

# UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

OFFICE OF WATER

March 30, 2023

### **MEMORANDUM**

**SUBJECT:** FY 2023 Clean Water State Revolving Fund Base Allotment Availability

**FROM:** Radhika Fox

**Assistant Administrator** 

**TO:** Regional Water Division Directors, Regions I-X

This memorandum provides FY 2023 Clean Water State Revolving Fund (CWSRF) allotments for states, tribes, and territories for funding provided through the Consolidated Appropriations Act, 2023 (PL.117-328). Terms and conditions will be available to the EPA Regions on the EPA SRF SharePoint site.

When combined with the funding provided by the Infrastructure Investment and Jobs Act (PL 117-58), also referred to as the Bipartisan Infrastructure Law (BIL), over \$3.2 billion will be provided to the CWSRF in fiscal year 2023 to assist states, tribes, and territories with infrastructure projects that help provide clean water to communities across the United States. This sustained level of funding reflects the Administration's commitment to strengthen the nation's wastewater and stormwater systems, while also providing significant resources for mitigating nonpoint source pollution and improving energy and water efficiency through targeted investments. It also reinforces the ability of the CWSRF program to address the priorities established in the BIL Implementation Memorandum of March 8, 2022, including increasing investment in disadvantaged communities, building wastewater systems' resilience to all threats, and supporting American workers and domestic manufacturing. Learn more about water infrastructure investments through the BIL.

The base allotment amounts reflect the appropriation of \$1,638,861,000 reduced by the funds directed by Congress towards Community Project Funding/Congressionally Directed Spending projects. Therefore, the amount of funds available to the base CWSRF program this year is \$775,752,358. Attached are the allotments, along with the required additional subsidy and Green Project Reserve amounts. A consolidated chart showing final 2023 BIL and base 604(b)<sup>1</sup> grant amounts is also included.

General information on the SRF programs can be found at <a href="https://www.epa.gov/cwsrf">https://www.epa.gov/cwsrf</a>. Per the Clean Water Act (CWA), states must provide at least a 20 percent match to the capitalization grant amount.

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<sup>&</sup>lt;sup>1</sup> Section 604(b) of the Clean Water Act provides approximately 1% of CWSRF allotments for <u>water quality management planning grants</u>.

### **2023 Base Additional Subsidy Provisions**

Two distinct and additive subsidy authorities are included in the base 2023 capitalization grant.

### 1) Congressional Additional Subsidy Authority

Under this authority, states may provide this subsidy to *any CWSRF-eligible recipient*. States must use 10 percent of the funds made available in the base 2023 CWSRF capitalization grant to provide additional subsidization to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these) to be used where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred after December 29, 2022.

### 2) Clean Water Act Additional Subsidy Authority

As amended by BIL (PL. 117-58), the CWA mandates that states use at least 10 percent but no more than 30 percent of the capitalization grant amount to provide additional subsidy to the following:

- any municipalities that meet the state's affordability criteria;
- municipalities that do not meet the state's affordability criteria but seek additional subsidization to benefit individual ratepayers in the residential user rate class; or
- entities that implement a process, material, technique, or technology that addresses water or energy efficiency goals; mitigates stormwater runoff; or encourages sustainable project planning, design, and construction.

Additional subsidy provided from this authority may be in the form of forgiveness of principal, grants, negative interest loans, other loan forgiveness, and through buying, refinancing, or restructuring debt.

If you have questions, contact Mark Mylin at Mylin.Mark@epa.gov.

#### Attachments

cc: Clean Water SRF Regional Branch Chiefs & Regional Coordinators, Regions I-X Andrew Sawyers, Director, Office of Wastewater Management Raffael Stein, Director, Water Infrastructure Division Leo Gueriguian, Associate Director, Water Infrastructure Division Michael Deane, Chief, State Revolving Fund Branch

# **Distribution of Clean Water SRF Appropriation**

2023 Consolidated Appropriations
Base CWSRF Allotment of \$775,752,358\*

473,000 535,000 118,000 957,000 191,000 061,000 282,000 720,000 576,000 811,000 668,000	\$45,000 \$51,000 \$50,000 \$542,000 \$61,000 \$93,000 \$37,000	\$4,490,000 \$5,067,000 \$4,907,000 \$53,649,000 \$6,000,000 \$9,189,000 \$3,683,000	North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania	Total Allotment  \$30,963,000 \$3,720,000 \$83,628,000 \$13,675,000 \$3,720,000 \$42,656,000 \$6,122,000 \$8,559,000 \$30,014,000	\$310,000 \$37,000 \$37,000 \$836,000 \$137,000 \$37,000 \$427,000 \$61,000 \$86,000	\$30,653,000 \$3,683,000 \$3,683,000 \$13,538,000 \$3,683,000 \$42,229,000 \$6,061,000 \$8,473,000
473,000 535,000 118,000 957,000 191,000 061,000 282,000 720,000 576,000 811,000 668,000	\$85,000 \$45,000 \$51,000 \$50,000 \$542,000 \$61,000 \$93,000 \$37,000 \$256,000 \$128,000	\$8,388,000 \$4,490,000 \$5,067,000 \$4,907,000 \$53,649,000 \$6,000,000 \$9,189,000 \$3,683,000 \$25,320,000	New Jersey New Mexico New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania	\$30,963,000 \$3,720,000 \$83,628,000 \$13,675,000 \$3,720,000 \$42,656,000 \$6,122,000 \$8,559,000	\$310,000 \$37,000 \$836,000 \$137,000 \$37,000 \$427,000 \$61,000 \$86,000	\$30,653,000 \$3,683,000 \$82,792,000 \$13,538,000 \$3,683,000 \$42,229,000 \$6,061,000
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868,000	. ,	\$12,683,000		Ψυυ,υ 17,000	\$300,000	\$29,714,000
· ·	\$59,000		Puerto Rico	\$9,883,000	\$99,000	\$9,784,000
1		\$5,809,000	Rhode Island	\$5,088,000	\$51,000	\$5,037,000
720,000	\$37,000	\$3,683,000	South Carolina	\$7,762,000	\$78,000	\$7,684,000
269,000	\$343,000	\$33,926,000	South Dakota	\$3,720,000	\$37,000	\$3,683,000
261,000	\$183,000	\$18,078,000	Tennessee	\$11,007,000	\$110,000	\$10,897,000
255,000	\$103,000	\$10,152,000	Texas	\$34,632,000	\$346,000	\$34,286,000
39,000	\$68,000	\$6,771,000	Utah	\$3,992,000	\$40,000	\$3,952,000
644,000	\$96,000	\$9,548,000	Vermont	\$3,720,000	\$37,000	\$3,683,000
329,000	\$83,000	\$8,246,000	Virginia	\$15,507,000	\$155,000	\$15,352,000
865,000	\$59,000	\$5,806,000	Washington	\$13,177,000	\$132,000	\$13,045,000
326,000	\$183,000	\$18,143,000	West Virginia	\$11,812,000	\$118,000	\$11,694,000
26,000	\$257,000	\$25,469,000	Wisconsin	\$20,484,000	\$205,000	\$20,279,000
80,000	\$326,000	\$32,254,000	Wyoming	\$3,720,000	\$37,000	\$3,683,000
27,000	\$139,000	\$13,788,000				
327,000	\$68,000	\$6,759,000				
005,000	\$210,000	\$20,795,000	District of Columbia	\$3,720,000	\$37,000	\$3,683,000
20,000	\$37,000	\$3,683,000	American Samoa***	\$4,119,000	\$41,000	\$4,078,000
376,000	\$39,000	\$3,837,000	Guam***	\$2,980,000	\$30,000	\$2,950,000
	\$37,000	\$3,683,000	Northern Marianas***	\$1,914,000	\$40,000	\$1,874,000
20,000	\$76,000	\$7,496,000	Virgin Islands***	\$2,390,000	\$25,000	\$2,365,000
3	680,000 27,000 27,000 05,000 20,000 676,000	.80,000       \$326,000         .27,000       \$139,000         .27,000       \$68,000         .05,000       \$210,000         .20,000       \$37,000         .76,000       \$39,000         .20,000       \$37,000	880,000         \$326,000         \$32,254,000           127,000         \$139,000         \$13,788,000           127,000         \$68,000         \$6,759,000           105,000         \$210,000         \$20,795,000           120,000         \$37,000         \$3,683,000           176,000         \$37,000         \$3,683,000           120,000         \$37,000         \$3,683,000	880,000         \$326,000         \$32,254,000         Wyoming           127,000         \$139,000         \$13,788,000         Wyoming           127,000         \$68,000         \$6,759,000         District of Columbia           120,000         \$210,000         \$20,795,000         American Samoa***           176,000         \$39,000         \$3,837,000         Guam***           120,000         \$37,000         \$3,683,000         Northern Marianas***	880,000         \$326,000         \$32,254,000         Wyoming         \$3,720,000           127,000         \$139,000         \$13,788,000         \$6,759,000         \$6,759,000         \$3,720,000           105,000         \$210,000         \$20,795,000         District of Columbia         \$3,720,000           120,000         \$37,000         \$3,683,000         American Samoa***         \$4,119,000           120,000         \$37,000         \$3,837,000         Guam***         \$2,980,000           120,000         \$37,000         \$3,683,000         Northern Marianas***         \$1,914,000	880,000         \$326,000         \$32,254,000         Wyoming         \$3,720,000         \$37,000           27,000         \$139,000         \$13,788,000         \$6,759,000         \$6,759,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$37,000         \$41,000         \$41,000         \$30,000         \$30,000         \$30,000         \$30,000         \$30,000         \$40,000

**Total Funds Available to States** 

\$ 757,737,000

#### **National Set-Asides**

Tribal Set-Aside \*\*\*\* \$15,515,000
Clean Watersheds Needs Survey\*\*\*\* \$1,500,000
National American Iron & Steel Administrative Set-Aside \$1,000,358

#### **Total SRF Appropriation**

\$ 775,752,358

<sup>\*</sup> Based on Appropriation of \$1,638,861,000 less \$863,108,642 for Congressional Directed Spending Projects.

<sup>\*\*</sup> Section 604(b) of the CWA sets the annual minimum amount for 604(b) grants at \$100,000. These amounts include the additional funds needed to meet this statutory floor when the sum of 1% of all CWSRF Bipartisan Infrastructure Law (BIL) and Base allotments is less than \$100,000.

<sup>\*\*\*</sup> The FY 2023 appropriation allows EPA to reserve up to 1.5% of the funds appropriated less any amounts reserved for the Indian tribal set-aside for direct grants to American Samoa, Guam, Northern Marianas, and the Virgin Islands.

<sup>\*\*\*\*</sup> The FY 2023 appropriation allows EPA to reserve an <u>annual amount</u> equal to 2% of all funds appropriated (i.e, BIL and base) or \$30M, whichever is greater, for direct grants to Indian tribes. This amount only reflects the FY 2023 base portion of the annual total reserved for Indian tribes.

<sup>\*\*\*\*\*</sup> The FY 2023 appropriation allows EPA to reserve up to \$1.5M to conduct the Clean Watershed Needs Survey.

# **Distribution of Clean Water SRF Appropriation**

2023 Consolidated Appropriations Additional Subsidization and Green Project Reserve (GPR) Amounts

State	Capitalization Grant	Required Additional Subsidy (10%)*	Required GPR (10%)	State	Capitalization Grant	Required Additional Subsidy (10%)*	Required GPR (10%)
		_					
Alabama	\$8,388,000	\$838,800		New Jersey	\$30,653,000	\$3,065,300	\$3,065,300
Alaska	\$4,490,000	\$449,000	. ,	New Mexico	\$3,683,000	\$368,300	\$368,300
Arizona	\$5,067,000	\$506,700	,	New York	\$82,792,000	\$8,279,200	\$8,279,200
Arkansas	\$4,907,000	\$490,700	. ,	North Carolina	\$13,538,000	\$1,353,800	\$1,353,800
California	\$53,649,000	\$5,364,900	\$5,364,900	North Dakota	\$3,683,000	\$368,300	\$368,300
Colorado	\$6,000,000	\$600,000	\$600,000	Ohio	\$42,229,000	\$4,222,900	\$4,222,900
Connecticut	\$9,189,000	\$918,900	\$918,900	Oklahoma	\$6,061,000	\$606,100	\$606,100
Delaware	\$3,683,000	\$368,300	\$368,300	Oregon	\$8,473,000	\$847,300	\$847,300
Florida	\$25,320,000	\$2,532,000	\$2,532,000	Pennsylvania	\$29,714,000	\$2,971,400	\$2,971,400
Georgia	\$12,683,000	\$1,268,300	\$1,268,300	Puerto Rico	\$9,784,000	\$978,400	\$978,400
Hawaii	\$5,809,000	\$580,900	\$580,900	Rhode Island	\$5,037,000	\$503,700	\$503,700
Idaho	\$3,683,000	\$368,300	\$368,300	South Carolina	\$7,684,000	\$768,400	\$768,400
Illinois	\$33,926,000	\$3,392,600	\$3,392,600	South Dakota	\$3,683,000	\$368,300	\$368,300
Indiana	\$18,078,000	\$1,807,800	\$1,807,800	Tennessee	\$10,897,000	\$1,089,700	\$1,089,700
lowa	\$10,152,000	\$1,015,200	\$1,015,200	Texas	\$34,286,000	\$3,428,600	\$3,428,600
Kansas	\$6,771,000	\$677,100	\$677,100	Utah	\$3,952,000	\$395,200	\$395,200
Kentucky	\$9,548,000	\$954,800	\$954,800	Vermont	\$3,683,000	\$368,300	\$368,300
Louisiana	\$8,246,000	\$824,600	\$824,600	Virginia	\$15,352,000	\$1,535,200	\$1,535,200
Maine	\$5,806,000	\$580,600	\$580,600	Washington	\$13,045,000	\$1,304,500	\$1,304,500
Maryland	\$18,143,000	\$1,814,300	\$1,814,300	West Virginia	\$11,694,000	\$1,169,400	\$1,169,400
Massachusetts	\$25,469,000	\$2,546,900	\$2,546,900	Wisconsin	\$20,279,000	\$2,027,900	\$2,027,900
Michigan	\$32,254,000	\$3,225,400	\$3,225,400	Wyoming	\$3,683,000	\$368,300	\$368,300
Minnesota	\$13,788,000	\$1,378,800	\$1,378,800				
Mississippi	\$6,759,000	\$675,900	\$675,900				
Missouri	\$20,795,000	\$2,079,500	\$2,079,500				
Montana	\$3,683,000	\$368,300	\$368,300				
Nebraska	\$3,837,000	\$383,700	\$383,700				
Nevada	\$3,683,000	\$368,300	\$368,300				
New Hampshire	\$7,496,000	\$749,600	\$749,600				
* Eligible Recipients: Any	CWSRF-Eligible Borrowe	r					

# **Distribution of Clean Water SRF Appropriation**

### 2023 Clean Water Act (CWA) Statutory Additional Subsidization Amounts

	Capitalization	Required	Required		Capitalization	Required	Required
State	Grant	Minimum (10%)*	Maximum (30%)*	State	Grant	Minimum (10%)*	Maximum (30%)*
Alabama	\$8,388,000	\$838,800	\$2,516,400	New Jersey	\$30,653,000	\$3,065,300	\$9,195,900
Alaska	\$4,490,000	\$449,000	\$1,347,000	New Mexico	\$3,683,000	\$368,300	\$1,104,900
Arizona	\$5,067,000	\$506,700	\$1,520,100	New York	\$82,792,000	\$8,279,200	\$24,837,600
Arkansas	\$4,907,000	\$490,700	\$1,472,100	North Carolina	\$13,538,000	\$1,353,800	\$4,061,400
California	\$53,649,000	\$5,364,900	\$16,094,700	North Dakota	\$3,683,000	\$368,300	\$1,104,900
Colorado	\$6,000,000	\$600,000	\$1,800,000	Ohio	\$42,229,000	\$4,222,900	\$12,668,700
Connecticut	\$9,189,000	\$918,900	\$2,756,700	Oklahoma	\$6,061,000	\$606,100	\$1,818,300
Delaware	\$3,683,000	\$368,300	\$1,104,900	Oregon	\$8,473,000	\$847,300	\$2,541,900
Florida	\$25,320,000	\$2,532,000	\$7,596,000	Pennsylvania	\$29,714,000	\$2,971,400	\$8,914,200
Georgia	\$12,683,000	\$1,268,300	\$3,804,900	Puerto Rico	\$9,784,000	\$978,400	\$2,935,200
Hawaii	\$5,809,000	\$580,900	\$1,742,700	Rhode Island	\$5,037,000	\$503,700	\$1,511,100
Idaho	\$3,683,000	\$368,300	\$1,104,900	South Carolina	\$7,684,000	\$768,400	\$2,305,200
Illinois	\$33,926,000	\$3,392,600	\$10,177,800	South Dakota	\$3,683,000	\$368,300	\$1,104,900
Indiana	\$18,078,000	\$1,807,800	\$5,423,400	Tennessee	\$10,897,000	\$1,089,700	\$3,269,100
Iowa	\$10,152,000	\$1,015,200	\$3,045,600	Texas	\$34,286,000	\$3,428,600	\$10,285,800
Kansas	\$6,771,000	\$677,100	\$2,031,300	Utah	\$3,952,000	\$395,200	\$1,185,600
Kentucky	\$9,548,000	\$954,800	\$2,864,400	Vermont	\$3,683,000	\$368,300	\$1,104,900
Louisiana	\$8,246,000	\$824,600	\$2,473,800	Virginia	\$15,352,000	\$1,535,200	\$4,605,600
Maine	\$5,806,000	\$580,600	\$1,741,800	Washington	\$13,045,000	\$1,304,500	\$3,913,500
Maryland	\$18,143,000	\$1,814,300	\$5,442,900	West Virginia	\$11,694,000	\$1,169,400	\$3,508,200
Massachusetts	\$25,469,000	\$2,546,900	\$7,640,700	Wisconsin	\$20,279,000	\$2,027,900	\$6,083,700
Michigan	\$32,254,000	\$3,225,400	\$9,676,200	Wyoming	\$3,683,000	\$368,300	\$1,104,900
Minnesota	\$13,788,000	\$1,378,800	\$4,136,400				
Mississippi	\$6,759,000	\$675,900	\$2,027,700				
Missouri	\$20,795,000	\$2,079,500	\$6,238,500				
Montana	\$3,683,000	\$368,300	\$1,104,900				
Nebraska	\$3,837,000	\$383,700	\$1,151,100				
Nevada	\$3,683,000	\$368,300	\$1,104,900				
New Hampshire	\$7,496,000	\$749,600	\$2,248,800				

# **Distribution of Clean Water SRF Appropriation**

2023 Clean Water Act (CWA) 604(b) Grants to States and Territories (BIL and Base)

	604(b) Grant				604(b) Grant		
State	BIL Total*	Base**	FY 2023 Total	State	BIL Total*	Base**	FY 2023 Total
Alabana	¢050,000	<b>#05.000</b>	<b>#244.000</b>	Nam Jasan	<b>#040.000</b>	<b>#240.000</b>	<b>#4 050 000</b>
Alabama	\$259,000	\$85,000		New Jersey	\$948,000	\$310,000	\$1,258,000
Alaska	\$139,000	\$45,000	, ,	New Mexico	\$114,000	\$37,000	\$151,000
Arizona	\$157,000	\$51,000		New York	\$2,561,000	\$836,000	\$3,397,000
Arkansas	\$152,000	\$50,000	, ,	North Carolina	\$419,000	\$137,000	\$556,000
California	\$1,660,000	\$542,000		North Dakota	\$114,000	\$37,000	\$151,000
Colorado	\$185,000	\$61,000	\$246,000		\$1,306,000	\$427,000	\$1,733,000
Connecticut	\$284,000	\$93,000		Oklahoma	\$187,000	\$61,000	\$248,000
Delaware	\$114,000	\$37,000	\$151,000		\$262,000	\$86,000	\$348,000
Florida	\$784,000	\$256,000	\$1,040,000	Pennsylvania	\$919,000	\$300,000	\$1,219,000
Georgia	\$392,000	\$128,000	\$520,000	Puerto Rico	\$303,000	\$99,000	\$402,000
Hawaii	\$180,000	\$59,000	\$239,000	Rhode Island	\$155,000	\$51,000	\$206,000
Idaho	\$114,000	\$37,000	\$151,000	South Carolina	\$238,000	\$78,000	\$316,000
Illinois	\$1,049,000	\$343,000	\$1,392,000	South Dakota	\$114,000	\$37,000	\$151,000
Indiana	\$559,000	\$183,000	\$742,000	Tennessee	\$337,000	\$110,000	\$447,000
lowa	\$314,000	\$103,000	\$417,000	Texas	\$1,060,000	\$346,000	\$1,406,000
Kansas	\$209,000	\$68,000	\$277,000	Utah	\$122,000	\$40,000	\$162,000
Kentucky	\$295,000	\$96,000	\$391,000	Vermont	\$114,000	\$37,000	\$151,000
Louisiana	\$255,000	\$83,000	\$338,000	Virginia	\$475,000	\$155,000	\$630,000
Maine	\$180,000	\$59,000	\$239,000	Washington	\$403,000	\$132,000	\$535,000
Maryland	\$561,000	\$183,000		West Virginia	\$361,000	\$118,000	\$479,000
Massachusetts	\$788,000	\$257,000	\$1,045,000		\$627,000	\$205,000	\$832,000
Michigan	\$997,000	\$326,000	\$1,323,000		\$114,000	\$37,000	\$151,000
Minnesota	\$426,000	\$139,000	\$565,000	,9	*****	4,	<b>4</b> 12 1,2 2 2
Mississippi	\$209,000	\$68,000	\$277,000				
Missouri	\$644,000	\$210,000	. ,	District of Columbia	\$114,000	\$37,000	\$151,000
Montana	\$114,000	\$37,000	\$151,000	_	\$129,000	\$41,000	\$170,000
Nebraska	\$119,000	\$39,000	\$158,000		\$94,000	\$30,000	\$124,000
Nevada	\$114,000	\$37,000	. ,	Northern Marianas	\$60,000	\$40,000	\$100,000
New Hampshire	\$231,000	\$76,000	. ,	Virgin Islands	\$75,000	\$25,000	\$100,000
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<sup>\*</sup>Bipartisan Infrastructure Law (BIL) Total includes General Supplemental and Emerging Contaminants funding.

<sup>\*\*</sup>Section 604(b) of the CWA sets the annual minimum amount for 604(b) grants at \$100,000. These amounts include the additional funds needed to meet this statutory floor when the sum of 1% of all CWSRF BIL and Base allotments is less than \$100,000.