

# FY 2024 Brownfields Grant Guidelines

Overview of the Submission, Narrative Information Sheet & Threshold Requirements

Assessment Grants

Recorded Training



# Welcome!

The purpose of this training is to assist applicants with understanding the general application submission, Narrative Information Sheet, and Threshold requirements for:

- ❖ Community-wide Assessment Grants
- ❖ Assessment Coalition Grants
- ❖ Community-wide Assessment Grants for States & Tribes

For questions, please reach out to your Regional Brownfields Contact listed at the end of this presentation (also in Section VII. of the Guidelines).

**The Guidelines supersede any information provided in this presentation or by the presenter.**

## Applying for a Multipurpose or Assessment Grant?

Join the live Narrative Criteria webinar on  
Sep 26, 2023, at 12 PM ET via Zoom at  
<https://usepa.zoomgov.com/j/1602838047>

## Applying for a Cleanup Grant?

Join the live Narrative Criteria webinar on  
Sep 27, 2023, at 1 PM ET via Zoom at  
<https://usepa.zoomgov.com/j/1600119960>

Narrative Criteria webinar recordings will be posted on EPA's [MARC Grant Application Resources](#) page in the weeks following the live webinars.



# Today's Agenda

For questions, please reach out to your Regional Brownfields Contact listed at the end of this presentation (also in Section VII. of the Guidelines).



## This presentation:

- NEXT: General Overview & Application Submission
- Overview of the Narrative Information Sheet
- Overview of Threshold Criteria
- Wrap-up & Contact Information

## Covered in live Narrative Criteria training on 9/26/23:

- Overview of Ranking Criteria
  - Project Area Description & Plans for Revitalization
  - Community Need & Community Engagement
  - Task Descriptions, Cost Estimates, & Measuring Progress
  - Programmatic Capability & Past Performance



# EPA Supports Community Efforts to Revitalize Brownfields

EPA's [Brownfields Program](#) provides grants and technical assistance to communities, states, Tribal Nations and others to assess, safely clean up and sustainably reuse contaminated properties.

To learn about EPA's broader efforts to put previously contaminated properties back into productive use, read about our [Land Revitalization Program](#).



# Application Guidelines & Guidance Resources



## MARC Grant Application Resources – “Open Solicitations”

[www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources](http://www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources)

[Brownfields Home](#)

[Overview](#)

[Program Accomplishments](#)

[Environmental and Economic Benefits](#)

[Types of Grant Funding](#)

[Solicitations for Brownfield Grants](#)

[Brownfields and Land Revitalization Activities Near You](#)

[Select Publications](#)

[Grant Recipient Success Stories](#)

[Grant Recipient Reporting](#)

### Multipurpose, Assessment, RLF, and Cleanup (MARC) Grant Application Resources

- [General Program Resources](#)
- [Open Solicitations](#)
- [Closed Solicitations](#)

The FY 2024 Brownfields Multipurpose, Assessment, and Cleanup Grant Guidelines are now available (go to [Open Solicitations](#)). The application submission deadline is November 13, 2023.

Grants offered by the Brownfields Program may be used to address sites contaminated by hazardous substances, pollutants, or contaminants (including hazardous substances co-mingled with petroleum) and petroleum. Please visit the [Types of Brownfields Grant Funding](#) for an overview of the Multipurpose, Assessment, Revolving Loan Fund, and Cleanup Grants. [Tipos de financiación con subvenciones para terrenos baldíos de la EPA \(pdf\) \(57.56 KB\)](#)

Applicants interested in **applying for more than one grant type in FY 2024**, review the [FY24 Eligibility Chart for Multiple Applications](#) to determine which other grants are available to you.

If you currently have an **EPA Brownfields Grant** and are interested in applying for an FY 2023 grant, review the [FY24 Eligibility Chart for Existing Grant Recipients](#) to determine which other grants are available to you.



# Commonly Used Acronyms

AAI	All Appropriate Inquiry	IC	Institutional Control
ABCAs	Analysis of Brownfields Cleanup Alternatives	IIJA	Infrastructure Investment and Jobs Act
ACRES	Assessment, Cleanup and Redevelopment Exchange System	ILO	Innocent Landowner
AOR	Authorized Organization Representative	IR	Intergovernmental Review
ASAP	Automated Standard Application for Payments	MARC	Multipurpose, Assessment, RLF, and Cleanup
BABA	Build America, Buy America Act	MOA	Memorandum of Agreement
BFPP	Bona Fide Prospective Purchaser	NHPA	National Historic Preservation Act
BIL	Bipartisan Infrastructure Law	NPL	National Priority List
CAR	Cooperative Agreement Recipient	OBLR	Office of Brownfields & Land Revitalization
CEJST	Climate and Economic Justice Screening Tool	PO	Project Officer (or Project Manager)
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act	POC	Point of Contact
CFR	Code of Federal Regulations	PRP	Potential Responsible Parties
CIP	Community Involvement Plan	QEP	Qualified Environmental Professional
COA	Closeout Agreement (for RLF Grants)	RFA	Request for Application
CWAGST	Community-wide Assessment Grants for States & Tribes	RLF	Revolving Loan Fund
DBA	Davis-Bacon Act	SAM	System for Award Management
DUNS	Data Universal Numbering System	TAB	Technical Assistance to Brownfields
EPA	Environmental Protection Agency	UEI	Unique Entity Identifier
FAQ	Frequently Asked Question	USC	United States Code
FON	Funding Opportunity Number	UST	Underground Storage Tank
FY	Fiscal Year	VCP	Voluntary Cleanup Program

# Eligible Entities for Multipurpose Grants & Assessment Grants (Vary by Grant Type)

- General Purpose Unit of Local Government.
- Quasi Governmental Entities.
- Regional Council established under governmental authority.
- Group of General Purpose Units of Local Government established under Federal, state or local law (e.g., councils of governments) to function as a single legal entity with authority to enter into binding agreements with the Federal Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a state.
- State.
- Federally recognized Indian Tribe other than in Alaska.
- Alaska Native Regional Corporation, Alaska Native Village Corporation, and Metlakatla Indian Community.
- Nonprofit organization described in section 501(c)(3) of the Internal Revenue Code.
- Qualified community development entity as defined in section 45D(c)(1) of the Internal Revenue Code of 1986.

See the full list of eligible entities in Section III.A.

Individual entities, for-profit organizations, and nonprofit organizations exempt from taxation under section 501(c)(4) of the Internal Revenue Code that lobby the Federal government are not eligible to receive Brownfields Grants.



# Assessment Grants

Grant funds can be used for a variety of activities:

- **Inventory:** Compile a list of brownfield sites
- **Characterization:** Identify past uses
- **Assessment:** Determine existing contamination
- **Site-specific Cleanup Planning, Area-Wide and Revitalization Planning:** Scope and plan process for site assessment, cleanup and reuse
- **Community Involvement:** Inform and engage stakeholders



## *Community-wide Assessment Grants*

- Is appropriate for communities that are beginning to address their brownfield challenges, as well as for communities that have ongoing efforts to bring sites into productive reuse.
- **Funding:** up to \$500,000
- **Project Period:** up to 4 years



# Assessment Coalition Grants

- Coalitions are designed for one “lead” eligible entity to partner with eligible entities.
- The **lead** member must be a state, county government, Indian Tribe other than in Alaska, Alaska Native Regional Corporation, Alaska Native Village Corporation, the Metlakatla Indian Community, regional council or a group of general purpose units of local government established under a governmental authority.
- Information on **non-lead** members:
  - There must be at least **two**, but not more than **four**, eligible entities (cannot be the state).
  - Entities that have an open Brownfields MARC Grant and entities that were awarded a MARC Grant that closed in 2016 or later, are **not eligible** to be a non-lead member.
  - Members of the coalition **may not be an agency or instrumentality of themselves** (for example, a county and the redevelopment authority of the same county); except for coalitions in which the state is the lead and one of the members is a regional council or regional commission that is created by a state legislature through a charter or another official action.



# Assessment Coalition Grants

## Key Features

- Partnership with non-lead members that do not have the capacity to apply for and manage their own EPA cooperative agreement and otherwise would not have access to Brownfields Grant resources.
  - Applicants are encouraged to **include eligible community-based nonprofit organizations** as non-lead coalition members to help promote strong local engagement.
- Must identify a target area for each member in the coalition – target areas may not overlap.
- Recipients must assess a minimum of 2 sites in each member's geographic boundary throughout the project period.
- **Funding:** up to \$1.5 million
- **Project Period:** up to 4 years



# *Community-wide Assessment Grants for States & Tribes*

## Who Can Apply?

- States.
- Indian tribe other than in Alaska; including Intertribal Consortia, comprised of eligible Indian tribes.
- Alaska Native Regional Corporation, Alaska Native Village Corporation, and the Metlakatla Indian Community.

Current CWAGST recipients are not eligible for an FY24 Community-wide Assessment Grant, Assessment Coalition Grant or Multipurpose Grant

## Key Features

- Identify at least 3 target areas and at least 5 priority sites in the application (at least 1 priority site in each target area).
- Target areas of higher and lower population densities.
- Recipients must assess a minimum of 10 sites in underserved communities throughout the project period.
- **Funding:** up to \$2 million
- **Project Period:** up to 5 years



# Submitting Your Application Package

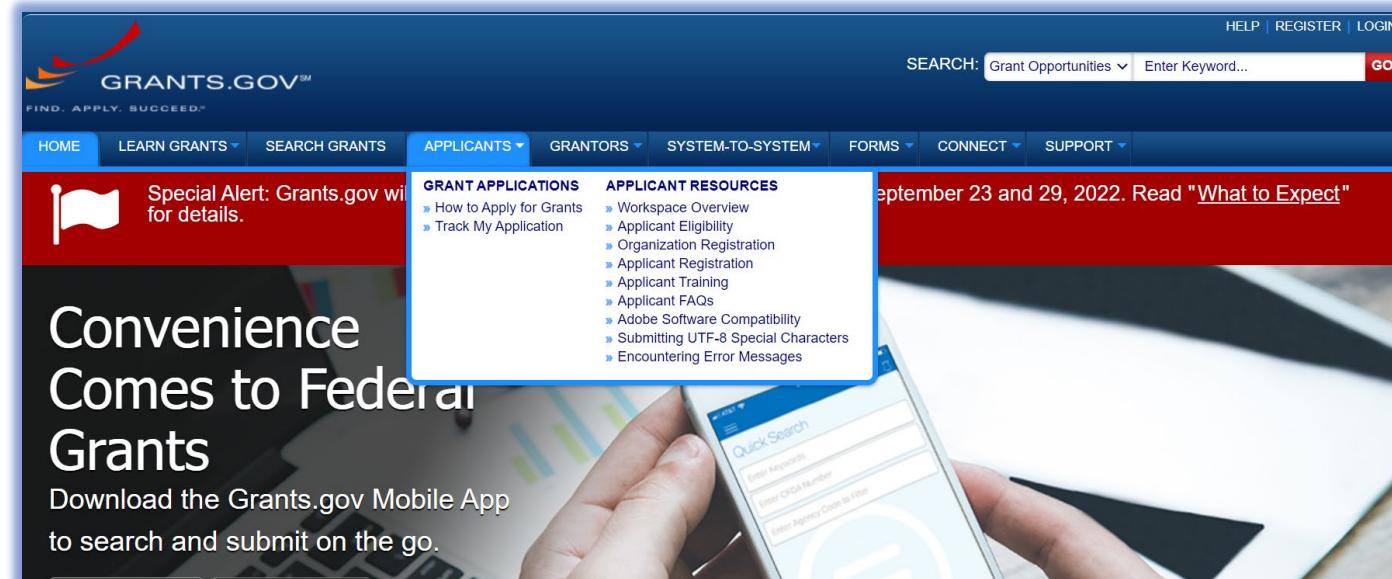
Applications are due **November 13, 2023**, via Grants.gov

[\*\*Grants.gov Tip Sheet\*\*](#)

Grants.gov scheduled maintenance → EPA encourages applicants to apply before November 13

October 18-24, 2023	Server Maintenance	<b>Scheduled Maintenance Outage:</b> Training System will go <b>Offline</b> Wednesday October 18, 2023 at 12:01 AM ET. Training System will go <b>Online</b> Friday October 20, 2023 at 6:00 AM ET.  Production System will go <b>Offline</b> Saturday October 21, 2023 at 12:01 AM ET. Production System will go <b>Online</b> Tuesday October 24, 2023 at 6:00 AM ET.
---------------------	--------------------	--

## Grants.gov Resources for Applicants

A screenshot of the Grants.gov homepage. The top navigation bar includes links for HOME, LEARN GRANTS, SEARCH GRANTS, APPLICANTS, GRANTORS, SYSTEM-TO-SYSTEM, FORMS, CONNECT, and SUPPORT. A search bar is located in the top right. A red banner on the left says "Special Alert: Grants.gov will be down for maintenance on October 18-24, 2023. See the 'What to Expect' page for details." The main content area features a large image of a hand holding a smartphone displaying the Grants.gov mobile app. Text on the page includes "Convenience Comes to Federal Grants" and "Download the Grants.gov Mobile App to search and submit on the go." A dropdown menu for "APPLICANTS" is open, showing sub-links for "GRANT APPLICATIONS" (How to Apply for Grants, Track My Application) and "APPLICANT RESOURCES" (Workspace Overview, Applicant Eligibility, Organization Registration, Applicant Registration, Applicant Training, Applicant FAQs, Adobe Software Compatibility, Submitting UTF-8 Special Characters, Encountering Error Messages). A red banner at the bottom right says "September 23 and 29, 2022. Read 'What to Expect'".

# Requirements to Submit an Application

REQUIREMENT	ACCEPT 	REJECT 
Active <a href="http://www.sam.gov">www.sam.gov</a> account through <b>November 13, 2023</b>	<ul style="list-style-type: none"><li>The account is active and matches the applying entity's info</li></ul>	<ul style="list-style-type: none"><li>Inactive/expired account</li><li>Account is being updated, but is in "processing" status</li></ul>
Obtain a <b>Unique Entity Identifier (UEI)</b> generated in <a href="http://www.sam.gov">www.sam.gov</a>	<ul style="list-style-type: none"><li>The same UEI must be used on future applications</li></ul>	<ul style="list-style-type: none"><li>Another organization's/department's UEI is listed on the Standard Form 424</li></ul>
Active <a href="http://www.grants.gov">www.grants.gov</a> account	<ul style="list-style-type: none"><li>Associated with same, correct UEI number</li></ul>	<ul style="list-style-type: none"><li>Application is not submitted through Grants.gov solely due to an incomplete registration/inactive account</li></ul>
Submission by the <b>Authorized Organization Representative (AOR)</b>	<ul style="list-style-type: none"><li>Designated in Grants.gov by the E-business POC (listed in sam.gov)</li><li>Has a Grants.gov account</li><li>Submits the application in Grants.gov</li></ul>	<ul style="list-style-type: none"><li>Someone other than the designated AOR submits the application</li></ul>

[How to Register to Apply for Grants](#)

Procurement Technical Assistance Center (PTAC) advisors can also help with SAM.gov enrollment: [www.aptac-us.org/](http://www.aptac-us.org/)



# Your [www.grants.gov](http://www.grants.gov) Application Package

## REQUIRED FORMS

1. Application for Federal Assistance (SF-424)
2. Budget Information for Non-Construction Programs (SF-424 A)
3. Preaward Compliance Review Report (EPA Form 4700-4)
4. EPA Key Contacts (Form 5700-54)
5. Project Narrative Attachment Form, as one file (if possible)  
include:
  - ✓ Narrative Information Sheet
  - ✓ Threshold Responses (and related attachments)
  - ✓ Narrative (responses to Narrative/Ranking criteria)

## OPTIONAL FORMS

6. Grants.gov Lobbying Form – To be submitted by applicants requesting more than \$100,000 of EPA grant funding.
7. Negotiated/Proposed Indirect Cost Rate Agreement – submit using the Project Narrative Attachment Form.

- A workplan is not required at time of submission. Applicants that are selected for funding will negotiate a workplan with EPA before the cooperative agreement is awarded.
- Sample forms are available on the [MARC Grant Application Resources](#) webpage.

**Refer to the Application Submission Checklist in Section IV.C. of the Guidelines**



# Exception to Applying through Grants.gov

## Limited or No Access to Internet

- Must request a waiver at least 15 calendar days before the deadline
- EPA must approve the request
- See procedures in Appendix 1 of Guidelines

**There's no guarantee EPA will approve the request.**

**Every effort must be made to complete all prerequisites  
in order to apply through [www.grants.gov](http://www.grants.gov).**

**Note: EPA is no longer providing flexibility to applicants  
experiencing challenges related to COVID-19.**





**KEEP  
CALM  
AND  
CALL THE  
GRANTS.GOV  
HELP DESK!!**

## The Application Has Been Submitted – Now What?

- After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive a series of notification emails from [www.grants.gov](http://www.grants.gov).
- If the AOR did not receive notification emails or the application package did not transmit successfully, immediately **contact the [www.grants.gov](http://www.grants.gov) Help Desk** (open 24/7; except federal holidays) at 1-800-518-4726. **Make sure to get a case number.**
- Applications that are not successfully submitted and **‘validated’** by the deadline will be considered late and will be rejected.



# Progress Check

For questions, please reach out to your Regional Brownfields Contact listed at the end of this presentation (also in Section VII. of the Guidelines).



## This presentation:

- General Overview & Application Submission
- NEXT: Overview of the Narrative Information Sheet
- Overview of Threshold Criteria
- Wrap-up & Contact Information

## Covered in live Narrative Criteria training on 9/26/23:

- Overview of Ranking Criteria
  - Project Area Description & Plans for Revitalization
  - Community Need & Community Engagement
  - Task Descriptions, Cost Estimates, & Measuring Progress
  - Programmatic Capability & Past Performance



# Changes to the Guidelines

NEW

In this presentation, **text in magenta** denotes information that is new/revised.

For a complete list of changes,  
please review the

**“Summary of FY24 Brownfields Multipurpose Assessment, and Cleanup Grant Guidelines Changes”**

available at

[www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources](http://www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources).



## FY24 Summary of the Brownfield Multipurpose, Assessment, and Cleanup (MAC) Grant Guidelines Changes (as of 9/12/23)

EPA prepared this Summary of Changes document to assist prospective applicants with preparing Brownfields Multipurpose, Assessment, and Cleanup Grant applications. Please review the [FY24 Application Guidelines](#) (also referred to as Request for Application (RFA) or the solicitation) when preparing your application. If the information in the Summary of Changes differs from information in the statute, regulation, or the Guidelines, then the statute, regulation, or the Guidelines will take precedence.

If you are interested in applying for more than one grant type in FY24, review the [FY24 Eligibility Chart for Multiple Applications](#) to determine which other grants are available to you.

If you currently have an EPA Brownfields Grant and are interested in applying for an FY24 grant, review the [FY24 Eligibility Chart for Existing Grant Recipients](#) to determine which other grants are available to you.

A solicitation for new Revolving Loan Fund Grants will not be issued in FY24. EPA expects to solicit requests from existing, high-performing RLF Grant recipients for supplemental funding through a Federal Register notice in early 2024.

[Changes to All Grant Types](#)

[Changes to the Multipurpose Grant Guidelines](#)

[Changes to the Community-wide Assessment Grant Guidelines](#)

[Changes to the Assessment Coalition Grant Guidelines](#)

[Changes to the Community-wide Assessment Grants for States and Tribes Guidelines](#)

[Changes to the Cleanup Grant Guidelines](#)

CHANGES TO THE MULTIPURPOSE, ASSESSMENT, AND CLEANUP GRANT GUIDELINES		
Topic	FY23 Multipurpose, Assessment, and Cleanup Grant Guidelines	FY24 Multipurpose, Assessment, and Cleanup Grant Guidelines
General Information		
EPA's Flexibility for Applicants Experiencing Challenges Related to COVID-19	EPA provided flexibility to applicants experiencing challenges related to COVID-19.	EPA is no longer providing flexibility to applicants experiencing challenges related to COVID-19.



# Narrative Information Sheet



- ❖ Applicant Name and Address
- ❖ Amount of Funding Requested
- ❖ Project Location
- ❖ Target Area and Priority Site Information
- ❖ Project Contacts
- ❖ Population Data
- ❖ Other Factors
- ❖ Letter from the State or Tribal Environmental Authority
- ❖ EPA's Plan to Release Copies of Applications

- ✓ Provide on official letterhead
- ✓ 3-page limit
- ✓ Do not include a project summary/overview



# Target Area and Priority Site

## Community-wide

### Applicants, other than Tribes:

- List the **target area(s)** discussed in the Narrative.
- For each target area that is smaller than a city/town, list the **eleven-digit census tract number(s)** within the target area.
- Provide the **address of the priority site(s)** proposed in the Narrative.



Data is used for the Justice40 Initiative. Geographic areas within Tribal jurisdictions are included as part of Justice40. Therefore, Tribes do not have the same requirements.

## Coalition

## States/Tribes

### All applicants:

- List the **target area(s)** discussed in the Narrative.
  - **Coalitions:** List the coalition members and each member's associated target area.
  - **States/Tribes:** Must have at least three target areas and at least five priority sites, with at least one priority site in each target area.

See [FAQs E.8.](#) and [E.9.](#) for more information on Justice40 and [C.12.](#) for instructions on how to find the eleven-digit census tract number for a target area

### Applicants, other than Tribes:

- For each target area that is smaller than a city/town, list the **eleven-digit census tract number(s)** within the target area.
- Provide the **address of the priority site(s)** proposed in the Narrative.

# Letter from the State or Tribal Environmental Authority

- Include a current letter acknowledging your specific application and your plans to apply for a grant to conduct/oversee assessment activities.
- State and Tribal environmental authorities do not need to provide a letter for themselves.

**This request applies to Alaska Native Regional Corporations and Alaska Native Village Corporations.**

**Do not substitute a letter from local or county oversight agencies.**

**Do not substitute an enforcement letter from the state regarding a specific site action.**

**Do not use last year's letter.**

**Attach letter to the Narrative Information Sheet.**

**Does not count toward 3-page limit.**



# Certifying Confidential/Privileged Information

- EPA intends to post submitted applications on our website after the sections are announced in the spring 2024.
- EPA recommends that applications not include trade secrets or commercial or financial information that is confidential or privileged, or sensitive information, if disclosed, that would invade another individual's personal privacy (e.g., an individual's salary, personal email addresses, etc.).
- On the Narrative Information Sheet...**Clearly indicate which portion(s) of the application you are claiming as confidential, privileged, or sensitive information, or state 'n/a' or 'not applicable' if application does not have confidential, privileged, or sensitive information."**
- Information that is claimed as confidential, privileged, or sensitive will be redacted before release.



Review Section IV.G. for  
more information



# Progress Check

For questions, please reach out to your Regional Brownfields Contact listed at the end of this presentation (also in Section VII. of the Guidelines).



## This presentation:

- General Overview & Application Submission
- NEXT: Overview of the Narrative Information Sheet
- Overview of Threshold Criteria**
- Wrap-up & Contact Information

## Covered in live Narrative Criteria training on 9/26/23:

- Overview of Ranking Criteria
  - Project Area Description & Plans for Revitalization
  - Community Need & Community Engagement
  - Task Descriptions, Cost Estimates, & Measuring Progress
  - Programmatic Capability & Past Performance



# Threshold Criteria Overview

Every application must clear the  
Threshold Requirements



You **MUST** Attach Responses to Your Application and Responses **MUST** be Separate from the Narrative

Threshold Criteria		
Community-Wide	Coalitions	CWAGST
Applicant Eligibility		
Community Involvement		
Expenditure of Existing Grant Funds		
Contractors and Named Subrecipients		
	Target Areas	
	# and Eligibility of Non-lead Coalition Members	
	Existing BF Grants to Non-lead Members	
	Coalition Agreement	
		Target Areas and Priority Sites



# Applicant Eligibility

Describe how you are an eligible entity (complete list in Section III.A.)

## Community-wide Assessment:

- For entities that are cities, counties, Tribes, or states, affirm that the organization is eligible for funding.
- For entities other than cities, counties, Tribes, or states, attach documentation of your eligibility (e.g., resolutions, statutes, etc.).
- For Intertribal consortia, attach documentation that meets the requirements in 40 CFR 35.504(a) and (c). All members of the Intertribal consortium must authorize submission of an application.
- For nonprofit organizations and qualified community development entities, attach documentation certifying the organization's status.
- Indicate if your organization is exempt from Federal taxation under section 501(c)(4) of the Internal Revenue Code.
  - If yes, indicate if your organization lobbies the Federal government.
  - If yes, but your organization does not lobby the Federal government, attach a legal opinion that states that the organization does not engage in lobbying activities.



# Applicant Eligibility

Describe how you are an eligible entity (complete list in Section III.A.)

## Coalitions:

- For entities that are counties, Tribes, or states, affirm that the organization is eligible for funding.
- For entities other than counties, Tribes, or states, attach documentation of your eligibility (e.g., resolutions, statutes, etc.).
- For Intertribal consortia, attach documentation that meets the requirements in 40 CFR 35.504(a) and (c). All members of the Intertribal consortium must authorize submission of an application.
- Indicate if your organization is exempt from Federal taxation under section 501(c)(4) of the Internal Revenue Code.
  - If yes, indicate if your organization lobbies the Federal government.
  - If yes, but your organization does not lobby the Federal government, attach a legal opinion that states that the organization does not engage in lobbying activities.



## States/Tribes:

- For entities that are Tribes or states, affirm that the organization is eligible for funding.
- For Intertribal consortia, attach documentation that meets the requirements in 40 CFR 35.504(a) and (c). All members of the Intertribal consortium must authorize submission of an application.

# Community Involvement

- Applicants must identify how you will “inform and involve the community and other stakeholders.”
- Tie this to your response to Narrative/Ranking criterion 2.b. Community Engagement.
- Community involvement efforts can be implemented using existing meetings.
- If you are applying for **Assessment Grant** and **Cleanup Grant** funding, the Assessment Community Involvement threshold criterion can be satisfied by using the Cleanup Grant Community Notification process.



# Expenditure of Existing Grant Funds

## Community-wide Assessment & Coalitions

- Current EPA Assessment Grant recipients and Multipurpose Grant recipients must draw down, and disburse, at least 70.00% of each Multipurpose and Assessment cooperative agreement by **October 1, 2023**, before applying for funding.
- Attach a copy of a financial record displaying the amount of funds drawn down (e.g., a report from the Automated Standard Application for Payments (ASAP) or general ledger entries).
- Otherwise: Affirm you do not have an active EPA Brownfields Assessment or Multipurpose Grant.

## States and Tribes:

- Current EPA CWAGST Grant recipients must draw down, and disburse, at least 70.00% of their CWAGST cooperative agreement by **October 1, 2023**, before applying for funding for a FY24 CWAGST Grant.
- Attach a copy of a financial record displaying the amount of funds drawn down (e.g., a report from the Automated Standard Application for Payments (ASAP) or general ledger entries).
- Otherwise: Affirm you do not have an active EPA CWAGST Grant.

NEW



# Contractors and Named Subrecipients

- **Contractors.**

Applicants, other than state applicants, that have procured a contractor(s) where the amount of the contract will be more than the micro-purchase threshold in [2 CFR § 200.320\(a\)\(1\)](#) (\$10,000 for most applicants) must demonstrate how the contractor (including consultants) was selected in compliance with **the fair and open competition requirements** in 2 CFR Part 200 and 2 CFR Part 1500.

**States: Consistent with 2 CFR § 200.317**, follow the same competitive policies and procedures used for procurements from non-Federal funds.

- **EPA will not accept sole source justifications** for procurement contracts for services such as environmental consulting, engineering, and remediation that are available in the commercial marketplace.
- Firms or individual consultants that develop or draft specifications, requirements, statements of work, or invitations for bids or requests for proposals **must be excluded** from competing for such procurements as provided in [2 CFR § 200.319\(b\)](#). EPA interprets this regulation to preclude applicants from directly receiving **any assistance** from prospective contractors in developing RFPs if the prospective contractor will be allowed to compete for the work covered by the RFP.
- Consistent with 2 CFR § 200.320(b)(2)(iii), for contracts over \$250,000, **price must be an evaluation factor** in the review of proposals or quotes.

EPA provides guidance on complying with the competition requirements in the [Best Practice Guide for Procuring Services, Supplies, and Equipment Under EPA Assistance Agreements](#) and the [Brownfields Grants: Guidance on Competitively Procuring a Contractor](#).

# Contractors and Named Subrecipients

- **Contractors cont'd:**

Applicants must disclose whether they have already selected a contractor that will be compensated with EPA funds made available under the grant.

- Disclose whether you have already selected a contractor that will be compensated with EPA funds made available under this RFA.
- If a contractor(s) has been selected prior to submitting the application to EPA, describe/include:
  - the procurement procedures that were followed to hire the contractor(s), including **where and when** the Request for Proposals (RFP)/Request for Qualifications (RFQ) was posted;
  - **the length of time the RFP/RFQ was advertised;**
  - the number of firms solicited and the number of offers received and considered; and
  - **the name(s) of the firm(s) the applicant entered into contract with.**
- Provide a copy of (or link to) the solicitation documents and the signed executed contract.
- Alternatively, state 'n/a' or 'not applicable' if a contractor has not been procured.



**Failure to provide the requested information will result in the rejection of the application/award.**

States/territories respond  
'n/a' or 'not applicable'

See Section D. of the [FAQs](#)

# Contractors and Named Subrecipients

- **Named Subrecipients.**

All applicants, including states, that name a specific subrecipient in this application must demonstrate that the subrecipient is eligible for a subaward in compliance with [Appendix A of EPA's Subaward Policy for EPA Assistance Agreement Recipients](#). This policy provides, among other things, that transactions between recipients and for-profit firms and individual consultants are procurement contracts rather than subawards when the transaction involves the acquisition of services from the firm or individual.

Describe how the named subrecipient is eligible for a subaward (e.g., is a nonprofit organization or unit of government). Alternatively, state 'n/a' or 'not applicable' if a subrecipient is not named.

Refer to [EPA's Contracts and Subawards](#)  
[Solicitation Clause](#) for additional guidance.



# Number and Eligibility of Non-lead Coalition Members

- List each of the non-lead coalition members.
  - The coalition must have **at least two, but not more than four**, non-lead members.
- Describe how each non-lead coalition member is an eligible entity (complete list in Section III.A.).
  - For entities that are cities, counties, Tribes, or states, affirm that the organization is eligible for funding.
  - For Intertribal consortia, attach documentation that meets the requirements in 40 CFR 35.504(a) and (c). All members of the Intertribal consortium must authorize submission of an application.
  - For entities other than cities, counties, Tribes, or states, attach documentation of your eligibility (e.g., resolutions, statutes, etc.).
  - For nonprofit organizations and qualified community development entities, attach documentation certifying the organization's status.
  - Indicate if your organization is exempt from Federal taxation under section 501(c)(4) of the Internal Revenue Code.
    - If yes, indicate if your organization lobbies the Federal government.
    - If yes, but your organization does not lobby the Federal government, attach a legal opinion that states that the organization does not engage in lobbying activities.
- Non-lead members must be **separate legal entities**.
- Non-lead coalition members may not be an agency or instrumentality of or affiliated with **the lead member or another non-lead member**.

EPA considers departments, agencies, or instrumentalities of the same state, tribal, or city governments to be the same applicant if they are supervised or controlled by the same elected/appointed executive (even if they have different unique entity identifiers).

# Target Areas

The application must discuss a target area for each coalition member (i.e., the lead and non-lead members), and the target areas may not overlap.

Address this threshold criterion by providing a response to Section IV.D.4. on the Narrative Information Sheet:

- List each non-lead member
- Identify the lead member's target area; and
- Identify the target area of each non-lead member.



# Existing BF Grants to Non-lead Members

Affirm that each non-lead coalition member is not the recipient of an open cooperative agreement for Brownfields Multipurpose, Assessment, Revolving Loan Fund, or Cleanup (MARC) Grant funding or a MARC Grant that closed in 2016 or later.

**Assessment Coalitions are designed for one “lead” eligible entity to partner with two to four eligible entities that do not have the capacity to apply for and manage their own EPA cooperative agreement and otherwise would not have access to Brownfields Grant resources.**



# Coalition Agreement

Attach a current *Memorandum of Agreement* or signed letters from all coalition members in which they agree to be part of the coalition.

**Documentation that is not included with the application will render that non-lead member ineligible from participating in the coalition, and potentially render the entire application ineligible if there are fewer than two non-lead members.**



[Click here for an example  
MOA template](#)



# Target Area and Priority Sites

- List the **target areas and the priority sites** discussed in the Narrative (at least three target areas and at least five priority sites, with at least one priority site in each target area).

For example,

- Target Area A has priority site 1
- Target Area B has priority site 2
- Target Area C has priority sites 3, 4, and 5

See Threshold  
Criterion III.B.3.

- Address this threshold criterion by providing a response to Section IV.D.4. in the Narrative Information Sheet: list each target area and the address of each priority site.

If selected for funding, recipients must assess a minimum of 10 sites. Additional sites may be selected throughout the period of performance. Additional sites (i.e., sites not identified in the original workplan) must be located in an underserved community.



# Progress Check

For questions, please reach out to your Regional Brownfields Contact listed at the end of this presentation (also in Section VII. of the Guidelines).



## This presentation:

- General Overview & Application Submission
- NEXT: Overview of the Narrative Information Sheet
- Overview of Threshold Criteria
- Wrap-up & Contact Information

## Covered in live Narrative Criteria training on 9/26/23:

- Overview of Ranking Criteria
  - Project Area Description & Plans for Revitalization
  - Community Need & Community Engagement
  - Task Descriptions, Cost Estimates, & Measuring Progress
  - Programmatic Capability & Past Performance



Community-wide

Coalition

States/Tribes

# Regional Brownfield Contacts

## Headquarters Contacts

Jerry Minor-Gordon-English

[brownfields@epa.gov](mailto:brownfields@epa.gov)



REGIONAL CONTACTS & STATES	
<b>EPA Region 1 – Christine Lombard</b> <a href="mailto:Lombard.Chris@epa.gov">Lombard.Chris@epa.gov</a>   (617) 918-1305	CT, ME, MA, NH, RI, VT
<b>EPA Region 2 – Yocasta DeJesus</b> <a href="mailto:Dejesus.Yocasta@epa.gov">Dejesus.Yocasta@epa.gov</a>   (212) 637-4340	NJ, NY, PR, VI
<b>EPA Region 3 – Anthony Geiger</b> <a href="mailto:Geiger.Anthony@epa.gov">Geiger.Anthony@epa.gov</a>   (215) 814-3367	DE, DC, MD, PA, VA, WV
<b>EPA Region 4 – Sara Janovitz</b> <a href="mailto:Janovitz.Sara@epa.gov">Janovitz.Sara@epa.gov</a>   (404) 562-9870	AL, FL, GA, KY, MS, NC, SC, TN
<b>EPA Region 5 – Ashley Green</b> <a href="mailto:Green.Ashley@epa.gov">Green.Ashley@epa.gov</a>   (312) 886-6054	IL, IN, MI, MN, OH, WI
<b>EPA Region 6 – Paul Johnson</b> <a href="mailto:Johnson.Paul@epa.gov">Johnson.Paul@epa.gov</a>   (214) 665-2246	AR, LA, NM, OK, TX
<b>EPA Region 7 – Tarah Vaughn</b> <a href="mailto:R7_Brownfields@epa.gov">R7_Brownfields@epa.gov</a>   (913) 551-7059	IA, KS, MO, NE
<b>EPA Region 8 – Jennifer Benz</b> <a href="mailto:Benz.Jennifer@epa.gov">Benz.Jennifer@epa.gov</a>   (303) 312-6551	CO, MT, ND, SD, UT, WY
<b>EPA Region 9 – Brooklyn James</b> <a href="mailto:James.Brooklyn@epa.gov">James.Brooklyn@epa.gov</a>   (415) 972-3519	AZ, CA, HI, NV, Pacific Island Territories
<b>EPA Region 10 – Terri Griffith</b> <a href="mailto:Griffith.Terri@epa.gov">Griffith.Terri@epa.gov</a>   (206) 553-8511	AK, ID, OR, WA



- A **copy of today's presentation** is available on the Brownfields MARC Grant Application Resources webpage at <https://www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources>.
- The **live overview of the Assessment and Multipurpose Ranking Criteria will be on 9/26/23**, and the recording will be made available. Please check the [Brownfields MARC Grant Application Resources webpage](https://www.epa.gov/brownfields/multipurpose-assessment-rlf-and-cleanup-marc-grant-application-resources) (link above) for updates.



**BROWNFIELDS AND LAND REVITALIZATION**

Sign up to the Brownfields Listserv

When you sign up you will receive important updates and notifications from EPA's Office of Brownfields and Land Revitalization.

\* Email

\* First Name

\* Last Name

\* Company

**Click Here to Join the Brownfields Program Listserv**

Sign Up

# Thanks for Listening!!

## Applying for a Multipurpose or Assessment Grant?

Join the live Narrative Criteria webinar on Sep 26, 2023, at 12 PM ET via Zoom at <https://usepa.zoomgov.com/j/1602838047>

## Applying for a Cleanup Grant?

Join the live Narrative Criteria webinar on Sep 27, 2023, at 1 PM ET via Zoom at <https://usepa.zoomgov.com/j/1600119960>

