grassland</b>	<b>0.1</b>	<b>0.2</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.2</b>	<b>0.2</b>
Mineral Soils	+	+	+	+	+	+	+
Organic Soils	0.1	0.2	0.2	0.2	0.2	0.2	0.2
<b>Aboveground Live Biomass</b>	<b>11.1</b>	<b>11.1</b>	<b>11.0</b>	<b>11.0</b>	<b>10.9</b>	<b>10.9</b>	<b>10.9</b>
<b>Belowground Live Biomass</b>	<b>2.1</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>
<b>Dead Wood</b>	<b>(0.9)</b>	<b>(0.8)</b>	<b>(0.7)</b>	<b>(0.7)</b>	<b>(0.7)</b>	<b>(0.7)</b>	<b>(0.7)</b>
<b>Litter</b>	<b>3.7</b>	<b>3.8</b>	<b>3.9</b>	<b>3.9</b>	<b>3.9</b>	<b>3.9</b>	<b>3.9</b>
<b>Total Mineral Soil Flux</b>	<b>(23.4)</b>	<b>(58.2)</b>	<b>(42.5)</b>	<b>(42.2)</b>	<b>(41.3)</b>	<b>(43.9)</b>	<b>(42.6)</b>
<b>Total Organic Soil Flux</b>	<b>0.8</b>	<b>1.9</b>	<b>1.9</b>	<b>1.9</b>	<b>1.8</b>	<b>1.8</b>	<b>1.8</b>
<b>Total Net Flux</b>	<b>(6.7)</b>	<b>(40.1)</b>	<b>(24.5)</b>	<b>(24.2)</b>	<b>(23.3)</b>	<b>(25.9)</b>	<b>(24.7)</b>

7 + Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

8 Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

9 **Table 6-45: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes for**  
 10 **Land Converted to Grassland (MMT C)**

	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Grassland</b>	<b>(5.2)</b>	<b>(6.6)</b>	<b>(5.1)</b>	<b>(5.1)</b>	<b>(4.9)</b>	<b>(5.5)</b>	<b>(5.3)</b>
Aboveground Live Biomass	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Belowground Live Biomass	+	+	+	+	+	+	+



Dead Wood	+	+	+	+	+	+	+
Litter	(0.1)	+	+	+	+	+	+
Mineral Soils	(5.2)	(6.8)	(5.3)	(5.3)	(5.1)	(5.7)	(5.5)
Organic Soils	0.2	0.4	0.4	0.4	0.4	0.4	0.4
<b>Forest Land Converted to Grassland</b>	<b>5.5</b>	<b>5.5</b>	<b>5.4</b>	<b>5.4</b>	<b>5.4</b>	<b>5.4</b>	<b>5.4</b>
Aboveground Live Biomass	3.6	3.6	3.4	3.4	3.4	3.4	3.4
Belowground Live Biomass	0.6	0.6	0.6	0.6	0.6	0.6	0.6
Dead Wood	(0.1)	(0.1)	+	+	+	+	+
Litter	1.3	1.3	1.3	1.3	1.3	1.3	1.3
Mineral Soils	+	+	+	+	+	+	+
Organic Soils	+	+	0.1	0.1	0.1	0.1	0.1
<b>Other Lands Converted to Grassland</b>	<b>(2.0)</b>	<b>(9.4)</b>	<b>(6.7)</b>	<b>(6.6)</b>	<b>(6.5)</b>	<b>(6.6)</b>	<b>(6.5)</b>
Aboveground Live Biomass	(0.4)	(0.4)	(0.3)	(0.3)	(0.3)	(0.3)	(0.3)
Belowground Live Biomass	(0.1)	+	+	+	+	+	+
Dead Wood	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Litter	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Mineral Soils	(1.2)	(8.6)	(6.1)	(6.0)	(5.9)	(6.0)	(5.9)
Organic Soils	+	+	+	+	+	+	+
<b>Settlements Converted to Grassland</b>	<b>(0.2)</b>	<b>(0.5)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>
Aboveground Live Biomass	+	+	+	+	+	+	+
Belowground Live Biomass	+	+	+	+	+	+	+
Dead Wood	+	+	+	+	+	+	+
Litter	+	+	+	+	+	+	+
Mineral Soils	+	(0.4)	(0.3)	(0.3)	(0.2)	(0.3)	(0.3)
Organic Soils	+	+	+	+	+	+	+
<b>Wetlands Converted to Grassland</b>	<b>+</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
Mineral Soils	+	+	+	+	+	+	+
Organic Soils	+	0.1	0.1	0.1	0.1	0.1	0.1
<b>Aboveground Live Biomass</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>
<b>Belowground Live Biomass</b>	<b>0.6</b>	<b>0.6</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>
<b>Dead Wood</b>	<b>(0.3)</b>	<b>(0.2)</b>	<b>(0.2)</b>	<b>(0.2)</b>	<b>(0.2)</b>	<b>(0.2)</b>	<b>(0.2)</b>
<b>Litter</b>	<b>1.0</b>	<b>1.0</b>	<b>1.1</b>	<b>1.1</b>	<b>1.1</b>	<b>1.1</b>	<b>1.1</b>
<b>Total Mineral Soil Flux</b>	<b>(6.4)</b>	<b>(15.9)</b>	<b>(11.6)</b>	<b>(11.5)</b>	<b>(11.3)</b>	<b>(12.0)</b>	<b>(11.6)</b>
<b>Total Organic Soil Flux</b>	<b>0.2</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>	<b>0.5</b>
<b>Total Net Flux</b>	<b>(1.8)</b>	<b>(10.9)</b>	<b>(6.7)</b>	<b>(6.6)</b>	<b>(6.4)</b>	<b>(7.1)</b>	<b>(6.7)</b>

1 + Does not exceed 0.05 MMT C.

2 Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

### 3 Methodology and Time-Series Consistency

4 The following section includes a description of the methodology used to estimate C stock changes for Land  
5 Converted to Grassland, including (1) loss of aboveground and belowground biomass, dead wood and litter C with  
6 Forest Land Converted to Grassland and other land-use conversions to woodlands, as well as (2) the impact from  
7 all land-use conversions to grassland on mineral and organic soil organic C stocks.

### 8 Biomass, Dead Wood, and Litter Carbon Stock Changes

9 A Tier 3 method is applied to estimate biomass, dead wood and litter C stock changes for Forest Land Converted to  
10 Grassland and other land-use conversions to woodlands (i.e., Croplands Converted to Grasslands, Settlements  
11 Converted to Grasslands and Other Lands Converted to Grasslands). Estimates are calculated in the same way as  
12 those in the Forest Land Remaining Forest Land category using data from the USDA Forest Service, Forest  
13 Inventory and Analysis (FIA) program (USDA Forest Service 2022). There are limited data on the herbaceous  
14 grassland C stocks following conversion so default biomass estimates (IPCC 2006) for grasslands are used to  
15 estimate C stock changes (Note: litter and dead wood C stocks are assumed to be zero following conversion

1 because no reference C density estimates exist for grasslands). The difference between the stocks is reported as  
2 the stock change under the assumption that the change occurred in the year of the conversion.

3 The amount of biomass C that is lost abruptly with Forest Land Converted to Grasslands is estimated based on the  
4 amount of C before conversion and the amount of C following conversion according to remeasurements in the FIA  
5 program. This approach is consistent with IPCC (2006) that assumes there is an abrupt change during the first year,  
6 but does not necessarily capture the slower change over the years following conversion until a new steady state is  
7 reached. It was determined that using an IPCC Tier I approach that assumes all C is lost in the year of conversion  
8 for Forest Land Converted to Grasslands in the West and Great Plains states does not accurately characterize the  
9 transfer of C in woody biomass during abrupt or gradual land-use change. To estimate this transfer of C in woody  
10 biomass, state-specific C densities for woody biomass remaining on these former forest lands following conversion  
11 to grasslands were developed and included in the estimation of C stock changes from Forest Land Converted to  
12 Grasslands in the West and Great Plains states. A review of the literature in grassland and rangeland ecosystems  
13 (Asner et al. 2003; Huang et al. 2009; Tarhouni et al. 2016), as well as an analysis of FIA data, suggests that a  
14 conservative estimate of 50 percent of the woody biomass C density was lost during conversion from Forest Land  
15 to Grasslands. This estimate was used to develop state-specific C density estimates for biomass, dead wood, and  
16 litter for Grasslands in the West and Great Plains states and these state-specific C densities were applied in the  
17 compilation system to estimate the C losses associated with conversion from forest land to grassland in the West  
18 and Great Plains states. Further, losses from forest land to what are characterized as woodlands are included in  
19 this category using FIA plot re-measurements and the methods and models described hereafter.

20 If FIA plots include data on individual trees, aboveground and belowground C density estimates are based on  
21 Woodall et al. (2011). Aboveground and belowground biomass estimates also include live understory which is a  
22 minor component of biomass defined as all biomass of undergrowth plants in a forest, including woody shrubs and  
23 trees less than 2.54 cm dbh. For this Inventory, it was assumed that 10 percent of total understory C mass is  
24 belowground (Smith et al. 2006). Estimates of C density are based on information in Birdsey (1996) and biomass  
25 estimates from Jenkins et al. (2003).

26 If FIA plots include data on standing dead trees, standing dead tree C density is estimated following the basic  
27 method applied to live trees (Woodall et al. 2011) with additional modifications to account for decay and structural  
28 loss (Domke et al. 2011; Harmon et al. 2011). If FIA plots include data on downed dead wood, downed dead wood  
29 C density is estimated based on measurements of a subset of FIA plots for downed dead wood (Domke et al. 2013;  
30 Woodall and Monleon 2008). Downed dead wood is defined as pieces of dead wood greater than 7.5 cm diameter  
31 that are not attached to live or standing dead trees at transect intersection. This includes stumps and roots of  
32 harvested trees. To facilitate the downscaling of downed dead wood C estimates from the state-wide population  
33 estimates to individual plots, downed dead wood models specific to regions and forest types within each region  
34 are used. Litter C is the pool of organic C (also known as duff, humus, and fine woody debris) above the mineral  
35 soil and includes woody fragments with diameters of up to 7.5 cm. A subset of FIA plots is measured for litter C. If  
36 FIA plots include litter material, a modeling approach using litter C measurements from FIA plots is used to  
37 estimate litter C density (Domke et al. 2016). The same methods are applied from 1990 to 2021 in order to ensure  
38 time-series consistency. See Annex 3.13 for more information about reference C density estimates for forest land.  
39 See the Grassland Remaining Grassland section for more information about estimation of biomass, deadwood and  
40 litter C stock changes for woodlands.

## 41 **Soil Carbon Stock Changes**

42 Soil organic C stock changes are estimated for Land Converted to Grassland according to land use histories  
43 recorded in the 2015 USDA NRI survey for non-federal lands (USDA-NRCS 2018). Land use and some management  
44 information (e.g., crop type, soil attributes, and irrigation) were originally collected for each NRI survey locations  
45 on a 5-year cycle beginning in 1982. In 1998, the NRI Program began collecting annual data, and the annual data  
46 are currently available through 2017, however this Inventory uses the previous NRI with annual data through 2015  
47 (USDA-NRCS 2018). NRI survey locations are classified as Land Converted to Grassland in a given year between  
48 1990 and 2015 if the land use is grassland but had been classified as another use during the previous 20 years. NRI

1 survey locations are classified according to land use histories starting in 1979, and consequently the classifications  
2 are based on less than 20 years from 1990 to 1998. This may have led to an underestimation of Land Converted to  
3 Grassland in the early part of the time series to the extent that some areas are converted to grassland between  
4 1971 and 1978. For federal lands, the land use history is derived from land cover changes in the National Land  
5 Cover Dataset (Yang et al. 2018; Homer et al. 2007; Fry et al. 2011; Homer et al. 2015).

## 6 *Mineral Soil Carbon Stock Changes*

7 An IPCC Tier 3 model-based approach (Ogle et al. 2010) is applied to estimate C stock changes in mineral soils for  
8 most of the area in Land Converted to Grassland. C stock changes on the remaining area are estimated with an  
9 IPCC Tier 2 approach (Ogle et al. 2003), including prior cropland used to produce vegetables, tobacco, and  
10 perennial/horticultural crops; land areas with very gravelly, cobbly, or shaley soils (greater than 35 percent by  
11 volume); and land converted to grassland from another land use other than cropland.

12 A surrogate data method is used to estimate soil organic C stock changes from 2016 to 2021 at the national scale  
13 for land areas included in the Tier 2 and Tier 3 methods. Specifically, linear regression models with autoregressive  
14 moving-average (ARMA) errors (Brockwell and Davis 2016) are used to estimate the relationship between  
15 surrogate data and the 1990 to 2015 emissions data that are derived using the Tier 2 and 3 methods. Surrogate  
16 data for these regression models includes weather data from the PRISM Climate Group (PRISM Climate Group  
17 2018). See Box 6-4 in the Methodology section of Cropland Remaining Cropland for more information about the  
18 surrogate data method.

19 **Tier 3 Approach.** Mineral soil organic C stocks and stock changes are estimated using the DayCent  
20 biogeochemical<sup>60</sup> model (Parton et al. 1998; Del Grosso et al. 2001, 2011). The DayCent model utilizes the soil C  
21 modeling framework developed in the Century model (Parton et al. 1987, 1988, 1994; Metherell et al. 1993), but  
22 has been refined to simulate dynamics at a daily time-step. Historical land use patterns and irrigation histories are  
23 simulated with DayCent based on the 2015 USDA NRI survey (USDA-NRCS 2018). Carbon stocks and 95 percent  
24 confidence intervals are estimated for each year between 1990 and 2015. See the Cropland Remaining Cropland  
25 section and Annex 3.12 for additional discussion of the Tier 3 methodology for mineral soils.

26 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015 so that changes  
27 reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes from  
28 2016 to 2021 are approximated using a linear extrapolation of emission patterns from 1990 to 2015. The  
29 extrapolation is based on a linear regression model with moving-average (ARMA) errors, described in 6.4 of the  
30 Methodology section in Cropland Remaining Cropland. Linear extrapolation is a standard data splicing method for  
31 estimating emissions at the end of a time series (IPCC 2006). Stock change estimates for 2016 to 2021 will be  
32 recalculated in future Inventories with an updated time series of activity data (see the Planned Improvements  
33 section in Cropland Remaining Cropland).

34 **Tier 2 Approach.** For the mineral soils not included in the Tier 3 analysis, soil organic C stock changes are estimated  
35 using a Tier 2 Approach, as described in the Tier 2 Approach for mineral soils in Grassland Remaining Grassland and  
36 Annex 3.12. In order to ensure time-series consistency, the Tier 2 method is applied from 1990 to 2015 so that  
37 changes reflect anthropogenic activity and not methodological adjustments. In addition, soil organic C stock  
38 changes are approximated for the remainder of the time series with a linear extrapolation of emission patterns  
39 from 1990 to 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) (See Box  
40 6-4 of the Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data splicing  
41 method for estimating emissions at the end of a time series (IPCC 2006). As with the Tier 3 method, stock change  
42 estimates for 2016 to 2021 will be recalculated in future Inventories with an updated time series of activity data.

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<sup>60</sup> Biogeochemical cycles are the flow of chemical elements and compounds between living organisms and the physical environment.

1 **Organic Soil Carbon Stock Changes**

2 Annual C emissions from drained organic soils in Land Converted to Grassland are estimated using the Tier 2  
 3 method provided in IPCC (2006), with country-specific C loss rates (Ogle et al. 2003) as described in the Cropland  
 4 Remaining Cropland section. Further elaboration on the methodology is also provided in Annex 3.12 for organic  
 5 soils.

6 In order to ensure time-series consistency, the Tier 2 method is applied from 1990 to 2015 so that changes reflect  
 7 anthropogenic activity and not methodological adjustments. In addition, soil organic C stock changes are  
 8 approximated for the remainder of the time series with a linear extrapolation of emission patterns from 1990 to  
 9 2015. The extrapolation is based on a linear regression model with moving-average (ARMA) (See Box 6-4 of the  
 10 Methodology section in Cropland Remaining Cropland). Linear extrapolation is a standard data splicing method for  
 11 estimating emissions at the end of a time series (IPCC 2006). Annual C emissions from drained organic soils from  
 12 2016 to 2021 will be recalculated in future Inventories with an updated time series of activity data.

13 **Uncertainty**

14 The uncertainty analyses for biomass, dead wood and litter C losses with Forest Land Converted to Grassland and  
 15 other land-use conversions to woodlands are conducted in the same way as the uncertainty assessment for forest  
 16 ecosystem C flux in the Forest Land Remaining Forest Land category. Sample and model-based error are combined  
 17 using simple error propagation methods provided by the IPCC (2006), by taking the square root of the sum of the  
 18 squares of the standard deviations of the uncertain quantities. For additional details see the Uncertainty Analysis  
 19 in Annex 3.13.

20 The uncertainty analyses for mineral soil organic C stock changes using the Tier 3 and Tier 2 methodologies are  
 21 based on a Monte Carlo approach that is described in the Cropland Remaining Cropland section and Annex 3.12.  
 22 The uncertainty for annual C emission estimates from drained organic soils in Land Converted to Grassland is  
 23 estimated using a Monte Carlo approach, which is also described in the Cropland Remaining Cropland section. For  
 24 2016 to 2021, there is additional uncertainty propagated through the Monte Carlo Analysis associated with a  
 25 surrogate data method, which is also described in Cropland Remaining Cropland.

26 Uncertainty estimates are presented in Table 6-46 for each subsource (i.e., biomass C stocks, mineral and organic C  
 27 stocks in soils) and the method applied in the inventory analysis (i.e., Tier 2 and Tier 3). Uncertainty estimates from  
 28 the Tier 2 and 3 approaches are combined using the simple error propagation methods provided by the IPCC  
 29 (2006), as discussed in the previous paragraph. The combined uncertainty for total C stocks in Land Converted to  
 30 Grassland ranges from 149 percent below to 149 percent above the 2021 stock change estimate of 24.7 MMT CO<sub>2</sub>  
 31 Eq. The large relative uncertainty around the 2021 stock change estimate is partly due to large uncertainties in  
 32 biomass and dead organic matter C losses with Forest Land Conversion to Grassland, in addition to variation in soil  
 33 organic C stock changes that is not explained by the surrogate data method.

34 **Table 6-46: Approach 2 Quantitative Uncertainty Estimates for Soil, Dead Organic Matter**  
 35 **and Biomass C Stock Changes occurring within Land Converted to Grassland (MMT CO<sub>2</sub> Eq.**  
 36 **and Percent)**

Source	2021 Flux Estimate <sup>a</sup> (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
		Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
<b>Cropland Converted to Grassland</b>	<b>(19.3)</b>	<b>(48.9)</b>	<b>10.3</b>	<b>-153%</b>	<b>153%</b>
Aboveground Live Biomass	(0.3)	(0.6)	0.1	-129%	129%
Belowground Live Biomass	+	(0.1)	+	-167%	100%
Dead Wood	(0.1)	(0.3)	+	-133%	129%
Litter	(0.1)	(0.3)	+	-114%	127%
Mineral Soil C Stocks: Tier 3	(16.2)	(45.6)	13.1	-181%	181%

Mineral Soil C Stocks: Tier 2	(3.8)	(7.2)	(0.5)	-88%	88%
Organic Soil C Stocks: Tier 2	1.3	(0.1)	2.7	-105%	105%
<b>Forest Land Converted to Grassland</b>	<b>19.6</b>	<b>5.4</b>	<b>33.9</b>	<b>-73%</b>	<b>73%</b>
Aboveground Live Biomass	12.6	(0.6)	25.7	-104%	104%
Belowground Live Biomass	2.2	(0.1)	4.5	-105%	105%
Dead Wood	(0.1)	+	+	-100%	117%
Litter	4.8	(0.2)	9.9	-105%	104%
Mineral Soil C Stocks: Tier 2	+	(0.2)	0.1	-324%	324%
Organic Soil C Stocks: Tier 2	0.2	+	0.4	-119%	119%
<b>Other Lands Converted to Grassland</b>	<b>(24.0)</b>	<b>(40.5)</b>	<b>(7.5)</b>	<b>-69%</b>	<b>69%</b>
Aboveground Live Biomass	(1.3)	(2.1)	(0.5)	-63%	62%
Belowground Live Biomass	(0.2)	(0.3)	(0.1)	-68%	52%
Dead Wood	(0.4)	(0.6)	(0.1)	-66%	61%
Litter	(0.7)	(1.1)	(0.3)	-62%	63%
Mineral Soil C Stocks: Tier 2	(21.6)	(38.0)	(5.1)	-76%	76%
Organic Soil C Stocks: Tier 2	0.1	+	0.2	-163%	163%
<b>Settlements Converted to Grassland</b>	<b>(1.2)</b>	<b>(2.0)</b>	<b>(0.5)</b>	<b>-61%</b>	<b>62%</b>
Aboveground Live Biomass	(0.1)	(0.2)	+	-61%	73%
Belowground Live Biomass	+	+	+	-108%	100%
Dead Wood	(0.1)	(0.1)	+	-42%	29%
Litter	(0.1)	(0.1)	+	-46%	63%
Mineral Soil C Stocks: Tier 2	(0.9)	(1.7)	(0.2)	-80%	80%
Organic Soil C Stocks: Tier 2	+	+	+	-289%	289%
<b>Wetlands Converted to Grasslands</b>	<b>0.2</b>	<b>+</b>	<b>0.5</b>	<b>-120%</b>	<b>120%</b>
Mineral Soil C Stocks: Tier 2	+	(0.1)	0.1	-933%	933%
Organic Soil C Stocks: Tier 2	0.2	+	0.5	-119%	119%
<b>Total: Land Converted to Grassland</b>	<b>(24.7)</b>	<b>(61.4)</b>	<b>12.1</b>	<b>-149%</b>	<b>149%</b>
<b>Aboveground Live Biomass</b>	<b>10.9</b>	<b>(2.2)</b>	<b>24.1</b>	<b>-120%</b>	<b>120%</b>
<b>Belowground Live Biomass</b>	<b>2.0</b>	<b>(0.3)</b>	<b>4.3</b>	<b>-116%</b>	<b>116%</b>
<b>Dead Wood</b>	<b>(0.7)</b>	<b>(1.0)</b>	<b>(0.4)</b>	<b>-48%</b>	<b>47%</b>
<b>Litter</b>	<b>3.9</b>	<b>(1.2)</b>	<b>9.0</b>	<b>-130%</b>	<b>130%</b>
<b>Mineral Soil C Stocks: Tier 3</b>	<b>(16.2)</b>	<b>(45.6)</b>	<b>13.1</b>	<b>-181%</b>	<b>181%</b>
<b>Mineral Soil C Stocks: Tier 2</b>	<b>(26.4)</b>	<b>(43.2)</b>	<b>(9.6)</b>	<b>-64%</b>	<b>64%</b>
<b>Organic Soil C Stocks: Tier 2</b>	<b>1.8</b>	<b>0.4</b>	<b>3.2</b>	<b>-79%</b>	<b>79%</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

1 Uncertainty is also associated with a lack of reporting on biomass, dead wood and litter C stock changes for  
2 conversions to agroforestry systems and herbaceous grasslands. The influence of agroforestry is difficult to address  
3 because there are currently no datasets to evaluate the trends in the area and associated C stocks in agroforestry  
4 systems. The influence of land-use change to herbaceous grasslands and agroforestry will be further explored in a  
5 future Inventory.

## 6 QA/QC and Verification

7 See the QA/QC and Verification section in Cropland Remaining Cropland and Grassland Remaining Grassland for  
8 information on QA/QC steps.

## 9 Recalculations Discussion

10 Recalculations are associated with new FIA data from 1990 to 2021 on biomass, dead wood and litter C stocks  
11 associated with conversions to woodlands from Cropland Converted to Grassland, Other Land Converted to  
12 Grassland, and Settlements Converted to Grassland; updated FIA data from 1990 to 2021 on biomass, dead wood  
13 and litter C stocks from Forest Land Converted to Grassland; and updated estimates for mineral soils from 2016 to

1 2021 using the linear extrapolation method. As a result, Land Converted to Grassland has an estimated increase in  
 2 C stock changes of 2.9 MMT CO<sub>2</sub> Eq. on average over the time series, representing a 23 percent increase in C  
 3 sequestration compared to the previous Inventory.

## 4 **Planned Improvements**

5 There are two key improvements planned for the inventory, including a) incorporating the latest land use data  
 6 from the USDA National Resources Inventory, and b) conducting an analysis of C stock changes in Alaska for  
 7 cropland. These two improvements will resolve the majority of the discrepancy between the managed land base  
 8 for Land Converted to Grassland and amount of area currently included in Land Converted to Grassland Inventory  
 9 (See Table 6.47).

10 **Table 6-47: Comparison of Managed Land Area in Land Converted to Grassland and Area in**  
 11 **the current Land Converted to Grassland Inventory (Thousand Hectares)**

Area (Thousand Hectares)			
Year	Managed Land	Inventory	Difference
1990	9,319	9,394	-75
1991	9,514	9,485	29
1992	9,733	9,691	43
1993	11,641	11,566	75
1994	13,391	13,378	14
1995	14,060	13,994	66
1996	14,749	14,622	127
1997	15,431	15,162	269
1998	19,309	19,052	258
1999	20,164	19,931	234
2000	21,295	20,859	436
2001	22,387	21,968	418
2002	22,863	22,392	471
2003	22,495	22,008	487
2004	23,164	22,547	617
2005	23,070	22,447	622
2006	23,409	22,702	707
2007	23,144	22,428	716
2008	23,448	22,661	787
2009	23,339	22,581	758
2010	23,415	22,634	780
2011	23,557	22,750	806
2012	23,383	22,596	787
2013	22,196	21,439	757
2014	20,856	20,163	693
2015	20,811	20,210	601
2016	20,083	*	*
2017	19,349	*	*
2018	16,517	*	*
2019	16,090	*	*
2020	15,254	*	*
2021	13,892	*	*

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NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

1 In addition, the amount of biomass C that is lost abruptly or the slower changes that continue to occur over a  
2 decade or longer with Forest Land Converted to Grasslands will be further refined in a future Inventory. The  
3 current values are estimated based on the amount of C before conversion and an estimated level of C left after  
4 conversion based on limited plot data from the FIA and published literature for the Western United States and  
5 Great Plains Regions. The amount of C left after conversion will be further investigated with additional data  
6 collection, particularly in the Western United States and Great Plains, including tree biomass, understory biomass,  
7 dead wood and litter C pools. In addition, biomass C stock changes will be estimated for conversions from other  
8 land uses to herbaceous grasslands. For information about other improvements, see the Planned Improvements  
9 section in Cropland Remaining Cropland.

## 11 **6.8 Wetlands Remaining Wetlands (CRF** 12 **Category 4D1)**

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13 Wetlands Remaining Wetlands includes all wetlands in an Inventory year that have been classified as a wetland for  
14 the previous 20 years, and in this Inventory, the flux estimates include Peatlands, Coastal Wetlands, and Flooded  
15 Land.

### 16 **Peatlands Remaining Peatlands**

#### 17 **Emissions from Managed Peatlands**

18 Managed peatlands are peatlands that have been cleared and drained for the production of peat. The production  
19 cycle of a managed peatland has three phases: land conversion in preparation for peat extraction (e.g., clearing  
20 surface biomass, draining), extraction (which results in the emissions reported under Peatlands Remaining  
21 Peatlands), and abandonment, restoration, rewetting, or conversion of the land to another use.

22 Carbon dioxide emissions from the removal of biomass and the decay of drained peat constitute the major  
23 greenhouse gas flux from managed peatlands. Managed peatlands may also emit CH<sub>4</sub> and N<sub>2</sub>O. The natural  
24 production of CH<sub>4</sub> is largely reduced but not entirely eliminated when peatlands are drained in preparation for  
25 peat extraction (Strack et al. 2004 as cited in the *2006 IPCC Guidelines*). Drained land surface and ditch networks  
26 contribute to the CH<sub>4</sub> flux in peatlands managed for peat extraction. Methane emissions were considered  
27 insignificant under the IPCC Tier 1 methodology (IPCC 2006), but are included in the emissions estimates for  
28 Peatlands Remaining Peatlands consistent with the *2013 Supplement to the 2006 IPCC Guidelines for National*  
29 *Greenhouse Gas Inventories: Wetlands* (IPCC 2013). Nitrous oxide emissions from managed peatlands depend on  
30 site fertility. In addition, abandoned and restored peatlands continue to release greenhouse gas emissions.  
31 Although methodologies are provided to estimate emissions and removals from rewetted organic soils (which  
32 includes rewetted/restored peatlands) in IPCC (2013) guidelines, information on the areal extent of  
33 rewetted/restored peatlands in the United States is currently unavailable. The current Inventory estimates CO<sub>2</sub>,  
34 CH<sub>4</sub> and N<sub>2</sub>O emissions from peatlands managed for peat extraction in accordance with IPCC (2006 and 2013)  
35 guidelines.

## 1 **CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> Emissions from Peatlands Remaining Peatlands**

2 IPCC (2013) recommends reporting CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> emissions from lands undergoing active peat extraction (i.e.,  
3 Peatlands Remaining Peatlands) as part of the estimate for emissions from managed wetlands. Peatlands occur  
4 where plant biomass has sunk to the bottom of water bodies and water-logged areas and exhausted the oxygen  
5 supply below the water surface during the course of decay. Due to these anaerobic conditions, much of the plant  
6 matter does not decompose but instead forms layers of peat over decades and centuries. In the United States,  
7 peat is extracted for horticulture and landscaping growing media, and for a wide variety of industrial, personal  
8 care, and other products. It has not been used for fuel in the United States for many decades. Peat is harvested  
9 from two types of peat deposits in the United States: *Sphagnum* bogs in northern states (e.g., Minnesota) and  
10 wetlands in states further south (e.g., Florida). The peat from *Sphagnum* bogs in northern states, which is nutrient-  
11 poor, is generally corrected for acidity and mixed with fertilizer. Production from more southerly states is relatively  
12 coarse (i.e., fibrous) but nutrient-rich.

13 IPCC (2006 and 2013) recommend considering both on-site and off-site emissions when estimating CO<sub>2</sub> emissions  
14 from Peatlands Remaining Peatlands using the Tier 1 approach. Current IPCC methodologies estimate only on-site  
15 N<sub>2</sub>O and CH<sub>4</sub> emissions. This is because off-site N<sub>2</sub>O estimates are complicated by the risk of double-counting  
16 emissions from nitrogen fertilizers added to horticultural peat where subsequent runoff or leaching into  
17 waterbodies can result in indirect N<sub>2</sub>O emissions that are already included within the Agricultural Soil Management  
18 category.

19 On-site emissions from managed peatlands occur as the land is drained and cleared of vegetation, and the  
20 underlying peat is exposed to sun, weather and oxygen. As this occurs, some peat deposit is lost and CO<sub>2</sub> is emitted  
21 from the oxidation of the peat. Since N<sub>2</sub>O emissions from saturated ecosystems tend to be low unless there is an  
22 exogenous source of nitrogen, N<sub>2</sub>O emissions from drained peatlands are dependent on nitrogen mineralization  
23 and therefore on soil fertility. Peatlands located on highly fertile/nutrient-rich soils, mostly made up of southern  
24 peatlands in Florida, contain significant amounts of organic nitrogen in inert/microbially inaccessible forms.  
25 Draining land in preparation for peat extraction allows bacteria to convert the organic nitrogen into nitrates  
26 through nitrogen mineralization which leach to the surface where they are reduced to N<sub>2</sub>O during nitrification.  
27 Nitrate availability also contributes to the activity of methanogens and methanotrophs that result in CH<sub>4</sub> emissions  
28 (Blodau 2002; Treat et al. 2007 as cited in IPCC 2013). Drainage ditches, which are constructed to drain the land in  
29 preparation for peat extraction, also contribute to the flux of CH<sub>4</sub> through *in situ* production and lateral transfer of  
30 CH<sub>4</sub> from the organic soil matrix (IPCC 2013).

31 Off-site CO<sub>2</sub> emissions from managed peatlands occur from waterborne dissolved organic carbon losses and the  
32 horticultural and landscaping use of peat. Dissolved organic carbon from water drained off peatlands reacts within  
33 aquatic ecosystems and is converted to CO<sub>2</sub>, which is then emitted to the atmosphere (Billet et al. 2004 as cited in  
34 IPCC 2013). During the horticultural and landscaping use of peat, nutrient-poor (but fertilizer-enriched) peat tends  
35 to be used in bedding plants and in greenhouse and plant nursery production, whereas nutrient-rich (but relatively  
36 coarse) peat is used directly in landscaping, athletic fields, golf courses, and plant nurseries. Most (nearly 94  
37 percent) of the CO<sub>2</sub> emissions from peat occur off-site, as the peat is processed and sold to firms which, in the  
38 United States, use it predominantly for the aforementioned horticultural and landscaping purposes.

39 Total emissions from Peatlands Remaining Peatlands are estimated to be 0.7 MMT CO<sub>2</sub> Eq. in 2021 (see Table 6-48  
40 and Table 6-49) comprising 0.7 MMT CO<sub>2</sub> Eq. (700 kt) of CO<sub>2</sub>, 0.004 MMT CO<sub>2</sub> Eq. (0.15 kt) of CH<sub>4</sub> and 0.0005 MMT  
41 CO<sub>2</sub> Eq. (0.002 kt) of N<sub>2</sub>O. Total emissions in 2021 are 4.5 percent less than total emissions in 2020.

42 Total emissions from Peatlands Remaining Peatlands have fluctuated between 0.7 and 1.3 MMT CO<sub>2</sub> Eq. across the  
43 time series with a decreasing trend from 1990 until 1993, followed by an increasing trend until reaching peak  
44 emissions in 2000. After 2000, emissions generally decreased until 2006 and then increased until 2009. The trend  
45 reversed in 2009 and total emissions have generally decreased between 2009 and 2021. Carbon dioxide emissions  
46 from Peatlands Remaining Peatlands have fluctuated between 0.7 and 1.3 MMT CO<sub>2</sub> across the time series, and  
47 these emissions drive the trends in total emissions. Methane and N<sub>2</sub>O emissions remained close to zero across the  
48 time series.



1 **Table 6-48: Emissions from Peatlands Remaining Peatlands (MMT CO<sub>2</sub> Eq.)**

Gas	1990	2005	2017	2018	2019	2020	2021
<b>CO<sub>2</sub></b>	<b>1.1</b>	<b>1.1</b>	<b>0.8</b>	<b>0.8</b>	<b>0.8</b>	<b>0.7</b>	<b>0.7</b>
Off-site	1.0	1.0	0.8	0.7	0.7	0.7	0.7
On-site	0.1	0.1	0.1	0.1	0.1	+	+
<b>CH<sub>4</sub> (On-site)</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
<b>N<sub>2</sub>O (On-site)</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
<b>Total</b>	<b>1.1</b>	<b>1.1</b>	<b>0.8</b>	<b>0.8</b>	<b>0.8</b>	<b>0.7</b>	<b>0.7</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding.

2 **Table 6-49: Emissions from Peatlands Remaining Peatlands (kt)**

Gas	1990	2005	2017	2018	2019	2020	2021
<b>CO<sub>2</sub></b>	<b>1,055</b>	<b>1,101</b>	<b>829</b>	<b>795</b>	<b>757</b>	<b>733</b>	<b>700</b>
Off-site	985	1,030	774	744	707	683	653
On-site	70	71	55	51	50	50	48
<b>CH<sub>4</sub> (On-site)</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
<b>N<sub>2</sub>O (On-site)</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>

+ Does not exceed 0.5 kt

Note: Totals by gas may not sum due to independent rounding.

### 3 **Methodology and Time-Series Consistency**

#### 4 *Off-Site CO<sub>2</sub> Emissions*

5 Carbon dioxide emissions from domestic peat production were estimated using a Tier 1 methodology consistent  
6 with IPCC (2006). Off-site CO<sub>2</sub> emissions from Peatlands Remaining Peatlands were calculated by apportioning the  
7 annual weight of peat produced in the United States (Table 6-50) into peat extracted from nutrient-rich deposits  
8 and peat extracted from nutrient-poor deposits using annual percentage-by-weight figures. These nutrient-rich  
9 and nutrient-poor production values were then multiplied by the appropriate default C fraction conversion factor  
10 taken from IPCC (2006) in order to obtain off-site emission estimates. For the conterminous 48 states, both annual  
11 percentages of peat type by weight and domestic peat production data were sourced from estimates and industry  
12 statistics provided in the *Minerals Yearbook* and *Mineral Commodity Summaries* from the U.S. Geological Survey  
13 (USGS 1995 through 2018; USGS 2022a; USGS 2022b; USGS 2022c). Hawaii is assumed to have no peat production  
14 due to its absence from these sources. To develop these data, the U.S. Geological Survey (USGS; U.S. Bureau of  
15 Mines prior to 1997) obtained production and use information by surveying domestic peat producers. On average,  
16 about 75 percent of the peat operations respond to the survey; USGS estimates data for non-respondents on the  
17 basis of prior-year production levels (Apodaca 2011).

18 The estimates for Alaska rely on reported peat production from the annual *Alaska's Mineral Industry* reports  
19 (DGGs 1993 through 2015). Similar to the U.S. Geological Survey, the Alaska Department of Natural Resources,  
20 Division of Geological & Geophysical Surveys (DGGs) solicits voluntary reporting of peat production from producers  
21 for the *Alaska's Mineral Industry* report. However, the report does not estimate production for the non-reporting  
22 producers, resulting in larger inter-annual variation in reported peat production from Alaska depending on the  
23 number of producers who report in a given year (Szumigala 2011). In addition, in both the conterminous 48 states  
24 and Alaska, large variations in peat production can also result from variation in precipitation and the subsequent  
25 changes in moisture conditions, since unusually wet years can hamper peat production. The methodology  
26 estimates emissions from Alaska separately from the conterminous 48 states because Alaska previously conducted  
27 its own mineral surveys and reported peat production by volume, rather than by weight (Table 6-51). However,  
28 volume production data were used to calculate off-site CO<sub>2</sub> emissions from Alaska applying the same methodology

1 but with volume-specific C fraction conversion factors from IPCC (2006).<sup>61</sup> Peat production was not reported for  
 2 2015 in *Alaska’s Mineral Industry 2014* report (DGGGS 2015), and reliable data are not available beyond 2012, so  
 3 Alaska’s peat production in 2013 through 2021 (reported in cubic yards) was assumed to be equal to the 2012  
 4 value.

5 Consistent with IPCC (2013) guidelines, off-site CO<sub>2</sub> emissions from dissolved organic carbon were estimated based  
 6 on the total area of peatlands managed for peat extraction, which is calculated from production data using the  
 7 methodology described in the On-Site CO<sub>2</sub> Emissions section below. Carbon dioxide emissions from dissolved  
 8 organic C were estimated by multiplying the area of managed peatlands by the default emission factor for  
 9 dissolved organic C provided in IPCC (2013).

10 The United States has largely imported peat from Canada for horticultural purposes; in 2021, imports of *Sphagnum*  
 11 moss (nutrient-poor) peat from Canada represented 96 percent of total U.S. peat imports and 80 percent of U.S.  
 12 domestic consumption (USGS 2022c). Most peat produced in the United States is reed-sedge peat, generally from  
 13 southern states, which is classified as nutrient-rich by IPCC (2006). To be consistent with the Tier 1 method, only  
 14 domestic peat production is accounted for when estimating off-site emissions. Higher-tier calculations of CO<sub>2</sub>  
 15 emissions from apparent consumption would involve consideration of the percentages of peat types stockpiled  
 16 (nutrient-rich versus nutrient-poor) as well as the percentages of peat types imported and exported.

17 **Table 6-50: Peat Production of Conterminous 48 States (kt)**

Type of Deposit	1990	2005	2017	2018	2019	2020	2021
Nutrient-Rich	595.1	657.6	423.3	416.7	410.4	430.7	378.0
Nutrient-Poor	55.4	27.4	74.7	62.3	45.6	13.3	42.0
<b>Total Production</b>	<b>692.0</b>	<b>685.0</b>	<b>498.0</b>	<b>479.0</b>	<b>456.0</b>	<b>444.0</b>	<b>420.0</b>

Sources: United States Geological Survey (USGS) (1991–2017) *Minerals Yearbook: Peat (1994–2016)*; United States Geological Survey (USGS) (2018) *Minerals Yearbook: Peat – Tables-only release (2018)*; United States Geological Survey (USGS) (2021) *Mineral Commodity Summaries: Peat (2021)*.

18 **Table 6-51: Peat Production of Alaska (Thousand Cubic Meters)**

	1990	2005	2017	2018	2019	2020	2021
Total Production	49.7	47.8	93.1	93.1	93.1	93.1	93.1

Sources: Division of Geological & Geophysical Surveys (DGGGS), Alaska Department of Natural Resources (1997–2015) *Alaska’s Mineral Industry Report (1997–2014)*.

19 **On-site CO<sub>2</sub> Emissions**

20 IPCC (2006) suggests basing the calculation of on-site emission estimates on the area of peatlands managed for  
 21 peat extraction differentiated by the nutrient type of the deposit (rich versus poor). Information on the area of  
 22 land managed for peat extraction is currently not available for the United States, but consistent with IPCC (2006),  
 23 an average production rate for the industry was applied to derive a land area estimate. In a mature industrialized  
 24 peat industry, such as exists in the United States and Canada, the vacuum method can extract up to 100 metric  
 25 tons per hectare per year (Cleary et al. 2005 as cited in IPCC 2006).<sup>62</sup> The area of land managed for peat extraction  
 26 in the conterminous 48 states of the United States was estimated using both nutrient-rich and nutrient-poor  
 27 production data and the assumption that 100 metric tons of peat are extracted from a single hectare in a single

<sup>61</sup> Peat produced from Alaska was assumed to be nutrient poor; as is the case in Canada, “where deposits of high-quality [but nutrient poor] *Sphagnum* moss are extensive” (USGS 2008).

<sup>62</sup> The vacuum method is one type of extraction that annually “mills” or breaks up the surface of the peat into particles, which then dry during the summer months. The air-dried peat particles are then collected by vacuum harvesters and transported from the area to stockpiles (IPCC 2006).

1 year, see Table 6-52. The annual land area estimates were then multiplied by the IPCC (2013) default emission  
 2 factor in order to calculate on-site CO<sub>2</sub> emission estimates.

3 Production data are not available by weight for Alaska. In order to calculate on-site emissions resulting from  
 4 Peatlands Remaining Peatlands in Alaska, the production data by volume were converted to weight using annual  
 5 average bulk peat density values, and then converted to land area estimates using the assumption that a single  
 6 hectare yields 100 metric tons, see Table 6-53. The IPCC (2006) on-site emissions equation also includes a term  
 7 that accounts for emissions resulting from the change in C stocks that occurs during the clearing of vegetation  
 8 prior to peat extraction. Area data on land undergoing conversion to peatlands for peat extraction is also  
 9 unavailable for the United States. However, USGS records show that the number of active operations in the United  
 10 States has been declining since 1990; therefore, it seems reasonable to assume that no new areas are being  
 11 cleared of vegetation for managed peat extraction. Other changes in C stocks in living biomass on managed  
 12 peatlands are also assumed to be zero under the Tier 1 methodology (IPCC 2006 and 2013).

13 **Table 6-52: Peat Production Area of Conterminous 48 States (Hectares)**

	1990 <sup>a</sup>	2005	2017	2018	2019	2020	2021
Nutrient-Rich	5,951	6,576	4,233	4,167	4,104	4,307	3,780
Nutrient-Poor	554	274	747	623	456	133	420
<b>Total Production</b>	<b>6,920</b>	<b>6,850</b>	<b>4,980</b>	<b>4,790</b>	<b>4,560</b>	<b>4,440</b>	<b>4,200</b>

<sup>a</sup> A portion of the production in 1990 is of unknown nutrient type, resulting in a total production value greater than the sum of nutrient-rich and nutrient-poor.

14 **Table 6-53: Peat Production Area of Alaska (Hectares)**

	1990	2005	2017	2018	2019	2020	2021
Nutrient-Rich	0	0	0	0	0	0	0
Nutrient-Poor	286	104	333	212	329	428	428
<b>Total Production</b>	<b>286</b>	<b>104</b>	<b>333</b>	<b>212</b>	<b>329</b>	<b>428</b>	<b>428</b>

15 *On-site N<sub>2</sub>O Emissions*

16 IPCC (2006) indicates the calculation of on-site N<sub>2</sub>O emission estimates using Tier 1 methodology only considers  
 17 nutrient-rich peatlands managed for peat extraction. These area data are not available directly for the United  
 18 States, but the on-site CO<sub>2</sub> emissions methodology above details the calculation of nutrient-rich area data from  
 19 production data. In order to estimate N<sub>2</sub>O emissions, the land area estimate of nutrient-rich Peatlands Remaining  
 20 Peatlands was multiplied by the appropriate default emission factor taken from IPCC (2013). See Planned  
 21 Improvements section for additional information on the basis of land area estimates.

22 *On-site CH<sub>4</sub> Emissions*

23 IPCC (2013) also suggests basing the calculation of on-site CH<sub>4</sub> emission estimates on the total area of peatlands  
 24 managed for peat extraction. Area data is derived using the calculation from production data described in the On-  
 25 site CO<sub>2</sub> Emissions section above. In order to estimate CH<sub>4</sub> emissions from drained land surface, the land area  
 26 estimate of Peatlands Remaining Peatlands was multiplied by the emission factor for direct CH<sub>4</sub> emissions taken  
 27 from IPCC (2013). In order to estimate CH<sub>4</sub> emissions from drainage ditches, the total area of peatland was  
 28 multiplied by the default fraction of peatland area that contains drainage ditches, and the appropriate emission  
 29 factor taken from IPCC (2013). See Table 6-54 for the calculated area of ditches and drained land.

30 **Table 6-54: Peat Production (Hectares)**

	1990	2005	2017	2018	2019	2020	2021
<b>Conterminous 48 States</b>							
Area of Drained Land	6,574	6,508	4,731	4,551	4,332	4,218	3,990

Area of Ditches	346		343		249	240	228	222	210
<b>Total Production</b>	<b>6,920</b>		<b>6,850</b>		<b>4,980</b>	<b>4,790</b>	<b>4,560</b>	<b>4,440</b>	<b>4,200</b>
<b>Alaska</b>									
Area of Drained Land	272		99		317	202	312	407	407
Area of Ditches	14		5		17	11	16	21	21
<b>Total Production</b>	<b>286</b>		<b>104</b>		<b>333</b>	<b>212</b>	<b>329</b>	<b>428</b>	<b>212</b>

1 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
2 through 2021. The same data sources were used throughout the time series, when available. When data were  
3 unavailable or the available data were outliers, missing values were estimated based on the past available data.

#### 4 **Uncertainty**

5 A Monte Carlo (Approach 2) uncertainty analysis was applied to estimate the uncertainty of CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O  
6 emissions from Peatlands Remaining Peatlands for 2021, using the following assumptions:

- 7 • The uncertainty associated with peat production data was estimated to be ± 25 percent (Apodaca 2008)  
8 and assumed to be normally distributed.
- 9 • The uncertainty associated with peat production data stems from the fact that the USGS receives data  
10 from the smaller peat producers but estimates production from some larger peat distributors. The peat  
11 type production percentages were assumed to have the same uncertainty values and distribution as the  
12 peat production data (i.e., ± 25 percent with a normal distribution).
- 13 • The uncertainty associated with the reported production data for Alaska was assumed to be the same as  
14 for the conterminous 48 states, or ± 25 percent with a normal distribution. It should be noted that the  
15 DGGs estimates that around half of producers do not respond to their survey with peat production data;  
16 therefore, the production numbers reported are likely to underestimate Alaska peat production  
17 (Szumigala 2008).
- 18 • The uncertainty associated with the average bulk density values was estimated to be ± 25 percent with a  
19 normal distribution (Apodaca 2008).
- 20 • IPCC (2006 and 2013) gives uncertainty values for the emissions factors for the area of peat deposits  
21 managed for peat extraction based on the range of underlying data used to determine the emission  
22 factors. The uncertainty associated with the emission factors was assumed to be triangularly distributed.
- 23 • The uncertainty values surrounding the C fractions were based on IPCC (2006) and the uncertainty was  
24 assumed to be uniformly distributed.
- 25 • The uncertainty values associated with the fraction of peatland covered by ditches was assumed to be ±  
26 100 percent with a normal distribution based on the assumption that greater than 10 percent coverage,  
27 the upper uncertainty bound, is not typical of drained organic soils outside of The Netherlands (IPCC  
28 2013).

29 The results of the Approach 2 quantitative uncertainty analysis are summarized in Table 6-55. Carbon dioxide  
30 emissions from Peatlands Remaining Peatlands in 2021 were estimated to be between 0.6 and 0.8 MMT CO<sub>2</sub> Eq. at  
31 the 95 percent confidence level. This indicates a range of 16 percent below to 16 percent above the 2021 emission  
32 estimate of 0.7 MMT CO<sub>2</sub> Eq. Methane emissions from Peatlands Remaining Peatlands in 2021 were estimated to  
33 be between 0.002 and 0.007 MMT CO<sub>2</sub> Eq. This indicates a range of 58 percent below to 80 percent above the  
34 2021 emission estimate of 0.004 MMT CO<sub>2</sub> Eq. Nitrous oxide emissions from Peatlands Remaining Peatlands in  
35 2021 were estimated to be between 0.0003 and 0.0008 MMT CO<sub>2</sub> Eq. at the 95 percent confidence level. This  
36 indicates a range of 52 percent below to 53 percent above the 2021 emission estimate of 0.0005 MMT CO<sub>2</sub> Eq.

1 **Table 6-55: Approach 2 Quantitative Uncertainty Estimates for CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O Emissions**  
 2 **from Peatlands Remaining Peatlands (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Peatlands Remaining Peatlands	CO <sub>2</sub>	0.7	0.6	0.8	-16%	16%
Peatlands Remaining Peatlands	CH <sub>4</sub>	+	+	+	-58%	80%
Peatlands Remaining Peatlands	N <sub>2</sub> O	+	+	+	-52%	53%

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

### 3 QA/QC and Verification

4 A QA/QC analysis was performed to review input data and calculations, and no issues were identified. In addition,  
 5 the emission trends were analyzed to ensure they reflected activity data trends.

### 6 Recalculations Discussion

7 The conterminous 48 states peat production estimates for Peatlands Remaining Peatlands were updated using the  
 8 Peat section of the *Mineral Commodity Summaries 2022*. The 2022 edition updated 2018, 2019, and 2020 peat  
 9 production data and provided peat type production estimates for 2021. The updated data increased previously  
 10 estimated emissions for 2018 by 0.4 percent, 2019 by 0.2 percent, and 2020 by 3.5 percent versus estimated  
 11 emissions for 2018, 2019, and 2020 in the previous (i.e., 1990 through 2020) Inventory for Peatlands Remaining  
 12 Peatlands.

13 Although Alaska peat production data for 2015 through 2021 were unavailable, 2014 data are available in the  
 14 *Alaska's Mineral Industry 2014* report. However, the reported values represented an apparent 98 percent  
 15 decrease in production since 2012. Due to the uncertainty of the most recent data, 2013, 2014, 2015, 2016, 2017,  
 16 2018, 2019, and 2020 values were assumed to be equal to the 2012 value, seen in the *Alaska's Mineral Industry*  
 17 *2013* report. If updated Alaska data are available for the next Inventory cycle, this will result in a recalculation in  
 18 the next (i.e., 1990 through 2021) Inventory report.

19 EPA updated global warming potentials (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub> (from 25 to 28) and  
 20 N<sub>2</sub>O (from 298 to 265) to reflect the 100-year GWPs provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC  
 21 2013). The previous Inventory used 100-year GWPs provided in the IPCC *Fourth Assessment Report* (AR4). This  
 22 update was applied across the entire time series. This change resulted in an 11 percent reduction in CO<sub>2</sub> Eq.  
 23 emissions for N<sub>2</sub>O across the time series, as well as a 12 percent increase in CO<sub>2</sub> Eq. emissions for CH<sub>4</sub> across the  
 24 time series. Further discussion on this update and the overall impacts of updating the Inventory GWP values to  
 25 reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

26 The cumulative effect of all of these changes was an average increase of 0.2 percent across the time series, with  
 27 the smallest increase of 0.05 percent (0.0005 MMT CO<sub>2</sub> Eq.) in 1996 to the largest increase of 3.6 percent (0.03  
 28 MMT CO<sub>2</sub> Eq.) in 2020.

### 29 Planned Improvements

30 EPA notes the following improvements may be implemented or investigated within the next two or three  
 31 inventory cycles pending time and resource constraints:

- 32 • The implied emission factors will be calculated and included in this chapter for future Inventories.  
 33 Currently, the N<sub>2</sub>O emissions calculation uses different land areas than the CO<sub>2</sub> and CH<sub>4</sub> emission  
 34 calculations (see Methodology and Time Series Consistency in this chapter), so estimating the implied

1 emission factor per total land area is not appropriate. The inclusion of implied emission factors in this  
2 chapter will provide another method of QA/QC and verification for Inventory data.

3 EPA notes the following improvements will continue to be investigated as time and resources allow, but there are  
4 no immediate plans to implement until data are available or identified:

- 5 • In order to further improve estimates of CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> emissions from Peatlands Remaining  
6 Peatlands, future efforts will investigate if improved data sources exist for determining the quantity of  
7 peat harvested per hectare and the total area of land undergoing peat extraction.
- 8 • EPA plans to identify a new source for Alaska peat production. The current source has not been reliably  
9 updated since 2012 and Alaska Department of Natural Resources indicated future publication of data has  
10 been discontinued.
- 11 • Edits to the trends and methodology sections are planned based on expert review comments.

## 12 Coastal Wetlands Remaining Coastal Wetlands

13 Consistent with ecological definitions of wetlands,<sup>63</sup> the United States has historically included under the category  
14 of Wetlands those coastal shallow water areas of estuaries and bays that lie within the extent of the Land  
15 Representation. Guidance on quantifying greenhouse gas emissions and removals on Coastal Wetlands is provided  
16 in the *2013 Supplement to the 2006 IPCC Guidelines for National Greenhouse Gas Inventories: Wetlands (Wetlands  
17 Supplement)*, which recognizes the particular importance of vascular plants in sequestering CO<sub>2</sub> from the  
18 atmosphere within biomass, dead organic material (DOM; including litter and dead wood stocks) and soils. Thus,  
19 the *Wetlands Supplement* provides specific guidance on quantifying emissions and removals on organic and  
20 mineral soils that are covered or saturated for part of the year by tidal fresh, brackish or saline water and are  
21 vegetated by vascular plants and may extend seaward to the maximum depth of vascular plant vegetation. The  
22 United States calculates emissions and removals based upon the stock change method for soil carbon (C) and the  
23 gain-loss method for biomass and DOM. Presently, this Inventory does not calculate the lateral flux of C to or from  
24 any land use. Lateral transfer of organic C to coastal wetlands and to marine sediments within U.S. waters is the  
25 subject of ongoing scientific investigation; there is currently no IPCC methodological guidance for lateral fluxes of  
26 C.

27 The United States recognizes both Vegetated Wetlands and Unvegetated Open Water as Coastal Wetlands. Per  
28 guidance provided by the *Wetlands Supplement*, sequestration of C into biomass, DOM and soil C pools is  
29 recognized only in Vegetated Coastal Wetlands and does not occur in Unvegetated Open Water Coastal Wetlands.  
30 The United States takes the additional step of recognizing that C stock losses occur when Vegetated Coastal  
31 Wetlands are converted to Unvegetated Open Water Coastal Wetlands.

32 This Inventory includes all privately- and publicly-owned coastal wetlands (i.e., mangroves and tidal marsh) along  
33 the oceanic shores of the conterminous United States, but does not include Coastal Wetlands Remaining Coastal  
34 Wetlands in Alaska, Hawaii, or any of the United States Territories. Seagrasses are not currently included within  
35 the Inventory due to insufficient data on distribution, change through time and C stocks or C stock changes as a  
36 result of anthropogenic influence (see Planned Improvements).

37 Under the Coastal Wetlands Remaining Coastal Wetlands category, the following emissions and removals are  
38 quantified in this chapter:

- 39 1) Carbon stock changes and CH<sub>4</sub> emissions on Vegetated Coastal Wetlands Remaining Vegetated Coastal  
40 Wetlands,

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<sup>63</sup> See <https://water.usgs.gov/nwsum/WSP2425/definitions.html>; accessed August 2021.

- 1        2) Carbon stock changes on Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal  
2        Wetlands,
- 3        3) Carbon stock changes on Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal  
4        Wetlands, and
- 5        4) Nitrous Oxide Emissions from Aquaculture in Coastal Wetlands.

6 Vegetated coastal wetlands hold C in all five C pools (i.e., aboveground biomass, belowground biomass, dead  
7 organic matter [DOM; dead wood and litter], and soil), though typically soil C and, to a lesser extent, aboveground  
8 and belowground biomass are the dominant pools, depending on wetland type (i.e., forested vs. marsh).  
9 Vegetated Coastal Wetlands are net accumulators of C over centuries to millennia as soils accumulate C under  
10 anaerobic soil conditions and C accumulates in plant biomass. Large emissions from soil C and biomass stocks  
11 occur when Vegetated Coastal Wetlands are converted to Unvegetated Open Water Coastal Wetlands (e.g., when  
12 Vegetated Coastal Wetlands are lost due to subsidence, channel cutting through Vegetated Coastal Wetlands), but  
13 are still recognized as Coastal Wetlands in this Inventory. These C stock losses resulting from conversion to  
14 Unvegetated Open Water Coastal Wetlands can cause the release of decades to centuries of accumulated soil C, as  
15 well as the standing stock of biomass C. Conversion of Unvegetated Open Water Coastal Wetlands to Vegetated  
16 Coastal Wetlands, either through restoration efforts or naturally, initiates the building of C stocks within soils and  
17 biomass. In applying the *Wetlands Supplement* methodologies for estimating CH<sub>4</sub> emissions, coastal wetlands in  
18 salinity conditions greater than 18 parts per thousand have little to no CH<sub>4</sub> emissions compared to those  
19 experiencing lower salinity brackish and freshwater conditions. Therefore, conversion of Vegetated Coastal  
20 Wetlands to or from Unvegetated Open Water Coastal Wetlands are conservatively assumed to not result in a  
21 change in salinity condition and are assumed to have no impact on CH<sub>4</sub> emissions. The *Wetlands Supplement*  
22 provides methodologies to estimate N<sub>2</sub>O emissions from coastal wetlands that occur due to aquaculture. The N<sub>2</sub>O  
23 emissions from aquaculture result from the N derived from consumption of the applied food stock that is then  
24 excreted as N load available for conversion to N<sub>2</sub>O. While N<sub>2</sub>O emissions can also occur due to anthropogenic N  
25 loading from the watershed and atmospheric deposition, these emissions are not reported here to avoid double-  
26 counting of indirect N<sub>2</sub>O emissions with the Agricultural Soils Management, Forest Land and Settlements  
27 categories.

28 The *Wetlands Supplement* provides methodologies for estimating C stock changes and CH<sub>4</sub> emissions from  
29 mangroves, tidal marshes and seagrasses. Depending upon their height and area, C stock changes from mangroves  
30 may be reported under the Forest Land category or under Coastal Wetlands. If mangrove stature is 5 m or greater  
31 or if there is evidence that trees can obtain that height, mangroves are reported under the Forest Land category  
32 because they meet the definition of Forest Land. Mangrove forests that are less than 5 m are reported under  
33 Coastal Wetlands because they meet the definition of Wetlands. All other non-drained, intact coastal marshes are  
34 reported under Coastal Wetlands.

35 Because of human activities and level of regulatory oversight, all coastal wetlands within the conterminous United  
36 States are included within the managed land area described in Section 6.1 , and as such, estimates of C stock  
37 changes, emissions of CH<sub>4</sub>, and emissions of N<sub>2</sub>O from aquaculture from all coastal wetlands are included in this  
38 Inventory. At the present stage of inventory development, Coastal Wetlands are not explicitly shown in the Land  
39 Representation analysis while work continues to harmonize data from NOAA's Coastal Change Analysis Program  
40 (C-CAP)<sup>64</sup> with NRI, FIA and NLDC data used to compile the Land Representation. However, a check was  
41 undertaken to confirm that Coastal Wetlands recognized by C-CAP represented a subset of Wetlands recognized by  
42 the NRI for marine coastal states.

43 The greenhouse gas fluxes for all four wetland categories described above are summarized in Table 6-56. Coastal  
44 Wetlands Remaining Coastal Wetlands are generally a net C sink, with the fluxes ranging from -3.3 to -4.4 MMT  
45 CO<sub>2</sub> Eq. across the majority of the time series; however, between 2006 and 2010, they were a net source of

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<sup>64</sup> See <https://coast.noaa.gov/digitalcoast/tools/lca.html>; accessed August 2021.

1 emissions (ranging from 5.6 to 5.9 MMT CO<sub>2</sub> Eq.), resulting from a large loss of vegetated coastal wetlands to open  
 2 water due to hurricanes (Table 6-56). Recognizing removals of CO<sub>2</sub> to soil of 10.2 MMT CO<sub>2</sub> Eq. and CH<sub>4</sub> emissions  
 3 of 4.3 MMT CO<sub>2</sub> Eq. in 2021, Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands are a net sink of  
 4 5.9MMT CO<sub>2</sub> Eq. Loss of coastal wetlands, primarily in the Mississippi Delta as a result of hurricane impacts and  
 5 sediment diversion and other human impacts, recognized as Vegetated Coastal Wetlands Converted to  
 6 Unvegetated Coastal Wetlands, drive an emission of 1.5 MMT CO<sub>2</sub> Eq. since 2011, primarily from soils. Building of  
 7 new wetlands from open water, recognized as Unvegetated Coastal Wetlands Converted to Vegetated Coastal,  
 8 results each year in removal of 0.1 MMT CO<sub>2</sub> Eq. Aquaculture is a minor industry in the United States, resulting in  
 9 an emission of N<sub>2</sub>O across the time series of between 0.1 to 0.2 MMT CO<sub>2</sub> Eq. In total, Coastal Wetlands are a net  
 10 sink of 4.4 MMT CO<sub>2</sub> Eq. in 2021.

11 **Table 6-56: Emissions and Removals from Coastal Wetlands Remaining Coastal Wetlands**  
 12 **(MMT CO<sub>2</sub> Eq.)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Vegetated Coastal Wetlands</b>							
<b>Remaining Vegetated Coastal Wetlands</b>							
	<b>(6.0)</b>	<b>(6.0)</b>	<b>(5.9)</b>	<b>(5.9)</b>	<b>(5.9)</b>	<b>(5.9)</b>	<b>(5.9)</b>
Biomass C Flux	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Flux	(10.1)	(10.2)	(10.2)	(10.2)	(10.2)	(10.2)	(10.2)
Net CH <sub>4</sub> Flux	4.2	4.2	4.3	4.3	4.3	4.3	4.3
<b>Vegetated Coastal Wetlands</b>							
<b>Converted to Unvegetated Open</b>							
<b>Water Coastal Wetlands</b>	<b>1.8</b>	<b>2.6</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>
Biomass C Flux	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Dead Organic Matter C Flux	+	+	+	+	+	+	+
Soil C Flux	1.7	2.5	1.5	1.5	1.5	1.5	1.5
<b>Unvegetated Open Water Coastal</b>							
<b>Wetlands Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	<b>(+)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>
Biomass C Flux	(+)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Dead Organic Matter C Flux	(+)	(+)	+	+	+	+	+
Soil C Flux	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Net N<sub>2</sub>O Flux from Aquaculture in</b>							
<b>Coastal Wetlands</b>	<b>0.1</b>	<b>0.2</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
<b>Total Biomass C Flux</b>	<b>+</b>	<b>+</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>
<b>Total Dead Organic Matter C Flux</b>	<b>(+)</b>	<b>(+)</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>	<b>+</b>
<b>Total Soil C Flux</b>	<b>(8.4)</b>	<b>(7.7)</b>	<b>(8.7)</b>	<b>(8.7)</b>	<b>(8.7)</b>	<b>(8.7)</b>	<b>(8.7)</b>
<b>Total CH<sub>4</sub> Flux</b>	<b>4.2</b>	<b>4.2</b>	<b>4.3</b>	<b>4.3</b>	<b>4.3</b>	<b>4.3</b>	<b>4.3</b>
<b>Total N<sub>2</sub>O Flux</b>	<b>0.1</b>	<b>0.2</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
<b>Total Flux</b>	<b>(4.1)</b>	<b>(3.3)</b>	<b>(4.4)</b>	<b>(4.4)</b>	<b>(4.4)</b>	<b>(4.4)</b>	<b>(4.4)</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

## 13 Emissions and Removals from Vegetated Coastal Wetlands

### 14 Remaining Vegetated Coastal Wetlands

15 The conterminous United States currently has 2.98 million hectares of intertidal Vegetated Coastal Wetlands  
 16 Remaining Vegetated Coastal Wetlands comprised of tidally influenced palustrine emergent marsh (661,731 ha),  
 17 palustrine scrub shrub (133,365 ha) and estuarine emergent marsh (1,893,276 ha), estuarine scrub shrub (94,667  
 18 ha) and estuarine forested wetlands (195,221 ha). Mangroves fall under both estuarine forest and estuarine scrub  
 19 shrub categories depending upon height. Dwarf mangroves, found in subtropical states along the Gulf of Mexico,  
 20 do not attain the height status to be recognized as Forest Land, and are therefore always classified within  
 21 Vegetated Coastal Wetlands. Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands are found in



1 cold temperate (53,970 ha), warm temperate (896,287 ha), subtropical (1,965,242 ha) and Mediterranean (62,761  
2 ha) climate zones.

3 Soils are the largest C pool in Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands, reflecting long-  
4 term removal of atmospheric CO<sub>2</sub> by vegetation and transfer into the soil pool in the form of both autochthonous  
5 and allochthonous decaying organic matter. Soil C emissions are not assumed to occur in coastal wetlands that  
6 remain vegetated. This Inventory includes changes in C stocks in both biomass and soils. Changes in DOM C stocks  
7 are not included. Methane emissions from decomposition of organic matter in anaerobic conditions are present at  
8 salinity less than half that of sea water. Mineral and organic soils are not differentiated in terms of C stock changes  
9 or CH<sub>4</sub> emissions.

10 Table 6-57 through Table 6-59 summarize nationally aggregated biomass and soil C stock changes and CH<sub>4</sub>  
11 emissions on Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands. Intact Vegetated Coastal  
12 Wetlands Remaining Vegetated Coastal Wetlands hold a total biomass C stock of 35.95 MMT C. Removals from  
13 biomass C stocks in 2021 were 0.05 MMT CO<sub>2</sub> Eq. (0.01 MMT C), which has increased over the time series (Table  
14 6-57 and Table 6-58). Carbon dioxide emissions from biomass in Vegetated Coastal Wetlands Remaining Vegetated  
15 Coastal Wetlands between 2002 and 2011, with very low sequestration between 2002 and 2006 and emissions of  
16 0.21 MMT CO<sub>2</sub> Eq. between 2007 and 2011, are not inherently typical and are a result of coastal wetland loss over  
17 time. Most of the coastal wetland loss has occurred in palustrine and estuarine emergent wetlands. Vegetated  
18 coastal wetlands maintain a large C stock within the top 1 meter of soil (estimated to be 804 MMT C) to which C  
19 accumulated at a rate of 10.2 MMT CO<sub>2</sub> Eq. (2.8 MMT C) in 2021, a value that has remained relatively constant  
20 across the reporting period. For Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands, methane  
21 emissions of 4.3 of MMT CO<sub>2</sub> Eq. (154 kt CH<sub>4</sub>) in 2021 (Table 6-59) offset C removals resulting in a net removal of  
22 5.9 MMT CO<sub>2</sub> Eq. in 2021; this rate has been relatively consistent across the reporting period. Dead organic matter  
23 stock changes are not calculated in Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands since this  
24 stock is considered to be in a steady state when using Tier 1 methods (IPCC 2014). Due to federal regulatory  
25 protection, loss of Vegetated Coastal Wetlands through human activities slowed considerably in the 1970s and the  
26 current annual rates of C stock change and CH<sub>4</sub> emissions are relatively constant over time.

27 **Table 6-57: Net CO<sub>2</sub> Flux from C Stock Changes in Vegetated Coastal Wetlands Remaining**  
28 **Vegetated Coastal Wetlands (MMT CO<sub>2</sub> Eq.)**

Year	1990	2005	2017	2018	2019	2020	2021
Biomass Flux	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil Flux	(10.1)	(10.2)	(10.2)	(10.2)	(10.2)	(10.2)	(10.2)
<b>Total C Stock Change</b>	<b>(10.2)</b>	<b>(10.2)</b>	<b>(10.2)</b>	<b>(10.2)</b>	<b>(10.2)</b>	<b>(10.2)</b>	<b>(10.2)</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Note: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

29 **Table 6-58: Net CO<sub>2</sub> Flux from C Stock Changes in Vegetated Coastal Wetlands Remaining**  
30 **Vegetated Coastal Wetlands (MMT C)**

Year	1990	2005	2017	2018	2018	2019	2020
Biomass Flux	(+)	+	(+)	(+)	(+)	(+)	(+)
Soil Flux	(2.8)	(2.8)	(2.8)	(2.8)	(2.8)	(2.8)	(2.8)
<b>Total C Stock Change</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>	<b>(2.8)</b>

+ Absolute value does not exceed 0.05 MMT C.

Note: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

31 **Table 6-59: CH<sub>4</sub> Emissions from Vegetated Coastal Wetlands Remaining Vegetated Coastal**  
32 **Wetlands (MMT CO<sub>2</sub> Eq. and kt CH<sub>4</sub>)**

Year	1990	2005	2017	2018	2019	2020	2021
Methane Emissions (MMT CO <sub>2</sub> Eq.)	4.2	4.2	4.3	4.3	4.3	4.3	4.3
Methane Emissions (kt CH <sub>4</sub> )	149	151	153	153	153	154	154

## 1 Methodology and Time-Series Consistency

2 The following section includes a description of the methodology used to estimate changes in biomass C stocks, soil  
 3 C stocks and emissions of CH<sub>4</sub> for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands. Dead  
 4 organic matter is not calculated for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands since it is  
 5 assumed to be in steady state (IPCC 2014).

6 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
 7 through 2021.

### 8 *Biomass Carbon Stock Changes*

9 Above- and belowground biomass C stocks for palustrine (freshwater) and estuarine (saline) marshes are  
 10 estimated for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands on land below the elevation of  
 11 high tides (taken to be mean high water spring tide elevation) and as far seawards as the extent of intertidal  
 12 vascular plants according to the national LiDAR dataset, the national network of tide gauges and land use histories  
 13 recorded in the 1996, 2001, 2006, 2010, and 2016 NOAA C-CAP surveys (NOAA OCM 2020). C-CAP areas are  
 14 calculated at the state/territory level and summed according to climate zone to national values. Federal and non-  
 15 federal lands are represented. Trends in land cover change are extrapolated to 1990 and 2021 from these datasets.  
 16 Based upon NOAA C-CAP, coastal wetlands are subdivided into palustrine and estuarine classes and further  
 17 subdivided into emergent marsh, scrub shrub and forest classes (Table 6-60). Biomass is not sensitive to soil  
 18 organic matter content but is differentiated based on climate zone. Aboveground biomass C stocks for non-  
 19 forested wetlands data are derived from a national assessment combining field plot data and aboveground  
 20 biomass mapping by remote sensing (Byrd et al. 2017; Byrd et al. 2018; Byrd et al. 2020). The aboveground  
 21 biomass C stock for subtropical estuarine forested wetlands (dwarf mangroves that are not classified as forests due  
 22 to their stature) is derived from a meta-analysis by Lu and Magonigal (2017). Root to shoot ratios from the  
 23 *Wetlands Supplement* (Table 6-62; IPCC 2014) were used to account for belowground biomass, which were  
 24 multiplied by the aboveground C stock. Above- and belowground values were summed to obtain total biomass C  
 25 stocks. Biomass C stock changes per year for Wetlands Remaining Wetlands were determined by calculating the  
 26 difference in area between that year and the previous year to calculate gain/loss of area for each climate type,  
 27 which was multiplied by the mean biomass for that climate type.

28 **Table 6-60: Area of Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands,**  
 29 **Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands, and**  
 30 **Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal Wetlands (ha)**

Year	1990	2005	2017	2018	2019	2020	2021
Vegetated Coastal Wetlands							
Remaining Vegetated Coastal Wetlands	2,975,477	2,985,783	2,973,256	2,974,523	2,975,789	2,977,055	2,978,322
Vegetated Coastal Wetlands							
Converted to Unvegetated Open Water Coastal Wetlands	1,720	2,515	1,488	1,488	1,488	1,488	1,488
Unvegetated Open Water Coastal Wetlands							
Converted to Vegetated Coastal Wetlands	952	1,769	2,406	2,406	2,406	2,406	2,406

31  
 32 **Table 6-61: Aboveground Biomass Carbon Stocks for Vegetated Coastal Wetlands (t C ha<sup>-1</sup>)**

Wetland Type	Climate Zone			
	Cold Temperate	Warm Temperate	Subtropical	Mediterranean
Palustrine Scrub/Shrub Wetland	3.25	3.17	2.24	4.69
Palustrine Emergent Wetland	3.25	3.17	2.24	4.69

Estuarine Forested Wetland	N/A	N/A	17.83	N/A
Estuarine Scrub/Shrub Wetland	3.05	3.05	2.43	3.44
Estuarine Emergent Wetland	3.05	3.10	2.43	3.44

Source: All data from Byrd et al. (2017, 2018 and 2020) except for subtropical estuarine forested wetlands, which is from Lu and Magonigal (2017); N/A means there are currently no estuarine forested wetlands that are less than 5 meters tall; these forested wetlands meet the definition of forest land and are included in the Forest Land chapter.

## 1 Table 6-62: Root to Shoot Ratios for Vegetated Coastal Wetlands

Wetland Type	Climate Zone			
	Cold Temperate	Warm Temperate	Subtropical	Mediterranean
Palustrine Scrub/Shrub Wetland	1.15	1.15	3.65	3.63
Palustrine Emergent Wetland	1.15	1.15	3.65	3.63
Estuarine Forested Wetland	N/A	N/A	0.96	N/A
Estuarine Scrub/Shrub Wetland	2.11	2.11	3.65	3.63
Estuarine Emergent Wetland	2.11	2.11	3.65	3.63

Source: All values from IPCC (2014); N/A means there are currently no estuarine forested wetlands that are less than 5 meters tall; these forested wetlands meet the definition of forest land and are included in the Forest Land chapter.

## 2 Soil Carbon Stock Changes

3 Soil C stock changes are estimated for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands for  
4 both mineral and organic soils. Soil C stock changes, stratified by climate zones and wetland classes, are derived  
5 from a synthesis of peer-reviewed literature (Table 6-63; Lynch 1989; Orson et al. 1990; Kearny & Stevenson 1991;  
6 Roman et al. 1997; Craft et al. 1998; Orson et al. 1998; Merrill 1999; Hussein et al. 2004; Church et al. 2006; Köster  
7 et al. 2007; Callaway et al. 2012a&b; Bianchi et al. 2013; Crooks et al. 2014; Weston et al. 2014; Villa & Mitsch  
8 2015; Marchio et al. 2016; Noe et al. 2016).

9 Tier 2 estimates of soil C removals associated with annual soil C accumulation on managed Vegetated Coastal  
10 Wetlands Remaining Vegetated Coastal Wetlands were developed with country-specific soil C removal factors  
11 multiplied by activity data of land area for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands.  
12 The methodology follows Eq. 4.7, Chapter 4 of the *Wetlands Supplement*, and is applied to the area of Vegetated  
13 Coastal Wetlands Remaining Vegetated Coastal Wetlands on an annual basis. To estimate soil C stock changes, no  
14 differentiation is made between organic and mineral soils since currently no statistical evidence supports  
15 disaggregation (Holmquist et al. 2018).

## 16 Table 6-63: Annual Soil Carbon Accumulation Rates for Vegetated Coastal Wetlands (t C ha<sup>-1</sup> 17 yr<sup>-1</sup>)

Climate Zone	Cold Temperate	Warm Temperate	Subtropical	Mediterranean
Palustrine Scrub/Shrub Wetland	1.01	1.54	0.45	0.85
Palustrine Emergent Wetland	1.01	1.54	0.45	0.85
Estuarine Forested Wetland	N/A	N/A	0.87	N/A
Estuarine Scrub/Shrub Wetland	1.01	0.82	1.09	0.85
Estuarine Emergent Wetland	2.17	0.82	1.09	0.85

Source: All data from Lu and Magonigal (2017)<sup>65</sup>; N/A means there are currently no estuarine forested wetlands that are less than 5 meters tall; these forested wetlands meet the definition of forest land and are included in the Forest Land chapter.

<sup>65</sup> See <https://github.com/Smithsonian/Coastal-Wetland-NGGI-Data-Public>; accessed August 2022.

1 *Soil Methane Emissions*

2 Tier 1 estimates of CH<sub>4</sub> emissions for Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands are  
 3 derived from the same wetland map used in the analysis of wetland soil C fluxes, produced from C-CAP, LiDAR and  
 4 tidal data, in combination with default CH<sub>4</sub> emission factors provided in Table 4.14 of the *Wetlands Supplement*.  
 5 The methodology follows Equation 4.9, Chapter 4 of the *Wetlands Supplement*; Tier 1 emissions factors are  
 6 multiplied by the area of freshwater (palustrine) coastal wetlands. The CH<sub>4</sub> fluxes applied are determined based on  
 7 salinity; only palustrine wetlands are assumed to emit CH<sub>4</sub>. Estuarine coastal wetlands in the C-CAP classification  
 8 include wetlands with salinity less than 18 ppt, a threshold at which methanogenesis begins to occur (Poffenbarger  
 9 et al. 2011), but the dataset currently does not differentiate estuarine wetlands based on their salinities and, as a  
 10 result, CH<sub>4</sub> emissions from estuarine wetlands are not included at this time.

11 **Uncertainty**

12 Underlying uncertainties in the estimates of soil and biomass C stock changes and CH<sub>4</sub> emissions include  
 13 uncertainties associated with Tier 2 literature values of soil C stocks, biomass C stocks and CH<sub>4</sub> flux, assumptions  
 14 that underlie the methodological approaches applied and uncertainties linked to interpretation of remote sensing  
 15 data. Uncertainty specific to Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands include  
 16 differentiation of palustrine and estuarine community classes, which determines the soil C stock and CH<sub>4</sub> flux  
 17 applied. Uncertainties for soil and biomass C stock data for all subcategories are not available and thus  
 18 assumptions were applied using expert judgment about the most appropriate assignment of a C stock to a  
 19 disaggregation of a community class. Because mean soil and biomass C stocks for each available community class  
 20 are in a fairly narrow range, the same overall uncertainty was assigned to each, respectively (i.e., applying  
 21 approach for asymmetrical errors, the largest uncertainty for any soil C stock value should be applied in the  
 22 calculation of error propagation; IPCC 2000). Uncertainty for root to shoot ratios, which are used for quantifying  
 23 belowground biomass, are derived from the *2013 Wetlands Supplement*. Uncertainties for CH<sub>4</sub> flux are the Tier 1  
 24 default values reported in the *2013 IPCC Wetlands Supplement*. Overall uncertainty of the NOAA C-CAP remote  
 25 sensing product is 15 percent. This is in the range of remote sensing methods (±10 to 15 percent; IPCC 2003).  
 26 However, there is significant uncertainty in salinity ranges for tidal and non-tidal estuarine wetlands and activity  
 27 data used to apply CH<sub>4</sub> flux emission factors (delineation of an 18 ppt boundary) that will need significant  
 28 improvement to reduce uncertainties. Details on the emission/removal trends and methodologies through time  
 29 are described in more detail in the introduction and the Methodology section. The combined uncertainty was  
 30 calculated using the IPCC Approach 1 method of summing the squared uncertainty for each individual source (C-  
 31 CAP, soil, biomass and CH<sub>4</sub>) and taking the square root of that total.

32 Uncertainty estimates are presented in Table 6-64 for each subcategory (i.e., soil C, biomass C and CH<sub>4</sub> emissions).  
 33 The combined uncertainty across all subcategory is 37.0 percent below and above the estimate of -6.4 MMT CO<sub>2</sub>  
 34 Eq, which is primarily driven by the uncertainty in the CH<sub>4</sub> estimates because there is high variability in CH<sub>4</sub>  
 35 emissions when the salinity is less than 18 ppt. In 2021, the total flux was -6.4 MMT CO<sub>2</sub> Eq., with lower and upper  
 36 estimates of -8.7 and -4.0 MMT CO<sub>2</sub> Eq.

37 **Table 6-64: IPCC Approach 1 Quantitative Uncertainty Estimates for C Stock Changes and**  
 38 **CH<sub>4</sub> Emissions occurring within Vegetated Coastal Wetlands Remaining Vegetated Coastal**  
 39 **Wetlands in 2021 (MMT CO<sub>2</sub> Eq. and Percent)**

Source/Sink	Gas	2021 Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Estimate (MMT CO <sub>2</sub> Eq.) (%)			
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Biomass C Stock Change	CO <sub>2</sub>	(0.05)	(0.06)	(0.03)	-24.1%	24.1%
Soil C Stock Change	CO <sub>2</sub>	(10.2)	(12.0)	(8.4)	-18.7%	18.7%
CH <sub>4</sub> emissions	CH <sub>4</sub>	4.3	3.0	5.6	-29.9%	29.9%
<b>Total Flux</b>		<b>(5.9)</b>	<b>(8.1)</b>	<b>(3.8)</b>	<b>-37.0%</b>	<b>37.0%</b>

Note: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

## 1 QA/QC and Verification

2 NOAA provided the National LiDAR Dataset, tide data, and C-CAP land cover and land cover change mapping, all of  
3 which are subject to agency internal QA/QC assessment. Acceptance of final datasets into archive and  
4 dissemination are contingent upon the product compilation being compliant with mandatory QA/QC requirements  
5 (McCombs et al. 2016). QA/QC and verification of soil C stock datasets have been provided by the Smithsonian  
6 Environmental Research Center and Coastal Wetland Inventory team leads who reviewed summary tables against  
7 reviewed sources. Biomass C stocks are derived from peer-review literature and reviewed by the U.S. Geological  
8 Survey prior to publishing, by the peer-review process during publishing, and by the Coastal Wetland Inventory  
9 team leads before inclusion in this Inventory. A team of two evaluated and verified there were no computational  
10 errors within the calculation worksheets. Soil and biomass C stock change data are based upon peer-reviewed  
11 literature and CH<sub>4</sub> emission factors derived from the *Wetlands Supplement*.

## 12 Recalculations Discussion

13 An update was made to the activity data to remove any estuarine forested wetland areas that were located  
14 outside of states classified as subtropical since those wetlands fall under Forest Land Remaining Forest Land. The  
15 resulting changes in emissions and removals were minimal and did not affect source or sink status, but resulted in  
16 a slight decrease in removals between 1990 and 2001 (0.03 MMT CO<sub>2</sub> Eq.) and 2012 to 2020 (0.001 MMT CO<sub>2</sub> Eq.)  
17 and a slight increase in emissions between 2002 and 2006 (0.04-0.06 MMT CO<sub>2</sub> Eq.) and 2007 to 2011 (0.001 MMT  
18 CO<sub>2</sub> Eq.). The change did not affect CH<sub>4</sub> emissions because no emission factor currently is applied to estuarine  
19 wetlands.

20 In addition, the EPA updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub>  
21 (from 25 to 28) to reflect the 100-year GWP provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC 2013). The  
22 previous Inventory used the 100-year GWP provided in the IPCC *Fourth Assessment Report (AR4)*. This update was  
23 applied across the entire time series. This change resulted in an average annual increase of 0.46 MMT CO<sub>2</sub> Eq., or  
24 12 percent, in calculated CO<sub>2</sub>-equivalent CH<sub>4</sub> emissions from Vegetated Coastal Wetlands Remaining Vegetated  
25 Coastal Wetlands from 1990 through 2020 compared to the previous Inventory. Further discussion on this update  
26 and the overall impacts of updating the inventory GWP values to reflect the AR5 can be found in Chapter 9,  
27 Recalculations and Improvements.

## 28 Planned Improvements

29 Harmonization across all spatial datasets used to calculate activity data is underway. Once completed, a better  
30 representation of forested tidal wetlands, palustrine tidal wetlands, and forest land near the tidal boundary will be  
31 obtained.

32 Administered by the Smithsonian Environmental Research Center, the Coastal Wetland Carbon Research  
33 Coordination Network has established a U.S. country-specific database of soil C stock and biomass estimates for  
34 coastal wetlands.<sup>66</sup> This dataset is currently in review and may be update in coming months. Refined error analysis  
35 combining land cover change and C stock estimates will be provided as new data are incorporated. Through this  
36 work, a model is in development to represent updated changes in soil C stocks for estuarine emergent wetlands.

37 Work is currently underway to examine the feasibility of incorporating seagrass soil and biomass C stocks into the  
38 Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands estimates. Additionally, investigation into  
39 quantifying the distribution, area, and emissions resulting from impounded waters (i.e., coastal wetlands where  
40 tidal connection to the ocean has been restricted or eliminated completely) is underway.

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66 See <https://serc.si.edu/coastalcarbon>; accessed August 2021.

# Emissions from Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands

Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands is a source of emissions from soil, biomass, and DOM C stocks. An estimated 1,488 ha of Vegetated Coastal Wetlands were converted to Unvegetated Open Water Coastal Wetlands in 2021, which largely occurred within estuarine and palustrine emergent wetlands. Prior to 2006, annual conversion to unvegetated open water coastal wetlands was higher than current rates: 1,720 between 1990 and 2000 and 2,515 ha between 2001 and 2005. The Mississippi Delta represents more than 40 percent of the total coastal wetland of the United States, and over 90 percent of the area of Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands. The drivers of coastal wetlands loss include legacy human impacts on sediment supply through rerouting river flow, direct impacts of channel cutting on hydrology, salinity and sediment delivery, and accelerated subsidence from aquifer extraction. Each of these drivers directly contributes to wetland erosion and subsidence, while also reducing the resilience of the wetland to build with sea-level rise or recover from hurricane disturbance. Over recent decades, the rate of Mississippi Delta wetland loss has slowed, though episodic mobilization of sediment occurs during hurricane events (Couvillion et al. 2011; Couvillion et al. 2016). The land cover analysis between the 2006 and 2011 C-CAP surveys coincides with two such events, hurricanes Katrina and Rita (both making landfall in the late summer of 2005), that occurred between these C-CAP survey dates. The subsequent 2016 C-CAP survey determined that erosion rates had slowed.

Shallow nearshore open water within the U.S. Land Representation is recognized as falling under the Coastal Wetlands category within this Inventory. While high resolution mapping of coastal wetlands provides data to support IPCC Approach 2 methods for tracking land cover change, the depth in the soil profile to which sediment is lost is less clear. This Inventory adopts the Tier 1 methodological guidance from the *Wetlands Supplement* for estimating emissions following the methodology for excavation (see Methodology section, below) when Vegetated Coastal Wetlands are converted to Unvegetated Open Water Coastal Wetlands, assuming a 1 m depth of disturbed soil. This 1 m depth of disturbance is consistent with estimates of wetland C loss provided in the literature and the *Wetlands Supplement* (Crooks et al. 2009; Couvillion et al. 2011; Delaune and White 2012; IPCC 2014). The same assumption on depth of soils impacted by erosion has been applied here. It is a reasonable Tier 1 assumption, based on experience, but estimates of emissions are sensitive to the depth to which the assumed disturbances have occurred (Holmquist et al. 2018). A Tier 1 assumption is also adopted in that all mobilized C is immediately returned to the atmosphere (as assumed for terrestrial land-use categories), rather than redeposited in long-term C storage. The science is currently under evaluation to adopt more refined emissions factors for mobilized coastal wetland C based upon the geomorphic setting of the depositional environment.

In 2021, there were 1,488 ha of Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands (Table 6-60) across all wetland types and climates, which resulted in 1.5 MMT CO<sub>2</sub> Eq. (0.4 MMT C) and 0.06 MMT CO<sub>2</sub> Eq. (0.02 MMT C) lost through soil and biomass, respectively, with minimal DOM C stock loss (Table 6-65, and Table 6-66). Across the reporting period, the area of vegetated coastal wetlands converted to unvegetated open water coastal wetlands was greatest between the 2006 to 2011 C-CAP reporting period (11,373 ha) and has decreased since then to current levels (Table 6-60).

**Table 6-65: Net CO<sub>2</sub> Flux from C Stock Changes in Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands (MMT CO<sub>2</sub> Eq.)**

Year	1990	2005	2017	2018	2019	2020	2021
Biomass Flux	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Dead Organic Matter Flux	+	+	+	+	+	+	+
Soil Flux	1.7	2.5	1.5	1.5	1.5	1.5	1.5
<b>Total C Stock Change</b>	<b>1.8</b>	<b>2.6</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>	<b>1.5</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Note: Totals may not sum due to independent rounding.

1 **Table 6-66: Net CO<sub>2</sub> Flux from C Stock Changes in Vegetated Coastal Wetlands Converted to**  
 2 **Unvegetated Open Water Coastal Wetlands (MMT C)**

Year	1990	2005	2017	2018	2019	2020	2021
Biomass Flux	+	+	+	+	+	+	+
Dead Organic Matter Flux	+	+	+	+	+	+	+
Soil Flux	0.5	0.7	0.4	0.4	0.4	0.4	0.4
<b>Total C Stock Change</b>	<b>0.5</b>	<b>0.7</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>

+ Absolute value does not exceed 0.05 MMT C.

Note: Totals may not sum due to independent rounding.

### 3 **Methodology and Time-Series Consistency**

4 The following section includes a brief description of the methodology used to estimate changes in soil, biomass  
 5 and DOM C stocks for Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands.

6 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
 7 through 2021.

#### 8 *Biomass Carbon Stock Changes*

9 Biomass C stock changes for palustrine and estuarine marshes are estimated for Vegetated Coastal Wetlands  
 10 Converted to Unvegetated Open Water Coastal Wetlands on lands below the elevation of high tides (taken to be  
 11 mean high water spring tide elevation) within the U.S. Land Representation according to the national LiDAR  
 12 dataset, the national network of tide gauges and land use histories recorded in the 1996, 2001, 2006, 2010, and  
 13 2016 NOAA C-CAP surveys. C-CAP areas are calculated at the state/territory level and summed according to  
 14 climate zone to national values. Publicly-owned and privately-owned lands are represented. Trends in land cover  
 15 change are extrapolated to 1990 and 2021 from these datasets. The C-CAP database provides peer reviewed  
 16 country-specific mapping to support IPCC Approach 3 quantification of coastal wetland distribution, including  
 17 conversion to and from open water. Biomass C stocks are not sensitive to soil organic content but are  
 18 differentiated based on climate zone. Non-forested aboveground biomass C stock data are derived from a national  
 19 assessment combining field plot data and aboveground biomass mapping by remote sensing (Byrd et al. 2017; Byrd  
 20 et al. 2018; Byrd et al. 2020). The aboveground biomass C stock for estuarine forested wetlands (dwarf mangroves  
 21 that are not classified as forests due to their stature) is derived from a meta-analysis by Lu and Megonigal (2017<sup>67</sup>;  
 22 Table 6-61). Aboveground biomass C stock data for all subcategories are not available and thus assumptions were  
 23 applied using expert judgment about the most appropriate assignment of a C stock to a disaggregation of a  
 24 community class. Root to shoot ratios from the *Wetlands Supplement* were used to account for belowground  
 25 biomass, which were multiplied by the aboveground C stock (Table 6-62; IPCC 2014). Above- and belowground  
 26 values were summed to obtain total biomass C stocks. Conversion to open water results in emissions of all biomass  
 27 C stocks during the year of conversion; therefore, emissions are calculated by multiplying the C-CAP derived area  
 28 of vegetated coastal wetlands lost that year in each climate zone by its mean biomass.

#### 29 *Dead Organic Matter*

30 Dead organic matter (DOM) C stocks, which include litter and dead wood stocks for subtropical estuarine forested  
 31 wetlands, are an emission from Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal  
 32 Wetlands across all years in the time series. Data on DOM C stocks are not currently available for either palustrine  
 33 or estuarine scrub/shrub wetlands for any climate zone. Data for estuarine forested wetlands in other climate  
 34 zones are not included since there is no estimated loss of these forests to unvegetated open water coastal  
 35 wetlands across any year based on C-CAP data. For subtropical estuarine forested wetlands, Tier 1 estimates of

<sup>67</sup> See <https://github.com/Smithsonian/Coastal-Wetland-NGGI-Data-Public>; accessed October 2022.

1 mangrove DOM were used (IPCC 2014). Trends in land cover change are derived from the NOAA C-CAP dataset and  
2 extrapolated to cover the entire 1990 through 2021 time series. Conversion to open water results in emissions of  
3 all DOM C stocks during the year of conversion; therefore, emissions are calculated by multiplying the C-CAP  
4 derived area of vegetated coastal wetlands lost that year by its Tier 1 DOM C stock.

### 5 *Soil Carbon Stock Changes*

6 Soil C stock changes are estimated for Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal  
7 Wetlands. Country-specific soil C stocks were updated in 2018 based upon analysis of an assembled dataset of  
8 1,959 cores from across the conterminous United States (Holmquist et al. 2018). This analysis demonstrated that it  
9 was not justified to stratify C stocks based upon mineral or organic soil classification, climate zone, or wetland  
10 classes; therefore, a single soil C stock of 270 t C ha<sup>-1</sup> was applied to all classes. Following the Tier 1 approach for  
11 estimating CO<sub>2</sub> emissions with extraction provided within the *Wetlands Supplement*, soil C loss with conversion of  
12 Vegetated Coastal Wetlands to Unvegetated Open Water Coastal Wetlands is assumed to affect soil C stock to  
13 one-meter depth (Holmquist et al. 2018) with all emissions occurring in the year of wetland conversion, and  
14 multiplied by activity data of vegetated coastal wetland area converted to unvegetated open water wetlands. The  
15 methodology follows Eq. 4.6 in the *Wetlands Supplement*.

### 16 *Soil Methane Emissions*

17 A Tier 1 assumption has been applied that salinity conditions are unchanged and hence CH<sub>4</sub> emissions are assumed  
18 to be zero with conversion of Vegetated Coastal Wetlands to Unvegetated Open Water Coastal Wetlands.

## 19 **Uncertainty**

20 Underlying uncertainties in estimates of soil and biomass C stock changes are associated with country-specific (Tier  
21 2) literature values of these stocks, while the uncertainties with the Tier 1 estimates are associated with  
22 subtropical estuarine forested wetland DOM stocks. Assumptions that underlie the methodological approaches  
23 applied and uncertainties linked to interpretation of remote sensing data are also included in this uncertainty  
24 assessment. The IPCC default assumption of 1 m of soil erosion with anthropogenic activities was adopted to  
25 provide standardization in U.S. tidal C accounting (Holmquist et al. 2018). This depth of potentially erodible tidal  
26 wetland soil has not been comprehensively addressed since most soil cores analyzed were shallow (e.g., less than  
27 50 cm) and do not necessarily reflect the depth to non-wetland soil or bedrock (Holmquist et al. 2018). Uncertainty  
28 specific to coastal wetlands include differentiation of palustrine and estuarine community classes, which  
29 determines the soil C stock applied. Because mean soil and biomass C stocks for each available community class  
30 are in a fairly narrow range, the same overall uncertainty was assigned to each (i.e., applying approach for  
31 asymmetrical errors, the largest uncertainty for any soil C stock value should be applied in the calculation of error  
32 propagation; IPCC 2000). For aboveground biomass C stocks, the mean standard error was very low and largely  
33 influenced by the uncertainty associated with the estimated map area (Byrd et al. 2018). Uncertainty for root to  
34 shoot ratios, which are used for quantifying belowground biomass, are derived from the *Wetlands Supplement*.  
35 Uncertainty for subtropical estuarine forested wetland DOM stocks was derived from those listed for the Tier 1  
36 estimates (IPCC 2014). Overall uncertainty of the NOAA C-CAP remote sensing product is 15 percent. This is in the  
37 range of remote sensing methods (+/-10 to 15 percent; IPCC 2003). The combined uncertainty was calculated by  
38 summing the squared uncertainty for each individual source (C-CAP, soil, biomass, and DOM) and taking the  
39 square root of that total.

40 Uncertainty estimates are presented in Table 6-67 for each subcategory (i.e., soil C, biomass C, and DOM  
41 emissions). The combined uncertainty across all subcategory is 32.0 percent above and below the estimate of 1.5



1 MMT CO<sub>2</sub> Eq, which is driven by the uncertainty in the soil C estimates. In 2021, the total C flux was 1.5 MMT CO<sub>2</sub>  
 2 Eq., with lower and upper estimates of 1.0 and 2.0 MMT CO<sub>2</sub> Eq.

3 **Table 6-67: Approach 1 Quantitative Uncertainty Estimates for CO<sub>2</sub> Flux Occurring within**  
 4 **Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal Wetlands in**  
 5 **2020 (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate			
		(MMT CO <sub>2</sub> Eq.)		(%)	
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
Biomass C Stock	0.06	0.05	0.08	-24.1%	24.1%
Dead Organic Matter C Stock	0.0005	0.000	0.001	-25.8%	25.8%
Soil C Stock	1.5	1.3	1.7	-15.0%	15.0%
<b>Total Flux</b>	<b>1.5</b>	<b>1.0</b>	<b>2.0</b>	<b>-32.0%</b>	<b>32.0%</b>

6 Note: Totals may not sum due to independent rounding.

## 7 QA/QC and Verification

8 Data provided by NOAA (i.e., National LiDAR Dataset, NOS Tide Data, and C-CAP land cover and land cover change  
 9 mapping) undergo internal agency QA/QC procedures. Acceptance of final datasets into archive and dissemination  
 10 are contingent upon assurance that the data product is compliant with mandatory NOAA QA/QC requirements  
 11 (McCombs et al. 2016). QA/QC and Verification of the soil C stock dataset have been provided by the Smithsonian  
 12 Environmental Research Center and by the Coastal Wetlands project team leads who reviewed the estimates  
 13 against primary scientific literature. Biomass C stocks are derived from peer-review literature and reviewed by the  
 14 U.S. Geological Survey prior to publishing, by the peer-review process during publishing, and by the Coastal  
 15 Wetland Inventory team leads before inclusion in the Inventory. For subtropical estuarine forested wetlands, Tier 1  
 16 estimates of mangrove DOM were used (IPCC 2014). Land cover estimates were assessed to ensure that the total  
 17 land area did not change over the time series in which the inventory was developed, and were verified by a second  
 18 QA team. A team of two evaluated and verified there were no computational errors within the calculation  
 19 worksheets.

## 20 Recalculations Discussion

21 An update was made to the activity data to remove any estuarine forested wetland areas that were located  
 22 outside of states classified as subtropical since those wetlands fall under Forest Land Remaining Forest Land. The  
 23 resulting change in emissions and removals was negligible ( $\pm 0.0001$  MMT CO<sub>2</sub> Eq.) and did not affect whether a  
 24 given year was a source or sink.

## 25 Planned Improvements

26 The depth of soil C affected by conversion of vegetated coastal wetlands converted to unvegetated coastal  
 27 wetlands will be updated from the IPCC default assumption of 1 m of soil erosion when mapping and modeling  
 28 advancements can quantitatively improve accuracy and precision. Improvements are underway to address this,  
 29 first conducting a review of literature publications. Until the time where these more detailed and spatially  
 30 distributed data are available, the IPCC default assumption that the top 1 m of soil is disturbed by anthropogenic  
 31 activity will be applied. This is a longer-term improvement.

32 More detailed research is in development that provides a longer-term assessment and more highly refined rates of  
 33 wetlands loss across the Mississippi Delta (e.g., Couvillion et al. 2016). The Mississippi Delta is the largest extent of  
 34 coastal wetlands in the United States. Higher resolution imagery analysis would improve quantification of  
 35 conversation to open water, which occurs not only at the edge of the marsh but also within the interior. Improved

1 mapping could provide a more refined regional Approach 2-3 land representation to support the national-scale  
 2 assessment provided by C-CAP.

3 An approach for calculating the fraction of remobilized coastal wetland soil C returned to the atmosphere as CO<sub>2</sub> is  
 4 currently under review and may be included in future reports.

5 Research by USGS is investigating higher resolution mapping approaches to quantify conversion of coastal  
 6 wetlands is also underway. Such approaches may form the basis for a full Approach 3 land representation  
 7 assessment in future years. C-CAP data harmonization with the National Land Cover Dataset (NLCD) will be  
 8 incorporated into a future iteration of the Inventory.

## 9 **Stock Changes from Unvegetated Open Water Coastal** 10 **Wetlands Converted to Vegetated Coastal Wetlands**

11 Open water within the U.S. land base, as described in Section 6.1 Representation of the U.S. Land Base, is  
 12 recognized as Coastal Wetlands within this Inventory. The appearance of vegetated tidal wetlands on lands  
 13 previously recognized as open water reflects either the building of new vegetated marsh through sediment  
 14 accumulation or the transition from other lands uses through an intermediary open water stage as flooding  
 15 intolerant plants are displaced and then replaced by wetland plants. Biomass, DOM and soil C accumulation on  
 16 Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal Wetlands begins with vegetation  
 17 establishment.

18 Within the United States, conversion of Unvegetated Open Water Coastal Wetlands to Vegetated Coastal  
 19 Wetlands is predominantly due to engineered activities, which include active restoration of wetlands (e.g.,  
 20 wetlands restoration in San Francisco Bay), dam removals or other means to reconnect sediment supply to the  
 21 nearshore (e.g., Atchafalaya Delta, Louisiana, Couvillion et al. 2011). Wetland restoration projects have been  
 22 ongoing in the United States since the 1970s. Early projects were small, a few hectares in size. By the 1990s,  
 23 restoration projects, each hundreds of hectares in size, were becoming common in major estuaries. In several  
 24 coastal areas e.g., San Francisco Bay, Puget Sound, Mississippi Delta and south Florida, restoration activities are in  
 25 planning and implementation phases, each with the goal of recovering tens of thousands of hectares of wetlands.

26 In 2021, 2,406 ha of unvegetated open water coastal wetlands were converted to vegetated coastal wetlands  
 27 across all wetland types and climates, which has steadily increased over the reporting period (Table 6-59). This  
 28 resulted in 0.007 MMT CO<sub>2</sub> Eq. (0.002 MMT C) and 0.1 MMT CO<sub>2</sub> Eq. (0.03 MMT C) sequestered in soil and  
 29 biomass, respectively (Table 6-68 and Table 6-69). The soil C stock has increased during the Inventory reporting  
 30 period, likely due to increasing vegetated coastal wetland restoration over time. While DOM C stock increases are  
 31 present, they are minimal in the early part of the time series and zero in the later because there are no  
 32 conversions from unvegetated open water coastal wetlands to subtropical estuarine forested wetlands between  
 33 2011 and 2016 (and by proxy through 2021), and that is the only coastal wetland type where DOM data is currently  
 34 available.

35 Throughout the reporting period, the amount of Open Water Coastal Wetlands Converted to Vegetated Coastal  
 36 Wetlands has increased over time, reflecting the increase in engineered restoration activities mentioned above.

37 **Table 6-68: CO<sub>2</sub> Flux from C Stock Changes from Unvegetated Open Water Coastal Wetlands**  
 38 **Converted to Vegetated Coastal Wetlands (MMT CO<sub>2</sub> Eq.)**

Year	1990	2005	2017	2018	2019	2020	2021
Biomass C Flux	(+)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
Dead Organic Matter C Flux	(+)	(+)	0	0	0	0	0
Soil C Flux	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Total C Stock Change</b>	<b>(+)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>	<b>(0.1)</b>

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+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Notes: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

1 **Table 6-69: CO<sub>2</sub> Flux from C Stock Changes from Unvegetated Open Water Coastal Wetlands**  
2 **Converted to Vegetated Coastal Wetlands (MMT C)**

Year	1990	2005	2017	2018	2019	2020	2021
Biomass C Flux	(0.01)	(0.02)	(0.03)	(0.03)	(0.03)	(0.03)	(0.03)
Dead Organic Matter C Flux	(+)	(+)	0	0	0	0	0
Soil C Flux	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Total C Stock Change</b>	<b>(0.01)</b>	<b>(0.02)</b>	<b>(0.03)</b>	<b>(0.03)</b>	<b>(0.03)</b>	<b>(0.03)</b>	<b>(0.03)</b>

+ Absolute value does not exceed 0.005 MMT C.

Notes: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

### 3 **Methodology and Time-Series Consistency**

4 The following section includes a brief description of the methodology used to estimate changes in soil, biomass  
5 and DOM C stocks, and CH<sub>4</sub> emissions for Unvegetated Open Water Coastal Wetlands Converted to Vegetated  
6 Coastal Wetlands.

7 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
8 through 2021.

#### 9 *Biomass Carbon Stock Changes*

10 Quantification of regional coastal wetland biomass C stock changes for palustrine and estuarine marsh vegetation  
11 are presented for Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal Wetlands on lands  
12 below the elevation of high tides (taken to be mean high water spring tide elevation) according to the national  
13 LiDAR dataset, the national network of tide gauges and land use histories recorded in the 1996, 2001, 2005, 2011,  
14 and 2016 NOAA C-CAP surveys. C-CAP areas are calculated at the state/territory level and summed according to  
15 climate zone to national values. Privately-owned and publicly-owned lands are represented. Trends in land cover  
16 change are extrapolated to 1990 and 2021 from these datasets (Table 6-58). C-CAP provides peer reviewed high  
17 resolution -level mapping of coastal wetland distribution, including conversion to and from open water. Biomass C  
18 stock is not sensitive to soil organic content but differentiated based on climate zone. Data for non-forested  
19 wetlands are derived from a national assessment combining field plot data and aboveground biomass mapping by  
20 remote sensing (Table 6-61; Byrd et al. 2017; Byrd et al. 2018; Byrd et al. 2020). The aboveground biomass C stock  
21 for subtropical estuarine forested wetlands (dwarf mangroves that are not classified as forests due to their stature)  
22 is derived from a meta-analysis by Lu and Megonigal (2017<sup>68</sup>). Aboveground biomass C stock data for all  
23 subcategories are not available and thus assumptions were applied using expert judgment about the most  
24 appropriate assignment of a C stock to a disaggregation of a community class. Root to shoot ratios from the  
25 *Wetlands Supplement* were used to account for belowground biomass, which were multiplied by the aboveground  
26 C stock (Table 6-62; IPCC 2014). Above- and belowground values were summed to obtain total biomass C stocks.

27 Conversion of open water to Vegetated Coastal Wetlands results in the establishment of a standing biomass C  
28 stock; therefore, stock changes that occur are calculated by multiplying the C-CAP derived area gained that year in  
29 each climate zone by its mean biomass. While the process of revegetation of unvegetated open water wetlands

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<sup>68</sup> See <https://github.com/Smithsonian/Coastal-Wetland-NGGI-Data-Public>; accessed September 2022.

1 can take many years to occur, it is assumed in the calculations that the total biomass is reached in the year of  
2 conversion.

### 3 *Dead Organic Matter*

4 Dead organic matter (DOM) C stocks, which include litter and dead wood stocks, are included for subtropical  
5 estuarine forested wetlands for Vegetated Coastal Wetlands Converted to Unvegetated Open Water Coastal  
6 Wetlands across all years. Tier 1 default or country-specific data on DOM are not currently available for either  
7 palustrine or estuarine scrub/shrub wetlands for any climate zone. Data for estuarine forested wetlands in other  
8 climate zones are not included since there is no estimated loss of these forests to unvegetated open water coastal  
9 wetlands across any year based on C-CAP data. Tier 1 estimates of subtropical estuarine forested wetland DOM  
10 were used (IPCC 2014). Trends in land cover change are derived from the NOAA C-CAP dataset and extrapolated to  
11 cover the entire 1990 through 2021 time series. Dead organic matter removals are calculated by multiplying the C-  
12 CAP derived area gained that year by its Tier 1 DOM C stock. Similar to biomass C stock gains, gains in DOM can  
13 take many years to occur, but for this analysis, the total DOM stock is assumed to accumulate during the first year  
14 of conversion.

### 15 *Soil Carbon Stock Change*

16 Soil C stock changes are estimated for Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal  
17 Wetlands. Country-specific soil C removal factors associated with soil C accretion, stratified by climate zones and  
18 wetland classes, are derived from a synthesis of peer-reviewed literature and updated this year based upon  
19 refined review of the dataset (Lynch 1989; Orson et al. 1990; Kearny & Stevenson 1991; Roman et al. 1997; Craft et  
20 al. 1998; Orson et al. 1998; Merrill 1999; Hussein et al. 2004; Church et al. 2006; Koster et al. 2007; Callaway et al.  
21 2012 a & b; Bianchi et al. 2013; Crooks et al. 2014; Weston et al. 2014; Villa & Mitsch 2015; Marchio et al. 2016;  
22 Noe et al. 2016). Soil C stock changes are stratified based upon wetland class (Estuarine, Palustrine) and subclass  
23 (Emergent Marsh, Scrub Shrub). For soil C stock change, no differentiation is made for soil type (i.e., mineral,  
24 organic). Soil C removal factors were developed from literature references that provided soil C removal factors  
25 disaggregated by climate region and vegetation type by salinity range (estuarine or palustrine) as identified using  
26 NOAA C-CAP as described above (see Table 6-63 for values).

27 Tier 2 level estimates of C stock changes associated with annual soil C accumulation in Vegetated Coastal Wetlands  
28 were developed using country-specific soil C removal factors multiplied by activity data on Unvegetated Coastal  
29 Wetlands converted to Vegetated Coastal Wetlands. The methodology follows Eq. 4.7, Chapter 4 of the *Wetlands*  
30 *Supplement*, and is applied to the area of Unvegetated Coastal Wetlands converted to Vegetated Coastal Wetlands  
31 on an annual basis.

### 32 *Soil Methane Emissions*

33 A Tier 1 assumption has been applied that salinity conditions are unchanged and hence CH<sub>4</sub> emissions are assumed  
34 to be zero with conversion of Vegetated Open Water Coastal Wetlands to Vegetated Coastal Wetlands.

## 35 **Uncertainty**

36 Underlying uncertainties in estimates of soil and biomass C stock changes include uncertainties associated with  
37 country-specific (Tier 2) literature values of these C stocks, assumptions that underlie the methodological  
38 approaches applied and uncertainties linked to interpretation of remote sensing data. Uncertainty specific to  
39 coastal wetlands include differentiation of palustrine and estuarine community classes that determines the soil C  
40 stock applied. Because mean soil and biomass C stocks for each available community class are in a fairly narrow  
41 range, the same overall uncertainty was applied to each, respectively (i.e., applying approach for asymmetrical  
42 errors, the largest uncertainty for any soil C stock value should be applied in the calculation of error propagation;  
43 IPCC 2000). For aboveground biomass C stocks, the mean standard error was very low and largely influenced by  
44 error in estimated map area (Byrd et al. 2018). Uncertainty for root to shoot ratios, which are used for quantifying

belowground biomass (Table 6-62), are derived from the *Wetlands Supplement*. Uncertainty for subtropical estuarine forested wetland DOM stocks were derived from those listed for the Tier 1 estimates (IPCC 2014). Overall uncertainty of the NOAA C-CAP remote sensing product is 15 percent. This is in the range of remote sensing methods ( $\pm 10$  to 15 percent; IPCC 2003). The combined uncertainty was calculated by summing the squared uncertainty for each individual source (C-CAP, soil, biomass, and DOM) and taking the square root of that total.

Uncertainty estimates are presented in Table 6-70 for each subcategory (i.e., soil C, biomass C and DOM emissions). The combined uncertainty across all subsources is 33.4 percent above and below the estimate of -0.1 MMT CO<sub>2</sub> Eq. In 2021, the total C flux was -0.1 MMT CO<sub>2</sub> Eq., with lower and upper estimates of -0.1 and -0.07 MMT CO<sub>2</sub> Eq.

**Table 6-70: Approach 1 Quantitative Uncertainty Estimates for C Stock Changes Occurring within Unvegetated Open Water Coastal Wetlands Converted to Vegetated Coastal Wetlands in 2021 (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range (MMT CO <sub>2</sub> Eq.)		Relative to Flux Estimate (%)	
		Lower Bound	Upper Bound	Lower Bound	Upper Bound
Biomass C Stock Flux	(0.1)	(0.12)	(0.08)	-20.0%	20.0%
Dead Organic Matter C Stock Flux	0	0	0	-25.8%	25.8%
Soil C Stock Flux	(0.007)	(0.008)	(0.005)	-18.78%	18.1%
<b>Total Flux</b>	<b>(0.1)</b>	<b>(0.14)</b>	<b>(0.07)</b>	<b>-33.8%</b>	<b>33.8%</b>

Notes: Parentheses indicate net sequestration. Totals may not sum due to independent rounding.

## QA/QC and Verification

NOAA provided data (i.e., National LiDAR Dataset, NOS Tide Data, and C-CAP land cover and land cover change mapping), which undergo internal agency QA/QC assessment procedures. Acceptance of final datasets into the archive for dissemination are contingent upon assurance that the product is compliant with mandatory NOAA QA/QC requirements (McCombs et al. 2016). QA/QC and Verification of soil C stock dataset has been provided by the Smithsonian Environmental Research Center and Coastal Wetlands project team leads who reviewed the summary tables against primary scientific literature. Aboveground biomass C reference stocks are derived from an analysis by the Blue Carbon Monitoring project and reviewed by U.S. Geological Survey prior to publishing, the peer-review process during publishing, and the Coastal Wetland Inventory team leads before inclusion in the inventory. Root to shoot ratios and DOM data are derived from peer-reviewed literature and undergo review as per IPCC methodology. Land cover estimates were assessed to ensure that the total land area did not change over the time series in which the inventory was developed and verified by a second QA team. A team of two evaluated and verified there were no computational errors within calculation worksheets. Two biogeochemists at the USGS, also members of the NASA Carbon Monitoring System Science Team, corroborated the simplifying assumption that where salinities are unchanged CH<sub>4</sub> emissions are constant with conversion of Unvegetated Open Water Coastal Wetlands to Vegetated Coastal Wetlands.

## Recalculations Discussion

An update was made to the activity data to remove any estuarine forested wetland areas that were located outside of states classified as subtropical since those wetlands fall under Forests Remaining Forests. The resulting change in emissions and removals was negligible ( $\pm 0.0001$  MMT CO<sub>2</sub> Eq.) and did not affect whether a given year was a source or sink.

## 1 Planned Improvements

2 Administered by the Smithsonian Environmental Research Center, the Coastal Wetland Carbon Research  
3 Coordination Network has established a U.S. country-specific database of published data quantifying soil C stock  
4 and biomass in coastal wetlands. Reference values for soil and biomass C stocks will be updated as new data  
5 emerge. Refined error analysis combining land cover change, soil and biomass C stock estimates will be updated at  
6 those times.

7 The USGS is investigating higher resolution mapping approaches to quantify conversion of coastal wetlands. Such  
8 approaches may form the basis for a full Approach 3 land representation assessment in future years. C-CAP data  
9 harmonization with the National Land Cover Dataset (NLCD) will be incorporated into a future iteration of the  
10 inventory.

## 11 N<sub>2</sub>O Emissions from Aquaculture in Coastal Wetlands

12 Shrimp and fish cultivation in coastal areas increases nitrogen loads resulting in direct emissions of N<sub>2</sub>O. Nitrous  
13 oxide is generated and emitted as a byproduct of the conversion of ammonia (contained in fish urea) to nitrate  
14 through nitrification and nitrate to N<sub>2</sub> gas through denitrification (Hu et al. 2012). Nitrous oxide emissions can be  
15 readily estimated from data on fish production (IPCC 2014).

16 Aquaculture production in the United States has fluctuated slightly from year to year, with resulting N<sub>2</sub>O emissions  
17 between 0.1 and 0.2 MMT CO<sub>2</sub> Eq. between 1990 and 2021 (Table 6-71). Aquaculture production data were  
18 updated through 2019; data through 2021 are not yet available and in this analysis are held constant with 2019  
19 emissions of 0.2 MMT CO<sub>2</sub> Eq. (0.5 Kt N<sub>2</sub>O).

20 **Table 6-71: N<sub>2</sub>O Emissions from Aquaculture in Coastal Wetlands (MMT CO<sub>2</sub> Eq. and kt N<sub>2</sub>O)**

Year	1990	2005	2017	2018	2019	2020	2021
Emissions (MMT CO <sub>2</sub> Eq.)	0.1	0.2	0.1	0.1	0.1	0.1	0.1
Emissions (kt N <sub>2</sub> O)	0.4	0.6	0.5	0.5	0.5	0.5	0.5

## 21 Methodology and Time-Series Consistency

22 The methodology to estimate N<sub>2</sub>O emissions from Aquaculture in Coastal Wetlands follows the Tier 1 guidance in  
23 the *Wetlands Supplement* by applying country-specific fisheries production data and the IPCC Tier 1 default  
24 emission factor.

25 Each year NOAA Fisheries document the status of U.S. marine fisheries in the annual report of *Fisheries of the*  
26 *United States* (National Marine Fisheries Service 2022), from which activity data for this analysis is derived.<sup>69</sup> The  
27 fisheries report has been produced in various forms for more than 100 years, primarily at the national level, on  
28 U.S. recreational catch and commercial fisheries landings and values. In addition, data are reported on U.S.  
29 aquaculture production, the U.S. seafood processing industry, imports and exports of fish-related products, and  
30 domestic supply and per capita consumption of fisheries products. Within the aquaculture chapter, the mass of  
31 production for catfish, striped bass, tilapia, trout, crawfish, salmon and shrimp are reported. While some of these  
32 fisheries are produced on land and some in open water cages within coastal wetlands, all have data on the  
33 quantity of food stock produced, which is the activity data that is applied to the IPCC Tier 1 default emissions  
34 factor to estimate emissions of N<sub>2</sub>O from aquaculture. It is not apparent from the data as to the amount of  
35 aquaculture occurring above the extent of high tides on river floodplains. While some aquaculture occurs on  
36 coastal lowland floodplains, this is likely a minor component of tidal aquaculture production because of the need  
37 for a regular source of water for pond flushing. The estimation of N<sub>2</sub>O emissions from aquaculture is not sensitive

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<sup>69</sup> See <https://www.fisheries.noaa.gov/resource/document/fisheries-united-states-2019-report>; accessed August 2021.

1 to salinity using IPCC approaches, and as such, the location of aquaculture ponds within the boundaries of coastal  
 2 wetlands does not influence the calculations.

3 Other open water shellfisheries for which no food stock is provided, and thus no additional N inputs, are not  
 4 applicable for estimating N<sub>2</sub>O emissions (e.g., clams, mussels, and oysters) and have not been included in the  
 5 analysis. The IPCC Tier 1 default emissions factor of 0.00169 kg N<sub>2</sub>O-N per kg of fish/shellfish produced is applied to  
 6 the activity data to calculate total N<sub>2</sub>O emissions.

7 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
 8 through 2021.

## 9 **Uncertainty**

10 Uncertainty estimates are based upon the Tier 1 default 95 percent confidence interval provided in Table 4.15,  
 11 chapter 4 of the *Wetlands Supplement* for N<sub>2</sub>O emissions and on expert judgment of the NOAA *Fisheries of the*  
 12 *United States* fisheries production data. Given the overestimate of fisheries production from coastal wetland areas  
 13 due to the inclusion of fish production in non-coastal wetland areas, this is a reasonable initial first approximation  
 14 for an uncertainty range.

15 Uncertainty estimates for N<sub>2</sub>O emissions from aquaculture production are presented in Table 6-72 for N<sub>2</sub>O  
 16 emissions. The combined uncertainty is 116 percent above and below the estimate of 0.13 MMT CO<sub>2</sub> Eq. In 2021,  
 17 the total flux was 0.13 MMT CO<sub>2</sub> Eq., with lower and upper estimates of 0.00 and 0.29 MMT CO<sub>2</sub> Eq.

18 **Table 6-72: Approach 1 Quantitative Uncertainty Estimates for N<sub>2</sub>O Emissions from**  
 19 **Aquaculture Production in Coastal Wetlands in 2021 (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Emissions Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emissions Estimate <sup>a</sup> (MMT CO <sub>2</sub> Eq.)			
		Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound
Combined Uncertainty for N <sub>2</sub> O Emissions for Aquaculture Production in Coastal Wetlands	0.13	0.00	0.29	-116%	116%

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

## 20 **QA/QC and Verification**

21 NOAA provided internal QA/QC review of reported fisheries data. The Coastal Wetlands Inventory team consulted  
 22 with the Coordinating Lead Authors of the Coastal Wetlands chapter of the *Wetlands Supplement* to assess which  
 23 fisheries production data to include in estimating emissions from aquaculture. It was concluded that N<sub>2</sub>O emissions  
 24 estimates should be applied to any fish production to which food supplement is supplied be they pond or coastal  
 25 open water and that salinity conditions were not a determining factor in production of N<sub>2</sub>O emissions.

## 26 **Recalculations Discussion**

27 A NOAA report was released in 2022 that contains updated fisheries data through 2019 and the 2019 production  
 28 estimate was revised from 308,550 to 298,336 MT, although it did not affect the resulting emissions (National  
 29 Marine Fisheries Service 2022). The updated production value was applied for 2019, and the 2019 value was  
 30 applied in 2020 and 2021. This resulted in a slight reduction of N<sub>2</sub>O emissions by 0.01 MMT CO<sub>2</sub> Eq. (0.02 kt N<sub>2</sub>O), a  
 31 3.3 percent decrease, for 2018 and 2019 compared to the previous Inventory.

32 In addition, the EPA updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of N<sub>2</sub>O  
 33 (from 298 to 265) to reflect the 100-year GWP provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). The  
 34 previous Inventory used the 100-year GWP provided in the IPCC *Fourth Assessment Report* (AR4). This update was

1 applied across the entire time series. The net result of this change was an average annual decrease of 0.02 MMT  
2 CO<sub>2</sub> Eq. in N<sub>2</sub>O emissions from aquaculture for the 1990-2020 period. Further discussion on this update and the  
3 overall impacts of updating the inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations  
4 and Improvements.

5 Together, the combined net effect of implementing these two recalculations was an average annual decrease in  
6 N<sub>2</sub>O emissions from aquaculture of 13.4 percent from 1990 through 2020 compared to the previous Inventory.

## 7 **Flooded Land Remaining Flooded Land**

8 Flooded lands are defined as water bodies where human activities have 1) caused changes in the amount of  
9 surface area covered by water, typically through water level regulation (e.g., constructing a dam), 2) waterbodies  
10 where human activities have changed the hydrology of existing natural waterbodies thereby altering water  
11 residence times and/or sedimentation rates, in turn causing changes to the natural emission of greenhouse gases,  
12 and 3) waterbodies that have been created by excavation, such as canals, ditches and ponds (IPCC 2019). Flooded  
13 lands include waterbodies with seasonally variable degrees of inundation, but these waterbodies would be  
14 expected to retain some inundated area throughout the year under normal conditions.

15 Flooded lands are broadly classified as “reservoirs” or “other constructed waterbodies” (IPCC 2019). Other  
16 constructed waterbodies include canals/ditches and ponds (flooded land <8 ha surface area). Reservoirs are  
17 defined as flooded land greater than 8 ha. IPCC guidance (IPCC 2019) provides default emission factors for  
18 reservoirs, ponds, and canals/ditches.

19 Land that has been flooded for greater than 20 years is defined as Flooded Land Remaining Flooded Land and land  
20 flooded for 20 years or less is defined as Land Converted to Flooded Land. The distinction is based on literature  
21 reports that CH<sub>4</sub> and CO<sub>2</sub> emissions are high immediately following flooding, but decline to a steady background  
22 level approximately 20 years after flooding (Abril et al. 2005, Barros et al. 2011, Teodoru et al. 2012). Emissions of  
23 CH<sub>4</sub> are estimated for Flooded Land Remaining Flooded Land, but CO<sub>2</sub> emissions are not included as they are  
24 primarily the result of decomposition of organic matter entering the waterbody from the catchment or contained  
25 in inundated soils and are captured in Chapter 6, Land Use, Land-Use Change, and Forestry.

26 Nitrous oxide emissions from flooded lands are largely related to input of organic or inorganic nitrogen from the  
27 watershed. These inputs from runoff/leaching/deposition are largely driven by anthropogenic activities such as  
28 land-use change, wastewater disposal or fertilizer application in the watershed or application of fertilizer or feed in  
29 aquaculture. These emissions are not included here to avoid double-counting of N<sub>2</sub>O emissions which are captured  
30 in other source categories, such as indirect N<sub>2</sub>O emissions from managed soils (Section 5.4, Agricultural Soil  
31 Management)) and wastewater management (Section 7.2, Wastewater Treatment and Discharge).

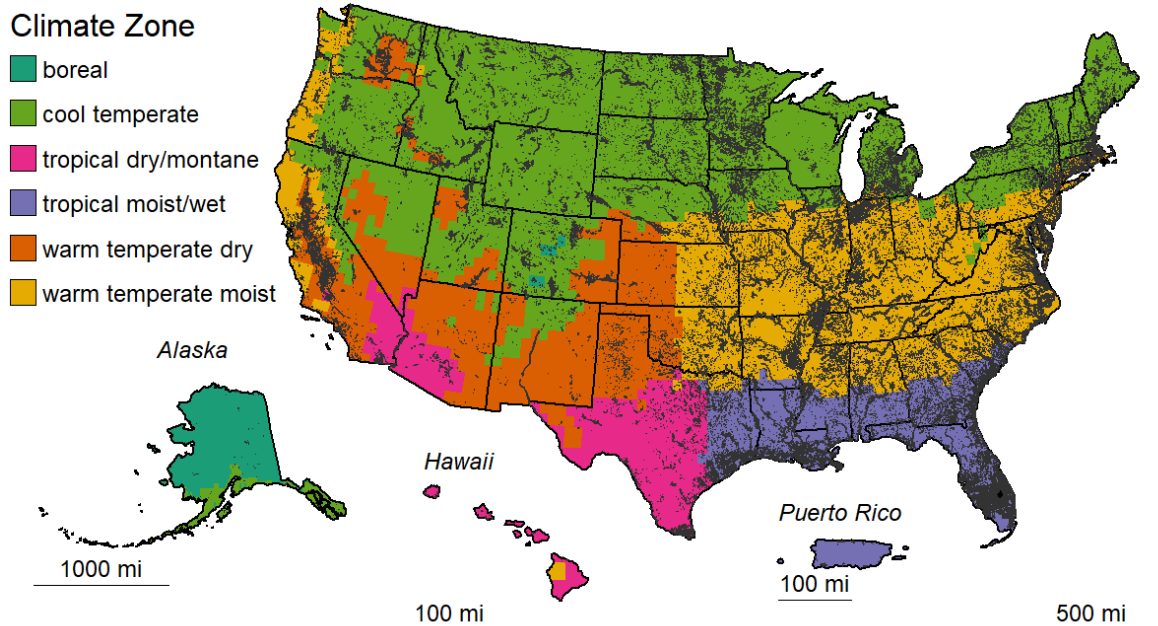
## 32 **Emissions from Flooded Land Remaining Flooded Land—** 33 **Reservoirs**

34 Reservoirs are designed to store water for a wide range of purposes including hydropower, flood control, drinking  
35 water, and irrigation. The permanently wetted portion of reservoirs are typically surrounded by periodically  
36 inundated land referred to as a “drawdown zone” or “inundation area.” Greenhouse gas emissions from  
37 inundation areas are considered significant and similar per unit area to the emissions from the water surface and  
38 are therefore included in the total reservoir surface area when estimating greenhouse gas emissions from flooded  
39 land. Lakes converted into reservoirs without substantial changes in water surface area or water residence times  
40 are not considered to be managed flooded land (see Area Estimates below) (IPCC 2019).

41 In 2021, the United States and Puerto Rico hosted 9.7 million hectares of reservoir surface area in the Flooded  
42 Land Remaining Flooded Land category (see Methodology and Time-Series Consistency below for calculation  
43 details). These reservoirs are distributed across all six of the aggregated climate zones used to define flooded land  
44 emission factors (Figure 6-) (IPCC 2019).



1 **Figure 6-10: U.S. Reservoirs (black polygons) in the Flooded Land Remaining Flooded Land**  
 2 **Category in 2021.**



3 Note: Colors represent climate zone used to derive IPCC default emission factors.

4 Methane is produced in reservoirs through the microbial breakdown of organic matter. Per unit area, CH<sub>4</sub> emission  
 5 rates tend to scale positively with temperature and system productivity (i.e., abundance of algae), but negatively  
 6 with system size (i.e., depth, surface area). Methane produced in reservoirs can be emitted from the reservoir  
 7 surface or exported from the reservoir when CH<sub>4</sub>-rich water passes through the dam. This exported CH<sub>4</sub> can be  
 8 released to the atmosphere as the water passes through hydropower turbines or the downstream river channel.  
 9 Methane emitted to the atmosphere via this pathway is referred to as “downstream emissions.”

10 Table 6-73 and Table 6-74 below summarize nationally aggregated CH<sub>4</sub> emissions from reservoirs. The increase in  
 11 CH<sub>4</sub> emissions through the time series is attributable to reservoirs matriculating from the Land Converted to  
 12 Flooded Land category into the Flooded Land Remaining Flooded Land category.

13 **Table 6-73: CH<sub>4</sub> Emissions from Flooded Land Remaining Flooded Land—Reservoirs (MMT**  
 14 **CO<sub>2</sub> Eq.)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Reservoirs</b>							
Surface Emission	25.9	26.4	26.5	26.5	26.5	26.5	26.5
Downstream Emission	2.3	2.4	2.4	2.4	2.4	2.4	2.4
<b>Total</b>	<b>28.2</b>	<b>28.8</b>	<b>28.9</b>	<b>28.9</b>	<b>28.9</b>	<b>28.9</b>	<b>28.9</b>

Note: Totals may not sum to due independent rounding.

15 **Table 6-74: CH<sub>4</sub> Emissions from Flooded Land Remaining Flooded Land—Reservoirs (kt CH<sub>4</sub>)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Reservoirs</b>							
Surface Emission	924	943	946	946	946	948	948
Downstream Emission	83	85	85	85	85	85	85
<b>Total</b>	<b>1,007</b>	<b>1,028</b>	<b>1,032</b>	<b>1,032</b>	<b>1,032</b>	<b>1,033</b>	<b>1,033</b>

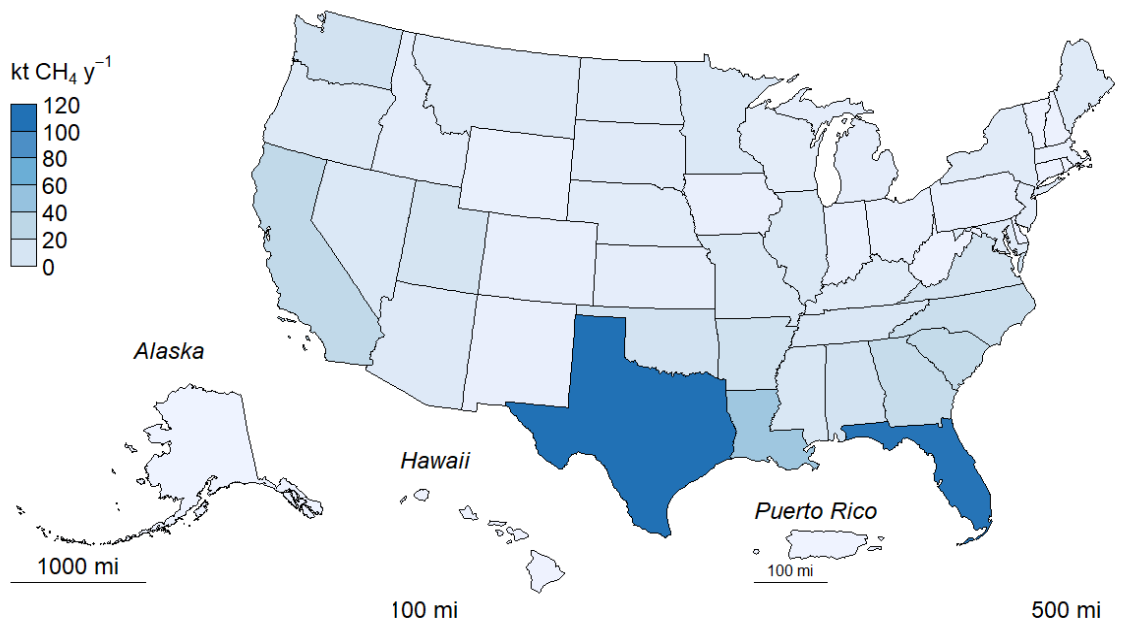
Note: Totals may not sum to due independent rounding.

1

2 Methane emissions from reservoirs in Texas, Florida, and Louisiana (Figure 6-11, Table 6-75) compose 33 percent  
3 of national CH<sub>4</sub> emissions from reservoirs in 2021. Emissions from these states are particularly high due to 1) the  
4 large expanse of reservoirs in these states (Table 6-78) and 2) the high CH<sub>4</sub> emission factor for the tropical  
5 dry/montane and topical moist climate zones which encompass a majority of the flooded land area in these states  
6 (Figure 6-, Table 6-76).

7 Methane emissions from reservoirs in Flooded Land Remaining Flooded Land increased 2.5 percent from 1990 to  
8 2021 due to the matriculation of reservoirs in Land Converted to Flooded Land to Flooded Land Remaining Flooded  
9 Land.

10 **Figure 6-11: Total CH<sub>4</sub> Emissions (Downstream + Surface) from Reservoirs in Flooded Land**  
11 **Remaining Flooded Land in 2021 (kt CH<sub>4</sub>)**



12

13

14 **Table 6-75: Surface and Downstream CH<sub>4</sub> Emissions from Reservoirs in Flooded Land**  
15 **Remaining Flooded Land in 2021 (kt CH<sub>4</sub>)**

State	Surface	Downstream	Total
Alabama	24	2	26
Alaska	1	+	1
Arizona	15	1	16
Arkansas	26	2	28
California	39	4	43
Colorado	6	1	7
Connecticut	3	+	3
Delaware	3	+	3
District of Columbia	+	+	+
Florida	126	11	137
Georgia	35	3	38
Hawaii	1	+	1
Idaho	10	1	10
Illinois	17	2	19

Indiana	6	1	6
Iowa	6	1	6
Kansas	9	1	9
Kentucky	13	1	14
Louisiana	59	5	65
Maine	13	1	15
Maryland	13	1	14
Massachusetts	5	+	5
Michigan	9	1	9
Minnesota	17	2	18
Mississippi	19	2	20
Missouri	17	2	19
Montana	14	1	15
Nebraska	11	1	12
Nevada	17	2	18
New Hampshire	3	+	3
New Jersey	11	1	12
New Mexico	5	+	6
New York	12	1	14
North Carolina	32	3	35
North Dakota	14	1	15
Ohio	6	1	7
Oklahoma	24	2	26
Oregon	16	1	17
Pennsylvania	6	1	6
Puerto Rico	+	+	+
Rhode Island	1	+	1
South Carolina	37	3	40
South Dakota	13	1	14
Tennessee	18	2	20
Texas	128	11	139
Utah	22	2	24
Vermont	2	+	2
Virginia	24	2	26
Washington	25	2	27
West Virginia	2	+	2
Wisconsin	10	1	11
Wyoming	5	+	5

+ Indicates values less than 0.5 kt

## 1 Methodology and Time-Series Consistency

2 Estimates of CH<sub>4</sub> emission for reservoirs in Flooded Land Remaining Flooded Land follow the Tier 1 methodology in  
3 the *2019 Refinement to the 2006 IPCC Guidelines* (IPCC 2019). Methane emissions from the surface of these  
4 flooded lands are calculated as the product of flooded land surface area and a climate-specific emission factor  
5 (Table 6-76). Downstream emissions are calculated as 9 percent of the surface emission (Tier 1 default). Total CH<sub>4</sub>  
6 emissions from reservoirs are calculated as the sum of surface and downstream emissions. National emissions are  
7 calculated as the sum of state emissions.

8 The IPCC default surface emission factors used in the Tier 1 methodology are derived from model-predicted (G-res  
9 model, Prairie et al. 2017) emission rates for all reservoirs in the Global Reservoir and Dam (GRaND) database  
10 (Lehner et al. 2011). Predicted emission rates were aggregated by the 11 IPCC climate zones (IPCC 2019, Table  
11 7A.2) which were collapsed into six climate zones using a regression tree approach. All six aggregated climate zone  
12 are present in the United States.

1 **Table 6-76: IPCC (2019) Default CH<sub>4</sub> Emission Factors for Surface Emission from Reservoirs**  
 2 **in Flooded Land Remaining Flooded Land**

Climate	Surface emission factor (MT CH <sub>4</sub> ha <sup>-1</sup> y <sup>-1</sup> )
Boreal	0.0136
Cool Temperate	0.054
Warm Temperate Dry	0.1509
Warm Temperate Moist	0.0803
Tropical Dry/Montane	0.2837
Tropical Moist/Wet	0.1411

Note: downstream CH<sub>4</sub> emissions are calculated as 9 percent of surface emissions. Downstream emissions are not calculated for CO<sub>2</sub>.

3 *Area estimates*

4 U.S. reservoirs were identified from the NHDWaterbody layer in the National Hydrography Dataset Plus V2  
 5 (NHD)<sup>70</sup>, the National Inventory of Dams (NID)<sup>71</sup>, the National Wetlands Inventory (NWI)<sup>72</sup>, and the Navigable  
 6 Waterways (NW) network<sup>73</sup>. The NHD only covers the conterminous U.S., whereas the NID, NW and NWI also  
 7 include Alaska, Hawaii, and Puerto Rico.

8 Waterbodies in the NHDWaterbody layer that were greater than or equal to 8 ha in surface area, not identified as  
 9 canal/ditch in NHD, and met any of the following criteria were considered reservoirs: 1) the waterbody was  
 10 classified as “Reservoir” in the NHDWaterbody layer, 2) the waterbody name in the NHDWaterbody layer included  
 11 “Reservoir”, 3) the waterbody in the NHDWaterbody layer was located in close proximity (up to 100 m) to a dam in  
 12 the NID, 4) the NHDWaterbody GNIS name was similar to a nearby NID feature (between 100 m to 1000 m).

13 EPA assumes that all features included in the NW network are subject to water-level management to maintain  
 14 minimum water depths required for navigation and are therefore managed flooded lands. Navigable Waterway  
 15 features greater than 8 ha in surface area are defined as reservoirs.

16 NWI features were considered “managed” if they had a Special Modifier value indicating the presence of  
 17 management activities (Figure 6-12). To be included in the flooded lands inventory, the managed flooded land had  
 18 to be wet or saturated for at least one season per year (see ‘Water Regime’ in Figure 6-12). NWI features that met  
 19 these criteria, were greater than 8 ha in surface area, and were not a canal/ditch (see Emissions from Land  
 20 Converted to Flooded Land – Other Constructed Waterbodies) were defined as reservoirs.

21 Surface areas for identified flooded lands were taken from the NHD, NWI or NW. If features from the NHD, NWI, or  
 22 NW datasets overlapped, duplicated areas were erased. The first step was to take the final NWI Flooded Lands  
 23 features and use it to identify overlapping NHD features. If the NHD feature had its center in a NWI feature, it was  
 24 removed from analysis. Next, remaining NHD features were erased from any remaining overlapping NWI features.  
 25 Final selections of NHD and NWI features were used to erase any overlapping NW waterbodies.

26 Reservoir age was determined by assuming the waterbody was created the same year as a nearby (up to 100 m)  
 27 NID feature. If no nearby NID feature was identified, it was assumed the waterbody was greater than 20-years old  
 28 throughout the time series.

29

<sup>70</sup> See <https://www.usgs.gov/core-science-systems/ngp/national-hydrography>

<sup>71</sup> See <https://nid.sec.usace.army.mil>.

<sup>72</sup> See <https://www.fws.gov/program/national-wetlands-inventory/data-download>

<sup>73</sup> See [https://hifld-geoplatform.opendata.arcgis.com/maps/aaa3767c7d2b41f69e7528f99cf2fb76\\_0/about](https://hifld-geoplatform.opendata.arcgis.com/maps/aaa3767c7d2b41f69e7528f99cf2fb76_0/about)

1 **Figure 6-12: Selected Features from NWI that Meet Flooded Lands Criteria**

MODIFIERS						
In order to more adequately describe the wetland and deepwater habitats, one each of the water regime, water chemistry, soil, or special modifiers may be applied at the class or lower level in the hierarchy.						
Water Regime			Special Modifiers	Water Chemistry	Soil	
Nontidal	Saltwater Tidal	Freshwater Tidal		Halinity/Salinity	pH Modifiers for Fresh Water	
A Temporarily Flooded	L Subtidal	Q Regularly Flooded-Fresh Tidal	b Beaver	1 Hyperhaline / Hypersaline	a Acid	g Organic n Mineral
B Seasonally Saturated	M Irregularly Exposed	R Seasonally Flooded-Fresh Tidal	d Partly Drained/Ditched	2 Euhaline / Eusaline	t Circumneutral	
C Seasonally Flooded	N Regularly Flooded	S Temporarily Flooded- Fresh Tidal	f Farmed	3 Mixohaline / M ixosaline (Brackish)	i Alkaline	
D Continuously Saturated	P Irregularly Flooded	T Semipermanently Flooded-Fresh Tidal	m Managed	4 Polyhaline		
E Seasonally Flooded / Saturated		V Permanently Flooded-Fresh Tidal	h Diked/Impounded	5 Mesohaline		
F Semipermanently Flooded			r Artificial Substrate	6 Oligohaline		
G Intermittently Exposed			s Spoil	0 Fresh		
H Permanently Flooded			x Excavated			
J Intermittently Flooded						
K Artificially Flooded						

Must also meet one selected special modifier (red box) to be included in the flooded lands inventory

Included in the flooded lands inventory if it meets water regime qualifier (gold box)

Source (modified): <https://www.fws.gov/sites/default/files/documents/wetlands-and-deepwater-map-code-diagram.pdf>

2  
3 IPCC (2019) allows for the exclusion of managed waterbodies from the inventory if the water surface area or  
4 residence time was not substantially changed by the construction of the dam. The guidance does not quantify  
5 what constitutes a “substantial” change, but here EPA excludes the U.S. Great Lakes from the inventory based on  
6 expert judgment that neither the surface area nor water residence time was substantially altered by their  
7 associated dams.

8 Reservoirs were disaggregated by state (using boundaries from the 2016 U.S. Census Bureau<sup>74</sup>) and climate zone.  
9 Downstream and surface emissions for cross-state reservoirs were allocated to states based on the surface area  
10 that the reservoir occupied in each state. Only the U.S. portion of reservoirs that cross country borders were  
11 included in the inventory.

12 The surface area of reservoirs in Flooded Land Remaining Flooded Land increased by approximately 4 percent from  
13 1990 to 2021 (Table 6-77) due to reservoirs matriculating into Flooded Land Remaining Flooded Land when they  
14 reached 20 years of age.

15 **Table 6-77: National Totals of Reservoir Surface Area in Flooded Land Remaining Flooded**  
16 **Land (millions of ha)**

Surface Area (millions of ha)	1990	2005	2017	2018	2019	2020	2021
Reservoir	9.40	9.61	9.64	9.65	9.65	9.67	9.67

17  
18 **Table 6-78: State Breakdown of Reservoir Surface Area in Flooded Land Remaining Flooded**  
19 **Land (millions of ha)**

State	1990	2005	2017	2018	2019	2020	2021
Alabama	0.24	0.24	0.24	0.24	0.24	0.24	0.24
Alaska	0.02	0.02	0.02	0.02	0.02	0.02	0.02
Arizona	0.06	0.06	0.06	0.06	0.06	0.06	0.06
Arkansas	0.29	0.30	0.30	0.30	0.30	0.30	0.30
California	0.35	0.36	0.36	0.36	0.36	0.36	0.36
Colorado	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Connecticut	0.03	0.03	0.03	0.03	0.03	0.03	0.03
Delaware	0.03	0.03	0.03	0.03	0.03	0.03	0.03
District of Columbia	+	+	+	+	+	+	+
Florida	0.88	0.89	0.89	0.89	0.89	0.89	0.89

<sup>74</sup> See <https://www.census.gov/geographies/mapping-files/time-series/geo/carto-boundary-file.html>.

Georgia	0.29	0.30	0.30	0.30	0.30	0.30	0.30
Hawaii	+	+	+	+	+	+	+
Idaho	0.13	0.15	0.15	0.15	0.15	0.15	0.15
Illinois	0.21	0.22	0.22	0.22	0.22	0.23	0.23
Indiana	0.06	0.07	0.07	0.07	0.07	0.07	0.07
Iowa	0.07	0.08	0.08	0.08	0.08	0.08	0.08
Kansas	0.07	0.09	0.09	0.09	0.09	0.09	0.09
Kentucky	0.15	0.16	0.16	0.16	0.16	0.16	0.16
Louisiana	0.41	0.42	0.42	0.42	0.42	0.42	0.42
Maine	0.23	0.24	0.25	0.25	0.25	0.25	0.25
Maryland	0.16	0.16	0.16	0.16	0.16	0.16	0.16
Massachusetts	0.07	0.07	0.07	0.07	0.07	0.07	0.07
Michigan	0.15	0.15	0.15	0.15	0.15	0.15	0.15
Minnesota	0.30	0.31	0.31	0.31	0.31	0.31	0.31
Mississippi	0.18	0.18	0.18	0.18	0.18	0.18	0.18
Missouri	0.20	0.20	0.20	0.20	0.20	0.21	0.21
Montana	0.24	0.26	0.26	0.26	0.26	0.26	0.26
Nebraska	0.13	0.13	0.13	0.13	0.13	0.13	0.13
Nevada	0.09	0.09	0.09	0.09	0.09	0.09	0.09
New Hampshire	0.06	0.06	0.06	0.06	0.06	0.06	0.06
New Jersey	0.13	0.13	0.13	0.13	0.13	0.13	0.13
New Mexico	0.05	0.05	0.05	0.05	0.05	0.05	0.05
New York	0.21	0.21	0.21	0.21	0.21	0.21	0.21
North Carolina	0.40	0.40	0.40	0.40	0.40	0.40	0.40
North Dakota	0.25	0.25	0.25	0.25	0.25	0.25	0.25
Ohio	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Oklahoma	0.27	0.27	0.27	0.27	0.27	0.27	0.27
Oregon	0.21	0.21	0.21	0.21	0.21	0.21	0.21
Pennsylvania	0.08	0.08	0.08	0.08	0.08	0.08	0.08
Puerto Rico	+	+	+	+	+	+	+
Rhode Island	0.02	0.02	0.02	0.02	0.02	0.02	0.02
South Carolina	0.31	0.32	0.33	0.33	0.33	0.33	0.33
South Dakota	0.24	0.24	0.24	0.24	0.24	0.24	0.24
Tennessee	0.22	0.23	0.23	0.23	0.23	0.23	0.23
Texas	0.66	0.67	0.67	0.67	0.67	0.67	0.67
Utah	0.18	0.19	0.19	0.19	0.19	0.19	0.19
Vermont	0.04	0.04	0.04	0.04	0.04	0.04	0.04
Virginia	0.30	0.30	0.30	0.30	0.30	0.30	0.30
Washington	0.26	0.26	0.26	0.26	0.26	0.26	0.26
West Virginia	0.03	0.03	0.03	0.03	0.03	0.03	0.03
Wisconsin	0.18	0.18	0.18	0.18	0.18	0.18	0.18
Wyoming	0.09	0.09	0.09	0.09	0.09	0.09	0.09
<b>Total</b>	<b>9.40</b>	<b>9.61</b>	<b>9.64</b>	<b>9.65</b>	<b>9.65</b>	<b>9.67</b>	<b>9.67</b>

+ Indicates values less than 0.005 million Ha

Note: Totals may not sum due to independent rounding.

## 1 Uncertainty

- 2 Uncertainty in estimates of CH<sub>4</sub> emissions from reservoirs in Flooded Land Remaining Flooded Land (Table 6-79)
- 3 are developed using the IPCC Approach 2 and include uncertainty in the default emission factors and land areas.
- 4 Uncertainty ranges for the emission factors are provided in the *2019 Refinement to the 2006 IPCC Guidelines* (IPCC
- 5 2019). Uncertainties in the spatial data include 1) uncertainty in area estimates from the NHD, NWI, and NW, and
- 6 2) uncertainty in the location of dams in the NID. Overall uncertainties in these spatial datasets are unknown, but
- 7 uncertainty for remote sensing products is assumed to be ± 10 - 15 percent based on IPCC guidance (IPCC 2003).
- 8 An uncertainty range of ± 15 percent for the reservoir area estimates is assumed and is based on expert judgment.

1 **Table 6-79: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from**  
 2 **Reservoirs in Flooded Land Remaining Flooded Land**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
<b>Reservoir</b>						
Surface	CH <sub>4</sub>	26.5	26.2	26.8	-1.2%	1.1%
Downstream	CH <sub>4</sub>	2.39	2.32	2.7	-3%	13%
<b>Total</b>	<b>CH<sub>4</sub></b>	<b>28.9</b>	<b>28.6</b>	<b>29.4</b>	<b>-1%</b>	<b>1.7%</b>

<sup>a</sup> Range of emission estimates predicted by Monte Carlo stochastic simulation for a 95 percent confidence interval.

### 3 QA/QC and Verification

4 The National Hydrography Data (NHD) is managed by the USGS in collaboration with many other federal, state, and  
 5 local entities. Extensive QA/QC procedures are incorporated into the curation of the NHD. The National Inventory  
 6 of Dams (NID) is maintained by the U.S. Army Corps of Engineers (USACE) in collaboration with the Federal  
 7 Emergency Management Agency (FEMA) and state regulatory offices. USACE resolves duplicative and conflicting  
 8 data from 68 data sources, which helps obtain the more complete, accurate, and updated NID. The Navigable  
 9 Waterways (NW) dataset is part of the U.S. Department of Transportation (USDOT)/Bureau of Transportation  
 10 Statistics (BTS) National Transportation Atlas Database (NTAD). The NW is a comprehensive network database of  
 11 the nation's navigable waterways updated on a continuing basis. U.S. Fish and Wildlife Service is the principal  
 12 agency in charge of wetland mapping including the National Wetlands Inventory (NWI). Quality and consistency of  
 13 the Wetlands Layer is supported by federal wetlands mapping and classification standards, which were developed  
 14 under the oversight of the Federal Geographic Data Committee (FGDC) with input by the FGDC Wetlands  
 15 Subcommittee. This dataset is part of the FGDC Water-Inland Theme, which is co-chaired by the FWS and the U.S.  
 16 Geological Survey.

17 General QA/QC procedures were applied to activity data, documentation, and emission calculations consistent  
 18 with the U.S. *Inventory* QA/QC plan, which is in accordance with Vol. 1 Chapter 6 of the *2006 IPCC Guidelines* (see  
 19 Annex 8 for more details). All calculations were executed independently in Excel and R. Ten percent of state and  
 20 national totals were randomly selected for comparison between the two approaches to ensure there were no  
 21 computational errors.

### 22 Recalculations Discussion

23 The 1990 through 2021 Inventory uses the National Wetland Inventory (NWI) as the primary data source for  
 24 flooded land surface area, whereas the 1990 through 2020 Inventory report used the National Hydrography Data  
 25 (NHD) as the primary geospatial data source. The NWI is far more detailed than the NHD, resulting in increased  
 26 emission estimates across the time series. The NWI also includes Alaska, Hawaii, and Puerto Rico which were not  
 27 estimated in the 1990 through 2020 Inventory.

28 Emissions from reservoirs in Flooded Land Remaining Flooded Land were further increased by correcting the  
 29 creation date of several large reservoirs in South Dakota, North Dakota, Alabama, Arkansas, Georgia, and South  
 30 Carolina. These reservoirs were incorrectly classified as Land Converted to Flooded Land for a portion of the 1990-  
 31 2020 time series, but are classified as Flooded Land Remaining Flooded Land throughout the 1990 through 2021  
 32 Inventory time series.

33 The 1990 through 2020 Inventory distinguished between reservoirs and inundation areas. Inundation areas were  
 34 defined as periodically flooded lands that bordered a permanently flooded reservoir. The NWI includes both  
 35 permanently and periodically flooded lands, but doesn't consistently discriminate between them, therefore  
 36 inundation areas and reservoirs are consolidated into reservoirs for the 1990 through 2021 Inventory.

1 In addition, the EPA updated the global warming potential (GWP) for CH<sub>4</sub> (from 25 to 28) to reflect the 100-year  
 2 GWP provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). The previous Inventory used the 100-year  
 3 GWP provided in the IPCC *Fourth Assessment Report* (AR4). This update was applied across the entire time series.  
 4 Further discussion on this update and the overall impacts of updating the inventory GWP values to reflect the AR5  
 5 can be found in Chapter 9, Recalculations and Improvements.

6 The net effect of these recalculations was an average annual increase in CH<sub>4</sub> emission estimates from reservoirs of  
 7 10.3 MMT CO<sub>2</sub> Eq., or 56 percent, over the time series from 1990 to 2020 compared to the previous Inventory.

## 8 **Planned Improvements**

9 The EPA is currently measuring greenhouse gas emissions from 108 reservoirs in the conterminous United States.  
 10 The survey will be complete in September 2023 and the data will be used to develop country-specific emission  
 11 factors for U.S. reservoirs. At the earliest, these emission factors will be used in the 2025 Inventory submission.

## 12 **Emissions from Flooded Land Remaining Flooded Land–Other** 13 **Constructed Waterbodies**

14 The IPCC (IPCC 2019) provides emission factors for several types of “other constructed waterbodies” including  
 15 freshwater ponds and canals/ditches. IPCC (2019) describes ponds as waterbodies that are “...constructed by  
 16 excavation and/or construction of walls to hold water in the landscape for a range of uses, including agricultural  
 17 water storage, access to water for livestock, recreation, and aquaculture.” Furthermore, the IPCC “Decision tree  
 18 for types of Flooded Land” (IPCC 2019, Fig. 7.2) defines a size threshold of 8 ha to distinguish reservoirs from  
 19 “other constructed waterbodies.” For this Inventory, ponds are defined as managed flooded land that are 1) less  
 20 than 8 ha in surface area, and 2) not categorized as canals/ditches. IPCC (2019) further distinguishes saline versus  
 21 brackish ponds, with the former supporting lower CH<sub>4</sub> emissions than the latter. Activity data on pond salinity are  
 22 not uniformly available for the conterminous United States and all ponds in the inventory are assumed to be  
 23 freshwater. Ponds often receive high organic matter and nutrient loadings, may have low oxygen levels, and are  
 24 often sites of substantial CH<sub>4</sub> emissions from anaerobic sediments.

25 Canals and ditches (terms are used interchangeably) are linear water features constructed to transport water (i.e.,  
 26 stormwater drainage, aqueduct), to irrigate or drain land, to connect two or more bodies of water, or to serve as a  
 27 waterway for watercraft. The geometry and construction of canals and ditches varies widely and includes narrow  
 28 earthen channels (<1 m wide) and concrete lined aqueducts in excess of 50 m wide. Canals and ditches can be  
 29 extensive in many agricultural, forest and settlement areas, and may also be significant sources of emissions in  
 30 some circumstances.

31 Methane emissions from freshwater ponds in Flooded Land Remaining Flooded Land increased by less than 1  
 32 percent from 1990 to 2021. Methane emissions from canals and ditches have remained constant throughout the  
 33 time series because age data are not available for canals and ditches, thus they are assumed to be greater than 20-  
 34 years old in 1990 and are included in Flooded Land Remaining Flooded Land throughout the time series. Overall,  
 35 CH<sub>4</sub> emissions from other constructed waterbodies have remained fairly constant since 1990 (Table 6-80 and Table  
 36 6-81).

37 **Table 6-80: CH<sub>4</sub> Emissions from Other Constructed Waterbodies in Flooded Land Remaining**  
 38 **Flooded Land (MMT CO<sub>2</sub> Eq.)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Other Constructed Waterbodies</b>							
Canals and Ditches	2.3	2.3	2.3	2.3	2.3	2.3	2.3
Freshwater Ponds	14.1	14.2	14.2	14.2	14.2	14.2	14.2
<b>Total</b>	<b>16.4</b>	<b>16.5</b>	<b>16.5</b>	<b>16.5</b>	<b>16.5</b>	<b>16.5</b>	<b>16.5</b>

Note: Totals may not sum due to independent rounding.



1 **Table 6-81: CH<sub>4</sub> Emissions from Other Constructed Waterbodies in Flooded Land Remaining**  
 2 **Flooded Land (kt CH<sub>4</sub>)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Other Constructed Waterbodies</b>							
Canals and Ditches	80.9	80.9	80.9	80.9	80.9	80.9	80.9
Freshwater Ponds	505.2	507.8	508.3	508.3	508.4	508.4	508.5
<b>Total</b>	<b>586.0</b>	<b>588.7</b>	<b>589.2</b>	<b>589.2</b>	<b>589.3</b>	<b>589.3</b>	<b>589.3</b>

Note: Totals may not sum due to independent rounding.

3 Florida and Louisiana have the greatest methane emissions from canals and ditches in the United States (Figure  
 4 6-13, Table 6-82). Presumably, most of these canals serve to drain the extensive wetland complexes in these states  
 5 (Davis, 1973). California has the third greatest methane emissions from canals and ditches. Canals and ditches in  
 6 California primarily serve to convey water from the mountains to urban and agricultural areas. Michigan and  
 7 Minnesota have the fourth and fifth largest methane emissions from canals and ditches. These systems serve to  
 8 drain historic wetlands to facilitate row-crop agriculture. Florida, Texas, and Georgia have the greatest methane  
 9 emissions from freshwater ponds, although states throughout the eastern United States make significant  
 10 contributions to the national total. These patterns of emissions are in accordance with the distribution of other  
 11 constructed waterbodies in the United States.

12 **Table 6-82: CH<sub>4</sub> Emissions from Other Constructed Waterbodies in Flooded Land Remaining**  
 13 **Flooded Land in 2021 (kt CH<sub>4</sub>)**

State	Canals and Ditches	Freshwater Ponds	Total
Alabama	+	12.4	12.5
Alaska	+	+	+
Arizona	1.5	1.1	2.6
Arkansas	3.1	11.4	14.5
California	7.0	13.5	20.4
Colorado	2.9	5.7	8.6
Connecticut	+	2.4	2.4
Delaware	+	1.3	1.3
District of Columbia	+	+	+
Florida	15.6	47.8	63.4
Georgia	+	26.0	26.2
Hawaii	+	+	0.6
Idaho	1.7	3.8	5.5
Illinois	1.0	14.3	15.3
Indiana	1.7	11.7	13.4
Iowa	+	13.0	13.4
Kansas	+	16.3	16.4
Kentucky	+	8.3	8.5
Louisiana	9.4	8.9	18.3
Maine	+	5.6	5.6
Maryland	+	2.7	3.1
Massachusetts	+	3.2	3.2
Michigan	5.4	12.1	17.5
Minnesota	4.7	16.2	20.9
Mississippi	1.6	14.0	15.6
Missouri	2.4	23.1	25.4
Montana	2.0	12.0	14.0
Nebraska	2.0	19.6	21.6
Nevada	0.7	1.0	1.7
New Hampshire	+	1.6	1.6
New Jersey	+	4.7	5.1
New Mexico	0.8	2.4	3.2

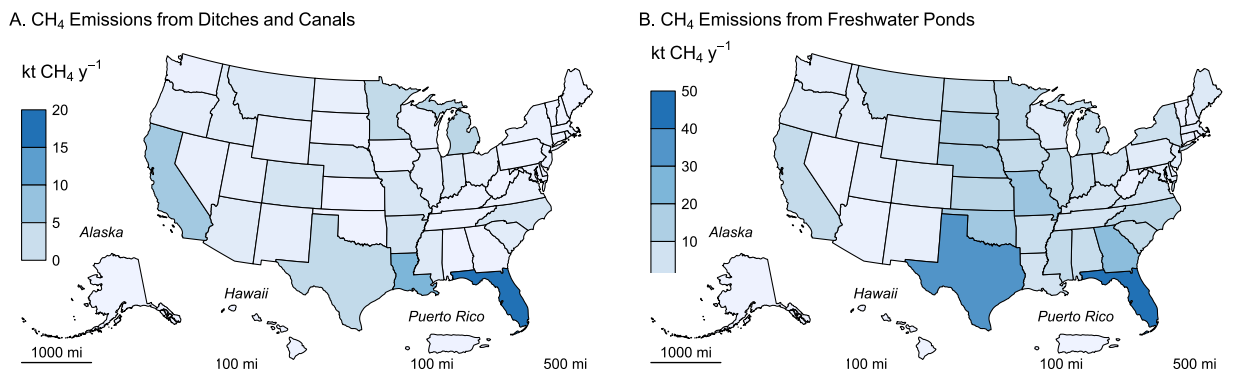
New York	+	10.9	11.3
North Carolina	2.6	16.8	19.4
North Dakota	0.8	13.1	13.9
Ohio	0.8	9.2	10.0
Oklahoma	+	21.8	21.9
Oregon	1.0	5.5	6.5
Pennsylvania	+	4.5	4.6
Puerto Rico	+	+	+
Rhode Island	+	+	+
South Carolina	1.3	14.5	15.8
South Dakota	+	18.4	18.7
Tennessee	+	8.7	8.9
Texas	4.6	38.6	43.2
Utah	0.8	3.3	4.1
Vermont	+	1.0	1.1
Virginia	0.5	9.6	10.1
Washington	+	3.1	3.6
West Virginia	+	1.6	1.6
Wisconsin	+	4.1	4.4
Wyoming	0.9	6.0	6.8
<b>Total</b>	<b>80.9</b>	<b>508.5</b>	<b>589.3</b>

+ Indicates values less than 0.5 kt

Note: Totals may not sum due to independent rounding.

1

2 **Figure 6-13: 2021 CH<sub>4</sub> Emissions from A) Ditches and Canals and B) Freshwater Ponds in**  
3 **Flooded Land Remaining Flooded Land (kt CH<sub>4</sub>)**



4

## 5 Methodology and Time-Series Consistency

6 Estimates of CH<sub>4</sub> emissions for other constructed waterbodies in Flooded Land Remaining Flooded Land follow the  
7 Tier 1 methodology in IPCC (2019). All calculations are performed at the state level and summed to obtain national  
8 estimates. Based on IPCC guidance, methane emissions from the surface of these flooded lands are calculated as  
9 the product of flooded land surface area and an emission factor (Table 6-83). Although literature data on  
10 greenhouse gas emissions from canals and ditches is relatively sparse, they have the highest default emission  
11 factor of all flooded land types (Table 6-83). Default emission factors for freshwater ponds are on the higher end of  
12 those for reservoirs. There are insufficient data to support climate-specific emission factors for ponds or canals and  
13 ditches. Downstream emissions are not inventoried for other constructed waterbodies because 1) many of these  
14 systems are not associated with dams (e.g., excavated ponds and ditches), and 2) there are insufficient data to  
15 derive downstream emission factors for other constructed waterbodies that are associated with dams (IPCC 2019).

1 **Table 6-83: IPCC (2019) Default CH<sub>4</sub> Emission Factors for Surface Emissions from Other**  
 2 **Constructed Waterbodies in Flooded Land Remaining Flooded Land**

Other Constructed Waterbody	Surface emission factor (MT CH <sub>4</sub> ha <sup>-1</sup> y <sup>-1</sup> )
Freshwater ponds	0.183
Canals and ditches	0.416

3 *Area estimates*

4 Other constructed waterbodies were identified from the NHD Waterbody layer in the National Hydrography  
 5 Dataset Plus V2 (NHD)<sup>75</sup>, the National Inventory of Dams (NID)<sup>76</sup>, the National Wetlands Inventory (NWI)<sup>77</sup>, and  
 6 the Navigable Waterways (NW) network.<sup>78</sup> The NHD only covers the conterminous US, whereas the NID, NW and  
 7 NWI also include Alaska, Hawaii, District of Columbia, and Puerto Rico. The following paragraphs present the  
 8 criteria used to identify other constructed waterbodies in the NHD, NW, and NWI.

9 Waterbodies in the NHD Waterbody layer that were greater than 20-years old, less than 8 ha in surface area, not  
 10 identified as canal/ditch in NHD, and met any of the following criteria were considered freshwater ponds in  
 11 Flooded Land Remaining Flooded Land: 1) the waterbody was classified “Reservoir” in the NHD Waterbody layer, 2)  
 12 the waterbody name in the NHD Waterbody layer included “Reservoir”, 3) the waterbody in the NHD Waterbody  
 13 layer was located in close proximity (up to 100 m) to a dam in the NID, 4) the NHD Waterbody GNIS name was  
 14 similar to nearby NID feature (between 100 m to 1000 m).

15 EPA assumes that all features included in the NW are subject to water-level management to maintain minimum  
 16 water depths required for navigation and are therefore managed flooded lands. NW features that were less than 8  
 17 ha in surface area and not identified as canals/ditch (see below) were considered freshwater ponds. Only 2.1  
 18 percent of NW features met these criteria, and they were primarily associated with larger navigable waterways,  
 19 such as lock chambers on impounded rivers.

20 NWI features were considered “managed” if they had a special modifier value indicating the presence of  
 21 management activities (Figure 6-12). To be included in the flooded lands inventory, the managed flooded land had  
 22 to be wet or saturated for at least one season per year (see “Water Regime” in Figure 6-12). NWI features that met  
 23 these criteria, were less than 8 ha in surface area, and were not a canal/ditch (see below) were defined as  
 24 freshwater ponds.

25 Canals and ditches, a subset of other constructed waterbodies, were identified in the NWI by their morphology.  
 26 Unlike a natural water body, canals and ditches are typically narrow, linear features with abrupt angular turns.  
 27 Figure 6-14 contrasts the unique shape of ditches/canals vs more natural water features.

28

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<sup>75</sup> See <https://www.usgs.gov/core-science-systems/ngp/national-hydrography>.

<sup>76</sup> See <https://nid.sec.usace.army.mil>.

<sup>77</sup> See <https://www.fws.gov/program/national-wetlands-inventory/data-download>

<sup>78</sup> See [https://hifld-geoplatform.opendata.arcgis.com/maps/aaa3767c7d2b41f69e7528f99cf2fb76\\_0/about](https://hifld-geoplatform.opendata.arcgis.com/maps/aaa3767c7d2b41f69e7528f99cf2fb76_0/about)

1 **Figure 6-14: Left: NWI Features Identified as Canals/Ditches (pink) by Unique Narrow,**  
 2 **Linear/Angular Morphology. Right: Non-Canal/Ditches with More Natural Morphology (blue)**



3 This morphology was identified systematically using shape attributes in a decision tree model. A training set of 752  
 4 features were identified as either “ditch” or “not ditch” using expert judgment. The training set was used to train a  
 5 decision tree which was used to categorize millions of NWI features based on three shape attribute ratios (Figure  
 6 6-12).

7 **Table 6-84: Predictors used in Decision Tree to Identify Canal/Ditches**

Shape Length : # of Shape Vertices  
 Shape Area : Shape Length  
 Shape Area : # of Shape Vertices

8 The decision tree built a model using 80 percent of the 752 training features and used the 20 percent to validate  
 9 the model. The model was 93.1 percent accurate. Below are the validation results (Table 6-85).

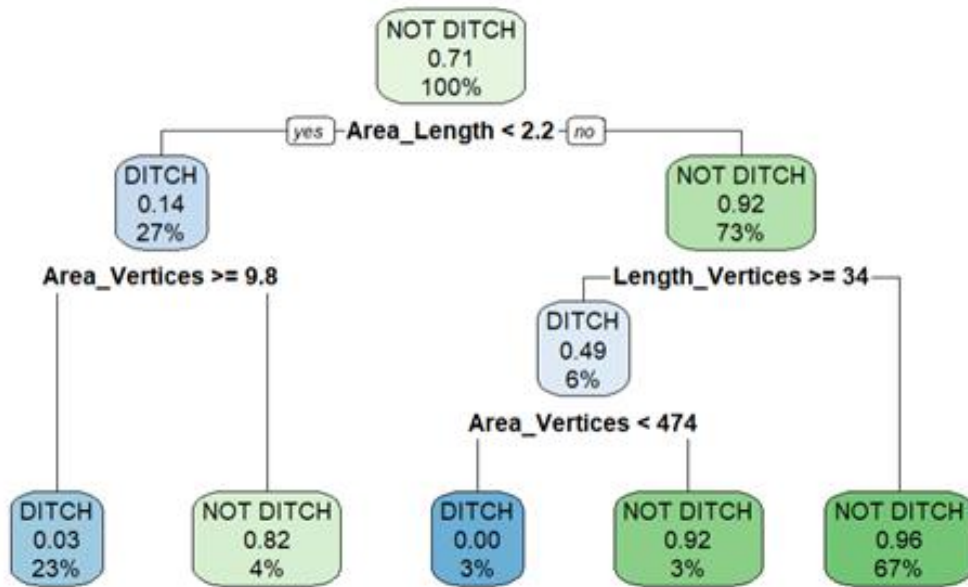
10 **Table 6-85: Validation Results for Ditch/Canal Classification Decision Tree**

Prediction	Truth	
	Ditch/Canal	Not Ditch/Canal
Ditch/Canal	49	5
Not Ditch/Canal	8	27

11 The decision tree model was then applied to the entire NWI dataset using the following shape attribute ratios  
 12 (Figure 6-15).

13

1 **Figure 6-15: Structure of Decision Tree Used to Identify Canals/Ditches**



2  
3

4 Surface areas for other constructed waterbodies were taken from NHD, NWI or the NW. If features from the NHD,  
5 NWI, or the NW datasets overlapped, these areas were erased. The first step was to take the final NWI Flooded  
6 Lands features and use it to identify overlapping NHD features. If the NHD feature had its center in a NWI feature,  
7 it was removed from analysis. Next, remaining NHD features were erased from any remaining overlapping NWI  
8 features. Final selections of NHD and NWI features were used to erase any overlapping NW waterbodies.

9 The age of other constructed waterbody features was determined by assuming the waterbody was created the  
10 same year as a nearby (up to 100 m) NID feature. If no nearby NID feature was identified, it was assumed the  
11 waterbody was greater than 20-years old throughout the time series. No canal/ditch features were associated with  
12 a nearby dam, therefore all canal/ditch features were assumed to be greater than 20-years old through the time  
13 series.

14 For the year 2021, this Inventory contains 2,778,529 ha of freshwater ponds and 194,412 ha of canals and ditches  
15 in Flooded Land Remaining Flooded Land (Table 6-86). The surface area of freshwater ponds increased by 18,069  
16 Ha (0.6 percent) from 1990 to 2021 due to flooded lands matriculating from Land Converted to Flooded Land to  
17 Flooded Land Remaining Flooded Land. All canals and ditches were assumed to be greater than 20-years old  
18 throughout the time series, thus the surface area of these flooded lands is constant throughout the time series.

19 **Table 6-86: National Surface Area Totals in Flooded Land Remaining Flooded Land - Other**  
20 **Constructed Waterbodies (ha)**

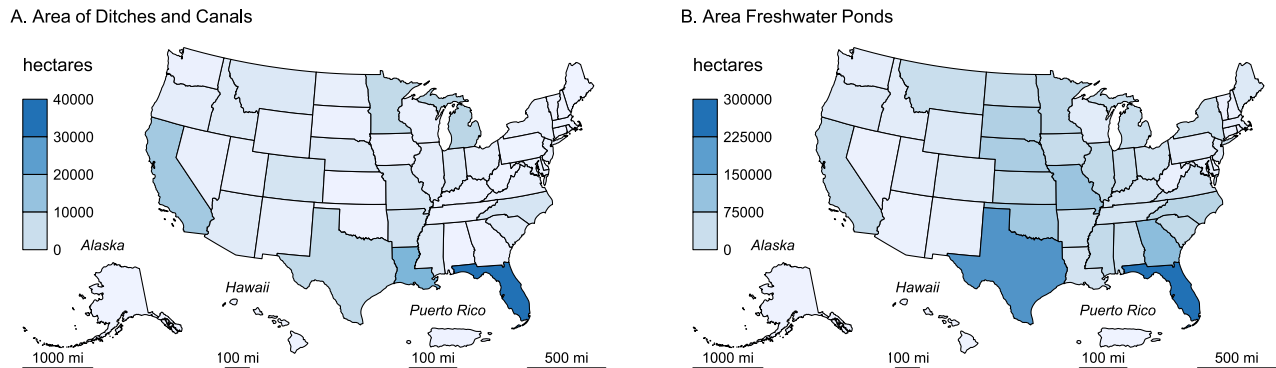
	1990	2005	2017	2018	2019	2020	2021
Canals and ditches	194,412	194,412	194,412	194,412	194,412	194,412	194,412
Freshwater ponds	2,760,460	2,775,096	2,777,613	2,777,854	2,778,136	2,778,394	2,778,529
<b>Total</b>	<b>2,954,871</b>	<b>2,969,508</b>	<b>2,972,024</b>	<b>2,972,266</b>	<b>2,972,548</b>	<b>2,972,805</b>	<b>2,972,941</b>

Note: Totals may not sum due to independent rounding.

21 Canals and ditches in the conterminous United States are most abundant in the Gulf Coast states and California  
22 (Figure 6-16A, Table 6-87). Florida contains 20 percent of all U.S. canal and ditch surface area, most of which were  
23 constructed in the early 1900s for drainage, flood protection, and water storage purposes. Freshwater ponds are

1 more widely distributed across the United States (Figure 6-16B, Table 6-88). Florida also has the greatest surface  
 2 area of freshwater ponds, equivalent to 9 percent of all freshwater pond surface area in the United States.

3 **Figure 6-16: 2021 Surface Area of A) Ditches and Canals and B) Freshwater Ponds in Flooded**  
 4 **Land Remaining Flooded Land (hectares)**



5  
 6 **Table 6-87: State Totals of Surface Area in Flooded Land Remaining Flooded Land— Canals**  
 7 **and Ditches (ha)**

State	1990	2005	2017	2018	2019	2020	2021
Alabama	228	228	228	228	228	228	228
Alaska	115	115	115	115	115	115	115
Arizona	3,536	3,536	3,536	3,536	3,536	3,536	3,536
Arkansas	7,349	7,349	7,349	7,349	7,349	7,349	7,349
California	16,725	16,725	16,725	16,725	16,725	16,725	16,725
Colorado	6,874	6,874	6,874	6,874	6,874	6,874	6,874
Connecticut	28	28	28	28	28	28	28
Delaware	130	130	130	130	130	130	130
District of Columbia	1	1	1	1	1	1	1
Florida	37,482	37,482	37,482	37,482	37,482	37,482	37,482
Georgia	352	352	352	352	352	352	352
Hawaii	538	538	538	538	538	538	538
Idaho	4,027	4,027	4,027	4,027	4,027	4,027	4,027
Illinois	2,489	2,489	2,489	2,489	2,489	2,489	2,489
Indiana	4,064	4,064	4,064	4,064	4,064	4,064	4,064
Iowa	867	867	867	867	867	867	867
Kansas	258	258	258	258	258	258	258
Kentucky	672	672	672	672	672	672	672
Louisiana	22,565	22,565	22,565	22,565	22,565	22,565	22,565
Maine	56	56	56	56	56	56	56
Maryland	967	967	967	967	967	967	967
Massachusetts	132	132	132	132	132	132	132
Michigan	12,897	12,897	12,897	12,897	12,897	12,897	12,897
Minnesota	11,235	11,235	11,235	11,235	11,235	11,235	11,235
Mississippi	3,936	3,936	3,936	3,936	3,936	3,936	3,936
Missouri	5,670	5,670	5,670	5,670	5,670	5,670	5,670
Montana	4,740	4,740	4,740	4,740	4,740	4,740	4,740
Nebraska	4,864	4,864	4,864	4,864	4,864	4,864	4,864
Nevada	1,587	1,587	1,587	1,587	1,587	1,587	1,587
New Hampshire	103	103	103	103	103	103	103
New Jersey	944	944	944	944	944	944	944
New Mexico	2,002	2,002	2,002	2,002	2,002	2,002	2,002
New York	925	925	925	925	925	925	925

North Carolina	6,321	6,321	6,321	6,321	6,321	6,321	6,321
North Dakota	1,819	1,819	1,819	1,819	1,819	1,819	1,819
Ohio	1,819	1,819	1,819	1,819	1,819	1,819	1,819
Oklahoma	278	278	278	278	278	278	278
Oregon	2,498	2,498	2,498	2,498	2,498	2,498	2,498
Pennsylvania	143	143	143	143	143	143	143
Puerto Rico	249	249	249	249	249	249	249
Rhode Island	1	1	1	1	1	1	1
South Carolina	3,226	3,226	3,226	3,226	3,226	3,226	3,226
South Dakota	703	703	703	703	703	703	703
Tennessee	442	442	442	442	442	442	442
Texas	11,152	11,152	11,152	11,152	11,152	11,152	11,152
Utah	1,875	1,875	1,875	1,875	1,875	1,875	1,875
Vermont	95	95	95	95	95	95	95
Virginia	1,306	1,306	1,306	1,306	1,306	1,306	1,306
Washington	1,125	1,125	1,125	1,125	1,125	1,125	1,125
West Virginia	28	28	28	28	28	28	28
Wisconsin	887	887	887	887	887	887	887
Wyoming	2,086	2,086	2,086	2,086	2,086	2,086	2,086
<b>Total</b>	<b>194,412</b>	<b>194,412</b>	<b>194,412</b>	<b>194,412</b>	<b>194,412</b>	<b>194,412</b>	<b>194,412</b>

1

2

**Table 6-88: State Totals of Surface Area in Flooded Land Remaining Flooded Land—  
Freshwater Ponds (ha)**

3

State	1990	2005	2017	2018	2019	2020	2021
Alabama	67,304	67,639	67,655	67,658	67,658	67,658	67,658
Alaska	2,449	2,456	2,456	2,456	2,456	2,456	2,456
Arizona	6,153	6,199	6,208	6,211	6,211	6,215	6,215
Arkansas	62,194	62,510	62,510	62,510	62,510	62,510	62,510
California	73,388	73,589	73,647	73,647	73,653	73,659	73,660
Colorado	30,871	31,143	31,157	31,167	31,167	31,168	31,168
Connecticut	13,001	13,055	13,058	13,058	13,058	13,058	13,058
Delaware	7,006	7,010	7,010	7,010	7,010	7,010	7,010
District of Columbia	22	22	22	22	22	22	22
Florida	261,027	261,150	261,191	261,191	261,195	261,195	261,195
Georgia	140,246	142,014	142,090	142,090	142,093	142,099	142,099
Hawaii	2,229	2,236	2,238	2,238	2,238	2,238	2,238
Idaho	20,678	20,780	20,781	20,781	20,781	20,781	20,781
Illinois	77,370	77,913	77,985	78,001	78,006	78,016	78,016
Indiana	63,427	63,918	64,003	64,006	64,011	64,011	64,011
Iowa	67,833	69,748	70,668	70,749	70,911	71,023	71,096
Kansas	87,134	89,134	89,189	89,202	89,209	89,215	89,231
Kentucky	44,788	45,164	45,189	45,189	45,189	45,189	45,189
Louisiana	48,756	48,884	48,889	48,889	48,889	48,894	48,894
Maine	30,645	30,694	30,703	30,703	30,703	30,703	30,703
Maryland	14,739	14,890	14,942	14,942	14,942	14,944	14,945
Massachusetts	17,327	17,386	17,425	17,432	17,438	17,444	17,446
Michigan	66,159	66,310	66,342	66,347	66,347	66,355	66,355
Minnesota	88,283	88,509	88,585	88,592	88,599	88,622	88,634
Mississippi	76,062	76,212	76,230	76,230	76,235	76,240	76,241
Missouri	125,673	125,955	125,970	125,970	125,971	125,972	125,972
Montana	65,130	65,484	65,506	65,506	65,510	65,510	65,510
Nebraska	105,741	106,970	107,124	107,177	107,189	107,211	107,219
Nevada	5,641	5,644	5,680	5,690	5,690	5,694	5,694
New Hampshire	8,744	8,769	8,780	8,780	8,780	8,780	8,781
New Jersey	25,780	25,782	25,782	25,782	25,782	25,782	25,782

New Mexico	13,020	13,025	13,025	13,025	13,025	13,025	13,025
New York	59,452	59,707	59,811	59,811	59,813	59,813	59,816
North Carolina	91,555	91,608	91,613	91,613	91,613	91,613	91,613
North Dakota	71,758	71,763	71,784	71,784	71,784	71,784	71,784
Ohio	49,844	50,177	50,340	50,351	50,365	50,391	50,406
Oklahoma	119,199	119,310	119,310	119,310	119,312	119,313	119,313
Oregon	29,950	29,958	29,960	29,967	29,967	29,967	29,967
Pennsylvania	24,724	24,740	24,749	24,749	24,749	24,749	24,749
Puerto Rico	851	851	851	851	851	851	851
Rhode Island	2,521	2,529	2,536	2,536	2,536	2,536	2,536
South Carolina	78,075	78,748	78,960	78,961	78,972	78,976	78,976
South Dakota	100,444	100,661	100,713	100,714	100,732	100,733	100,736
Tennessee	46,824	47,525	47,546	47,555	47,560	47,567	47,567
Texas	210,149	210,711	210,721	210,721	210,721	210,721	210,721
Utah	17,817	17,871	17,882	17,882	17,882	17,884	17,884
Vermont	5,692	5,705	5,709	5,709	5,709	5,709	5,709
Virginia	52,327	52,327	52,327	52,327	52,327	52,327	52,327
Washington	17,013	17,058	17,081	17,081	17,081	17,081	17,081
West Virginia	8,902	8,932	8,938	8,938	8,938	8,938	8,938
Wisconsin	22,037	22,181	22,189	22,189	22,189	22,189	22,189
Wyoming	32,508	32,540	32,554	32,554	32,554	32,554	32,554
<b>Total</b>	<b>2,760,460</b>	<b>2,775,096</b>	<b>2,777,613</b>	<b>2,777,854</b>	<b>2,778,136</b>	<b>2,778,394</b>	<b>2,778,529</b>

## 1 Uncertainty

2 Uncertainty in estimates of CH<sub>4</sub> emissions from other constructed waterbodies (ponds, canals/ditches) in Flooded  
3 Land Remaining Flooded Land (Table 6-89) are estimated using IPCC Approach 2 and include uncertainty in the  
4 default emission factors and the flooded land area inventory. Uncertainty in default emission factors is provided in  
5 the *2019 Refinement to the 2006 IPCC Guidelines* (IPCC 2019). Uncertainties in the spatial data include 1)  
6 uncertainty in area estimates from the NHD, NWI, and NW, and 2) uncertainty in the location of dams in the NID.  
7 Overall uncertainties in these spatial datasets are unknown, but uncertainty for remote sensing products is  
8 assumed to be ± 10 - 15 percent based on IPCC guidance (IPCC 2003). An uncertainty range of ± 15 percent for the  
9 flooded land area estimates is assumed and is based on expert judgment.

10 **Table 6-89: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> Emissions from Other**  
11 **Constructed Waterbodies in Flooded Land Remaining Flooded Land**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Canals and ditches	CH <sub>4</sub>	2.3	2.1	2.4	-5.3	7
Freshwater pond	CH <sub>4</sub>	14.2	14.2	14.2	-0.04	0.04
<b>Total</b>	<b>CH<sub>4</sub></b>	<b>16.5</b>	<b>16.4</b>	<b>16.7</b>	<b>-0.7</b>	<b>1</b>

<sup>a</sup>Range of emission estimates predicted by Monte Carlo stochastic simulation for a 95 percent confidence interval.

## 12 QA/QC and Verification

13 The National Hydrography Data (NHD) is managed by the USGS in collaboration many other federal, state, and  
14 local entities. Extensive QA/QC procedures are incorporated into the curation of the NHD. The National Inventory  
15 of Dams (NID) is maintained by the U.S. Army Corps of Engineers (USACE) in collaboration with the Federal  
16 Emergency Management Agency (FEMA) and state regulatory offices. USACE resolves duplicative and conflicting



1 data from 68 data sources, which helps obtain the more complete, accurate, and updated NID.<sup>79</sup> The Navigable  
2 Waterways (NW) dataset is part of the U.S. Department of Transportation (USDOT)/Bureau of Transportation  
3 Statistics (BTS) National Transportation Atlas Database (NTAD). The NW is a comprehensive network database of  
4 the nation's navigable waterways updated on a continuing basis. U.S. Fish and Wildlife Service is the principal  
5 agency in charge of wetland mapping including the National Wetlands Inventory (NWI). Quality and consistency of  
6 the Wetlands Layer is supported by federal wetlands mapping and classification standards, which were developed  
7 under the oversight of the Federal Geographic Data Committee (FGDC) with input by the FGDC Wetlands  
8 Subcommittee. This dataset is part of the FGDC Water-Inland Theme, which is co-chaired by the FWS and the U.S.  
9 Geological Survey.

10 General QA/QC procedures were applied to activity data, documentation, and emission calculations consistent  
11 with the U.S. *Inventory* QA/QC plan, which is in accordance with Vol. 1 Chapter 6 of *2006 IPCC Guidelines* (see  
12 Annex 8 for more details). All calculations were executed independently in Excel and R. Ten percent of state and  
13 national totals were randomly selected for comparison between the two approaches to ensure there were no  
14 computational errors.

## 15 Recalculations Discussion

16 The 1990 through 2021 Inventory uses the National Wetland Inventory (NWI) as the primary data source for  
17 flooded land surface area, whereas the 1990 through 2020 Inventory used the National Hydrography Data (NHD) as  
18 the primary geospatial data source. The NWI is far more detailed than the NHD and also includes Alaska, Hawaii,  
19 and Puerto Rico which were missing from 1990 through 2020 Inventory.

20 In addition, the EPA updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub>  
21 (from 25 to 28) to reflect the 100-year GWP provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). The  
22 previous Inventory used the 100-year GWP provided in the IPCC *Fourth Assessment Report* (AR4). This update was  
23 applied across the entire time series. Further discussion on this update and the overall impacts of updating the  
24 inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

25 The net effect of these recalculations was an average annual increase in CH<sub>4</sub> emission estimates from constructed  
26 waterbodies of 15.4 MMT CO<sub>2</sub> Eq., or a factor of 15.3, over the time series from 1990 to 2020 compared to the  
27 previous Inventory.

## 28 Planned Improvements

29 Default emission factors for canals/ditches were derived from a global dataset that include few measurements  
30 from U.S. systems. The EPA plans to conduct a literature survey to determine if sufficient data are available to  
31 derive a country-specific emission factor.

32 Canal and ditch surface area included here may overlap with ditches and canals included in CH<sub>4</sub> emission estimates  
33 for ditches draining inland organic soils (IPCC 2013, section 2.2.2.1). EPA plans to reconcile ditch/canal surface  
34 areas between the two managed land types (flooded land vs drained inland organic soils) in the next (i.e., 1990  
35 through 2022) Inventory.

36 Features less than 8 ha in the NW that were not identified as Canal/Ditch were defined as freshwater ponds. Many  
37 of these features are lock chambers connected to an upstream reservoir. These systems likely have emission rates  
38 more similar to a reservoir than freshwater pond. In the 1990 through 2022 Inventory these systems will be  
39 classified as reservoirs.

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<sup>79</sup> See <https://www.epa.gov/national-aquatic-resource-surveys/national-lakes-assessment-2017-quality-assurance-project-plan>.

## 6.9 Land Converted to Wetlands (CRF Source Category 4D2)

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### Emissions and Removals from Land Converted to Vegetated Coastal Wetlands

Land Converted to Vegetated Coastal Wetlands occurs as a result of inundation of unprotected low-lying coastal areas with gradual sea-level rise, flooding of previously drained land behind hydrological barriers, and through active restoration and creation of coastal wetlands through removal of hydrological barriers. Based upon NOAA C-CAP, wetlands are subdivided into freshwater (Palustrine) and saline (Estuarine) classes and further subdivided into emergent marsh, scrub shrub and forest classes. All other land categories (i.e., Forest Land, Cropland, Grassland, Settlements and Other Lands) are identified as having some area converting to Vegetated Coastal Wetlands. This inventory does not include Land Converted to Unvegetated Open Water Coastal Wetlands (see Planned Improvements section below). Between 1990 and 2021 the rate of annual transition for Land Converted to Vegetated Coastal Wetlands ranged from 0 to 2,650 ha per year, depending on the type of land converted.<sup>80</sup> Conversion rates from Forest Land were relatively consistent between 1990 and 2010 (ranging between 2,409 and 2,650 ha) and decreased to 625 ha starting in 2011; the majority of these conversions resulted in increases in the area of palustrine wetlands, which also initiates CH<sub>4</sub> emissions when lands are inundated with fresh water.<sup>81</sup> Little to no conversion of Cropland, Grassland, Settlement, or Other Lands to vegetated coastal wetlands occurred during the reporting period, with converted areas ranging from 0 to 25 ha per year.<sup>82</sup>

Conversion to coastal wetlands resulted in a biomass C stock loss of 0.1 MMT CO<sub>2</sub> Eq. (0.03 MMT C) in 2021 (Table 6-90 and Table 6-91). Loss of forest biomass through conversion of Forest Lands to Vegetated Coastal Wetlands is the primary driver behind biomass C stock change being a source rather than a sink across the time series. Conversion of Cropland, Grassland, Settlement and Other Lands result in a net increase in biomass stocks. Conversion of lands to vegetated coastal wetlands resulted in a DOM loss of 0.03 MMT CO<sub>2</sub> Eq. (0.008 MMT C) in 2021 (Table 6-90 and Table 6-91), which is driven by the loss of DOM when Forest Land is converted to Vegetated Coastal Wetlands. This is likely an overestimate of loss because wetlands inherently preserve dead organic material. Conversion of Cropland, Grassland, Settlement and Other Land results in a net increase in DOM. Across all time periods, soil C accumulation resulting from Lands Converted to Vegetated Coastal Wetlands is a carbon sink and has ranged between -0.15 and -0.3 MMT CO<sub>2</sub> Eq. (-0.04 and -0.07 MMT C; Table 6-90 and Table 6-91). Conversion of lands to coastal wetlands resulted in CH<sub>4</sub> emissions of 0.18 MMT CO<sub>2</sub> Eq. (6.4 kt CH<sub>4</sub>) in 2021 (Table 6-92). Methane emissions due to the conversion of Lands to Vegetated Coastal Wetlands are largely the result of Forest Land converting to palustrine emergent and scrub shrub coastal wetlands in warm temperate climates. Emissions were the highest between 1990 and 2001 (0.28 MMT CO<sub>2</sub> Eq., 10.0 kt CH<sub>4</sub>) and have continually

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<sup>80</sup> Data from C-CAP; see <https://coast.noaa.gov/digitalcoast/tools/>. Accessed September 2022.

<sup>81</sup> Currently, the C-CAP dataset categorizes coastal wetlands as either palustrine (fresh water) or estuarine (presence of saline water). This classification does not differentiate between estuarine wetlands with salinity  $\leq 18$  ppt (when methanogenesis begins to occur) and those that are  $>18$  ppt (where negligible to no CH<sub>4</sub> is produced); therefore, it is not possible at this time to account for CH<sub>4</sub> emissions from estuarine wetlands in the Inventory.

<sup>82</sup> At the present stage of Inventory development, Coastal Wetlands are not explicitly shown in the Land Representation analysis while work continues harmonizing data from NOAA's Coastal Change Analysis Program (C-CAP) with NRI, FIA and NLDC data used to compile the Land Representation (NOAA OCM 2020).

1 decreased to current levels. This decrease was driven by a reduction in the rate of conversion of forest land to  
 2 palustrine scrub-shrubs and emergent wetlands.

3 **Table 6-90: Net CO<sub>2</sub> Flux from C Stock Changes in Land Converted to Vegetated Coastal**  
 4 **Wetlands (MMT CO<sub>2</sub> Eq.)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Vegetated Coastal</b>							
<b>Wetlands</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Forest Land Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	<b>0.49</b>	<b>0.50</b>	<b>(0.01)</b>	<b>+</b>	<b>0.01</b>	<b>0.02</b>	<b>0.03</b>
Biomass C Stock	0.62	0.62	0.13	0.13	0.13	0.13	0.13
Dead Organic Matter C Flux	0.11	0.12	0.03	0.03	0.03	0.03	0.03
Soil C Stock	(0.23)	(0.24)	(0.17)	(0.16)	(0.15)	(0.14)	(0.13)
<b>Grassland Converted to Vegetated Coastal</b>							
<b>Wetlands</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Other Land Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	<b>(0.03)</b>	<b>(0.03)</b>	<b>(0.02)</b>	<b>(0.02)</b>	<b>(0.02)</b>	<b>(0.02)</b>	<b>(0.02)</b>
Biomass C Stock	(0.01)	(0.02)	(0.01)	(0.01)	(0.01)	(0.01)	(0.01)
Soil C Stock	(0.01)	(0.02)	(0.02)	(0.02)	(0.02)	(0.02)	(0.02)
<b>Settlements Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Total Biomass Flux</b>	<b>0.60</b>	<b>0.60</b>	<b>0.12</b>	<b>0.12</b>	<b>0.12</b>	<b>0.12</b>	<b>0.12</b>
<b>Total Dead Organic Matter Flux</b>	<b>0.11</b>	<b>0.12</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>
<b>Total Soil C Flux</b>	<b>(0.25)</b>	<b>(0.25)</b>	<b>(0.18)</b>	<b>(0.18)</b>	<b>(0.17)</b>	<b>(0.16)</b>	<b>(0.15)</b>
<b>Total Flux</b>	<b>0.46</b>	<b>0.47</b>	<b>(0.03)</b>	<b>(0.02)</b>	<b>(0.01)</b>	<b>(+)</b>	<b>0.01</b>

+ Absolute value does not exceed 0.005 MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

5 **Table 6-91: Net CO<sub>2</sub> Flux from C Stock Changes in Land Converted to Vegetated Coastal**  
 6 **Wetlands (MMT C)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Vegetated Coastal</b>							
<b>Wetlands</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Forest Land Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	<b>0.13</b>	<b>0.14</b>	<b>(+)</b>	<b>+</b>	<b>+</b>	<b>0.006</b>	<b>0.01</b>
Biomass C Stock	0.17	0.17	0.04	0.04	0.04	0.04	0.04
Dead Organic Matter C Flux	0.03	0.03	0.01	0.01	0.01	0.01	0.01
Soil C Stock	(0.06)	(0.06)	(0.05)	(0.04)	(0.04)	(0.04)	(0.04)
<b>Grassland Converted to Vegetated Coastal</b>							
<b>Wetlands</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Other Land Converted to Vegetated</b>							
<b>Coastal Wetlands</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(0.01)</b>
Biomass C Stock	(+)	(0.005)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Settlements Converted to Vegetated</b>	(+)	(+)	(+)	(+)	(+)	(+)	(+)

<b>Coastal Wetlands</b>							
Biomass C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
Soil C Stock	(+)	(+)	(+)	(+)	(+)	(+)	(+)
<b>Total Biomass Flux</b>	<b>0.16</b>	<b>0.16</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>	<b>0.03</b>
<b>Total Dead Organic Matter Flux</b>	<b>0.03</b>	<b>0.03</b>	<b>0.01</b>	<b>0.01</b>	<b>0.01</b>	<b>0.01</b>	<b>0.01</b>
<b>Total Soil C Flux</b>	<b>(0.07)</b>	<b>(0.07)</b>	<b>(0.05)</b>	<b>(0.05)</b>	<b>(0.05)</b>	<b>(0.04)</b>	<b>(0.04)</b>
<b>Total Flux</b>	<b>0.13</b>	<b>0.13</b>	<b>(0.01)</b>	<b>(0.01)</b>	<b>(+)</b>	<b>(+)</b>	<b>+</b>

+ Absolute value does not exceed 0.005 MMT C.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

1 **Table 6-92: CH<sub>4</sub> Emissions from Land Converted to Vegetated Coastal Wetlands (MMT CO<sub>2</sub>**  
2 **Eq. and kt CH<sub>4</sub>)**

Land Use/Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to Vegetated Coastal Wetlands</b>							
CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	+	+	+	+	+	+	+
CH <sub>4</sub> Emissions (kt CH <sub>4</sub> )	+	0.01	0.04	0.04	0.04	0.05	0.05
<b>Forest Land Converted to Vegetated Coastal Wetlands</b>							
CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	0.28	0.27	0.20	0.19	0.18	0.17	0.16
CH <sub>4</sub> Emissions (kt CH <sub>4</sub> )	9.88	9.74	7.22	6.85	6.48	6.10	5.76
<b>Grassland Converted to Vegetated Coastal Wetlands</b>							
CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	+	+	+	+	+	+	+
CH <sub>4</sub> Emissions (kt CH <sub>4</sub> )	0.01	0.01	0.06	0.07	0.07	0.08	0.08
<b>Other Land Converted to Vegetated Coastal Wetlands</b>							
CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	+	+	0.01	0.01	0.01	0.01	0.01
CH <sub>4</sub> Emissions (kt CH <sub>4</sub> )	0.08	0.14	0.40	0.43	0.47	0.50	0.52
<b>Settlements Converted to Vegetated Coastal Wetlands</b>							
CH <sub>4</sub> Emissions (MMT CO <sub>2</sub> Eq.)	+	+	+	+	+	+	+
CH <sub>4</sub> Emissions (kt CH <sub>4</sub> )	0.01	+	+	+	+	+	+
<b>Total CH<sub>4</sub> Emissions (MMT CO<sub>2</sub> Eq.)</b>	<b>0.28</b>	<b>0.28</b>	<b>0.22</b>	<b>0.21</b>	<b>0.20</b>	<b>0.19</b>	<b>0.18</b>
<b>Total CH<sub>4</sub> Emissions (kt CH<sub>4</sub>)</b>	<b>9.98</b>	<b>9.91</b>	<b>7.72</b>	<b>7.39</b>	<b>7.06</b>	<b>6.73</b>	<b>6.41</b>

+ Absolute value does not exceed 0.005 MMT CO<sub>2</sub> Eq. or 0.005 kt CH<sub>4</sub>.

Note: Totals may not sum due to independent rounding.

### 3 Methodology and Time-Series Consistency

4 The following section provides a description of the methodology used to estimate changes in biomass, dead  
5 organic matter and soil C stocks and CH<sub>4</sub> emissions for Land Converted to Vegetated Coastal Wetlands.  
6 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
7 through 2021.

#### 8 *Biomass Carbon Stock Changes*

9 Biomass C stocks for Land Converted to Vegetated Coastal Wetlands are estimated for palustrine and estuarine  
10 marshes for land below the elevation of high tides (taken to be mean high water spring tide elevation) and as far  
11 seawards as the extent of intertidal vascular plants within the U.S. Land Representation according to the national  
12 LiDAR dataset, the national network of tide gauges and land use histories recorded in the 1996, 2001, 2005, 2011,  
13 and 2016 NOAA C-CAP surveys (NOAA OCM 2020). Both federal and non-federal lands are represented.  
14 Delineating Vegetated Coastal Wetlands from ephemeral flooded upland Grasslands represents a particular  
15 challenge in remote sensing. Moreover, at the boundary between wetlands and uplands, which may be gradual on  
16 low lying coastlines, the presence of wetlands may be ephemeral depending upon weather and climate cycles and

1 as such, impacts on the emissions and removals will vary over these time frames. Trends in land cover change are  
2 extrapolated to 1990 and 2021 from these datasets using the C-CAP change data closest in date to a given year.  
3 Biomass is not sensitive to soil organic content. Aboveground biomass C stocks for non-forested coastal wetlands  
4 are derived from a national assessment combining field plot data and aboveground biomass mapping by remote  
5 sensing (Byrd et al. 2017; Byrd et al. 2018; Byrd et al. 2020). Aboveground biomass C removal data for all  
6 subcategories are not available and thus assumptions were applied using expert judgment about the most  
7 appropriate assignment to a disaggregation of a community class. The aboveground biomass C stock for estuarine  
8 forested wetlands (dwarf mangroves that are not classified as forests due to their stature) is derived from a meta-  
9 analysis by Lu and Megonigal (2017<sup>83</sup>). Root to shoot ratios from the *Wetlands Supplement* were used to account  
10 for belowground biomass, which were multiplied by the aboveground C stock (IPCC 2014) and summed with  
11 aboveground biomass to obtain total biomass carbon stocks. Aboveground biomass C stocks for Forest Land,  
12 Cropland, and Grassland that are lost with the conversion to Vegetated Coastal Wetlands were derived from Tier 1  
13 default values (IPCC 2006; IPCC 2019). Biomass C stock changes are calculated by subtracting the biomass C stock  
14 values of each land-use category (i.e., Forest Land, Cropland, and Grassland) from those of Vegetated Coastal  
15 Wetlands in each climate zone and multiplying that value by the corresponding C-CAP derived area gained that  
16 year in each climate zone. The difference between the stocks is reported as the stock change under the  
17 assumption that the change occurred in the year of the conversion. The total coastal wetland biomass C stock  
18 change is accounted for during the year of conversion; therefore, no interannual changes are calculated during the  
19 remaining years it is in the category.

## 20 *Dead Organic Matter*

21 Dead organic matter (DOM) C stocks, which include litter and dead wood stocks, are accounted for in subtropical  
22 estuarine forested wetlands for Lands Converted to Vegetated Coastal Wetlands across all years. Tier 1 estimates  
23 of mangrove DOM C stocks were used for subtropical estuarine forested wetlands (IPCC 2014). Neither Tier 1 or 2  
24 data on DOM are currently available for either palustrine or estuarine scrub/shrub wetlands for any climate zone  
25 or estuarine forested wetlands in climates other than subtropical climates. Tier 1 DOM C stocks for Forest Land  
26 converted to Vegetated Coastal Wetlands were derived from IPCC (2019) to account for the loss of DOM that  
27 occurs with conversion. Changes in DOM are assumed to be negligible for other land-use conversions (i.e., other  
28 than Forest Land) to coastal wetlands based on the Tier 1 method in IPCC (2006). Trends in land cover change are  
29 derived from the NOAA C-CAP dataset and extrapolated to cover the entire 1990 through 2021 time series. Dead  
30 organic matter removals are calculated by multiplying the C-CAP derived area gained that year by the difference  
31 between Tier 1 DOM C stocks for Vegetated Coastal Wetlands and Forest Land. The difference between the stocks  
32 is reported as the stock change under the assumption that the change occurred in the year of the conversion. The  
33 coastal wetland DOM stock is assumed to be in steady state once established in the year of conversion; therefore,  
34 no interannual changes are calculated.

## 35 *Soil Carbon Stock Changes*

36 Soil C removals are estimated for Land Converted to Vegetated Coastal Wetlands across all years. Soil C stock  
37 changes, stratified by climate zones and wetland classes, are derived from a synthesis of peer-reviewed literature  
38 (Lynch 1989; Orson et al. 1990; Kearny & Stevenson 1991; Roman et al. 1997; Craft et al. 1998; Orson et al. 1998;  
39 Merrill 1999; Hussein et al. 2004; Church et al. 2006; Koster et al. 2007; Callaway et al. 2012 a & b; Bianchi et al.  
40 2013; Crooks et al. 2014; Weston et al. 2014; Villa & Mitsch 2015; Marchio et al. 2016; Noe et al. 2016). To  
41 estimate soil C stock changes, no differentiation is made for soil type (i.e., mineral, organic). Soil C removal data for  
42 all subcategories are not available and thus assumptions were applied using expert judgment about the most  
43 appropriate assignment to a disaggregation of a community class.

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<sup>83</sup> See <https://github.com/Smithsonian/Coastal-Wetland-NGGI-Data-Public>; accessed October 2021.

1 As per IPCC (2014) guidance, Land Converted to Vegetated Coastal Wetlands is assumed to remain in this category  
 2 for up to 20 years before transitioning to Vegetated Coastal Wetlands Remaining Vegetated Coastal Wetlands. Tier  
 3 2 level estimates of soil C stock changes associated with annual soil C accumulation from Land Converted to  
 4 Vegetated Coastal Wetlands were developed using country-specific soil C removal factors multiplied by activity  
 5 data of land area for Land Converted to Vegetated Coastal Wetlands for a given year in addition to the previous  
 6 19-year cumulative area. Guidance from the *Wetlands Supplement* allows for the rate of soil C accumulation to be  
 7 instantaneously equivalent to that in natural settings and that soil C accumulation is initiated when natural  
 8 vegetation becomes established; this is assumed to occur in the first year of conversion. No loss of soil C as a result  
 9 of land conversion to coastal wetlands is assumed to occur. Since the C-CAP coastal wetland area dataset begins in  
 10 1996, the area converted prior to 1996 is assumed to be the same as in 1996. Similarly, the coastal wetland area  
 11 data for 2017 through 2021 is assumed to be the same as in 2016. The methodology follows Eq. 4.7, Chapter 4 of  
 12 the *IPCC Wetlands Supplement* (IPCC 2014) and is applied to the area of Land Converted to Vegetated Coastal  
 13 Wetlands on an annual basis.

14 **Soil Methane Emissions**

15 Tier 1 estimates of CH<sub>4</sub> emissions for Land Converted to Vegetated Coastal Wetlands are derived from the same  
 16 wetland map used in the analysis of wetland soil C fluxes for palustrine wetlands, and are produced from C-CAP,  
 17 LiDAR and tidal data, in combination with default CH<sub>4</sub> emission factors provided in Table 4.14 of the *IPCC Wetlands*  
 18 *Supplement*. The methodology follows Eq. 4.9, Chapter 4 of the *IPCC Wetlands Supplement*. Because Land  
 19 Converted to Vegetated Coastal Wetlands is held in this category for up to 20 years before transitioning to  
 20 Vegetated Coastal Wetlands Remaining to Vegetated Coastal Wetlands, CH<sub>4</sub> emissions in a given year represent  
 21 the cumulative area held in this category for that year and the prior 19 years.

22 **Uncertainty**

23 Underlying uncertainties in estimates of soil C removal factors, biomass change, DOM, and CH<sub>4</sub> emissions include  
 24 error in uncertainties associated with Tier 2 literature values of soil C removal estimates, biomass stocks, DOM,  
 25 and IPCC default CH<sub>4</sub> emission factors, uncertainties linked to interpretation of remote sensing data, as well as  
 26 assumptions that underlie the methodological approaches applied.

27 Uncertainty specific to coastal wetlands include differentiation of palustrine and estuarine community classes,  
 28 which determines what flux is applied. Because mean soil and biomass C removal for each available community  
 29 class are in a fairly narrow range, the same overall uncertainty was assigned to each, respectively (i.e., applying  
 30 approach for asymmetrical errors, the largest uncertainty for any soil C stock value should be applied in the  
 31 calculation of error propagation; IPCC 2000). Uncertainties for CH<sub>4</sub> flux are the Tier 1 default values reported in the  
 32 *Wetlands Supplement*. Overall uncertainty of the NOAA C-CAP remote sensing product is 15 percent. This is in the  
 33 range of remote sensing methods (±10 to 15 percent; IPCC 2003). However, there is significant uncertainty in  
 34 salinity ranges for tidal and non-tidal estuarine wetlands and activity data used to estimate the CH<sub>4</sub> flux (e.g.,  
 35 delineation of an 18 ppt boundary), which will need significant improvement to reduce uncertainties. The  
 36 combined uncertainty was calculated by summing the squared uncertainty for each individual source (C-CAP, soil,  
 37 biomass, and DOM) and taking the square root of that total.

38 Uncertainty estimates are presented in Table 6-93 for each carbon pool and the CH<sub>4</sub> emissions. The combined  
 39 uncertainty is 42.6 percent above and below the estimate of 0.17 MMT CO<sub>2</sub> Eq. In 2021, the total flux was 0.17  
 40 MMT CO<sub>2</sub> Eq., with lower and upper estimates of 0.10 and 0.24 MMT CO<sub>2</sub> Eq.

41 **Table 6-93: Approach 1 Quantitative Uncertainty Estimates for C Stock Changes occurring**  
 42 **within Land Converted to Vegetated Coastal Wetlands in 2021 (MMT CO<sub>2</sub> Eq. and Percent)**

Source	2021 Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Estimate <sup>a</sup> (MMT CO <sub>2</sub> Eq.) (%)			
		Lower	Upper	Lower	Upper

		<b>Bound</b>	<b>Bound</b>	<b>Bound</b>	<b>Bound</b>
Biomass C Stock Flux	0.12	0.1	0.15	-20.0%	20.0%
Dead Organic Matter Flux	0.03	0.02	0.03	-25.8%	25.8%
Soil C Stock Flux	(0.15)	(0.2)	(0.1)	-18.7%	18.7%
Methane Emissions	0.18	0.13	0.18	-29.9%	29.9%
<b>Total Uncertainty</b>	<b>0.18</b>	<b>0.11</b>	<b>0.26</b>	<b>-42.6%</b>	<b>42.6%</b>

<sup>a</sup> Range of flux estimates based on error propagation at 95 percent confidence interval.

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

## 1 QA/QC and Verification

2 NOAA provided National LiDAR Dataset, tide data, and C-CAP land cover and land cover change mapping, all of  
3 which are subject to agency internal mandatory QA/QC assessment (McCombs et al. 2016). QA/QC and verification  
4 of soil C stock dataset has been provided by the Smithsonian Environmental Research Center and Coastal Wetland  
5 Inventory team leads. Biomass C stocks are derived from peer-review literature, reviewed by U.S. Geological  
6 Survey prior to publishing, by the peer-review process during publishing, and by the Coastal Wetland Inventory  
7 team leads prior to inclusion in the inventory and from IPCC reports. As a QC step, a check was undertaken  
8 confirming that Coastal Wetlands recognized by C-CAP represent a subset of Wetlands recognized by the NRI for  
9 marine coastal states. A team of two evaluated and verified there were no computational errors within the  
10 calculation worksheets. Soil C stock, emissions/removals data are based upon peer-reviewed literature and CH<sub>4</sub>  
11 emission factors are derived from the *Wetlands Supplement*.

## 12 Recalculations Discussion

13 An update was made to the activity data to remove any estuarine forested wetland areas that were located  
14 outside of states classified as subtropical since, states classified as wet temperate, cold temperate and  
15 mediterranean climate zones fall under the category of *Land Converted to Forest Land*.

16 In addition, EPA updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub> (from  
17 25 to 28) to reflect the 100-year GWP values provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC 2013). The  
18 previous Inventory used 100-year GWP values provided in the IPCC *Fourth Assessment Report (AR4)*. This update  
19 was applied across the entire time series. Further discussion on this update and the overall impacts of updating the  
20 Inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

21 As a result of these changes, the recalculations resulted in net average increases to emissions totals ranging from  
22 0.03 MMT CO<sub>2</sub> Eq. to 0.02 MMT CO<sub>2</sub> Eq. across the 1990 through 2020 time series compared to the previous  
23 Inventory.

## 24 Planned Improvements

25 Administered by the Smithsonian Environmental Research Center, the Coastal Wetland Carbon Research  
26 Coordination Network has established a U.S. country-specific database of soil C stocks and biomass for coastal  
27 wetlands.<sup>84</sup> This dataset will be updated periodically. Refined error analysis combining land cover change and C  
28 stock estimates will be provided as new data are incorporated. Through this work, a model is in development to  
29 represent changes in soil C stocks and will be incorporated into the next (i.e., 2024) Inventory submission.

30 Currently, the only coastal wetland conversion that is reported in the Inventory is Lands Converted to Vegetated  
31 Coastal Wetlands. The next (2024) submission will include C stock change data for Lands Converted to  
32 Unvegetated Open Water Coastal Wetlands.

<sup>84</sup> See <https://serc.si.edu/coastalcarbon>; accessed August 2021.

## 1 Land Converted to Flooded Land

2 Flooded lands are defined as water bodies where human activities have 1) caused changes in the amount of  
3 surface area covered by water, typically through water level regulation (e.g., constructing a dam), 2) waterbodies  
4 where human activities have changed the hydrology of existing natural waterbodies thereby altering water  
5 residence times and/or sedimentation rates, in turn causing changes to the natural production of greenhouse  
6 gases, and 3) waterbodies that have been created by excavation, such as canals, ditches and ponds (IPCC 2019).  
7 Flooded lands include waterbodies with seasonally variable degrees of inundation but would be expected to retain  
8 some inundated area throughout the year under normal conditions.

9 Flooded lands are broadly classified as “reservoirs” or “other constructed waterbodies” (IPCC 2019). Reservoirs are  
10 defined as flooded land greater than 8 ha and includes the seasonally flooded land on the perimeter of  
11 permanently flooded land (i.e., inundation areas). IPCC guidance (IPCC 2019) provides default emission factors for  
12 reservoirs and several types of “other constructed waterbodies” including freshwater ponds and canals/ditches.

13 Land that has been flooded for 20 years or greater is defined as Flooded Land Remaining Flooded Land and land  
14 flooded for less than 20 years is defined as Land Converted to Flooded Land. The distinction is based on literature  
15 reports that CO<sub>2</sub> and CH<sub>4</sub> emissions are high immediately following flooding as labile organic matter is rapidly  
16 degraded but decline to a steady background level approximately 20 years after flooding (Abril et al. 2005, Barros  
17 et al. 2011, Teodoru et al. 2012). Both CO<sub>2</sub> and CH<sub>4</sub> emissions are estimated for Land Converted to Flooded Land.

18 Nitrous oxide emissions from flooded lands are largely related to inputs of organic or inorganic nitrogen from the  
19 watershed. These inputs from runoff/leaching/deposition are largely driven by anthropogenic activities such as  
20 land-use change, wastewater disposal or fertilizer application in the watershed or application of fertilizer or feed in  
21 aquaculture. These emissions are not included here to avoid double-counting N<sub>2</sub>O emissions which are captured in  
22 other source categories, such as indirect N<sub>2</sub>O emissions from managed soils (Section 5.4, Agricultural Soil  
23 Management) and wastewater management (Section 7.2, Wastewater Treatment and Discharge).

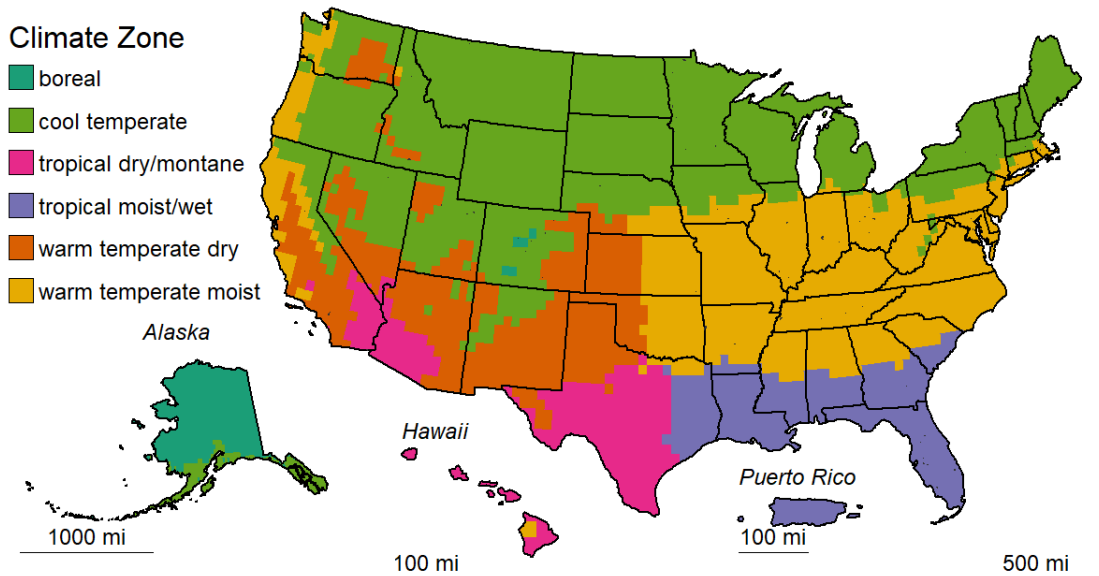
## 24 Emissions from Land Converted to Flooded Land–Reservoirs

25 Reservoirs are designed to store water for a wide range of purposes including hydropower, flood control, drinking  
26 water, and irrigation. The permanently wetted portion of reservoirs are typically surrounded by periodically  
27 inundated land referred to as a “drawdown zone” or “inundation area.” Greenhouse gas emissions from  
28 inundation areas are considered significant and similar per unit area to the emissions from the water surface and  
29 are therefore included in the total reservoir surface area when estimating greenhouse gas emissions from flooded  
30 land. Lakes converted into reservoirs without substantial changes in water surface area or water residence times  
31 are not considered to be managed flooded land (see Area Estimates below) (IPCC 2019).

32 In 2021, the United States and Puerto Rico contained 63,804 hectares of reservoir surface area in Land Converted  
33 to Flooded Land (see Methodology and Time-Series Consistency below for calculation details) distributed across all  
34 six of the aggregated climate zones used to define flooded land emission factors (Figure 6-17) (IPCC 2019).



1 **Figure 6-17: U.S. Reservoirs (black polygons) in the Land Converted to Flooded Land**  
 2 **Category in 2021**



3  
 4 Note: Colors represent climate zone used to derive IPCC default emission factors. Reservoirs (indicated by black  
 5 polygons) are sparsely distributed across United States, but can be seen in IL, IN, and OH in this image.

6 Methane and CO<sub>2</sub> are produced in reservoirs through the natural breakdown of organic matter. Per unit area  
 7 emission rates tend to scale positively with temperature and system productivity (i.e., abundance of algae).  
 8 Greenhouse gases produced in reservoirs can be emitted directly from the water surface and inundation areas or  
 9 as greenhouse gas-enriched water passes through the dam and the downstream river. Sufficient information exists  
 10 to estimate downstream CH<sub>4</sub> emissions using Tier 1 IPCC guidance (IPCC 2019), but no guidance is provided for  
 11 downstream CO<sub>2</sub> emissions. Table 6-94 and Table 6-95 below summarize nationally aggregated CH<sub>4</sub> and CO<sub>2</sub>  
 12 emissions from reservoirs in Land Converted to Flooded Land. The decrease in CO<sub>2</sub> and CH<sub>4</sub> emissions through the  
 13 time series is attributable to reservoirs matriculating from the Land Converted to Flooded Land category into the  
 14 Flooded Land Remaining Flooded Land Category. Emissions have been stable since 2005, reflecting the low rate of  
 15 new flooded land creation over the past 16 years.

16 **Table 6-94: CH<sub>4</sub> Emissions from Land Converted to Flooded Land - Reservoirs (MMT CO<sub>2</sub> Eq.)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Reservoirs</b>							
Surface Emissions	0.9	0.2	0.2	0.2	0.2	0.2	0.2
Downstream Emissions	0.1	+	+	+	+	+	+
<b>Total</b>	<b>1.0</b>	<b>0.2</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.2</b>	<b>0.2</b>

+Indicates values less than 0.05 MMT CO<sub>2</sub>  
 Note: Totals may not sum due to independent rounding

17  
 18 **Table 6-95: CH<sub>4</sub> Emissions from Land Converted to Flooded Land—Reservoirs (kt CH<sub>4</sub>)**

Source	1990	2005	2017	2018	2019	2020	2021
<b>Reservoirs</b>							
Surface Emissions	34	8	8	8	8	6	6
Downstream Emissions	3	1	1	1	1	1	1
<b>Total</b>	<b>37</b>	<b>9</b>	<b>9</b>	<b>9</b>	<b>9</b>	<b>6</b>	<b>6</b>

1 **Table 6-96: CO<sub>2</sub> Emissions from Land Converted to Flooded Land—Reservoirs (MMT CO<sub>2</sub>)**

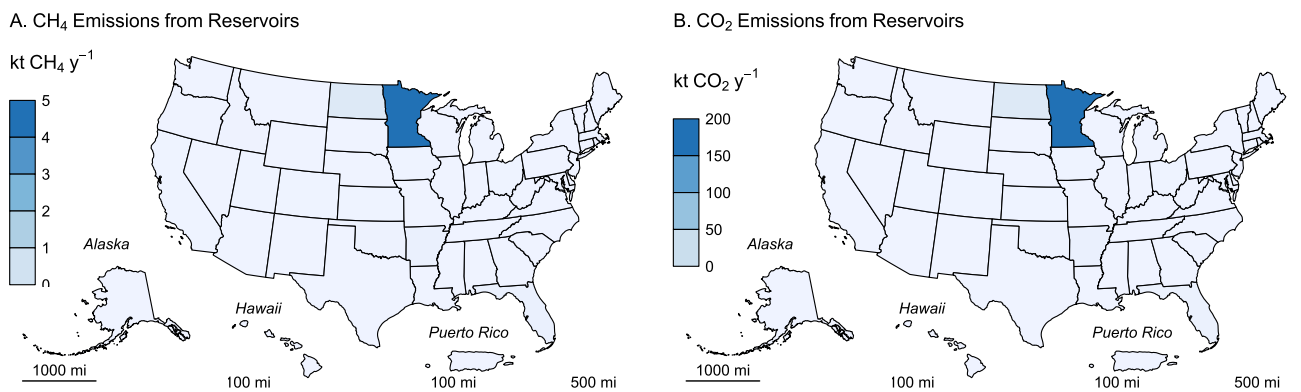
Source	1990	2005	2017	2018	2019	2020	2021
Reservoir	1.3	0.3	0.4	0.4	0.4	0.2	0.2

2 **Table 6-97: CO<sub>2</sub> Emissions from Land Converted to Flooded Land—Reservoirs (MMT C)**

Source	1990	2005	2017	2018	2019	2020	2021
Reservoir	0.4	0.1	0.1	0.1	0.1	0.1	0.1

3 Methane and CO<sub>2</sub> emissions from reservoirs in Minnesota were 8-fold greater than from any other state (Figure  
 4 6-18 and Table 6-98). This is attributed to ten reservoirs created in Minnesota after 2001 which impound 52,252 ha  
 5 of water, 99 percent of which is located in Mille Lacs Lake. North Dakota is the second largest source of CO<sub>2</sub> and  
 6 CH<sub>4</sub> from reservoirs in Land Converted to Flooded Land. Ninety-five percent of Land Converted to Flooded Land  
 7 reservoir surface area in North Dakota is attributed to Devils Lake. Both Mille Lacs and Devils Lakes are natural  
 8 waterbodies provisioned with dams for water level management.

9 **Figure 6-18: 2021 A) CH<sub>4</sub> and B) CO<sub>2</sub> Emissions from U.S. Reservoirs in Land Converted to**  
 10 **Flooded Land**



11  
 12 **Table 6-98: Methane and CO<sub>2</sub> Emissions from Reservoirs in Land Converted to Flooded Land**  
 13 **in 2021 (kt CH<sub>4</sub>; kt CO<sub>2</sub>)**

State	CH <sub>4</sub>			CO <sub>2</sub> <sup>a</sup>
	Surface	Downstream	Total	Surface
Alabama	0	0	0	0
Alaska	0	0	0	0
Arizona	0	0	0	0
Arkansas	+	+	+	6
California	+	+	+	+
Colorado	+	+	+	1
Connecticut	+	+	+	+
Delaware	0	0	0	0
District of Columbia	0	0	0	0
Florida	+	+	+	5
Georgia	+	+	+	+
Hawaii	0	0	0	0
Idaho	+	+	+	2

Illinois	+	+	+	+
Indiana	+	+	+	+
Iowa	+	+	+	1
Kansas	+	+	+	1
Kentucky	0	0	0	0
Louisiana	0	0	0	0
Maine	+	+	+	+
Maryland	+	+	+	+
Massachusetts	+	+	+	4
Michigan	+	+	+	+
Minnesota	4	+	5	195
Mississippi	0	0	0	0
Missouri	0	0	0	0
Montana	+	+	+	+
Nebraska	+	+	+	+
Nevada	+	+	+	+
New Hampshire	0	0	0	0
New Jersey	0	0	0	0
New Mexico	+	+	+	+
New York	+	+	+	+
North Carolina	0	0	0	0
North Dakota	1	+	1	23
Ohio	+	+	+	1
Oklahoma	+	+	+	2
Oregon	0	0	0	0
Pennsylvania	+	+	+	+
Puerto Rico	0	0	0	0
Rhode Island	0	0	0	0
South Carolina	0	0	0	0
South Dakota	+	+	+	+
Tennessee	+	+	+	1
Texas	+	+	+	+
Utah	+	+	+	1
Vermont	0	0	0	0
Virginia	0	0	0	0
Washington	+	+	+	+
West Virginia	0	0	0	0
Wisconsin	+	+	+	+
Wyoming	+	+	+	+

+ Indicates values greater than zero and less than 0.5 kt

<sup>a</sup>CO<sub>2</sub>: Only surface CO<sub>2</sub> emissions are included in the Inventory

## 1 Methodology and Time-Series Consistency

2 Estimates of CH<sub>4</sub> and CO<sub>2</sub> emissions for reservoirs in Land Converted to Flooded Land follow the Tier 1  
3 methodology in the IPCC guidance (IPCC 2019). All calculations are performed at the state level and summed to  
4 obtain national estimates. Emissions from the surface of these flooded lands are calculated as the product of  
5 flooded land surface area and a climate-specific emission factor (Table 6-99). Downstream CH<sub>4</sub> emissions are  
6 calculated as 9 percent of the surface CH<sub>4</sub> emission (Tier 1 default). The IPCC guidance (IPCC 2019) does not  
7 address downstream CO<sub>2</sub> emissions, presumably because there are insufficient data in the literature to estimate  
8 this emission pathway.

9 The IPCC default surface emission factors are derived from model-predicted (G-res model, Prairie et al. 2017)  
10 emission rates for all reservoirs in the Global Reservoir and Dam (GRanD) database (Lehner et al. 2011). Predicted  
11 emission rates were aggregated by the 11 IPCC climate zones (IPCC 2019, Table 7A.2) which were collapsed into six  
12 climate zones using a regression tree approach. All six aggregated climate zone are present in the United States.

1 **Table 6-99: IPCC (2019) Default CH<sub>4</sub> and CO<sub>2</sub> Emission Factors for Surface Emissions from**  
 2 **Reservoirs in Land Converted to Flooded Land**

Climate	Surface emission factor	
	MT CH <sub>4</sub> ha <sup>-1</sup> y <sup>-1</sup>	MT CO <sub>2</sub> ha <sup>-1</sup> y <sup>-1</sup>
Boreal	0.0277	3.45
Cool Temperate	0.0847	3.74
Warm Temperate Dry	0.1956	6.23
Warm Temperate Moist	0.1275	5.35
Tropical Dry/Montane	0.3923	10.82
Tropical Moist/Wet	0.2516	10.16

Note: downstream CH<sub>4</sub> emissions are calculated as 9 percent of surface emissions.  
 Downstream emissions are not calculated for CO<sub>2</sub>.

### 3 *Area Estimates*

4 U.S. reservoirs were identified from the NHDWaterbody layer in the National Hydrography Dataset Plus V2  
 5 (NHD),<sup>85</sup> the National Inventory of Dams (NID),<sup>86</sup> the National Wetlands Inventory (NWI),<sup>87</sup> and the Navigable  
 6 Waterways (NW) network.<sup>88</sup> The NHD only covers the conterminous U.S., whereas the NID, NW and NWI also  
 7 include Alaska, Hawaii, and Puerto Rico. The following paragraphs present the criteria used to identify other  
 8 constructed waterbodies in the NHD, NW, and NWI.

9 Waterbodies in the NHDWaterbody layer that were less than or equal to 20-years old, greater than or equal to 8  
 10 ha in surface area, not identified as canal/ditch in NHD, and met any of the following criteria were considered  
 11 reservoirs in Land Converted to Flooded Land: 1) the waterbody was classified “Reservoir” in the NHDWaterbody  
 12 layer, 2) the waterbody name in the NHDWaterbody layer included “Reservoir”, 3) the waterbody in the  
 13 NHDWaterbody layer was located in close proximity (up to 100 m) to a dam in the NID, 4) the NHDWaterbody GNIS  
 14 name was similar to nearby NID feature (between 100 m to 1000 m).

15 EPA assumes that all features included in the NW are subject to water-level management to maintain minimum  
 16 water depths required for navigation and are therefore managed flooded lands. NW features greater than 8 ha in  
 17 surface area are defined as reservoirs.

18 NWI features were considered “managed” if they had a special modifier value indicating the presence of  
 19 management activities (Figure 6-19). To be included in the flooded lands inventory, the managed flooded land had  
 20 to be wet or saturated for at least one season per year (see ‘Water Regime’ in Figure 6-19). NWI features that met  
 21 these criteria, were greater than 8 Ha in surface area, and were not a canal/ditch (see Emissions from Land  
 22 Converted to Flooded Land – Other Constructed Waterbodies) were defined as reservoirs.

23 Surface areas for identified flooded lands were taken from NHD, NWI or the NW. If features from the NHD, NWI, or  
 24 the NW datasets overlapped, duplicate areas were erased. The first step was to take the final NWI Flooded Lands  
 25 features and use it to identify overlapping NHD features. If the NHD feature had its center in a NWI feature, it was  
 26 removed from analysis. Next, remaining NHD features were erased from any remaining overlapping NWI features.  
 27 Final selections of NHD and NWI features were used to erase any overlapping NW waterbodies.

28 Reservoir age was determined by assuming they were created the same year as a nearby (up to 100 m) NID  
 29 feature. If no nearby NID feature was identified, it was assumed the feature was greater than 20-years old

<sup>85</sup> See <https://www.usgs.gov/core-science-systems/ngp/national-hydrography>.

<sup>86</sup> See <https://nid.sec.usace.army.mil>.

<sup>87</sup> See <https://www.fws.gov/program/national-wetlands-inventory/data-download>.

<sup>88</sup> See <https://www.census.gov/geographies/mapping-files/time-series/geo/carto-boundary-file.html>.

1 throughout the time series. Only reservoirs less than or equal to 20-years old are included in Land Converted to  
 2 Flooded Land.

3 **Figure 6-19: Selected Features from NWI that meet Flooded Lands Criteria**

MODIFIERS						
In order to more adequately describe the wetland and deepwater habitats, one each of the water regime, water chemistry, soil, or special modifiers may be applied at the class or lower level in the hierarchy.						
Water Regime			Special Modifiers	Water Chemistry	Soil	
Nontidal	Saltwater Tidal	Freshwater Tidal		Halinity/Salinity	pH Modifiers for Fresh Water	
A Temporarily Flooded	L Subtidal	Q Regularly Flooded-Fresh Tidal	b Beaver	1 Hyperhaline / Hypersaline	a Acid	g Organic n Mineral
B Seasonally Saturated	M Irregularly Exposed	R Seasonally Flooded-Fresh Tidal	d Partly Drained/Ditched	2 Euhaline / Eusaline	t Circumneutral	
C Seasonally Flooded	N Regularly Flooded	S Temporarily Flooded- Fresh Tidal	f Farmed	3 Mixohaline / Mixohaline (Brackish)	i Alkaline	
D Continuously Saturated	P Irregularly Flooded	T Semipermanently Flooded-Fresh Tidal	m Managed	4 Polyhaline		
E Seasonally Flooded / Saturated		V Permanently Flooded-Fresh Tidal	h Diked/Impounded	5 Mesohaline		
F Semipermanently Flooded			r Artificial Substrate	6 Oligohaline		
G Intermittently Exposed			s Spoil	0 Fresh		
H Permanently Flooded			x Excavated			
J Intermittently Flooded						
K Artificially Flooded						

Must also meet one selected special modifier (red box) to be included in the flooded lands inventory

Included in the flooded lands inventory if it meets water regime qualifier (gold box)

Source (modified): <https://www.fws.gov/sites/default/files/documents/wetlands-and-deepwater-map-code-diagram.pdf>

4  
 5 IPCC (2019) allows for the exclusion of managed waterbodies from the inventory if the water surface area or  
 6 residence time was not substantially changed by the construction of the dam. The guidance does not quantify  
 7 what constitutes a “substantial” change, but here EPA excludes the U.S. Great Lakes from the inventory based on  
 8 expert judgment that neither the surface area nor water residence time was substantially altered by their  
 9 associated dams.

10 Reservoirs were disaggregated by state (using boundaries from the 2016 U.S. Census Bureau<sup>89</sup>) and climate zone.  
 11 Downstream and surface emissions for cross-state reservoirs were allocated to states based on the surface area  
 12 that the reservoir occupied in each state. Only the U.S. portion of reservoirs that cross country borders were  
 13 included in the inventory.

14 The surface area of reservoirs in Land Converted to Flooded Land decreased by approximately 70 percent from  
 15 1990 to 2021 (Table 6-100). This is due to reservoirs that were less than 20-years old at the beginning of time  
 16 series entering the Flooded Land Remaining Flooded Land category when they exceeded 20 years of age. The rate  
 17 at which flooded land has aged out of the Land Converted to Flooded Land category has outpaced the rate of new  
 18 dam construction. New dam construction has slowed considerably during the time series with only four new dams  
 19 constructed in 2021,<sup>90</sup> versus 538 in 1990 (Figure 6-20).

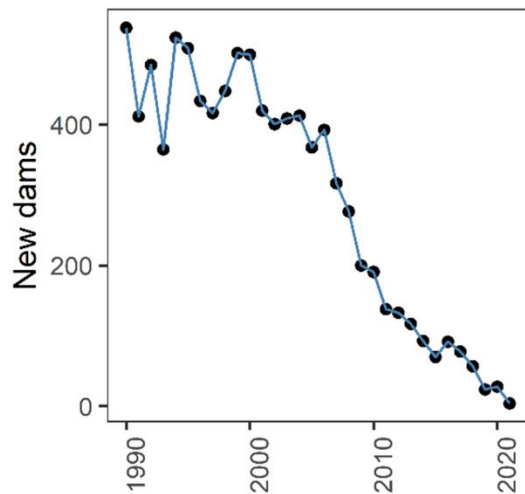
20 **Table 6-100: National Totals of Reservoir Surface Area in Land Converted to Flooded Land**  
 21 **(thousands of ha)**

	1990	2005	2017	2018	2019	2020	2021
Reservoir	234	63	85	84	84	64	64

<sup>89</sup> See <https://www.census.gov/geographies/mapping-files/time-series/geo/carto-boundary-file.html>.

<sup>90</sup> See <https://nid.sec.usace.army.mil>.

1 **Figure 6-20: Number of Dams Built per Year from 1990 through 2021**



2  
3 **Table 6-101: State Breakdown of Reservoir Surface Area in Land Converted to Flooded Land**  
4 **(thousands of ha)**

State	1990	2005	2017	2018	2019	2020	2021
Alabama	5.4	0.0	0.0	0.0	0.0	0.0	0.0
Alaska	0.6	0.0	0.0	0.0	0.0	0.0	0.0
Arizona	0.0	0.1	0.0	0.0	0.0	0.0	0.0
Arkansas	9.6	0.9	1.2	1.2	1.2	1.2	1.2
California	16.2	1.0	0.1	0.1	0.1	0.1	0.1
Colorado	3.7	1.1	0.2	0.2	0.2	0.3	0.2
Connecticut	0.0	0.1	0.0	0.0	0.0	0.0	0.0
Delaware	0.0	0.0	0.0	0.0	0.0	0.0	0.0
District of Columbia	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Florida	14.1	2.1	1.1	0.8	0.8	0.8	0.5
Georgia	9.7	3.7	0.1	0.1	0.0	0.0	0.0
Hawaii	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Idaho	18.1	0.8	0.4	0.4	0.4	0.4	0.4
Illinois	8.8	10.5	9.5	9.5	9.5	0.1	0.1
Indiana	10.0	0.2	0.1	0.1	0.1	0.1	0.1
Iowa	6.6	2.0	0.4	0.1	0.2	0.2	0.2
Kansas	18.9	0.3	0.2	0.2	0.1	0.1	0.1
Kentucky	4.7	0.0	0.0	0.0	0.0	0.0	0.0
Louisiana	5.8	3.2	0.2	0.0	0.0	0.0	0.0
Maine	12.5	4.2	0.0	0.0	0.0	0.0	0.0
Maryland	0.5	0.0	0.1	0.1	0.1	0.1	0.1
Massachusetts	1.1	0.2	0.9	0.9	0.9	0.8	0.8
Michigan	8.5	0.9	0.1	0.1	0.1	0.1	0.1
Minnesota	6.1	4.5	52.4	52.4	52.4	52.3	52.3
Mississippi	2.2	0.0	0.0	0.0	0.0	0.0	0.0
Missouri	0.2	9.7	9.7	9.7	9.7	0.0	0.0
Montana	13.4	1.2	0.1	0.1	0.1	0.1	0.1
Nebraska	5.3	1.3	0.1	0.1	0.1	0.0	0.0
Nevada	1.3	0.9	0.1	0.0	0.0	0.0	0.0
New Hampshire	0.3	0.0	0.0	0.0	0.0	0.0	0.0
New Jersey	0.0	0.0	0.0	0.0	0.0	0.0	0.0
New Mexico	0.1	0.0	0.0	0.0	0.0	0.0	0.0

New York	1.9	0.5	0.1	0.1	0.1	0.1	0.1
North Carolina	0.6	0.1	0.1	0.1	0.0	0.0	0.0
North Dakota	0.0	0.9	6.2	6.2	6.2	6.2	6.2
Ohio	6.4	0.4	0.2	0.2	0.2	0.2	0.1
Oklahoma	3.0	0.0	0.4	0.4	0.4	0.4	0.4
Oregon	1.5	0.0	0.0	0.0	0.0	0.0	0.0
Pennsylvania	1.2	0.0	0.0	0.0	0.0	0.0	0.0
Puerto Rico	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Rhode Island	0.1	0.0	0.0	0.0	0.0	0.0	0.0
South Carolina	14.0	6.2	0.0	0.0	0.0	0.0	0.0
South Dakota	0.4	3.3	0.8	0.8	0.8	0.0	0.0
Tennessee	3.0	0.0	0.1	0.1	0.1	0.1	0.1
Texas	10.1	0.0	0.0	0.0	0.0	0.0	0.0
Utah	1.6	0.0	0.2	0.2	0.2	0.2	0.2
Vermont	0.1	0.0	0.0	0.0	0.0	0.0	0.0
Virginia	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Washington	2.7	0.2	0.0	0.0	0.0	0.0	0.0
West Virginia	1.9	1.6	0.0	0.0	0.0	0.0	0.0
Wisconsin	1.7	0.3	0.0	0.0	0.1	0.1	0.1
Wyoming	0.2	0.2	0.1	0.1	0.1	0.1	0.1
<b>Total</b>	<b>234.4</b>	<b>62.9</b>	<b>85.3</b>	<b>84.4</b>	<b>84.3</b>	<b>64.1</b>	<b>63.8</b>

## 1 Uncertainty

2 Uncertainty in estimates of CH<sub>4</sub> and CO<sub>2</sub> emissions from reservoirs on Land Converted to Flooded Land were  
3 developed using IPCC Approach 2 and include uncertainty in the default emission factors and the flooded land area  
4 inventory (Table 6-102). Uncertainty in emission factors is provided in the *2019 Refinement to the 2006 IPCC*  
5 *Guidelines* (IPCC 2019). Uncertainties in the spatial data include 1) uncertainty in area estimates from the NHD,  
6 NWI, and NW, and 2) uncertainty in the location of dams in the NID. Overall uncertainties in these spatial datasets  
7 are unknown, but uncertainty for remote sensing products is assumed to be ± 10 to 15 percent based on IPCC  
8 guidance (IPCC 2003). An uncertainty range of ± 15 percent for the flooded land area estimates is assumed and is  
9 based on expert judgment.

10 **Table 6-102: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> and CO<sub>2</sub> Emissions from**  
11 **Reservoirs in Land Converted to Flooded Land**

Source	2021 Emission Estimate		Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
	Gas	(MMT CO <sub>2</sub> Eq.)	(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
<b>Reservoir</b>						
Surface	CH <sub>4</sub>	0.16	0.14	0.18	-13.3%	13.4%
Surface	CO <sub>2</sub>	0.25	0.21	0.28	-13.9%	15.0%
Downstream	CH <sub>4</sub>	+	+	0.05	-62.8%	221.0%
<b>Total</b>		<b>0.42</b>	<b>0.36</b>	<b>0.49</b>	<b>-14.9%</b>	<b>16.8%</b>

+ Indicates values less than 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of emission estimates predicted by Monte Carlo stochastic simulation for a 95 percent confidence interval.

## 12 QA/QC and Verification

13 The National Hydrography Data (NHD) is managed by the USGS in collaboration many other federal, state, and  
14 local entities. Extensive QA/QC procedures are incorporated into the curation of the NHD. The National Inventory  
15 of Dams (NID) is maintained by the U.S. Army Corps of Engineers (USACE) in collaboration with the Federal  
16 Emergency Management Agency (FEMA) and state regulatory offices. USACE resolves duplicative and conflicting

1 data from 68 data sources, which helps obtain the more complete, accurate, and updated NID. The Navigable  
2 Waterways (NW) dataset is part of the U.S. Department of Transportation (USDOT)/Bureau of Transportation  
3 Statistics (BTS) National Transportation Atlas Database (NTAD). The NW is a comprehensive network database of  
4 the nation's navigable waterways updated on a continuing basis. U.S. Fish and Wildlife Service is the principal  
5 agency in charge of wetland mapping including the National Wetlands Inventory (NWI). Quality and consistency of  
6 the Wetlands Layer is supported by federal wetlands mapping and classification standards, which were developed  
7 under the oversight of the Federal Geographic Data Committee (FGDC) with input by the FGDC Wetlands  
8 Subcommittee. This dataset is part of the FGDC Water-Inland Theme, which is co-chaired by the FWS and the U.S.  
9 Geological Survey.

10 General QA/QC procedures were applied to activity data, documentation, and emission calculations consistent  
11 with the U.S. Inventory QA/QC plan, which is in accordance with Vol. 1 Chapter 6 of the *2006 IPCC Guidelines* (see  
12 Annex 8 for more details). All calculations were executed independently in Excel and R. Ten percent of state and  
13 national totals were randomly selected for comparison between the two approaches to ensure there were no  
14 computational errors.

## 15 **Recalculations Discussion**

16 The 1990 through 2021 Inventory uses the National Wetland Inventory (NWI) as the primary data source for  
17 flooded land surface area, whereas the 1990 through 2020 Inventory report used the National Hydrography Data  
18 (NHD) as the primary geospatial data source. The NWI includes Alaska, Hawaii, and Puerto Rico, which were  
19 missing from 1990 through 2020 Inventory, but this had little effect on the emission estimates as Hawaii and  
20 Puerto Rico had no reservoirs in Land Converted to Flooded Land. In 1990, Alaska had 637 ha of reservoirs in Land  
21 Converted to Flooded Land, but all reservoirs in Alaska matriculated to Flooded Land Remaining Flooded Land by  
22 2004.

23 The 1990 through 2020 Inventory distinguished between reservoirs and inundation areas. Inundation areas were  
24 defined as periodically flooded lands that bordered a permanently flooded reservoir. The NWI includes both  
25 permanently and periodically flooded lands, but does not consistently discriminate between them, therefore  
26 inundation areas and reservoirs are lumped into reservoirs for the 1990 through 2021 Inventory.

27 The 1990 through 2021 Inventory includes corrections to the age of several large reservoirs in South Dakota, North  
28 Dakota, Alabama, Arkansas, Georgia, and South Carolina. As result, these flooded lands are now included in  
29 Flooded Land Remaining Flooded Land throughout the time series, whereas they were misclassified as Land  
30 Converted to Flooded Land for a portion of the time series in the 1990 through 2020 Inventory. For the year 1990,  
31 these corrections reduced the surface area, methane emissions, and carbon dioxide emissions of reservoirs in Land  
32 Converted to Flooded Land by 138,375 ha, 18.8 kt CH<sub>4</sub>, and 0.7 MMT CO<sub>2</sub>, respectively.

33 Overall, the recalculations resulted in substantial reductions in methane and carbon dioxide emissions in the first  
34 few years of the time series (e.g., decrease of 4.1 MMT CO<sub>2</sub> Eq. in 1990), but the differences were minor by 2005  
35 through 2020 (0.1 MMT CO<sub>2</sub> Eq.).

36 In addition, the EPA updated the global warming potential (GWP) for CH<sub>4</sub> (from 25 to 28) to reflect the 100-year  
37 GWP provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). The previous Inventory used the 100-year  
38 GWP provided in the IPCC *Fourth Assessment Report* (AR4). This update was applied across the entire time series.  
39 Further discussion on this update and the overall impacts of updating the inventory GWP values to reflect the AR5  
40 can be found in Chapter 9, Recalculations and Improvements.

41 The net effect of these recalculations for CH<sub>4</sub> emissions from reservoirs was an average annual decrease of 0.3  
42 MMT CO<sub>2</sub> Eq., or 49 percent, over the time series from 1990 to 2020 compared to the previous Inventory.



## 1 Planned Improvements

2 The EPA is currently measuring greenhouse gas emissions from 108 reservoirs in the conterminous United States.  
3 The survey will be complete in September 2023 and the data will be used to develop country-specific emission  
4 factors for U.S. reservoirs. At the earliest, these emission factors will be used in the 2025 Inventory submission.

## 5 Emissions from Land Converted to Flooded Land—Other 6 Constructed Waterbodies

7 Freshwater ponds are the only type of flooded lands within the “other constructed waterbodies” subcategory of  
8 Land Converted to Flooded Land that are included in this Inventory (see Methodology for details) because age data  
9 are not available for canals and ditches. All canals and ditches are assumed to be greater than 20-years old  
10 throughout the time series and are included in Flooded Land Remaining Flooded Land.

11 IPCC (2019) describes ponds as waterbodies that are “...constructed by excavation and/or construction of walls to  
12 hold water in the landscape for a range of uses, including agricultural water storage, access to water for livestock,  
13 recreation, and aquaculture.” The IPCC “Decision tree for types of Flooded Land” (IPCC 2019, Fig. 7.2) elaborates  
14 on this description by defining waterbodies less than 8 ha as a subset of “other constructed waterbodies.” For this  
15 Inventory, ponds are defined as managed flooded land not identified as “canal/ditch” (see Methods below) with  
16 surface area less than 8 ha. IPCC (2019) further distinguishes saline versus brackish ponds, with the former  
17 supporting lower CH<sub>4</sub> emission rates than the latter. Activity data on pond salinity is not uniformly available for the  
18 United States and all ponds in Land Converted to Flooded Land are assumed to be freshwater. Ponds often receive  
19 high organic matter and nutrient loadings, may have low oxygen levels, and are sites of substantial CH<sub>4</sub> and CO<sub>2</sub>  
20 emissions from anaerobic sediments.

21 Methane and CO<sub>2</sub> emissions from freshwater ponds decreased 95 percent from 1990 to 2021 due to flooded land  
22 matriculating from Land Converted to Flooded Land to Flooded Land Remaining Flooded Land. In 2021, Nebraska,  
23 Montana, and Iowa had the greatest CO<sub>2</sub> and CH<sub>4</sub> emissions for freshwater ponds in Land Converted to Flooded  
24 Land (Table 6-103 through Table 6-107, Figure 6-21).

25 **Table 6-103: CH<sub>4</sub> Emissions from Other Constructed Waterbodies in Land Converted to**  
26 **Flooded Land (MMT CO<sub>2</sub> Eq.)**

Source	1990	2005	2017	2018	2019	2020	2021
Freshwater Ponds	0.1	+	+	+	+	+	+

+ Indicates values less than 0.05 MMT CO<sub>2</sub> Eq.

27 **Table 6-104: CH<sub>4</sub> Emissions from Other Constructed Waterbodies in Land Converted to**  
28 **Flooded Land (kt CH<sub>4</sub>)**

Source	1990	2005	2017	2018	2019	2020	2021
Freshwater Ponds	3	1	+	+	+	+	+

+ Indicates values less than 0.5 kt

29 **Table 6-105: CO<sub>2</sub> Emissions from Other Constructed Waterbodies in Land Converted to**  
30 **Flooded Land (MMT CO<sub>2</sub>)**

Source	1990	2005	2017	2018	2019	2020	2021
Freshwater Ponds	0.1	+	+	+	+	+	+

+ Indicates values less than 0.05 MMT CO<sub>2</sub> Eq.

1 **Table 6-106: CO<sub>2</sub> Emissions from Other Constructed Waterbodies in Land Converted to**  
 2 **Flooded Land (MMT C)**

Source	1990	2005	2017	2018	2019	2020	2021
Freshwater Ponds	0.02	0.01	+	+	+	+	+

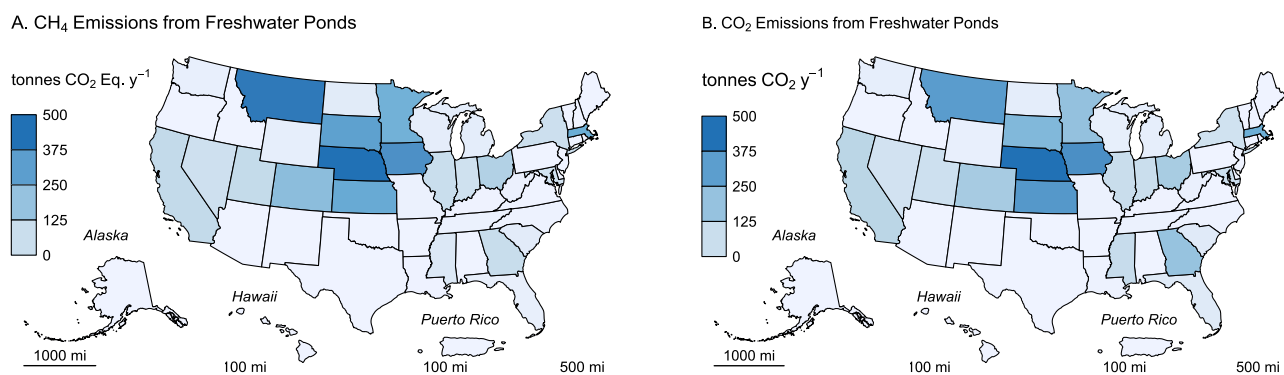
+ Indicates values less than 0.005 MMT C

3 **Table 6-107: CH<sub>4</sub> and CO<sub>2</sub> Emissions from Other Constructed Waterbodies in Land Converted**  
 4 **to Flooded Land in 2021 (MT CO<sub>2</sub> Eq.)**

State	Freshwater Ponds		
	CH <sub>4</sub>	CO <sub>2</sub>	Total
Alabama	0	0	0
Alaska	0	0	0
Arizona	0	0	0
Arkansas	1	1	3
California	151	162	313
Colorado	278	202	480
Connecticut	0	0	0
Delaware	0	0	1
District of Columbia	0	0	0
Florida	25	50	76
Georgia	134	234	368
Hawaii	0	0	0
Idaho	1	0	1
Illinois	130	121	251
Indiana	111	116	227
Iowa	425	393	818
Kansas	353	369	722
Kentucky	4	4	8
Louisiana	3	6	10
Maine	1	1	2
Maryland	100	104	204
Massachusetts	342	311	654
Michigan	37	27	64
Minnesota	330	241	570
Mississippi	65	127	191
Missouri	13	14	27
Montana	491	359	850
Nebraska	514	471	985
Nevada	113	93	206
New Hampshire	1	0	1
New Jersey	0	0	0
New Mexico	0	0	0
New York	121	96	217
North Carolina	6	6	11
North Dakota	47	34	82
Ohio	195	200	396
Oklahoma	0	0	0
Oregon	0	0	0
Pennsylvania	0	0	0
Puerto Rico	0	0	0
Rhode Island	0	0	0
South Carolina	46	48	94
South Dakota	378	276	655
Tennessee	13	13	26
Texas	0	0	0

Utah	146	107	253
Vermont	0	0	0
Virginia	0	0	0
Washington	23	28	50
West Virginia	15	16	31
Wisconsin	34	25	59
Wyoming	29	21	51
<b>TOTAL</b>	<b>4,677</b>	<b>4,277</b>	<b>8,954</b>

1 **Figure 6-21: 2021 A) CH<sub>4</sub> and B) CO<sub>2</sub> Emissions from Other Constructed Waterbodies**  
 2 **(Freshwater Ponds) in Land Converted to Flooded Land (MT CO<sub>2</sub> Eq.)**



3

#### 4 **Methodology and Time-Series Consistency**

5 Estimates of CH<sub>4</sub> and CO<sub>2</sub> emissions for other constructed waterbodies in Land Converted to Flooded Land follow  
 6 the Tier 1 methodology in IPCC (2019). All calculations are performed at the state level and summed to obtain  
 7 national estimates. Greenhouse gas emissions from the surface of these flooded lands are calculated as the  
 8 product of flooded land surface area and an emission factor (Table 6-108). Due to a lack of empirical data on CO<sub>2</sub>  
 9 emissions from recently created ponds, IPCC (2019) states “For all types of ponds created by damming, the  
 10 methodology described above to estimate CO<sub>2</sub> emissions from land converted to reservoirs may be used.” This  
 11 Inventory uses IPCC default CO<sub>2</sub> emission factors for land converted to reservoirs when estimating CO<sub>2</sub> emissions  
 12 from land converted to freshwater ponds. IPCC guidance also states that “there is insufficient information available  
 13 to derive separate CH<sub>4</sub> emission factors for recently constructed ponds...” and allows for the use of IPCC default  
 14 CH<sub>4</sub> emission factors for land remaining flooded land. Downstream emissions are not inventoried for other  
 15 constructed waterbodies because 1) many of these systems are not associated with dams (e.g., excavated ponds  
 16 and ditches), and 2) there are insufficient data to derive downstream emission factors for other constructed  
 17 waterbodies that are associated with dams (IPCC 2019).

18 **Table 6-108: IPCC Default Methane and CO<sub>2</sub> Emission Factors for Other Constructed**  
 19 **Waterbodies in Land Converted to Flooded Land**

Other Constructed Waterbody	Climate Zone	Emission Factor	
		MT CH <sub>4</sub> ha <sup>-1</sup> y <sup>-1</sup>	MT CO <sub>2</sub> ha <sup>-1</sup> y <sup>-1</sup>
Freshwater ponds	Boreal	0.183	3.45
Freshwater ponds	Cool Temperate	0.183	3.74
Freshwater ponds	Warm Temperate Dry	0.183	6.23
Freshwater ponds	Warm Temperate Moist	0.183	5.35
Freshwater ponds	Tropical Dry/Montane	0.183	10.82
Freshwater ponds	Tropical Moist/Wet	0.183	10.16

1 *Area estimates*

2 Other constructed waterbodies were identified from the NHDWaterbody layer in the National Hydrography  
3 Dataset Plus V2 (NHD)<sup>91</sup>, the National Inventory of Dams (NID)<sup>92</sup>, the National Wetlands Inventory (NWI)<sup>93</sup>, and  
4 the Navigable Waterways (NW) network<sup>94</sup>. The NHD only covers the conterminous U.S., whereas the NID, NW and  
5 NWI also include Alaska, Hawaii, and Puerto Rico. .

6 Waterbodies in the NHDWaterbody layer that were less than or equal to 20-years old, less than 8 ha in surface  
7 area, not identified as canal/ditch in NHD, and met any of the following criteria were considered freshwater ponds  
8 in Land Converted to Flooded Land: 1) the waterbody was classified “Reservoir” in the NHDWaterbody layer, 2) the  
9 waterbody name in the NHDWaterbody layer included “Reservoir”, 3) the waterbody in the NHDWaterbody layer  
10 was located in close proximity (up to 100 m) to a dam in the NID, 4) the NHDWaterbody GNIS name was similar to  
11 nearby NID feature (between 100 m to 1000 m).

12 EPA assumes that all features included in the NW are subject to water-level management to maintain minimum  
13 water depths required for navigation and are therefore managed flooded lands. NW features that were less than 8  
14 ha in surface area and not identified as canals/ditch (see below) were considered freshwater ponds. Only 2.1  
15 percent of NW features met these criteria, and they were primarily associated with larger navigable waterways,  
16 such as lock chambers on impounded rivers.

17 NWI features were considered “managed” if they had a special modifier value indicating the presence of  
18 management activities (Figure 6-19). To be included in the flooded lands inventory, the managed flooded land had  
19 to be wet or saturated for at least one season per year (see ‘Water Regime’ in Figure 6-19). NWI features that met  
20 these criteria, were less than 8 Ha in surface area, and were not a canal/ditch were defined as freshwater ponds.

21 Surface areas for other constructed waterbodies were taken from NHD, NWI or the NW. If features from the NHD,  
22 NWI, or the NW datasets overlapped, duplicate areas were erased. The first step was to take the final NWI Flooded  
23 Lands features and use it to identify overlapping NHD features. If the NHD feature had its center in a NWI feature,  
24 it was removed from analysis. Next, remaining NHD features were erased from any remaining overlapping NWI  
25 features. Final selections of NHD and NWI features were used to erase any overlapping NW waterbodies.

26 The age of other constructed waterbody features was determined by assuming the waterbody was created the  
27 same year as a nearby (up to 100 m) NID feature. If no nearby NID feature was identified, it was assumed the  
28 waterbody was greater than 20-years old throughout the time series. No canal/ditch features were associated with  
29 a nearby dam, therefore all canal/ditch features were assumed to be greater than 20-years old through the time  
30 series.

31 For the year 2021, this Inventory contains 913 ha of freshwater ponds in Land Converted to Flooded Land. The  
32 surface area of freshwater ponds decreased by 94 percent from 1990 to 2021 due to flooded lands aging out of  
33 Land Converted to Flooded Land more quickly than new flooded lands entered the category. The greatest  
34 reduction in freshwater pond surface area occurred in Iowa, Kansas, and Georgia (Table 6-110). Freshwater ponds  
35 in the 2021 inventory are most abundant in Nebraska, Montana, and Kansas (Figure 6-22).

36 **Table 6-109: National Surface Area Totals of Other Constructed Waterbodies in Land**  
37 **Converted to Flooded Land (ha)**

Other Constructed Waterbody	1990	2005	2017	2018	2019	2020	2021
Freshwater Ponds	15,572	3800	1805	1574	1299	1041	913

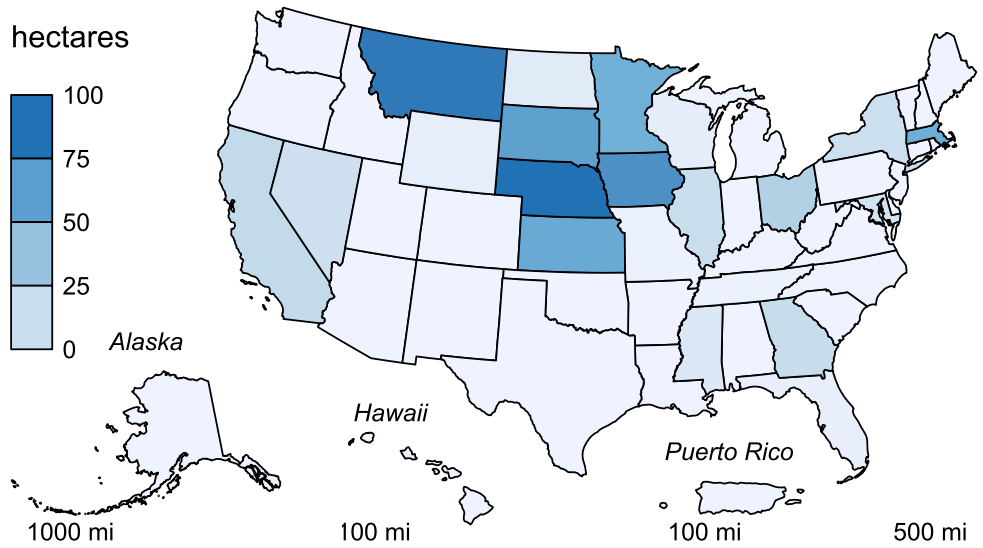
91 See <https://www.usgs.gov/core-science-systems/ngp/national-hydrography>.

92 See <https://nid.sec.usace.army.mil>.

93 See <https://www.fws.gov/program/national-wetlands-inventory/data-download>.

94 <https://hifld-geoplatform.opendata.arcgis.com/datasets/geoplatform::navigable-waterway-network-lines-1/about>.

1 **Figure 6-22: Surface Area of Other Constructed Waterbodies in Land Converted to Flooded**  
 2 **Land (ha)**



3  
 4 **Table 6-110: State Surface Area Totals of Other Constructed Waterbodies in Land Converted**  
 5 **to Flooded Land (ha)**

State	1990	2005	2017	2018	2019	2020	2021
Alabama	344	19	3	0	0	0	0
Alaska	6	0	0	0	0	0	0
Arizona	46	16	7	4	4	0	0
Arkansas	316	0	0	0	0	0	0
California	241	86	43	42	37	30	29
Colorado	276	45	60	50	52	51	54
Connecticut	54	3	0	0	0	0	0
Delaware	4	0	0	0	0	0	0
District of Columbia	0	0	0	0	0	0	0
Florida	128	50	10	9	5	5	5
Georgia	1,804	87	35	35	32	26	26
Hawaii	7	2	0	0	0	0	0
Idaho	102	1	0	0	0	0	0
Illinois	556	115	56	41	36	26	25
Indiana	510	115	30	27	22	22	22
Iowa	2,227	1,403	511	430	268	156	83
Kansas	2,017	127	111	98	91	85	69
Kentucky	390	25	2	1	1	1	1
Louisiana	133	10	5	5	5	1	1
Maine	54	8	0	0	0	0	0
Maryland	177	57	17	22	22	21	19
Massachusetts	66	70	88	80	74	68	67
Michigan	158	45	19	15	15	7	7
Minnesota	263	133	110	103	96	73	64
Mississippi	160	34	23	23	18	13	13
Missouri	285	17	4	4	3	3	3
Montana	368	108	100	100	96	96	96

Nebraska	1,271	274	191	142	130	108	100
Nevada	13	57	36	26	25	22	22
New Hampshire	35	12	1	1	1	1	0
New Jersey	1	0	0	0	0	0	0
New Mexico	6	0	0	0	0	0	0
New York	287	120	29	29	27	27	24
North Carolina	53	7	1	1	1	1	1
North Dakota	11	21	9	9	9	9	9
Ohio	389	250	104	93	79	53	38
Oklahoma	111	3	3	3	0	0	0
Oregon	8	9	7	0	0	0	0
Pennsylvania	19	9	0	0	0	0	0
Puerto Rico	0	0	0	0	0	0	0
Rhode Island	9	7	0	0	0	0	0
South Carolina	819	228	25	24	13	9	9
South Dakota	232	94	97	95	78	77	74
Tennessee	712	42	23	14	9	3	2
Texas	565	9	0	0	0	0	0
Utah	55	20	30	30	30	29	29
Vermont	17	4	0	0	0	0	0
Virginia	0	0	0	0	0	0	0
Washington	54	23	0	0	4	4	4
West Virginia	31	6	3	3	3	3	3
Wisconsin	146	9	7	7	7	7	7
Wyoming	39	16	5	6	6	6	6
<b>TOTAL</b>	<b>15,572</b>	<b>3,800</b>	<b>1,805</b>	<b>1,574</b>	<b>1,299</b>	<b>1,041</b>	<b>913</b>

## 1 Uncertainty

2 Uncertainty in estimates of CO<sub>2</sub> and CH<sub>4</sub> emissions from Land Converted to Flooded Land–Other Constructed  
3 Water Bodies include uncertainty in the default emission factors and the flooded land area inventory. Uncertainty  
4 in emission factors is provided in the *2019 Refinement to the 2006 IPCC Guidelines* (IPCC 2019). Uncertainties in the  
5 spatial data include 1) uncertainty in area estimates from the NHD and NW, and 2) uncertainty in the location of  
6 dams in the NID. Overall uncertainties in the NHD, NWI, NID, and NW are unknown, but uncertainty for remote  
7 sensing products is ± 10 - 15 percent (IPCC 2003). EPA assumes an uncertainty of ± 15 percent for the flooded land  
8 area inventory based on expert judgment. These uncertainties do not include the underestimate of pond surface  
9 area discussed above.

10 **Table 6-111: Approach 2 Quantitative Uncertainty Estimates for CH<sub>4</sub> and CO<sub>2</sub> Emissions from**  
11 **Other Constructed Waterbodies in Land Converted to Flooded Land**

Source	Gas	2021 Emission Estimate (kt CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			(kt CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Freshwater ponds	CH <sub>4</sub>	4.70	4.60	4.80	-2.7	3.2
Freshwater ponds	CO <sub>2</sub>	4.28	4.18	4.37	-2.2	2.2
<b>Total</b>		<b>8.95</b>	<b>8.77</b>	<b>9.19</b>	<b>-2.1</b>	<b>2.6</b>

<sup>a</sup>Range of emission estimates predicted by Monte Carlo stochastic simulation for a 95 percent confidence interval.

Note: Totals may not sum due to independent rounding.

## 1 **QA/QC and Verification**

2 The National Hydrography Data (NHD) is managed by the USGS with collaboration from many other federal, state,  
3 and local entities. Extensive QA/QC procedures are incorporated into the curation of the NHD. The National  
4 Inventory of Dams (NID) is maintained by the U.S. Army Corps of Engineers (USACE) in collaboration with the  
5 Federal Emergency Management Agency (FEMA) and state regulatory offices. USACE resolves duplicative and  
6 conflicting data from 68 data sources, which helps obtain the more complete, accurate, and updated NID. The  
7 Navigable Waterways (NW) dataset is part of the U.S. Department of Transportation (USDOT)/Bureau of  
8 Transportation Statistics (BTS) National Transportation Atlas Database (NTAD). The NW is a comprehensive  
9 network database of the nation's navigable waterways updated on a continuing basis. U.S. Fish and Wildlife Service  
10 is the principal agency in charge of wetland mapping including the National Wetlands Inventory. Quality and  
11 consistency of the Wetlands Layer is supported by federal wetlands mapping and classification standards, which  
12 were developed under the oversight of the Federal Geographic Data Committee (FGDC) with input by the FGDC  
13 Wetlands Subcommittee. This dataset is part of the FGDC Water-Inland Theme, which is co-chaired by the FWS and  
14 the U.S. Geological Survey.

15 General QA/QC procedures were applied to activity data, documentation, and emission calculations consistent  
16 with the U.S. Inventory QA/QC plan, which is in accordance with Vol. 1 Chapter 6 of the *2006 IPCC Guidelines* (see  
17 Annex 8 for more details). All calculations were executed independently in Excel and R. Ten percent of state and  
18 national totals were randomly selected for comparison between the two approaches to ensure there were no  
19 computational errors.

## 20 **Recalculations Discussion**

21 Methane and carbon dioxide emissions from other constructed waterbodies in Land Converted to Flooded Land  
22 were recalculated using updated geospatial data in the 1990 through 2021 Inventory. The updated geospatial data  
23 is more detailed than what was used for the 1990 through 2020 Inventory, and includes Alaska, Hawaii, and Puerto  
24 Rico, which were not included in the 1990 through 2020 Inventory. Despite these recalculations, CO<sub>2</sub> emission  
25 estimates agreed to within 0.005 MMT CO<sub>2</sub> between the previous (i.e., 1990 through 2020) and current (i.e., 1990  
26 through 2021) Inventories.

27 In addition, the EPA updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of CH<sub>4</sub>  
28 (from 25 to 28) to reflect the 100-year GWP provided in the IPCC *Fifth Assessment Report (AR5)* (IPCC 2013). The  
29 previous Inventory used the 100-year GWP provided in the IPCC *Fourth Assessment Report (AR4)*. This update was  
30 applied across the entire time series. Further discussion on this update and the overall impacts of updating the  
31 Inventory GWP values to reflect the AR5 can be found in Chapter 9, Recalculations and Improvements.

32 The net effect of these recalculations for CH<sub>4</sub> emissions from constructed waterbodies was an increase in  
33 emissions amounting to an average annual 11 percent increase over the time series from 1990 to 2020 compared  
34 to the previous Inventory.

## 35 **Planned Improvements**

36 Features < 8 ha in the NW that were not identified as Canal/Ditch were defined as freshwater ponds. Many of  
37 these features are lock chambers connected to an upstream reservoir. These systems likely have emission rates  
38 more similar to a reservoir than freshwater pond. In the next Inventory (i.e., 1990 through 2022) these systems will  
39 be classified as reservoirs.

# 6.10 Settlements Remaining Settlements (CRF Category 4E1)

## Soil Carbon Stock Changes (CRF Category 4E1)

Soil organic C stock changes for Settlements Remaining Settlements occur in both mineral and organic soils. However, the United States does not estimate changes in soil organic C stocks for mineral soils in Settlements Remaining Settlements. This approach is consistent with the assumption of the Tier 1 method in the 2006 IPCC Guidelines (IPCC 2006) that inputs equal outputs, and therefore the soil organic C stocks do not change. This assumption may be re-evaluated in the future if funding and resources are available to conduct an analysis of soil organic C stock changes for mineral soils in Settlements Remaining Settlements.

Drainage of organic soils is common when wetland areas have been developed for settlements. Organic soils, also referred to as *Histosols*, include all soils with more than 12 to 20 percent organic C by weight, depending on clay content (NRCS 1999; Brady and Weil 1999). The organic layer of these soils can be very deep (i.e., several meters), and form under inundated conditions that results in minimal decomposition of plant residues. Drainage of organic soils leads to aeration of the soil that accelerates decomposition rate and CO<sub>2</sub> emissions.<sup>95</sup> Due to the depth and richness of the organic layers, C loss from drained organic soils can continue over long periods of time, which varies depending on climate and composition (i.e., decomposability) of the organic matter (Armentano and Menges 1986).

Settlements Remaining Settlements includes all areas that have been settlements for a continuous time period of at least 20 years according to the 2015 United States Department of Agriculture (USDA) National Resources Inventory (NRI) (USDA-NRCS 2018)<sup>96</sup> or according to the National Land Cover Dataset (NLCD) for federal lands (Yang et al. 2018; Fry et al. 2011; Homer et al. 2007, 2015). There are discrepancies between the current land representation (See Section 6.1) and the area data that have been used in the Inventory for Settlements Remaining Settlements. First, the current land representation is based on the latest NRI dataset, which includes data through 2017, but these data have not been incorporated into the Settlements Remaining Settlements Inventory. Second, Alaska and the small amount of settlements on federal lands are not included in this Inventory even though these areas are part of the U.S. managed land base. These differences lead to discrepancies between the managed area in Settlements Remaining Settlements and the settlement area included in the Inventory analysis (Table 6-113). There is a planned improvement to include CO<sub>2</sub> emissions from drainage of organic soils in settlements of Alaska and federal lands as part of a future Inventory (See Planned Improvements Section).

CO<sub>2</sub> emissions from drained organic soils in settlements are 15.9 MMT CO<sub>2</sub> Eq. (4.3 MMT C) in 2021 (See Table 6-112 and Table 6-113). Although the flux is relatively small, the amount has increased by over 40 percent since 1990 due to an increase in area of drained organic soils in settlements.

**Table 6-112: Net CO<sub>2</sub> Flux from Soil C Stock Changes in Settlements Remaining Settlements (MMT CO<sub>2</sub> Eq.)**

Soil Type	1990	2005	2017	2018	2019	2020	2021
Organic Soils	11.3	12.2	16.0	15.9	15.9	15.9	15.9

<sup>95</sup> N<sub>2</sub>O emissions from soils are included in the N<sub>2</sub>O Emissions from Settlement Soils section.

<sup>96</sup> NRI survey locations are classified according to land use histories starting in 1979, and consequently the classifications are based on less than 20 years from 1990 to 1998. This may have led to an overestimation of Settlements Remaining Settlements in the early part of the time series to the extent that some areas are converted to settlements between 1971 and 1978.



1 **Table 6-113: Net CO<sub>2</sub> Flux from Soil C Stock Changes in Settlements Remaining Settlements**  
 2 **(MMT C)**

Soil Type	1990	2005	2017	2018	2019	2020	2021
Organic Soils	3.1	3.3	4.4	4.3	4.3	4.3	4.3

3 **Methodology and Time-Series Consistency**

4 An IPCC Tier 2 method is used to estimate soil organic C stock changes for organic soils in Settlements Remaining  
 5 Settlements (IPCC 2006). Organic soils in Settlements Remaining Settlements are assumed to be losing C at a rate  
 6 similar to croplands due to deep drainage, and therefore emission rates are based on country-specific values for  
 7 cropland (Ogle et al. 2003).

8 The land area designated as settlements is based primarily on the 2018 NRI (USDA-NRCS 2018) with additional  
 9 information from the NLCD (Yang et al. 2018; Fry et al. 2011; Homer et al. 2007, 2015). It is assumed that all  
 10 settlement area on organic soils is drained, and those areas are provided in Table 6-114 (See Section 6.1,  
 11 Representation of the U.S. Land Base for more information). The area of drained organic soils is estimated from  
 12 the NRI spatial weights and aggregated to the country (Table 6-114). The area of land on organic soils in  
 13 Settlements Remaining Settlements has increased from 220 thousand hectares in 1990 to over 303 thousand  
 14 hectares in 2015. The area of land on organic soils have been incorporated into the inventory analysis for  
 15 Settlements Remaining Settlements through 2015.

16 **Table 6-114: Thousands of Hectares of Drained Organic Soils in Settlements Remaining**  
 17 **Settlements**

Year	Area (Thousand Hectares)
1990	220
2005	235
2014	291
2015	303
2016	*
2017	*
2018	*
2019	*
2020	*
2021	*

NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

18 To estimate CO<sub>2</sub> emissions from drained organic soils across the time series from 1990 to 2015, the area of organic  
 19 soils by climate (i.e., cool temperate, warm temperate, subtropical) in Settlements Remaining Settlements is  
 20 multiplied by the appropriate country-specific emission factors for Cropland Remaining Cropland under the  
 21 assumption that there is deep drainage of the soils. The emission factors are 11.2 MT C per ha in cool temperate  
 22 regions, 14.0 MT C per ha in warm temperate regions, and 14.3 MT C per ha in subtropical regions (see Annex 3.12  
 23 for more information).

24 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015, and a linear  
 25 extrapolation method is used to approximate emissions for the remainder of the 2016 to 2021 time series (See Box  
 26 6-4 in Cropland Remaining Cropland). The extrapolation is based on a linear regression model with moving-average  
 27 (ARMA) errors using the 1990 to 2015 emissions data, and is a standard data splicing method for imputing missing

1 emissions data in a time series (IPCC 2006). The Tier 2 method described previously will be applied in future  
 2 Inventories to recalculate the estimates beyond 2015 as new activity data are integrated into the analysis.

### 3 **Uncertainty**

4 Uncertainty for the Tier 2 approach is derived using a Monte Carlo approach, along with additional uncertainty  
 5 propagated through the Monte Carlo Analysis for 2016 to 2021 based on the linear time series model. The results  
 6 of the Approach 2 Monte Carlo uncertainty analysis are summarized in Table 6-115. Soil C losses from drained  
 7 organic soils in Settlements Remaining Settlements for 2021 are estimated to be between 7.3 and 24.4 MMT CO<sub>2</sub>  
 8 Eq. at a 95 percent confidence level. This indicates a range of 54 percent below and 54 percent above the 2021  
 9 emission estimate of 15.9 MMT CO<sub>2</sub> Eq.

10 **Table 6-115: Uncertainty Estimates for CO<sub>2</sub> Emissions from Drained Organic Soils in**  
 11 **Settlements Remaining Settlements (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Emission Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup>			
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Organic Soils	CO <sub>2</sub>	15.9	7.3	24.4	-54%	54%

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

### 12 **QA/QC and Verification**

13 Quality control measures included checking input data, model scripts, and results to ensure data are properly  
 14 handled throughout the inventory process. Inventory reporting forms and text are reviewed and revised as needed  
 15 to correct transcription errors. No errors were found in this Inventory.

### 16 **Recalculations Discussion**

17 There were no recalculations to the 1990 through 2020 time series in this Inventory.

### 18 **Planned Improvements**

19 There are two key improvements planned for the inventory, including a) incorporating the latest land use data  
 20 from the USDA National Resources Inventory, and b) estimating CO<sub>2</sub> emissions from drainage of organic soils in  
 21 settlements of Alaska and federal lands in order to provide a complete inventory of emissions for this category.  
 22 These improvements will resolve most of the differences between the managed land base for Settlements  
 23 Remaining Settlements and amount of area currently included in Settlements Remaining Settlements Inventory  
 24 (See Table 6-116). These improvements will be made as funding and resources are available to expand the  
 25 inventory for this source category.

26 **Table 6-116: Area of Managed Land in Settlements Remaining Settlements that is not**  
 27 **included in the current Inventory (Thousand Hectares)**

Year	Area (Thousand Hectares)		
	SRS Managed Land Area (Section 6.1)	SRS Area Included in Inventory	Difference
1990	30,561	30,425	136
1991	30,559	30,430	129
1992	30,556	30,434	123

1993	30,483	30,346	138
1994	30,398	30,264	135
1995	30,336	30,206	130
1996	30,276	30,157	119
1997	30,207	30,105	101
1998	30,141	30,041	99
1999	30,087	29,992	95
2000	30,029	29,949	80
2001	29,976	29,889	87
2002	29,969	29,882	87
2003	30,493	30,378	115
2004	30,986	30,859	127
2005	31,445	31,370	75
2006	31,953	31,812	140
2007	32,410	32,317	93
2008	33,028	32,922	106
2009	33,604	33,494	111
2010	34,179	34,069	111
2011	34,744	34,662	82
2012	35,315	35,215	100
2013	36,238	36,156	81
2014	37,172	37,129	43
2015	38,040	38,058	-18
2016	38,952	*	*
2017	39,875	*	*
2018	40,771	*	*
2019	41,617	*	*
2020	42,467	*	*
2021	43,189	*	*

NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

## 1 Changes in Carbon Stocks in Settlement Trees (CRF Source 2 Category 4E1)

3 Settlements are land uses where human populations and activities are concentrated. In these areas, the  
4 anthropogenic impacts on tree growth, stocking and mortality are particularly pronounced (Nowak 2012) in  
5 comparison to forest lands where non-anthropogenic forces can have more significant impacts. Estimates included  
6 in this section include net CO<sub>2</sub> and C flux from trees on Settlements Remaining Settlements and Land Converted to  
7 Settlements as it is not possible to report on these separately at this time.

8 Trees in settlement areas of the United States are estimated to account for an average annual net sequestration of  
9 117.2 MMT CO<sub>2</sub> Eq. (32.0 MMT C) over the period from 1990 through 2021. Net C sequestration from settlement  
10 trees in 2021 is estimated to be 137.8 MMT CO<sub>2</sub> Eq. (37.6 MMT C) (Table 6-117). Dominant factors affecting C flux  
11 trends for settlement trees are changes in the amount of settlement area (increasing sequestration due to more  
12 land and trees) and net changes in tree cover (e.g., tree losses vs tree gains through planting and natural  
13 regeneration), with percent tree cover trending downward recently. In addition, changes in species composition,  
14 tree sizes and tree densities affect base C flux estimates. Annual sequestration increased by 43 percent between  
15 1990 and 2021 due to increases in settlement area and changes in total tree cover.

16 Trees in settlements often grow faster than forest trees because of their relatively open structure (Nowak and  
17 Crane 2002). Because tree density in settlements is typically much lower than in forested areas, the C storage per  
18 hectare of land is in fact smaller for settlement areas than for forest areas. Also, percent tree cover in settlement  
19 areas are less than in forests and this tree cover varies significantly across the United States (e.g., Nowak and

1 Greenfield 2018a). To quantify the C stored in settlement trees, the methodology used here requires analysis per  
2 unit area of tree cover, rather than per unit of total land area (as is done for Forest Lands).

3 **Table 6-117: Net Flux from Trees in Settlements Remaining Settlements (MMT CO<sub>2</sub> Eq. and**  
4 **MMT C)<sup>a</sup>**

Year	1990	2005	2017	2018	2019	2020	2021
MMT CO <sub>2</sub> Eq.	(96.4)	(117.4)	(129.6)	(129.5)	(129.3)	(136.7)	(137.8)
MMT C	(26.3)	(32.0)	(35.4)	(35.3)	(35.3)	(37.3)	(37.6)

<sup>a</sup> These estimates include net CO<sub>2</sub> and C flux from trees on Settlements Remaining Settlements and Land  
Converted to Settlements as it is not possible to report on these separately at this time.  
Note: Parentheses indicate net sequestration.

## 5 Methodology and Time-Series Consistency

6 To estimate net carbon sequestration in settlement areas, three types of data are required for each state:

- 7 1. Settlement area
- 8 2. Percent tree cover in settlement areas
- 9 3. Carbon sequestration density per unit of tree cover

### 10 *Settlement Area*

11 Settlement area is defined in Section 6.1 Representation of the U.S. Land Base as a land-use category representing  
12 developed areas. The data used to estimate settlement area within Section 6.1 comes from the latest NRI as  
13 updated through 2017, with the extension of the time series through 2021 based on assuming the settlement area  
14 is the same as 2017. NRI data is also harmonized with the FIA dataset, which are available through 2021, and the  
15 NLCD dataset, which is available through 2019. This process of combining the datasets extends the time series to  
16 ensure that there is a complete and consistent representation of land use data for all source categories in the  
17 LULUCF sector. Annual estimates of CO<sub>2</sub> flux (Table 6-117) were developed based on estimates of annual  
18 settlement area and tree cover derived from NLCD developed lands. Developed land, which was used to estimate  
19 tree cover in settlement areas, is about six percent higher than the area categorized as *Settlements* in the  
20 Representation of the U.S. Land Base developed for this report.

### 21 *Percent Tree Cover in Settlement Areas*

22 Percent tree cover in settlement area by state is needed to convert settlement land area to settlement tree cover  
23 area. Converting to tree cover area is essential as tree cover, and thus C estimates, can vary widely among states in  
24 settlement areas due to variations in the amount of tree cover (e.g., Nowak and Greenfield 2018a). However, since  
25 the specific geography of settlement area is unknown because they are based on NRI sampling methods, NLCD  
26 developed land was used to estimate the percent tree cover to be used in settlement areas. NLCD developed land  
27 cover classes 21-24 (developed, open space (21), low intensity (22), medium intensity (23), and high intensity (24))  
28 were used to estimate percent tree cover in settlement area by state (U.S. Department of Interior 2018; MRLC  
29 2013).

- 30 a) “Developed, Open Space – areas with a mixture of some constructed materials, but mostly vegetation in  
31 the form of lawn grasses. Impervious surfaces account for less than 20 percent of total cover. These areas  
32 most commonly include large-lot single-family housing units, parks, golf courses, and vegetation planted  
33 in developed settings for recreation, erosion control, or aesthetic purposes.” Plots designated as either  
34 park, recreation, cemetery, open space, institutional or vacant land were classified as Developed Open  
35 Space.
- 36 b) “Developed, Low Intensity – areas with a mixture of constructed materials and vegetation. Impervious  
37 surfaces account for 20 to 49 percent of total cover. These areas most commonly include single-family

1 housing units.” Plots designated as single family or low-density residential land were classified as  
2 Developed, Low Intensity.

- 3 c) “Developed, Medium Intensity – areas with a mixture of constructed materials and vegetation.  
4 Impervious surfaces account for 50 to 79 percent of the total cover. These areas most commonly include  
5 single-family housing units.” Plots designated as medium density residential, other urban or mixed urban  
6 were classified as Developed, Medium Intensity.
- 7 d) “Developed High Intensity – highly developed areas where people reside or work in high numbers.  
8 Examples include apartment complexes, row houses and commercial/industrial. Impervious surfaces  
9 account for 80 to 100 percent of the total cover.” Plots designated as either commercial, industrial, high  
10 density residential, downtown, multi-family residential, shopping, transportation or utility were classified  
11 as Developed, High Intensity.

12 As NLCD is known to underestimate tree cover (Nowak and Greenfield 2010), photo-interpretation of tree cover  
13 within NLCD developed lands was conducted for the years of c. 2011 and 2016 using 1,000 random points to  
14 determine an average adjustment factor for NLCD tree cover estimates in developed land and determine recent  
15 tree cover changes. This photo-interpretation of change followed methods detailed in Nowak and Greenfield  
16 (2018b). Percent tree cover (%TC) in settlement areas by state was estimated as:

$$17 \quad \%TC \text{ in state} = \text{state NLCD \%TC} \times \text{national photo-interpreted \%TC} / \text{national NLCD \%TC}$$

18 Percent tree cover in settlement areas by year was set as follows:

- 19 • 1990 to 2011: used 2011 NLCD tree cover adjusted with 2011 photo-interpreted values
- 20 • 2012 to 2015: used 2011 NLCD tree cover adjusted with photo-interpreted values, which were  
21 interpolated from values between 2011 and 2016
- 22 • 2016 to 2020: used 2011 NLCD tree cover adjusted with 2016 photo-interpreted values

### 23 *Carbon Sequestration Density per Unit of Tree Cover*

24 Methods for quantifying settlement tree biomass, C sequestration, and C emissions from tree mortality and  
25 decomposition were taken directly from Nowak et al. (2013), Nowak and Crane (2002), and Nowak (1994). In  
26 general, net C sequestration estimates followed three steps, each of which is explained further in the paragraphs  
27 below. First, field data from cities and urban areas within entire states were used to estimate C in tree biomass  
28 from field data on measured tree dimensions. Second, estimates of annual tree growth and biomass increment  
29 were generated from published literature and adjusted for tree condition, crown competition, and growing season  
30 to generate estimates of gross C sequestration in settlement trees for all 50 states and the District of Columbia.  
31 Third, estimates of C emissions due to mortality and decomposition were subtracted from gross C sequestration  
32 estimates to obtain estimates of net C sequestration. Carbon storage, gross and net sequestration estimates were  
33 standardized per unit tree cover based on tree cover in the study area.

34 Settlement tree carbon estimates are based on published literature (Nowak et al. 2013; Nowak and Crane 2002;  
35 Nowak 1994) as well as newer data from the i-Tree database<sup>97</sup> and U.S. Forest Service urban forest inventory data  
36 (e.g., Nowak et al. 2016, 2017) (Table 6-118). These data are based on collected field measurements in several U.S.  
37 cities between 1989 and 2017. Carbon storage and sequestration in these cities were estimated using the U.S.  
38 Forest Service’s i-Tree Eco model (Nowak et al. 2008). This computer model uses standardized field data from  
39 randomly located plots, along with local hourly air pollution and meteorological data, to quantify urban forest  
40 structure, monetary values of the urban forest, and environmental effects, including total C stored and annual C  
41 sequestration (Nowak et al. 2013).

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<sup>97</sup> See <http://www.itreetools.org>.

1 In each city, a random sample of plots were measured to assess tree stem diameter, tree height, crown height and  
 2 crown width, tree location, species, and canopy condition. The data for each tree were used to estimate total dry-  
 3 weight biomass using allometric models, a root-to-shoot ratio to convert aboveground biomass estimates to whole  
 4 tree biomass, and wood moisture content. Total dry weight biomass was converted to C by dividing by two (50  
 5 percent carbon content). An adjustment factor of 0.8 was used for open grown trees to account for settlement  
 6 trees having less aboveground biomass for a given stem diameter than predicted by allometric models based on  
 7 forest trees (Nowak 1994). Carbon storage estimates for deciduous trees include only C stored in wood. Estimated  
 8 C storage was divided by tree cover in the area to estimate carbon storage per square meter of tree cover.

9 **Table 6-118: Carbon Storage (kg C/m<sup>2</sup> tree cover), Gross and Net Sequestration (kg C/m<sup>2</sup>**  
 10 **tree cover/year) and Tree Cover (percent) among Sampled U.S. Cities (see Nowak et al.**  
 11 **2013)**

City	Sequestration						Tree Cover		
	Storage	SE	Gross	SE	Net	SE	Ratio <sup>a</sup>	SE	
Adrian, MI	12.17	1.88	0.34	0.04	0.13	0.07	0.36	22.1	2.3
Albuquerque, NM	5.61	0.97	0.24	0.03	0.20	0.03	0.82	13.3	1.5
Arlington, TX	6.37	0.73	0.29	0.03	0.26	0.03	0.91	22.5	0.3
Atlanta, GA	6.63	0.54	0.23	0.02	0.18	0.03	0.76	53.9	1.6
Austin, TX	3.57	0.25	0.17	0.01	0.13	0.01	0.73	30.8	1.1
Baltimore, MD	10.30	1.24	0.33	0.04	0.20	0.04	0.59	28.5	1.0
Boise, ID	7.33	2.16	0.26	0.04	0.16	0.06	0.64	7.8	0.2
Boston, MA	7.02	0.96	0.23	0.03	0.17	0.02	0.73	28.9	1.5
Camden, NJ	11.04	6.78	0.32	0.20	0.03	0.10	0.11	16.3	9.9
Casper, WY	6.97	1.50	0.22	0.04	0.12	0.04	0.54	8.9	1.0
Chester, PA	8.83	1.20	0.39	0.04	0.25	0.05	0.64	20.5	1.7
Chicago (region), IL	9.38	0.59	0.38	0.02	0.26	0.02	0.70	15.5	0.3
Chicago, IL	6.03	0.64	0.21	0.02	0.15	0.02	0.70	18.0	1.2
Corvallis, OR	10.68	1.80	0.22	0.03	0.20	0.03	0.91	32.6	4.1
El Paso, TX	3.93	0.86	0.32	0.05	0.23	0.05	0.72	5.9	1.0
Freehold, NJ	11.50	1.78	0.31	0.05	0.20	0.05	0.64	31.2	3.3
Gainesville, FL	6.33	0.99	0.22	0.03	0.16	0.03	0.73	50.6	3.1
Golden, CO	5.88	1.33	0.23	0.05	0.18	0.04	0.79	11.4	1.5
Grand Rapids, MI	9.36	1.36	0.30	0.04	0.20	0.05	0.65	23.8	2.0
Hartford, CT	10.89	1.62	0.33	0.05	0.19	0.05	0.57	26.2	2.0
Houston, TX	4.55	0.48	0.31	0.03	0.25	0.03	0.83	18.4	1.0
Indiana <sup>b</sup>	8.80	2.68	0.29	0.08	0.27	0.07	0.92	20.1	3.2
Jersey City, NJ	4.37	0.88	0.18	0.03	0.13	0.04	0.72	11.5	1.7
Kansas <sup>b</sup>	7.42	1.30	0.28	0.05	0.22	0.04	0.78	14.0	1.6
Kansas City (region), MO/KS	7.79	0.85	0.39	0.04	0.26	0.04	0.67	20.2	1.7
Lake Forest Park, WA	12.76	2.63	0.49	0.07	0.42	0.07	0.87	42.4	0.8
Las Cruces, NM	3.01	0.95	0.31	0.14	0.26	0.14	0.86	2.9	1.0
Lincoln, NE	10.64	1.74	0.41	0.06	0.35	0.06	0.86	14.4	1.6
Los Angeles, CA	4.59	0.51	0.18	0.02	0.11	0.02	0.61	20.6	1.3
Milwaukee, WI	7.26	1.18	0.26	0.03	0.18	0.03	0.68	21.6	1.6
Minneapolis, MN	4.41	0.74	0.16	0.02	0.08	0.05	0.52	34.1	1.6
Moorestown, NJ	9.95	0.93	0.32	0.03	0.24	0.03	0.75	28.0	1.6
Morgantown, WV	9.52	1.16	0.30	0.04	0.23	0.03	0.78	39.6	2.2
Nebraska <sup>b</sup>	6.67	1.86	0.27	0.07	0.23	0.06	0.84	15.0	3.6
New York, NY	6.32	0.75	0.33	0.03	0.25	0.03	0.76	20.9	1.3
North Dakota <sup>b</sup>	7.78	2.47	0.28	0.08	0.13	0.08	0.48	2.7	0.6
Oakland, CA	5.24	0.19	NA	NA	NA	NA	NA	21.0	0.2
Oconomowoc, WI	10.34	4.53	0.25	0.10	0.16	0.06	0.65	25.0	7.9
Omaha, NE	14.14	2.29	0.51	0.08	0.40	0.07	0.78	14.8	1.6
Philadelphia, PA	8.65	1.46	0.33	0.05	0.29	0.05	0.86	20.8	1.8

Phoenix, AZ	3.42	0.50	0.38	0.04	0.35	0.04	0.94	9.9	1.2
Roanoke, VA	9.20	1.33	0.40	0.06	0.27	0.05	0.67	31.7	3.3
Sacramento, CA	7.82	1.57	0.38	0.06	0.33	0.06	0.87	13.2	1.7
San Francisco, CA	9.18	2.25	0.24	0.05	0.22	0.05	0.92	16.0	2.6
Scranton, PA	9.24	1.28	0.40	0.05	0.30	0.04	0.74	22.0	1.9
Seattle, WA	9.59	0.98	0.67	0.06	0.55	0.05	0.82	27.1	0.4
South Dakota <sup>b</sup>	3.14	0.66	0.13	0.03	0.11	0.02	0.87	16.5	2.2
Syracuse, NY	9.48	1.08	0.30	0.03	0.22	0.04	0.72	26.9	1.3
Tennessee <sup>b</sup>	6.47	0.50	0.34	0.02	0.30	0.02	0.89	37.7	0.8
Washington, DC	8.52	1.04	0.26	0.03	0.21	0.03	0.79	35.0	2.0
Woodbridge, NJ	8.19	0.82	0.29	0.03	0.21	0.03	0.73	29.5	1.7

1 SE (Standard Error)

2 NA (Not Available)

3 <sup>a</sup> Ratio of net to gross sequestration

4 <sup>b</sup> Statewide assessment of urban areas

5 To determine gross sequestration rates, tree growth rates need to be estimated. Base growth rates were  
6 standardized for open-grown trees in areas with 153 days of frost-free length based on measured data on tree  
7 growth (Nowak et al. 2013). These growth rates were adjusted to local tree conditions based on length of frost-  
8 free season, crown competition (as crown competition increased, growth rates decreased), and tree condition (as  
9 tree condition decreased, growth rates decreased). Annual growth rates were applied to each sampled tree to  
10 estimate gross annual sequestration—that is, the difference in C storage estimates between year 1 and year (x + 1)  
11 represents the gross amount of C sequestered. These annual gross C sequestration rates for each tree were then  
12 scaled up to city estimates using tree population information. Total C sequestration was divided by total tree cover  
13 to estimate a gross carbon sequestration density (kg C/m<sup>2</sup> of tree cover/year). The area of assessment for each city  
14 or state was defined by its political boundaries; parks and other forested urban areas were thus included in  
15 sequestration estimates.

16 Where gross C sequestration accounts for all C sequestered, net C sequestration for settlement trees considers C  
17 emissions associated with tree death and removals. The third step in the methodology estimates net C emissions  
18 from settlement trees based on estimates of annual mortality, tree condition, and assumptions about whether  
19 dead trees were removed from the site. Estimates of annual mortality rates by diameter class and condition class  
20 were obtained from a study of street-tree mortality (Nowak 1986). Different decomposition rates were applied to  
21 dead trees left standing compared with those removed from the site. For removed trees, different rates were  
22 applied to the removed/aboveground biomass in contrast to the belowground biomass (Nowak et al. 2002). The  
23 estimated annual gross C emission rates for each plot were then scaled up to city estimates using tree population  
24 information.

25 The full methodology development is described in the underlying literature, and key details and assumptions were  
26 made as follows. The allometric models applied to the field data for the Nowak methodology for each tree were  
27 taken from the scientific literature (see Nowak 1994, Nowak et al. 2002), but if no allometric model could be found  
28 for the particular species, the average result for the genus or botanical relative was used. The adjustment (0.8) to  
29 account for less live tree biomass in open-grown urban trees was based on information in Nowak (1994).  
30 Measured tree growth rates for street (Frelich 1992; Fleming 1988; Nowak 1994), park (deVries 1987), and forest  
31 (Smith and Shifley 1984) trees were standardized to an average length of growing season (153 frost free days) and  
32 adjusted for site competition and tree condition. Standardized growth rates of trees of the same species or genus  
33 were then compared to determine the average difference between standardized street tree growth and  
34 standardized park and forest growth rates. Crown light exposure (CLE) measurements (number of sides and/or top  
35 of tree exposed to sunlight) were used to represent forest, park, and open (street) tree growth conditions. Local  
36 tree base growth rates were then calculated as the average standardized growth rate for open-grown trees  
37 multiplied by the number of frost-free days divided by 153. Growth rates were then adjusted for CLE. The CLE-  
38 adjusted growth rate was then adjusted based on tree condition to determine the final growth rate. Assumptions  
39 for which dead trees would be removed versus left standing were developed specific to each land use and were

1 based on expert judgment of the authors. Decomposition rates were based on literature estimates (Nowak et al.  
2 2013).

3 Estimates of gross and net sequestration rates for each of the 50 states and the District of Columbia (Table 6-119)  
4 were compiled in units of C sequestration per unit area of tree canopy cover. These rates were used in conjunction  
5 with estimates of state settlement area and developed land percent tree cover data to calculate each state’s  
6 annual net C sequestration by urban trees. This method was described in Nowak et al. (2013) and has been  
7 modified here to incorporate developed land percent tree cover data.

8 Net annual C sequestration estimates were obtained for all 50 states and the District of Columbia by multiplying  
9 the gross annual emission estimates by 0.73, the average ratio for net/gross sequestration (Table 6-119). However,  
10 state specific ratios were used where available.

### 11 *State Carbon Sequestration Estimates*

12 The gross and net annual C sequestration values for each state were multiplied by each state’s settlement area of  
13 tree cover, which was the product of the state’s settlement area and the state’s tree cover percentage based on  
14 NLCD developed land. The model used to calculate the total carbon sequestration amounts for each state, can be  
15 written as follows:

#### 16 **Equation 6-1: Net State Annual Carbon Sequestration**

17 Net state annual C sequestration (t C/yr) = Gross state sequestration rate (t C/ha/yr) × Net to Gross state  
18 sequestration ratio × state settlement Area (ha) × % state tree cover in settlement area

19 The results for all 50 states and the District of Columbia are given in Table 6-119. This approach is consistent with  
20 the default IPCC Gain-Loss methodology in IPCC (2006), although sufficient field data are not yet available to  
21 separately determine interannual gains and losses in C stocks in the living biomass of settlement trees. Instead, the  
22 methodology applied here uses estimates of net C sequestration based on modeled estimates of decomposition,  
23 as given by Nowak et al. (2013).

24 **Table 6-119: Estimated Annual C Sequestration, Tree Cover, and Annual C Sequestration per**  
25 **Area of Tree Cover for settlement areas in the United States by State and the District of**  
26 **Columbia (2021)**

State	Gross Annual Sequestration (Metric Tons C/Year)	Net Annual Sequestration (Metric Tons C/Year)	Tree Cover (Percent)	Gross Annual Sequestration per Area of Tree Cover (kg C/m <sup>2</sup> /Year)	Net Annual Sequestration per Area of Tree Cover (kg C/m <sup>2</sup> /Year)	Net: Gross Annual Sequestration Ratio
Alabama	2,237,744	1,630,587	53.2	0.376	0.274	0.73
Alaska	147,132	107,212	47.1	0.169	0.123	0.73
Arizona	165,651	120,706	4.5	0.388	0.283	0.73
Arkansas	1,311,140	955,394	48.6	0.362	0.264	0.73
California	2,015,600	1,468,717	16.8	0.426	0.311	0.73
Colorado	142,617	103,922	7.9	0.216	0.157	0.73
Connecticut	645,185	470,130	58.3	0.262	0.191	0.73
Delaware	101,454	73,927	24.3	0.366	0.267	0.73
DC	12,936	9,426	24.9	0.366	0.267	0.73
Florida	4,611,318	3,360,150	40.0	0.520	0.379	0.73
Georgia	3,855,749	2,809,586	56.0	0.387	0.282	0.73
Hawaii	302,417	220,363	41.4	0.637	0.464	0.73
Idaho	59,784	43,563	7.4	0.201	0.146	0.73
Illinois	670,100	488,285	15.4	0.310	0.226	0.73
Indiana	478,924	442,841	17.0	0.274	0.254	0.92
Iowa	177,970	129,682	8.5	0.263	0.191	0.73
Kansas	288,544	224,536	10.7	0.310	0.241	0.78



Kentucky	983,018	716,300	36.5	0.313	0.228	0.73
Louisiana	1,579,396	1,150,865	46.7	0.435	0.317	0.73
Maine	441,832	321,952	55.2	0.242	0.176	0.73
Maryland	852,295	621,045	39.8	0.353	0.257	0.73
Massachusetts	1,087,795	792,648	56.9	0.278	0.203	0.73
Michigan	1,405,750	1,024,334	34.4	0.241	0.175	0.73
Minnesota	324,971	236,798	13.0	0.251	0.183	0.73
Mississippi	1,619,525	1,180,107	56.9	0.377	0.275	0.73
Missouri	876,489	638,675	23.0	0.313	0.228	0.73
Montana	45,227	32,956	4.8	0.201	0.147	0.73
Nebraska	97,883	82,600	7.3	0.261	0.220	0.84
Nevada	35,830	26,108	4.8	0.226	0.165	0.73
New Hampshire	389,857	284,079	58.9	0.238	0.174	0.73
New Jersey	958,420	698,376	40.5	0.321	0.234	0.73
New Mexico	189,487	138,075	10.1	0.288	0.210	0.73
New York	1,601,568	1,167,022	39.7	0.263	0.192	0.73
North Carolina	3,423,492	2,494,611	53.8	0.341	0.249	0.73
North Dakota	18,755	8,912	1.7	0.244	0.116	0.48
Ohio	1,275,219	929,220	28.1	0.271	0.198	0.73
Oklahoma	721,283	525,580	21.9	0.364	0.265	0.73
Oregon	674,215	491,283	39.6	0.265	0.193	0.73
Pennsylvania	1,896,783	1,382,137	39.9	0.267	0.195	0.73
Rhode Island	126,971	92,521	49.6	0.283	0.206	0.73
South Carolina	2,027,815	1,477,617	53.4	0.370	0.269	0.73
South Dakota	29,388	25,485	2.8	0.258	0.224	0.87
Tennessee	1,673,175	1,496,015	40.8	0.332	0.297	0.89
Texas	4,403,317	3,208,585	28.3	0.403	0.294	0.73
Utah	119,889	87,360	11.6	0.235	0.172	0.73
Vermont	186,736	136,070	50.2	0.234	0.170	0.73
Virginia	2,095,911	1,527,237	52.5	0.321	0.234	0.73
Washington	1,133,393	825,874	37.3	0.282	0.206	0.73
West Virginia	769,654	560,827	63.7	0.264	0.192	0.73
Wisconsin	711,367	518,355	25.7	0.246	0.180	0.73
Wyoming	29,597	21,566	4.7	0.199	0.145	0.73
<b>Total</b>	<b>51,030,569</b>	<b>37,580,224</b>				

## 1 Uncertainty

2 Uncertainty associated with changes in C stocks in settlement trees includes the uncertainty associated with  
3 settlement area, percent tree cover in developed land and how well it represents percent tree cover in settlement  
4 areas, and estimates of gross and net C sequestration for each of the 50 states and the District of Columbia. A 10  
5 percent uncertainty was associated with settlement area estimates based on expert judgment. Uncertainty  
6 associated with estimates of percent settlement tree coverage for each of the 50 states was based on standard  
7 error associated with the photo-interpretation of national tree cover in developed lands. Uncertainty associated  
8 with estimates of gross and net C sequestration for each of the 50 states and the District of Columbia was based on  
9 standard error estimates for each of the state-level sequestration estimates (Table 6-120). These estimates are  
10 based on field data collected in each of the 50 states and the District of Columbia, and uncertainty in these  
11 estimates increases as they are scaled up to the national level.

12 Additional uncertainty is associated with the biomass models, conversion factors, and decomposition assumptions  
13 used to calculate C sequestration and emission estimates (Nowak et al. 2002). These results also exclude changes  
14 in soil C stocks, and there is likely some overlap between the settlement tree C estimates and the forest tree C  
15 estimates (e.g., Nowak et al. 2013). Due to data limitations, settlement soil flux is not quantified as part of this

1 analysis, while reconciliation of settlement tree and forest tree estimates will be addressed through the land-  
 2 representation effort described in the Planned Improvements section of this chapter.

3 A Monte Carlo (Approach 2) uncertainty analysis was applied to estimate the overall uncertainty of the  
 4 sequestration estimate in 2021. The results of this quantitative uncertainty analysis are summarized in Table  
 5 6-120. The change in C stocks in Settlement Trees in 2021 was estimated to be between -208.1 and -66.95 MMT  
 6 CO<sub>2</sub> Eq. at a 95 percent confidence level. This analysis indicates a range of 51 percent more sequestration to 51  
 7 percent less sequestration than the 2021 flux estimate of -137.79 MMT CO<sub>2</sub> Eq.

8 **Table 6-120: Approach 2 Quantitative Uncertainty Estimates for Net CO<sub>2</sub> Flux from Changes**  
 9 **in C Stocks in Settlement Trees (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
			(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Changes in C Stocks in Settlement Trees	CO <sub>2</sub>	(137.8)	(208.1)	(67.0)	-51%	51%

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation with a 95 percent confidence interval.

Note: Parentheses indicate negative values or net sequestration.

## 10 QA/QC and Verification

11 Tier 1 and Tier 2 QA/QC activities were conducted consistent with the U.S. QA/QC plan. Source-specific quality  
 12 control measures for settlement trees included checking input data, documentation, and calculations to ensure  
 13 data were properly handled through the inventory process. Errors that were found during this process were  
 14 corrected as necessary.

## 15 Recalculations Discussion

16 The compilation methods remained the same in the latest inventory relative to the previous Inventory. New data  
 17 from the NRI and NLCD resulted in an increase in the settlement area for 2020, leading to a 5 percent increase in  
 18 the net C sequestration (Table 6-121).

19 **Table 6-121: Recalculations of the Settlement Tree Categories**

Category	Previous Estimate 2020, 2022 Inventory	Current Estimate 2020, 2023 Inventory	Current Estimate 2021, 2023 Inventory
Settlement Area (km <sup>2</sup> )	447,973	466,511	469,705
Settlement Tree Coverage (km <sup>2</sup> )	143,019	150,541	151,694
Net C Flux (MMT C)	(35.4)	(37.3)	(37.6)
Net CO <sub>2</sub> Flux MMT CO <sub>2</sub> Eq.	(129.8)	(136.7)	(137.8)

## 20 Planned Improvements

21 A consistent representation of the managed land base in the United States is discussed in Section 6.1  
 22 Representation of the U.S. Land Base, and discusses a planned improvement by the USDA Forest Service to  
 23 reconcile the overlap between Settlement Trees and the forest land categories. Estimates for Settlement Trees are  
 24 based on tree cover in settlement areas. Work is needed to clarify how much of this settlement area tree cover  
 25 may also be accounted for in “forest” area assessments as some of these forests may be adjacent to settlement  
 26 areas. For example, “forest” as defined by the USDA Forest Service Forest Inventory and Analysis (FIA) program fall  
 27 within urban areas. Nowak et al. (2013) estimates that 1.5 percent of forest plots measured by the FIA program fall  
 28 within land designated as Census urban, suggesting that approximately 1.5 percent of the C reported in the Forest

1 source category might also be counted in the urban areas. The potential overlap with settlement areas is unknown  
 2 at this time but research is underway to develop spatially explicit and spatially continuous land representation  
 3 products which will eliminate the potential for double counting. Future research may also enable more complete  
 4 coverage of changes in the C stock of trees for all settlements land.

5 To provide more accurate emissions estimates in the future, the following actions will be taken:

- 6 a) Photo-interpret settlement tree cover in 2021 to update tree cover estimates and trends
- 7 b) Update photo-interpretation for settlement areas using 2016 NLCD developed land information
- 8 c) Develop spatially explicit and spatially continuous representations of land to eliminate the overlap  
 9 between forest and settlement areas, as well as allow for improved estimates in "settlement areas."

## 10 N<sub>2</sub>O Emissions from Settlement Soils (CRF Source Category 11 4E1)

12 Of the synthetic N fertilizers applied to soils in the United States, approximately 1 to 2 percent are currently  
 13 applied to lawns, golf courses, and other landscaping within settlement areas, and contributes to soil N<sub>2</sub>O  
 14 emissions. The area of settlements is considerably smaller than other land uses that are managed with fertilizer,  
 15 particularly cropland soils, and therefore, settlements account for a smaller proportion of total synthetic fertilizer  
 16 application in the United States. In addition to synthetic N fertilizers, a portion of surface applied biosolids (i.e.,  
 17 treated sewage sludge) is used as an organic fertilizer in settlement areas, and drained organic soils (i.e., soils with  
 18 high organic matter content, known as *histosols*) also contribute to emissions of soil N<sub>2</sub>O.

19 N additions to soils result in direct and indirect N<sub>2</sub>O emissions. Direct emissions occur on-site due to the N  
 20 additions in the form of synthetic fertilizers and biosolids as well as enhanced mineralization of N in drained  
 21 organic soils. Indirect emissions result from fertilizer and biosolids N that is transformed and transported to  
 22 another location in a form other than N<sub>2</sub>O (i.e., ammonia [NH<sub>3</sub>] and nitrogen oxide [NO<sub>x</sub>] volatilization, nitrate  
 23 [NO<sub>3</sub><sup>-</sup>] leaching and runoff), and later converted into N<sub>2</sub>O at the off-site location. The indirect emissions are  
 24 assigned to settlements because the management activity leading to the emissions occurred in settlements.

25 Total N<sub>2</sub>O emissions from soils in Settlements Remaining Settlements<sup>98</sup> are 2.1 MMT CO<sub>2</sub> Eq. (8 kt of N<sub>2</sub>O) in 2021.  
 26 There is an overall increase of 15 percent from 1990 to 2021 due to an expanding settlement area leading to more  
 27 synthetic N fertilizer applications that peaked in the mid-2000s. Inter-annual variability in these emissions is  
 28 directly attributable to variability in total synthetic fertilizer consumption, area of drained organic soils, and  
 29 biosolids applications in the United States. Emissions from this source are summarized in Table 6-122.

30 **Table 6-122: N<sub>2</sub>O Emissions from Soils in Settlements Remaining Settlements (MMT CO<sub>2</sub> Eq.  
 31 and kt N<sub>2</sub>O)**

	1990	2005	2017	2018	2019	2020	2021
<b>MMT CO<sub>2</sub> Eq.</b>							
<b>Direct N<sub>2</sub>O Emissions from Soils</b>	<b>1.5</b>	<b>2.2</b>	<b>1.6</b>	<b>1.7</b>	<b>1.7</b>	<b>1.7</b>	<b>1.7</b>
Synthetic Fertilizers	0.8	1.5	0.7	0.8	0.8	0.8	0.8
Biosolids	0.2	0.1	0.1	0.1	0.1	0.1	0.1
Drained Organic Soils	0.5	0.6	0.7	0.7	0.7	0.7	0.7
<b>Indirect N<sub>2</sub>O Emissions from Soils</b>	<b>0.3</b>	<b>0.5</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>	<b>0.3</b>
<b>Total</b>	<b>1.8</b>	<b>2.8</b>	<b>1.9</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.1</b>
<b>kt N<sub>2</sub>O</b>							
<b>Direct N<sub>2</sub>O Emissions from Soils</b>	<b>6</b>	<b>8</b>	<b>6</b>	<b>6</b>	<b>6</b>	<b>6</b>	<b>6</b>
Synthetic Fertilizers	3	5	3	3	3	3	3

<sup>98</sup> Estimates of Soil N<sub>2</sub>O for Settlements Remaining Settlements include emissions from Land Converted to Settlements because it was not possible to separate the activity data.

Biosolids	1	1	1	1	1	1	1
Drained Organic Soils	2	2	3	3	3	3	3
<b>Indirect N<sub>2</sub>O Emissions from Soils</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
<b>Total</b>	<b>7</b>	<b>10</b>	<b>7</b>	<b>7</b>	<b>8</b>	<b>8</b>	<b>8</b>

Note: Totals may not sum due to independent rounding.

## 1 Methodology and Time-Series Consistency

2 For settlement soils, the IPCC Tier 1 approach is used to estimate soil N<sub>2</sub>O emissions from synthetic N fertilizer,  
3 biosolids additions, and drained organic soils. Estimates of direct N<sub>2</sub>O emissions from soils in settlements are based  
4 on the amount of N in synthetic commercial fertilizers applied to settlement soils, the amount of N in biosolids  
5 applied to non-agricultural land and surface disposal (see Section 7.2—Wastewater Treatment and Discharge for a  
6 detailed discussion of the methodology for estimating biosolids available for non-agricultural land application), and  
7 the area of drained organic soils within settlements.

8 Nitrogen applications to settlement soils are estimated using data compiled by the USGS (Brakebill and Gronberg  
9 2017). The USGS estimated on-farm and non-farm fertilizer use is based on sales records at the county level from  
10 1987 through 2012 (Brakebill and Gronberg 2017). Non-farm N fertilizer is assumed to be applied to settlements  
11 and forest lands; values for 2013 through 2017 are based on 2012 values adjusted for total annual total N fertilizer  
12 sales in the United States (AAPFCO 2016 through 2022) because there are no activity data on non-farm application  
13 after 2012. Settlement application is calculated by subtracting forest application from total non-farm fertilizer use.  
14 The amount of synthetic fertilization from 2018 to 2021 is determined using a linear extrapolation method (See  
15 Box 6-4 in Cropland Remaining Cropland). This method is based on a linear regression model with moving-average  
16 (ARMA) errors using the 1990 to 2017 fertilization data, and linear extrapolation. The total amount of fertilizer N  
17 applied to settlements is multiplied by the IPCC default emission factor (1 percent) to estimate direct N<sub>2</sub>O  
18 emissions (IPCC 2006) for 1990 to 2021.

19 Biosolids applications are derived from national data on biosolids generation, disposition, and N content (see  
20 Section 7.2, Wastewater Treatment for further detail). The total amount of N resulting from these sources is  
21 multiplied by the IPCC default emission factor for applied N (one percent) to estimate direct N<sub>2</sub>O emissions (IPCC  
22 2006) for 1990 to 2021.

23 The IPCC (2006) Tier 1 method is also used to estimate direct N<sub>2</sub>O emissions due to drainage of organic soils in  
24 settlements at the national scale. Estimates of the total area of drained organic soils are obtained from the 2015  
25 NRI (USDA-NRCS 2018) using soils data from the Soil Survey Geographic Database (SSURGO) (Soil Survey Staff  
26 2011). To estimate annual emissions from 1990 to 2015, the total area is multiplied by the IPCC default emission  
27 factor for temperate regions (IPCC 2006). This Inventory does not include soil N<sub>2</sub>O emissions from drainage of  
28 organic soils in Alaska and federal lands, although this is a planned improvement for a future Inventory.

29 For indirect emissions, the total N applied from fertilizer and biosolids is multiplied by the IPCC default factors of  
30 10 percent for volatilization and 30 percent for leaching/runoff to calculate the amount of N volatilized and the  
31 amount of N leached/runoff. The amount of N volatilized is multiplied by the IPCC default factor of one percent for  
32 the portion of volatilized N that is converted to N<sub>2</sub>O off-site and the amount of N leached/runoff is multiplied by  
33 the IPCC default factor of 0.075 percent for the portion of leached/runoff N that is converted to N<sub>2</sub>O off-site. The  
34 resulting estimates are summed to obtain total indirect emissions from 1990 to 2021 for biosolids and synthetic  
35 fertilization.

36 In order to ensure time-series consistency, the same methods are applied from 1990 to 2021 for biosolids. For  
37 synthetic fertilizer, a linear extrapolation method is used to approximate fertilizer application for the remainder of  
38 the 2018 to 2021 time series and then used to estimate emissions. For drainage of organic soils, the methods  
39 described above are applied for 1990 to 2015, and a linear extrapolation method is used to approximate emissions  
40 for the remainder of the 2016 to 2021 time series (See Box 6-4 in Cropland Remaining Cropland). The extrapolation  
41 is based on a linear regression model with moving-average (ARMA) errors using the 1990 to 2015 emissions data,  
42 and, and is a standard data splicing method for imputing missing emissions data in a time series (IPCC 2006). The

1 time series will be recalculated in a future Inventory with the methods described previously for drainage of organic  
 2 soils.

### 3 **Uncertainty**

4 The amount of N<sub>2</sub>O emitted from settlement soils depends not only on N inputs and area of drained organic soils,  
 5 but also on a large number of variables that can influence rates of nitrification and denitrification, including organic  
 6 C availability; rate, application method, and timing of N input; oxygen gas partial pressure; soil moisture content;  
 7 pH; temperature; and irrigation/watering practices. The effect of the combined interaction of these variables on  
 8 N<sub>2</sub>O emissions is complex and highly uncertain. The IPCC default methodology does not explicitly incorporate these  
 9 variables, except variation in the total amount of fertilizer N and biosolids application, which leads to uncertainty  
 10 in the results.

11 Uncertainties exist in both the fertilizer N and biosolids application rates in addition to the emission factors.  
 12 Uncertainty in fertilizer N application is assigned a default level of ±50 percent.<sup>99</sup> Uncertainty in the area of  
 13 drained organic soils is based on the estimated variance from the NRI survey (USDA-NRCS 2018). There is also  
 14 additional uncertainty associated with the fit of the linear regression model for the data splicing methods that was  
 15 used to estimate emissions associated with drainage of organic soils.

16 Uncertainty is propagated through the calculations of N<sub>2</sub>O emissions from fertilizer N and drainage of organic soils  
 17 based on a Monte Carlo analysis. The results are combined with the uncertainty in N<sub>2</sub>O emissions from the  
 18 biosolids application using simple error propagation methods (IPCC 2006). The results are summarized in Table  
 19 6-123. Direct N<sub>2</sub>O emissions from soils in Settlements Remaining Settlements in 2021 are estimated to be between  
 20 0.7 and 3.1 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level. This indicates a range of 57 percent below to 85 percent  
 21 above the 2021 emission estimate of 1.7 MMT CO<sub>2</sub> Eq. Indirect N<sub>2</sub>O emissions in 2021 are between 0.1 and 1.0  
 22 MMT CO<sub>2</sub> Eq., ranging from 78 percent below to 223 percent above the estimate of 0.3 MMT CO<sub>2</sub> Eq.

23 **Table 6-123: Quantitative Uncertainty Estimates of N<sub>2</sub>O Emissions from Soils in Settlements**  
 24 **Remaining Settlements (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Emissions (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Emission Estimate <sup>a</sup> (MMT CO <sub>2</sub> Eq.)			
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
<b>Settlements Remaining Settlements</b>						
Direct N <sub>2</sub> O Emissions from Soils	N <sub>2</sub> O	1.7	0.7	3.1	-57%	85%
Indirect N <sub>2</sub> O Emissions from Soils	N <sub>2</sub> O	0.3	0.1	1.0	-78%	223%

<sup>a</sup> Range of emission estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.  
 Note: These estimates include direct and indirect N<sub>2</sub>O emissions from Settlements Remaining Settlements and Land  
 Converted to Settlements because it was not possible to separate the activity data.

### 25 **QA/QC and Verification**

26 The spreadsheet containing fertilizer, drainage of organic soils, and biosolids applied to settlements and  
 27 calculations for N<sub>2</sub>O and uncertainty ranges have been checked. An error was found in the uncertainty calculation  
 28 and also some links in the spreadsheets that were causing errors. These errors were corrected.

<sup>99</sup> No uncertainty is provided with the USGS fertilizer consumption data (Brakebill and Gronberg 2017) so a conservative ±50 percent is used in the analysis. Biosolids data are also assumed to have an uncertainty of ±50 percent.

## 1    **Recalculations Discussion**

2    Recalculations are associated with updated estimates for total fertilizers sales in a new AAPFCO report (AAPFCO  
3    2022), along with revisions to the estimates derived from the linear extrapolation method.

4    EPA also updated the global warming potential (GWP) for calculating CO<sub>2</sub>-equivalent emissions of N<sub>2</sub>O (from 298 to  
5    265) to reflect the 100-year GWP provided in the IPCC *Fifth Assessment Report* (AR5) (IPCC 2013). The previous  
6    Inventory used the 100-year GWP provided in the IPCC *Fourth Assessment Report* (AR4). This update was applied  
7    across the entire time series.

8    As a result, calculated CO<sub>2</sub>-equivalent total N<sub>2</sub>O emissions from settlement soils have decreased by an average  
9    value of 0.3 MMT CO<sub>2</sub> Eq. across the time series. This represents a 12 percent decrease in emissions compared to  
10   the previous Inventory.

11   Further discussion on this update and the overall impacts of updating the inventory GWP values to reflect the AR5  
12   can be found in Chapter 9, Recalculations and Improvements.

## 13   **Planned Improvements**

14   This source will be extended to include soil N<sub>2</sub>O emissions from drainage of organic soils in settlements of Alaska  
15   and federal lands in order to provide a complete inventory of emissions for this category. In addition, this  
16   Inventory needs to be updated with the latest land use data from the USDA National Resources Inventory (See  
17   Planned Improvements in Settlements Remaining Settlements). Data on fertilizer amounts from 2018 to 2021 and  
18   latest area data on drained organic soils will be incorporated into a future Inventory and used to recalculate the  
19   time series.

## 20   **Changes in Yard Trimmings and Food Scrap Carbon Stocks in** 21   **Landfills (CRF Category 4E1)**

22   In the United States, yard trimmings (i.e., grass clippings, leaves, and branches) and food scraps account for a  
23   significant portion of the municipal waste stream, and a large fraction of the collected yard trimmings and food  
24   scraps are put in landfills. A portion of the carbon (C) contained in landfilled yard trimmings and food scraps can be  
25   stored for very long periods.

26   Carbon storage estimates within the Inventory are associated with particular land uses. For example, harvested  
27   wood products are reported under Forest Land Remaining Forest Land because these wood products originated  
28   from the forest ecosystem. Similarly, C stock changes in yard trimmings and food scraps are reported under  
29   Settlements Remaining Settlements because the bulk of the C, which comes from yard trimmings, originates from  
30   settlement areas. While the majority of food scraps originate from cropland and grassland, in this Inventory they  
31   are reported with the yard trimmings in the Settlements Remaining Settlements section. Additionally, landfills are  
32   considered part of the managed land base under settlements (see Section 6.1 Representation of the U.S. Land  
33   Base), and reporting these C stock changes that occur entirely within landfills fits most appropriately within the  
34   Settlements Remaining Settlements section. The CH<sub>4</sub> emissions resulting from anaerobic decomposition of yard  
35   trimmings and food scraps in landfills are reported in the Waste chapter, see Section 7.1—Landfills.

36   The estimated amount of yard trimmings collected annually has stagnated since 1990 and the fraction that is  
37   landfilled has been declining since 1990. From 1970 to 1990, yard trimmings collected for disposal increased by  
38   about 51 percent. In 1990, over 53 million metric tons (wet weight) of yard trimmings and food scraps are  
39   estimated to have been generated (i.e., put at the curb for collection to be taken to disposal sites or to composting  
40   facilities) (EPA 2020). Since then, programs banning or discouraging yard trimmings disposal to landfills have led to  
41   an increase in backyard composting and the use of mulching mowers, and consequently a slowing of year-over-  
42   year increases in the tonnage of yard trimmings generated. From 1990 to 2021, yard trimmings collected for

disposal are estimated to have increased 1.1. percent. At the same time, an increase in the number of municipal composting facilities has reduced the proportion of collected yard trimmings that are discarded in landfills per year—from 72 percent in 1990 to 30 percent in 2021. The net effect of the slight increase in generation and the increase in composting is a 58 percent decrease in the quantity of yard trimmings disposed of in landfills since 1990. Composting trends and emissions estimations are presented in the Waste chapter, Section 7.3 Composting.

Food scrap generation has grown by an estimated 165 percent since 1990. Though the proportion of total food scraps generated that are eventually discarded in landfills has decreased from an estimated 82 percent in 1990 to 55 percent in 2020, the tonnage disposed of in landfills has increased considerably (by an estimated 78 percent) due to the increase in food scrap generation. Although the total tonnage of food scraps disposed of in landfills has increased from 1990 to 2021, the difference in the amount of food scraps added from one year to the next generally decreased, and consequently the annual carbon stock *net changes* from food scraps have generally decreased as well (as shown in Table 6-124 and Table 6-125). Landfilled food scraps decompose over time, producing CH<sub>4</sub> and CO<sub>2</sub>. Decomposition happens at a higher rate initially, then decreases. As decomposition decreases, the carbon stock becomes more stable. Because the cumulative carbon stock left in the landfill from previous years is (1) not decomposing as much as the carbon introduced from food scraps in a single more recent year; and (2) is much larger than the carbon introduced from food scraps in a single more recent year, the total carbon stock in the landfill is primarily driven by the more stable “older” carbon stock, thus resulting in decreasing annual changes in later years.

Overall, the decrease in the landfill disposal rate of yard trimmings has more than compensated for the increase in food scrap disposal in landfills, and the net result is a decrease in the annual net change in landfill C storage from 24.5 MMT CO<sub>2</sub> Eq. (6.7 MMT C) in 1990 to 12.6 MMT CO<sub>2</sub> Eq. (3.4 MMT C) in 2021 (Table 6-124 and Table 6-125), a decrease of 51 percent over the time series.

**Table 6-124: Net Changes in Yard Trimmings and Food Scrap Carbon Stocks in Landfills (MMT CO<sub>2</sub> Eq.)**

Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Yard Trimmings</b>	<b>(20.1)</b>	<b>(7.5)</b>	<b>(8.3)</b>	<b>(8.3)</b>	<b>(8.2)</b>	<b>(8.2)</b>	<b>(8.1)</b>
Grass	(1.7)	(0.6)	(0.8)	(0.8)	(0.8)	(0.8)	(0.7)
Leaves	(8.7)	(3.4)	(3.8)	(3.8)	(3.8)	(3.8)	(3.7)
Branches	(9.8)	(3.4)	(3.7)	(3.7)	(3.7)	(3.7)	(3.6)
<b>Food Scraps</b>	<b>(4.4)</b>	<b>(3.9)</b>	<b>(5.6)</b>	<b>(5.2)</b>	<b>(4.8)</b>	<b>(4.5)</b>	<b>(4.5)</b>
<b>Total Net Flux</b>	<b>(24.5)</b>	<b>(11.4)</b>	<b>(13.8)</b>	<b>(13.4)</b>	<b>(13.1)</b>	<b>(12.8)</b>	<b>(12.6)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

**Table 6-125: Net Changes in Yard Trimmings and Food Scrap Carbon Stocks in Landfills (MMT C)**

Carbon Pool	1990	2005	2017	2018	2019	2020	2021
<b>Yard Trimmings</b>	<b>(5.5)</b>	<b>(2.0)</b>	<b>(2.3)</b>	<b>(2.3)</b>	<b>(2.2)</b>	<b>(2.2)</b>	<b>(2.2)</b>
Grass	(0.5)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)	(0.2)
Leaves	(2.4)	(0.9)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)
Branches	(2.7)	(0.9)	(1.0)	(1.0)	(1.0)	(1.0)	(1.0)
<b>Food Scraps</b>	<b>(1.2)</b>	<b>(1.1)</b>	<b>(1.5)</b>	<b>(1.4)</b>	<b>(1.3)</b>	<b>(1.2)</b>	<b>(1.2)</b>
<b>Total Net Flux</b>	<b>(6.7)</b>	<b>(3.1)</b>	<b>(3.8)</b>	<b>(3.7)</b>	<b>(3.6)</b>	<b>(3.5)</b>	<b>(3.4)</b>

Notes: Totals may not sum due to independent rounding. Parentheses indicate net sequestration.

## Methodology and Time-Series Consistency

When wastes of biogenic origin (such as yard trimmings and food scraps) are landfilled and do not completely decompose, the C that remains is effectively removed from the C cycle. Empirical evidence indicates that yard

1 trimmings and food scraps do not completely decompose in landfills (Barlaz 1998, 2005, 2008; De la Cruz and  
2 Barlaz 2010), and thus the stock of C in landfills can increase, with the net effect being a net atmospheric removal  
3 of C. Estimates of net C flux resulting from landfilled yard trimmings and food scraps were developed by estimating  
4 the change in landfilled C stocks between inventory years and uses a country-specific methodology based on the  
5 methodology for estimating the amount of harvested wood products stored in solid waste disposal systems that is  
6 provided in the *Land Use, Land-Use Change, and Forestry* sector in IPCC (2003) and the *2006 IPCC Guidelines for*  
7 *National Greenhouse Gas Inventories* (IPCC 2006). Carbon stock estimates were calculated by determining the  
8 mass of landfilled C resulting from yard trimmings and food scraps discarded in a given year; adding the  
9 accumulated landfilled C from previous years; and subtracting the mass of C that was landfilled in previous years  
10 and has since decomposed and been emitted as CO<sub>2</sub> and CH<sub>4</sub>.

11 To determine the total landfilled C stocks for a given year, the following data and factors were assembled:

- 12 (1) The composition of the yard trimmings (i.e., the proportion of grass, leaves and branches);
- 13 (2) The mass of yard trimmings and food scraps discarded in landfills;
- 14 (3) The C storage factor of the landfilled yard trimmings and food scraps; and
- 15 (4) The rate of decomposition of the degradable C.

16 The composition of yard trimmings was assumed to be 30 percent grass clippings, 40 percent leaves, and 30  
17 percent branches on a wet weight basis (Oshins and Block 2000). The yard trimmings were subdivided, because  
18 each component has its own unique adjusted C storage factor (i.e., moisture content and C content) and rate of  
19 decomposition. The mass of yard trimmings and food scraps disposed of in landfills was estimated by multiplying  
20 the quantity of yard trimmings and food scraps discarded by the proportion of discards managed in landfills. Data  
21 on discards (i.e., the amount generated minus the amount diverted to centralized composting facilities) for both  
22 yard trimmings and food scraps were taken primarily from *Advancing Sustainable Materials Management: Facts*  
23 *and Figures 2018* (EPA 2020), which provides data for 1960, 1970, 1980, 1990, 2000, 2005, 2010, 2015, 2017 and  
24 2018. To provide data for some of the missing years, detailed backup data were obtained from the 2012, 2013, and  
25 2014, 2015, and 2017 versions of the *Advancing Sustainable Materials Management: Facts and Figures* reports  
26 (EPA 2019), as well as historical data tables that EPA developed for 1960 through 2012 (EPA 2016). Remaining  
27 years in the time series for which data were not provided were estimated using linear interpolation. Since the  
28 *Advancing Sustainable Materials Management: Facts and Figures* reports for 2019, 2020, and 2021 were  
29 unavailable, landfilled material generation, recovery, and disposal data for 2019, 2020, and 2021 were proxied  
30 equal to 2018 values.

31 The amount of C disposed of in landfills each year, starting in 1960, was estimated by converting the discarded  
32 landfilled yard trimmings and food scraps from a wet weight to a dry weight basis, and then multiplying by the  
33 initial (i.e., pre-decomposition) C content (as a fraction of dry weight). The dry weight of landfilled material was  
34 calculated using dry weight to wet weight ratios (Tchobanoglous et al. 1993, cited by Barlaz 1998) and the initial C  
35 contents and the C storage factors were determined by Barlaz (1998, 2005, 2008).

36 The amount of C remaining in the landfill for each subsequent year was tracked based on a simple model of C fate  
37 based on a laboratory experiment simulating decomposition of landfilled biogenic materials by methanogenic  
38 microbes (Barlaz 1998, 2005, 2008). Carbon remaining in landfilled materials is expressed as a proportion of initial  
39 C content, shown in the row labeled “C Storage Factor, Proportion of Initial C Stored (%)” in Table 6-126.

40 The modeling approach applied to simulate U.S. landfill C flows builds on the findings of Barlaz (1998, 2005, 2008).  
41 The proportion of C stored is assumed to persist in landfills. The remaining portion is assumed to degrade over



1 time, resulting in emissions of CH<sub>4</sub> and CO<sub>2</sub>.<sup>100</sup> The degradable portion of the C is assumed to decay according to  
2 first-order kinetics. The decay rates for each of the materials are shown in Table 6-126.

3 The first-order decay rates, *k*, for each waste component are derived from De la Cruz and Barlaz (2010):

- 4 • De la Cruz and Barlaz (2010) calculate first-order decay rates using laboratory data published in Eleazer et  
5 al. (1997), and a correction factor, *f*, is calculated so that the weighted average decay rate for all  
6 components is equal to the EPA AP-42 default decay rate (0.04) for mixed MSW for regions that receive  
7 more than 25 inches of rain annually (EPA 1995). Because AP-42 values were developed using landfill data  
8 from approximately 1990, De la Cruz and Barlaz used 1990 waste composition for the United States from  
9 EPA's *Characterization of Municipal Solid Waste in the United States: 1990 Update* (EPA 1991) to calculate  
10 *f*. De la Cruz and Barlaz multiplied this correction factor by the Eleazer et al. (1997) decay rates of each  
11 waste component to develop field-scale first-order decay rates.
- 12 • De la Cruz and Barlaz (2010) also use other assumed initial decay rates for mixed MSW in place of the AP-  
13 42 default value based on different types of environments in which landfills in the United States are  
14 located, including dry conditions (less than 25 inches of rain annually, *k*=0.02) and bioreactor landfill  
15 conditions (moisture is controlled for rapid decomposition, *k*=0.12).

16 Similar to the methodology in the Landfills section of the Inventory (Section 7.1), which estimates CH<sub>4</sub> emissions,  
17 the overall MSW decay rate is estimated by partitioning the U.S. landfill population into three categories based on  
18 annual precipitation ranges of: (1) Less than 20 inches of rain per year, (2) 20 to 40 inches of rain per year, and (3)  
19 greater than 40 inches of rain per year. These correspond to overall MSW decay rates of 0.020, 0.038, and 0.057  
20 year<sup>-1</sup>, respectively. De la Cruz and Barlaz (2010) calculate component-specific decay rates corresponding to the  
21 first value (0.020 year<sup>-1</sup>), but not for the other two overall MSW decay rates.

22 To maintain consistency between landfill-related methodologies across the Inventory, EPA developed correction  
23 factors (*f*) for decay rates of 0.038 and 0.057 year<sup>-1</sup> through linear interpolation. A weighted national average  
24 component-specific decay rate is calculated by assuming that waste generation is proportional to population (the  
25 same assumption used in the landfill methane emission estimate), based on population data from the 2000 U.S.  
26 Census. The percent of census population is calculated for each of the three categories of annual precipitation  
27 (noted in the previous paragraph); the population data are used as a surrogate for the number of landfills in each  
28 annual precipitation category. Precipitation range percentages weighted by population are updated over time as  
29 new Census data are available, to remain consistent with percentages used in the Waste chapter, Section 7.1  
30 Landfills. The component-specific decay rates are shown in Table 6-126.

31 De la Cruz and Barlaz (2010) also use other assumed initial decay rates for mixed MSW in place of the AP-42  
32 default value based on different types of environments in which landfills in the United States are located, including  
33 dry conditions (less than 25 inches of rain annually, *k*=0.02) and bioreactor landfill conditions (moisture is  
34 controlled for rapid decomposition, *k*=0.12).

35 For each of the four materials (grass, leaves, branches, food scraps), the stock of C in landfills for any given year is  
36 calculated according to Equation 6-2:

### 37 **Equation 6-2: Total C Stock for Yard Trimmings and Food Scraps in Landfills**

$$38 \quad LFC_{i,t} = \sum_n^t W_{i,n} \times (1 - MC_i) \times ICC_i \times \{ [CS_i \times ICC_i] + [(1 - (CS_i \times ICC_i)) \times e^{-k(t-n)}] \}$$

41 where,

42  $t$  = Year for which C stocks are being estimated (year),

---

<sup>100</sup> The CH<sub>4</sub> emissions resulting from anaerobic decomposition of yard trimmings and food scraps in landfills are reported in the Waste chapter, Section 7.1 Landfills.

- 1  $i$  = Waste type for which C stocks are being estimated (grass, leaves, branches, food
- 2 scraps),
- 3  $LFC_{i,t}$  = Stock of C in landfills in year  $t$ , for waste  $i$  (metric tons),
- 4  $W_{i,n}$  = Mass of waste  $i$  disposed of in landfills in year  $n$  (metric tons, wet weight),
- 5  $n$  = Year in which the waste was disposed of (year, where  $1960 < n < t$ ),
- 6  $MC_i$  = Moisture content of waste  $i$  (percent of water),
- 7  $CS_i$  = Proportion of initial C that is stored for waste  $i$  (percent),
- 8  $ICC_i$  = Initial C content of waste  $i$  (percent),
- 9  $e$  = Natural logarithm, and
- 10  $k$  = First-order decay rate for waste  $i$ , ( $\text{year}^{-1}$ ).

11 For a given year  $t$ , the total stock of C in landfills ( $TLFC_t$ ) is the sum of stocks across all four materials (grass, leaves,  
 12 branches, food scraps). The annual flux of C in landfills ( $F_t$ ) for year  $t$  is calculated in as the change in C stock  
 13 compared to the preceding year according to Equation 6-3:

14 **Equation 6-3: C Stock Annual Flux for Yard Trimmings and Food Scraps in Landfills**

$$F_t = TLFC_t - TLFC_{(t-1)}$$

16 Thus, as seen in Equation 6-2, the C placed in a landfill in year  $n$  is tracked for each year  $t$  through the end of the  
 17 inventory period. For example, disposal of food scraps in 1960 resulted in depositing about 1,135,000 metric tons  
 18 of C in landfills. Of this amount, 16 percent (179,000 metric tons) is persistent; the remaining 84 percent (956,000  
 19 metric tons) is degradable. By 1965, more than half of the degradable portion (507,000 metric tons) decomposes,  
 20 leaving a total of 628,000 metric tons (the persistent portion, plus the remainder of the degradable portion).

21 Continuing the example, by 2021, the total food scraps C originally disposed of in 1960 had declined to 179,000  
 22 metric tons (i.e., virtually all degradable C had decomposed). By summing the C remaining from 1960 with the C  
 23 remaining from food scraps disposed of in subsequent years (1961 through 2021), the total landfill C from food  
 24 scraps in 2021 was 50.9 million metric tons. This value is then added to the C stock from grass, leaves, and  
 25 branches to calculate the total landfill C stock in 2021, yielding a value of 289.2 million metric tons (as shown in  
 26 Table 6-127). In the same way total net flux is calculated for forest C and harvested wood products, the total net  
 27 flux of landfill C for yard trimmings and food scraps for a given year (Table 6-125) is the difference in the landfill C  
 28 stock for the following year and the stock in the current year. For example, the net change in 2021 shown in Table  
 29 6-125 (3.4 MMT C) is equal to the stock in 2022 (292.7 MMT C) minus the stock in 2021 (289.2 MMT C). The C  
 30 stocks calculated through this procedure are shown in Table 6-127.

31 To develop the 2022 C stock estimate, estimates of yard trimming and food scrap carbon stocks were forecasted  
 32 for 2022, based on data from 1990 through 2021. These forecasted values were used to calculate net changes in  
 33 carbon stocks for 2021. Excel's FORECAST.ETS function was used to predict a 2022 value using historical data via an  
 34 algorithm called "Exponential Triple Smoothing." This method determined the overall trend and provided  
 35 appropriate carbon stock estimates for 2022.

36 **Table 6-126: Moisture Contents, C Storage Factors (Proportions of Initial C Sequestered),**  
 37 **Initial C Contents, and Decay Rates for Yard Trimmings and Food Scraps in Landfills**

Variable	Yard Trimmings			Food Scraps
	Grass	Leaves	Branches	
Moisture Content (% H <sub>2</sub> O)	70	30	10	70
C Storage Factor, Proportion of Initial C				
Stored (%)	53	85	77	16
Initial C Content (%)	45	46	49	51
Decay Rate ( $\text{year}^{-1}$ )	0.313	0.179	0.015	0.151

Note: The decay rates are presented as weighted averages based on annual precipitation categories and population residing in each precipitation category.

1 **Table 6-127: C Stocks in Yard Trimmings and Food Scraps in Landfills (MMT C)**

Carbon Pool	1990	2005	2017	2018	2019	2020	2021	2022 <sup>a</sup>
<b>Yard Trimmings</b>	<b>156.0</b>	<b>203.1</b>	<b>229.4</b>	<b>231.6</b>	<b>233.9</b>	<b>236.1</b>	<b>238.4</b>	<b>240.6</b>
Branches	14.6	18.1	20.5	20.7	20.9	21.1	21.3	21.5
Leaves	66.7	87.4	99.4	100.4	101.5	102.5	103.6	104.6
Grass	74.7	97.7	109.5	110.5	111.5	112.5	113.5	114.4
<b>Food Scraps</b>	<b>17.9</b>	<b>33.2</b>	<b>45.4</b>	<b>46.9</b>	<b>48.3</b>	<b>49.6</b>	<b>50.9</b>	<b>52.1</b>
<b>Total Carbon Stocks</b>	<b>173.9</b>	<b>236.3</b>	<b>274.8</b>	<b>278.5</b>	<b>282.2</b>	<b>285.7</b>	<b>289.2</b>	<b>292.7</b>

<sup>a</sup> 2022 C stock estimate was forecasted using 1990 to 2021 data.

Note: Totals may not sum due to independent rounding.

2 Methodological recalculations were applied to the entire time series to ensure time-series consistency from 1990  
 3 through 2021. When available, the same data source was used across the entire time series for the analysis. When  
 4 data were unavailable, missing values were estimated using linear interpolation or forecasting, as noted above.

## 5 Uncertainty

6 The uncertainty analysis for landfilled yard trimmings and food scraps includes an evaluation of the effects of  
 7 uncertainty for the following data and factors: disposal in landfills per year (tons of C), initial C content, moisture  
 8 content, decay rate, and proportion of C stored. The C storage landfill estimates are also a function of the  
 9 composition of the yard trimmings (i.e., the proportions of grass, leaves and branches in the yard trimmings  
 10 mixture). There are respective uncertainties associated with each of these factors.

11 A Monte Carlo (Approach 2) uncertainty analysis was applied to estimate the overall uncertainty of the  
 12 sequestration estimate for 2021. The results of the Approach 2 quantitative uncertainty analysis are summarized in  
 13 Table 6-128. Total yard trimmings and food scraps CO<sub>2</sub> flux in 2021 was estimated to be between -21.6 and -5.5  
 14 MMT CO<sub>2</sub> Eq. at a 95 percent confidence level. This indicates a range of 72 percent below to 56 percent above the  
 15 2021 flux estimate of -12.6 MMT CO<sub>2</sub> Eq.

16 **Table 6-128: Approach 2 Quantitative Uncertainty Estimates for CO<sub>2</sub> Flux from Yard**  
 17 **Trimmings and Food Scraps in Landfills (MMT CO<sub>2</sub> Eq. and Percent)**

Source	Gas	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
			(MMT CO <sub>2</sub> Eq.)		(%)	
			Lower Bound	Upper Bound	Lower Bound	Upper Bound
Yard Trimmings and Food Scraps	CO <sub>2</sub>	(12.6)	(21.6)	(5.5)	-72%	56%

<sup>a</sup> Range of flux estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Note: Parentheses indicate negative values or net C sequestration.

## 18 QA/QC and Verification

19 Tier 1 and Tier 2 QA/QC activities were conducted consistent with the U.S. QA/QC plan. Source-specific quality  
 20 control measures for Landfilled Yard Trimmings and Food Scraps included checking that input data were properly  
 21 transposed within the spreadsheet, checking calculations were correct, and confirming that all activity data and  
 22 calculations documentation was complete and updated to ensure data were properly handled through the  
 23 inventory process.

24 Order of magnitude checks and checks of time-series consistency were performed to ensure data were updated  
 25 correctly and any changes in emissions estimates were reasonable and reflected changes in activity data. An

1 annual change trend analysis was also conducted to ensure the validity of the emissions estimates. Errors that  
2 were found during this process were corrected as necessary.

3 To ensure consistency across the LULUCF and Waste sectors, and the accuracy of emissions, EPA plans to perform  
4 a comparison of the activity data used and carbon inputs between the Landfilled Yard Trimmings and Food Scraps,  
5 and the Waste chapter, Section 7.1—Landfills categories.

## 6 **Recalculations Discussion**

7 No recalculations were performed for the 1990-2021 inventory, as the *Advancing Sustainable Materials*  
8 *Management: Facts and Figures* report for 2019, 2020, and 2021 were not yet available.

## 9 **Planned Improvements**

10 EPA notes the following improvements may be implemented or investigated within the next two or three  
11 inventory cycles pending time and resource constraints:

- 12 • MSW data more recent than 2018 have not been released through the *Advancing Sustainable Materials*  
13 *Management* reports. EPA will monitor the release schedule for these data and evaluate data for  
14 integration into the Inventory when released. Six new food waste management pathways were  
15 introduced in the 2018 *Advancing Sustainable Materials Management* report. Time series data for all of  
16 these pathways are not provided prior to 2018 but EPA plans to investigate potential data sources and/or  
17 methods to address time-series consistency and apply these data to the time series.
- 18 • EPA has been made aware of inconsistencies in landfilled food scraps data reported to the EPA  
19 Greenhouse Gas Reporting Program (GHGRP) and will evaluate changes to how landfilled and energy  
20 recovery values for yard trimmings and food scraps are calculated.

21 EPA notes the following improvements will continued to be investigated as time and resources allow, but there are  
22 no immediate plans to implement these improvements until data are available or identified:

- 23 • EPA also plans to continue to investigate updates to the decay rate estimates for food scraps, leaves,  
24 grass, and branches, as well as evaluate using decay rates that vary over time based on Census population  
25 and climate data changes over time. Currently the inventory calculations use 2010 U.S. Census data, but  
26 2020 U.S. Census data may be available.
- 27 • Other improvements include investigation into yard waste composition to determine if changes need to  
28 be made based on changes in residential practices. A review of available literature will be conducted to  
29 determine if there are changes in the allocation of yard trimmings. For example, leaving grass clippings in  
30 place is becoming a more common practice, thus reducing the percentage of grass clippings in yard  
31 trimmings disposed in landfills. In addition, agronomists may be consulted for determining the mass of  
32 grass per acre on residential lawns to provide an estimate of total grass generation for comparison with  
33 Inventory estimates.
- 34 • EPA will continue to evaluate data from recent peer-reviewed literature that may modify the default C  
35 storage factors, initial C contents, and decay rates for yard trimmings and food scraps in landfills –  
36 particularly updates to population precipitation ranges used to calculate k values. Based upon this  
37 evaluation, changes may be made to the default values.
- 38 • Finally, EPA plans to review available data to ensure all types of landfilled yard trimmings and food scraps  
39 are being included in the Inventory estimates, such as debris from road construction and commercial food  
40 waste not included in other Inventory estimates.

## 6.11 Land Converted to Settlements (CRF Category 4E2)

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Land Converted to Settlements includes all settlements in an Inventory year that had been in another land use(s) during the previous 20 years (USDA-NRCS 2015).<sup>101</sup> For example, cropland, grassland or forest land converted to settlements during the past 20 years would be reported in this category. Converted lands are retained in this category for 20 years as recommended by IPCC (2006).

Land use change can lead to large losses of carbon (C) to the atmosphere, particularly conversions from forest land (Houghton et al. 1983). Moreover, conversion of forest to another land use (i.e., deforestation) is one of the largest anthropogenic sources of emissions to the atmosphere globally (Schimel 1995), although this source may be declining globally (Tubiello et al. 2015). IPCC (2006) recommends reporting changes in biomass, dead organic matter, and soil organic C stocks due to land-use change. All soil organic C stock changes are estimated and reported for Land Converted to Settlements, but there is limited reporting of other pools in this Inventory. Loss of aboveground and belowground biomass, dead wood and litter C are reported for Forest Land Converted to Settlements and Woodlands associated with Grasslands Converted to Settlements, but not for other land-use conversions to settlements.

There are discrepancies between the current land representation (See Section 6.1) and the area data that have been used in the inventory for Land Converted to Settlements. First, the current land representation is based on the latest NRI dataset, which includes data through 2017, but these data have not been incorporated into the Land Converted to Settlements Inventory. Second, this Inventory includes all settlements in the conterminous United States and Hawaii, but does not include settlements in Alaska. Areas of drained organic soils in settlements on federal lands are also not included in this Inventory. These differences lead to discrepancies between the managed area in Land Converted to Settlements and the settlement area included in the Inventory analysis (Table 6-128). There is a planned improvement to include CO<sub>2</sub> emissions from drainage of organic soils in settlements of Alaska and federal lands as part of a future Inventory (See Planned Improvements Section).

*Forest Land Converted to Settlements* is the largest source of emissions from 1990 to 2021, accounting for approximately 75 percent of the average total loss of C among all of the land-use conversions in Land Converted to Settlements. Total losses of aboveground and belowground biomass, dead wood and litter C losses in 2021 for all conversions are 38.9, 7.4, 6.6, and 9.7 MMT CO<sub>2</sub> Eq., respectively (10.6, 2.0, 1.8, and 2.6 MMT C). Mineral and organic soils also lost 16.1 and 2.4 MMT CO<sub>2</sub> Eq. in 2021 (4.4 and 0.6 MMT C). The total net flux is 81.0 MMT CO<sub>2</sub> Eq. in 2021 (22.1 MMT C), which is a 30 percent increase in CO<sub>2</sub> emissions compared to the emissions in the initial reporting year of 1990 (Table 6-129 and

Table 6-130). The main driver of net emissions for this source category is the conversion of forest land to settlements, with large losses of biomass, deadwood and litter C.

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<sup>101</sup> NRI survey locations are classified according to land use histories starting in 1979, and consequently the classifications are based on less than 20 years from 1990 to 2001. This may have led to an underestimation of Land Converted to Settlements in the early part of the time series to the extent that some areas are converted to settlements from 1971 to 1978.

1 **Table 6-129: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes for**  
 2 **Land Converted to Settlements (MMT CO<sub>2</sub> Eq.)**

	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to</b>							
<b>Settlements</b>	<b>3.4</b>	<b>9.8</b>	<b>6.0</b>	<b>5.9</b>	<b>5.9</b>	<b>5.9</b>	<b>5.9</b>
Mineral Soils	2.8	8.4	5.2	5.2	5.1	5.1	5.1
Organic Soils	0.6	1.3	0.8	0.8	0.8	0.8	0.8
<b>Forest Land Converted to</b>							
<b>Settlements</b>	<b>53.4</b>	<b>59.0</b>	<b>63.5</b>	<b>63.7</b>	<b>63.8</b>	<b>63.7</b>	<b>63.7</b>
Aboveground Live Biomass	32.5	35.3	38.1	38.3	38.3	38.3	38.3
Belowground Live Biomass	6.2	6.8	7.3	7.3	7.3	7.3	7.3
Dead Wood	5.4	5.9	6.4	6.4	6.4	6.4	6.4
Litter	8.0	8.7	9.5	9.5	9.5	9.5	9.5
Mineral Soils	1.1	2.0	1.9	1.9	1.9	1.9	1.9
Organic Soils	0.2	0.3	0.3	0.3	0.3	0.3	0.3
<b>Grassland Converted to</b>							
<b>Settlements</b>	<b>6.0</b>	<b>17.1</b>	<b>12.3</b>	<b>12.2</b>	<b>12.2</b>	<b>12.2</b>	<b>12.2</b>
Aboveground Live Biomass	0.4	0.5	0.5	0.5	0.5	0.5	0.5
Belowground Live Biomass	0.1	0.1	0.1	0.1	0.1	0.1	0.1
Dead Wood	0.1	0.1	0.2	0.2	0.2	0.2	0.2
Litter	0.2	0.2	0.2	0.2	0.2	0.2	0.2
Mineral Soils	4.6	14.9	10.4	10.4	10.4	10.3	10.3
Organic Soils	0.6	1.4	0.9	0.9	0.9	0.9	0.9
<b>Other Lands Converted to</b>							
<b>Settlements</b>	<b>(0.4)</b>	<b>(1.4)</b>	<b>(1.2)</b>	<b>(1.2)</b>	<b>(1.2)</b>	<b>(1.2)</b>	<b>(1.2)</b>
Mineral Soils	(0.4)	(1.6)	(1.3)	(1.3)	(1.3)	(1.3)	(1.3)
Organic Soils	+	0.2	0.1	0.1	0.1	0.1	0.1
<b>Wetlands Converted to</b>							
<b>Settlements</b>	<b>+</b>	<b>0.5</b>	<b>0.4</b>	<b>0.4</b>	<b>0.4</b>	<b>0.3</b>	<b>0.3</b>
Mineral Soils	+	0.1	0.1	0.1	0.1	0.1	0.1
Organic Soils	+	0.4	0.3	0.3	0.3	0.3	0.3
<b>Total Aboveground Biomass Flux</b>	<b>32.9</b>	<b>35.8</b>	<b>38.7</b>	<b>38.8</b>	<b>38.9</b>	<b>38.9</b>	<b>38.9</b>
<b>Total Belowground Biomass Flux</b>	<b>6.3</b>	<b>6.8</b>	<b>7.4</b>	<b>7.4</b>	<b>7.4</b>	<b>7.4</b>	<b>7.4</b>
<b>Total Dead Wood Flux</b>	<b>5.5</b>	<b>6.0</b>	<b>6.5</b>	<b>6.5</b>	<b>6.6</b>	<b>6.6</b>	<b>6.6</b>
<b>Total Litter Flux</b>	<b>8.2</b>	<b>8.9</b>	<b>9.7</b>	<b>9.7</b>	<b>9.7</b>	<b>9.7</b>	<b>9.7</b>
<b>Total Mineral Soil Flux</b>	<b>8.1</b>	<b>23.8</b>	<b>16.2</b>	<b>16.2</b>	<b>16.2</b>	<b>16.2</b>	<b>16.1</b>
<b>Total Organic Soil Flux</b>	<b>1.4</b>	<b>3.6</b>	<b>2.4</b>	<b>2.4</b>	<b>2.4</b>	<b>2.4</b>	<b>2.4</b>
<b>Total Net Flux</b>	<b>62.5</b>	<b>85.0</b>	<b>80.9</b>	<b>81.0</b>	<b>81.1</b>	<b>81.0</b>	<b>81.0</b>

+ Absolute value does not exceed 0.05 MMT CO<sub>2</sub> Eq.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

3  
 4 **Table 6-130: Net CO<sub>2</sub> Flux from Soil, Dead Organic Matter and Biomass C Stock Changes for**  
 5 **Land Converted to Settlements (MMT C)**

	1990	2005	2017	2018	2019	2020	2021
<b>Cropland Converted to</b>							
<b>Settlements</b>	<b>0.9</b>	<b>2.7</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>	<b>1.6</b>
Mineral Soils	0.8	2.3	1.4	1.4	1.4	1.4	1.4
Organic Soils	0.2	0.4	0.2	0.2	0.2	0.2	0.2
<b>Forest Land Converted to</b>							
<b>Settlements</b>	<b>14.6</b>	<b>16.1</b>	<b>17.3</b>	<b>17.4</b>	<b>17.4</b>	<b>17.4</b>	<b>17.4</b>
Aboveground Live Biomass	8.9	9.6	10.4	10.4	10.5	10.5	10.5
Belowground Live Biomass	1.7	1.8	2.0	2.0	2.0	2.0	2.0
Dead Wood	1.5	1.6	1.7	1.7	1.7	1.7	1.7

Litter	2.2	2.4	2.6	2.6	2.6	2.6	2.6
Mineral Soils	0.3	0.5	0.5	0.5	0.5	0.5	0.5
Organic Soils	+	0.1	0.1	0.1	0.1	0.1	0.1
<b>Grassland Converted to Settlements</b>	<b>1.6</b>	<b>4.7</b>	<b>3.3</b>	<b>3.3</b>	<b>3.3</b>	<b>3.3</b>	<b>3.3</b>
Aboveground Live Biomass	0.1	0.1	0.1	0.1	0.1	0.1	10.0
Belowground Live Biomass	+	+	+	+	+	+	+
Dead Wood	+	+	+	+	+	+	+
Litter	+	0.1	0.1	0.1	0.1	0.1	0.1
Mineral Soils	1.3	4.1	2.8	2.8	2.8	2.8	2.8
Organic Soils	0.2	0.4	0.2	0.2	0.2	0.2	0.2
<b>Other Lands Converted to Settlements</b>	<b>(0.1)</b>	<b>(0.4)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>	<b>(0.3)</b>
Mineral Soils	(0.1)	(0.4)	(0.4)	(0.4)	(0.3)	(0.3)	(0.3)
Organic Soils	+	+	+	+	+	+	+
<b>Wetlands Converted to Settlements</b>	<b>+</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>	<b>0.1</b>
Mineral Soils	+	+	+	+	+	+	+
Organic Soils	+	0.1	0.1	0.1	0.1	0.1	0.1
<b>Total Aboveground Biomass Flux</b>	<b>9.0</b>	<b>9.8</b>	<b>10.5</b>	<b>10.6</b>	<b>10.6</b>	<b>10.6</b>	<b>10.6</b>
<b>Total Belowground Biomass Flux</b>	<b>1.7</b>	<b>1.9</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>
<b>Total Dead Wood Flux</b>	<b>1.5</b>	<b>1.6</b>	<b>1.8</b>	<b>1.8</b>	<b>1.8</b>	<b>1.8</b>	<b>1.8</b>
<b>Total Litter Flux</b>	<b>2.2</b>	<b>2.4</b>	<b>2.6</b>	<b>2.6</b>	<b>2.6</b>	<b>2.6</b>	<b>2.6</b>
<b>Total Mineral Soil Flux</b>	<b>2.2</b>	<b>6.5</b>	<b>4.4</b>	<b>4.4</b>	<b>4.4</b>	<b>4.4</b>	<b>4.4</b>
<b>Total Organic Soil Flux</b>	<b>0.4</b>	<b>1.0</b>	<b>0.7</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>	<b>0.6</b>
<b>Total Net Flux</b>	<b>17.0</b>	<b>23.2</b>	<b>22.1</b>	<b>22.1</b>	<b>22.1</b>	<b>22.1</b>	<b>22.1</b>

+ Absolute value does not exceed 0.05 MMT C.

Notes: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

## 1 Methodology and Time-Series Consistency

2 The following section includes a description of the methodology used to estimate C stock changes for Land  
3 Converted to Settlements, including (1) loss of aboveground and belowground biomass, dead wood and litter C  
4 with conversion to settlements from forest lands and woodlands designated in the grassland, as well as (2) the  
5 impact from all land-use conversions to settlements on soil organic C stocks in mineral and organic soils.

## 6 Biomass, Dead Wood, and Litter Carbon Stock Changes

7 A Tier 2 method is applied to estimate biomass, dead wood, and litter C stock changes for Forest Land Converted  
8 to Settlements and woodlands associated with Grassland Converted to Settlements. Estimates are calculated in the  
9 same way as those in the Forest Land Remaining Forest Land category using data from the USDA Forest Service,  
10 Forest Inventory and Analysis (FIA) program (USDA Forest Service 2022), however there is no country-specific data  
11 for settlements so the biomass, litter, and dead wood carbon stocks on these converted lands were assumed to be  
12 zero. The difference between the stocks is reported as the stock change under the assumption that the change  
13 occurred in the year of the conversion.

14 If FIA plots include data on individual trees, aboveground and belowground C density estimates are based on  
15 Woodall et al. (2011). Aboveground and belowground biomass estimates also include live understory, which is a  
16 minor component of biomass defined as all biomass of undergrowth plants in a forest, including woody shrubs and  
17 trees less than 2.54 cm dbh. For this Inventory, it was assumed that 10 percent of total understory C mass is  
18 belowground (Smith et al. 2006). Estimates of C density are based on information in Birdsey (1996) and biomass  
19 estimates from Jenkins et al. (2003).

20 This inventory also includes estimates of change in dead organic matter for standing dead, deadwood and litter. If  
21 FIA plots include data on standing dead trees, standing dead tree C density is estimated following the basic method

1 applied to live trees (Woodall et al. 2011) with additional modifications to account for decay and structural loss  
2 (Domke et al. 2011; Harmon et al. 2011). If FIA plots include data on downed dead wood, downed dead wood C  
3 density is estimated based on measurements of a subset of FIA plots for downed dead wood (Domke et al. 2013;  
4 Woodall and Monleon 2008). Downed dead wood is defined as pieces of dead wood greater than 7.5 cm diameter,  
5 at transect intersection, that are not attached to live or standing dead trees. This includes stumps and roots of  
6 harvested trees. To facilitate the downscaling of downed dead wood C estimates from the state-wide population  
7 estimates to individual plots, downed dead wood models specific to regions and forest types within each region  
8 are used. Litter C is the pool of organic C (also known as duff, humus, and fine woody debris) above the mineral  
9 soil and includes woody fragments with diameters of up to 7.5 cm. A subset of FIA plots is measured for litter C. If  
10 FIA plots include litter material, a modeling approach using litter C measurements from FIA plots is used to  
11 estimate litter C density (Domke et al. 2016).

12 In order to ensure time-series consistency, the same methods are applied from 1990 to 2021 so that changes  
13 reflect anthropogenic activity and not methodological adjustments. See Annex 3.13 for more information about  
14 reference C density estimates for forest land and the compilation system used to estimate carbon stock changes  
15 from forest land.

## 16 **Soil Carbon Stock Changes**

17 Soil organic C stock changes are estimated for Land Converted to Settlements according to land use histories  
18 recorded in the 2015 USDA NRI survey for non-federal lands (USDA-NRCS 2018). Land use and some management  
19 information were originally collected for each NRI survey location on a 5-year cycle beginning in 1982. In 1998, the  
20 NRI program began collecting annual data, and the annual data have been incorporated from the NRI into the  
21 inventory analysis through 2015 (USDA-NRCS 2018).

22 NRI survey locations are classified as Land Converted to Settlements in a given year between 1990 and 2015 if the  
23 land use is settlements but had been classified as another use during the previous 20 years. NRI survey locations  
24 are classified according to land use histories starting in 1979, and consequently the classifications are based on less  
25 than 20 years from 1990 to 1998. This may have led to an underestimation of Land Converted to Settlements in  
26 the early part of the time series to the extent that some areas are converted to settlement between 1971 and  
27 1978. For federal lands, the land use history is derived from land cover changes in the National Land Cover Dataset  
28 (Yang et al. 2018; Fry et al. 2011; Homer et al. 2007, 2015).

## 29 *Mineral Soil Carbon Stock Changes*

30 An IPCC Tier 2 method (Ogle et al. 2003) is applied to estimate C stock changes for Land Converted to Settlements  
31 on mineral soils from 1990 to 2015. Data on climate, soil types, land use, and land management activity are used  
32 to classify land area and apply appropriate stock change factors (Ogle et al. 2003, 2006). Reference C stocks are  
33 estimated using the National Soil Survey Characterization Database (USDA-NRCS 1997) with cultivated cropland as  
34 the reference condition, rather than native vegetation as used in IPCC (2006). Soil measurements under  
35 agricultural management are much more common and easily identified in the National Soil Survey Characterization  
36 Database (USDA-NRCS 1997) than are soils under a native condition, and therefore cultivated cropland provide a  
37 more robust sample for estimating the reference condition. Country-specific C stock change factors are derived  
38 from published literature to determine the impact of management practices on soil organic C storage (Ogle et al.  
39 2003, Ogle et al. 2006). However, there are insufficient data to estimate a set of land use, management, and input  
40 factors for settlements. Moreover, the 2015 NRI survey data (USDA-NRCS 2018) do not provide the information  
41 needed to assign different land use subcategories to settlements, such as turf grass and impervious surfaces, which  
42 is needed to apply the Tier 1 factors from the IPCC guidelines (2006). Therefore, the United States has adopted a  
43 land use factor of 0.7 to represent a net loss of soil organic C with conversion to settlements under the assumption  
44 that there are additional soil organic C losses with land clearing, excavation and other activities associated with  
45 development. More specific factor values can be derived in future Inventories as data become available. See Annex  
46 3.12 for additional discussion of the Tier 2 methodology for mineral soils.



1 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015 so that changes  
 2 reflect anthropogenic activity and not methodological adjustments. Soil organic C stock changes from 2016 to 2021  
 3 are estimated using a linear extrapolation method described in Box 6-4 of the Methodology section in Cropland  
 4 Remaining Cropland. The extrapolation is based on a linear regression model with moving-average (ARMA) errors  
 5 using the 1990 to 2015 emissions data, and is a standard data splicing method for imputing missing emissions data  
 6 in a time series (IPCC 2006). The Tier 2 method described previously will be applied to recalculate the 2016 to 2021  
 7 emissions in a future Inventory.

## 8 *Organic Soil Carbon Stock Changes*

9 Annual C emissions from drained organic soils in Land Converted to Settlements are estimated using the Tier 2  
 10 method provided in IPCC (2006). The Tier 2 method assumes that organic soils are losing C at a rate similar to  
 11 croplands, and therefore uses the country-specific values for cropland (Ogle et al. 2003). To estimate CO<sub>2</sub>  
 12 emissions from 1990 to 2015, the area of organic soils in Land Converted to Settlements is multiplied by the Tier 2  
 13 emission factor, which is 11.2 MT C per ha in cool temperate regions, 14.0 MT C per ha in warm temperate regions  
 14 and 14.3 MT C per ha in subtropical regions (See Annex 3.12 for more information).

15 In order to ensure time-series consistency, the same methods are applied from 1990 to 2015, and a linear  
 16 extrapolation method is used to approximate emissions for the remainder of the 2016 to 2021 time series (See Box  
 17 6-4 of the Methodology section in Cropland Remaining Cropland. The extrapolation is based on a linear regression  
 18 model with moving-average (ARMA) errors using the 1990 to 2015 emissions data, and is a standard data splicing  
 19 method for imputing missing emissions data in a time series (IPCC 2006). Estimates will be recalculated in future  
 20 Inventories when new NRI data are incorporated into the inventory.

## 21 **Uncertainty**

22 The uncertainty analysis for C losses with Forest Land Converted to Settlements is conducted in the same way as  
 23 the uncertainty assessment for forest ecosystem C flux in the Forest Land Remaining Forest Land category. Sample  
 24 and model-based error are combined using simple error propagation methods provided by the IPCC (2006), i.e., by  
 25 taking the square root of the sum of the squares of the standard deviations of the uncertain quantities. For  
 26 additional details, see the Uncertainty Analysis in Annex 3.13. The uncertainty analysis for mineral soil organic C  
 27 stock changes and annual C emission estimates from drained organic soils in Land Converted to Settlements is  
 28 estimated using a Monte Carlo approach, which is described in the Cropland Remaining Cropland section.

29 Uncertainty estimates are presented in Table 6-131 for each subsource (i.e., biomass C, dead wood, litter, soil  
 30 organic C in mineral soils and organic soils) and the method applied in the inventory analysis (i.e., Tier 2 and Tier  
 31 3). Uncertainty estimates from the Tier 2 and 3 approaches are combined using the simple error propagation  
 32 methods provided by the IPCC (2006), i.e., as described in the previous paragraph. There are also additional  
 33 uncertainties propagated through the analysis associated with the data splicing methods applied to estimate soil  
 34 organic C stock changes from 2016 to 2021. The combined uncertainty for total C stocks in Land Converted to  
 35 Settlements ranges from 34 percent below to 34 percent above the 2021 stock change estimate of 81.0 MMT CO<sub>2</sub>  
 36 Eq.

37 **Table 6-131: Approach 2 Quantitative Uncertainty Estimates for Soil, Dead Organic Matter**  
 38 **and Biomass C Stock Changes occurring within Land Converted to Settlements (MMT CO<sub>2</sub> Eq.**  
 39 **and Percent)**

Source	2021 Flux Estimate (MMT CO <sub>2</sub> Eq.)	Uncertainty Range Relative to Flux Estimate <sup>a</sup>			
		Lower Bound	Upper Bound	Lower Bound (%)	Upper Bound (%)
<b>Cropland Converted to Settlements</b>	<b>5.9</b>	<b>1.8</b>	<b>10.0</b>	<b>-69%</b>	<b>69%</b>
Mineral Soil C Stocks	5.1	1.1	9.2	-79%	79%
Organic Soil C Stocks	0.8	0.1	1.5	-90%	90%

<b>Forest Land Converted to Settlements</b>	<b>63.7</b>	<b>38.4</b>	<b>89.0</b>	<b>-40%</b>	<b>40%</b>
Aboveground Biomass C Stocks	38.3	14.5	62.2	-62%	62%
Belowground Biomass C Stocks	7.3	2.8	11.9	-62%	62%
Dead Wood	6.4	2.4	10.4	-62%	62%
Litter	9.5	3.6	15.4	-62%	62%
Mineral Soil C Stocks	1.9	1.2	2.5	-35%	35%
Organic Soil C Stocks	0.3	0.1	0.5	-74%	74%
<b>Grassland Converted to Settlements</b>	<b>11.2</b>	<b>5.6</b>	<b>16.8</b>	<b>-50%</b>	<b>50%</b>
Aboveground Biomass C Stocks	0.5	0.2	0.8	-65%	63%
Belowground Biomass C Stocks	0.1	+	0.1	-49%	54%
Dead Wood	0.2	0.1	0.3	-53%	65%
Litter	0.2	0.1	0.3	-65%	56%
Mineral Soil C Stocks	10.3	4.8	15.9	-54%	54%
Organic Soil C Stocks	0.9	+	1.7	-95%	95%
<b>Other Lands Converted to Settlements</b>	<b>-1.2</b>	<b>(2.0)</b>	<b>(0.3)</b>	<b>-73%</b>	<b>73%</b>
Mineral Soil C Stocks	-1.3	(2.1)	(0.4)	-66%	66%
Organic Soil C Stocks	0.1	(0.1)	0.3	-175%	175%
<b>Wetlands Converted to Settlements</b>	<b>0.3</b>	<b>(0.2)</b>	<b>0.9</b>	<b>-157%</b>	<b>157%</b>
Mineral Soil C Stocks	0.1	+	0.1	-110%	110%
Organic Soil C Stocks	0.3	(0.3)	0.8	-191%	191%
<b>Total: Land Converted to Settlements</b>	<b>81.0</b>	<b>53.4</b>	<b>108.6</b>	<b>-34%</b>	<b>34%</b>
<b>Aboveground Biomass C Stocks</b>	<b>38.9</b>	<b>14.5</b>	<b>62.2</b>	<b>-62%</b>	<b>62%</b>
<b>Belowground Biomass C Stocks</b>	<b>7.4</b>	<b>2.8</b>	<b>11.9</b>	<b>-62%</b>	<b>62%</b>
<b>Dead Wood</b>	<b>6.6</b>	<b>2.4</b>	<b>10.4</b>	<b>-62%</b>	<b>62%</b>
<b>Litter</b>	<b>9.7</b>	<b>3.6</b>	<b>15.4</b>	<b>-62%</b>	<b>62%</b>
<b>Mineral Soil C Stocks</b>	<b>16.1</b>	<b>9.2</b>	<b>23.1</b>	<b>-43%</b>	<b>43%</b>
<b>Organic Soil C Stocks</b>	<b>2.4</b>	<b>(6.3)</b>	<b>11.0</b>	<b>-366%</b>	<b>366%</b>

+ Does not exceed 0.05 MMT CO<sub>2</sub> Eq.

<sup>a</sup> Range of C stock change estimates predicted by Monte Carlo Stochastic Simulation for a 95 percent confidence interval.

Note: Totals may not sum due to independent rounding. Parentheses indicate negative values or net sequestration.

## 1 QA/QC and Verification

2 Quality control measures included checking input data, model scripts, and results to ensure data are properly  
3 handled throughout the inventory process. Inventory reporting forms and text are reviewed and revised as needed  
4 to correct transcription errors. No errors were found in this Inventory.

## 5 Recalculations Discussion

6 Recalculations are associated with new FIA data from 1990 to 2021 on biomass, dead wood and litter C stocks in  
7 Forest Land Converted to Settlements and woodland conversion associated with Grassland Converted to  
8 Settlements, and updated estimates for mineral and organic soils from 2016 to 2021 using the linear extrapolation  
9 method. As a result, Land Converted to Settlements has an estimated larger C loss of 2.3 MMT CO<sub>2</sub> Eq. on average  
10 over the time series. This represents a 2.9 percent increase in C stock changes for Land Converted to Settlements  
11 compared to the previous Inventory.

## 12 Planned Improvements

13 There are two key improvements planned for the inventory, including a) incorporating the latest land use data  
14 from the USDA National Resources Inventory, and b) develop an inventory of mineral soil organic C stock changes  
15 in Alaska and losses of C from drained organic soils in federal lands. These improvements will resolve most of the  
16 differences between the managed land base for Land Converted to Settlements and amount of area currently  
17 included in Land Converted to Settlements Inventory (See Table 6-113).

1 There are plans to improve classification of trees in settlements and to include transfer of biomass from forest land  
 2 to those areas in this category. There are also plans to extend the Inventory to included C losses associated with  
 3 drained organic soils in settlements occurring on federal lands.

4 These improvements will be made as funding and resources are available to expand the inventory for this source  
 5 category.

6 **Table 6-132: Area of Managed Land in Land Converted to Settlements that is not included in**  
 7 **the current Inventory (Thousand Hectares)**

Year	Area (Thousand Hectares)		
	LCS Managed Land Area (Section 6.1)	LCS Area Included in Inventory	LCS Area Not Included in Inventory
1990	2,865	2,861	5
1991	3,213	3,238	-25
1992	3,575	3,592	-17
1993	4,147	4,107	40
1994	4,712	4,630	82
1995	5,271	5,161	110
1996	5,844	5,658	186
1997	6,421	6,174	247
1998	6,938	6,650	288
1999	7,451	7,116	336
2000	7,981	7,568	413
2001	8,386	7,947	439
2002	8,722	8,284	437
2003	8,738	8,335	403
2004	8,755	8,345	410
2005	8,765	8,341	425
2006	8,740	8,352	387
2007	8,722	8,295	427
2008	8,546	8,111	434
2009	8,351	7,930	420
2010	8,157	7,725	432
2011	7,953	7,498	455
2012	7,744	7,298	446
2013	7,342	6,932	410
2014	6,952	6,586	366
2015	6,542	6,165	377
2016	6,122	*	*
2017	5,720	*	*
2018	5,201	*	*
2019	4,690	*	*
2020	4,188	*	*
2021	3,781	*	*

8 NRI data have not been incorporated into the inventory after 2015, designated with asterisks (\*).

## 6.12 Other Land Remaining Other Land (CRF Category 4F1)

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Land use is constantly occurring, and areas under a number of differing land-use types remain in their respective land-use type each year, just as other land can remain as other land. While the magnitude of Other Land Remaining Other Land is known (see Table 6-4), research is ongoing to track C pools in this land use. Until such time that reliable and comprehensive estimates of C for Other Land Remaining Other Land can be produced, it is not possible to estimate CO<sub>2</sub>, CH<sub>4</sub> or N<sub>2</sub>O fluxes on Other Land Remaining Other Land at this time.

## 6.13 Land Converted to Other Land (CRF Category 4F2)

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Land-use change is constantly occurring, and areas under a number of differing land-use types are converted to other land each year, just as other land is converted to other uses. While the magnitude of these area changes is known (see Table 6-4), research is ongoing to track C across Other Land Remaining Other Land and Land Converted to Other Land. Until such time that reliable and comprehensive estimates of C across these land-use and land-use change categories can be produced, it is not possible to separate CO<sub>2</sub>, CH<sub>4</sub> or N<sub>2</sub>O fluxes on Land Converted to Other Land from fluxes on Other Land Remaining Other Land at this time.