



CLEAN COMMUNITIES INVESTMENT ACCELERATOR (CCIA)
NOTICE OF FUNDING OPPORTUNITY WEBINAR

JULY 26, 2023

Disclaimer: The information presented in this webinar does not supersede the statute, regulations, or Notice of Funding Opportunity.

CONTENTS AND AGENDA




Topics

1. Overview
2. Eligible Applicants
3. Deep Dive on Important Use of Funds Definitions:
 - Community Lender
 - CCIA-Eligible Projects
 - Capitalization Funding and Technical Assistance Subawards
 - Technical Assistance Services and Program Administration Activities
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1 | CCIA IS ONE OF THE GREENHOUSE GAS REDUCTION FUND'S (GGRF) THREE COMPETITIONS

	<i>Today's webinar</i>		
	 National Clean Investment Fund (NCIF)	 Clean Communities Investment Accelerator (CCIA)	 Solar for All (SFA)
Competition description	<p>Fund national nonprofit financing entities to create national clean financing institutions capable of partnering with the private sector to provide accessible, affordable financing for tens of thousands of clean technology projects nationwide</p>	<p>Fund hub nonprofits to provide funding and technical assistance to specific networks of community lenders, financing clean technology projects in low-income and disadvantaged communities while simultaneously building the capacity of community lenders that serve those communities</p>	<p>Fund states, territories, Tribal governments, municipalities & nonprofits to develop long-lasting program that enable low-income & disadvantaged communities to deploy and benefit from distributed residential solar</p>
Grantees	2-3 national nonprofit financing entities	2-7 hub nonprofits	Up to 60 government and nonprofit grantees
Funding amount	\$14 billion	\$6 billion	\$7 billion
Deadline to apply	October 12th, 2023	October 12th, 2023	September 26th, 2023

1 | NCIF AND CCIA ARE DISTINCT COMPETITIONS DESIGNED FOR DIFFERENT APPLICATIONS



National Clean Investment Fund (NCIF)

Competition Description

Provide 2-3 grants to national nonprofit financing entities to create **national clean financing institutions** capable of partnering with the private sector to provide accessible, affordable financing for tens of thousands of clean technology projects nationwide

Key Differences for How Grantees May Engage with Community Lenders (Non-Exhaustive)

- Grantees may deliver financial assistance to community lenders and other similar institutions through **financial products such as warehouse loans, preferred equity investments, and loan guarantees as well as participate in loan purchasing programs** that directly enable them to provide financial products to deploy qualified projects in their communities
- Subgrantees (such as coalition members) may engage in any of the grant’s eligible activities other than providing subgrants to **other organizations for those organizations to provide financial assistance to qualified projects**



Clean Communities Investment Accelerator (CCIA)

Provide 2-7 grants to hub nonprofits to provide **funding and technical assistance to specific industry networks** of public, quasi-public, not-for-profit, and non-profit community lenders

- Grantees will provide **capitalization funding** to community lenders through either **(a) subgrants and/or (b) subsidies**
- Grantees will provide **technical assistance subawards** to build the capacity of existing community lenders
- Grantees will provide **technical assistance services** to establish new and build the capacity of existing community lenders

1 | CCIA COMPETITION OVERVIEW



Opportunity Description

Provide 2-7 grants to hub nonprofits to provide funding and technical assistance to specific industry networks of public, quasi-public, not-for-profit, and non-profit community leaders, financing clean technology projects in low-income and disadvantaged communities while simultaneously building the capacity of community leaders that serve those communities



Eligibility Information

Eligible Applicants	“Eligible recipients” submitting individual or coalition applications
Cost Sharing or Matching	No



Important Dates

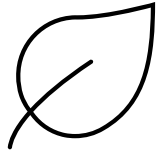
Deadline to Apply	October 12, 2023
Anticipated Start of Performance Period	July 2024



Federal Award Information

Total Funding Available	\$6 billion
Anticipated Number of Awards	2-7 awards
Award Ranges	N/A
Period of Performance	6 years
Funding Type	Grants or cooperative agreements

1 | CCIA WILL ADVANCE THE GGRF'S THREE PROGRAM OBJECTIVES



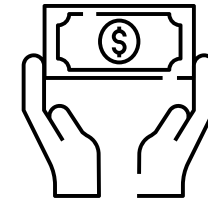
Reduce emissions of greenhouse gases and other air pollutants

Grantees—and the community lenders they support—will accelerate progress toward the climate goals of the United States, including reducing greenhouse gas emissions 50-52 percent below 2005 levels in 2030, reaching 50 percent zero-emission vehicles share of all new passenger cars and light trucks sold in 2030, achieving a carbon pollution-free electricity sector by 2035, and achieving net-zero emissions by no later than 2050



Deliver benefits to American communities—especially low-income and disadvantaged communities

Grantees will ensure that the projects community lenders invest in directly benefit Americans by improving health outcomes, lowering energy costs, creating high-quality jobs, and more—with 100% of funds awarded under this competition used for the purposes of providing financial and technical assistance in low-income and disadvantaged communities



Mobilize financing and private capital to stimulate additional deployment

Grantees will work with community lenders to mobilize financing and private capital for underinvested projects and underinvested communities, which will build the long-term capacity of community lenders to finance clean technology projects in low-income and disadvantaged communities

1 | CCIA TIMELINE

Anticipated

We are here

July 14, 2023

Applications open via
Notice of Funding
Opportunity

October 12, 2023

Applications due

September 30, 2024

Statutory deadline to
obligate funds

90-day application period

By March 2024

Selections made and
notified

By July 2024

Period of
performance begins

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2 | ALL APPLICATIONS MUST BE SUBMITTED BY AN ELIGIBLE RECIPIENT

Consistent with Section 134(c)(1) of the Clean Air Act, **an applicant that is eligible to receive a grant under the Clean Communities Investment Accelerator competition must be an “eligible recipient,”** which is an organization that: (a) is a nonprofit; (b) is designed to provide capital, leverage private capital, and provide other forms of financial assistance for the rapid deployment of low- and zero-emission products, technologies, and services; (c) does not take deposits other than deposits from repayments and other revenue received from financial assistance provided using grant funds under this program; (d) is funded by public or charitable contributions; and (e) invests in or finances projects alone or in conjunction with other investors.

Key requirements for the application, as described in the NOFO:

- Explain how the applicant meets the definition of eligible recipient and provide supporting evidence for that explanation
- Be incorporated in the United States
- Not be controlled by one or several entities that are not eligible recipients

2 | TWO TYPES OF APPLICATIONS THAT CAN BE SUBMITTED BY AN ELIGIBLE RECIPIENT

Individual Application

An individual application is composed of an individual eligible recipient **without any named subrecipients.**

Coalition Application

A coalition application is composed of one lead applicant, which partners with one or more non-lead coalition members that are **named in the application and that would receive subawards to carry out a portion of the grant's activities if the application is selected.** The lead applicant must be an eligible recipient and submit the application on its own behalf and on behalf of coalition members. The non-lead coalition member(s) may be eligible recipients or other types of organizations eligible for subawards under the EPA Subaward Policy. Coalition applications must include a signed Memorandum of Agreement that confirms participation of each coalition member. **As explained in Appendix A of the EPA Subaward Policy, for-profit firms and individual consultants that would receive grant funds are, in almost all cases, contractors who are not eligible for subgrants under this program and therefore may not be coalition members.**

Note: For this competition, EPA requires applicants that name subrecipients to submit coalition applications and include the named subrecipients as coalition members; all named subrecipients must be coalition members.

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3 | COMMUNITY LENDER COMES FROM THE STATUTE AND HAS THREE REQUIREMENTS, AS EXPLAINED IN THE NOFO

Section 134(b)(2) of the Clean Air Act directs that grantees provide funding and technical assistance to establish new or to support existing **public, quasi-public, not-for-profit, or nonprofit entities that provide financial assistance to qualified projects** at the state, local, territorial, or Tribal level or in the District of Columbia, including community- and low-income-focused lenders and capital providers. **For this competition, an entity that meets these requirements is referred to as a “community lender.”**

Three requirements for a community lender:

1. Must be either a **public, quasi-public, not-for-profit, or nonprofit** entity.
 - A public entity must be a state, municipal, territorial, or Tribal government, including any department, agency, or instrumentality of one of those governments.
 - A quasi-public entity must either (1) have a close association with a public entity but not be a public entity, (2) be created by a public entity but be exempt from certain legal and administrative requirements, or (3) not have been created by a public entity but perform a public purpose and be significantly supported financially by a public entity.
 - A not-for-profit entity must meet the definition of or be considered a “not-for-profit” under a federal, state, territorial, or Tribal law of a federally recognized tribe.
 - A nonprofit entity must meet the definition of *nonprofit organization* set forth in 2 CFR § 200.1.
2. Must have the **legal authority to provide financial assistance to qualified projects** at the state, local, territorial, or Tribal level or in the District of Columbia.
3. Must be **eligible to receive a subaward** under the [EPA Subaward Policy](#).

Note: For the purposes of this funding opportunity, EPA has determined that any entity that has been determined by the U.S. Treasury’s CDFI Fund to meet the CDFI certification requirements set forth in 12 CFR 1805.201, as listed on the [CDFI Fund’s website](#), is a quasi-public entity that meets the quasi-public requirement. For the purposes of this funding opportunity, EPA has determined that any Federal credit union or State credit union, as defined in Section 101 of the Federal Credit Union Act, is a not-for-profit entity that meets the not-for-profit requirement.

Applicants **must propose serving a network of community lenders that have the capacity to exist across all ten EPA regions** over the period of performance. An industry “network” of community lenders is a class of community lenders that is defined by shared characteristics relevant to deploying financial assistance to CCA-eligible projects, such as the entity’s legal structure or business model.

3 | CCIA SUPPORTS COMMUNITY LENDERS IN PROVIDING FINANCIAL ASSISTANCE TO CCIA-ELIGIBLE PROJECTS

Section 134(b)(2) of the Clean Air Act directs that grantees use funds to establish new or support existing community lenders that provide financial assistance to qualified projects. **For this competition, projects that are ultimately supported by capitalization funding, technical assistance subawards, and technical assistance services must meet the requirements of all three criteria listed here and are referred to as “CCIA-eligible projects.”**

Three requirements for a CCIA-eligible project:

- A The project, activity, or technology must be a *qualified project*;
- B The project, activity, or technology must be within a *priority project category*; and
- C The project, activity, or technology must be in a *low-income and disadvantaged community*.

Deep-dives on each of these three requirements included behind

3 | A CCIA-ELIGIBLE PROJECT MUST BE A QUALIFIED PROJECT

Section 134(c)(3) of the Clean Air Act provides that a **qualified project** is any project, activity, or technology that (A) reduces or avoids greenhouse gas emissions and other forms of air pollution in partnership with, and by leveraging investment from, the private sector; or (B) assists communities in the efforts of those communities to reduce or avoid greenhouse gas emissions and other forms of air pollution.

Key requirements to consider for the application, as described in the NOFO:

A project must meet all requirements listed below at the time of financing to be eligible as a “qualified project.”

- a. The project, activity, or technology **would reduce or avoid greenhouse gas emissions**, consistent with the climate goals of the United States to reduce greenhouse gas emissions 50-52 percent below 2005 levels in 2030, reach 50 percent zero-emission vehicles share of all new passenger cars and light trucks sold in 2030, achieve a carbon pollution-free electricity sector by 2035, and achieve net-zero emissions by no later than 2050. The project, activity, or technology may reduce or avoid such emissions through its own performance or through assisting communities in their efforts to deploy projects, activities, or technologies that reduce or avoid such emissions.
- b. The project, activity, or technology **would reduce or avoid emissions of other air pollutants**. The project, activity, or technology may reduce or avoid such emissions through its own performance or through assisting communities in their efforts to deploy projects, activities, or technologies that reduce or avoid such emissions.
- c. The project, activity, or technology would **deliver additional benefits** (i.e., in addition to reducing or avoiding emissions of greenhouse gases and other air pollutants) to American communities within one or more of the following four categories: clean energy and energy efficiency; clean transportation; affordable and sustainable housing; and training and workforce development.
- d. The project, activity, or technology **may not have otherwise been financed**.
- e. The project, activity, or technology **would mobilize private capital**.
- f. The project, activity, or technology **would support only commercial technologies**, defined as technologies that have been deployed for commercial purposes at least three times for a period of at least five years each in the United States for the same general purpose as the project, activity, or technology.

3 | A CCIA-ELIGIBLE PROJECT MUST BE IN A PRIORITY PROJECT CATEGORY

Distributed Energy Generation and Storage

Projects, activities, and technologies that deploy small-scale power generation and/or storage technologies (typically from 1 kW to 10,000 kW), plus enabling infrastructure necessary for deployment of such generation and/or storage technologies. For this competition, the projects, activities, and technologies must support *carbon pollution-free electricity*, which is electrical energy produced from resources that generate no carbon emissions, consistent with the definition specified in Executive Order 14057 (*Catalyzing Clean Energy Industries and Jobs Through Federal Sustainability*).

Net-Zero Emissions Buildings

Projects, activities, and technologies that either (1) retrofit an existing building, making a substantial contribution to that building being a net-zero emissions building and as part of a plan for that building achieving zero-over-time, or (2) construct a new net-zero emissions building in a low-income and disadvantaged community. Net-zero emissions buildings are defined in Executive Order 14057 Implementing Instructions...and include residential (e.g., 1- to 4-family homes, manufactured homes, multifamily housing), commercial, industrial, and other buildings.

Zero-Emissions Transportation

Projects, activities, and technologies that deploy zero-emissions transportation modes, plus enabling infrastructure necessary for zero-emissions transportation modes—especially in communities that are overburdened by existing diesel pollution, particulate matter concentration, and degraded air quality. Zero-emissions transportation should be consistent with the zero-emissions transportation decarbonization strategies in The U.S. National Blueprint for Transportation Decarbonization.

3 | A CCIA-ELIGIBLE PROJECT MUST BE IN A LOW-INCOME AND DISADVANTAGED COMMUNITY

Low-Income and Disadvantaged Communities includes:

Category	Description
a) CEJST-Identified Disadvantaged Communities	<ul style="list-style-type: none"> All communities (i.e., geographic areas) identified as disadvantaged through the Climate and Economic Justice Screening Tool (CEJST)
b) EJScreen-Identified Disadvantaged Communities	<ul style="list-style-type: none"> Limited supplemental set of census block groups that are at or above the 90th percentile for any of EJScreen's supplemental indexes when compared to nation or state Geographic areas within Tribal lands as included in EJScreen
c) Geographically-Dispersed Low-Income Households	<ul style="list-style-type: none"> Individuals/households with incomes generally at or below the greater of 80% Area Median Income and 200% Federal Poverty Level (<i>slightly broader coverage for those living in non-metropolitan areas</i>) Individuals/households approved for a list of named federal assistance programs, with award letter in last 12 months
d) Properties Providing Affordable Housing	<ul style="list-style-type: none"> Multifamily housing with rents not exceeding 30% of 80% AMI for at least half of residential units and with an active affordability covenant from a list of housing assistance programs Naturally-occurring (unsubsidized) affordable housing with rents not exceeding 30% of 80% AMI for at least half of residential units

3 | CAPITALIZATION FUNDING AND TECHNICAL ASSISTANCE SUBAWARDS DELIVER FUNDS TO COMMUNITY LENDERS

Capitalization Funding

Definition

Grantees will provide “capitalization funding” to existing community lenders for the sole purpose of providing financial assistance to CCIA-eligible projects. In general, a community lender may receive a maximum of \$10 million in total capitalization funding from all grantees under this competition; however, under limited exceptions, a community lender may receive total capitalization funding from a grantee(s) in excess of the \$10 million cap, provided that the framework for those exceptions is specified by the grantee(s) in the application(s) or a post-award request for an exception is granted under procedures described by the terms and conditions of the grant(s). Grantees may deliver capitalization funding through either (a) subgrants and/or (b) subsidies.

Technical Assistance Subawards

Grantees will provide “technical assistance subawards” to build the capacity of existing community lenders so that they can provide financial assistance to CCIA-eligible projects. A community lender may only receive a technical assistance subaward if it has already been selected by a grantee for capitalization funding under this competition. In general, a community lender may receive a maximum of \$1 million in total technical assistance subawards from all grantees under this competition; however, under limited exceptions, a community lender may receive total technical assistance subawards from grantee(s) in excess of the \$1 million cap, provided that the framework for those exceptions is specified by the grantee(s) in the application(s) or a post-award request for an exception is granted under procedures described by the terms and conditions of the grant(s).

*Grantees must pass-through a **minimum of 80% of the grant award to community lenders through capitalization funding** as well as a **minimum of 90% of the grant award to community lenders through both capitalization funding and technical assistance subawards** (defined as the direct costs of funds passed through as well as any associated indirect costs).*

3 | TECHNICAL ASSISTANCE SERVICES AND PROGRAM ADMINISTRATION ACTIVITIES ARE ALLOWABLE COSTS

	Technical Assistance Services	Program Administration Activities
Definition	Technical assistance services to establish new and build the capacity of existing community lenders so that they can provide financial assistance to CCIA-eligible projects	Activities that are necessary and reasonable for the performance of the grant award and support administration of the grant program
Examples	<ul style="list-style-type: none"> • Targeted support activities for individual community lenders, such as providing training, market analysis, technical support, and structuring expertise • Financial market-building activities spanning multiple community lenders, such as developing standardized project performance criteria, underwriting guidance, documentation, and product features 	<ul style="list-style-type: none"> • Conducting due diligence and underwriting financial transactions • Conducting program performance and other reporting activities • Supporting, monitoring, overseeing, and auditing subrecipients, contractors, and program beneficiaries

All costs must meet the requirements for allowability under 2 CFR Part 200, Subpart E as well as applicable provisions of 2 CFR Part 1500

3 | 100% OF FUNDS MUST BE USED FOR LOW-INCOME AND DISADVANTAGED COMMUNITIES

100% of funds must be used for the purposes of providing financial and technical assistance in low-income and disadvantaged communities; guidance provided below

Category	Description
a) CEJST-Identified Disadvantaged Communities	<p>Would the expenditure be for the purposes of providing funding and/or technical assistance to a community lender(s), enabling financial assistance for a project (or set of projects) in areas identified as disadvantaged by the Climate and Economic Justice Screening Tool (CEJST)?</p>
b) EJScreen-Identified Disadvantaged Communities	<p>Would the expenditure be for the purposes of providing funding and/or technical assistance to a community lender(s), enabling financial assistance for a project (or set of projects) in areas identified by EJScreen within either of the following two categories?</p> <ul style="list-style-type: none"> • Within census block groups that are at or above the 90th percentile for any of EJScreen’s supplemental indexes when compared to the nation or state • Within Tribal lands as included in EJScreen
c) Geographically-Dispersed Low-Income Households	<p>Would the expenditure be for the purposes of providing funding and technical assistance to a community lender(s), enabling financial assistance to low-income individuals/households within either of the following two categories for them to deploy projects?</p> <ul style="list-style-type: none"> • Is in a Metropolitan Area and has an income at or below the greater of (1) 80% AMI (Area Median Income) and (2) 200% of the Federal Poverty Level or is in a Non-Metropolitan Area and has an income at or below the greater of (1) 80% AMI, (2) 80% Statewide Non-Metropolitan Area AMI, and (3) 200% of the Federal Poverty Level • Is currently approved for assistance from or participation in at least one of the following programs...
d) Properties Providing Affordable Housing	<p>Would the expenditure be for the purposes of providing funding and technical assistance to a community lender(s), enabling financial assistance to projects on properties providing affordable housing that are within either of the following two categories?</p> <ul style="list-style-type: none"> • Multifamily housing with rents not exceeding 30% of 80% AMI for at least half of residential units and with an active affordability covenant from one of the following federal or state housing assistance programs... • Naturally-occurring (unsubsidized) affordable housing with rents not exceeding 30% of 80% AMI for at least half of residential units

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4 | THERE ARE TWELVE THRESHOLD CRITERIA FOR CCIA APPLICATIONS (I/III)

All applications will be reviewed for eligibility and must meet the threshold eligibility criteria. **Applications that do not meet all of the threshold eligibility criteria will be deemed ineligible for funding consideration and will not be considered further; as a result, applicants are strongly encouraged to ensure that their applications meet all of the threshold eligibility criteria prior to submitting their applications.**

1. Applications must comply with the content and submission requirements.
2. Applications must explain and provide supporting evidence for how the applicant (either the individual applicant or the lead applicant in a coalition application) is an eligible recipient, as described in Section III.A: Eligible Applicants. This includes explaining and providing supporting evidence for being incorporated in the United States and not being controlled by one or several entities that are not eligible recipients.
3. Applications must comply with the requirements for named contractors and named subrecipients, as described in Section III.B: Named Contractors and Named Subrecipients. **For this competition, EPA requires applicants that name subrecipients to submit coalition applications and include the named subrecipients as coalition members; all named subrecipients must be coalition members. As explained in Appendix A of the EPA Subaward Policy, for-profit firms and individual consultants that would receive grant funds are, in almost all cases, contractors who are not eligible for subgrants under this program and therefore may not be coalition members.** If applicants name contractors, they must demonstrate that the contractor was selected in compliance with competitive procurement requirements described in the NOFO.
4. Applications must demonstrate that they will expend the requested funding amount over a period of performance of up to 6 years.
5. Applications must include a program plan that provides funding and technical assistance to establish new or support existing public, quasi-public, not-for-profit, or nonprofit entities that provide financial assistance to qualified projects at the State, local, territorial, or Tribal level or in the District of Columbia, including community- and low-income-focused lenders and capital providers (“community lenders”). This program plan must support a network(s) of community lenders, as defined in Section I.D: Competition Terminology.

4 | THERE ARE TWELVE THRESHOLD CRITERIA FOR CCIA APPLICATIONS (II/III)

All applications will be reviewed for eligibility and must meet the threshold eligibility criteria. **Applications that do not meet all of the threshold eligibility criteria will be deemed ineligible for funding consideration and will not be considered further; as a result, applicants are strongly encouraged to ensure that their applications meet all of the threshold eligibility criteria prior to submitting their applications.**

6. Applications must include a program plan that demonstrates the program's capacity (i.e., geographic coverage) to provide funding and technical assistance to community lenders that already exist (or that may be established over the period of performance) in each of the ten EPA regions.
7. Applications must include a program plan that demonstrates the program's capacity to provide funding and technical assistance to community lenders across all three priority project categories, as described in Section I.D: Competition Terminology.
8. Applications must include a program budget that allocates 100% of grant funds for the purposes of providing financial and technical assistance in low-income and disadvantaged communities.
9. Applications must include a program budget that meets the following three requirements:
 - a. Passes through a minimum of 80% of grant funds to community lenders in the form of capitalization funding (defined as the direct costs of funds passed through as well as any associated indirect costs).
 - b. Passes through a minimum of 90% of grant funds to community lenders in the form of both capitalization funding and technical assistance subawards (defined as the direct costs of funds passed through as well as any associated indirect costs).
 - c. Allocates no more than 10% of grant funds to technical assistance services and program administration activities.

4 | THERE ARE TWELVE THRESHOLD CRITERIA FOR CCIA APPLICATIONS (III/III)

All applications will be reviewed for eligibility and must meet the threshold eligibility criteria. **Applications that do not meet all of the threshold eligibility criteria will be deemed ineligible for funding consideration and will not be considered further; as a result, applicants are strongly encouraged to ensure that their applications meet all of the threshold eligibility criteria prior to submitting their applications.**

10. Applications must not include unallowable costs.

11. Applications must be submitted by an individual applicant or a lead applicant of a coalition that has not already submitted an application to this competition as an individual applicant or a lead applicant of a coalition. Applicants submitting more than one application will be contacted to determine which application EPA will evaluate, with the remaining application(s) deemed ineligible. While eligible applicants are welcome to submit applications for each of the three GGRF competitions, they may submit only one application for each competition as either an individual applicant or a lead applicant of a coalition application.

12. *Coalitions:* Coalition applications must include a signed Memorandum of Agreement that confirms participation of each coalition member.

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5 | IN APPLICATIONS, APPLICANTS MUST DEMONSTRATE COMPLIANCE WITH REQUIREMENTS ON TRANSFER OF FUNDS

Named contractors and named subrecipients (for the purposes of the application)

Named contractors

- **EPA does not require or encourage applicants to name procurement contractors (including consultants) in applications for grant funding.**
- An applicant that identifies a procurement contractor(s) in its application where the amount of the contract will be more than the micro-purchase threshold in 2 CFR § 200.320(a)(1) (\$10,000 for most applicants) must **demonstrate in their application how the contractor (including consultants) was selected in compliance with the full and open competition requirements in 2 CFR Parts 200 and 1500.** For example, EPA will not accept sole source justifications for proposed procurement contracts for services that are available in the commercial marketplace based on a proposed contractor’s “unique qualifications”.
- An applicant must **describe the procurement procedures that were followed to hire the contractor(s) in the Cover Page.**
- Failure to demonstrate compliance for named contractors in the application will **result in rejection of the application. EPA is serious about this requirement.**

Named subrecipients

- For this competition, **EPA requires applicants that name subrecipients in applications for grant funding to submit coalition applications and include the named subrecipients as coalition members.**
- If an applicant chooses to identify a subrecipient(s), the applicant must not only submit a coalition application but also demonstrate that the named subrecipient is eligible for a subaward in compliance with Appendix A of the EPA Subaward Policy.
- An applicant must **demonstrate compliance with these requirements in the Cover Page.**
- Failure to demonstrate compliance for named subrecipients in the application will **result in rejection of the application. EPA is serious about this requirement.**

5 | SUBAWARDS AND PROCUREMENT CONTRACTS ARE DIFFERENT TRANSACTIONS

Definition of a subaward

- A financial assistance transaction (i.e., profit is unallowable) between an EPA assistance agreement recipient and an eligible subrecipient (or by a subrecipient to a lower subrecipient).
- For the purpose of accomplishing a public purpose authorized by a Federal program statute.
- Does not include payments to a procurement contractor or payments to an individual that is a beneficiary or participant in a Federal program.

Definition of a procurement contract

- In contrast to subawards, as provided in 2 CFR Part 200.331(b), procurement contractors (including individual consultants) typically:
 - Provide goods and services on commercial terms.
 - Provide similar goods and services to many different purchasers.
 - Operate in a competitive environment.
 - A reasonable profit is allowable.

Does EPA consider all “partnership agreements” that establish funding relationships to be subawards that are not subject to competition?

- No. Transactions are either:
 - subawards,
 - procurement contracts,
 - intergovernmental/inter-entity agreements for common procurement,
 - interagency service agreements or services, or
 - participant support costs.
- Characterizing the transactions consistently with the terms used in the Uniform Grant Guidance is important for determining what rules apply.
- Some recipients refer to their contractors (particularly consultants) as “partners” but recipients must still comply with competitive procurement requirements.
- The competitive procurement rules apply even if a consultant receives a IRS 1099 from the recipient

5 | THE TYPE OF ENTITY RECEIVING FUNDS FROM THE PASS-THROUGH ENTITY CAN INDICATE THE TYPE OF TRANSACTION

How to classify a transaction

- To determine the transaction, refer to guidance in [2 CFR 200.331](#) and [Subaward Policy Appendix A. Distinction Between Subrecipients and Contractors](#)
- The UGG at 2 CFR 200.331 and Appendix A of EPA's Subaward Policy emphasize the need to exercise judgment based on the substance of the agreement but EPA has tried to simplify the characterization.
- The fact that the agreement between the pass-through entity and the other party is called a "contract" is not determinative.
- Approval by EPA's Grants Management Office is required before making a subaward to a for profit firm or individual. Approval can be provided at time of award if the type of transaction is described in the scope of work and/or budget narrative.**

What transaction is most likely, based on the entity type that will receive funds from the pass-through entity

	Subaward	Procurement contract
For-Profit		✓
Individual Consultant		✓
Non-profit	✓	
Institute of Higher Education	✓	
Federal Agency	✓	
Federally Funded Research & Development Center	✓	✓

Transactions with for-profit companies and individual consultants are considered procurement contracts with few exceptions. Two examples include:

- Grant recipient provides a **subaward** to a for-profit company to upgrade equipment **owned by the company** (the subrecipient) that will be used to carry out the grant purpose.
- Grant recipient provides a rebate or subsidy to a for-profit company for all or a portion of the costs of the equipment purchased by the company. The rebate or subsidy would be **participant support costs** and the company (owner of the equipment) would be a **program beneficiary**.

5 | ALL APPLICANTS MUST COMPLY WITH THE PROCUREMENT REQUIREMENTS UNDER THE UNIFORM GRANT GUIDANCE

Summary of procurement requirements

- All eligible recipients must comply with the procurement requirements in [2 CFR Part 200](#) with regard to competition.
- EPA has posted a [Best Practice Guide for Procuring Services, Supplies, and Equipment Under EPA Assistance Agreements](#) as guidance for other recipients and subrecipients of states. This guide includes advice on how to comply with EPA's 40 CFR Part 33 rule *on Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs*.
- Please do not submit creative arguments in support of sole source procurements for services such as consulting, project management, information technology support, or other goods and services that may be procured in the commercial marketplace from multiple sources.

5 | CONSULTANT FEES ARE CAPPED

Summary of consultant fee limits

- **2 CFR 1500.10 caps the amount of compensation for individual consultants that a recipient may charge to EPA agreements to Level IV of the Federal Executive Level.**
 - This is a statutory requirement and EPA cannot waive the requirement.
 - Cap does not include consultant's overhead or travel costs.
- **When the cap applies depends on whether the recipient selects, directs, or controls the consultant along the same lines as an employee.**
 - Contracts for individual consultants will almost always trigger the cap, unless the contract is on a fixed amount basis for a discrete product, such as a report. [2 CFR 1500.10](#).
 - Contracts with multi-employee firms rarely trigger the cap as long as the firm, rather than the recipient, selects, directs, and controls the consultant.

5 | THERE ARE THRESHOLDS FOR THE PROCUREMENT OF GOODS AND SERVICES

Threshold 1: Micro purchase

Purchases up to the micro purchase level (\$10,000 for most recipients) may be made without competition provided the recipient distributes purchases equitably among qualified suppliers to the extent “practicable” and the prices are reasonable. [2 CFR 200.320\(a\)\(1\)](#).

- Generally, for purchases of supplies (including computing devices) but recipients may obtain consulting services PROVIDED the equitable distribution requirement is met.
- A series of micro purchases with the same consultant without using other sources as well will raise compliance issues.

Threshold 2: Simplified acquisition

Recipients may use small purchase procedures for contracts up to the simplified acquisition threshold (\$250,000) by obtaining price or rate quotations from an adequate number of qualified sources. [2 CFR 200.320\(a\)\(2\)](#).

- EPA expects recipients to obtain prices/quotes from at least 3 sources.
- For professional services, recipients may use email solicitations to document their files.
- For equipment, internet searches of price catalogues documented by “screen shots” are acceptable.
- Recipients must justify selection of contractors quoting higher prices/rates based on qualifications or technical factors.
- Good faith efforts must be made to solicit disadvantaged businesses per [40 CFR Part 33](#).

Threshold 3: Formal competitive requirements

Procurements in excess of the simplified acquisition threshold (greater than \$250,000) are subject to formal competitive requirements and must obtain *Competitive Sealed Bids* or *Competitive Proposals*.

- *Sealed bidding* is appropriate when precise specifications can be developed and a firm fixed-priced contract will be awarded to the responsive bidder based principally on price. [2 CFR 200.320\(b\)](#).
 - Typically used for construction projects or purchases of equipment widely available in the commercial marketplace.
- *Procurement by competitive proposals* require more than one proposal and result in a fixed price or cost reimbursement contract. Requests for Proposals must be publicized and include factors to be evaluated and the relative importance of the factors. [2 CFR 200.319](#). Encourage Recipients to “Compete Smart”.
 - Typically used for acquisition of services where the offerors’ experience or technical approaches may be more important than price considerations. However, price must be a selection factor unless the contract is solely for licensed architectural and engineering services.
- Additional Information on pages 10-13 of [EPA’s Best Practice Guide for Procuring Services Supplies, and Equipment Under EPA Assistance Agreements](#)

5 | SOLE SOURCE PROCUREMENT MAY ONLY BE USED IN SPECIFIC CIRCUMSTANCES

Scenarios in which sole source procurement may be used

As provided at [2 CFR 200.320\(c\)](#), procurement by noncompetitive proposals is procurement through solicitation of a proposal from only one source and may be used only when one or more of the following circumstances apply:

- The item is available only from a single source;
- Public exigency or emergency;
- The Federal awarding agency or pass-through entity expressly authorizes noncompetitive proposals in response to a written request; or
- After solicitation of a number of sources, competition is determined inadequate.

Additional considerations for procurement

- EPA's position is that "sole source" procurements are justified by copyrights, patents, equipment maintenance agreements with manufacturers or similar arrangements.
- Sole source contracts for services widely available in the commercial marketplace (e.g., consulting, information technology, construction, architect/engineer services, project management) are not acceptable. **"Unique qualifications" do not qualify as a sole source.**
- Compliance with competitive procurement requirements is frequently a major focus for oversight entities that audit EPA assistance agreements.

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6 | DUE DATE AND SUBMISSION INSTRUCTIONS

Due Date and Submission Instructions

Your organization's Authorized Organization Representative (AOR) must submit your complete application package electronically to EPA through Grants.gov. Application packages must be submitted **on or before October 12, 2023 at 11:59 PM (Eastern Time) through Grants.gov.** Refer to Appendix A: Grants.gov Application Submission Instructions for the requirements to apply through Grants.gov. In order to submit an application through Grants.gov, your organization must:

- Have an active System for Award Management (SAM) account in SAM.gov and a Unique Entity Identifier (UEI) assigned by SAM.gov;
- Be registered in Grants.gov; and
- Have the E-Business Point of Contact designate an AOR in Grants.gov.

The registration process for all the above items may take a month or more to complete. Applicants should begin this process as soon as possible.

Confidential, Privileged, or Sensitive Information

In concert with EPA's commitment to conducting business in an open and transparent manner, copies of applications selected under this funding opportunity may be made publicly available on the GGRF website or another public website for a period of time after the selected applications are announced. EPA recommends that applications not include trade secrets or commercial or financial information that is confidential, privileged, or sensitive and that, if disclosed, would invade another individual's personal privacy (e.g., personal email addresses, etc.). However, if such information is included, it will be treated in accordance with 40 CFR § 2.203 (refer to Section IV.a of [EPA Solicitation Clauses](#) for additional information). However, if you do include such information, clearly indicate which portion(s) of the application you are claiming contains confidential, privileged, or sensitive information. As provided at 40 CFR § 2.203(b), if no claim of confidential treatment accompanies the information when it is received by EPA, it may be made available to the public by EPA without further notice to the applicant.

6 | THE APPLICATION HAS BOTH MANDATORY DOCUMENTS AS WELL AS OPTIONAL DOCUMENTS

Additional detail on attachments on following page

Mandatory documents

1. **Application for Federal Assistance (SF-424)**
2. **Budget Information for Non-Construction Programs (SF-424A)**
3. **EPA Key Contacts Form 5700-54**
4. **EPA Form 4700-4 Preadward Compliance Review Report**
5. **Grants.gov Lobbying Form**
6. **Project Narrative:** Use “Project Narrative Attachment Form” in your Workspace on Grants.gov to submit your Project Narrative, prepared as described in Section IV.C: Content of Application Submission (*includes Cover Page + Narrative Proposal*)
7. **Project Narrative Attachments:** Use “Project Narrative Attachment Form” in your Workspace on Grants.gov to submit the attachments listed below, which are mandatory and do not count toward the page limits for the Project Narrative.

Optional documents

8. **Other Attachments:** Use “Other Attachments Form” in your Workspace on Grants.gov to submit the following documentation, which is not required, but encouraged to be submitted, and will not count toward the page limits for the Project Narrative (e.g., 50-page limit for the Narrative Proposal). Please limit the number of files for the attachment items by consolidating all attachment items into one document in the order presented on the following page.
9. **Disclosure of Lobbying Activities (SF-LLL)**

6 | WITHIN THOSE DOCUMENTS, THE APPLICATION HAS MANDATORY AND OPTIONAL ATTACHMENTS

Additional detail on budget template on following page

Mandatory attachments

- Supporting Documents for Applicant Eligibility - Applicant_Name
- Supporting Documents for Coalition Member Subaward Eligibility - Applicant_Name (Coalitions Only)
- Coalition Memorandum of Agreement - Applicant_Name (Coalitions Only)
- 1.4.2 Budget Table - Applicant_Name
- 2.1.1 Organizational and Governing Documents - Applicant_Name
- 2.2.1 Resumes of Board Members - Applicant_Name
- 2.2.2 Resumes of Senior Management - Applicant_Name
- 2.3.1 Legal and Compliance Risk Management Policies and Procedures - Applicant_Name
- 2.3.2 Financial Statements - Applicant_Name

Optional other attachments

- 1.1.3 Community Lender Letters of Interest - Applicant_Name
- 1.2.1 LIDAC Engagement and Accountability Letters of Support - Applicant_Name
- 1.2.5.2 Labor and Equitable Workforce Letters of Commitment - Applicant_Name
- 1.2.5.3 Consumer Protection Policies and Procedures - Applicant_Name
- 2.1.3 Equity Policies and Practices - Applicant_Name
- 2.2.1 Board Policies and Procedures - Applicant_Name
- 2.2.2 Management Policies and Procedures - Applicant_Name

6 | APPLICANTS MUST SUBMIT A DETAILED BUDGET TABLE THAT PROVIDES FURTHER INFORMATION ON FIGURES IN THE SF-424A

A **template for the budget table** is available for download under the related documents within this grant opportunity (EPA-R-HQ-CCIA-23) on Grants.gov. Applicants that do not use the template will not be penalized.

Applicants may **disaggregate costs for capitalization funding and technical assistance subawards into different industry network(s) of community lenders to facilitate consideration of an application for partial funding**, to the extent practicable

Optional excel template for applicants to download

Budget Table Template

An *OPTIONAL* template for applicants to fill in and submit as the detailed budget table, which is described in Section 1.4.2 of the Narrative Proposal

BUDGET BY YEAR				
COST-TYPE	CATEGORY	YEAR 1	YEAR 2	YEAR 3
	Capitalization funding – industry network #2 (PSCs)	\$15,000,000		
	Technical assistance subawards			
	Technical assistance subawards – industry network #1	\$4,000,000		
	Technical assistance subawards – industry network #2	\$4,000,000		
	TOTAL OTHER	\$68,010,000		
	TOTAL DIRECT	\$69,362,828		
Indirect Costs	Indirect Costs (Indirect Cost Rate)	10%		
	Indirect costs on capitalization funding – industry network #1	\$30,000		
	Indirect costs on capitalization funding – industry network #2	\$15,000		
	Indirect costs on TA subawards – industry network #1	\$37,500		
	Indirect costs on TA subawards – industry network #2	\$25,000		
	Other indirect costs	\$128,783		
	TOTAL INDIRECT	\$236,283		
Total Funding (\$)		\$69,599,111		
Share for Capitalization Funding (%)		86%		
Share for Capitalization Funding and TA Subawards (%)		98%		

In column C, add and subtract rows to detail itemized costs (and specific descriptions of costs) in each cost category per the guidance in *Appendix D: Program Budget*.

At the bottom of the table, calculate the share of funds used for capitalization funding and for capitalization funding and technical assistance subawards (both the direct costs of funds passed through for financial assistance as well as associated indirect costs).

In columns D through J, fill in the cost for the line item per year. Column L, calculate the total cost for the line item for the entire program.

6 | THE BUDGET TABLE MUST BREAK UP CAPITALIZATION FUNDING INTO TWO CATEGORIES

Type	Definition	Type of Capitalization Funding	Budget Category
Subawards	<ul style="list-style-type: none"> 2 CFR § 200.1 defines a subaward as “an award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity.” 2 CFR § 200.1 defines a Pass-through entity as “a non-Federal entity that provides a subaward to a subrecipient to carry out part of a Federal program” and a Subrecipient as “an entity...that receives a subaward from a pass-through entity to carry out part of a Federal award; but does not include an individual that is a beneficiary of such award.” 	Subgrants	Other (aggregated and separated from all other costs)
Participant Support Costs	<ul style="list-style-type: none"> 2 CFR § 200.1 defines participant support costs as “direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees) in connection with conferences, or training projects.” EPA regulations at 2 CFR § 1500.1(a)(1) expands the definition of participant support costs to include “[S]ubsidies, rebates, and other payments to program beneficiaries to encourage participation in statutorily authorized environmental stewardship programs” such as the Greenhouse Gas Reduction Fund. 	Subsidies: Transaction-level subsidies or other payments that meet the 2 CFR § 1500.1(b) definition of Participant support costs (e.g., one-time commitment to a community lender to contribute a certain percentage of capital for the community lender’s transactions that meet the definition of financial assistance for CCIA-eligible projects)	Other (aggregated and separated from all other costs)

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7 | APPLICATIONS WILL BE EVALUATED OUT OF 1,000 POINTS

A Evaluation Criteria for Application Components

Evaluation Criteria for Application Components			Points Possible	
1. Program Plan	1.1 Program Vision	1.1.1 Community Lender Network Strategy	65	
		1.1.2 Geographic Coverage and Diversity	15	
		1.1.3 Demonstrated Interest	20	
	1.2 Investment Strategy	1.2.1 LIDAC Engagement and Accountability Strategy	1.2.1.1 LIDAC Engagement Plan	30
			1.2.1.2 LIDAC Accountability Plan	30
			1.2.2 Investment Objectives	25
		1.2.3 Pass-Through Strategy	1.2.3.1 Capitalization Funding and TA Subaward Design	25
			1.2.3.2 Distribution Process Design	20
			1.2.3.3 Eligibility Review Process	10
			1.2.3.4 Evaluation Process	65
			1.2.3.5 Amount Determination Approach	15
			1.2.3.6 Management and Oversight Plan	25
		1.2.4 Technical Assistance Services Strategy	1.2.4.1 Targeted Community Lender Support Plan	30
			1.2.4.2 Financial Market-Building Plan	20
		1.2.5 Implementation Plan	1.2.5.1 Community Lender Outreach and Accessibility Plan	20
	1.2.5.2 Labor and Equitable Workforce Development Plan		20	
	1.2.5.3 Consumer Protection Plan		20	
	1.2.5.4 Housing Affordability Protection Plan		15	
	1.2.5.5 Coordination Plan		20	
	1.3 Program Reporting	1.3.1 Reporting Plan	20	
1.3.2 Reporting Capacity		10		
1.3.3 Past Performance and Reporting History		10		
1.4 Program Budget	1.4.1 Expenditure and Disbursement of Awarded Funds	10		
	1.4.2 Budget Description and Table	40		
2. Description of Programmatic Capabilities	2.1 Organizational Background, Track Record, and Policies	2.1.1 Organizational and Governing Documents	10	
		2.1.2 Organizational Experience	50	
		2.1.3 Equity Policies and Practices	30	
	2.2 Governance and Management	2.2.1 Governance Structure	75	
		2.2.2 Senior Management and Staff Capabilities	75	
	2.3 Risk Management and Financials	2.3.1 Legal and Compliance Risk Management Program	60	
		2.3.2 Financial Statements	30	
			950	

Additional details behind on “nesting structure” of Narrative Proposal

These directly correspond to specific application components in the Narrative Proposal described in Section IV.C: Content of Application Submission. **Applicants are encouraged to reference the index number and title of these components, especially those with specific point allocations, to ensure appropriate evaluation.**

B Cross-Component Evaluation Criteria

Cross-Component Evaluation Criteria			Points Possible
3. Comprehensiveness and Cohesiveness	3.1 Comprehensiveness		25
	3.2 Cohesiveness		25
			50

These do not correspond to specific application components in the Narrative Proposal described in Section IV.C: Content of Application Submission. **Applicants do not need to reference the index number and title of these criteria in their applications.**

7 | EVALUATION CRITERIA FOR APPLICATION COMPONENTS REFLECTS “NESTING STRUCTURE” OF THE PROJECT NARRATIVE

Example of “nesting structure” for the investment strategy

Investment Strategy: Describe your approach, in detail, to operationalizing the program vision over the entire period of performance, with additional granularity over the first three years. The investment strategy should result in enduring change in the community financing ecosystem that extends beyond the period of performance.

<u>Application Component</u>		<u>Points Possible</u>	
1.2 Investment Strategy	1.2.1 LIDAC Engagement and Accountability Strategy	1.2.1.1 LIDAC Engagement Plan 1.2.1.2 LIDAC Accountability Plan	30 30
	1.2.2 Investment Objectives	1.2.2.1 Climate and Air Pollution Benefits	25
		1.2.2.2 Equity and Community Benefits	25
		1.2.2.3 Market Transformation Benefits	25
	1.2.3 Pass-Through Strategy	1.2.3.1 Capitalization Funding and TA Subaward Design	25
		1.2.3.2 Distribution Process Design	20
		1.2.3.3 Eligibility Review Process	10
		1.2.3.4 Evaluation Process	65
		1.2.3.5 Amount Determination Approach	15
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		1.2.5.2 Labor and Equitable Workforce Development Plan	20
		1.2.5.3 Consumer Protection Plan	20
1.2.5.4 Housing Affordability Protection Plan		15	
1.2.5.5 Coordination Plan		20	

The investment strategy, as described in *Section IV.C: Content of Application Submission*, is ultimately scored based on its **sub-components that have specific point allocations (highlighted to the left in light blue)**, in addition to being assessed as part of the cross-component evaluation criteria (comprehensiveness and cohesiveness)

7 | EVALUATION CRITERIA MAY APPLY DIFFERENTLY TO COALITION APPLICATIONS

A coalition application should describe the lead applicant's program plan and programmatic capabilities, incorporating the activities of coalition members as well as the lead applicant's management and oversight of those activities. For example, the pass-through strategy should describe how funds will be passed through to community lenders through activities carried out by the lead applicant and non-lead coalition members, and the legal and compliance risk management plan should describe how the lead applicant will manage legal and compliance risk across its own activities as well as the activities of non-lead coalition members.

Evaluation criteria *(illustrative and non-exhaustive)*

2.1.3 Organizational Experience: The organizational experience of the individual applicant as well as any non-lead coalition members may be evaluated.

2.4 Risk Management: Applications with plans for stronger risk management across all EPA funds requested will score higher; applications with plans for strong risk management for only a portion of the EPA funds requested (i.e., not covering how it will manage legal and compliance risk among coalition members, if submitting a coalition application) will score lower.

2.5.1 Financial Statements: Applications will not receive full points unless they provide financial statements for each coalition member that would receive a subaward of greater than \$10 million.

7 | REVIEW AND SELECTION PROCESS FOLLOWS FIVE STEPS

- 1. Threshold Eligibility Review Process:** All applications will be evaluated for eligibility using the threshold eligibility criteria described in [Section III.C: Threshold Eligibility Criteria](#).
- 2. Review Panel and Evaluation Process:** Review panel(s) will review, score, and rank all eligible applications that pass the threshold eligibility review based on the merit evaluation criteria listed above. The review panel(s) will include EPA staff and may also include staff from other federal agencies and external subject matter experts who are free from any actual or apparent conflicts of interest.
- 3. Interviews for Top-Ranked Applications:** The review panel(s) may conduct virtual or in-person interviews with top-ranked applicants. Applicants designated for such interviews would be provided information regarding the interview process upon designation.
- 4. Final Selection Process and Other Factors:** The review panel(s) will present final rankings and selection recommendations to the Selection Official, who will then make the final selections for awards. EPA intends to make awards to ensure broad coverage of community lender networks and low-income and disadvantaged communities served. EPA particularly intends to ensure coverage of Tribal communities.

In addition to the information presented by the review panel(s), the Selection Official may also consider any of the following “other factors” in making final selection decisions from among the top-ranked applications: geographic diversity (e.g., to ensure sufficient coverage within all EPA regions); organizational capacity considerations due to organizations participating in multiple applications that are top-ranked (i.e., as individual applicants, lead applicants of coalition applications, or non-lead members of coalition applications); GGRF program objectives; EPA strategic goals and objectives; and availability of funds.

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8 | BUILD AMERICA, BUY AMERICA AND DAVIS-BACON AND RELATED ACTS APPLY TO GGFR

Clean Communities Investment Accelerator grantees will be subject to administrative and national policy requirements.

EPA plans to establish programmatic requirements in the terms and conditions of the grant award to implement these administrative and national policy requirements, which will include but not be limited to Build America, Buy America and Davis-Bacon and Related Acts (DBRA)

Build America, Buy America (BABA)

- Certain projects under this competition are subject to the Buy America Sourcing requirements under the Build America, Buy America (BABA) provisions of the Infrastructure Investment and Jobs Act (IIJA)(P.L. 117-58, §§70911-70917) that apply when using Federal funds for the purchase of goods, products, and materials on any form of construction, alteration, maintenance, or repair of infrastructure in the United States.
- The Buy America preference requirement applies to all the iron and steel, manufactured products, and construction materials used for the infrastructure project under an award for identified EPA financial assistance funding programs.
- Please consider this information when preparing budget information. EPA will provide further guidance on which projects are subject to BABA provisions and will work with grantees to support implementation as necessary, as applicants comply with applicable Buy America preference requirements or apply for a [waiver](#) for each infrastructure project.

Davis-Bacon and Related Acts (DBRA)

- The Davis-Bacon Act (42 USC §§3141-3144)(DBA) sets out labor standards, including prevailing wages and fringe benefits, and applies to most federally funded contracts for construction of public works.
- The DBA labor standards and reporting requirements also apply to projects assisted with grants authorized by the Clean Air Act as provided in Section 314 of the Clean Air Act (DBRA)(42 USC §7614).
- A term and condition specifying DBRA compliance requirements will be included in the grant agreement.

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9 | REPORTING REQUIREMENTS WILL BE PART OF AWARD AGREEMENTS

Grantees will be subject to both **program performance as well as financial and administrative reporting requirements**. Note that EPA will only collect reporting information from each grantee (rather than from any subrecipients), but each grantee may need to collect reporting information from subrecipients in order to meet the reporting requirements.

Note: Requirements on this page are illustrative and non-exhaustive

Program Performance Reporting

EPA will require each grantee to submit **quarterly performance reports** within 30 days after the end of each reporting period (and with additional requirements every fourth quarterly report i.e., annually) as well as a **final performance report** within 90 days after the end of the period of performance. EPA will use information from these reports as part of program-wide public reporting, except to the extent such information includes confidential business information (CBI) or personally identifiable information (PII) pursuant to 2 CFR § 200.338.

Please see the Notice of Funding Opportunity for information that EPA may require in these reports.

Financial and Administrative Reporting

- **Federal Financial Report:** In accordance with 2 CFR § 200.328 and 2 CFR § 200.344, each grantee must submit the Federal Financial Report ([SF-425](#)) at least annually and no more frequently than quarterly.
- **Financial Records Retention:** In accordance with 2 CFR § 200.334, each grantee will be required to retain financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to the grant award for a period of three years from the date of submission of the final expenditure report.
- **MBE/WBE Utilization:** When required, each grantee must complete and submit a “MBE/WBE Utilization Under Federal Grants and Cooperative Agreements” report ([EPA Form 5700-52A](#)) on an annual basis.
- **Real Property Status Report:** In accordance with 2 CFR § 200.329, each grantee must submit a “Real Property Status Report” ([SF-429](#)) to report real property status or request agency instructions on real property that was/will be provided as Government Furnished Property (GFP) or acquired (i.e., purchased or constructed) in whole or in part under a Federal financial assistance award.

9 | AUDITS AND REMEDIES FOR NON-COMPLIANCE WILL BE PART OF AWARD AGREEMENTS

Audits

In accordance with 2 CFR § 200.501(a), each grantee will be required to obtain a single audit from an independent auditor, if the grantee expends \$750,000 or more in total Federal funds in the grantee's fiscal year. Audits will be made public in accordance with the process described in 2 CFR § 200.512. The grantee must submit the form SF-SAC and a Single Audit Report Package within 9 months of the end of the grantee's fiscal year or 30 days after receiving the report from an independent auditor. The SF-SAC and a Single Audit Report Package MUST be submitted using the Federal Audit Clearinghouse's Internet Data Entry System available at: <https://facides.census.gov>. In addition, each grantee may be subject to additional audit requirements, including but not limited to compliance requirements as part of any compliance supplement to the single audit.

Remedies for non-compliance

In accordance with 2 CFR § 200.208, 2 CFR § 200.339, and 2 CFR § 200.340, EPA is provided authority for multiple potential responses if a grantee violates the terms of the grant agreement. Remedies could include requiring corrective actions, temporarily withholding payments pending correction of deficiencies, disallowing costs incurred in violation of the terms of the assistance agreements, termination, and suspension or debarment from participation in Federal programs.

CONTENTS AND AGENDA

Topics

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2. Eligible Applicants
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 - CCIA-Eligible Projects
 - Capitalization Funding and Technical Assistance Subawards
 - Technical Assistance Services and Program Administration Activities
 - Financial and Technical Assistance to Low-Income and Disadvantaged Communities
4. Threshold Eligibility
5. Named Contractors and Named Subrecipients
6. Application Materials
7. Evaluation Criteria
8. Administrative and National Policy Requirements
9. Reporting, Audits, and Remedies for Non-Compliance

10. Resources

EPA will collect questions during the webinar today and respond to questions publicly on EPA's GGRF website (epa.gov/GGRF)

EPA is recording this webinar and will eventually publish the recording and the PowerPoint materials on EPA's GGRF website; in the meantime, the materials will be available upon request by emailing GGRF@epa.gov

10 | ADDITIONAL RESOURCES

Category

Resource

General grant resources

- [EPA Grants Webinars](#)
- [EPA Grants Policy Resources](#)
- [EPA Grants Management Training for Applicants and Recipients](#)
- [Grants.gov Resources on Assistance Listings](#)

Partnership agreements (e.g., subawards, solicitations)

- [EPA Solicitation Clauses](#)
- [Best Practice Guide for Procuring Services, Supplies, and Equipment Under The EPA Assistance Agreements](#)
- [EPA Subaward Policy](#)

Budget guidance

- [EPA Budget Detail Guidance](#)
- [EPA Indirect Cost Guidance](#)