RCRA SRF Metrics, Round 4

| ID# | Name | Туре | Goal | What it measures | | | | |
|-----|--|--------------------|------------------------------------|---|--|--|--|--|
| | ELEMENT 1 - DATA | | | | | | | |
| 2b | Complete and Accurate entry of mandatory data | File, Goal | 100% | Percentage of files reviewed where mandatory data are accurately reflected in the national data system. The numerator = number of files reviewed that accurately reflect mandatory data, denominator = number of files reviewed. | | | | |
| | ELEMENT 2 - INSPECTIONS | | | | | | | |
| 5a | Two-year inspection coverage of operating TSDFs | Data, Goal | 100% of commitment | Of those operating at the time of the data freeze, the percentage of the treatment, storage, and disposal facility (TSDF) universe that had a CEI inspection during the two-year period of review. The numerator = number of TSDFs operating at the time of the data freeze that had a CEI inspection during the two-year period of review; denominator = number of operating TSDFs at the time of the data freeze. | | | | |
| 5b | Annual inspection coverage of LQGs using BR universe (choose either 5b or 5b1) | Data, Goal | 100% of commitment | The percentage of the Biennial Report (BR) large quantity generator (LQG) universe that had a compliance evaluation inspection (CEI) during the year reviewed. The numerator = number of LQGs in the BR universe that had a CEI during the year reviewed; denominator = number of LQGs in the BR universe. | | | | |
| 5b1 | Annual inspection coverage of LQGs using RCRAInfo universe (choose either 5b or 5b1) | Data, Goal | 100% of commitment (if applicable) | The percentage of the RCRAInfo active large quantity generator (LQG) universe that had a compliance evaluation inspection (CEI) during the year reviewed. The numerator = number of active LQGs inRCRAInfo that had a CEI during the year reviewed; denominator = number of active LQGs in RCRAInfo. | | | | |
| 5d | One-year count of SQGs with inspections | Alternative CMS | 100% of commitment (if applicable) | Only required when evaluating agencies with alternative CMS plans for inspection coverage. Optional otherwise. The number of small quantity generators (SQGs) that had an inspection during the one-year review period. | | | | |
| 5e5 | One-year count of very small quantity generators (VSQGs) with inspections | Alternative CMS | 100% of commitment (if applicable) | Only required when evaluating agencies with alternative CMS plans for inspection coverage. Optional otherwise. Number of inspections in the last year for VSQGs | | | | |
| 5e6 | One-year count of transporters with inspections | Alternative CMS | 100% of commitment (if applicable) | Only required when evaluating agencies with alternative CMS plans for one year count. Optional otherwise. Number of inspections in the last year for transporters | | | | |
| 5e7 | One-year count of sites not covered by metrics 5a through 5e6 with inspections | Alternative CMS | 100% of commitment (if applicable) | Only required when evaluating agencies with alternative CMS plans for inspection coverage. Optional otherwise. Number of inspections in the review year for sites not covered by metrics 5a through 5e6. Merged previous 5e3 for non-notifiers by adding drop down to select option for non-notifiers yes/no | | | | |

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|-----|--|---------------------|------|---|
| | Inspection reports complete and sufficient to determine compliance | File, Goal | 100% | The percentage of on-site inspection reports reviewed that are complete and provide sufficient documentation to determine compliance. The numerator = number of inspection reports reviewed with complete and sufficient documentation; denominator = number of inspection reports reviewed. |
| 6b | Timeliness of inspection report completion | File, Goal | 100% | Percentage of inspection reports reviewed that are completed in a timely manner per the standards described below (numerator = number of inspection reports reviewed that were completed in a timely manner; denominator = number of inspection reports reviewed). |
| | ELEMENT 3 - VIOLATIONS | | | |
| 2a | Long-standing secondary violators | Review Indicator | N/A | The number of sites with violations open for more than 240 days that have not returned to compliance or have not been designated as being significant noncomplier (SNC). |
| 7a | Accurate compliance determinations | File, Goal | 100% | Percentage of inspection reports reviewed that led to accurate compliance determinations. The numerator = number of inspection reports reviewed that led to accurate compliance determinations; denominator = number of inspection reports reviewed. |
| I/n | Violations found during CEI or FCI inspections | Review Indicator | N/A | The percentage of sites with a CEI or FCI inspection during the year reviewed in which one or more violations was found. The numerator = number of sites with a CEI or FCI in which one or more violations was found, denominator = number of sites with a CEI or FCI. |
| ıxa | SNC identification rate (for FCIs and CEIs) | Review Indicator | N/A | The percentage of sites with a year-reviewed and previous-year CEI or FCI inspection that received a significant noncomplier (SNC) designation. The numerator = number of sites with a CEI or FCI that received an SNC designation during the year reviewed; denominator = number of sites with a CEI or FCI inspection in the year reviewed and previous year. |
| 8b | Timeliness of SNC determinations | Data, Goal | 100% | The percentage of significant noncomplier (SNC) determinations made within 150 days of the first day of the inspection (Day Zero). The numerator = number of SNC determinations made within 150 days of Day Zero; denominator = number of SNC determinations. |
| 8c | Appropriate SNC determinations ELEMENT 4 - ENFORCEMENT | File, Goal | 100% | Percentage of files reviewed in which significant noncompliance (SNC) status was appropriately determined during the year reviewed. The numerator = number of facilities reviewed with violations correctly determined to be SNC or secondary violation; denominator = number of facilities with violations reviewed. |

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| 9a | Enforcement that returns sites to compliance | File, Goal | 100% | Percentage of enforcement responses that have returned or will return sites in significant noncompliance (SNC) or secondary violation (SV) to compliance. The numerator = number of enforcement responses reviewed for SNC and SV that document that the site is in compliance or is on schedule to return to compliance; denominator = number of enforcement responses against SNC and SV reviewed. |
| 10a | Timely enforcement taken to address SNC | Data, Goal | 80% | The percentage of year-reviewed and previous-year significant noncomplier (SNC) designations addressed with a formal enforcement action or referral during the year reviewed and within 360 days of Day Zero. The numerator = year-reviewed and previous-year SNCs that were addressed by a formal enforcement action in the year reviewed and within 360 days of Day Zero; denominator = year-reviewed and previous-year SNCs addressed by a formal enforcement action in the year reviewed. |
| 10b | Appropriate enforcement taken to address violations | File, Goal | 100% | The percentage of files with enforcement responses that are appropriate to the violations. The numerator = number of enforcement responses reviewed that are appropriate to the violations; denominator = number of facilities reviewed with significant noncompliance (SNC) or secondary violation (SV). The denominator should include all violations regardless of whether the agency accurately identifies the violation. |
| | ELEMENT 5 - PENALTIES | | | |
| 11a | Gravity and economic benefit | File, Goal | 100% | Percentage of penalty calculations reviewed that document, where appropriate, gravity and economic benefit. The numerator = number of penalties reviewed where the penalty was appropriately calculated and documented; denominator = the number of penalties reviewed. |
| 12a | Documentation of rationale for difference between initial penalty calculation and final penalty | File, Goal | 100% | Percentage of penalties reviewed that document the rationale for the final value assessed when it is lower than the initial calculated value. The numerator = number of penalties reviewed that document the rationale for the final value assessed compared to the initial calculated value; denominator = number of penalties reviewed where final value assessed is lower than initial calculated value. |
| 12b | Penalty collection | File, Goal | 100% | Percentage of enforcement files reviewed that document collection of penalty. The numerator = number of penalties reviewed with documentation of collection or measures to collect a delinquent penalty; denominator = number of penalties reviewed. |