

Applicant Webinar

Exchange Network Grant Program - FY25 Grant Cycle

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February 27, March 4, March 6 - 2025

Welcome! Notes for Participants

- To help us know who is on the call, please enter your organization name into the TEAMS meeting chat.
 - These records will be maintained internally; this just helps our program improve future outreach efforts.
- To maintain audio quality for all participants, please keep yourself muted during the presentation portion of the call.
- If you have a question about a particular slide or topic during the presentation portion, please type it into the TEAMS meeting chat.
 - Questions will be addressed during the open Q&A.



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Agenda

- Overview of Exchange Network (EN) Grant Program
- What's Changed since FY24?
- Available Resources for Applicants & Grantees
- What to Include in Your Application
- TOP 10: Mistakes to Avoid
- Wrap-Up: Why the Exchange Network (EN)?
- Questions and Answers (Q&A)







Overview of EN Grant Program







Exchange Network Purpose

- The purpose of the **Exchange Network** is to:
 - Facilitate data sharing;
 - Reduce burden & avoid costs;
 - Streamline data collection & exchanges;
 - Increase data quality & access;
 - Increase data & IT management capabilities







EN Competitive Grant Program

- FY2025 is the EN Grant Program's twenty-third year. Including FY24, approximately \$280,000,000 has been awarded since 2002.
- Awards have been issued to all 50 states, 93 Tribes/Indian nations, and 5 US territories.
- Current annual budget of around \$9.5 million, equating to an average of 30-35 awards annually.







FY25 Application Due Date

- The FY25 Solicitation Notice was published on Monday, January 13, 2025.
- Applications are due in grants.gov NO LATER THAN Monday March 24, 2025, at 11:59 PM ET.
- See: Search Results Detail | Grants.gov



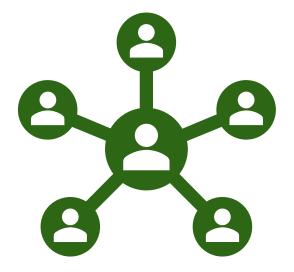




EN Grant Program: Eligibility

Who is eligible for EN Grants?

- States
- U.S. Territories
- Federally Recognized Tribes, Indian Nations, and Alaska Native Villages
- Inter-tribal Consortia of Federally Recognized Tribes and Indian Nations
- Other Entities with Status as an Instrumentality of a State or Federally Recognized Tribe/Indian Nation (e.g., university)

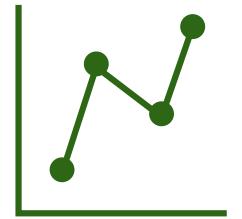






5-Year Applicant Trends

- **States:** Historically, 75% of total grantees; 57% average between 2020-2024; 53% in FY24.
- Tribes (+ Consortia): Historically, 22% of total grantees; 35% average between 2020-2024; 41% in FY24.
- **U.S. Territories:** Historically, 2% of total grantees AND the average between 2020-2024; 3% in FY24.
- Other Entities: Historically, 1% of total grantees; 6% average between 2020 -2024; 3% in FY24.







EN Grant Program: Ineligible Applicants

- Ineligible entities have the option partner with an eligible entity, provided that:
 - The lead applicant (formal EN partner) meets the specifications outlined in Solicitation Notice Section 4C: Coalition (EN Partnership) Applications.
 - The partnership is not formed from agencies within a single state, territorial, or tribal government.
 - The lead applicant is not participating in another proposed project for that fiscal year (as only one application per organization can be submitted under this funding opportunity).
- The eligible entity could then share funds with your organization via a subaward.
 - See additional subaward information under SN Section 3D





EN Grant Program: Funding Thresholds & Restrictions

- Funding thresholds depend on the type of application:
 - Single Applicants ≤ \$400,000
 - Eligible EN Partnerships ≤ \$600,000
- 'Individual Capacity Building with Mentorship' applicants ≤ \$415,000.
 - \$15K in mentorship support costs.
- **Certain costs are not allowed:** *E.g.*, construction, operations & maintenance, deployment of physical nodes, and others
 - See SN Section 2A(1) for a more details on funding restrictions





EN Grant Program: Project Options

- Applicants can apply under one or more EN Project
 Opportunities. Specific project write-ups within the
 annual EN Solicitation Notice provide applicants with
 the information needed to design proposals for a
 particular service, data exchange, etc.
- Applicants can propose their own project that does not fall under an EN Project Opportunity. Applicants can submit projects proposals that do not relate to EN Project Opportunities, provided the project clearly states this and explains its support of one or more EN Funding Area(s).







EN Grant Program: Project Opportunities (1)

- Funding Area 1: Helps agencies innovate and modernize the critical data services that power their systems and workflows.
- FY25 SN Includes: Advanced Water Quality Monitoring Using Sensor Technologies; and Open Data, Data Modernization, Digital Transformation Projects, including Geospatial.







EN Grant Program: Project Opportunities (2)

- **Funding Area 2:** Helps stakeholders submit and share programmatic data for eleven EPA programs (for FY25), including:
- AIR: Emission Inventory System (EIS); Combined Air Emissions Reporting (CAER);
- **ENFORCEMENT & COMPLIANCE:** Integrated Compliance Information System (ICIS) Digital Services; Electronic Reporting of National Pollutant Discharge Elimination System (NPDES) Data;
- LAND MANAGEMENT: Resources Conservation and Recovery Act Information System (RCRAInfo); UST Finder Application (V2) to Support National and State UST Programs;
- WATER: eBeaches; Safe Drinking Water System (SDWIS); Water Quality Exchange (WQX); Assessment TMDL Tracking and Implementation System (ATTAINS); Drinking Water/Clean Water State Revolving Funds





EN Grant Program: Project Opportunities (3)

- Funding Area 3: Open only to Tribes and U.S. Territories. Enables applicants to build IT and data management capacity necessary to effectively manage environmental programs and increase meaningful participation in the EN.
- Currently includes Individual Capacity Building; Individual Capacity Building with Mentorship; Collaborative Capacity Building.







What Can Be Funded under Capacity Building?

- Development of an organizational strategic plan for improved data management
- Determining business process requirements through an assessment of current systems/available tools
- Preparing existing systems/tools for participation in EPA national program data flows
- Planning and implementing improved database and management system/tools
- Website and user tool development for public outreach
- Development and implementation of geospatial tools and technologies to improve monitoring, reporting, data visualization, and analysis
- Increased participation in the Exchange Network through trainings, webinars, and technical assistance activities



What is EN Mentorship under Capacity Building?

- Available to individual tribal and territorial applicants with no prior EN grant awards
- Allows up to \$15K in mentorship support funding, in addition to \$400K in project costs, for activities such as:
 - Demonstrating the mentor's implemented system
 - Fine-tuning/providing feedback on the project workplan
 - Providing technical assistance to set up data exchange(s)
 - Providing training on data entry, data analysis, and report generation
 - Giving ongoing support after installation/implementation, including feedback on data management/technology, the EN, submitted reports, and/or close-out activities
 - Providing guidance on EN and TXG participation





Performance Partnership Grants (PPG) and Consolidated Grants (CG)

- States, certain interstate agencies, and tribes can choose to combine two or more environmental program grants into a single PPG.
 - Local governments are not eligible for PPGs.
- Territories can consolidate various environmental program grants into a single Consolidated Grant (CG).
- Applicants who wish to include awarded grant funds in a PPG/CG must:
 - Clearly state this in the cover letter and the 'Project Description' section of the project narrative.
 - Include the PPG/CG number.





Post Award: EN Terms and Conditions

- **Performance Reporting and Final Performance Report:** EN grantees must submit semiannual progress reports electronically through EPA's Central Data Exchange (CDX), <u>twice</u> <u>annually</u>, and submit a final report through CDX within 120 days of project closeout. Reports must include subwardee (partner/mentor) activities, if applicable.
- Quality Assurance (QA): New EN projects are reviewed to determine what QA documentation, if any, is applicable to the proposed project. QA documentation, which may include a project-level Quality Assurance Project Plan (QAPP) and/or Quality Management Plan (QMP), is due to EPA within 90 days of award date.
- **Registering EN Resources:** EN grantees must complete an IT Component and Project Registration form reflecting details of the completed grant and associated developed and reused IT components at the time of grant closeout. This form will be made available on the EN website.

What's Changed Since FY24?







What's New?

- **General:** Front SN section updated to match new EPA NOFO standard template.
- **Section 1D:** Funding thresholds were updated for all applicant types by \$100k.
- Section 1E: Contact information added for each EN Project Opportunity.
- **Section 2A (1):** New threshold criterion, ensuring that proposals support one or more EN Funding Areas.
- Section 4D: Updated language to reflect current Quality Assurance (QA)
 approach and requirements.
- Section 6B, General: Programmatic involvement criterion removed.
- Section 6B, Criteria 3F: E-Enterprise Digital Strategy (EEDS) criterion simplified.



What's New (Cont.)?

- Appendices A-C: Annual updates made to each EN project opportunity, including the addition of one new opportunity (UST Finder (V2)) and the removal of an opportunity (UIC Data).
- **Appendix D:** Update to equipment and supplies thresholds; items less than \$10K should be categorized as 'supplies' and items \$10,000 and above should be categorized as 'equipment'.
- Appendix E, Project Narrative Overview: Updates to project narrative guidance, to reflect the two updates to evaluation criteria.
- Appendix E, Project Narrative Overview: Clarifying language added to the 'technical solution' section.



What's New (Cont.)?

- Appendix E, Formal Project Partners: Addition of a new bullet, clarifying that the document needs to include how partner progress will be reported (so it can be included in semi-annual reports).
- Appendix E, Formal Project Mentor: Addition of a new bullet, clarifying that the document needs to include how mentor activities will be reported (so it can be included in semi-annual reports).
- **Appendix F:** Minor updates to pre-submission checklist, for improved applicant guidance.
- Appendix G: Addition of three new terms/phrases, including business and administrative needs, persona, and technical solutions.



Available Resources for Applicants & Grantees







Previous EN Grant Projects Site

Access at https://www.epa.gov/exchangenetwork/previous-exchange-network-grant-projects

- B FY 2022 Exchange Network Grant Program Awards (pdf) (127.61 KB, 1/1/2022)
- FY 2021 Exchange Network Grant Program Awards (pdf) (122.74 KB, 3/23/2022)
- FY 2020 Exchange Network Grant Program Awards (pdf) (114.79 KB, 12/22/2020)
 FY 2020 Exchange Network Grant Program Awards
- FY 2019 Exchange Network Grant Program Awards (pdf) (151.74 KB, 10/17/2022)
- B FY 2018 Exchange Network Grant Program Awards (pdf) (134.06 KB, 10/17/2022)
- FY 2017 Exchange Network Grant Program Awards (pdf) (118.34 KB, 10/17/2022)
- B FY 2016 Exchange Network Grant Program Awards (pdf) (141.72 KB, 10/17/2022)
- FY 2015 Exchange Network Grant Program Awards (pdf) (145.94 KB, 10/17/2022)
 FY 2015 Exchange Network Grant Program Awards
- EY 2014 Exchange Network Program Awards (pdf) (128.13 KB, 10/17/2022)
 FY 2014 Exchange Network Grant Program Awards
- FY 2013 Exchange Network Grant Program Awards (pdf) (127.61 KB, 10/17/2022)
 FY 2013 Exchange Network Grant Program Awards
- FY 2012 Exchange Network Grant Program Awards (pdf) (127.35 KB, 10/17/2022)
 FY 2012 Exchange Network Grant Program Awards

Recipient Name	Total Number of Awards	Total Amount of Awards
States	24	\$5,111,717.00
Tribes	8	\$1,840,946.00
Territories	1	\$197,356.00
All Recipients	33	\$7,150,019.00

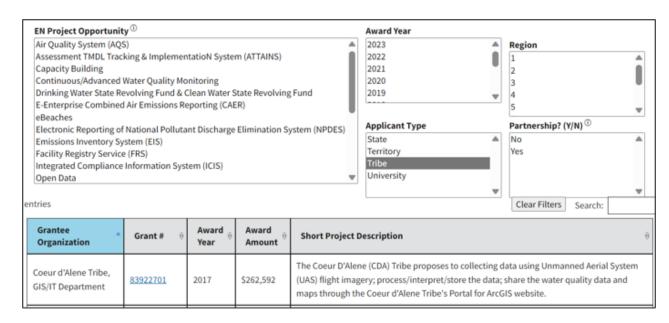
Grant ID	Recipient Name	Project Description	Award Amount	Award Date
		STATES / INSTRUMENTALITIES OF THE STATE		
BG96464622	Alabama- Department of Environmental Management	The proposed project seeks to develop and implement data exchange between the National RCRA Info Database and a contact developed database system. This process will utilize and build upon the ADEM Web Portal and a contract developed database system, both of which were developed from previous exchange network grant awards. The project goals including analysis and planning to support the development of data exchange from the Alabama Environmental Permitting and Compliance System (AEPACS) to RCRA Info to increase program efficiency and effectiveness, to prefill historical data into AEPACS, and to implement the data exchange between the two entities.	\$151,501	10/14/2022





Searchable IT Component and Project Registration Forms Site

Access at https://www.epa.gov/exchangenetwork/searchable-it-component-and-project-registration-forms



Example From: Virginia DEQ (2019) Grant # 83969901

	PROJECT DETAILS
Short Description/Project Summary*:	VADEQ plans to expand its electronic data collection capabilities to meet requirements of the eReporting Rule. VADEQ will integrate Shared CROMERR Services (SCS), of ID Proofing and eSignature into the agency's external portal framework and create electronic forms for NOIs and Annual Reporting for Nonmetallic Mineral Mining Permits. The complete solution will enable VADEQ to meet EPA goals and support Virginia Governor's Executive Order E06, which calls for increased data transparency of environmental data among the Commonwealth of Virginia's citizens and stakeholders.
EN Project Opportunit(ies)*: Note: Select all that apply (to this grant project). Note: If the grant did not fall under a specific EN Project Opportunity, select 'other' in the first drop-down and leave the rest blank. Note: Click the ellipsis for additional drop-downs.	Shared CROMERR Services (SCS)
Project Goals*: Note: A goal is defined as a self-contained project. Note: An example might be: 'implementing an electronic reporting system using shared CROMERR services'.	(1) Integrate CROMERR services to VADEQ External Portal for reusability across the agency to meet the eReportingRule and Executive Order 6 requirements and timelines.

	PROJECT IT COMPONENTS
Did this Project <u>Develop</u> IT Components? (Y/N)*:	Y
Type of Developed Components: Note: Select all that apply. Note: Click the ellipsis for additional drop-downs.	Flow Configuration, Software, Data Service and/or Download
Title/Description of Developed Component(s):	Integration of CROMERR compliant authentication services
Did this Project Reuse IT Components? (Y/N)*:	Υ
Type of Reused Components: Note: Select all that apply. Note: Click the ellipsis for additional drop-downs.	Shared Platform, Data Standards, Standard Exchange Protocol
Title/Description of Reused Component(s):	Integrate CROMERR services to VADEQ External Portal for reusability across the agency





Applicant FAQs on EN Website

Access at https://www.epa.gov/exchangenetwork/exchange-network-applicant-FAQs

Project Planning

What projects are eligible for an EN grant?

Section 3A of the Solicitation Notice presents EPA's annual assistance activities, current EN funding areas (which must be supported in a proposed project), and a list of project write-ups known as EN 'project opportunities'. These EN project opportunities are listed in Appendix A, Appendix B, Appendix C, and provide potential...

Last published: February 3, 2025

<u>I have an idea for an EN grant project, can I send you that idea to confirm if the project is a good fit for your program?</u>

Basically, as long as projects fall under one or more of the current EN Funding Areas and the requirements as outlined within our FY25 Solicitation Notice are met, we consider a wide variety of project types in our annual grant competition. Due to this being a competitive grant program, specific...

Last published: February 3, 2025





Optional Templates (Cover Letter, Project Narrative, & Budget Narrative)

Access at https://www.epa.gov/exchangenetwork/exchange-network-grant-program#Resources

1) Project Description

The [State, Tribe or Territory Name] [Name of Department or Agency]'s [program] submits this application in support of Exchange Network (EN) Funding Area[s] ['1', '2', and/or '3'] under the following EN project opportunities¹ [insert EN specific project opportunity name(s) from SN Appendices A-C]². [Extract Test

a ['grant' or 'cooperative agreement'], entitled Program. This application is seeking [dollar am funds] as in-kind support. We have ['no' or nu furds'] as in-kind support. We have ['no' or nu fare' or 'are not'] utilizing a formal mentor

 [Provided a bulleted list of general business and/or administrative needs (of the Dep which will be supported by the proposed project.]

This project supports the goals and objectives of the EPA's FY 2022-2026 Strategiachievement of associated environmental results, as described in Table 1.

Exchange Network Solicitation Notice, includi Table 1. Supported EPA Strategic Goals and Objectives

Strategic	Strategic	Project Support Description:	Associated Env
Goal ⁴	Objective ⁵		Output(s) and/
Goal [#]: [Enter Full Title]	Objective [#]: [Enter Full Title]	[Briefly describe how this strategic goal & objective is supported by the proposed project.].	[List associated e output(s) and/or

Fringe: \$[Insert Total Fringe Cost]

Note: Fringe costs are defined as allowances and services provided to employees as compensation in addition to regular salaries and wages during the 3-year personnel cost calculated for the project. They include, but are not limited to, cost of leave, employee insurance, pensions and unemployment, and holiday benefits.

Staff Posit	ion	Staff Name	3-Year Personnel Cost ²	Fringe Benefit Rate	3-Year Fringe Cost
[Staff posit	tion title]	[Staff name]	\$[dollar amount]	[#]%	\$[dollar amount]
[Staff posit	tion title]	[Staff name]	\$[dollar amount]	[#]%	\$[dollar amount]
Staff posi	tion title]	[Staff name]	\$[dollar amount]	[#]%	\$[dollar amount]
[Staff posit	tion title]	[Staff name]	\$[dollar amount]	[#]%	\$[dollar amount]
Total Frin	nge Costs		1		\$[dollar amount]

Note: To calculate fringe benefit costs for each employee, multiply the 3-year personnel cost as calculated under 'personnel' costs by the staff member's fringe benefit rate. Sum these total costs to calculate the total fringe benefit rate costs of the project.

Travel: \$[Insert Total Travel Cost]

Trip3	Trip Item	Estimated Cost ⁴	Duration	# of Travelers	Travel Cost
	Airfare	\$[dollar amount]	N/A	[#]	\$[dollar amount]
1	Per Diem	[itemization of applicable items] + [itemization of applicable items] = \$[dollar amount] ⁵	[#] Nights	[#]	\$[dollar amount]
	Rental Car	\$[dollar amount]	[#] Days	[#]	\$[dollar amount]
	Mileage	[#] miles x [dollar amount] per mile =\$[dollar amount]	[#] Days	[#]	\$[dollar amount]

[Name of Department or Agency]
[Full Mailing Address]
[Phone Number(s)]
[Email address]

I am pleased to submit the State, Tribe or Terri

1. [Our partners are: name partnering organiza

project ['is' or 'is not'] charging indirect cos mm/dd/yyyy]]. We ['are' or 'are not'] requesti

Performance Partnership Grant (PPG)2. [The

This application ['is' or 'is not'] applying und

description of project including a statement of

The contact for this grant application is: [Name and Title of Project Lead] [Name of Office or Division]





Optional Self-Calculating Budget Tool

Access at https://www.epa.gov/exchangenetwork/exchange-network-grant-program#Resources

Supply Catego	- Item		uantity		Price per Unit	-	Total Supplies Cost	~
	Supply Item 1				\$	_	\$	_
	Supply Item 2				\$	_	\$	-
	Supply Item 3				\$	_	\$	-
					\$	_	\$	-
					\$	_	\$	-
					\$	_	\$	
					\$	_	\$	
					4		•	
Total Supplies					3			
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ndividual consultan Item Select Contract	Hours	- H	ourly Rate \$ \$	-	<i>pes that are single sou</i> Procurement Metl Select from Drop Dow	nod 🕝	xplanation is required in the Budg	ret Namati



Other Applicant Resources

• **SN Appendix D**: Applicant guidance on project-relevant topics, *e.g.*, E-Enterprise Digital Strategy, project-budget guidance, *etc*.

Project Narrative:

• **SN Appendix E**: Overview of required application attachments, including the submitted (and

scored) project narrative.

 SN Appendix F: Optional Pre-Submission Checklist Is your project narrative <u>a maximum</u> of 10 single-spaced pages?

Does your project narrative include all eight of the sections noted in <u>Appendix E's project narrative</u> overview (see pages E3-E9), marked with bold section headers?

- **EN Forums:** Monthly public call to discuss EN services, data sharing, ongoing or completed EN partner projects, and more second Thursday of each month from 1:00 2:00pm ET.
- **EECIP**: Inventory for environmental agencies to enter information about projects, technical environment and professional interests.



What To Include In Your Application







Mandatory Attachment Documents (for all)

- (1) Cover Letter (see optional template here)
- (2) Project Narrative Attachment Form (see optional template here)
- (3) Budget Narrative Attachment Form (see optional template here)
- (4) Application for Federal Assistance (Standard Form (SF-424)
- (5) Budget Information for Non-Construction Programs (SF-424A)
- (6) Key Contacts Form (EPA Form 5700-54)
- (7) Pre-award Compliance Review Report (EPA Form 4700-4)



Additional Attachment - A (may be required)

(A) Negotiated Indirect Cost Rate Agreement

- Required if charging indirect costs, unless you are using the standard 15% de minimus rate.
- Must be included in accordance with 2 CFR 200.414 and must be valid until at least 9/30/2025.
- Tribal applicants *specifically* may submit a project with their draft rate, as submitted to DOI (and pending approval).
- Tribal applicants *specifically* may also request a Regulatory Exception to continue to use an expiring/expired fixed rate with carry-forward.



Additional Attachment - B (may be required)

- (B) Additional Information for Inter-Tribal Consortium
 - Required if applying as an intertribal consortium.
 - Must provide documentation which shows:
 - (1) a formal partnership exists among the Tribal governments' members of the inter-tribal consortium and the majority of the members are federally recognized Indian Tribes; and
 - (2) The consortia federally recognized Tribal members have authorized the consortium to apply for and receive assistance from the EN Grant Program.

NOTE: Participant letters from all consortia members is <u>not</u> required.



Additional Attachment - C (may be required)

(C) Formal Project Partners:

- Required if applying with one or more formal EN partners.
- Must provide documentation which includes:
 - (1) Support Letter(s) from all participating formal EN partners;
 - (2) Overview of project roles & responsibilities across participants;
 - (3) The method of coordinating work/communicating;
 - (4) The method of communicating progress, to ensure partner progress is reflected in submitted semi-annual reports;
 - (5) Description of the method for distributing funds (subaward);
 - (6) An overview of the proposed subaward budget.



Additional Attachment - D (may be required)

(D) Formal Project Mentor:

- Required if applying under EN Project Opportunity 'Individual Capacity Building with Mentorship' (see SN appendix C).
- Must provide documentation which includes:
 - (1) Support Letter from participating mentor;
 - (2) The method of coordinating work/communicating/training;
 - (3) The method of communicating progress, to ensure mentor contributions are reflected in submitted semi-annual reports;
 - (4) Description of the method for distributing funds (subaward);
 - (5) An overview of the proposed subaward budget (up to \$15K).



Additional Attachment - E (may be required)

- (E) List of Prior Exchange Network Assistance Agreements
 - Required if your organization has received prior EN grants.
 - Provide a document that lists prior EN assistance agreements, in descending order.



Additional Attachment - F (may be required)

- (F) Documentation Certifying Applicant Status as an Instrumentality of the State or Tribe
 - Required if applying as an instrumentality of a state or Tribe.
 - [For State Instrumentalities]: Provide a signed attorney general letter, certifying this status.
 - [For Tribal Instrumentalities]: Provide a certification and supporting documentation from the Tribal council or other appropriate Tribal government official, certifying this status.



Note: Lobbying Form Requirement

Note: Successful applicants requesting over \$100,000 are required to submit a signed 'EPA Lobbying Form 6600-06' to ENGrantProgram@epa.gov upon notification of award selection.

- Applicants do not need to submit this form with their initial application.
- For the form's 'EPA Project Control Number', please mark the funding opportunity number for this competition: EPA-OMS-25-01.



TOP 10: Mistakes to Avoid







Number 10: Not Ensuring Correct Attachments in Grants.Gov

 Please be sure that the correct attachments have been uploaded to grants.gov, prior to submission by 11:59pm ET on March 24th, 2025.

- Our program has occasionally seen the budget narrative or project narrative attached twice, instead of <u>each</u> document being attached (as they have very similar document titles).
 - This caused an ineligibility determination, as the applicant did not substantially comply with the submission instructions in our SN.



Number 9: Incorrect Period of Performance

- The EN Grant Program has a standard period of performance of 3 years.
 - List the project start date as October 1st, 2025.
 - List the project end date as September 30, 2028.

- Please create your project workplan (which includes estimated output completion dates) and budget in accordance with this standard 3-year POP.
- NOTE: You can always finish and close a project early.
- NOTE: You can also request up to four 1-year, no-cost extensions.





Number 8: Not Rounding Your Budget Amounts

- Do not include penny amounts; round up or down to the nearest whole number for project costs, including items within cost category formulas.
 - Totals per cost category <u>must</u> be a whole number.
 - Applicable EN cost categories include personnel, fringe, travel, equipment, supplies, contractual, and other.
 - Strive to round costs within budget formulas to whole numbers when possible.
 - If a laptop computer costs \$849.49, round this to \$850, before multiplying it by the number of laptops you are purchasing.





Number 7: Missing Project Narrative Information and/or Detail

- Ensure that your project narrative still fits within the allowable 10 pages, even after converting it to PDF.
- Double check your drafted project narrative against the detailed overview in SN Appendix E, to ensure that all 8 required sections and their required information is included.
- Double check your drafted project narrative against the evaluation criteria listed in SN Section 6B: Review Criteria; your submitted document will be scored against these criteria.
- Consider utilizing the <u>optional project narrative template</u>.





Number 6: Lack of Budget Itemization

- Items in your submitted budget <u>must</u> be itemized. EPA must understand exactly what you are proposing to purchase in support of your project.
- This issue is most commonly seen in the budget cost categories of 'equipment' and 'supplies'.
 - You cannot put in a general cost such as 'office supplies \$300'.
 - In this example, this should be itemized to: '2 reams of paper (at \$12 each, for a total of \$24)', '6 boxes of printer toner (at \$40 each, for a total of \$240)'; etc.





Number 5: Confusion on 'In-Kind'

- We often see confusion from applicants on the term 'in-kind', where the
 applicant confuses this with a voluntary cost match (non-federal funds
 supplied by the applicant organization in support of the project).
- In-kind actually refers to 'services provided by EPA contractors and consultants on specific parts of the project for the recipient'; in other words, funds that will go on an available EPA IT contract instead of being issued to the grantee for draw-downs.
- NOTE: Most applications will have an in-kind amount of \$0.
- NOTE: Cost match is not applicable to the EN Grant Program and nonfederal funds should not be included in the submitted project.



Number 4: Incorrect Base Calculation for Indirect Costs

- Please be sure to carefully read the base description in your organization's Negotiated Indirect Cost Rate Agreement, to ensure the correct base amount to apply your indirect rate percentage (%) to.
 - (A) Base: Total direct costs, less capital expenditures and passthrough funds. Passthrough funds are normally defined as payments to participants, stipends to eligible recipients, or subawards, all of which normally require minimal administrative effort.
- In the example provided above, all budget categories (including contractual) can be included in the base calculation, excluding equipment (which is a capital expenditure) and subawards (a passthrough fund) to an EN partner and/or mentor.
- If utilizing the standard 15% deminimus, the base amount is total modified direct costs (TMDC) see definition in SN Appendix G (glossary).



Number 3: Not Using Standard Formulas for Budget Calculations

- The 'How to Correctly Calculate and Capture a Project Budget Across Attachments' section in SN Appendix D and the <u>optional budget narrative</u> <u>template</u> can help applicants to understand these calculation formulas.
- <u>Example: How to Calculate Personnel Costs:</u> For each position, first multiply the annual salary for that position by the percent of their time dedicated to the project to get an annual cost. Then, multiply this product by 3 (the EN grant period of performance). Sum the personnel cost for each staff position to find the total personnel cost.

Staff Position (Project Role)	Staff Name (If Known)	Annual Salary	% of Time	Annual Cost	Period of Performance (Years)	3-Year Personnel Cost
[Staff position title]	[Staff name]	\$[dollar amount]	[#]%	\$[dollar amount]	3	\$[dollar amount]
→						
Project Manager	Bob Friend	\$120,000	10%	\$12,000	3	\$36,000





Number 2: Contractor Procurement Issues

- Remember: Individual consultants are subject to a consultant cap of \$93.53.
- Remember: Non-competitive procurement is only allowed in select cases and is subject to EPA approval:
 - Applicants must provide a detailed justification when using this procurement method, quoting a specific allowable case per 2 CFR 200.320(c).
 - An existing relationship, history of prior work, or proximity to the contracting firm is not an allowable case.
 - If non-competitive procurement is required/allowable per your own state or Tribal laws/policies, please detail this in your submitted application.





Number 1: Mis-Categorizing of Costs (Part 1)

- Funds for formal EN partners or mentors should be categorized as a subaward under the cost category of 'other' (NOT contractual).
- Training costs should be categorized based on type:
 - If the training includes travel to the training location (aka, a workshop), costs should be included under the cost category of 'travel'.
 - If the training is a subscription (like an IT training course) or provided by a 3rd party, this should be categorized under the cost category of 'contractual'.
 - Travel for trainees and interns, fellows, and work group members who are not employees of the recipient are Participant Support Costs and should be included in the cost category of 'other.'





Number 1: Mis-Categorizing of Costs (Part 2)

- Food and light refreshments (only allowable if associated with a training/ workshop related to the grant <u>and</u> if approved by EPA) should be categorized as 'other' if provided by the applicant and 'contractual' if included as part of a contractual rental agreement.
- Utilities, such as wifi or electricity, or similar services (only allowable as relates to successful completion of the proposed project, for the applicable amount of time spent on the project) should be included within the project's *indirect costs* and not as a separate line item under 'other'.
- As of FY25, items less than \$10K should be categorized as 'supplies' and items costing \$10,000 and above should be categorized as 'equipment'.





Wrap Up - Why the EN?







Why the Exchange Network?

- No cost match requirement
- Semi-annual reporting progress reports are only due 2x per year
 - Electronic reporting, with email confirmation of accepted reports
- Wide range of project types and activities are funded
- Ability to charge equipment, supplies, and QA preparation costs to project budget
 - Equipment and supplies must constitute a reasonable percentage of the overall project budget and support the accomplishment of goals/outputs
- Extensive guidance, resources, and optional tools and templates available
- 20% annual minimum commitment to Indian Nations and Tribes





Contact

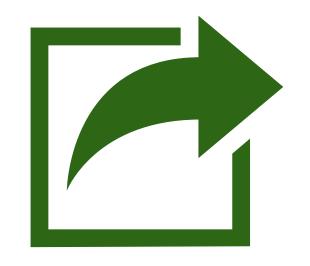
EN Grants POC: <u>Erin McGown</u> (US EPA)

EN Grants General: <u>ENGrantProgram@epa.gov</u>

EPA Tribal Questions: Beth Jackson (US EPA)

Regional EPA POCs: Regional EN Coordinators

Please feel free to send any questions or program/webinar feedback on the EN Grant Program to Erin McGown OR ENGrantProgram@epa.gov







Thank you!

Questions?