

DEBARMENT AND SUSPENSION: CHECKING FOR SAM EXCLUSIONS

Similar to how the WIFIA program checks before providing loans to a WIFIA borrower, the WIFIA borrower should also conduct exclusion checks for prime contractors that are supporting federally funded projects and ensure their primes are completing checks or requiring certifications of their subcontractors.

STEP 1: LOCATE THE CONTRACTOR’S UNIQUE ENTITY ID (UEID). Entities that conduct work with the federal government or on federally assisted projects are typically registered and have a UEID. Prime contractors should have a UEID; however, subcontractors may not. Skip to Step 4. Certifying Debarment and Suspension Status for Subcontractors

STEP 2: OPEN AND LOG-IN TO SAM.GOV. SELECT ENTITY INFORMATION. You can only search entities after logging in to the system. Create an account if it’s your first time using the system.

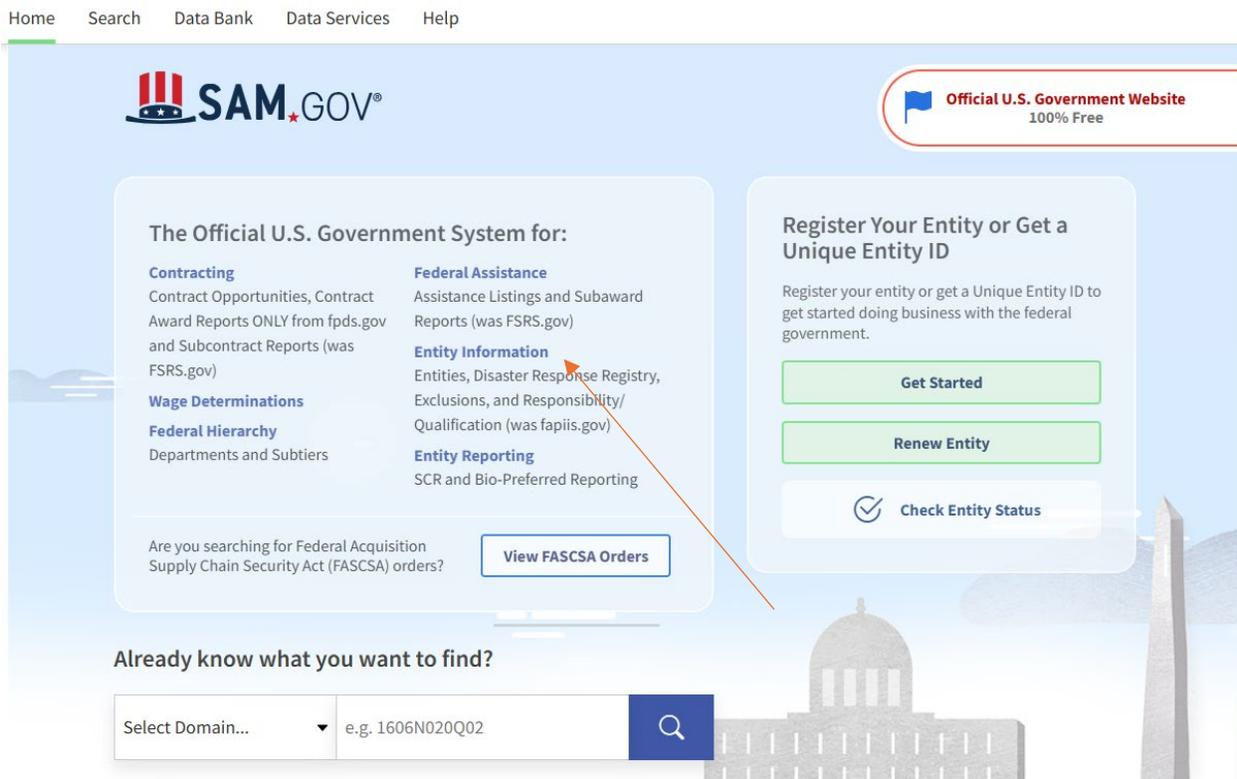


Figure 1. Main page – sam.gov

STEP 3: REVIEW ENTITY’S ACTIVE EXCLUSIONS. You can type in the contractor’s UEID or search by entity name. Click on the entity name in the search results and select “Exclusions” in the navigation tab.

The screenshot shows a web interface for entity data. At the top, there is a navigation bar with a back arrow, 'Core Data', and 'Actions' with a menu icon. On the left, a vertical navigation menu is shown with the following items: Entity Registration, Core Data (selected), Business Information, Entity Types, Financial Information, Points of Contact, Assertions, Reps and Certs (FAR/DFARS), Reps and Certs (Financial Assistance), Exclusions (highlighted with a red arrow), and Responsibility / Qualification. The main content area is titled 'Entity Information' and displays details for 'SOUTH TEXAS WATER TREATMENT LLC' with a green dot indicating 'Active Registration'. The details include: Unique Entity ID: T1WHPJ7KPXA3, CAGE/NCAGE: 9RX61, and Expiration Date: Dec 6, 2025. Below this, there are two columns for Physical Address and Mailing Address, both listing '8200 Cameron RD STE 164, Austin, Texas, 78754-3822, United States'. Further down, it shows Purpose of Registration as 'All Awards' and a Version dropdown menu currently set to 'Current Record'.

Figure 2. Entity Core Data Page

Entity Information

SOUTH TEXAS WATER TREATMENT LLC • Active Registration

Unique Entity ID: T1WHPJ7KPXA3 CAGE/NCAGE: 9RX61

Expiration Date: Dec 6, 2025

Physical Address: 8200 Cameron RD STE 164, Austin, Texas 78754-3822, United States

Mailing Address: 8200 Cameron RD STE 164, Austin, Texas 78754-3822, United States

Purpose of Registration: All Awards

Version: Current Record

EXCLUSIONS

i There may be instances when an individual or firm has the same or similar name as your search criteria, but is actually a different party. Therefore, it is important that you verify a potential match with the excluding agency identified in the exclusion's details. To confirm or obtain additional information, contact the federal agency that took the action against the listed party. Agency points of contact, including name and telephone number, may be found by navigating to the Agency Exclusion POCs page within Help.

Active Exclusions
There are no active exclusion records associated to this entity by its Unique Entity ID.

Inactive Exclusions
There are no inactive exclusion records associated to this entity by its Unique Entity ID.

Figure 3. Exclusions history for Entity

STEP 4: CERTIFYING DEBARMENT AND SUSPENSION STATUS FOR

SUBCONTRACTORS. Subcontractors may not have a UEID and if not, they do not exist in sam.gov. The prime contractor should check whether they can locate the entity on sam.gov using the entity name to determine exclusions. An alternative would be to require the subcontractor to certify that they are not debarred or suspended from federally-funded contracts. There is no specific WIFIA program or government-wide template to request this certification.