



JANET T. MILLS  
GOVERNOR

STATE OF MAINE  
DEPARTMENT OF ENVIRONMENTAL PROTECTION

May 27, 2026



MELANIE LOYZIM  
COMMISSIONER

Mr. Neal Caverly  
Caverly Farms, LLC  
Clinton, ME 04927

***Sent via electronic mail  
Delivery confirmation requested***

***RE: Maine Pollutant Discharge Elimination System (MEPDES) Permit #ME0037109  
Maine Waste Discharge License (WDL) Application #W009033-5S-D-R  
Final Permit/License***

Dear Mr. Caverly:

Enclosed please find a copy of your **final** MEPDES permit and Maine WDL **renewal** which was approved by the Department of Environmental Protection. Please read this permit/license renewal and its attached conditions carefully. Compliance with this permit/license will protect water quality.

Any interested person aggrieved by a Department determination made pursuant to applicable regulations, may appeal the decision following the procedures described in the attached DEP FACT SHEET entitled "*Appeals to the Board of Environmental Protection.*"

If you have any questions regarding the matter, please feel free to call me at 207-458-8706 or email me at [Bekah.Farmer@maine.gov](mailto:Bekah.Farmer@maine.gov).

Your Department compliance inspector copied below is also a resource that can assist you with compliance. Please do not hesitate to contact them with any questions.

Thank you for your efforts to protect and improve the waters of the great state of Maine!

Sincerely,

*Bekah Farmer*

Bekah Farmer  
Division of Water Quality Management  
Bureau of Water Quality

Enc.

cc: Laura Crossley, DEP  
Gregg Wood, DEP  
Irene Saumur, DEP  
Richard Carvalho, USEPA

James Knight, DEP  
Lori Mitchell, DEP  
Mark Hedrich, DACF  
Kathryn Rosenberg, USEPA

Wendy Garland, DEP  
Megan Griffin, DEP  
Sandy Mojica, USEPA



**STATE OF MAINE**  
**DEPARTMENT OF ENVIRONMENTAL PROTECTION**  
 17 STATE HOUSE STATION | AUGUSTA, MAINE 04333-0017  
**DEPARTMENT ORDER**

**IN THE MATTER OF**

CAVERLY FARMS, LLC.	)	MAINE POLLUTANT DISCHARGE
CLINTON, KENNEBEC COUNTY, MAINE	)	ELIMINATION SYSTEM PERMIT
CONCENTRATED ANIMAL FEEDING	)	
OPERATION	)	AND
ME0037109	)	WASTE DISCHARGE LICENSE
W009033-5S-D-R	)	<b>RENEWAL</b>
<b>APPROVAL</b>		

In compliance with the applicable provisions of *Pollution Control*, 38 M.R.S. §§ 411-424-C, *Water Classification* Program, 38 M.R.S. §§ 464-470, and *Federal Water Pollution Control Act*, Title 33 U.S.C. § 1251, *et seq.*, and applicable rules of the Department of Environmental Protection (“Department”), the Department has considered the application of CAVERLY FARMS LLC, (“permittee”), with its supportive data, agency review comments, and other related materials on file and FINDS THE FOLLOWING FACTS:

**APPLICATION SUMMARY**

On August 20, 2025, the Department accepted as complete for processing an application from Caverly Farms, LLC for renewal of combination Waste Discharge License (WDL) W009033-5S-C-R / Maine Pollutant Discharge Elimination System (MEPDES) permit ME0037109, which was issued by the Department on June 1, 2020 for a five-year term. The 6/1/20 permit authorized the permittee to manage process wastewater and stormwater runoff that is generated by the operation of a concentrated animal feeding operation (CAFO) which discharges stormwater to Kennebec River, Class C, in Clinton, Maine. The permittee is required to manage the facility such that there is no discharge of process wastewater to surface waters during precipitation events that are less than a 25-year, 24-hour storm event, including runoff and direct precipitation.

**PERMIT SUMMARY**

This permitting action is carrying forward the previously established requirements to implement and maintain Best Management Practices (BMPs) to prevent discharges to water of the State of Maine, and implement and keep current an approved Nutrient Management Plan in accordance with Maine Department of Agriculture, Conservation and Forestry (DACF) *Nutrient Management Rules*, 01-001 C.M.R. Ch. 565 §6 (last amended July 3, 2018). On April 21, 2020, the DACF issued a Livestock Operation Permit (LOP) pursuant to *Nutrient Management Act*, 7 M.R.S. §4204 and §4205 for the permittee’s facility.

Changes to this permit include the following:

1. Updates to Special Condition A, *Definitions*, in accordance with 40 C.F.R. §§ 122 and 412;
2. Updates to Special Condition C, *Nutrient Management Plan*, to ensure the proper management of mortalities in accordance with DACF 01-001 C.M.R. Ch. 211;
3. Reorganization of Special Conditions for clarity;

**PERMIT SUMMARY (cont'd)**

4. Establishment of Special Condition D, *Additional Best Management Practice*; Special Condition E, *Transfer of Manure or Wastewater*; Special Condition F, *Inspections and Corrective Actions*; and Special Condition G, *Record Keeping*; and
5. Updates to Special Condition J, *Facility Closure*, in accordance with 06-096 C.M.R. Ch. 550.

**CONCLUSIONS**

BASED on the findings in the attached Fact Sheet dated May 27, 2026, and subject to the Conditions listed below, the Department makes the following CONCLUSIONS:

1. Discharges, either by itself or in combination with other discharges, will not lower the quality of any classified body of water below such classification.
2. Discharges, either by itself or in combination with other discharges, will not lower the quality of any unclassified body of water below the classification which the Department expects to adopt in accordance with state law.
3. The provisions of the State's antidegradation policy, *Classification of Maine waters*, 38 M.R.S., Section 464(4)(F), will be met, in that:
  - a. Existing in-stream water uses and the level of water quality necessary to protect and maintain those existing uses will be maintained and protected;
  - b. Where high quality water of the State constitute an outstanding national resource, that water quality will be maintained and protected;
  - c. Where the standards of classification of the receiving water body are met or not met, the discharge will not cause or contribute to the failure of the water body to meet standards of classification;
  - d. Where the actual quality of any classified receiving water body exceeds the minimum standards of the next highest classification, that higher quality will be maintained and protected; and
  - e. Where a discharge will result in lowering the existing quality of any water body, the Department has made the finding, following the opportunity for public participation, that this action is necessary to achieve important economic or social benefits to the State.
4. Discharges will be subject to effluent limitations that require application of best practicable treatment as defined in *Conditions of licenses*, 38 M.R.S. § 414-A(1)(D).

**ACTION**

THEREFORE, the Department APPROVES the above noted application of CAVERLY FARMS, LLC, to discharge stormwater to KENNEBEC RIVER, CLASS C and manage process wastewater generated by the operation of a CAFO located in CLINTON, MAINE, such that there are no discharge(s) to surface waters resulting from precipitation events that are less than a 25-year, 24-hour storm event. The permittee is SUBJECT TO THE ATTACHED CONDITIONS, and all applicable standards and regulations including:

1. "Maine Pollutant Discharge Elimination System Permit Standard Conditions Applicable To All Permits," revised July 1, 2002, copy attached.
2. The attached Special Conditions, including any effluent limitations and monitoring requirements.
3. This permit becomes effective upon the date of signature below and expires at midnight five (5) years after that date. If a renewal application is timely submitted and accepted as complete for processing prior to the expiration of this permit, the terms and conditions of this permit and all subsequent modifications and minor revisions thereto remain in effect until a final Department decision on the renewal application becomes effective. [*Maine Administrative Procedure Act*, 5 M.R.S. § 10002 and Department Rule *Concerning the Processing of Applications and Other Administrative Matters*, 06-096 C.M.R. Ch. 2 § 20(A) (effective September 15, 2024)].

PLEASE NOTE THE ATTACHED SHEET FOR GUIDANCE ON APPEALS PROCEDURES

DONE AND DATED AT AUGUSTA, MAINE, THIS 27 DAY OF May, 2026,  
DEPARTMENT OF ENVIRONMENTAL PROTECTION

BY: Brian Kavanah  
~~For Melanie Loyzim, Commissioner~~

Date of initial receipt of application: August 8, 2025

Date of application acceptance: August 20, 2025

This order prepared by Bekah Farmer, BUREAU OF WATER QUALITY

## **SPECIAL CONDITIONS**

### **A. DEFINITIONS**

Definitions are in accordance with definitions found in 40 Code of Federal Regulations (C.F.R.) §§ 412.2 and 412.4 and 01-001 C.M.R. Ch. 565.

1. Process-generated wastewater or wastewater means water directly or indirectly used in the operation of the CAFO for any or all of the following: spillage or overflow from animal watering systems; washing, cleaning, or flushing pens, barns, manure pits, or other CAFO facilities; direct contact swimming, washing, or spray cooling of animals; or dust control. Process wastewater also includes any water which comes into contact with any raw materials, products, or byproducts including manure, litter, feed, milk, or bedding.
2. Production area means that part of a CAFO that includes the animal confinement area, the manure storage area, the raw materials storage area, and the waste containment areas. The animal confinement area includes but is not limited to open lots, housed lots, feedlots, confinement houses, stall barns, free stall barns, milk rooms, milking centers, cowyards, barnyards, medication pens, walkers, animal walkways, and stables. The manure storage area includes but is not limited to lagoons, runoff ponds, storage sheds, stockpiles, under house or pit storages, liquid impoundments, static piles, and composting piles. The raw materials storage area includes but is not limited to feed silos, silage bunkers, and bedding materials. The waste containment area includes but is not limited to settling basins and areas within berms and diversions which separate uncontaminated storm water. Also included in the definition of production area is any area used in the storage, handling, treatment, or disposal of mortalities.
3. Retention facility or retention structures or wastewater facility means all collection ditches, conduits and swales for the collection of runoff and wastewater, and all basins, ponds and lagoons used to store waste, wastewater and manure.
4. Stormwater means stormwater runoff or snow melt runoff that does not come into contact or co-mingle with process wastewater.
5. 25-year, 24-hour rainfall event means a precipitation event with a probable recurrence interval of once in twenty-five years as defined by the National Weather Service in Technical Paper No. 40, "*Rainfall Frequency Atlas of the United States*," May, 1961 pg. 54, or equivalent regional or State rainfall probability information developed from this source. This statistic for Clinton, Maine is 4 inches of rain in a 24-hour period.

### **B. DISCHARGE LIMITATIONS**

Each of the following minimum standards is designed to achieve the objective of preventing discharges of pollutants to waters of the State of Maine from CAFOs and from land application activities under the operational control of the CAFO. Minimum standards or portions of minimum standards are to be implemented on the effective date of the permit. In addition to these minimum standards, permittees are also required to comply with other applicable technology-based and water quality-based effluent limitations of this permit.

In accordance with 40 C.F.R. § 412, the permittee must achieve the following effluent limitations representing the application of the best practicable control technology currently

## **SPECIAL CONDITIONS**

### **B. DISCHARGE LIMITATIONS (cont'd)**

available (BPT), best conventional pollutant control technology (BCT), and best available technology economically achievable (BAT):

#### 1. Production areas.

Discharges of process wastewater are prohibited unless the discharge is the result of a 25-year, 24-hour storm precipitation event. There must be no discharge of manure, litter, or process wastewater pollutants into waters of the State from the production area, except when the production area is properly designed, constructed, operated and maintained to contain all manure, litter, and process wastewater and other pollutants including the runoff and the direct precipitation from a 25-year, 24-hour rainfall event. At a minimum, the design storage volume of the manure lagoon must be adequate to contain the following:

- i. The volume of manure, litter and process wastewater, and other wastes accumulated during the storage period;
- ii. Normal precipitation less evaporation during the storage period;
- iii. Normal runoff during the storage period;
- iv. The direct precipitation from less than a 25-year, 24-hour storm event;
- v. The runoff from less than a 25-year, 24-hour storm event from the production area;
- vi. Residual solids after liquid has been removed;
- vii. Necessary freeboard to maintain structural integrity; and
- viii. A minimum treatment volume, in the case of treatment lagoons.

#### 2. Land application areas

- i. There must be no discharge of manure, litter, or process wastewater to waters of the State as a result of application of stated materials to land areas under the control of the permittee, except where it is agricultural stormwater runoff. An agricultural stormwater discharge occurs where manure, litter or process wastewater has been applied in accordance with the terms and conditions of the NMP and there is a precipitation related discharge of these materials from land areas under the control of the permittee.
- ii. Discharges of stormwater must;
  - a. Not contain a visible oil sheen, foam or floating solids in the receiving waters at any time which would impair the usages designated for the classification of the receiving waters;
  - b. Not contain materials in concentrations or combinations which are hazardous or toxic to aquatic life, or which would impair the usages designated for the classification of the receiving waters;
  - c. Not cause visible discoloration or turbidity in the receiving waters which would impair the usages designated for the classification of the receiving waters; and
  - d. Notwithstanding specific conditions of this permit, discharges must not lower the quality of any classified body of water below such classification, or lower the existing quality of any body of water if the existing quality is higher than the classification.

## SPECIAL CONDITIONS

### C. NUTRIENT MANAGEMENT PLAN

In accordance with 40 C.F.R. §§ 122 and 412 and Maine Department of Agriculture, Conservation and Forestry (DACF) Rule 01-001 Ch. 565, the permittee must maintain and implement a nutrient management plan that, at a minimum, contains best management practices necessary to meet the requirements of this section and applicable effluent limitations and standards, including those specified in this permit.

1. NMP development and maintenance
  - i. The permittee must maintain a copy of the NMP on site and be made available to the Department upon request.
  - ii. The Nutrient Management Plan must be updated at least once each year and must be approved by a certified nutrient management plan specialist at least every five years.
2. Necessary Best Management Practices.
  - i. Production area BMPs
    - a. Ensure proper management of mortalities (*i.e.*, dead animals) to ensure they are not disposed of in a liquid manure or process wastewater storage or treatment system that is not specifically designed to treat animal mortalities, and must be handled in accordance with the DACF Ch. 211, *Rules for the Disposal of Animal Carcasses*, to prevent the discharge of pollutants to waters of the State;
    - b. Ensure that clean water is diverted, as appropriate, from the production area. Clean water includes, but is not limited to, rain falling on the roofs of facilities and runoff from adjacent land. Any clean water that is not diverted and comes into contact with raw materials, products or by-products including manure, litter, process wastewater, feed, milk or bedding materials is subject to effluent limitations in Special Condition B, *Discharge Limitations*, of this permit;
    - c. Prevent direct contact of confined animals with waters of the State;
    - d. Ensure that chemicals and other contaminants handled on-site are not disposed of in any manure, litter, process wastewater, or stormwater storage or treatment system unless specifically designed to treat such chemicals and other contaminants. Examples of chemicals include, but are not limited to, pesticides, hazardous and toxic chemicals, and petroleum products and byproducts;
    - e. Identify protocols for appropriate testing of manure, litter, process wastewater, and soil;
    - f. Establish protocols for land application of manure, litter or process wastewater in accordance with site specific nutrient management practices that ensure appropriate agricultural utilization of the nutrients in the manure, litter or process wastewater;
    - g. Identify specific records that will be maintained to document the implementation and management of the minimum elements described in the above section;
    - h. Ensure the implementation of adequate procedures to ensure proper operation and maintenance of the storage facilities. Store dry manure in production

## **SPECIAL CONDITIONS**

### **C. NUTRIENT MANAGEMENT PLAN (cont'd)**

buildings or in storage facilities or otherwise store or modify the site (e.g. berms, buffers) in such a way as to prevent polluted runoff (e.g., located on relatively flat land, away from water bodies, wetlands, and wells, and/or surrounded by a berm or buffer). Provide adequate

storage capacity in the manure storage structures for the typical quantity of manure generated over a 180-day period of time beginning December 1<sup>st</sup> of each year and maintain adequate storage for 10 days in the production area on a year-round basis;

- i. Ensure the presence and maintenance of buffer strips or other equivalent practices near feedlots, manure storage areas, and land application areas that are sufficient to minimize discharge of pollutants to surface waters of the State of Maine (e.g., soil erosion and manure and wastewater). These practices may include, but are not limited to, residue management, conservation crop rotation, grassed waterways, strip cropping, vegetative buffers, forested riparian buffers, terracing, and diversion; and
  - j. All open surface liquid impoundments must have a depth marker which clearly indicates the minimum capacity necessary to contain the runoff and direct precipitation of an event less than a 25-year, 24-hour rainfall event.
- ii. Land application BMPs
    - a. Determination of application rates. Application rates for manure, litter, and other process wastewater applied to land under the ownership or operational control of the CAFO must minimize phosphorus and nitrogen transport from the field to surface waters in compliance with the technical standards for nutrient management established by DACF in 01-001 C.M.R. Ch. 565. The NMP must address rates of application using one of the following two approaches:
      1. Linear approach. An approach that expresses rates of application as pounds of nitrogen and phosphorus, according to the following specifications:
        - i. The terms include maximum application rates from manure, litter, and process wastewater for each year of permit coverage, for each crop identified in the nutrient management plan, in chemical forms in pounds per acre, per year, for each field to be used for land application, and certain factors necessary to determine such rates. At a minimum, the factors that are terms must include: The outcome of the field-specific assessment of the potential for nitrogen and phosphorus transport from each field; the crops to be planted in each field or any other uses of a field such as pasture or fallow fields; the realistic yield goal for each crop or use identified for each field; the nitrogen and phosphorus recommendations for each crop or use identified for each field; credits for all nitrogen in the field that will be plant available; consideration of multi-year phosphorus application; and accounting for all other additions of plant available nitrogen and phosphorus to the field. In addition, the terms include the form and source of manure, litter, and process wastewater to be land-applied; the timing and method of land application; and the methodology by which the nutrient management plan accounts

## **SPECIAL CONDITIONS**

### **C. NUTRIENT MANAGEMENT PLAN (cont'd)**

for the amount of nitrogen and phosphorus in the manure, litter, and process wastewater to be applied.

- II. Large CAFOs, defined in this permit as more than 700 confined mature dairy cattle, that use this approach must calculate the maximum amount of manure, litter, and process wastewater to be land applied at least once each year using the results of the most recent representative manure, litter, and process wastewater tests for nitrogen and phosphorus taken within 12 months of the date of land application; or
2. Narrative rate approach. An approach that expresses rates of application as a narrative rate of application that results in the amount, in tons or gallons, of manure, litter, and process wastewater to be land applied, according to the following specifications:
    - I. The terms include maximum amounts of nitrogen and phosphorus derived from all sources of nutrients, for each crop identified in the nutrient management plan, in chemical forms in pounds per acre, for each field, and certain factors necessary to determine such amounts. At a minimum, the factors that are terms must include: the outcome of the field-specific assessment of the potential for nitrogen and phosphorus transport from each field; the crops to be planted in each field or any other uses such as pasture or fallow fields, the realistic yield goal for each crop or use identified for each field; and the nitrogen and phosphorus recommendations for each crop or use identified for each field. In addition, the terms include the methodology by which the nutrient management plan accounts for the following factors when calculating the amounts of manure, litter, and process wastewater to be land applied: results of soil tests conducted in accordance with protocols identified in the nutrient management plan; credits for all nitrogen in the field that will be plant available; the amount of nitrogen and phosphorus in the manure, litter, and process wastewater to be applied; consideration of multi-year phosphorus application; accounting for all other additions of plant available nitrogen and phosphorus to the field; the form and source of manure, litter, and process wastewater; the timing and method of land application; and volatilization of nitrogen and mineralization of organic nitrogen.
    - II. The terms of the nutrient management plan include alternative crops identified in the CAFO's nutrient management plan that are not in the planned crop rotation. Where a CAFO includes alternative crops in its nutrient management plan, the crops must be listed by field, in addition to the crops identified in the planned crop rotation for that field, and the nutrient management plan must include realistic crop yield goals and the nitrogen and phosphorus recommendations for each crop. Maximum amounts of nitrogen and phosphorus from all sources of nutrients and the amounts of manure, litter, and process wastewater to be applied must be determined in accordance with the methodology described in paragraph C(1)(b)(1) of this section.

## **SPECIAL CONDITIONS**

### **C. NUTRIENT MANAGEMENT PLAN (cont'd)**

- III. For CAFOs using this approach, the following projections must be included in the nutrient management plan submitted to the Department and DACF, but are not terms of the nutrient management plan: The CAFO's planned crop rotations for each field for the period of permit coverage; the projected amount of manure, litter, or process wastewater to be applied; projected credits for all nitrogen in the field that will be plant available; consideration of multi-year phosphorus application; accounting for all other additions of plant available nitrogen and phosphorus to the field; and the predicted form, source, and method of application of manure, litter, and process wastewater for each crop. Timing of application for each field, insofar as it concerns the calculation of rates of application, is not a term of the nutrient management plan.
- IV. CAFOs that use this approach must calculate maximum amounts of manure, litter, and process wastewater to be land applied at least once each year using the methodology required in paragraph C(1)(b)(1) of this section before land applying manure, litter, and process wastewater and must rely on the following data:
  - A. A field-specific determination of soil levels of nitrogen and phosphorus, including, for nitrogen, a concurrent determination of nitrogen that will be plant available consistent with the methodology required by paragraph C(1)(b)(1) of this section, and for phosphorus, the results of the most recent soil test conducted in accordance with soil testing requirements; and
  - B. The results of most recent representative manure, litter, and process wastewater tests for nitrogen and phosphorus taken within 12 months of the date of land application, in order to determine the amount of nitrogen and phosphorus in the manure, litter, and process wastewater to be applied.
- b. Manure and soil sampling according to 40 C.F.R. Part 412.4.
  1. Manure must be analyzed a minimum of once annually for nitrogen and phosphorus content.
  2. Soil must be analyzed a minimum of once every five years for phosphorus content.
  3. The results of these analyses are to be used in determining application rates for manure, litter, and other process wastewater.
  4. Upon request by the Department and or the DACF, the permittee may be required to conduct, collect, and analyze samples including but not limited to soils, surface water, ground water, and/or stored waste in a manner and frequency specified by the Department and/or DACF.
- c. Setback requirements. In accordance with 40 C.F.R. § 412.4(c)(5), the CAFO must provide and maintain buffer strips or other equivalent practices near feedlots, manure storage areas, and land application areas that are sufficient to minimize discharge of pollutants to surface waters of the State of Maine (e.g.,

## **SPECIAL CONDITIONS**

### **C. NUTRIENT MANAGEMENT PLAN (cont'd)**

soil erosion and manure and wastewater). These equivalent practices may also include, but are not limited to, residue management, conservation crop rotation, grassed waterways, strip cropping, vegetative buffers, forested riparian buffers, terracing, and diversion.

3. Changes to the NMP. Any changes to the NMP made after the date of signature of this permit must be submitted to the Department and DACF contacts in Special Condition H, *Annual Reporting* of this permit for review to determine whether the changes are substantial and whether the changes necessitate revisions to terms and/or conditions of this permit. If revisions to the permit are necessary, this permit will be re-opened pursuant to Special Condition K, *Reopening of Permit For Modifications*, to incorporate applicable terms and conditions.

### **D. ADDITIONAL BEST MANAGEMENT PRACTICES**

Employee Training: Where employees are responsible for work activities that relate to permit compliance, those employees must be regularly trained or informed of any information regarding the proper operation and maintenance of the facility and waste disposal. Training must include topics as appropriate such as land application of wastes, proper operation and maintenance of the facility, good housekeeping and material management practices, necessary record keeping requirements, and spill response and clean up. The permittee is responsible for determining and providing the appropriate training frequency for different levels of personnel and maintaining records of the training provided.

### **E. TRANSFER OF MANURE OR WASTEWATER**

In accordance with 40 C.F.R. § 412, prior to transferring manure, litter or process wastewater to other persons, the permittee must provide the recipient of the manure, litter or process wastewater with the most current nutrient analysis conducted. The permittee must retain for five years records of the date, recipient name and address, and approximate amount of manure, litter or process wastewater transferred to another person.

### **F. INSPECTIONS AND CORRECTIVE ACTIONS**

1. Visual inspections. In accordance with 40 C.F.R. § 412.37, there must be routine visual inspections of the CAFO production area. At a minimum, the following must be visually inspected:
  - i. Daily inspections and inspections subsequent to any rain event of equipment and facility areas;
  - ii. Daily inspection of water lines, including drinking water or cooling water lines;
  - iii. Weekly inspections of the manure, litter, and process wastewater impoundments; the inspection will note the level in liquid impoundments as indicated by the depth marker in Special Condition C(2)(i)(j);
  - iv. Once every two weeks visual inspections of all dry manure storage and manure handling and distribution equipment and systems, food storage operations, and all manure runoff management devices; and

## SPECIAL CONDITIONS

### F. INSPECTIONS AND CORRECTIVE ACTIONS (cont'd)

- v. Periodic inspections of leaks on equipment used for land application of manure, litter, or process wastewater.
2. Corrective actions. Any deficiencies found as a result of these inspections must be corrected as soon as possible and within 90 days of discovery or as otherwise approved by the Department.

### G. RECORD KEEPING

In accordance with 40 C.F.R. §§ 122.21(h), 122.42(e), and 412, the permittee must maintain on-site for a period of five years from the date they are created a complete copy of records specified in this section. The permittee must make these records available to the Department for review upon request.

1. Record keeping requirements for the production area
  - i. Records documenting inspections outlined in Special Condition F, *Inspections and Corrective Actions*;
  - ii. Records documenting the current design of any manure, process wastewater, or litter storage structures, including volume for solids accumulation, type of containment and storage, design treatment volume, total design volume, and approximate number of days of storage capacity in tons or gallons;
  - iii. Records of the date, time, and estimated volume of any spill or overflow;
  - iv. The permittee must maintain a precipitation gauge at the facility and record the rainfall for each 24-hour period between April 1 and May 30 and October 1 through October 30 of each year.
2. Record keeping requirements for the land application area
  - i. Complete on-site records including the site specific NMP requirements must be maintained to document implementation of all required land application practices. Such documentation must include the records specified for Soil and Manure/Wastewater Nutrient Analyses and Land Application.

### H. ANNUAL REPORTING

In accordance with 40 C.F.R. §122.42(e)(4), the permittee must submit **on or before December 31<sup>st</sup> of each year (ICIS code PR003)** an annual report to the Department and DACF at the addresses below that at a minimum, includes the following information:

1. The number and type of animals, whether in open confinement or housed under roof;
2. Estimated amount of total manure, litter and process wastewater generated by the CAFO in the previous 12 months in tons or gallons;
3. Estimated amount of total manure, litter and process wastewater transferred to other persons by the CAFO in the previous 12 months in tons or gallons;
4. Total number of acres of land application covered by the NMP;
5. Total number of acres under the control of the permittee that were used for land application of manure, litter and process wastewater in the previous 12 months;

## **SPECIAL CONDITIONS**

### **H. ANNUAL REPORTING (cont'd)**

6. Summary of all manure, litter and process wastewater discharges from the production area that have occurred in the previous 12 months including date, time, and approximate volume;
7. A statement indicating whether the current version of the CAFO NMP was developed by a certified nutrient management planning specialist;
8. Actual crops planted and actual yields of each field for the preceding 12 months;
9. Results of all samples of manure, litter and process wastewater for nitrogen and phosphorus content for manure, litter and process wastewater that was land applied;
10. Results of calculations conducted in accordance with Linear Approach or Narrative Rate Approach; and
11. Amount of manure, litter and process wastewater applied to each field during the preceding 12 months.

Contact information:

Maine Department of Agriculture,  
Conservation, and Forestry  
Attn: Nutrient Management Program  
Manager  
Division of Animal and Plant Health  
28 State House Station  
Augusta, Maine 04330-0028  
Telephone: (207)-287-7608

Maine Department of Environmental  
Protection  
Attn: Compliance Inspector  
Bureau of Water Quality  
Division of Water Quality Management  
17 State House Station  
Augusta, Maine 04333  
Telephone: (207) 287-7688

### **I. DISCHARGES**

If, for any reason, there is a discharge of process wastewater from the facility to surface water, non-compliance with this permit, any other discharge from storage tanks, storage bunkers, other wastewater storage structures, or feed storage operations, or a discharge that may endanger human health or the environment, the permittee is required to 1) sample and analyze the discharge and 2) notify the Department and DACF.

#### 1. Sampling and analysis

- i. Sampling and analysis of all discharges must be completed. Samples must, at minimum, be analyzed for the following parameters:
  - a. Fecal coliform bacteria
  - b. Total suspended solids (TSS)
  - c. Ortho-phosphorus
  - d. Total Kjeldahl nitrogen (TKN) as nitrogen
  - e. pH
  - f. Five-day biochemical oxygen demand ( $BOD_5$ )
  - g. Total phosphorus as phosphorus
  - h. Ammonia-nitrogen as nitrogen
  - i. Nitrate and Nitrite as nitrogen
- ii. Samples must consist of grab samples collected from the overflow or discharges from the retention structure. A minimum of one sample must be collected from the

## **SPECIAL CONDITIONS**

### **I. DISCHARGES (cont'd)**

initial discharge (within 30 minutes or upon discovery). The sample must be collected and analyzed in accordance with USEPA approved methods for water analysis listed in 40 C.F.R. § 136 and using a method with the lowest minimum level. Samples collected for the purpose of monitoring must be representative of the monitored discharge. If more than one sample is collected during the discharge, the samples may be composited (with the exception of pH and fecal coliform bacteria) when analyzed for the parameters above. Monitoring results must be submitted to the DACF and Department at the addresses listed in Special Condition H, *Annual Reporting*, within 30 days of the discharge event.

#### **2. Notification.**

The permittee is required to perform verbal notification (within 24 hours) and written notification (within 5 days) to the addresses listed for the Department and DACF in Special Condition H, *Annual Reporting*. In addition, the permittee must keep a copy of the notification submitted to the Department and DACF together with the Nutrient Management Plan required by Special Condition C of this permit.

##### **i. The discharge notification must contain the following information:**

- a. Description of the discharge: A description and cause of the discharge, including a description of the flow path to the receiving water body and an estimation of the flow and volume discharged;
- b. Time of the discharge: The period of discharge, including exact dates and times, and the anticipated time the discharge is expected to continue;
- c. Cause of the discharge: If caused by precipitation event(s), information from the onsite rain gauge required by Special Condition G(1)(iv) of this permit concerning the size of the precipitation event must be provided; and
- d. Remediation: Steps being taken to reduce, eliminate and prevent the recurrence of the non-complying circumstances or discharges.

### **J. FACILITY CLOSURE**

According to Department Rule 06-096 Ch. 550 (effective May 4, 1996), the following conditions must apply to the closure of lagoons and other earthen or synthetic lined basins and manure, litter and process wastewater storage and handling structures:

#### **1. Definitions**

- i. Permanent Discontinuance means the cessation of depositing wastewater into lagoons for more than ninety (90) days.
- ii. Temporary Discontinuance means the cessation of depositing wastewater into lagoons for ninety (90) or less days.

#### **2. Operation of Lagoons and Other Surface Impoundments**

- i. Lagoons or other earthen or synthetic lined basins must be maintained at all times until closed in compliance with this section.
- ii. All lagoons or other earthen or synthetic lined basins must be properly closed if the permittee ceases operation.

## **SPECIAL CONDITIONS**

### **J. FACILITY CLOSURE (cont'd)**

3. Discontinuances of Lagoons and Other Surface Impoundments
  - i. All closure of lagoons and other earthen or synthetic basins must be consistent with *Discontinuance of Wastewater Treatment Lagoons*, 06-096 C.M.R. Ch. 550. Consistent with that standard, the permittee must remove all waste materials to the maximum extent practicable and dispose of them in accordance with the permittee's NMP, unless otherwise authorized by the Department and DACF.
  - ii. Temporary Discontinuance
    - a. No later than fifteen (15) days after wastewaters have ceased to enter the lagoon, any person who discontinues the use of a lagoon shall give notice to the Commissioner, in writing.
    - b. Notices of discontinuance shall be accompanied by a reclamation plan and/or maintenance plan satisfactory to the Commissioner. The method of waste disposal and the selection of a waste disposal area shall be in accordance with applicable State laws and regulations.
  - iii. Permanent Discontinuance
    - a. Any lagoon or other earthen or synthetic lined basin that is not in use for a period of 90 consecutive days must be properly closed unless:
      1. The facility is financially viable, intends to resume use of the structure at a later date, and either
        - I. Maintains the structure as though it were actively in use, to prevent compromise of structural integrity; or
        - II. Removes manure and wastewater to a depth of one foot or less and refills the structure with clean water to preserve the integrity of the synthetic or earthen liner.
    - b. In either case, the permittee must notify the Department and DACF of the action taken and must conduct routine inspections, maintenance and record keeping as though the structure were in use.
    - c. Before restoration or use of the structure, the permittee must notify the Department and DACF and provide the opportunity for inspection.
    - d. Unless otherwise authorized by the Department or USEPA, completion of the closure of the lagoon(s) and other earthen or synthetic lined basins must occur as promptly as practicable after the permittee ceases to operate or, if the permittee has not ceased operations, 12 months from the date on which the use of the structure ceased, unless the lagoons or basins are being maintained for possible future use in accordance with the requirements above.
4. Closure Procedures for Other Manure, Litter or Process Wastewater Storage and Handling Structures.
  - i. No other manure, litter or process wastewater storage and handling structure must be abandoned without following proper closure procedures.

## **SPECIAL CONDITIONS**

### **J. FACILITY CLOSURE (cont'd)**

- ii. Closure of all such structures must occur as promptly as practicable after the permittee has ceased to operate, or, if, the permittee has not ceased to operate, within 12 months after the date on which the use of the structure ceased.
- iii. To close a manure, litter or process wastewater storage and handling structure, the permittee must remove all manure, litter, or process wastewater and dispose of it in accordance with the permittee's NMP, or document its transfer from the permittee's facility in accordance with off-site transfer requirements of manure or process wastewater as specified in this permit, unless otherwise authorized by the Department and DACF.

### **K. REOPENING OF PERMIT FOR MODIFICATIONS**

In accordance with 38 M.R.S. § 414-A(5) and upon evaluation of the test results required by the Special Conditions of this permitting action, new site specific information, or any other pertinent test results or information obtained during the term of this permit, the Department may, at any time and with notice to the permittee, modify this permit to: (1) include effluent limitations necessary to control specific pollutants or whole effluent toxicity where there is a reasonable potential that the effluent may cause water quality criteria to be exceeded; (2) require additional monitoring if results on file are inconclusive; or (3) change monitoring requirements or limitations based on new information.

### **L. SEVERABILITY**

In the event that any provision or part thereof of this permit is declared to be unlawful by a reviewing court, the remainder of the permit must remain in full force and effect and must be construed and enforced in all aspects as if such unlawful provision, or part thereof, had been omitted, unless otherwise ordered by the court.

**MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT  
MAINE WASTE DISCHARGE LICENSE**

**FACT SHEET**

Date: May 27, 2026

PERMIT NUMBER: **ME0037109**

LICENSE NUMBER: **W009033-5S-D-R**

NAME AND ADDRESS OF APPLICANT: **Caverly Farms, LLC.  
1430 River Road  
Clinton, Maine 04927**

COUNTY: **Kennebec**

NAME AND ADDRESS WHERE DISCHARGE OCCURS: **Caverly Farms, LLC.  
1477 River Road  
Clinton, Maine 04927**

RECEIVING WATER/CLASSIFICATION: **Kennebec River/Class C**

COGNIZANT OFFICIAL AND TELEPHONE NUMBER: **Mr. Neal Caverly  
[nfcaverly@gmail.com](mailto:nfcaverly@gmail.com)  
(207)-314-2397**

**1. APPLICATION SUMMARY**

- a. Application: On August 20, 2025, the Department accepted as complete for processing an application from Caverly Farms, LLC for renewal of combination Waste Discharge License (WDL) W009033-5S-C-R / Maine Pollutant Discharge Elimination System (MEPDES) permit ME0037109, which was issued by the Department on June 1, 2020 for a five-year term. The 6/1/20 permit authorized the permittee to manage process wastewater and stormwater runoff that is generated by the operation of a concentrated animal feeding operation (CAFO) which discharges stormwater to Kennebec River, Class C, in Clinton, Maine. The permittee is required to manage the facility such that there is no discharge of process wastewater to surface waters during precipitation events that are less than a 25-year, 24-hour storm event, including runoff and direct precipitation.
- b. Source description: The Caverly Farm is considered a medium CAFO as the facility has approximately 600 mature dairy cattle. Waters that originate outside of and pass over, across, through, or otherwise come into direct contact with the animals confined in the operation are not discharged into waters of the State. The animals are confined on a year-round basis in numerous large barns with open-air side walls and fully covered with roofs. Some stormwater runoff and most of the process waste waters generated in the vicinity of the barns and milking parlor are directed to a National Resource Conservation Service (NRCS) designed manure waste storage facility. The storage facility is an earthen bermed structure measuring 150 feet x 450 feet x 14 feet with a working capacity (one foot of freeboard) of approximately 579,725 cubic feet (cf) providing 180 days of storage. The working capacity of the pit takes into consideration annual precipitation, evaporation, 25-year, 24-hour storm events and the ability to maintain at least 1.0 feet of freeboard. See **Attachment A** of this fact Sheet for a map of the farm.

## 1. APPLICATION SUMMARY (cont'd)

The DACF has determined that the manure storage facility is designed and capable of capturing a 25 year, 24-hour rainfall event. Manure is spread on various fields owned and or leased by The Wright Place or the Rogers Farm as permitted by the Nutrient Management Law, 7 M.R.S. § 747.

## 2. PERMIT SUMMARY

### a. Terms & conditions:

This permitting action is carrying forward the previously established requirements to implement and maintain Best Management Practices (BMPs) to prevent discharges to waters of the State of Maine, and implement and keep current an approved Nutrient Management Plan in accordance with Maine Department of Agriculture, Conservation and Forestry (DACF) *Nutrient Management Rules*, 01-001 C.M.R. Ch. 565 §6 (last amended July 3, 2018). On April 21, 2020, the DACF issued a Livestock Operation Permit (LOP) pursuant to *Nutrient Management Act*, 7 M.R.S. §§ 4204 and 4205 for the permittee's facility.

Changes to this permit include the following:

1. Updates to Special Condition A, *Definitions* in accordance with 40 C.F.R. §§ 122 and 412;
2. Updates to Special Condition C, *Nutrient Management Plan*, to ensure the proper management of mortalities in accordance with DACF 01-001 C.M.R. Ch. 211;
3. Reorganization of Special Conditions for clarity;
4. Establishment of Special Condition D, *Additional Best Management Practice*; Special Condition E, *Transfer of Manure or Wastewater*; Special Condition F, *Inspections and Corrective Actions*; and Special Condition G, *Record Keeping*; and
5. Updates to Special Condition J, *Facility Closure*, in accordance with 06-096 C.M.R. Ch. 550.

### b. History: The most recent relevant permitting/license and regulatory events include:

*April, 1997* – *Nutrient Management Act*, 7 M.R.S. § 747 was enacted.

*December 1998* – The Maine DACF adopted regulation Chapter 565, *Nutrient Management Rules*. It is noted the regulation was last amended on July 3, 2018.

*June 8, 2000* – The Maine DEP and DACF entered into a Memorandum of Agreement entitled, *Coordination of the Maine Livestock Operating Permit Program and the Maine Pollutant Discharge Elimination System Permit Program in Regards to Concentrated Animal Feeding Operations*. The purpose of the agreement is intended to 1) establish a collaborative process between the DEP and DACF so as to better coordinate review of CAFOs, and 2) clarify the roles and responsibilities of the two agencies in regard to the permitting of CAFOs under DACF Livestock Operations Permit (LOP) program and DEP's MEPDES permit program.

*January 12, 2001* – The State of Maine received authorization from the U.S. Environmental Protection Agency (EPA) to administer the National Pollutant Discharge Elimination System (NPDES) permitting program in Maine. From that date forward, the program has been referred to as the Maine Pollutant Discharge Elimination System (MEPDES) permitting program.

## 2. PERMIT SUMMARY (cont'd)

*February 2, 2009* – Caverly Farms, LLC. submitted an application to the DEP and DACF for a new MEPDES permit and LOP.

*May 8, 2009* – The Department issued MEPDES permit #ME0037109 / Maine WDL #W009033-5S-A-N for a five-year term.

*October 17, 2014* – The Department issued MEPDES permit ME0037109 / Maine WDL #W009033-5S-B-R for a five-year term.

*June 1, 2020*– The Department issued MEPDES permit #ME0037109 / Maine WDL #W009033-5S-C-R for a five-year term.

*August 8, 2025* – The permittee submitted a complete application to the Department to renew the MEPDES permit. The application was accepted for processing on August 20, 2025 and was assigned WDL #W009033-5S-D-R / MEPDES #ME0037109.

## 3. CONDITIONS OF PERMITS

*Conditions of licenses*, 38 M.R.S. § 414-A, requires that the effluent limitations prescribed for discharges, including, but not limited to, effluent toxicity, require the application of best practicable treatment (BPT), be consistent with the U.S. Clean Water Act, and ensure that the receiving waters attain the State water quality standards as described in Maine's Surface Water Classification System. In addition, *Certain deposits and discharges prohibited*, 38 M.R.S. § 420 and Department rule *Surface Water Toxics Control Program*, 06-096 C.M.R. Ch. 530 (effective March 21, 2012), require the regulation of toxic substances so as not to exceed levels set forth in *Surface Water Quality Criteria for Toxic Pollutants*, 06-096 C.M.R. Ch. 584 (amended February 16, 2020), and ensure safe levels for the discharge of toxic pollutants such that existing and designated uses of surface waters are maintained and protected.

## 4. RECEIVING WATER QUALITY STANDARDS

*Classification of major river basins*, 38 M.R.S. § 467(4)(A)(10) classifies the Kennebec River from the Fairfield-Skowhegan boundary to the Shawmut Dam as Class C waters. *Standards for classification of fresh surface water*, 38 M.R.S. § 465(4) describes the standards for Class C water.

### 4. **Class C waters.** *Class C shall be the 4th highest classification.*

- A. *Class C waters must be of such quality that they are suitable for the designated uses of drinking water supply after treatment; fishing; agriculture; recreation in and on the water; industrial process and cooling water supply; hydroelectric power generation, except as prohibited under Title 12, section 403; navigation; and as a habitat for fish and other aquatic life.*
- B. *Class C waters must be of sufficient quality to support all species of fish indigenous to those waters and to maintain the structure and function of the resident biological community. The dissolved oxygen content of Class C water may not be less than 5 parts per million or 60% of saturation, whichever is higher, except that in identified salmonid spawning areas where water quality is sufficient to ensure spawning, egg incubation and survival of early life stages, that water quality sufficient for these purposes*

#### 4. RECEIVING WATER QUALITY STANDARDS (cont'd)

*must be maintained. In order to provide additional protection for the growth of indigenous fish, the following standards apply.*

*(1) The 30-day average dissolved oxygen criterion of a Class C water is 6.5 parts per million using a temperature of 22 degrees centigrade or the ambient temperature of the water body, whichever is less, if:*

*(a) A license or water quality certificate other than a general permit was issued prior to March 16, 2004 for the Class C water and was not based on a 6.5 parts per million 30-day average dissolved oxygen criterion; or*

*(b) A discharge or a hydropower project was in existence on March 16, 2005 and required but did not have a license or water quality certificate other than a general permit for the Class C water.*

*This criterion for the water body applies to licenses and water quality certificates issued on or after March 16, 2004.*

*(2) In Class C waters not governed by subparagraph (1), dissolved oxygen may not be less than 6.5 parts per million as a 30-day average based upon a temperature of 24 degrees centigrade or the ambient temperature of the water body, whichever is less. This criterion for the water body applies to licenses and water quality certificates issued on or after March 16, 2004.*

*The department may negotiate and enter into agreements with licensees and water quality certificate holders in order to provide further protection for the growth of indigenous fish. Agreements entered into under this paragraph are enforceable as department orders according to the provisions of sections 347-A to 349.*

*Between April 15th and October 31st, the number of Escherichia coli bacteria in Class C waters may not exceed a geometric mean of 100 CFU or MPN per 100 milliliters over a 90-day interval or 236 CFU or MPN per 100 milliliters in more than 10% of the samples in any 90-day interval. The board shall adopt rules governing the procedure for designation of spawning areas. Those rules must include provision for periodic review of designated spawning areas and consultation with affected persons prior to designation of a stretch of water as a spawning area.*

*C. Discharges to Class C waters may cause some changes to aquatic life, except that the receiving waters must be of sufficient quality to support all species of fish indigenous to the receiving waters and maintain the structure and function of the resident biological community. For the purpose of allowing the discharge of aquatic pesticides or chemicals approved by the department and conducted by the department, the Department of Inland Fisheries and Wildlife or an agent of either agency to restore biological communities affected by an invasive species, the department may find that the discharged effluent will not cause unacceptable changes to aquatic life as long as the materials and methods used will ensure the support of all species of indigenous fish and the structure and function of the resident biological community and will allow restoration of nontarget species.*

#### 4. RECEIVING WATER QUALITY STANDARDS (cont'd)

This permitting action prohibits any discharge of process waters unless the discharge is associated with a precipitation event equal to or above a 25-year, 24-hour storm event.

#### 5. REASONABLE POTENTIAL

Pursuant to 33 U.S.C. § 1311(b)(1)(C) and 40 C.F.R. § 122.44(d)(1), NPDES permits must contain any requirements in addition to technology based effluent limitations (TBELs) that are necessary to achieve water quality standards established under 33 U.S.C. § 1311(b)(1)(C). In addition, limitations “must control any pollutant or pollutant parameter (conventional, non-conventional, or toxic) which the permitting authority determines are or may be discharged at a level which will cause, have the reasonable potential to cause, or contribute to an excursion above any water quality standard (WQS), including State narrative criteria for water quality,” 40 C.F.R. § 122.44(d)(1)(i).

To determine if the discharge causes, or has the reasonable potential to cause, or contribute to an excursion above any WQS, EPA considers: 1) existing controls on point and non-point sources of pollution; 2) the variability of the pollutant or pollutant parameter in the effluent; 3) the sensitivity of the species to toxicity testing (when evaluating whole effluent toxicity); and 4) where appropriate, the dilution of the effluent by the receiving water. See 40 C.F.R. § 122.44(d)(1)(ii).

If the permitting authority determines that the discharge of a pollutant will cause, has the reasonable potential to cause, or contribute to an excursion above WQSs, the permit must contain water quality-based effluent limitations (WQBELs) for that pollutant. See 40 C.F.R. § 122.44(d)(1)(i).

#### 6. RECEIVING WATER QUALITY CONDITIONS

*The State of Maine Department of Environmental Protection 2018/2020/2022 Integrated Water Quality Monitoring and Assessment Report (Report)*, prepared by the Department pursuant to Sections 303(d) and 305(b) of the Federal Water Pollution Control Act, lists the Kennebec River, at Clinton, (Integrated Report Assessment Unit ID ME0103000306\_339R\_01) as, “Category 5-D: *Rivers and Streams Impaired by Legacy Pollutants* for polychlorinated biphenyls (PCBs).” The comment field states: “5/15/2015: Recent fish tissue monitoring has revealed legacy PCBs.”

The Report lists all of Maine’s fresh water as *Category 4-A: Rivers and Streams Impaired by Atmospheric Deposition of Mercury*. Impairment in this context refers to a statewide fish consumption advisory due to elevated levels of mercury in some fish tissues. The Report states, “All freshwaters are listed in Category 4-A (TMDL Completed) due to USEPA approval of a Regional Mercury TMDL in December 2007. Maine has a fish consumption advisory for fish taken from all freshwaters due to mercury. Many waters, and many fish from any given water, do not exceed the action level for mercury. However, because it is impossible for someone consuming a fish to know whether the mercury level exceeds the action level, the Maine Department of Health and Human Services decided to establish a statewide advisory for all freshwater fish. Maine has instituted statewide programs for removal and reduction of mercury sources.”

This segment is also listed in *Category 4-B: Rivers and Streams Impaired by Pollutants – Pollution Control Requirements Reasonably Expected to Result in Attainment* for Dioxin (including 1,3,7,8-TCDD). The comment field states: “4-B Dioxin license limits in 38 MRSA Section 420. Compliance is measured by (1) no detection of dioxin in any internal waste

## 6. RECEIVING WATER QUALITY CONDITIONS (cont'd)

stream (at 10 pg/l detection limit), (2) no detection in fish tissue sampled below a mill's outfall greater than upstream reference.”

The Department has no information that the discharge from the permittee, as conditioned, causes or contributes to non-attainment of applicable Class C water quality standards.

## 7. CAFO REQUIREMENTS

- a. Pursuant to Section 502(14) of the federal Water Pollution Control Act (Clean Water Act), CAFO's are defined as point source dischargers.
- b. *Waste discharge licenses* 38 M.R.S. §413 states, “No person may directly or indirectly discharge or cause to be discharged any pollutant without first obtaining a license therefor from the department.”
- c. *Applications For Waste Discharge Licenses*, 06-096 C.M.R. Ch. 521 §6(a) states “Permit requirement. Concentrated animal feeding operations are point sources subject to the NPDES permit program. The Department will consult with the Department of Agriculture on all applications for concentrated animal feeding operations in order to consolidate permitting requirements where feasible.” It is noted that the rule references federal regulations found at 40 C.F.R. §122.23 requiring CAFOs to obtain a federal NPDES permit. However, given that the USEPA has authorized the State of Maine to administer the NPDES permit program in Maine, MEPDES permits will be issued to CAFOs.  
  
06-096 C.M.R. Ch. 521§6(b)(3)-Appendix B establishes the criteria for determining a CAFO. Caverly Farms is categorically considered a medium CAFO as the facility that has at least 200 and less than 700 mature dairy cattle.
- d. *Dairy Cows and Cattle Other Than Veal Calves* 40 C.F.R. Part 412(C), establishes effluent limitations and guidelines representing best practicable control technology currently available (BPT) and best available technology economically achievable (BAT). BPT and BAT for CAFOs prohibits any discharge of manure, litter, and/or process wastewater pollutants to navigable waters. Process wastewater is defined as water directly or indirectly used in the operation of the CAFO for any and all of the following: spillage or overflow from animal watering systems; washing, cleaning, or flushing barns, pens, manure pits, or other CAFO facilities; direct contact swimming, washing, or spray cooling of animals; or dust control; water which comes into contact with any raw materials, products, or byproducts, including manure, litter, feed, milks, or bedding.
- e. 7 M.R.S. §4204(2) and 01-001 C.M.R. Ch. 565 establish the criteria for who must develop and implement a Nutrient Management Plan (NMP). CAFOs meet applicable criteria under this section. NMPs must be prepared and approved by a certified nutrient management plan specialist at least every five years and updated at least once every year.
- f. 7 M.R.S. §4205(1) requires CAFOs to obtain a Livestock Operating Permit (LOP). 01-001 C.M.R. Ch. 565, §9(1)(A) requires the owner or operator of a CAFO to obtain a LOP or provisional LOP from the DACF.

## 8. GENERAL FACILITY INSPECTIONS AND MONITORING

The inspections, monitoring and recordkeeping required by this permitting action were developed based on guidance provided by the USEPA to promote consistency with nationwide permitting of CAFOs. In addition, the Department consulted with the Maine DACF to develop inspections, monitoring and recordkeeping that would serve both agency's program requirements.

## 9. ANTI-BACKSLIDING

Federal regulation 40 C.F.R. §122.44(l) contains the criteria for what is often referred to as the anti-backsliding provisions of the Federal Water Pollution Control Act (Clean Water Act). In general, the regulation states that except for provisions specified in the regulation, effluent limitations, standards, or conditions must be at least as stringent as the final effluent limitations, standards or conditions in the previous permit.

## 10. ANTI-DEGRADATION

As permitted, the Department has determined the existing water uses will be maintained and protected and the discharge will not cause or contribute to the failure of the waterbody to meet standards for Class C.

## 11. PUBLIC COMMENTS

Public notice of this application was made in the Morning Sentinel newspaper on or about August 9, 2025. The Department receives public comments on an application until the date a final agency action is taken on that application. Those persons receiving copies of draft permits have at least 30 days in which to submit comments on the draft or to request a public hearing, pursuant to *Application Processing Procedures for Waste Discharge Licenses*, 06-096 C.M.R. Ch. 522 (effective January 12, 2001).

## 12. DEPARTMENT CONTACTS

Additional information concerning this permitting action may be obtained from and written comments should be sent to:

Attn: Nutrient Management Program Manager  
Maine Department of Agriculture, Conservation and Forestry  
Division of Animal and Plant Health  
28 State House Station  
Augusta, Maine 04333-0028  
Telephone: (207)-287-7608

Bekah Farmer  
Maine Department of Environmental Protection  
Bureau of Water Quality  
Division of Water Quality Management  
17 State House Station  
Augusta, Maine 04333-0017  
Email: [Bekah.Farmer@Maine.gov](mailto:Bekah.Farmer@Maine.gov)

Telephone: (207) 458-8706

### **13. RESPONSE TO COMMENTS**

*During the period from April 21, 2026, through May 21, 2026, the Department solicited comments from interested parties, the regulatory community, and the public. The Department did not receive any comments that resulted in substantive changes to the permit. Therefore, a formal response to comments has not been prepared.*

# **ATTACHMENT A**

Caverly Farms



Google Earth

Image © 2026 Airbus



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MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

STANDARD CONDITIONS APPLICABLE TO ALL PERMITS

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MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

STANDARD CONDITIONS APPLICABLE TO ALL PERMITS

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**A. GENERAL PROVISIONS**

1. **General compliance.** All discharges shall be consistent with the terms and conditions of this permit; any changes in production capacity or process modifications which result in changes in the quantity or the characteristics of the discharge must be authorized by an additional license or by modifications of this permit; it shall be a violation of the terms and conditions of this permit to discharge any pollutant not identified and authorized herein or to discharge in excess of the rates or quantities authorized herein or to violate any other conditions of this permit.

2. **Other materials.** Other materials ordinarily produced or used in the operation of this facility, which have been specifically identified in the application, may be discharged at the maximum frequency and maximum level identified in the application, provided:

- (a) They are not
  - (i) Designated as toxic or hazardous under the provisions of Sections 307 and 311, respectively, of the Federal Water Pollution Control Act; Title 38, Section 420, Maine Revised Statutes; or other applicable State Law; or
  - (ii) Known to be hazardous or toxic by the licensee.
- (b) The discharge of such materials will not violate applicable water quality standards.

3. **Duty to comply.** The permittee must comply with all conditions of this permit. Any permit noncompliance constitutes a violation of State law and the Clean Water Act and is grounds for enforcement action; for permit termination, revocation and reissuance, or modification; or denial of a permit renewal application.

- (a) The permittee shall comply with effluent standards or prohibitions established under section 307(a) of the Clean Water Act, and 38 MRSA, §420 or Chapter 530.5 for toxic pollutants within the time provided in the regulations that establish these standards or prohibitions, even if the permit has not yet been modified to incorporate the requirement.
- (b) Any person who violates any provision of the laws administered by the Department, including without limitation, a violation of the terms of any order, rule license, permit, approval or decision of the Board or Commissioner is subject to the penalties set forth in 38 MRSA, §349.

4. **Duty to provide information.** The permittee shall furnish to the Department, within a reasonable time, any information which the Department may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit or to determine compliance with this permit. The permittee shall also furnish to the Department upon request, copies of records required to be kept by this permit.

5. **Permit actions.** This permit may be modified, revoked and reissued, or terminated for cause. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit condition.

6. **Reopener clause.** The Department reserves the right to make appropriate revisions to this permit in order to establish any appropriate effluent limitations, schedule of compliance or other provisions which may be authorized under 38 MRSA, §414-A(5).

MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

STANDARD CONDITIONS APPLICABLE TO ALL PERMITS

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**7. Oil and hazardous substances.** Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities or penalties to which the permittee is or may be subject under section 311 of the Federal Clean Water Act; section 106 of the Federal Comprehensive Environmental Response, Compensation and Liability Act of 1980; or 38 MRSA §§ 1301, et. seq.

**8. Property rights.** This permit does not convey any property rights of any sort, or any exclusive privilege.

**9. Confidentiality of records.** 38 MRSA §414(6) reads as follows. "Any records, reports or information obtained under this subchapter is available to the public, except that upon a showing satisfactory to the department by any person that any records, reports or information, or particular part or any record, report or information, other than the names and addresses of applicants, license applications, licenses, and effluent data, to which the department has access under this subchapter would, if made public, divulge methods or processes that are entitled to protection as trade secrets, these records, reports or information must be confidential and not available for public inspection or examination. Any records, reports or information may be disclosed to employees or authorized representatives of the State or the United States concerned with carrying out this subchapter or any applicable federal law, and to any party to a hearing held under this section on terms the commissioner may prescribe in order to protect these confidential records, reports and information, as long as this disclosure is material and relevant to any issue under consideration by the department."

**10. Duty to reapply.** If the permittee wishes to continue an activity regulated by this permit after the expiration date of this permit, the permittee must apply for and obtain a new permit.

**11. Other laws.** The issuance of this permit does not authorize any injury to persons or property or invasion of other property rights, nor does it relieve the permittee of its obligation to comply with other applicable Federal, State or local laws and regulations.

**12. Inspection and entry.** The permittee shall allow the Department, or an authorized representative (including an authorized contractor acting as a representative of the EPA Administrator), upon presentation of credentials and other documents as may be required by law, to:

- (a) Enter upon the permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;
- (b) Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;
- (c) Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit; and
- (d) Sample or monitor at reasonable times, for the purposes of assuring permit compliance or as otherwise authorized by the Clean Water Act, any substances or parameters at any location.

**B. OPERATION AND MAINTENANCE OF FACILITIES**

**1. General facility requirements.**

- (a) The permittee shall collect all waste flows designated by the Department as requiring treatment and discharge them into an approved waste treatment facility in such a manner as to

MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

STANDARD CONDITIONS APPLICABLE TO ALL PERMITS

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- maximize removal of pollutants unless authorization to the contrary is obtained from the Department.
- (b) The permittee shall at all times maintain in good working order and operate at maximum efficiency all waste water collection, treatment and/or control facilities.
  - (c) All necessary waste treatment facilities will be installed and operational prior to the discharge of any wastewaters.
  - (d) Final plans and specifications must be submitted to the Department for review prior to the construction or modification of any treatment facilities.
  - (e) The permittee shall install flow measuring facilities of a design approved by the Department.
  - (f) The permittee must provide an outfall of a design approved by the Department which is placed in the receiving waters in such a manner that the maximum mixing and dispersion of the wastewaters will be achieved as rapidly as possible.

**2. Proper operation and maintenance.** The permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the permittee to achieve compliance with the conditions of this permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of back-up or auxiliary facilities or similar systems which are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit.

**3. Need to halt or reduce activity not a defense.** It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit.

**4. Duty to mitigate.** The permittee shall take all reasonable steps to minimize or prevent any discharge or sludge use or disposal in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment.

**5. Bypasses.**

- (a) Definitions.
  - (i) Bypass means the intentional diversion of waste streams from any portion of a treatment facility.
  - (ii) Severe property damage means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.
- (b) Bypass not exceeding limitations. The permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of paragraphs (c) and (d) of this section.
- (c) Notice.
  - (i) Anticipated bypass. If the permittee knows in advance of the need for a bypass, it shall submit prior notice, if possible at least ten days before the date of the bypass.

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- (ii) Unanticipated bypass. The permittee shall submit notice of an unanticipated bypass as required in paragraph D(1)(f), below. (24-hour notice).
- (d) Prohibition of bypass.
  - (i) Bypass is prohibited, and the Department may take enforcement action against a permittee for bypass, unless:
    - (A) Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
    - (B) There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and
    - (C) The permittee submitted notices as required under paragraph (c) of this section.
  - (ii) The Department may approve an anticipated bypass, after considering its adverse effects, if the Department determines that it will meet the three conditions listed above in paragraph (d)(i) of this section.

**6. Upsets.**

- (a) Definition. Upset means an exceptional incident in which there is unintentional and temporary noncompliance with technology based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, or careless or improper operation.
- (b) Effect of an upset. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology based permit effluent limitations if the requirements of paragraph (c) of this section are met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.
- (c) Conditions necessary for a demonstration of upset. A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:
  - (i) An upset occurred and that the permittee can identify the cause(s) of the upset;
  - (ii) The permitted facility was at the time being properly operated; and
  - (iii) The permittee submitted notice of the upset as required in paragraph D(1)(f) , below. (24 hour notice).
  - (iv) The permittee complied with any remedial measures required under paragraph B(4).
- (d) Burden of proof. In any enforcement proceeding the permittee seeking to establish the occurrence of an upset has the burden of proof.

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**C. MONITORING AND RECORDS**

**1. General Requirements.** This permit shall be subject to such monitoring requirements as may be reasonably required by the Department including the installation, use and maintenance of monitoring equipment or methods (including, where appropriate, biological monitoring methods). The permittee shall provide the Department with periodic reports on the proper Department reporting form of monitoring results obtained pursuant to the monitoring requirements contained herein.

**2. Representative sampling.** Samples and measurements taken as required herein shall be representative of the volume and nature of the monitored discharge. If effluent limitations are based wholly or partially on quantities of a product processed, the permittee shall ensure samples are representative of times when production is taking place. Where discharge monitoring is required when production is less than 50%, the resulting data shall be reported as a daily measurement but not included in computation of averages, unless specifically authorized by the Department.

**3. Monitoring and records.**

- (a) Samples and measurements taken for the purpose of monitoring shall be representative of the monitored activity.
- (b) Except for records of monitoring information required by this permit related to the permittee's sewage sludge use and disposal activities, which shall be retained for a period of at least five years, the permittee shall retain records of all monitoring information, including all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, copies of all reports required by this permit, and records of all data used to complete the application for this permit, for a period of at least 3 years from the date of the sample, measurement, report or application. This period may be extended by request of the Department at any time.
- (c) Records of monitoring information shall include:
  - (i) The date, exact place, and time of sampling or measurements;
  - (ii) The individual(s) who performed the sampling or measurements;
  - (iii) The date(s) analyses were performed;
  - (iv) The individual(s) who performed the analyses;
  - (v) The analytical techniques or methods used; and
  - (vi) The results of such analyses.
- (d) Monitoring results must be conducted according to test procedures approved under 40 CFR part 136, unless other test procedures have been specified in the permit.
- (e) State law provides that any person who tampers with or renders inaccurate any monitoring devices or method required by any provision of law, or any order, rule license, permit approval or decision is subject to the penalties set forth in 38 MRSA, §349.

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**D. REPORTING REQUIREMENTS**

**1. Reporting requirements.**

- (a) Planned changes. The permittee shall give notice to the Department as soon as possible of any planned physical alterations or additions to the permitted facility. Notice is required only when:
  - (i) The alteration or addition to a permitted facility may meet one of the criteria for determining whether a facility is a new source in 40 CFR 122.29(b); or
  - (ii) The alteration or addition could significantly change the nature or increase the quantity of pollutants discharged. This notification applies to pollutants which are subject neither to effluent limitations in the permit, nor to notification requirements under Section D(4).
  - (iii) The alteration or addition results in a significant change in the permittee's sludge use or disposal practices, and such alteration, addition, or change may justify the application of permit conditions that are different from or absent in the existing permit, including notification of additional use or disposal sites not reported during the permit application process or not reported pursuant to an approved land application plan;
- (b) Anticipated noncompliance. The permittee shall give advance notice to the Department of any planned changes in the permitted facility or activity which may result in noncompliance with permit requirements.
- (c) Transfers. This permit is not transferable to any person except upon application to and approval of the Department pursuant to 38 MRSA, § 344 and Chapters 2 and 522.
- (d) Monitoring reports. Monitoring results shall be reported at the intervals specified elsewhere in this permit.
  - (i) Monitoring results must be reported on a Discharge Monitoring Report (DMR) or forms provided or specified by the Department for reporting results of monitoring of sludge use or disposal practices.
  - (ii) If the permittee monitors any pollutant more frequently than required by the permit using test procedures approved under 40 CFR part 136 or as specified in the permit, the results of this monitoring shall be included in the calculation and reporting of the data submitted in the DMR or sludge reporting form specified by the Department.
  - (iii) Calculations for all limitations which require averaging of measurements shall utilize an arithmetic mean unless otherwise specified by the Department in the permit.
- (e) Compliance schedules. Reports of compliance or noncompliance with, or any progress reports on, interim and final requirements contained in any compliance schedule of this permit shall be submitted no later than 14 days following each schedule date.
- (f) Twenty-four hour reporting.
  - (i) The permittee shall report any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the permittee becomes aware of the circumstances. A written submission shall also be provided within 5 days of the time the permittee becomes aware of the circumstances. The written submission shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact dates and times, and if the noncompliance

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has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent reoccurrence of the noncompliance.

(ii) The following shall be included as information which must be reported within 24 hours under this paragraph.

(A) Any unanticipated bypass which exceeds any effluent limitation in the permit.

(B) Any upset which exceeds any effluent limitation in the permit.

(C) Violation of a maximum daily discharge limitation for any of the pollutants listed by the Department in the permit to be reported within 24 hours.

(iii) The Department may waive the written report on a case-by-case basis for reports under paragraph (f)(ii) of this section if the oral report has been received within 24 hours.

(g) Other noncompliance. The permittee shall report all instances of noncompliance not reported under paragraphs (d), (e), and (f) of this section, at the time monitoring reports are submitted. The reports shall contain the information listed in paragraph (f) of this section.

(h) Other information. Where the permittee becomes aware that it failed to submit any relevant facts in a permit application, or submitted incorrect information in a permit application or in any report to the Department, it shall promptly submit such facts or information.

**2. Signatory requirement.** All applications, reports, or information submitted to the Department shall be signed and certified as required by Chapter 521, Section 5 of the Department's rules. State law provides that any person who knowingly makes any false statement, representation or certification in any application, record, report, plan or other document filed or required to be maintained by any order, rule, permit, approval or decision of the Board or Commissioner is subject to the penalties set forth in 38 MRSA, §349.

**3. Availability of reports.** Except for data determined to be confidential under A(9), above, all reports prepared in accordance with the terms of this permit shall be available for public inspection at the offices of the Department. As required by State law, effluent data shall not be considered confidential. Knowingly making any false statement on any such report may result in the imposition of criminal sanctions as provided by law.

**4. Existing manufacturing, commercial, mining, and silvicultural dischargers.** In addition to the reporting requirements under this Section, all existing manufacturing, commercial, mining, and silvicultural dischargers must notify the Department as soon as they know or have reason to believe:

(a) That any activity has occurred or will occur which would result in the discharge, on a routine or frequent basis, of any toxic pollutant which is not limited in the permit, if that discharge will exceed the highest of the following "notification levels":

(i) One hundred micrograms per liter (100 ug/l);

(ii) Two hundred micrograms per liter (200 ug/l) for acrolein and acrylonitrile; five hundred micrograms per liter (500 ug/l) for 2,4-dinitrophenol and for 2-methyl-4,6-dinitrophenol; and one milligram per liter (1 mg/l) for antimony;

(iii) Five (5) times the maximum concentration value reported for that pollutant in the permit application in accordance with Chapter 521 Section 4(g)(7); or

(iv) The level established by the Department in accordance with Chapter 523 Section 5(f).

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- (b) That any activity has occurred or will occur which would result in any discharge, on a non-routine or infrequent basis, of a toxic pollutant which is not limited in the permit, if that discharge will exceed the highest of the following "notification levels":
  - (i) Five hundred micrograms per liter (500 ug/l);
  - (ii) One milligram per liter (1 mg/l) for antimony;
  - (iii) Ten (10) times the maximum concentration value reported for that pollutant in the permit application in accordance with Chapter 521 Section 4(g)(7); or
  - (iv) The level established by the Department in accordance with Chapter 523 Section 5(f).

**5. Publicly owned treatment works.**

- (a) All POTWs must provide adequate notice to the Department of the following:
  - (i) Any new introduction of pollutants into the POTW from an indirect discharger which would be subject to section 301 or 306 of CWA or Chapter 528 if it were directly discharging those pollutants.
  - (ii) Any substantial change in the volume or character of pollutants being introduced into that POTW by a source introducing pollutants into the POTW at the time of issuance of the permit.
  - (iii) For purposes of this paragraph, adequate notice shall include information on (A) the quality and quantity of effluent introduced into the POTW, and (B) any anticipated impact of the change on the quantity or quality of effluent to be discharged from the POTW.
- (b) When the effluent discharged by a POTW for a period of three consecutive months exceeds 80 percent of the permitted flow, the permittee shall submit to the Department a projection of loadings up to the time when the design capacity of the treatment facility will be reached, and a program for maintaining satisfactory treatment levels consistent with approved water quality management plans.

**E. OTHER REQUIREMENTS**

**1. Emergency action - power failure.** Within thirty days after the effective date of this permit, the permittee shall notify the Department of facilities and plans to be used in the event the primary source of power to its wastewater pumping and treatment facilities fails as follows.

- (a) For municipal sources. During power failure, all wastewaters which are normally treated shall receive a minimum of primary treatment and disinfection. Unless otherwise approved, alternate power supplies shall be provided for pumping stations and treatment facilities. Alternate power supplies shall be on-site generating units or an outside power source which is separate and independent from sources used for normal operation of the wastewater facilities.
- (b) For industrial and commercial sources. The permittee shall either maintain an alternative power source sufficient to operate the wastewater pumping and treatment facilities or halt, reduce or otherwise control production and or all discharges upon reduction or loss of power to the wastewater pumping or treatment facilities.

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**2. Spill prevention.** (applicable only to industrial sources) Within six months of the effective date of this permit, the permittee shall submit to the Department for review and approval, with or without conditions, a spill prevention plan. The plan shall delineate methods and measures to be taken to prevent and or contain any spills of pulp, chemicals, oils or other contaminates and shall specify means of disposal and or treatment to be used.

**3. Removed substances.** Solids, sludges trash rack cleanings, filter backwash, or other pollutants removed from or resulting from the treatment or control of waste waters shall be disposed of in a manner approved by the Department.

**4. Connection to municipal sewer.** (applicable only to industrial and commercial sources) All wastewaters designated by the Department as treatable in a municipal treatment system will be cosigned to that system when it is available. This permit will expire 90 days after the municipal treatment facility becomes available, unless this time is extended by the Department in writing.

**F. DEFINITIONS.** For the purposes of this permit, the following definitions shall apply. Other definitions applicable to this permit may be found in Chapters 520 through 529 of the Department's rules

**Average** means the arithmetic mean of values taken at the frequency required for each parameter over the specified period. For bacteria, the average shall be the geometric mean.

**Average monthly discharge limitation** means the highest allowable average of daily discharges over a calendar month, calculated as the sum of all daily discharges measured during a calendar month divided by the number of daily discharges measured during that month. Except, however, bacteriological tests may be calculated as a geometric mean.

**Average weekly discharge limitation** means the highest allowable average of daily discharges over a calendar week, calculated as the sum of all daily discharges measured during a calendar week divided by the number of daily discharges measured during that week.

**Best management practices ("BMPs")** means schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of waters of the State. BMPs also include treatment requirements, operating procedures, and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage.

**Composite sample** means a sample consisting of a minimum of eight grab samples collected at equal intervals during a 24 hour period (or a lesser period as specified in the section on monitoring and reporting) and combined proportional to the flow over that same time period.

**Continuous discharge** means a discharge which occurs without interruption throughout the operating hours of the facility, except for infrequent shutdowns for maintenance, process changes, or other similar activities.

**Daily discharge** means the discharge of a pollutant measured during a calendar day or any 24-hour period that reasonably represents the calendar day for purposes of sampling. For pollutants with limitations expressed in units of mass, the daily discharge is calculated as the total mass of the pollutant discharged over the day. For pollutants with limitations expressed in other units of measurement, the daily discharge is calculated as the average measurement of the pollutant over the day.

# MAINE POLLUTANT DISCHARGE ELIMINATION SYSTEM PERMIT

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**Discharge Monitoring Report ("DMR")** means the EPA uniform national form, including any subsequent additions, revisions, or modifications for the reporting of self-monitoring results by permittees. DMRs must be used by approved States as well as by EPA. EPA will supply DMRs to any approved State upon request. The EPA national forms may be modified to substitute the State Agency name, address, logo, and other similar information, as appropriate, in place of EPA's.

**Flow weighted composite sample** means a composite sample consisting of a mixture of aliquots collected at a constant time interval, where the volume of each aliquot is proportional to the flow rate of the discharge.

**Grab sample** means an individual sample collected in a period of less than 15 minutes.

**Interference** means a Discharge which, alone or in conjunction with a discharge or discharges from other sources, both:

- (1) Inhibits or disrupts the POTW, its treatment processes or operations, or its sludge processes, use or disposal; and
- (2) Therefore is a cause of a violation of any requirement of the POTW's NPDES permit (including an increase in the magnitude or duration of a violation) or of the prevention of sewage sludge use or disposal in compliance with the following statutory provisions and regulations or permits issued thereunder (or more stringent State or local regulations): Section 405 of the Clean Water Act, the Solid Waste Disposal Act (SWDA) (including title II, more commonly referred to as the Resource Conservation and Recovery Act (RCRA), and including State regulations contained in any State sludge management plan prepared pursuant to subtitle D of the SWDA), the Clean Air Act, the Toxic Substances Control Act, and the Marine Protection, Research and Sanctuaries Act.

**Maximum daily discharge limitation** means the highest allowable daily discharge.

**New source** means any building, structure, facility, or installation from which there is or may be a discharge of pollutants, the construction of which commenced:

- (a) After promulgation of standards of performance under section 306 of CWA which are applicable to such source, or
- (b) After proposal of standards of performance in accordance with section 306 of CWA which are applicable to such source, but only if the standards are promulgated in accordance with section 306 within 120 days of their proposal.

**Pass through** means a discharge which exits the POTW into waters of the State in quantities or concentrations which, alone or in conjunction with a discharge or discharges from other sources, is a cause of a violation of any requirement of the POTW's NPDES permit (including an increase in the magnitude or duration of a violation).

**Permit** means an authorization, license, or equivalent control document issued by EPA or an approved State to implement the requirements of 40 CFR parts 122, 123 and 124. Permit includes an NPDES general permit (Chapter 529). Permit does not include any permit which has not yet been the subject of final agency action, such as a draft permit or a proposed permit.

**Person** means an individual, firm, corporation, municipality, quasi-municipal corporation, state agency, federal agency or other legal entity.

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**Point source** means any discernible, confined and discrete conveyance, including, but not limited to, any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation or vessel or other floating craft, from which pollutants are or may be discharged.

**Pollutant** means dredged spoil, solid waste, junk, incinerator residue, sewage, refuse, effluent, garbage, sewage sludge, munitions, chemicals, biological or radiological materials, oil, petroleum products or byproducts, heat, wrecked or discarded equipment, rock, sand, dirt and industrial, municipal, domestic, commercial or agricultural wastes of any kind.

**Process wastewater** means any water which, during manufacturing or processing, comes into direct contact with or results from the production or use of any raw material, intermediate product, finished product, byproduct, or waste product.

**Publicly owned treatment works ("POTW")** means any facility for the treatment of pollutants owned by the State or any political subdivision thereof, any municipality, district, quasi-municipal corporation or other public entity.

**Septage** means, for the purposes of this permit, any waste, refuse, effluent sludge or other material removed from a septic tank, cesspool, vault privy or similar source which concentrates wastes or to which chemicals have been added. Septage does not include wastes from a holding tank.

**Time weighted composite** means a composite sample consisting of a mixture of equal volume aliquots collected over a constant time interval.

**Toxic pollutant** includes any pollutant listed as toxic under section 307(a)(1) or, in the case of sludge use or disposal practices, any pollutant identified in regulations implementing section 405(d) of the CWA. Toxic pollutant also includes those substances or combination of substances, including disease causing agents, which after discharge or upon exposure, ingestion, inhalation or assimilation into any organism, including humans either directly through the environment or indirectly through ingestion through food chains, will, on the basis of information available to the board either alone or in combination with other substances already in the receiving waters or the discharge, cause death, disease, abnormalities, cancer, genetic mutations, physiological malfunctions, including malfunctions in reproduction, or physical deformations in such organism or their offspring.

**Wetlands** means those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.

**Whole effluent toxicity** means the aggregate toxic effect of an effluent measured directly by a toxicity test.



# DEP INFORMATION SHEET

## Appeals to the Board of Environmental Protection

Date: November 2024

Contact: [Clerk.BEP@maine.gov](mailto:Clerk.BEP@maine.gov) or  
(207) 314-1458

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### SUMMARY

This document provides information regarding a person's rights and obligations in filing an administrative or judicial appeal of: (1) a final license decision made by the Commissioner of the Department of Environmental Protection ("DEP"); or (2) an insurance claim-related decision ("Clean-up and Response Fund decision") made by the Commissioner or the Office of State Fire Marshal pursuant to [38 M.R.S. § 568-A](#).

Except as explained below, there are two methods available to an aggrieved person seeking to appeal a license decision made by the Commissioner or a Clean-up and Response Fund decision: (1) an administrative appeal before the Board of Environmental Protection ("Board"); or (2) a judicial appeal before Maine's Superior Court. An aggrieved person seeking review of a license decision or Clean-up and Response Fund decision made by the Board may seek judicial review in Maine's Superior Court.

An appeal of a license decision made by the DEP Commissioner or the Board regarding an application for an expedited wind energy development ([35-A M.R.S. § 3451\(4\)](#)), a general permit for an offshore wind energy demonstration project ([38 M.R.S. § 480-HH\(1\)](#)), or a general permit for a tidal energy demonstration project ([38 M.R.S. § 636-A](#)) must be taken to the Supreme Judicial Court sitting as the Law Court.

### I. ADMINISTRATIVE APPEALS TO THE BOARD

#### LEGAL REFERENCES

A person filing an appeal with the Board should review the applicable rules and statutes, including the DEP's Chapter 2 rule, [Processing of Applications and Other Administrative Matters \(06-096 C.M.R. ch. 2\)](#); Organization and Powers, [38 M.R.S. §§ 341-D\(4\)](#) and [346](#); and the Maine Administrative Procedure Act, [5 M.R.S. § 11001](#).

#### DEADLINE TO SUBMIT AN APPEAL TO THE BOARD

Within 30 calendar days of the date of: (1) a final license decision of the Commissioner; or (2) a Clean-up and Response Fund decision, an aggrieved person may appeal to the Board for review of that decision. "Aggrieved person" means any person whom the Board determines may suffer a particularized injury as a result of a Commissioner's license decision or a Clean-up and Response Fund decision. A complete appeal must be received by the Board no later than 5:00 p.m. on the 30<sup>th</sup> calendar day of the decision being appealed. With limited exception, untimely appeals will be dismissed.

#### HOW TO SUBMIT AN APPEAL TO THE BOARD

An appeal to the Board may be submitted via postal mail or electronic mail (e-mail) and must contain all signatures and required appeal contents. An electronic filing must contain the scanned original signature of the appellant(s). The appeal documents must be sent to the following address.

Chair, Board of Environmental Protection  
c/o Board Clerk  
17 State House Station  
Augusta, ME 04333-0017  
[Clerk.BEP@maine.gov](mailto:Clerk.BEP@maine.gov)

The DEP may also request the submittal of the original signed paper appeal documents when the appeal is filed electronically. The risk of material not being received in a timely manner is on the sender, regardless of the method used.

At the time an appeal is filed with the Board, the appellant must send a copy of the appeal to: (1) the Commissioner of the DEP (Maine Department of Environmental Protection, 17 State House Station, Augusta, Maine 04333-0017); (2) the licensee, if the appellant is not the licensee; and (3) if a hearing was held on the application, any intervenors in that hearing proceeding. For appeals of Clean-up and Response Fund decisions made by the State Fire Marshal, the appellant must also send a copy of the appeal to the State Fire Marshal. **Please contact the Board Clerk at [clerk.bep@maine.gov](mailto:clerk.bep@maine.gov) or DEP staff at 207-287-7688 with questions or for contact information regarding a specific license or Clean-up and Response Fund decision.**

#### **REQUIRED APPEAL CONTENTS**

A written appeal must contain the information specified in Chapter 2, section 23(B) or section 24(B), as applicable, at the time the appeal is submitted. **Please carefully review these sections of Chapter 2**, which is available online at <https://www.maine.gov/sos/cec/rules/06/chaps06.htm>, or contact the Board Clerk to obtain a copy of the rule. Failure to comply with the content of appeal requirements may result in the appeal being dismissed pursuant to Chapter 2, section 23(C) or section 24(C).

#### **OTHER CONSIDERATIONS IN APPEALING A DECISION TO THE BOARD**

1. *Be familiar with the administrative record.* Generally, the record on which the Board decides an appeal is limited to the record prepared by the agency in its review of the application, any supplemental evidence admitted to the record by the Board Chair and, if a hearing is held on the appeal, additional evidence admitted during the hearing. A person who seeks to appeal a decision to the Board is encouraged to contact the DEP (or State Fire Marshal for Clean-up and Response Fund decisions made by that agency) to inspect the record before filing an appeal.
2. *Be familiar with the applicable rules and laws.* An appellant is required to identify the licensing criterion or standard the appellant believes was not satisfied in issuing the decision, the bases of the objections or challenges, and the remedy sought. Prior to filing an appeal, review the decision being appealed to identify the rules and laws that are applicable to the decision. An appellant may contact the DEP or Board staff with any questions regarding the applicable rules and laws or the appeal procedure generally.
3. *The filing of an appeal does not operate as a stay to any decision.* If a license has been granted and it has been appealed, the license normally remains in effect pending the processing of the appeal. Unless a separate stay of the decision is requested and granted (see Chapter 2, section 23(M)), the licensee may proceed with an approved project pending the outcome of the appeal. Any activity initiated in accordance with the approved license during the pendency of the appeal comes with the risk of not knowing the outcome of the appeal, including the possibility that the decision may be reversed or modified by the Board.
4. *Alternative dispute resolution.* If the appeal participants agree to use mediation or another form of alternative dispute resolution (“ADR”) to resolve the appeal and so notify the Board, the Board will not hear the matter until the conclusion of that effort, provided the participants engaged in the alternative dispute resolution demonstrate satisfactory progress toward resolving the issues. See Chapter 2, section 23(H) or contact the Board Executive Analyst (contact information below) for more information on the ADR provision.

### **WHAT TO EXPECT ONCE YOU FILE A TIMELY APPEAL WITH THE BOARD**

The Board will acknowledge receipt of each appeal and develop a service list of appeal participants and any interested persons for use in the appeal proceeding. Electronic mail (e-mail) is the preferred method of communication during an appeal proceeding; however, the Board reserves the right to require paper copies of all filings. Once the Board Chair rules on the admissibility of all proposed supplemental evidence, the licensee (if the licensee is not the appellant) may respond to the merits of the appeal. Instructions specific to each appeal will be provided in correspondence from the Board Executive Analyst or Board Chair. Generally, once all filings in an appeal proceeding are complete, the DEP staff will assemble a packet of materials for the Board (Board packet), including a staff recommendation in the form of a proposed Board Order. Once available, appeal participants will receive a copy of the Board packet and an agenda with the meeting location and start time. Once finalized, the meeting agenda will be posted on the Board's webpage <https://www.maine.gov/dep/bep/index.html>. Appeals will be considered based on the administrative record on appeal and oral argument at a regular meeting of the Board. See Chapter 2, Section 23(I). The Board may affirm all or part of the decision under appeal; affirm all or part of the decision under appeal with modifications, or new or additional conditions; order a hearing to be held as expeditiously as possible; reverse the decision under appeal; or remand the decision to the Commissioner or State Fire Marshal, as applicable, for further proceedings.

### **II. JUDICIAL APPEALS**

The filing of an appeal with the Board is not a prerequisite for the filing of a judicial appeal. Maine law generally allows aggrieved persons to appeal final license decisions to Maine's Superior Court (see [38 M.R.S. § 346\(1\)](#); [Chapter 2](#); [5 M.R.S. § 11001](#); and [M.R. Civ. P. 80C](#)). A judicial appeal by a party to the underlying proceeding must be filed with the Superior Court within 30 days of receipt of notice of the Board's or the Commissioner's decision. For any other aggrieved person, an appeal must be filed within 40 days of the date the decision was rendered. An appeal to court of a license decision regarding an expedited wind energy development, a general permit for an offshore wind energy demonstration project, or a general permit for a tidal energy demonstration project may only be taken directly to the Maine Supreme Judicial Court. See 38 M.R.S. § 346(4), the Maine Administrative Procedure Act, statutes governing a particular license decision, and the Maine Rules of Civil Procedure for substantive and procedural details applicable to judicial appeals.

### **ADDITIONAL INFORMATION**

If you have questions or need additional information on the appeal procedure, for administrative appeals contact the Board Clerk at [clerk.bep@maine.gov](mailto:clerk.bep@maine.gov) or 207-287-2811 or the Board Executive Analyst at [bill.hinkel@maine.gov](mailto:bill.hinkel@maine.gov) or 207-314-1458, or for judicial appeals contact the court clerk's office in which the appeal will be filed.

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**Note: This information sheet, in conjunction with a review of the statutory and rule provisions referred to herein, is provided to help a person to understand their rights and obligations in filing an administrative or judicial appeal, and to comply with notice requirements of the Maine Administrative Procedure Act, 5 M.R.S. § 9061. This information sheet is not intended to supplant the parties' obligations to review and comply with all statutes and rules applicable to an appeal and insofar as there is any inconsistency between the information in this document and the applicable statutes and rules, the relevant statutes and rules apply.**

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